

0 Prologue

For any algorithm:

1. Is it correct?
2. How much time does it take, as function of n ? Big-O Notation
3. Can we do better?

2 Divide-and-Conquer Algorithms

2.1 Divide-and-Conquer Method:

1. Breaking into subproblems that are smaller instances of same type of problem
2. Recursively solve subproblems
3. Appropriately combining solutions

Note: We want to reduce # of subproblems to make efficient

Ex) Can split a digit into

$$x = \boxed{x_L} \boxed{x_R} = 2^{\frac{n}{2}} x_L + x_R$$

(Karatsuba's Algorithm)

and take advantage of properties in a divide and conquer algorithm for mult

2.2 Master Theorem: problem size n , solve a subproblems of size $\frac{n}{b}$ and combine takes $\dots O(n^d)$

$\text{If } T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$ $T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$	constants $a > 0, b > 1, d \geq 0$
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2.3

Ex) Mergesort - $O(n \log n)$ sort

- Split list into two halves, sort the half, merge the two sorted sublists

func merge ($x[1 \dots k], y[1 \dots l]$):

```

if  $k=0$  return  $y[1 \dots l]$ 
if  $l=0$  return  $x[1 \dots k]$ 
if  $x[i] \leq y[i]$ 
    return  $x[i] + \text{merge}(x[2 \dots k], y[1 \dots l])$ 
else
    return  $y[i] + \text{merge}(x[1 \dots k], y[2 \dots l])$ 

```

Iterative

```

Q = []
for i=1 to n:
    inject(Q, [a.])
while |Q| > 1:
    inject(Q, merge(extract(Q), extract(Q)))
return extract(Q)

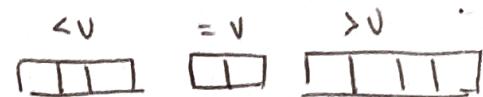
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2.4

Find median by divide-and-conquer

1. Select a number v from list S randomly2. Split list into $< v, = v, > v$ 3. Search can be narrowed down to one of the lists by k th element

$$\text{randomizing } v \quad T(n) \leq T\left(\frac{3n}{4}\right) + O(n) \Rightarrow O(n)$$

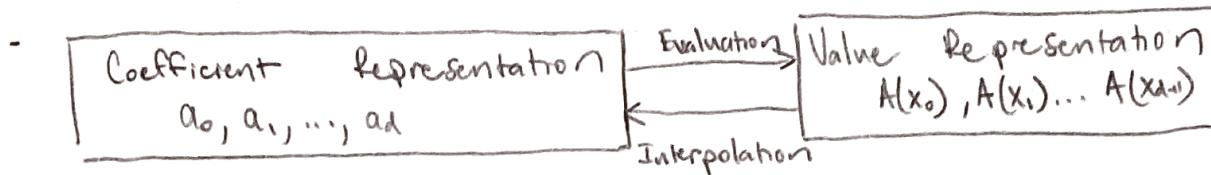
find k th element in ↗

2.5

Can break down matrix into blocks to simplify matrix multiplication, then use optimization from Volker Strassen

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

2.6 Fast Fourier Transform

Multiplying two polynomials quicker in $O(n \log n)$ timeDegree d polynomial can be determined by $d+1$ distinct points

Polynomial Multiplication

- 1) Selection : Pick points x_0, \dots, x_{n-1} $n \geq 2d+1$
- 2) Evaluation : Compute $A(x_0), \dots, A(x_{n-1})$ $B(x_0), \dots, B(x_{n-1})$
- 3) Multiplication : $C(x_k) = A(x_k)B(x_k)$ for all $k=0, \dots, n-1$
- 4) Recover : Recover $C(x) = C_0 + C_1x + \dots + C_{2d}x^{2d}$

* FFT converts coefficient rep polynomial to value representation

* FFT⁻¹ interpolates equation from value representation to coefficient repFast Fourier Transform1) Given $A(x)$, split into even and odd terms $A_e(x^2), A_o(x^2)$

Then $A(x) = A_e(x^2) + x, A_o(x^2)$

$A(-x) = A_e(x^2) - x, A_o(x^2)$

2) Choose complex n th roots of unity

$w^n = 1$

$n=2: -1, 1$

$n=4: 1, i, -1, -i$

$n=2: \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix}$

3) Solve $2k$ subproblems

$$\begin{array}{c|ccccc} & 0 & 2 & 4 & \dots & 1 & 3 & 5 & \dots \\ \hline i \downarrow & \begin{bmatrix} FT_{\frac{n}{2}} & W^i FT_{\frac{n}{2}} \end{bmatrix} & & & & & & & \\ j \downarrow & \begin{bmatrix} 0 & 0 \\ 1 & 1 \end{bmatrix} & \begin{bmatrix} FT_{\frac{n}{2}} & -W^j FT_{\frac{n}{2}} \end{bmatrix} & & & & & & \end{array}$$

$$\Rightarrow \begin{bmatrix} \boxed{W_{\frac{n}{2}}} \begin{bmatrix} a_0 \\ a_2 \\ a_4 \\ \vdots \\ a_{n-2} \end{bmatrix} + W^j \boxed{W_{\frac{n}{2}}} \begin{bmatrix} a_1 \\ a_3 \\ \vdots \\ a_{n-1} \end{bmatrix} \\ \boxed{W_{\frac{n}{2}}} \begin{bmatrix} a_0 \\ a_2 \\ a_4 \\ \vdots \\ a_{n-2} \end{bmatrix} - W^j \boxed{W_{\frac{n}{2}}} \begin{bmatrix} a_1 \\ a_3 \\ \vdots \\ a_{n-1} \end{bmatrix} \end{bmatrix} = \begin{bmatrix} x + w^j y \\ x - w^j y \\ \vdots \\ x + w^j y \\ x - w^j y \end{bmatrix}$$

$w_1 = 1$
 $w_2 = i$
 $w_3 = -1$
 $w_4 = -i$

fill in in order of n

4) Do multiplication in value representation

5) Interpolate back using $M_n(w)^{-1} = \frac{1}{n} M_n(w^{-1})$

3 Decompositions of graphs

3.1

Graphs used for variety of problems, can be represented w/
adjacency matrix or adjacency list

(1) Adjacency matrix: space

3.2

Depth First Search is linear time algorithm that finds parts of graph
that are reachable from vertex

procedure explore(G, v):

Input: $G = (V, E)$ graph, $v \in V$

Output: visited(v) is true for nodes ^{reachable} in table

visited(v) = true

previsit(v) =

for each edge $(v, u) \in E$:

if not visited(u): explore(G, u)

postvisit(v)

procedure dfs(G)

Set all $v \in V$ visited(v) = false

for all $v \in V$:

if not visited(v): explore(G, v)

Runtime: $O(|V| + |E|)$

We can set pre/post numbers in graph by

procedure previsit(v)

pre[v] = clock

clock += 1

procedure post visit(v)

post[v] = clock

clock += 1

3.3

Edge Types:

Tree edge: part of DFS tree

A

B

C

D

Forward edge: from node to non child descendant in tree

Back edge: lead to an ancestor in tree

Cross edges: neither descendant or ancestor

pre/post ordering (u, v)

[] []] u

Tree / forward

[] []] u

Back

[] []] v

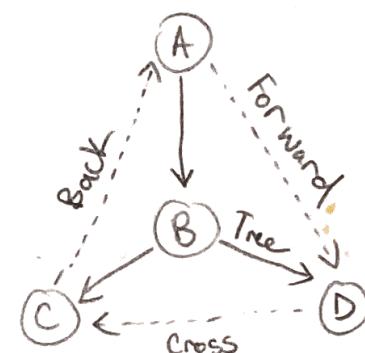
Cross

Properties

- Directed graph has cycle iff DFS reveals a backedge

- DAG can be linearized by performing in decreasing order of post numbers

- DAG has at least one source and one sink



highest post number is source, lowest is sink

(3.4)

In directed graphs we have strongly connected components where it is only connected if there is a path from $u \rightarrow v$ & $v \rightarrow u$

- We can turn strongly connected components into a meta node and change any graph into a DAG

Properties

- If explore started at node v , terminates w/ all nodes reachable from v have been visited
- Node with highest post number must be in strongly connected component
- If C and C' are SCCs and edge from C to C' , highest post in C > highest post in C'

To find SCC:

1. Run DFS on reversed G^R to find sink component
2. Run undirected connected component algo (in previsit set a $cc[v] = \text{count}$) on G and in DFS process nodes in decreasing order of post numbers in step 1

Runtime: $O(|V| + |E|)$

4 Paths in Graphs

(4.1)

Difference between two nodes is the length of shortest path between them

(4.2)

Breadth First Search by using queue instead of a stack

Search by level away

.. procedure BFS (G, s):

for all $u \in V$:

$$\text{dist}(u) = \infty$$

$$\text{dist}(s) = 0$$

$$Q = [s]$$

while Q not empty:

$u = \text{extract}(Q)$

for all edges $(u, v) \in E$:

if $\text{dist}(v) = \infty$:

$\text{insert}(Q, v)$

$$\text{dist}(v) = \text{dist}(u) + 1$$

Runtime: $O(|V| + |E|)$

4.4

Dijkstra's Algorithm works on graphs with non-negative weighted edges by using priority queue and exploring by next shortest path

procedure dijkstra(G, d, s):

Input: Graph $G = (V, E)$ directed or undirected, positive edge lengths $\{l_e : e \in E\}$, $s \in V$

Output: For all vertices u reachable from s , $\text{dist}(u)$ set to distance s to u

for all $u \in V$:

$$\text{dist}(u) = \infty$$

$$\text{prev}(u) = \text{nil}$$

$$\text{dist}(s) = 0$$

$H = \text{makeQueue}(V)$

dist-value as keys

while H is not empty:

$u = \text{deleteMin}(H)$

for all edges $(u, v) \in E$:

if $\text{dist}(v) > \text{dist}(u) + l(u, v)$:

$$\text{dist}(v) = \text{dist}(u) + l(u, v)$$

$$\text{prev} = u$$

decrease key (H, v)

Runtime: Binary Heap: $O((|V| + |E|) \log |V|)$

4.6

Bellman-Ford algorithm allows us to find shortest path on graph with negative weights by updating all edges $|V|-1$ times

procedure shortest-paths (G, l, s)

Input: graph G , edge length $\{l_e : e \in E\}$, no negative cycles, vertex $s \in V$

for all $u \in V$

$dist(u) = \infty$, $prev(u) = \text{nil}$

$dist(s) = 0$

repeat $|V|-1$ times

for all $e \in E$:

update(e)

procedure update ($(u, v) \in E$)

$dist(v) = \min \{ dist(v), dist(u) + l(u, v) \}$

1.) Update gives correct distance if u is 2nd to last node in shortest path

2.) Never makes $dist(v)$ too small, safer

Runtime: $O(|V| \cdot |E|)$

If there is a negative cycle in the graph, there is no shortest path

To check, perform one last check to see if anything changes in all edges

We can also find shortest paths in dags in linear time by doing a topological sort

procedure dag-shortest-paths (G, l, s)

Input: Dag $G = (V, E)$

edge lengths $\{l_e : e \in E\}$; vertex $s \in V$

Output: $dist(u)$ is distance from s to u

for all $u \in V$:

$dist(u) = \infty$

$prev(u) = \text{nil}$

$dist(s) = 0$

Linearize G

for each $u \in V$, in linearized order

for all edges $(u, v) \in E$:

update(u, v)

⑤ Greedy Algorithms

Greedy Algorithms choose next step that offers most obvious and immediate benefit, leading to locally optimal choices,

- Works for problems where making locally optimal choice leads to global optimum

Minimum Spanning Tree (MST)

Def: tree w/ min total weight on graph

Input: undirected Graph $G = (V, E)$; edge weights w_e

Output: A tree $T = (V, E')$ with $E' \subseteq E$ minimizing $\text{weight}(T) = \sum_{e \in E'} w_e$

Cut Property: On MST X with subset nodes S , for $V-S$ any lightest edge between S and $V-S$, e , is part of some MST. "Always safe to add lightest edge across any cut"

Kruskal's Algorithm:

repeatedly add the next lightest edge that doesn't produce a cycle

procedure Kruskal(G, w)

Input: connected, undirected graph $G = (V, E)$ edge weights w_e

Output: A minimum spanning tree defined by edges X

for all $u \in V$:

makeSet(u)

$X = \{\}$

Sort edges E by weight

for all edges $\{u, v\} \in E$, in increasing order of weight:

if $\text{find}(u) \neq \text{find}(v)$:

add edge $\{u, v\}$ to X

union (u, v)

Runtime: $O(|E| \log |V|)$

Prim's Algorithm:

Intermediate set forms subtree and grows by one each iteration

procedure prim(G, w):

for all $u \in V$:

$$\text{cost}(u) = \infty$$

$$\text{prev}(u) = \text{nil}$$

Pick any initial node u_0

$$\text{cost}(u_0) = 0$$

$H = \text{makequeue}(v)$

while H is not empty:

$v = \text{deletemin}(H)$

for each $\{v, z\} \in E$

if $\text{cost}(z) > w(v, z)$:

$$\text{cost}(z) = w(v, z)$$

$$\text{prev}(z) = v$$

decrease key (H, z)

Runtime: $O(|E| \log |V|)$

5.2

Huffman Encoding

Want to encode data efficiently

- Use variable length encoding, prefix free, binary tree to represent

- Symbols w/ smallest freq at bottom of tree,

procedure huffman(f):

Input: An array $f[1..n]$ of frequencies

Output: An encoding tree w/ n leaves

let H be a priority queue of integers, ordered by f

for $i=1$ to n : insert(H, i)

for $k=n+1$ to $2n-1$

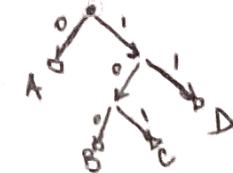
$i = \text{deletemin}(H), j = \text{deletemin}(H)$

create node numbered k w/ children i, j

$$f[k] = f[i] + f[j]$$

insert(H, k)

letter	encoding
A	0
B	100
C	101
D	11



Runtime: $O(n \log n)$

5.4

Set Cover

Given set of points, want to find smallest num of subsets to cover all points

Set Cover Algorithm:

Input: A set of elements B , sets $S_1, \dots, S_m \subseteq B$

Output: A selection of the S_i whose union is B

Cost: number of sets picked

Repeat until all elements of B are covered

Pick the set S_i w/ largest number of uncovered elements

If optimal k sets, greedy algo returns at most $ks \ln n$ sets

(b) Dynamic Programming

Solve problem by finding subproblems, tackling them smallest first and using answers from smaller problems to solve larger ones

Shortest paths in DAGs

Compute in single pass

initialize all $\text{dist}(\cdot)$ values to 0

$\text{dist}(s) = 0$

for each $v \in V \setminus \{s\}$, in linearized order:

$$\text{dist}(v) = \min_{(u,v) \in E} \{\text{dist}(u) + l(u,v)\}$$

Min Edit Distance : $O(mn)$

Edit distance between two words, remove, add, change

for $i = 0, 1, 2, \dots, m$:

$$E(i, 0) = i$$

for $j = 1, 2, \dots, n$:

$$E(0, j) = j$$

for $i = 1, 2, \dots, m$:

for $j = 1, 2, \dots, n$:

$$E(i, j) = \min \{E(i-1, j) + 1, E(i, j-1) + 1, E(i-1, j-1) + \text{diff}(i, j)\}$$

return $E(m, n)$

Runtime: $O(mn)$

Longest Increasing Subsequence : $O(n^2)$

find longest increasing subsequence in list

for $j = 1, 2, \dots, n$:

$$L(j) = 1 + \max \{L(i) : (i, j) \in E\}$$

return $\max_j L(j)$

Knapsack : $O(nW)$

Bag fits at most W weight each object weigh + w_1, w_2, \dots, w_n dollar value v_1, \dots, v_n

① Unlimited quantity: subproblem by knapsack capacity w

$$K(0) = 0$$

for $w = 1$ to W :

$$K(w) = \max \{K(w - w_i) + v_i : w_i \leq w\}$$

return $K(W)$

② No repetition: capacity W and keep track of items left
Initialize all $K(0, j) = 0$ and $K(w, 0) = 0$

for $j = 1$ to n :

for $w = 1$ to W :

$$\text{if } w_j > w: K(w, j) = K(w, j-1)$$

$$\text{else: } K(w, j) = \max \{K(w, j-1), K(w - w_j, j-1) + v_j\}$$

return $K(W, n)$

(3)

All pairs shortest paths

Find shortest paths between all s, t

Floyd-Warshall Algorithm : $O(|V|^3)$

Start from one node expand set of intermediate nodes

for $i=1$ to n :

for $j=1$ to n :

$$\text{dist}(i, j, 0) = \infty$$

for all $(i, j) \in E$:

$$\text{dist}(i, j, 0) = d(i, j)$$

for $k=1$ to n :

for $i=1$ to n :

for $j=1$ to n :

$$\text{dist}(i, j, k) = \min \{ \text{dist}(i, k, k-1) + \text{dist}(k, j, k-1), \text{dist}(i, j, k-1) \}$$

Independent sets in trees: $O(N+ME)$

Find independent set where no edges between nodes in set

$I(u)$ = size of largest independent set from u

Entire tree includes or doesn't

$$I(u) = \max \left\{ 1 + \sum_{\text{grandchildren } w \text{ of } u} I(w), \sum_{\text{children } w \text{ of } u} I(w) \right\}$$

If independent set, can't include children.

⑦ Linear Programming and reductions

Optimization tasks where constraints and optimization criterion are linear functions

Optimum typically achieved at a vertex of feasible region, or infeasible (too tight constraints), unbounded

- Can be solved with Simplex method to start at vertex repeatedly looking for better obj. value (too loose)

Primal

$$\max c_1 x_1 + \dots + c_n x_n$$

$$a_{11} x_1 + \dots + a_{1n} x_n \leq b_1 \quad i \in I$$

$$a_{21} x_1 + \dots + a_{2n} x_n = b_2 \quad i \in E$$

$$x_j \geq 0 \quad j \in N$$

$$\begin{aligned} & \max c^T x \\ & Ax \leq b \\ & x \geq 0 \end{aligned}$$

Dual

$$\min b^T y + \dots + b_m y_m$$

$$a_{11} y_1 + \dots + a_{1m} y_m \geq c_1$$

$$a_{21} y_1 + \dots + a_{2m} y_m = c_2$$

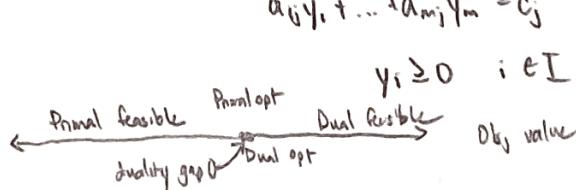
$$j \in N$$

$$j \in N$$

$$\min y^T b$$

$$y^T A \geq c^T$$

$$y \geq 0$$



Constraint Transformations:

① Changing Objective:

$$\max c^T x = \min -c^T x$$

$$\min c^T x = \max -c^T x$$

② Inequality to Equality

$$ax \leq b \rightarrow ax + s = b, s \geq 0$$

③ Equality to Inequality

$$ax = b \rightarrow ax \leq b, ax \geq b$$

④ Unrestricted Variable

$$x \in \mathbb{R} \Rightarrow x = x_+ - x_-$$

$$x_+ + x_- \geq 0$$

Ex) Chocolates to max profit

$$\text{Objective: } \max x_1 + 6x_2$$

$$\text{Constraint: } x_1 \leq 200$$

$$x_2 \leq 300$$

$$x_1 + x_2 \leq 400$$

$$x_1, x_2 \geq 0$$

Dual:

Multiples

y_1

y_2

y_3

Inequality

$x_1 \leq 200$

$x_2 \leq 300$

$x_1 + x_2 \leq 400$

$$(y_1, y_2, y_3) = (0, 5, 1)$$

$$5x_2 \leq 300$$

$$x_1 + x_2 \leq 400$$

$$x_1 + 6x_2 \leq 1900$$

$$(x_1, x_2) = (100, 300)$$

$$100 + 6(300) \leq 1900$$

LP: use Simplex

$$(x_1, x_2) = (100, 300)$$

$$(y_1 + y_3)x_1 + (y_2 + y_3)x_2 \leq 200y_1 + 300y_2 + 400y_3$$

$$\min 200y_1 + 300y_2 + 400y_3 \quad (y_1, y_2, y_3) = (0, 5, 1)$$

$$y_1 + y_3 \geq 1$$

$$y_2 + y_3 \geq 6$$

$$y_1, y_2, y_3 \geq 0$$

④

Zero Sum Games

Can represent some situations with matrix games with payoff matrix

- Row wants to maximize and col to minimize

- Each player can have a mixed strategy to decide each play with probability x_i

- Expected (avg) payoff is $\sum_{ij} G_{ij} \cdot \text{Prob}[\text{Row plays } i, \text{Col plays } j]$

		Column		
		r	p	s
Row	r	0	-1	1
	p	1	0	-1
s	-1	1	0	

In cases, where opponent move is known, want to play ~~mixed~~ defensively

For row:

$$(x_1, x_2) \text{ to max } \min \{3x_1 - 2x_2, -x_1 + x_2\}$$

columns best responses

$$z = \min \{3x_1 - 2x_2, -x_1 + x_2\}$$

$$\max z$$

$$z \leq 3x_1 - 2x_2$$

$$z \leq -x_1 + x_2$$

$$y_1 \max z$$

$$-3x_1 + 2x_2 + z \leq 0$$

$$x_1 - x_2 + z \leq 0$$

$$x_1 + x_2 = 1$$

$$x_1, x_2 \geq 0$$

	m	t
e	3	-1
s	-2	1

Both have same optimum

$$\max \min \sum_{ij} G_{ij} x_i y_j = \min \max \sum_{ij} G_{ij} x_i y_j$$

For column: $\min \max \{3y_1 - y_2, -2y_1 + y_2\}$

$$\min w$$

$$-3y_1 + y_2 + w \geq 0$$

$$2y_1 - y_2 + w \geq 0$$

$$y_1 + y_2 = 1$$

$$y_1, y_2 \geq 0$$

Max Flow

For graph, want to send as much flow through, each edge has capacity

- from source s to target t

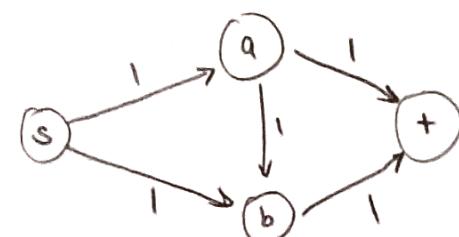
- The shipping scheme is flow w/ flw on every edge, must:

1. Doesn't violate edge capacities: $0 \leq f_{uv} \leq c_{uv}$ for all $uv \in E$

2. All nodes u except s, t flow entering equals flow leaving

$$\text{flow conserved} \quad \sum_{(u,v) \in E} f_{uv} = \sum_{(u,v) \in E} f_{vu}$$

$$\text{Size of flow} = \text{quantity leaving } s \quad \sum_{(s,u) \in E} f_{s,u}$$



Ford Fulkerson Algorithm

Start with zero flow

Find path from s to t and route max flow through

Update capacities by updating residual graph

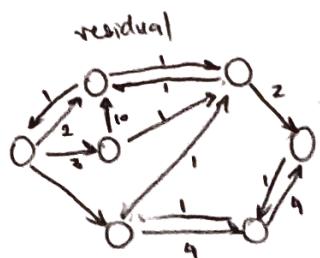
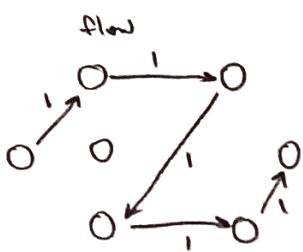
Repeat until no more paths s to t

Residual Graph

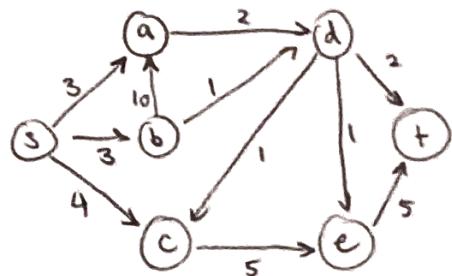
Two types of edges residual capacity c^f

$$c_{uv} - f_{uv} \quad \text{if } (u,v) \in E \text{ and } f_{uv} < c_{uv}$$

$$f_{vu} \quad \text{if } (v,u) \in E \text{ and } f_{vu} > 0$$



Graph



forward: capacity left

back: flow through edge

For any (S,t) cut on graph, $\text{size}(f) \leq \text{capacity}(L,R)$
capacity of cut

Max-flow min-cut theorem

Size of max flow in a network equals capacity of smallest (S,t) cut

Max flow creates residual graph, using graph, find min cut by
finding vertices L reachable from s and $V-L$ separation is the
min cut in graph

Runtime: $O(|V| \cdot |E|^2)$

8 NP-Complete Problems

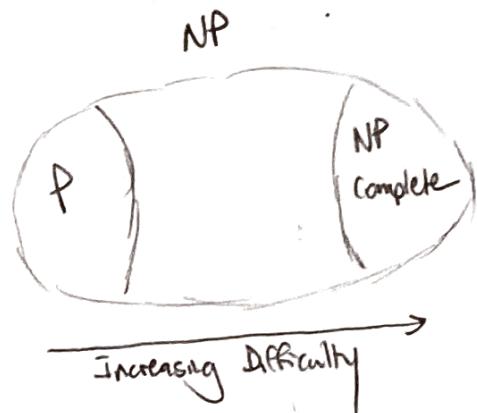
Satisfiability (SAT) Problems

Given a boolean function in conjunctive normal form (CNF), with collection of clauses, logical or, several literals, want to find satisfying truth assignment so every clause is true

Search Problem

Given instance I with input data and want to find solution S which can also be checked in polynomial time

NP problems: class of all search problems
P problems: class of search problems solved in polynomial time



NP Complete problems: subset in which other NP problems can be reduced to each other

NP Complete Problems

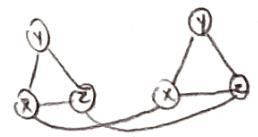
3SAT: set of clauses w/ 3 or fewer literals
want satisfying truth statement

$$(\bar{x} \vee y \vee z)(x \vee \bar{y} \vee z)(\bar{x} \vee y)$$

Traveling Salesman Problem (TSP): given n vertices and all edges connected as distances,
want to find a tour, cycle passing every vertex, with cost b or less

3D Matching: similar to bipartite but n boys, n girls, n pets
where compatibility is specified by (b, g, p)

want to find n disjoint triplets and n harmonious households



Independent Set: given graph g and number g
want to find g pairwise non adjacent vertices

Hamiltonian Path: given graph g with source s and target t
want a path from s to t that passes each vertex once

Hamiltonian Cycle: given graph g, find cycle that passes each vertex once

Integer Linear Programming (ILP): constraint on integers for solve

Vertex Cover: given graph g and budget b
Want to find b vertices that cover every edge

Clique: given graph and goal g

Want set of g vertices st all edges between them are present

Balanced cut: given graph g with n vertices and budget b

want partition into two sets S, T st $|S|, |T| \geq \frac{n}{3}$ where at most b edges between S and T

P problems

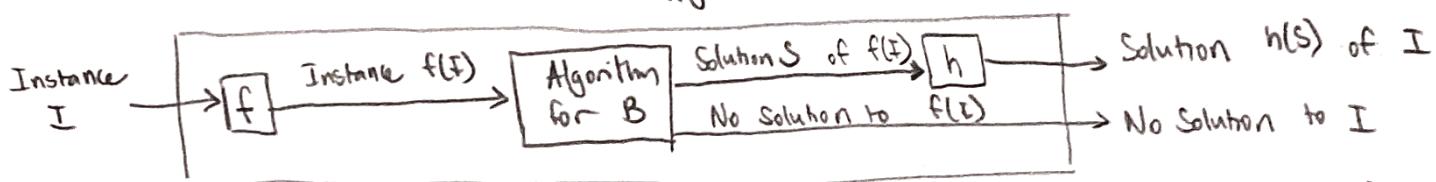
Euler's path: given graph, find path containing each edge once

others: 2SAT, MST, Shortest path, LP, Min cut, Bipartite Matching

Reductions

A reduction from search problem A to B is polynomial time algorithm f that transforms any instance I of A to $f(I)$ of B, mapping solution $h(S)$

Algorithm for A



Reducing A to B

If algorithm that solves B can be used to solve A, then A must reduce to B

1) Radrata path \rightarrow Radrata cycle

Reduction: add vertex x w/ edge $\{s, x\}, \{x, t\}$

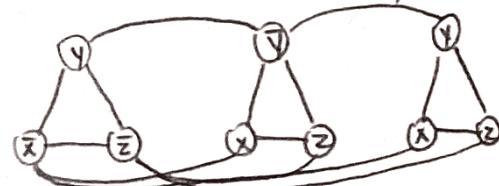


$A \rightarrow B$ then difficulty $A \leq B$
Solve easy problem using hard prob
can't solve hard w/ easy

2) 3SAT \rightarrow Independent Set

Reduction: graph G_i has triangle for each clause, additional edges between any two vertices w/ opposite literals

$$(\bar{x} \vee y \vee z) (\bar{x} \vee \bar{y} \vee z) (x \vee y \vee z) \rightarrow$$

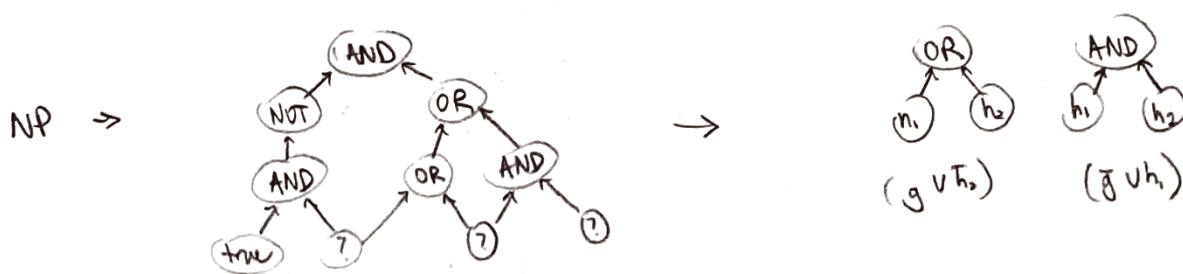


3) SAT \rightarrow 3SAT

Reduction: tag on for some literals $(a_1 \vee a_2 \vee y_1) (\bar{y}_1 \vee a_3 \vee \bar{y}_2) \dots (\bar{y}_{k-1} \vee a_{k+1} \vee a_k)$

4) Any Problem in NP \rightarrow SAT

Reduction: Reduce any problem to Circuit SAT, we can reduce any problem to circuit SAT by input gates where circuit is polynomial size. Then we reduce circuit SAT to SAT.



9 Coping with NP-Completeness

Ways to solve NP-Complete problems

1) Intelligent Exhaustive Search

Backtracking: use assignments to discredit partial assignments, pruny search space

Branch and bound: compute a lower bound

2) Approximation Algorithms

Faster Approx: closer to optimal solution faster

$$\alpha_A = \max_I \frac{A(I)}{\text{OPT}(I)}$$

Clustering: Divide into groups with some heuristic

3) Local Search Heuristics

Simulated Annealing: use temperature to get out of local optimum

10 Algorithms with Numbers

Modular Arithmetic

Mod math deals with restricted ranges of integers

modulo N is remainder when x divided by N

Addition: Linear $O(n)$

Multiplication: Quadratic $O(n^2)$ multiply and reduce mod n

Division: Cubic $O(n^3)$

$x^y = \begin{cases} (x^{[y/2]})^2 & \text{if } y \text{ even} \\ x \cdot (x^{[y/2]})^2 & \text{if } y \text{ odd} \end{cases}$

Exponentiation: Cubic $O(n^3)$

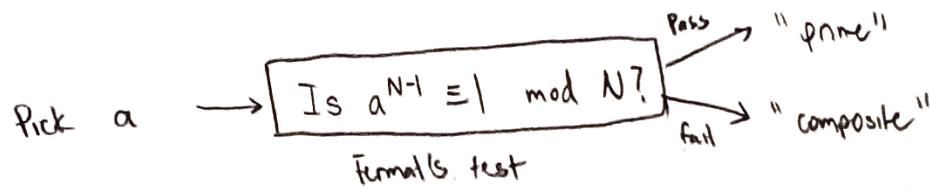
Modular division Theorem: any a mod N, a has multiplicative inverse mod N

if and only if it is relatively prime to N found in $O(n^3)$

by Euclidean algo

Primality Testing

Fermat's Little Theorem: If p is prime, then for every $1 \leq a \leq p$

$$a^{p-1} \equiv 1 \pmod{p}$$


Lagrange's Prime Number Theorem: Let $\pi(x)$ be number of primes $\leq x$

Then $\pi(x) \approx \frac{x}{\ln(x)}$

$$\lim_{x \rightarrow \infty} \frac{\pi(x)}{\frac{x}{\ln x}} = 1$$

Universal Hashing

HashTable: give nickname 1-200 for each element and put in list of nicknames

Define class of hash functions to choose at random

Take buckets to be prime $n=257$

Map values (x_1, \dots, x_n) to $h(x_1, \dots, x_n) = (87x_1 + 23x_2 + \dots + 4x_n) \pmod{257}$

Define hash function $h_a(x_1, \dots, x_n) = \sum_{i=1}^n a_i x_i \pmod{n}$

Property: for distinct values $x = x_1, \dots, x_n$ $y = y_1, \dots, y_n$ coefficients $a = (a_1, a_2, \dots, a_n)$ chosen at random uniformly $\{0, 1, \dots, n-1\}^n$ then

$$\Pr \{ h_a(x_1, \dots, x_n) = h_a(y_1, \dots, y_n) \} = \frac{1}{n}$$

Family H of hash functions $H = \{h_a : a \in \{0, \dots, n-1\}^n\}$

Universal family of hash functions: for distinct data items x and y exactly $|H|/n$ of all hash functions in H map x and y to same bucket, when n is # of buckets

- 1) Choose table size n prime
- 2) If domain $N = n^k$, $H = \{h_a : a \in \{0, \dots, n-1\}^k\}$