

Receipt date: 01/26/2007

11658421 - GAU: 3617
11/658421

IAP17 Rec'd PCT/PTO 26 JAN 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EV 810529134 US

Applicant : Thomas Malfent, et al.
Application No. : To be assigned
Filed : January 26, 2007
Title : ANTICLIMBER FOR RAILROAD VEHICLES (as amended)

Grp./Div. : To be assigned
Examiner : To be assigned

Docket No. : 59017/F410

**INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
January 26, 2007

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the references listed under "FOREIGN PATENT DOCUMENTS" and "OTHER DOCUMENTS" are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.C.S.

11/658421


Application No. To be assigned

IAP17 Rec'd PCT/PTO 26 JAN 2007

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/vdw

Enclosures: Form PTO/SB/08A/B, w/references

VDW PAS720658.1-*01/26/07 9:51 AM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	59017/F410
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	11/658421
	Filing Date	January 26, 2007
	Applicant(s)	Thomas Malfent, et al.
	Group Art Unit	To be assigned
	Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	<u>DOCUMENT NUMBER</u> Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		4,184,434	01-22-1980	Chapin

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	<u>FOREIGN PATENT DOCUMENT</u> Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		EP 1 006 034 A1	06-07-2000	Taguchi et al.	
		EP 1 394 009 A1	03-03-2004	Yamamoto et al.	
		WO 2004/110842 A1	12-23-2004	Mayer	English translation

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		English translation of WO 2004/110842 A1, published December 23, 2004 in the name of Wilhelm Mayer listed above.
		<i>Herstellung von Schienenfahrzeugen</i> . ("Manufacturing of Railway Carriages"), ZEV + DET Glas. Ann. Vol. 123, (1999) (English abstract provided)
		International Search Report mailed October 24, 2005 for corresponding International Patent Application No. PCT/AT2005/000255

VDW PAS717796.1-*01/26/07 12:54 PM

EXAMINER SIGNATURE	/Jason Smith/	DATE CONSIDERED	01/26/2009
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			