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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450

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	APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER

11/367,696

03/06/2006

Etsuyoshi Watarai

SN-US065025

22919 SHINJYU GLOBAL IP COUNSELORS, LLP 1233 20TH STREET, NW, SUITE 700 WASHINGTON, DC 20036-2680 FORMALITIES LETTER

Date Mailed: 03/31/2006

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b) FC:1011		00000002 11367696	
Filing Date Granted	01 FC:1011 02 FC:1111 03 FC:1311 04 FC:1051	300.00 OP 500.00 OP 200.00 OP	

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 300 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

 Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1130 for a Large Entity

- \$300 Statutory basic filing fee.
- \$130 Surcharge.

• The application search fee has not been paid: Applicant must submit \$500 to complete the search fee.

• The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a large entity

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE

SN-US065025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Attn.: Mail Stop Missing Parts

Etsuyoshi WATARAI

Patent Art Unit: 3682

Serial No.: 11/367,696

Filed: March 6, 2006

For: ELECTRONIC DERAILLEUR

CONTROL SYSTEM

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

BOX MISSING PARTS

The Assistant Commissioner of Patents Washington, DC 20231

Sir:

In response to the Communication dated March 31, 2006, copy attached, submitted herewith are:

- [X] An executed Declaration.
- [X] An executed Power of Attorney.
- [X] A Certificate Under 37 CFR § 3.73(b).
- [X] An Assignment of the invention to Shimano Inc.
- [X] A check in the amount of \$1,170.00 is attached to cover the filing fee of \$1,000.00, the surcharge fee of \$130.00, and the Assignment recording fee of \$40.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
 - [X] Any additional patent application processing fees under 37 CFR 1.16.
 - [X] Any additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

David L. Tarnoff
Attorney of Record

Reg. No. 32,383

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(202)-293-0444

Dated: 4-27-00