

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: <b>Dalal et al.</b>	§
	§ <b>Group Art Unit: Not Assigned</b>
Serial No.: <b>Not Assigned</b>	§
	§ <b>Examiner: Not Assigned</b>
Filed: <b>November 4, 2005</b>	§
	§ <b>Attorney Docket No.: RSW920050159US1</b>
For: <b>Method, Apparatus, and</b>	§
<b>Computer Program Product for</b>	§
<b>Model Based Traceability</b>	§
	§

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 11/4/2005

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stephen R. Tkacs', is written over a horizontal line.

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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		ATTORNEY DOCKET NO. <b>RSW920050159US1</b>		SERIAL NO. <b>Not Assigned</b>	
		APPLICANT <b>Dalal et al.</b>			
		FILING DATE <b>November 4, 2005</b>		GROUP ART UNIT <b>Not Assigned</b>	
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	<b>AA 6,167,563</b>	<b>Dec. 26, 2000</b>	<b>Fontana et al.</b>	<b>717/1</b>	<b>Sep. 17, 1998</b>
	<b>AB 6,167,564</b>	<b>Dec. 26, 2000</b>	<b>Fontana et al.</b>	<b>717/1</b>	<b>Sep. 17, 1998</b>
	<b>AC 6,237, 143 B1</b>	<b>May 22, 2001</b>	<b>Fontana et al.</b>	<b>717/11</b>	<b>Sep. 17, 1998</b>
	<b>AD 6,256,773 B1</b>	<b>Jul. 3, 2001</b>	<b>Bowman-Amuah</b>	<b>717/1</b>	<b>Aug. 31, 1999</b>
	<b>AE 2003/0196192 A1</b>	<b>Oct. 15, 2003</b>	<b>Barclay et al.</b>	<b>717/128</b>	<b>Apr. 10, 2003</b>
<b>FOREIGN PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>					
	<b>AF</b>	<b>Spanoudakis, "Plausible and Adaptive Requirement Traceability Structures", ACM Digital Library, 2002, pp. 135-142</b>			
	<b>AG</b>	<b>Mason et al., "On Structuring Formal, Semi-Formal and Informal Data to Support Traceability in Systems Engineering Environments", ACM Digital Library, 2004, pp. 642-651.</b>			
	<b>AH</b>	<b>Conwell et al., "Capability Maturity Models Support of Modeling and Simulation Verification, Validation, and Accreditation", Proceedings of the 2000 Winter Simulation Conference, ACM Digital Library, 2000, pp. 819-828</b>			
	<b>AI</b>	<b>Wu et al., "ATRAM: An Analysis Tool of Requirement and Architecture Management", 2003 IEEE International Conference on Systems, Man and Cybernetics, Vol. 3, Piscataway, NJ, USA, pp. 2654-2660.</b>			
	<b>AJ</b>	<b>Gills et al., "Tracelt: Enabling Extended Use of a Traceability Tool within Software Development Project." <u>BalticDB&amp;IS 2002</u>: pp.147-158</b>			
<b>RELATED PATENT APPLICATIONS</b>					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE		FILING DATE
DATE CONSIDERED			EXAMINER		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					