# **Induced Bias Behavioral Test**

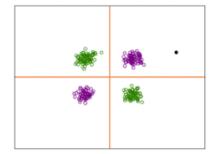
(The test can be accessed from <a href="here">here</a>)

# Table of Contents

Purpose	2
Platform	
Tutorial	
Test Interface	
Control Panel (admin only)	
Posterior panel (admin only)	
Model Hyperparameters	
Summary posterior plots	
Summary Hellinger distance	

# <u>Purpose</u>

The purpose of this experiment is to assess human performance on logical inference to interpolate/extrapolate certain patterns. In this experiment, two different patterns are shown to the participants and their confidence rate on whether a test point belongs to one of two classes (purple/green) that make up these patterns are collected. For this experiment, the participants are instructed to rate purple as 1 and green as 0. Following figures are the two datasets used in this experiment.



Gaussian XOR with small variance

Spiral

Two datasets used in this experiment

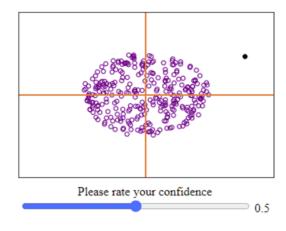
## <u>Platform</u>

The test will be hosted on Amazon Mechanical Turk (MTurk). It is an online platform that outsources the posted job to a qualified workforce. When the "workers" complete the job, they will be provided with a unique completion code with which they will use to request for compensation. The "requesters" will review the submission quality after which they will decide whether to accept the submission or not. Following job post page is ready to be deployed once the test is finalized.

We are conducting an academic experiment to measure the human performance on predictive ability to extrapolate in order to analyze the performance of various machine learning algorithms. We are asking paticipants to classify whether a given data point (black) belongs to the provided pattern (purple). If you are further interested, please follow the link below for more information. We look forward to your paticipation and thank you for your time in advance.

#### **GO TO THE WEBSITE**

#### Sample response



#### **GO TO THE WEBSITE**

### PLEASE ENTER YOUR COMPLETION CODE BELOW:

Enter your completion code here

Submit

A number of parameters are still needed to be determined (these parameters are required by MTurk):

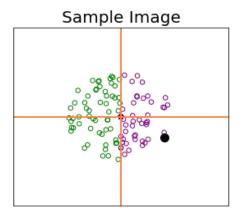
- 1. Number of responses (currently preset to 50-200)
- 2. Reward per response (e.g. if set at \$0.01 per response, 200 responses will pay workers \$2.00)
- 3. Number of respondents (limiting number of participants)
- 4. Time allotted for each test (this is defaulted to 1 hour if not changed)
- 5. Expiration (the job can be posted for a period of time, defaulted to 7 days if not changed)

### **Tutorial**

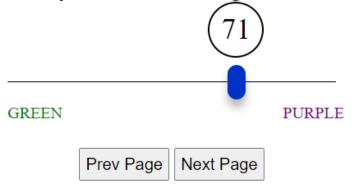
Prior to the test, the participants are briefly introduced with the concept and provided with instructions. They are acquainted with the test in a step-by-step manner in order to simplify the concept as much as possible.

What you see below is the environment you will be interacting with. After evaluating the image, you will submit your response using the slider below the image.

Move the slider to the right if you believe a **black point** (shown as ●) should belong to the **purple group** (shown as ○) or to the left for the **green group** (shown as ○).



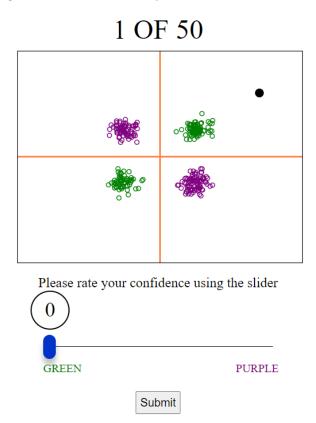
Please rate your confidence using the slider below



Sample page of the tutorial. The participants can revisit this tutorial upon completion.

### <u>Test Interface</u>

The test is designed to be as simple as possible to maximize the completion rate. The participants will assess the image (generated in real-time using python), and rate their confidence using the slider. Once set, the participants will submit their responses by clicking the submit button after which the button and the slider will be temporarily disabled until each query is processed. After the submission, the image is regenerated from randomly chosen datasets.



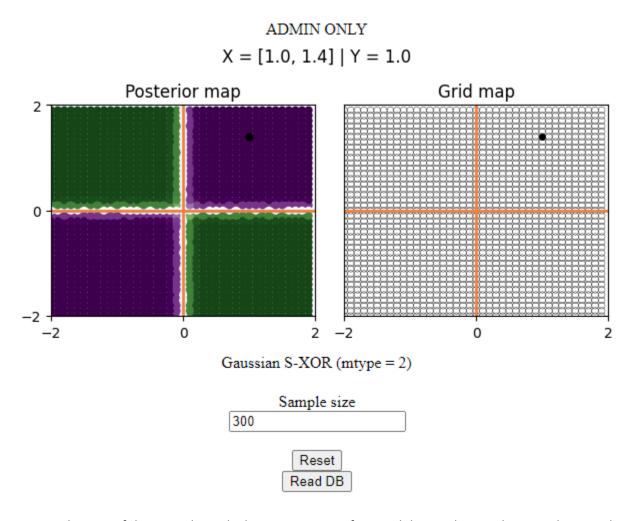
The experiment interface. For a number of responses (currently, participants can choose between 50 and 200 responses), the participants are shown different patterns of two classes (purple and green). They are asked to rate their confidence on whether a test point (black) belongs to purple class or not using the slider (range: 0.0-1.0). Each response is stored in the SQL database which can be retrieved at any time.

				Maii	n Page	Download Flush DB			
<u>ID</u>	USER	HIT	TRIAL	DATASET	PRED	TRUE	X COORDINATES	SAMPLE #	<u>DATE</u>
120	e983c04e-1b73-4a5a-8b83-0110b93aa7f2	50	6	2	0.54	0.50000000000000089	[0.0,0.4]	300	2020-11-22 14:13:47.172494
119	e983c04e-1b73-4a5a-8b83-0110b93aa7f2	50	5	1	0.95	0.9196909168222016	[-0.1,0.8]	300	2020-11-22 14:13:41.164719
118	e983c04e-1b73-4a5a-8b83-0110b93aa7f2	50	4	3	0.06	0.0	[-1.4,0.7]	300	2020-11-22 14:13:34.423583
117	e983c04e-1b73-4a5a-8b83-0110b93aa7f2	50	3	1	0.9	0.9897443241382888	[0.0,1.3]	300	2020-11-22 14:13:27.198725
116	e983c04e-1b73-4a5a-8b83-0110b93aa7f2	50	2	3	0.43	0.0	[-1.4,1.5]	300	2020-11-22 14:13:22.949251

Sample SQL Database

# **Control Panel (admin only)**

This control panel provides researchers the statistics of each generated test inquiry. The left figure displays posterior probability. The title above indicates the coordinates of the test point (denoted as X; used for epsilon/distance calculation and troubleshooting) and the label (denoted as Y; used to score each response). The test point is randomly sampled from the n by n grid shown on the right-side figure. Immediately below these figures is the type of current dataset. The sample size refers to the number of sample points on the test (i.e. the total number of the purple and green hollow points shown in the testing panel). The researchers can navigate to the very first tutorial page by clicking the Reset button or access the database by clicking the Read DB button.



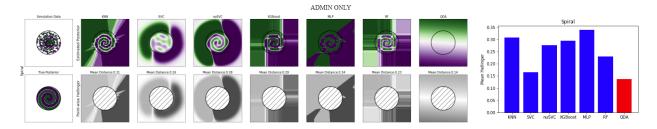
Sample page of the control panel. The posterior map for spiral dataset has not been implemented currently.

# Posterior panel (admin only)

Lastly, the posterior panel provides pre-generated posterior probability estimates for 7 sklearn models trained on the simulated datasets (first row first column) which are shown in the first row spanning from 2<sup>nd</sup> to last columns. Namely, the models used here are KNN, SVC, nuSVC, XGBoost, MLP, RF, and QDA. In the second row are the point-wise Hellinger distance calculations between estimated posteriors and true posteriors (second row first column) spanning outside of (-1,1)<sup>2</sup> range (i.e. the region outside of the bounding circle). The bar graph is the mean Hellinger distance again only on the outside of (-1,1)<sup>2</sup> range where red bar indicates the lowest mean Hellinger distance among 7 algorithms.

$$H(P,Q) = rac{1}{\sqrt{2}} \; \sqrt{\sum_{i=1}^k (\sqrt{p_i} - \sqrt{q_i})^2}$$

**Equation for Hellinger Distance** 



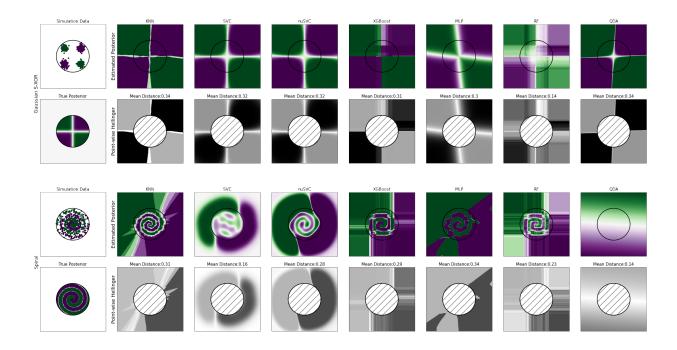
Sample posterior panel. Complete list of these figures are listed in the summary posterior plots section.

### Model Hyperparameters

```
Gaussian S-XOR
KNeighborsClassifier(leaf size=10, n neighbors=3)
SVC(gamma='auto', probability=True)
NuSVC(gamma='auto', probability=True)
XGBClassifier(base score=0.5, booster='gbtree', colsample bylevel=1,
            colsample_bynode=1, colsample_bytree=1, gamma=0, gpu_id=-1,
            importance_type='gain', interaction_constraints='
            learning rate=0.10526315789473684, max delta step=0, max depth=6,
            min_child_weight=1, missing=nan, monotone_constraints='()',
            n_estimators=128, n_jobs=-1, num_parallel_tree=1, random_state=0,
            reg alpha=0, reg lambda=1, scale pos weight=1, subsample=1,
            tree method='exact', validate_parameters=1, verbosity=None)
MLPClassifier(alpha=0, hidden layer sizes=100, learning rate init=0.0001,
            max iter=7000)
RandomForestClassifier(max depth=4, n estimators=64, n jobs=-1)
QuadraticDiscriminantAnalysis()
```

```
Spiral
KNeighborsClassifier(n_neighbors=7)
SVC(C=10.0, probability=True)
NuSVC(probability=True)
XGBClassifier(base_score=0.5, booster='gbtree', colsample_bylevel=1,
            colsample bynode=1, colsample bytree=1, gamma=0, gpu id=-1,
            importance_type='gain', interaction_constraints='
            learning_rate=0.10526315789473684, max_delta_step=0, max_depth=6,
            min child weight=1, missing=nan, monotone constraints='()',
            n_estimators=256, n_jobs=-1, num_parallel_tree=1, random state=0,
            reg_alpha=0, reg_lambda=1, scale_pos_weight=1, subsample=1,
            tree_method='exact', validate_parameters=1, verbosity=None)
MLPClassifier(alpha=0, learning rate init=0.0001, max iter=10000,
            solver='lbfgs')
RandomForestClassifier(max depth=18, n estimators=128, n jobs=-1)
QuadraticDiscriminantAnalysis()
```

# Summary posterior plots



# Summary Hellinger distance

These are the means of the point-wise Hellinger distances shown in previous sections. The red bar is the lowest mean Hellinger distance among 7 algorithms. These Hellinger distances only account for the region outside of  $(-1,1)^2$  range.

