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|-----------------------------------------------------------------------------------------------------|------------------------|---------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                     | 11 17 1880 |
|                                                                                                     | Filing Date            |                     | 2005-06-29 |
|                                                                                                     | First Named Inventor   | VINCENT R. SCARLATA |            |
|                                                                                                     | Art Unit               | 2628                |            |
|                                                                                                     | Examiner Name          | TBD                 |            |
|                                                                                                     | Attorney Docket Number | P21494              |            |

| U.S. PATENTS      |         |               |           |            |                                                 |                                                                          |
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| Examiner Initials | Cite No | Patent Number | Kind Code | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
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|                                      | 5       | 20060230401        | A1        | 2006-10-12       | GRAWRICK                                        |                                                                          |

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| Art Unit               | 2628                |
| Examiner Name          | TBD                 |
| Attorney Docket Number | P21494              |

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| 9  | 20030115453 | A1 | 2003-06-19 | GRAMWROCK         |  |
| 10 | 20040264797 | A1 | 2004-12-30 | LIPPINCOTT ET AL. |  |

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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No | Foreign Document Number <sup>2</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T5                       |
|--------------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|-------------------------------------------------|--------------------------------------------------------------------------|--------------------------|
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**NON-PATENT LITERATURE DOCUMENTS**

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|                    | 1       | PETRONI ET AL., "Copilot - a Coprocessor-based Kernel Runtime Integrity Monitor", Proceedings of the 13th USENIX Security Symposium, San Diego, CA, August 9-13, 2004, 17 pgs.                                                                                  | <input type="checkbox"/> |

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|    |                                                                                                                                                                 |                          |
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| 2  | MARIO STRASSER, "A Software-based TPM Emulator for Linux", Semester Thesis, Edgengssische Technische Hochschule Zurich, July 2004, Zurich, Switzerland, 52 pgs. | <input type="checkbox"/> |
| 3  | DAVID GRAY/ROCK ET AL., "The Intel Safer Computing Initiative", January 2006, 282 pgs.                                                                          | <input type="checkbox"/> |
| 4  | TPM Main, Part 1: Design Principles, Specification Version 1.2, Revision 94, 29 March 2006, Trusted Computing Group, TCG Published 2003-2006, 180 pgs.          | <input type="checkbox"/> |
| 5  | CHARLES S. POWELL ET AL., "Foundations for Trusted Computing", Infineon Technologies AG, London, England, November 7th, 2002, 87 pgs.                           | <input type="checkbox"/> |
| 6  | N. SUMRALL ET AL., "Trusted Computing Group (TCG) and the TPM 1.2 Specification", Intel Developer Forum, 32 pgs.                                                | <input type="checkbox"/> |
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| 9  | AHMAD-REZA SADEGHI ET AL., "Property-based Attestation for Computing Platforms: Caring about properties, not mechanisms", 2004, pgs. 67-77.                     | <input type="checkbox"/> |
| 10 | CARLOS ROZAS ET AL., "DYNAMIC MEASUREMENT OF AN OPERATING SYSTEM IN A VIRTUALIZED SYSTEM", Appl. No. 11/513,963, Filed 8/31/2006, Docket # P24116.              | <input type="checkbox"/> |
| 11 | STEFAN BERGER ET AL., "vTPM: Virtualizing the Trusted Platform Module", Security'06: 15th USENIX Security Symposium, pgs. 305-320.                              | <input type="checkbox"/> |
| 12 | CARLOS ROZAS ET AL., "METHODS AND APPARATUS FOR REMEASURING A VIRTUAL MACHINE MONITOR", Appl. No. 11/648,103, Filed 12/29/2006, Docket # P25036.                | <input type="checkbox"/> |

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| Examiner Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  | Date Considered |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.                                                                                                                                                                                                                                                                                                                                                                        |  |                 |  |
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## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                     |                     |            |
|------------|---------------------|---------------------|------------|
| Signature  | /Michael R. Barré / | Date (YYYY-MM-DD)   | 2007-06-07 |
| Name/Print | Michael R. Barré    | Registration Number | 44023      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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