

Feb 4th. Zhen-Ning. Rigid Analytic Geometry.

§ Tate Algebra

Let k be a non-arch. local field ^{complete} (with arch. valuation)

$$\leadsto k[[x_1, \dots, x_n]]$$

$$(Tate Algebra) \quad T_n(k) = k(x_1, \dots, x_n) := \left\{ \sum_{v_1, \dots, v_n \geq 0} a_{v_1, \dots, v_n} x_1^{v_1} \cdots x_n^{v_n} \mid \begin{array}{l} a_{v_1, \dots, v_n} \in k \text{ s.t.} \\ |a_{v_1, \dots, v_n}| \rightarrow 0 \text{ as} \\ \sum v_i \rightarrow \infty \end{array} \right\}.$$

- $|f| := \max_v |a_v|$ Gauss norm.

- $T_n(k)$ is complete k -Banach space wrt $|f|$, containing $k[[x_1, \dots, x_n]]$ as a dense subset. \rightarrow complete k -vector space.

- $T_n^\circ := \{f \in T_n \mid |f| \leq 1\}$ ring of integer.

- $T_n^\circ \cup \{f \in T_n \mid |f| < 1\}$ maximal ideal.

$$\leadsto \tilde{T}_n := T_n^\circ / \{f \in T_n \mid |f| < 1\} \quad \text{residue field.}$$
$$\cong k[[x_1, \dots, x_n]]$$

Prop: $f \in T_n$, $|f| = 1$, \exists a unit $\iff |f(0)| = 1$ and $|f - f(0)| < 1$.

$\iff f$ is a unit $\iff \bar{f}$ is a unit.

\nwarrow reduction in residue field.

Theorem: Every k -algebra homomorphism $\phi: T_n \rightarrow T_m$ is a contraction. i.e., $|\phi(f)| \leq |f| \ \forall f \in T_n$.

Pf.: Suppose not. $\exists f \in T_n$ s.t. $|\phi(f)| > |f|$.

Assume $|\phi(f)| = 1$ (by multiply by a constant).
choose $c \in k$ s.t. $|c| = 1$. $c + \phi(f)$ is not a unit in T_m .

Define $g := c + f$ is a unit in T_n

$$\therefore |g| = 1$$

$$|g - g(0)| = |f - f(0)| < 1.$$

$\Rightarrow \phi(g) = c + \phi(f)$ is a unit. contradiction!
(image of a unit is a unit).

$$\Rightarrow |\phi(f)| \leq |f| \quad \square$$

K : valuation field.

$\rightarrow B^n(K) := \{ (x_1, \dots, x_n) \in K^n \mid \max |x_i| \leq 1 \}$ unit ball

$f \in T_n$ induces a map $B^n(K) \rightarrow K$ via evaluation
 $(x_1, \dots, x_n) \mapsto f(x_1, \dots, x_n)$.

Prop.: The image is exactly those functions $f: B^n(K) \rightarrow K$ such that

(1) Have a power series expansion over K converges on $B^n(K)$

(2) Maps $B^n(K)$ to K .

- If $L \subset \bar{K}$, finite, algebraic extension of K , then $f(B^n(L)) \subseteq L$.

- Define sup norm $\sup_{x \in B^n(\bar{K})} |f(x)| \leq \|f\|$.

Prop. (Maximal modulo Principle).

$f \in T_n$, $\exists x \in B^n(\bar{K})$ s.t. $|f(x)| = \|f\|$.

Pf. WLOG, Assume $\|f\| = 1 \Rightarrow f \neq 0$.

$\Rightarrow \exists (x_1, \dots, x_n) \in B^n(\bar{K})$ s.t. $f(x_1, \dots, x_n) \neq 0$.

$\Rightarrow |f(x_1, \dots, x_n)| = 1$

□.

Cor. If $f \in T_n$ vanish for all points on the unit ball $B^n(\bar{K})$ then $f \equiv 0$.

$\leadsto T_n \xrightarrow[\text{injection}]{} \{\text{maps} : B^n(\bar{K}) \rightarrow \bar{K}\}$

- Gauss norm coincides with supnorm.

- 1-1 correspondence:

$$B^n(\bar{K}) \xleftrightarrow{1-1} \text{Hom}_K(T_n, \bar{K}) \xleftrightarrow{1-1} \text{Max}_K T_n$$

maximal ideal.

Defⁿ: $g = \sum_{v=0}^{\infty} g_v(x_1, \dots, x_{n-1}) x_n^v \in T_n$ is x_n -distinguished of degree s if

• g_s is a unit in T_{n-1}

• $|g_s| = |g|$, $|g_s| > |g_v| \quad \forall v > s$

Thm (Weierstrass Division Theorem)

Let $g \in T_n$ be x_n -distinguished of deg s .
Then $\forall f \in T_n$, $\exists!$ $q \in T_n$, $r \in T_n[x_n]$ with
 $\deg r < s$, s.t. $f = qg + r$.

$$\Rightarrow \|f\| = \max \{ \|q\| \|g\|, \|r\| \}.$$

Thm (Weierstrass Preparation Theorem).

Let $g \in T_n$, x_n -distinguished of deg s , $\exists!$
monic polynomial $w \in T_{n-1}[x_n]$ of degree s
and a unit $e \in T_n$ s.t. $g = w \cdot e$ where w
is x_n -distinguished and $\|w\| = 1$.

Pf: Apply WDT to w_n^s

$$x_n^s = qg + r \quad g \in T_n, \quad r \in T_{n-1}[x_n] \\ \deg r < s, \quad \|r\| \leq 1.$$

$$w = x_n^s - r.$$

$\Rightarrow \|w\| = 1$ x_n -distinguished of order/degree s .

Claim: g is a unit. $\Rightarrow w = x_n^s - r = qg$
 \downarrow
why? $\exists e$ s.t. $w \cdot e = g$. \square

Cor: (Noether Normalization).

For any proper ideal $a \subseteq T_n$, there is a k -alg
mono. $T_d \rightarrow T_n$ for some $d \in \mathbb{N}$ s.t.

$$T_d \hookrightarrow T_n \twoheadrightarrow T_n/a$$

is finite.

- $d = \text{krull dim. of } T_n/a$

Cor: Let $m \subseteq T_n$ maximal ideal, then T_n/m is a finite extension over k .

$$\begin{aligned} - B^n(\bar{k}) &\longrightarrow \text{Max } T_n \quad (\text{maximal ideal of } T_n) \\ x &\longmapsto m_x = \{f \in T_n \mid f(x) = 0\}. \end{aligned}$$

This map is surjective.

- T_n is noetherian.

- T_n is factorial (\Rightarrow normal)

- T_n is jacobson.

$$\hookrightarrow a \in T_n \text{ ideal} \quad a = \bigcap_{\substack{m \supseteq a \\ \text{maximal}}} m$$

intersection of all maximal ideals containing a .

- $\forall m \subseteq T_n$, maximal ideal is of height n .
& can be generated by n elements.
(\Rightarrow krull dim. of $T_n = n$).

§ Affinoid Algebra.

$$T_n \longleftrightarrow \{B^n(\bar{k}) \longrightarrow \bar{k}\}.$$

Δ

$$a \rightsquigarrow V(a) = \{x \in B^n(\bar{k}) \mid f(x) = 0 \ \forall f \in a\}.$$

$A := T_n/a$. functions on $V(a)$.

Defⁿ: A k -alg A is called an affinoid k -algebra if $\exists \alpha: T_n \twoheadrightarrow A$ for some n .

→ Define category of affinoid algebra
morphism = k -morphism

- Product: complete tensor product (tensor prod. → take completion)

- Noetherian, Jacobson, Noether normal.

- Suppose $\alpha: T_n \rightarrow A$ then α induces a norm, called residue norm:

$$|\alpha(f)|_\alpha := \inf_{a \in \ker \alpha} |f - a|$$

- sup norm (semi-norm) $\|f\|_{\sup} = \sup_{x \in \text{Max}(A)} |f(x)|$

- $\varphi: B \rightarrow A$ morphism between affinoid algebras.
 $\Rightarrow \|\varphi(b)\|_{\sup} \leq \|b\|_{\sup} \quad \forall b \in B$.

- $f \in A, \|f\|_{\sup} \leq \|f\|_\alpha$

- $\|f\|_{\sup} = 0 \Leftrightarrow f$ is nilpotent.

Thm. (Maximal Principle).

A - k -affinoid algebra $\Rightarrow \exists x \in \text{Max } A$ s.t.
 $\|f(x)\| = \|f\|_{\sup}$.

Remark: (1) All residue norms are equivalent.

(2) $\|\cdot\|_{\sup}$ is equivalent to residue norm for reduced affinoid algebra.

↑

use defⁿ of sup norm

\Rightarrow sup norm is a norm

\Rightarrow ring is reduced

§ Affinoid space

$A = k\text{-affinoid alg.}$

$$\{\text{elt. of } A\} \longleftrightarrow \{\text{fens on } \text{Max } A\}$$

$$f \longmapsto F \in A/\mathfrak{m} \hookrightarrow \bar{k}$$

$$\leadsto \text{Sp } A = (\underbrace{\text{Max } A}_{\text{space}}, \underbrace{A}_{\text{fens. on space}})$$

is called affinoid k -space associated to A .

- Zariski Topology. $a \triangleleft A \rightarrow V(a)$
 \hookrightarrow closed.

- $D_f = \{x \in \text{Sp } A \mid f(x) \neq 0\} \quad f \in A$.
 forms a basis of Zariski open subsets of $\text{Sp } A$.

- $Y \subset \text{Sp } A \leadsto \text{Id}(Y) = \{f \in A \mid f(y) = 0 \quad \forall y \in Y\}$
 $\text{ideal} = \bigcap_{y \in Y} \mathfrak{m}_y$

$$- V(\text{Id}(Y)) = \bar{Y}$$

Thm. (Hilbert's Nullstellensatz)

Let $A = \text{affinoid } k\text{-alg.}$ $a \triangleleft A$.

$$\Rightarrow \text{Id}(V(a)) = \text{rad } a \quad \begin{matrix} \hookrightarrow \text{radical of } a \\ \text{(on affinoid case we have valuations)} \end{matrix}$$

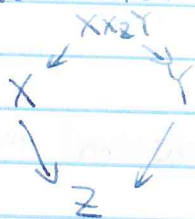
$\sigma: B \rightarrow A$ affinoid k -alg. homomorphism

$$\leadsto \text{dc}: \text{Sp } A \rightarrow \text{Sp } B$$

$$m \mapsto \sigma^{-1}(m)$$

morphism of affinoid k -space.

Prop: For two affinoid k -spaces X, Y over Z , the fibre product $X \times_Z Y$ exists as affinoid k -space.



§ Affinoid subdomain.

$X = \text{Sp } A$ - affinoid k -space.

\rightarrow Canonical Topology: top generated by $X(f, \epsilon) = \{x \in X \mid |f(x)| \leq \epsilon\}$. $\epsilon \in \mathbb{R}^{>0}$
 \hookrightarrow basis of open subsets.

Notation: $X(f) := X(f, 1)$

$X(f_1, \dots, f_r) := X(f_1) \cap \dots \cap X(f_r)$

Prop: The following sets are open wrt canonical topology:
 Fix a function f :

$$\{x \in \text{Sp } A \mid f(x) \neq 0\}$$

$$\{x \in \text{Sp } A \mid |f(x)| \leq \epsilon\}$$

$$\{x \in \text{Sp } A \mid |f(x)| = \epsilon\}$$

$$\{x \in \text{Sp } A \mid |f(x)| \geq \epsilon\}$$

used lemma from
(Bosch)

Defⁿ: $X = \text{Sp } A$

(1) $X(f_1, \dots, f_r) = \{x \in X \mid |f_i(x)| \leq 1\}$
 Weierstrass domain.

(2) $X(f_1, \dots, f_r, g_1^{-1}, \dots, g_s^{-1}) = \{x \in X \mid |f_i(x)| \leq 1, |g_j(x)| \geq 1\}$
 Laurent domain.

(3) $X(\frac{f_1}{f_0}, \dots, \frac{f_r}{f_0}) = \{x \in X \mid |f_i(x)| \leq |f_0(x)|\}$

s.t. $f_0, \dots, f_r \in A$ without common zero.
 Rational Domain.

- All the above 3 are open (by definition)

Defⁿ: Let $X = \text{Sp } A$, A subset $U \subset X$ is called an affinoid subdomain of X if there \exists a morphism of affinoid k -spaces $i: X' \rightarrow X$ s.t.

(1) $i(X') \subset U$

(2) Universal Property:

Any morphism of k -spaces $\varphi: Y \rightarrow X$ with $\varphi(Y) \subset U$ admits a unique factorization through $X' \xrightarrow{i} X$ via $\varphi': Y \rightarrow X'$.

\Rightarrow (1) $i: X' \rightarrow X$ is a injection and satisfies $i(X') = U$.

$\Rightarrow X' \xrightarrow{\sim} U$ bijective as sets.

(2) For all $x \in X'$, $n \in \mathbb{N}$.

$$A/m_{i(x)}^n \xrightarrow{\sim} A'/m_x^n \quad \left(\begin{array}{l} X' = \text{Sp } (A') \\ X = \text{Sp } (A) \end{array} \right)$$

(3). $x \in X'$, $m_x = m_{i(x)} \cdot A'$.

Prop. Weierstrass, Laurent & Rational Domain in X are examples of open affinoid subdomains.
 \hookrightarrow wrt canonical topology.

$$i: X' \rightarrow X \rightsquigarrow i^*: A \rightarrow A' \\ \text{" } \text{Sp } A' \quad \text{Sp } A$$

WD: $i^*: A \rightarrow A \langle f \rangle := A \langle x_1, \dots, x_r \rangle / (x_1 - f_1, \dots, x_r - f_r)$

LD: $i^*: A \rightarrow A \langle f, g \rangle := A \langle x_1, \dots, x_r, y_1, \dots, y_s \rangle / (x_1 - f_1, \dots, x_r - f_r, 1 - y_1 g_1, \dots, 1 - y_s g_s)$

RD: $i^*: A \rightarrow A \langle \frac{f}{g} \rangle$.

- $V \subset X$ affinoid subdomain

$U \subset V$ affinoid sub

$\Rightarrow U \subset X$ affinoid subdomain of X .

- $\varphi: Y \rightarrow X$ morphism of affinoid k -spaces.
 $X' \hookrightarrow X$ affinoid subdomain.

$\Rightarrow Y' = \varphi^{-1}(X')$ is an affinoid subdomain of X .

$$\begin{array}{ccc} Y' & \longrightarrow & X' \\ \downarrow & \supseteq & \downarrow \\ Y & \xrightarrow{\varphi} & X \end{array}$$

• $\varphi^{-1}(X(f)) = Y(\varphi^*(f))$ WD $\xrightarrow[\text{back}]{\text{pull}}$ WD

• $\varphi^{-1}(X(f, g^{-1})) = Y(\varphi^*(f), \varphi^*(g)^{-1})$

• $\varphi^{-1}(X(\frac{h}{h_0})) = Y(\frac{\varphi^*(h)}{\varphi^*(h_0)})$

- If U, V are two affinoid subdomain of X , then $U \cap V$ also affinoid subdomain of X .

Prop: Let $U \rightarrow X$ morphism of affinoid k -spaces. define U as affinoid subdomain of X . Then

• U is open in X

• The canonical topology of X restricted to U is the same as the canonical top. of U .

(\Rightarrow Affinoid subdomain forms a basis for the canonical top.)

Thm: (Gerriten - Grauert).

Let X = affinoid k -space $U \subset X$ affinoid subdomain
then U is a finite union of rational domain.