

# Analysis of Algorithms

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## What analysis measures

- **time complexity/efficiency**: how fast an algorithm runs
- **space complexity/efficiency**: amount of space needed to run an algorithm and space required for input/output
- most algorithms run longer on longer inputs, so consider efficiency as a function of input size  $n$
- when input is a single number, and  $n$  is a magnitude (e.g. checking if  $n$  is prime), you measure size using  $b$ , the number of bits in  $n$ 's binary representation:

$$b = \lfloor \log_2 n \rfloor + 1$$

## Running time

- counting all operations that run is usually difficult and unnecessary
- instead identify **basic operation** that has highest proportion of running time and count number of times this is executed
  - usually most time-consuming operation on innermost loop
  - e.g. sorting: basic operation is key comparison
  - arithmetic: (least time consuming) addition ~ subtraction < multiplication < division (most time consuming)
- time complexity analysis: determine number of times basic operation is executed for input size  $n$

## Orders of Growth

- small  $n$ : differences between algorithms are in the noise
- large  $n$ : the order of growth of the time complexity dominates and differentiates between algorithms

Some functions

$$\log_2 n < n < n \log_2 n < n^2 < n^3 < 2^n < n!$$

- $\log$  grows so slowly you would expect an algorithm with basic-operation to run practically instantaneously on inputs of all realistic size
- change of base results in multiplicative constant, so you can simply write  $\log n$  when you are only interested in order of growth

$$\log_a n = \log_a b \log_b n$$

- $2^n$  and  $n!$  are both exponential-growth functions. Algorithms requiring an exponential number of operations are practical for solving only problems of very small size

## Efficiencies

Algorithm run-time can be dependent on particulars of input e.g. sequential search

Efficiency can be: - **worst-case**: algorithm runs longest among all possible inputs of size  $n$  - **best-case**: algorithm runs fastest among all possible inputs of size  $n$  - **average-case**: algorithm runs on typical/random input; typically more difficult to assess and requires assumptions about input - **amortized**: for cases where a single operation could be expensive, but remainder of operations occur much better than worst-case efficiency - amortize high cost over entire sequence

## Asymptotic Notations

Notations for comparing orders of growth: -  $O$ : big-oh;  $\leq$  order of growth -  $O(g(n))$ : set of all functions with lower/same order of growth as  $g(n)$  as  $n \rightarrow \infty$  -  $\Omega$ : big-omega;  $\geq$  order of growth -  $\Theta$ : big-theta;  $=$  order of growth

e.g.

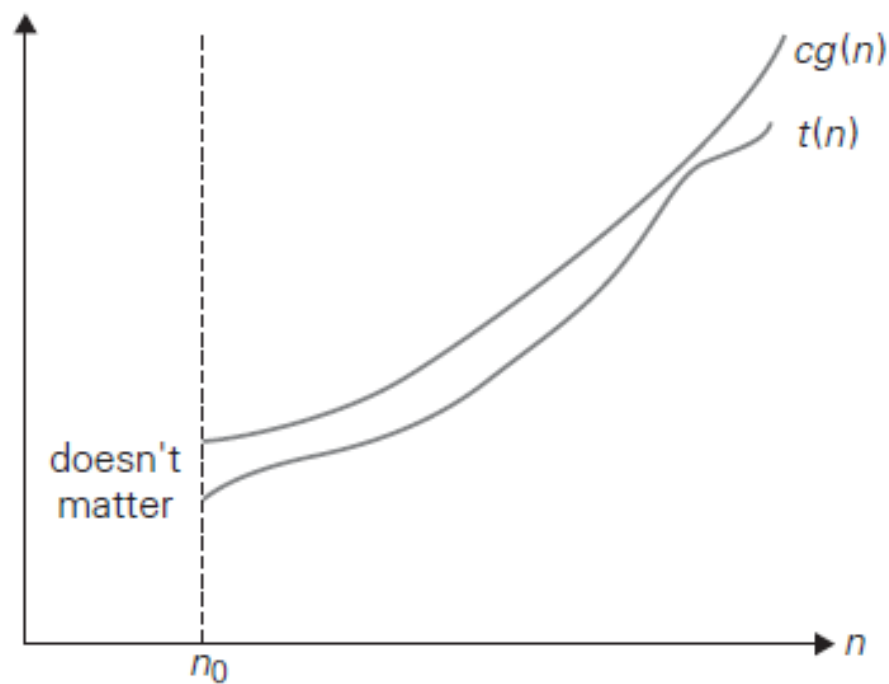
$$n \in O(n^2)$$

$$\frac{n}{2}(n-1) \in O(n^2)$$

$$n^3 \notin O(n^2)$$

**Definition:** A function  $t(n) \in O(g(n))$  if  $\exists c \in \mathbb{R}^+, n_0 \in \mathbb{Z}^+$  s.t.  $\forall n \geq n_0$ :

$$t(n) \leq cg(n)$$



**Figure 1:** big\_o

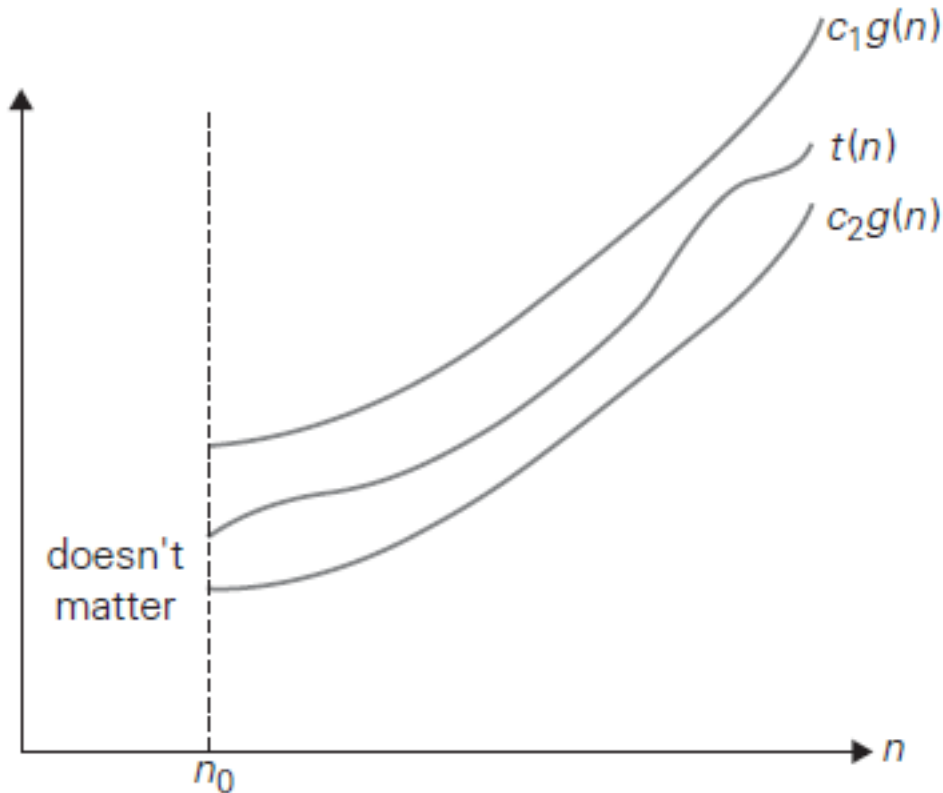
### Big O

**Definition:** A function  $t(n) \in \Omega(g(n))$  if  $\exists c \in \mathbb{R}^+, n_0 \in \mathbb{Z}^+$  s.t.  $\forall n \geq n_0$ :

$$t(n) \geq cg(n)$$

**Definition:** A function  $t(n) \in \Theta(g(n))$  if  $\exists c_1, c_2 \in \mathbb{R}^+, n_0 \in \mathbb{Z}^+$  s.t.  $\forall n \geq n_0$ :

$$c_1 g(n) \leq t(n) \leq c_2 g(n)$$



**Figure 2:** big\_theta

### Big $\Theta$

**Theorem:** If  $t_1(n) \in O(g_1(n))$  and  $t_2(n) \in O(g_2(n))$ :

$$t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$$

Analogous assertions also hold for  $\Omega$ ,  $\Theta$

- This implies that an algorithm comprised of two consecutively executed components has an overall efficiency determined by the part with a higher order of growth (the least efficient part)
- e.g.: check if an array has equal elements by first sorting, then checking consecutive items for equality

- part 1 may take no more than  $\frac{n}{2}(n-1)$  comparisons, i.e.  $\in O(n^2)$
- part 2 may take no more than  $n-1$  comparisons, i.e.  $\in O(n)$
- overall efficiency:  $O(n^2)$

## Comparing Orders of Growth

- to directly compare two functions, compute the limit of their ratio:

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)}$$

- This could be: ( $\sim$ : order of growth)

1.  $0 : \sim t(n) < \sim g(n)$
2.  $c : \sim t(n) = \sim g(n)$
3.  $\infty : \sim t(n) > \sim g(n)$

- Case a,  $b \Rightarrow t(n) \in O(g(n))$
- Case b,  $c \Rightarrow t(n) \in \Omega(g(n))$
- Case b  $\Rightarrow t(n) \in \Theta(g(n))$

## L'Hopital's rule

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{t'(n)}{g'(n)}$$

## Stirling's Formula

For large  $n$

$$n! \approx \sqrt{2\pi n} \frac{n^n}{e}$$

## Efficiency Classes

Class	Name	Comments
1	constant	very few algorithms fall in this class
$\log n$	logarithmic	results from cutting problem's size by constant factor
$n$	linear	scan a list of size $n$ e.g. sequential search
$n \log n$	linearithmic	divide-and-conquer e.g. mergesort; quicksort

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Class	Name	Comments
$n^2$	quadratic	two embedded loops e.g. basic sorting; $n \times n$ matrix operations
$n^3$	cubic	three embedded loops; e.g. often used in linear algebra
$2^n$	exponential	generate all subsets of $n$ -element set
$n!$	factorial	generate all permutations of $n$ -element set

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