

# Cyber-Security for the Controller Area Network (CAN) Communication Protocol<sup>1</sup>

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## ABSTRACT

We propose a security mechanism to help prevent cyber-attacks (masquerade and replay) in vehicles with architecture based on Controller Area Network (CAN). We focus on CAN as it will likely continue being used in upcoming in-vehicle architectures. The CAN protocol contains no direct support for secure communications. Retrofitting the protocol with security mechanisms poses several challenges given the very limited data rates available (*e.g.*, 500kbps) since bus utilization may significantly increase. In this paper, we focus on a security mechanism which keeps the bus utilization as low as possible. Through our experimental results, we show that our security mechanism can achieve high security levels while keeping communication overheads (*e.g.*, bus load and message latency) at reasonable levels.

## I INTRODUCTION

Modern automotive electronics systems are distributed as they are implemented with software running over networked Electronic Control Units (ECU) communicating via serial buses and gateways. Most systems (but not all; indeed, the automotive industry has started to take actions to prevent tampering with calibration parameters in engine control applications) have not been designed with security in mind. In addition, in the majority of the cases, there was little or no interest for hackers to compromise them. The only exception known so far is the after-market community that tampers with engine calibrations to increase engine's performance.

Recently, Koscher *et al.* demonstrated in [1] that the potential exists for an automotive ECU to be infiltrated by an attacker, who can then potentially gain access, via a serial communication bus, to an array of other ECUs.

The state of the art processes, methods, and tools

used for designing current automotive electronics systems focus on safety, reliability, and cost optimization. Methods and tools for the verification of the reliability of automotive electronics systems against random failures are commercially available. However, no security aspect is included as part of the hardware and software architecture development process and no standard communication protocol has any built-in provisions to prevent or mitigate attacks.

Communication networks are vulnerable as they enable unauthorized access in a relatively straightforward manner as all the communications between the ECUs in the vehicle are performed with no authentication [2]. Authentication mechanisms ensure that sender and receiver identities are not compromised and thus, the sender and the receiver are who they are claiming to be. Unfortunately, current communication network protocols, including Controller Area Network (CAN), FlexRay, MOST, and LIN have no authentication (or at best have CRC mechanisms to guarantee data integrity) and send their messages *in the clear*. Hence, room for fraudulent communications between ECUs exists. For example, in the CAN protocol, masquerade attacks followed by replay attacks (an ECU pretending to be another ECU by sending/replaying a message the ECU is not entitled to send) are likely to happen as messages exchanged in a CAN network are broadcast from one ECU to the rest of the ECUs in the network. In fact, the receiver cannot verify the identity of the sender of the message as an attacker could have pretended to be someone else (and therefore sending a message with an ID the pretender was not configured to send in the first place). Again, this scenario is called a masquerade-based attack which then leads to a possible "replay" attack as the attacker, by pretending to be someone else, is replaying verbatim the same message it has received although not entitled to do so.

We are convinced that security can be taken into account in the early phases of the development cycle

<sup>1</sup>Preliminary version of this paper is presented at the ASE International Conference on Cyber Security, Washington, DC, December 2012.

of automotive electronics systems, both by enforcing software programming standards that prevent software defects that may enable cyber-attacks, as well as by implementing security mechanisms such as authentication that enable the validation of the identity of the sender to avoid potentially harmful messages to be replayed/transmitted across the communication network. However, even for known vulnerabilities, one has to perform a cost versus benefits analysis as the communication data rates available are very limited—it is necessary to evaluate whether a full authentication-based solution that addresses security concerns is compatible with performance and resource cost constraints that are typical of automotive embedded systems and specifically of the predominant communication protocols used in the vehicle (*e.g.*, CAN has very limited data rates between 33kbps and 500kbps). In fact, authentication mechanisms typically require large amounts of processing power, memory, and bandwidth, in addition to those already reserved for the messages that are exchanged across ECUs. As more bytes need to be transmitted, current bus technologies may not be sufficient given their already limited available bandwidth.

Authentication mechanisms have been proposed in the literature. The TESLA protocol [3–5] uses a time-delayed release of keys for authentication. A receiver can check the Message Authentication Code (MAC) after receiving the key used to compute the MAC. To guarantee security, the protocol needs to maintain global time and make sure that a receiver gets a message before the corresponding key is released. In [6–8], the authors emphasize the constraints in an embedded network and consider a time-triggered (*i.e.*, global time is available) broadcast protocol. Even with the features proposed for reducing the number of bits transmitted and for achieving fault tolerance, two major challenges exist in applying these approaches to the CAN protocol. First, the bandwidth available in the CAN protocol is very limited. Second, there is no notion of global time in the protocol. *The challenge for OEMs in the automotive industry is to design a security mechanism for CAN with high security, combined with minimal communication overhead, high fault tolerance, low cost, and no global synchronization clock.*

In this paper, we describe a security mechanism that addresses the requirements stated earlier. Specifically, our mechanism can be used to retro-fit the CAN protocol to protect it from cyber-attacks such as masquerade and replay attack with as low as possible overhead, and high degree of tolerance to faults.

We address the low cost requirement by providing a software-only solution with no additional hardware required. We focus on the CAN protocol because it is the most used serial data protocol in current in-vehicle networked architectures, and it will likely be used for a long time. We define the attack scenarios that our security mechanism addresses, namely masquerade and replay. We focus on a security mechanism based upon message authentication and symmetric secret keys. Our mechanism leverages and modifies the work described in [6–8] as we introduce the concept of counters to implement time-stamping of the message signatures (MACs) in order to overcome the lack of global time in the CAN protocol. We do not focus on the initial security critical key assignment and distribution as this aspect, although very important, is already being mentioned in [6]. Instead, we focus on run-time authentication both in the system steady state (after ignition key-on and the security secret keys have been distributed to the ECUs) and during running resets experienced by some of the ECUs in the system (when counters are potentially out of synchronization). Regarding resets, we distinguish between ECU running resets or any other ECU expected low-power modes that occur at rates that do not allow storing in non-volatile memory (flash) the most recent sending and receiving counters (needed for authentication) as this would lead to the flash being non-operational (*e.g.*, due to burning). We introduce two mechanisms that cope with these scenarios, which involve either an ECU that heals itself or a more drastic system-wide counter reset (or re-synchronization). We provide an analysis of the trade-offs and the benefits versus drawbacks of both approaches. We also consider potential network faults that could hinder the effectiveness of our security mechanism—we provide a security mechanism that is fault tolerant. Finally, as we are constrained by data rates and by costs, we have defined a software-only mechanism that does not require additional hardware. As security has a cost in terms of performance (because of the additional bits needed for signatures and counters) and in terms of potential hazards that may occur due to poor performance, we also work on exploring trade-offs between degree of security and other metrics such as resource utilization. Experimental results show that *our security mechanism can achieve high security level without introducing high communication overhead in terms of bus load and message latency.*

The paper is organized as follows: Section II defines the system and attacker model; Section III presents the existing mechanisms, their limitations, our pro-

posed security mechanism, and an evaluation of the impacts of the security mechanism on the system bus load and the message latency; Section IV shows the experimental results, and Section V concludes this paper.

## II SYSTEM AND ATTACKER MODEL

We adapt the terminology from [9] to the automotive use case, where a node is one of the computers (ECUs) connected to the other ECUs in the vehicle via a serial data communication bus to provide the following definitions of attack scenarios:

- *Modification*: an unauthorized node changes existing data (*e.g.*, a sender node modifies the data portion of a communication frame to be transmitted).
- *Fabrication*: an unauthorized node generates additional data (*e.g.*, a sender node creates a new frame with an ID that the node is not authorized to transmit).
- *Interception*: an unauthorized node reads data (*e.g.*, a receiver node accepts a message with an ID that is not supposed to accept and reads the data portion of the frame).
- *Interruption*: data becomes unavailable (*e.g.*, a sender node sends high priority frames over the communication bus at a very high rate making it impossible for other frames to be transmitted).

For the sake of our discussion, we generalize *modification* and *fabrication* as an unauthorized write of data by a node, an *interception* attack as an unauthorized read by a node, and an *interruption* attack as a Denial-of-Service (DoS) attack. We now define the following properties:

- *Data integrity*: data is not changed (written) or generated by an unauthorized node.
- *Confidentiality*: data is not read by an unauthorized node.
- *Authentication*: a receiver or a sender is who it claims to be.

- *Non-repudiation*: a sender ensures that a receiver has received the message, and a receiver is sure about the identity of a sender.

For automotive electronics systems and the CAN protocol, *data integrity* and *authentication* are very relevant properties which are suitable to our software-only security mechanism solution. To prevent an *interruption* attack, hardware protections are required as, because of the very same nature of the CAN protocol (broadcast and multi-master with arbitration), a malicious node can freely read and write data from/to the bus. *Interruption* attacks are outside of the scope of our work.

Before introducing our attacker model, we first state our assumptions, and provide definitions about our system model as follows:

**Assumption 1.** *The network architecture has only one CAN bus, and all ECUs are connected to the bus itself.*

**Definition 1.** *A **node** is an ECU.*

**Definition 2.** *The **sender** of a message is the node sending the message.*

**Assumption 2.** *A sender **sends** a message by broadcasting it on the CAN bus.*

**Definition 3.** *A **receiver** of a message is a node receiving the message and accepting it by comparing the message ID to the list of its acceptable message ID's<sup>2</sup>.*

Note that CAN is a broadcast protocol, so every node “receives” the message, but only receivers (as we have defined them) accept the message.

**Assumption 3.** *A node can use volatile (**RAM**) and/or non-volatile (**FLASH**) memory to store data. Data stored in RAM is no longer available after a node reset; data in FLASH is available after a node resets.*

To describe our attacker model, we use a networked architecture topology as in Figure 1. Although in CAN, any node can play the role of sender and receiver in different bus transactions, for illustration purposes, we assume  $N_1$  is a sender node and  $N_2$  is a receiver node. We also assume that  $N_1$  and  $N_2$  are legitimate nodes. In Figure 1, if malicious software takes control of  $N_3$ , it can access any data stored in RAM and FLASH, including data used to implement

<sup>2</sup>Our definition of a receiver is a specialization of the definition of a receiver in CAN where instead a receiver can also reject a message.

a security mechanism (*e.g.*, shared secret keys). It is also possible that an attacker uses a node ( $N_4$ ) that has been added to the network (*e.g.*, to perform diagnostics on the network this node could be laptop running diagnostic software and connected to the network using the CAN adapter interface); in this case, the malicious software also has access to the RAM and FLASH memory. However, no critical data (*e.g.*, shared secret keys) is stored in RAM and FLASH in the first place.

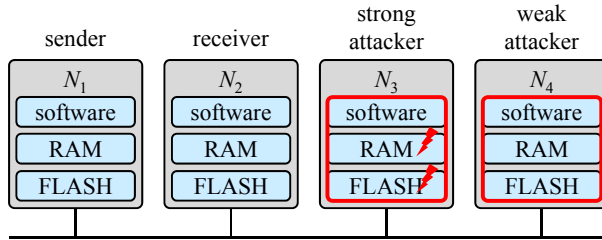


Figure 1. Attacker Model.

We are now ready to provide some definitions as follows:

**Definition 4.** A **strong attacker** is an existing node where malicious software is able to gain control with full access to any critical data.

**Definition 5.** A **weak attacker** is a node where malicious software is able to gain control but no critical data is available (mainly because it was never stored in memory).

**Definition 6.** A **legitimate** node is a node which is neither a strong attacker nor a weak attacker.

For example, in Figure 1,  $N_3$  and  $N_4$  are strong and weak attackers, respectively, and  $N_1$  and  $N_2$  are legitimate nodes. The possible attack scenarios that  $N_3$  and  $N_4$  can carry out and that we are addressing with our solution are:

Types	Strong Attacker $N_3$	Weak Attacker $N_4$
Modification or Fabrication	Scenario 1	Scenario 2
Replay	Scenario 3	Scenario 4

In the table, we describe the scenario in which a message is supposed to be send by a legitimate sender ( $N_1$ ). However,  $N_3$  and  $N_4$  try to alter this situation with either a strong or weak attack. Again, we are

not addressing attacks such as DoS as they would require additional hardware—our proposed solution is software-only. We now explain the scenarios as follows:

- Scenario 1: this is possible if important/secret data between  $N_1$  and  $N_2$  has been stored in RAM or FLASH of  $N_3$ . For example, if important/secret data is shared and used by every node in the network<sup>3</sup>, then  $N_3$  can use the data stored in RAM or FLASH and pretend to be  $N_1$  to send a new message to  $N_2$  (fabrication).
- Scenario 2: there is no threat because no important/secret data is stored in RAM or FLASH of  $N_4$ .
- Scenario 3: this is possible if  $N_3$  reads a message from the CAN bus and then writes the same message to the CAN bus without any modification. Note that, in this case,  $N_3$  does not need to get important/secret data between  $N_1$  and  $N_2$ , *e.g.*, a secret pair-wise key as in Figure 2, *e.g.*, because  $N_2$  will just accept the message thinking it was sent by  $N_1$ .
- Scenario 4: same as Scenario 3.

We now define a masquerade and replay attack and show how we can prevent it as follows [7]:

**Definition 7.** In a **masquerade** attack, an attacker (strong or weak) sends a message in which it claims to be a node other than itself.

Note that a masquerade attack can lead to a fabrication attack, a modification attack, or as a special case, a replay attack:

**Definition 8.** A **replay** attack is enabled by a masquerade attack, and the node in order to be successful, needs first to pretend to be another node. In the case of CAN, in a replay attack a node transmits a copy (replays) of a message it has received from the CAN bus. The message is not modified or altered. It is merely sent to other nodes by a node that is not entitled to send it. The other nodes have tables that match the message id to the sender and therefore, determine the identity of the sender but have no provision to authenticate it.

Since CAN is a broadcast protocol, both a strong and weak attacker can successfully carry out a masquerade/replay attack if no security mechanism is put in

<sup>3</sup>For example, if the nodes in the network share the same secret key. This is a different scenario from the scenario in Figure 2 where nodes share secret keys in a pair-wise fashion.

place, or even if pair-wise keys are used as the attacker would not need them to successfully carry on the attack. Before introducing some basic security mechanisms, we also provide a definition of a false acceptance and a false rejection as follows:

**Definition 9.** A *false acceptance* is the scenario that a node accepts messages which it should reject.

**Definition 10.** A *false rejection* is the scenario that a node rejects messages which it should accept.

By the definition, a successful attack implies a false acceptance.

### III SECURITY MECHANISMS

In this section, we will first introduce some basic authentication mechanisms and describe the existing work in this area in more detail. Then, we will show the challenges in implementing a security mechanism for CAN and how we can overcome these difficulties with our proposal. Finally, we will provide our counter-based implementation, reset mechanisms, and some detailed analysis of their performance vs. security levels achieved. We now provide a few additional definitions that we will use in the rest of the paper.

Notations	Explanations
$i$	the ID of a node
$j$	the ID of a node
$k$	the ID of a message
$N_i$	the node with ID $i$
$M_k$	the message with ID $k$
$n$	the number of nodes
$n_k$	the number of receivers of $M_k$
$r_{k,s}$	the ID of the $s$ -th receiver of $M_k$
$f$	the function to compute a MAC
$T$	the time
$K_{i,j}$	the shared secret key of $N_i$ and $N_j$
$A_{k,s}$	the MAC for the $s$ -th receiver of $M_k$
$A$	the MAC computed by a receiver
$C_{i,k}$	the counter stored in $N_i$ for $M_k$
$C^M$	the most significant bits (MSBs) of a counter
$C^L$	the least significant bits (LSBs) of a counter

#### 1 BASIC AUTHENTICATION

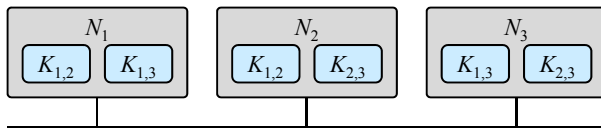


Figure 2. Pair-wise secret key distribution.

Basic authentication is based on sharing a secret key between a sender  $N_1$  and a receiver  $N_2$  and comput-

ing a Message Authentication Code (MAC) [6] which is essentially a signature of a message. A key  $K_{1,2}$  is the shared secret key stored in  $N_1$  and  $N_2$  and only known by  $N_1$  and  $N_2$ . For the sake of the discussion and without loss of generality, we assume a pair-wise secret key assignment (an example is shown in Figure 2).  $N_1$  and  $N_2$  perform the following steps to send and receive a message  $M_k$ :

Sender ( $N_1$ )	
1	$A_{k,1} = f(M_k, K_{1,2})$
2	Send $M_k$ and $A_{k,1}$

Receiver ( $N_2$ )	
1	Receive $M_k$ and $A_{k,1}$
2	$A = f(M_k, K_{1,2})$
3	Accept $M_k$ if and only if $A = A_{k,1}$

Note that the “1” of  $A_{k,1}$  means that  $N_2$  is the first and the only receiver of  $M_k$ . Even if  $N_3$  is a strong attacker, since the keys are assigned in a pair-wise fashion,  $N_3$  is not able to compute the MAC (as it is missing  $K_{1,2}$ ) that is needed to attack  $N_2$  with a message that is supposed to be sent by  $N_1$ . However, since in a broadcast protocol the message transmitted is read by any node in the network, and  $M_k$  and  $A_{k,1}$  are sent in the clear,  $N_3$  could read this data and resend it verbatim (essentially replay the same message).  $N_2$  is going to accept it as the MAC is a match. A possible solution to this problem is to use the concept of global time that allows time-stamping messages. If global time is adopted then  $N_2$  can prevent the attack from  $N_3$ . An authentication mechanism with global time-stamping as follows:

Sender ( $N_1$ )	
1	Get time $T$
2	$A_{k,1} = f(M_k, T, K_{1,2})$
3	Send $M_k$ and $A_{k,1}$

Receiver ( $N_2$ )	
1	Receive $M_k$ and $A_{k,1}$
2	Get sending time $T$
3	$A = f(M_k, T, K_{1,2})$
4	Accept $M_k$ if and only if $A = A_{k,1}$

As in the scenario explained earlier, if  $N_3$  wants to send  $M_k$  to  $N_2$ , as it cannot retrieve  $K_{1,2}$  because it does not have it, it cannot compute the correct MAC. In addition, in case of a replay attack, if  $N_3$  replays the message it will do so using a MAC computed using an earlier time stamp that what  $N_2$  would use to compute the MAC. Therefore, the MACs cannot

match, and  $N_2$  rejects the message. As we will show later in this paper, global time is not available in CAN and therefore we introduce monotonic counters to address replay attacks.

## 2 EXISTING WORK

The basic authentication mechanisms have been summarized in the above section, but there are still other alternatives and variations for authentication. A lot of existing work focus on digital signatures. However, digital signatures have very high communication overhead, making them inapplicable or at least very difficult to use for CAN.

In [6–8], the authors emphasize the constraints in an embedded network and consider a time-triggered (*i.e.*, global time is available) broadcast protocol. Since every node is a receiver<sup>4</sup>, a transmitted message includes MACs for all receivers. Therefore,  $N_1$  and  $N_2$  perform the following steps to send and receive a message  $M_k$ :

Sender ( $N_i$ )	
1	Get time $T$
2	$\forall j, 1 \leq j \leq n, A_{k,j} = f(M_k, T, K_{i,j})$
3	Send $M_k, A_{k,1}, A_{k,2}, \dots, A_{k,n}$

Receiver ( $N_j$ )	
1	Receive $M_k, A_{k,1}, A_{k,2}, \dots, A_{k,n}$
2	Get sending time $T$
3	Get $i$ where $N_i$ is the sender of $M_k$
4	$A = f(M_k, T, K_{i,j})$
5	Accept $M_k$ if and only if $A = A_{k,j}$

The authentication operation using the for-loop uses  $n$  since the authors are using a comprehensive definition of receiver. This means that there are as many receivers as nodes in the network. Each receiver authenticates the message by first identifying the correct MAC that the receiver needs to compare to, based upon the information that maps each received message to the unique sender of the message itself. Besides the authentication aspect, the authors have also introduced other interesting features to their authentication mechanism to cope with the potentially limited communication bus data rate and provide fault tolerance. First, only a subset of the MAC bits are sent and used for authentication purposes, *i.e.*,  $A$  and  $A_{k,j}$  in the above operations are replaced by  $[A]_l$  and  $[A_{k,j}]_l$  where  $[]_l$  is the truncation operation to  $l$  bits. The authors, in their analy-

sis, assume that an unsafe state is reached only when at least  $k$  out of  $n$  most recently received messages are successfully attacked. Lastly, in their extension work [8], the authentication is performed by different voting nodes.

## 3 CHALLENGES FOR CAN

Even with the features proposed for reducing the number of bits transmitted and achieving fault tolerance, two major challenges exist in applying the work just described to CAN. First, the bandwidth available in CAN is extremely limited. In fact, the maximum and nominal data rate of a CAN bus is only 500kbps, while each 11-bit ID standard frame has a maximum total of 134 bits which include a maximum of 64-bit payload, 46 bits of overhead (*e.g.*, including CRC bits), and 24 bits for bit-stuffing [10] in the worst case. If a security mechanism needs to add MACs to the original frame, as the original frame might have a 64-bit payload, the frame might have to be split in two or more frames. This may result in increasing bus utilization which may result in a degraded communication performance or even in an unschedulable system. Finally, as stated earlier, there is no global time in CAN (the global time is required in [3–8]).

## 4 OUR SECURITY MECHANISM

The key elements of our proposed security mechanism are stored in each node (in the volatile and non-volatile memory). The elements are: the ID table, the pair-wise symmetric secret keys, and message counters (receiving and sending). In the following, we use our definition of receivers (see Definition 3).

- ID table: unlike the approach described in [6–8], our mechanism does not use MACs for all nodes. On the contrary, a sender only computes as many MACs as the corresponding receivers<sup>5</sup> of the transmitted message. This is done by maintaining a ID table in each node where each entry is indexed by a message ID — each entry contains the node ID of the sender and the list of the node ID's of the receivers. We define the ID table with the following function:

$$(i, n_k, r_{k,1}, r_{k,2}, \dots, r_{k,n_k}) = \text{ID-Table}(k),$$

where  $k$  is the ID of  $M_k$ ,  $i$  is the ID of the sender of  $M_k$ ,  $n_k$  is the number of receivers of

<sup>4</sup>The authors use the more comprehensive version of a receiver where a receiver can accept or reject a message.

<sup>5</sup>In our “specialized” meaning.

$M_k$ , and  $r_{k,s}$  is the ID of the  $s$ -th receiver of  $M_k$ . A sender can check its ID table to determine how many MACs it must compute, what keys it should use, and what ordering of MACs it should attach with the message. A receiver can check the ID table to determine what key it should use and which MAC included in the received frame it should select. Again, the advantage of relying on ID tables is that our mechanism reduces the number of MACs because it consider only the receivers that are accepting the frame after CAN filtering, rather than considering the whole set of receivers that the frame is broadcast to. This can reduce the communication overhead considerably.

- Pair-wise secret key: a pair-wise key  $K_{i,j}$  is “shared secret” between  $N_i$  and  $N_j$  for authentication. Every pair of nodes has a shared secret key which is not known by any other node. Therefore, any other node cannot modify or fabricate a message, but a replay attack is possible as explained earlier. Note that using pair-wise keys is only a basic key distribution method. If we want to further reduce the communication overhead, we could assign nodes to several groups where each node in a group shares a secret key. Of course, there is a trade-off between security and performance (minimizing communication overhead) in that the security level is diminished but the communication performance is improved.
- Message-based counter: a counter is used to replace the global time and prevent a replay attack. Each node maintains a set of counters, and each counter corresponds to a message, *i.e.*,  $C_{i,k}$  is the counter stored in  $N_i$  for  $M_k$ . If the node is the sender of  $M_k$ , its counter value records the number of times that  $M_k$  is sent; if the node is the receiver of  $M_k$ , its counter value records the number of times  $M_k$  has been received (and accepted after being authenticated). Therefore, if a malicious node replays a message, a receiver can check the corresponding receiving counter to see if a message is fresh or not. Because of a network fault, a receiving counter may not have the same value as that of its sending counter. In other words, it is possible that a node sends a frame, updates its sending counter, then a network fault occurs, *e.g.*, the electrical bus has a transient fault, and thus the frame never reaches its destination. Therefore, the receiving node does not receive the frame and thus does not increase its receiving

counter. This means that two counters are out of synchronization. However, our mechanism can deal with this scenario without any loss of security. We will explain this aspect later in the paper. We now provide the following additional definitions:

**Definition 11.** A *sending counter* for a message is the counter stored in its sender.

**Definition 12.** A *receiving counter* for a message is the counter stored in one of its receiver.

In our security mechanism, every node maintains its ID table, pair-wise keys, and counters.  $N_i$  and  $N_j$  perform the following steps to send and receive a message  $M_k$ :

Sender ( $N_i$ )	
1	$(i, n_k, r_{k,1}, r_{k,2}, \dots, r_{k,n_k}) = \text{ID-Table}(k)$
2	$C_{i,k} = C_{i,k} + 1$
3	$\forall s, 1 \leq s \leq n_k, A_{k,s} = f(M_k, C_{i,k}, K_{i,r_{k,s}})$
4	Send $M_k, C_{i,k}, A_{k,1}, A_{k,2}, \dots, A_{k,n_k}$

Receiver ( $N_j$ )	
1	Receive $M_k, C_{i,k}, A_{k,1}, A_{k,2}, \dots, A_{k,n_k}$
2	$(i, n_k, r_{k,1}, r_{k,2}, \dots, r_{k,n_k}) = \text{ID-Table}(k)$
3	Continue if and only if find $s, 1 \leq s \leq n_k, j = r_{k,s}$
4	Continue if and only if $C_{i,k} > C_{j,k}$
5	$A = f(M_k, C_{i,k}, K_{i,j})$
6	Accept $M_k$ and $C_{j,k} = C_{i,k}$ if and only if $A = A_{k,s}$

Based on this mechanism, our security mechanism can protect any masquerade attack and replay attack. We prove our claim using the following three scenarios:

- If an attacker sends a message which is not supposed to be received by the receiver, then the receiver will reject the message in Line 6 by checking its ID table.
- If an attacker sends a message which is not supposed to be sent by the attacker, and it is a replay attack, then the receiver will reject the message in Line 2 by checking the counters.
- If an attacker sends a message which is not supposed to be sent by the attacker, and it is not a replay attack, then the receiver will reject the message in Line 12 by comparing the MACs.

## 5 COUNTER IMPLEMENTATION

These operations can meet the requirements stated by our problem formulation. However, the number

of bits used for the counter must be explored. If the number of bits is not sufficient during the lifetime of a vehicle, then the counter may overflow. For example, if the counter stored at the receiving side overflows and resets to zero, then the replay attack may succeed as the attacker just needs to wait for this event to happen, and therefore resend a counter which is larger than the reset counter stored in the receiver; if the number of bits used for the counter is too large, then the bus will be overloaded. Therefore, we propose a solution where the counter  $C$  is divided into two parts: the most significant bits (MSBs)  $C^M$  and the least significant bits (LSBs)  $C^L$ —only  $C^L$  is transmitted with the message. The steps performed by  $N_i$  and  $N_j$  are similar, but only  $C_{i,k}^L$  is transmitted:

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Authenticated-Sending( $M_k$ )
1   $(i, n_k, r_{k,1}, r_{k,2}, \dots, r_{k,n_k}) = \text{ID-Table}(k)$ ;
2   $C_{i,k} = C_{i,k} + 1$ ;
3  for  $s = 1$  to  $n_k^r$ 
4     $A_{k,s} = f(M_k, C_{i,k}, K_{i,r_{k,s}})$ ;
5  Send  $M_k, C_{i,k}^L, A_{k,1}, A_{k,2}, \dots, A_{k,n_k}$ ;

```

```

Authenticated-Receiving( $M_k, C_{i,k}^L, A_{k,1}, \dots, A_{k,n_k}$ )
1   $(i, n_k, r_{k,1}, r_{k,2}, \dots, r_{k,n_k}) = \text{ID-Table}(k)$ ;
2  Find  $s$  such that  $1 \leq s \leq n_k$  and  $j = r_{k,s}$ ;
3  if  $s$  is not found
4    return Reject;
5  if  $C_{i,k}^L > C_{j,k}^L$ 
6     $A = f(M_k, C_{j,k}^M | C_{i,k}^L, K_{i,j})$ ;
7    if  $A = A_{k,s}$ 
8       $C_{j,k}^L = C_{i,k}^L$ ;
9      return Accept;
10   else
11     return Reject;
12 else
13    $A = f(M_k, (C_{j,k}^M + 1) | C_{i,k}^L, K_{i,j})$ ;
14   if  $A = A_{k,s}$ 
15      $C_{j,k}^M = C_{j,k}^M + 1$ ;
16      $C_{j,k}^L = C_{i,k}^L$ ;
17     return Accept;
18   else
19     return Reject;

```

For more details, the reader should see Figure 3. If  $C_{i,k}^L > C_{j,k}^L$ , then this is the same scenario as that of the original mechanism; if  $C_{i,k}^L \leq C_{j,k}^L$ , then the receiver will use  $C_{j,k}^M + 1$  to compute the MAC. If there is a replay attack, then the receiver will test  $C_{j,k}^L = C_{i,k}^L$  to be true and use  $C_{j,k}^M + 1$  to compute the MAC which will be different from the one transmitted in the replayed message. The receiver will fail the test comparing the stored computed MAC and the received MAC and will reject the message.

The advantage of using this mechanism is that we can reduce the communication overhead without any loss of security. Of course, if the receiver consecutively misses several messages due to a network fault, it may reject a message although there is no attack in place, as its receiving counter may not be up-to-date (out of synchronization). However, the probability that a counter is out of synchronization is very low. If a counter is divided into  $C^M$  and  $C^L$  and the probability of a network fault is  $q$ , the probability that a counter is out of synchronization is  $q^{2^{|C^L|}}$ . For example, if  $|C^L| = 3$  and  $q = 0.1$ , the probability that a counter is out of synchronization is only  $0.1^8$ . Even if this scenario occurs and the computed MAC would not match although it would pass the counter test, the receiver will continue rejecting messages (false rejection). Although this scenario is not optimal, a counter out of synchronization is a better option than a successful attack. In addition, we address this potential issue by providing counter reset mechanisms. This is the focus of the next section in the paper.

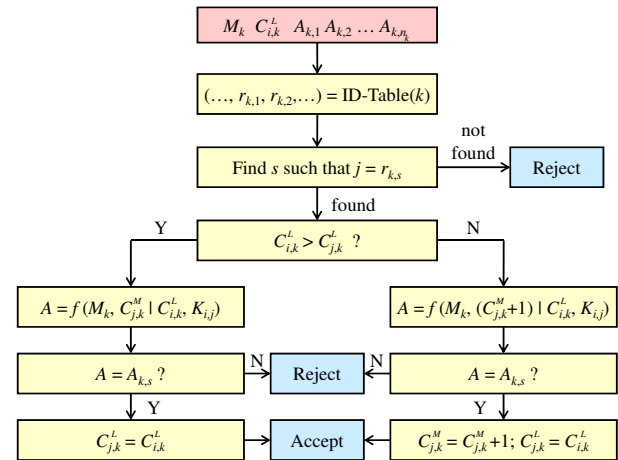


Figure 3. The steps performed by a receiver  $N_j$  of a message  $M_k$  sent by a sender  $N_i$ .

## 6 COUNTER RESET MECHANISMS

A counter reset mechanism is required to deal with an ECU hardware reset or with counters that are out of synchronization because of a network fault. There are two types of hardware resets. First, either an ECU may reset as expected, *e.g.*, as it goes into a low power mode as a result of a specific driving mode in which some ECUs are shut off to reduce the energy usage, or the ECU experiences an unexpected hardware reset due to a power failure. Regardless of the reason why an ECU resets, the rate at which the resets occur



or the minimum time interval between them might be too short to allowing storing critical data into FLASH which could be restored at a later time, as storing data in the FLASH too frequently (at a rate that is higher than of the expected maximum rate of resets) may lead to burning the FLASH itself. Therefore, we have devised mechanisms that deal with scenarios where critical data such as updated counter values cannot be stored in FLASH at a rate that makes them sufficiently up-to-date (or close to) to avoid excessive false rejections on the receiver side when they are later restored into RAM. When data can be copied to FLASH the mechanism is simple. Before an expected shutdown, or change of power state, the ECU copies and stores the relevant data in FLASH from RAM. At wake-up, the ECU restores the data from FLASH into RAM. However, unexpected shutdowns can occur when a hardware failure occurs, or there is a lack of power, etc. In this case, it is not safe to assume that critical data was stored in FLASH and that can be restored. Therefore, provisions have to be put in place to bring back the ECU, and therefore the system, to a secure state (*e.g.*, with counter values that prevent attacks). Our mechanisms that deal with unexpected hardware resets include “node self-healing” and “network-wide” counter resets. The mechanisms provide trade-offs between security levels and communication overhead. In the following, we describe the self-healing mechanism operations performed by a node that has experienced a hardware reset.

1. The node sets a FLAG variable to zero.
2. The node stores its counters into FLASH every  $P$  seconds. The time interval  $P$  is a function of the FLASH technology.
3. If a node is experiencing an expected hardware reset, then the node tries to store the latest counters value from RAM to FLASH before shutting down. If the operation is successful (it may not be if the FLASH controller refuses to allow it because of potential burning), then FLAG should be set to 1. If not, the remaining steps are the same of those taken in case of an unexpected hardware reset due to a power failure.
4. If a node reset unexpectedly, nothing can be guaranteed including storing data to FLASH, therefore the FLAG stays at zero.
5. When a node wakes up, if FLAG = 1, it restores all counters from FLASH and set FLAG = 0; if FLAG = 0, it restores all counters from FLASH

(last counters saved) and increase them by  $Q$ , and stores them into FLASH.

$P$  is a parameter that depends upon the FLASH technology. There is a trade-off between data freshness and expected life of the FLASH memory.  $Q$  is the upper bound of the number of messages that could be sent within the time interval  $P$  to prevent a replay attack—different counters can be associated with different values of  $Q$  for different messages.

Since the value of  $Q$  is an estimate provided by the designer of the number of messages instances received during  $P$ , it is possible that this value is not the real upper bound or worst case number of message instances sent during  $P$ . Hence, a larger  $Q$  value than the real one may lead to false rejections, meaning to a situation where a receiving node has a receiving counter that is higher than the counter being received although it should not be. In this case, the receiving node may reject message instances even if it should not until the sender counter reaches the receiver stored counter value. Conversely, if  $Q$  is smaller than what it should be, then the receiver will accept message instances it should not (false acceptances). In both cases, the designer is expected to tune the value of  $Q$  off-line. The advantage of this mechanism is that at wake-up following a node reset, a node resets its counters by itself without the need of additional messages to reset the counters of other nodes. Therefore, the communication overhead is minimized as no network-wide counter synchronization is necessary. However, as the parameter  $Q$  is an estimate, potential false rejections or, even worse, false acceptances may occur.

Besides the self-healing reset mechanism, we also propose a network-wide reset mechanism. The key concepts are:

- A RESET message to set all counters of all nodes to 0.
- A REQUEST message to achieve fault tolerance.
- New session keys to prevent replay attacks.

In this mechanism, because every counter is reset to 0, new session keys are required; otherwise, an attacker could successfully replay-attack. Therefore, a random generated number needs to be included in a RESET message, as it is used to generate the new

session key for each node. We can further divide this into two possible approaches. The first one is a “dynamic” network reset where any node experiencing a reset can generate a random number and send a RESET message to all other nodes. The second approach is a “static” network reset where only one special master node can generate a random number and send a RESET message to all other nodes.

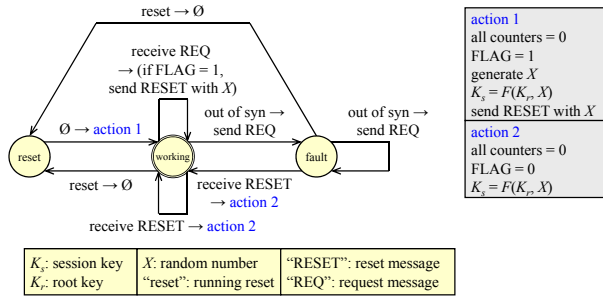


Figure 4. The finite state machine of a node in the dynamic network reset.

The finite state machine of a node in the dynamic network reset is shown in Figure 4. This approach has the following features:

- Every node needs to maintain a variable FLAG to indicate if it is the last node generating the random number  $X$  and sending the RESET message.
- If a node experiences a reset (goes to the reset state), then it will set all counters to 0, set FLAG to 1, generate a random number  $X$  and its new session keys, and send a RESET message with  $X$ .
- If a node receives a RESET message, then it will set all counters to 0, set FLAG to 1, and generate its session keys.
- If a node finds itself out of synchronization (missing a RESET message due to network fault), then it will send a REQ message to ask for going back to synchronization.
- If a node receives a REQ message, then it will check if FLAG is 1. If yes, it is the last node generating  $X$  and sending the RESET message, so it will resend a RESET message.

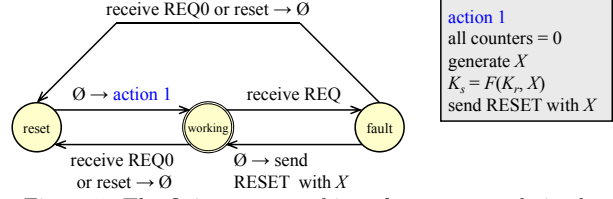


Figure 5. The finite state machine of a master node in the static network reset.

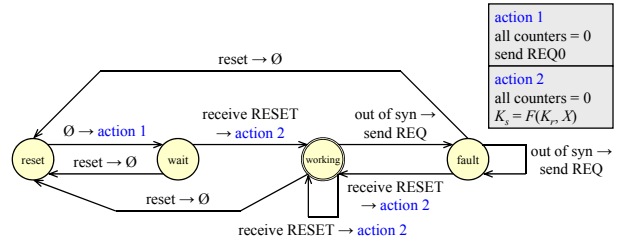


Figure 6. The finite state machine of a non-master node in the static network reset.

The finite state machine of a master node in the static network reset is shown in Figure 5; the finite state machine of a non-master node in the static network reset is shown in Figure 6. The differences between static and dynamic resets are as follows:

- A node does not need to maintain a variable FLAG because only the master node can generate a random number and send a RESET message.
- A REQ0 message is used by a non-master node to ask the master node to reset the network.
- If a non-master node experiences a reset, then it will send a REQ0 message and wait for a RESET message.
- If a master node receives a REQ0 message, it will set all counters to 0, generate a random number  $X$  and its session keys, and send a RESET message with  $X$ .

Although the network-wide reset mechanism can guarantee that there is no false rejection or successful replay attack, it may determine high transient bus peak loads due to the increasing traffic created by the messages used to reset the counters in every node.

To this point, we have proposed a self-healing and a network-wide (static or dynamic master) reset mechanism. Both mechanisms provide advantages and disadvantages in terms of security level and bus utilization. In a real case, maybe a mix of them could be

applied, depending on the requirements on the communication resource, its available capacity in terms of its data rates, and the secure criticality level of each message.

## 7 ANALYSIS

We show how the security mechanism has an impact on the system bus load and message latencies by formulating the problem as a feasibility analysis problem. The system model includes the following parameters:

- $n$ : the number of messages.
- $q$ : the probability that a message is missing due to a network fault.
- $R$ : the bus data rate.

The following message  $M_k$  parameters are defined:

- $n_k$ : the number of the message receivers.
- $R_k$ : the message rate (frequency, as the inverse of its period).
- $S_k$ : the message original size.
- $L_k$ : the upper-bound of the total length of MACs and LSB of the counter.
- $C_k$ : the lower-bound of the length of LSB of the counter.
- $P_k$ : the upper-bound of the probability of a successful attack.
- $Q_k$ : the upper-bound of the probability that a counter is out of synchronization.

If  $M_k$  is not a security-critical message, then  $C_k = 0$  and  $P_k = Q_k = 1$ .

We define the following decision variables:

- $x_k$ : the length of the MAC for  $M_k$ .
- $y_k$ : the length of the LSB of the counter for  $M_k$ .

We define several constraints for  $M_k$  as follows:

- The total length of MACs and LSB of the counter should be smaller than or equal to  $L_k$ .

- The length of LSB of the counter should be larger than or equal to  $C_k$ .
- The probability of a successful attack should be smaller than or equal to  $P_k$ .
- The probability that a node is out of synchronization should be smaller than or equal to  $Q_k$ .

The constraints in mathematical forms are defined as follows:

$$\begin{aligned} x_k + y_k &\leq L_k, \\ y_k &\geq C_k, \\ 2^{-x_k} &\leq P_k, \\ q^{2^{y_k}} &\leq Q_k. \end{aligned}$$

The last two constraints also define the probability of a false acceptance (a node accepts messages which it should reject) and a false rejection (a node rejects messages which it should accept). We can easily derive the minimal values of  $x_k$  and  $y_k$  and then compute the message latency using the equation [11]:

$$l_k = B + \sum_{i \in \text{hp}(k)} \left( \lceil l_k R_i \rceil \frac{S_i + n_i x_i + y_i}{R} \right),$$

where  $l_k$  is the latency of  $M_k$ ,  $B = \max_i \frac{S_i + n_i x_i + y_i}{R}$ , and  $\text{hp}(k)$  is the index set of messages with higher priorities than  $M_k$ . By using a traditional fix-point calculation, the latency is computed through an iterative method until convergence (if a solution exists).

## IV EXPERIMENTAL RESULTS

In this section, we show how our security mechanism impacts on the system bus load and message latency. Since there is no global time in CAN, the approaches in [3–8] are not applicable to CAN networks. We used a test case with 17 security-critical messages among 138 messages, and  $q = 0.1$ ,  $R = 500$  (kbps),  $L_k = 32$  (bits),  $C_k = 1$  (bit) for all security-critical messages. Table 1 and Table 2 show the relative bus load and average latency with different values of  $P$  and  $Q$ , where  $P_k = P$  and  $Q_k = Q$  for all  $k$ , under the assumptions that the  $n_k$  is 1 or 3. The number of receivers was not known at the time of our experiments, so we have used a simple assumption. If this information is provided, more general experiments can be done by assigning different values for  $P_k$  and  $Q_k$  for different  $k$ . Again, the main purpose of this paper is to provide a security mechanism and show how the security mechanism impacts on the system bus load and message latency. If there exist tight constraints

$P$	$Q$									
	$10^{-1}$		$10^{-4}$		$10^{-7}$		$10^{-10}$		$10^{-13}$	
	Load	Avg L.	Load	Avg L.	Load	Avg L.	Load	Avg L.	Load	Avg L.
$10^{-1}$	1.0094	1.0241	1.0113	1.0267	1.0131	1.0288	1.0150	1.0322	1.0150	1.0488
$10^{-2}$	1.0150	1.0322	1.0169	1.0394	1.0188	1.0425	1.0206	1.0445	1.0206	1.0612
$10^{-3}$	1.0206	1.0445	1.0225	1.0481	1.0244	1.0506	1.0263	1.0571	1.0263	1.0741
$10^{-4}$	1.0282	1.0591	1.0300	1.0625	1.0319	1.0646	1.0338	1.0668	1.0338	1.0839
$10^{-5}$	1.0338	1.0668	1.0357	1.0733	1.0375	1.0767	1.0394	1.0789	1.0394	1.0962
$10^{-6}$	1.0394	1.0789	1.0413	1.0832	1.0432	1.0883	1.0451	1.0968	1.0451	1.1144
$10^{-7}$	1.0469	1.0987	1.0488	1.1007	1.0507	1.1040	1.0526	1.1061	1.0526	1.1238
$10^{-8}$	1.0526	1.1061	1.0544	1.1129	1.0563	1.1181	1.0582	1.1213	1.0582	1.1393
$10^{-9}$	1.0582	1.1213	1.0601	1.1232	—	—	—	—	—	—
$10^{-10}$	—	—	—	—	—	—	—	—	—	—

Table 1: The relative bus load and average message latency under  $n_k = 1$  and different values of  $P$  and  $Q$  where “—” means that there is no feasible solution. Without the security mechanism, the original bus load 376.44kbps and average message latency 11.535ms are both scaled to 1.

$P$	$Q$									
	$10^{-1}$		$10^{-4}$		$10^{-7}$		$10^{-10}$		$10^{-13}$	
	Load	Avg L.	Load	Avg L.	Load	Avg L.	Load	Avg L.	Load	Avg L.
$10^{-1}$	1.0244	1.0506	1.0263	1.0571	1.0282	1.0591	1.0300	1.0625	1.0300	1.0795
$10^{-2}$	1.0413	1.0832	1.0432	1.0883	1.0451	1.0968	1.0469	1.0987	1.0469	1.1164
$10^{-3}$	1.0582	1.1213	1.0601	1.1232	—	—	—	—	—	—
$10^{-4}$	—	—	—	—	—	—	—	—	—	—

Table 2: The relative bus load and average message latency under  $n_k = 3$  and different values of  $P$  and  $Q$  where “—” means that there is no feasible solution. Without the security mechanism, the original bus load 376.44kbps and average message latency 11.535ms are both scaled to 1.

on the bus load, the average message latency, or the message latency (deadline) for each message, then we can check if the security mechanism can be applied or not. As shown in Table 1, when  $n_k = 1$ , if we want to make sure that the probability of a successful attack and the probability that a node is out of synchronization are both bound by  $10^{-4}$ , then there is a 3% increase on the bus load and a 6.25% increase on the average message latency. Note that, in some cases where the values of  $P$  and  $Q$  are both large, there is no feasible solution. For our experiments, we show that we can achieve a very high security level (*e.g.*,  $P(\text{successful attack}) \leq 10^{-8}$ ), with a bus load or average message latency increasing less than 6% and 14%, respectively. However, as shown in Table 2, when  $n_k = 3$ , we can see that the feasible region is reduced. This is because it needs 3 MACs, but there are only at most  $L_k - C_k$  bits available.

## V CONCLUSIONS

We described a security mechanism that can be used to retro-fit the CAN protocol to protect it from cyber-attacks such as masquerade and replay attacks. The mechanism is suitable for this protocol because it has a low communication overhead and does not need to maintain global time. Besides, the solution is software-only, hence, it is not overly expensive to implement. Experimental results showed that our security mechanism can achieve high security level without introducing high communication overhead in terms of bus load and message latency.

## ACKNOWLEDGEMENTS

We would like to thank Paolo Giusto, Joseph D’Ambrosio, Dave Nairn, and Tom Forest from General Motors for the valuable discussions and feedback.

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