

# Title Placeholder

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## Abstract

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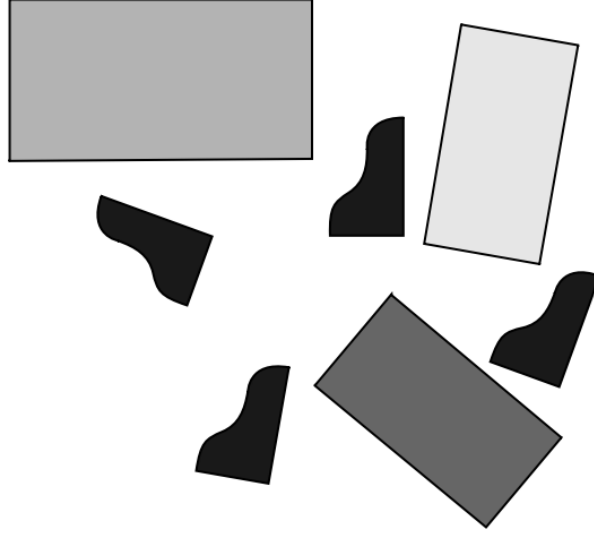
## 1 Introduction

At every moment, we are making plans of our actions for the very next instant. Planning is involved in everywhere in our daily life, for example, which route we use to get to work, how to make a drone able to get back safely by itself. Motion planning, is a problem that given a start position  $s$  of a object  $X$  and a goal position  $t$ , possibly with a set of obstacles, computes a path that moves  $X$  from  $s$  to  $t$  without colliding with any obstacle. It is also know as the piano mover’s problem. Imagine that we are given a computer-aided design (CAD) model of a house and a piano, and the goal is determine a way to move the piano from one room to another in the house without hitting anything [11]. This problem has many applications in robotics, computational geometry, computer games, etc. Especitally in the progress of autonomous robotics, it makes a critial role in the problem of enable the robotics to make decision for their own actions based on different cases [12].

While there are multiple versions of this problem according to how the obstacle information is given, researchers usually focus on the most simple version that all description of obstacles are given and fixed through the planning. The problem in this setting is often referred as the basic motion planning problem, and it is usually solved by first building a graph to model the geometric structure of the environment and then finding a connected component that contains both the start and target positions. There are three common approaches to solve this basic setting problem: the roadmap approach, the cell decomposition approach, and the potential field approach [12]. The first two of them are both using the concept of *configuration space*, *free space* and the goal is finding a *free path* where the configuration space is a transformation from the realistic space of the robot of certain shape and size into a space that the robot is shrinked to a point.

## 2 Navigational Complexity of a Topological Space

In this section, we first introduce a formal definition of the motion planning problem. Then we will give the topological representation of the problem. After that, we are able to start



**Figure 1.** An example of piano mover's problem

discussing about a homotopy invariant of a path-connected topological space, which is proposed by Farber in 2003 [3].

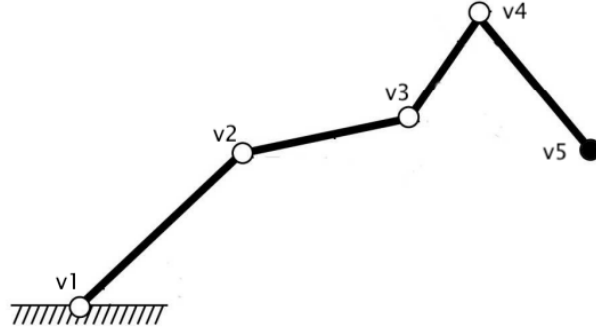
## 2.1 Configuration Spaces of Motion Planning

The word *robot* was first invented by the Czech writer Karel Čapek in his play R.U.R. (Rossum's Universal Robots) [15]. But the concept of automata, can be found in many ancient mythologies. Autonomous robots, which should be able to complete many tasks without any human's control or assistance, is an essential objective of modern robotics research.

In the motion planning problem, we use *configuration* to describe the object's position and possible direction (all geometric characteristics of the object). The *configuration space* is often referred to all possible configurations (states). Typically, every configuration  $A$  consists of a finite set of real numbers, and we can see the configuration space  $X$  as a subset of the Euclidean space of which the dimension is the number of values we used to encode one state of the object. In this setting, every point in this subset represents a state of the object. Then we can naturally relate Topology and motion planning through the configuration space  $X$ . Given the configuration space  $X$  of a motion planning system, we may solve the problem by only looking at  $X$ 's topology properties, possibly with some necessary geometric features.

**Example 2.1 (Piano movers' problem [3, 13]).** *We look at a simple version of the piano movers' problem here. As Figure 1 shows, the black piano shape can only move horizontally and the rectangles are obstacles. Let the four piano shapes be four different states of the piano. The problem is how to move the piano from one state to another one without colliding any obstacle.*

In this case, every unique state of the piano can be described by three variables, two for the coordinates of the center of the piano and another one for denoting the direction. Therefore, the configuration space of it is a subset set of  $\mathbb{R}^3$ .



**Figure 2.** An example of the robot arm problem

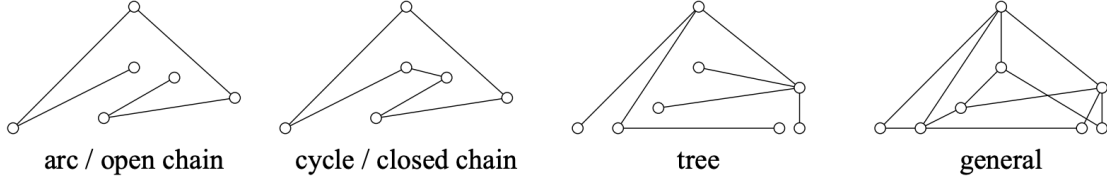
Schwartz and Sharir also give an algebraic formulation for the general version of “Piano” mover’s problem. In this setting, we are considering collision-free states of some hinged object  $B$ , where  $B$  can be decomposed to a finite number of rigid compact subparts  $B_1, B_2, \dots$ . Every rigid subpart is bounded by a number of algebraic surfaces. Any two subparts  $B_1, B_2$  can be connected together by any one of following ways:

- 1)  $B_1$  and  $B_2$  are partially overlapping such that  $B_1$  and  $B_2$  are combined by a unique point in the overlapping part.
- 2)  $B_1$  and  $B_2$  are connected by a hinge between two points  $a_1, a_2$  where  $a_1 \in B_1, a_2 \in B_2$ . In this case,  $B_2$  can revolve around some axis  $X$  fixed in the frame of  $B_1$ .
- 3)  $B_2$  can slide and rotate along some axis  $X$  fixed in the frame of  $B_1$ .

To formulate the problem algebraically, they first describe a superspace of the set of all valid states of the hinged object  $B$ . The rotation group is a smooth 3-dimensional algebraic submanifold of the 9-dimensional Euclidean space of  $3 \times 3$  real matrices. Recall that an algebraic manifold is a smooth algebraic variety. If  $B$  itself is minimal, i.e.,  $B$  is a rigid compact subpart. Then its state can be represented by an Euclidean motion  $T$  from some base state to the given state. The transformation  $Ts = Rs + s_0$  is defined by a pair  $(s_0, R)$  where  $s_0$  is a point in  $\mathbb{R}^3$  and  $R$  is a rotation matrix described above. So the transformation can be seen as a point in a smooth 6-dimensional algebraic submanifold of  $\mathbb{R}^{12}$ .

Otherwise,  $B$  consists of multiple parts through different ways listed earlier. One could find that in all cases, the overall states of all parts can be represented as a point in a smooth algebraic manifold in a Euclidean space of some dimension. For more details including a general path-finding algorithm runs in time exponential in the number of degrees of freedom of the object, we refer to [13].

**Example 2.2 (The robot arm [3, 10]).** In 1991, Latombe described a general robot arm problem. An arm is constituted by connecting a couple of bars through revolving joins as Figure 2 shows.



**Figure 3.** Examples of different type linkages [1]

Assume bars of the arm can have self-intersections. If the arm is in 2-dimensional plane, fix any  $v_i$ , the configuration space of  $v_{i+1}$  is  $S^1$ . Therefore, the whole configuration space  $X$  is:

$$\underbrace{S^1 \times S^1 \times \cdots \times S^1}_{\# \text{ of bars}}$$

Similarly, in 3-dimensional space, the configuration space  $X$  is:

$$\underbrace{S^2 \times S^2 \times \cdots \times S^2}_{\# \text{ of bars}}$$

### 2.1.1 General Configuration Spaces

Let  $A$  be a topological space and  $Q_m = \{q_i | 1 \leq i \leq m, q_i \in A\}$  be a subset of points in  $A$  representing obstacles. Let  $B = A - Q_m$  and let  $X = F(A, m, n)$  denote some subset of  $\underbrace{B \times B \times \cdots \times B}_n$  such that for every element  $E = (e_1, e_2, \dots, e_n)$  in  $X$ , every  $e_i$  of  $E$  has a unique value in  $B$ . Then  $F(A, m, n)$  is the collision-free configuration space of a system of  $n$  points moving in space  $A$ . When  $G$  is a connected graph, the configuration spaces  $F(G, m, n)$  are studied usually in robotics.

### 2.1.2 Topology of Configuration Spaces of Polygonal Linkages

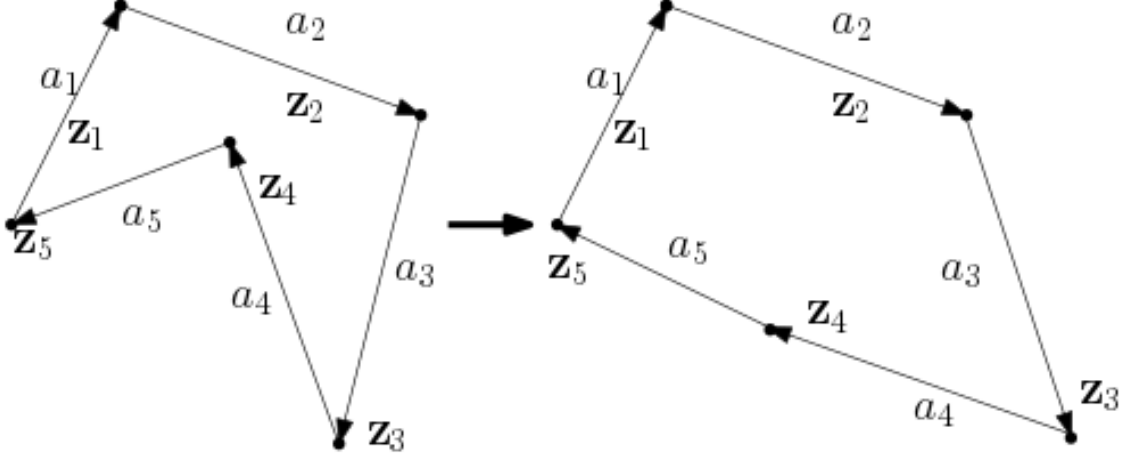
In general, a linkage is a graph with fixed edge lengths. Figure 3 shows some linkages of various types. Let  $L$  be any graph with given edge lengths. A *configuration* of  $L$  is a geometric realization of  $L$  in Euclidean space. A *reconfiguration* is a continuous sequence of such configurations (see 4 for example). The configuration space  $X$  consists of all configurations and paths corresponding to reconfigurations [1].

There are a lot of results in studies on algebraic topological invariants of this configuration space. Here we study the topology of the configuration space of linkage of cycle, which are important manifolds representing shapes of closed polygonal chains.

Let  $\mathbf{a}$  be any vector in  $\mathbb{R}_+^m$ , where  $\mathbf{a} = (a_1, a_2, \dots, a_m)$  consists of  $m$  positive real numbers. Then we define the variety  $M(\mathbf{a})$  as:

$$M(\mathbf{a}) = \{(z_1, z_2, \dots, z_m); z_i \in S^2, \sum_{i=1}^m a_i z_i = 0\} / SO^3$$

Here  $SO^3$  performs as diagonal of the product  $\underbrace{S^2 \times \cdots \times S^2}_n$ , and  $M(\mathbf{a})$  describes the variety of all closed polygonal shapes in 3-dimensional space where the side lengths are



**Figure 4.** An example of reconfiguration of a linkage

defined by  $\mathbf{a}$ . As an example, Figure 4 shows two different shapes with different coefficients  $(z_1, z_2, \dots, z_m)$  as the orientations of edges with same edge lengths.

Given the above definition of the variety of polygonal linkage, intuitively, we have the following lemma from the triangle inequality.

**Lemma 2.3.** *Let  $\|\mathbf{a}\| = \sum_{i=1}^m a_i$ .  $M(\mathbf{a})$  is nonempty if and only if  $a_i < \|\mathbf{a}\|$  for  $1 \leq i \leq m$ .*

We say a vector  $\mathbf{a} \in \mathbb{R}_+^m$  is *generic* if  $M(\mathbf{a})$  does not include an element  $\mathbf{z} = (z_1, z_2, \dots, z_m)$  such that  $z_i = \pm 1$  for all  $i$ .

**Lemma 2.4.** *If  $\mathbf{a}$  is generic, then  $M(\mathbf{a})$  is a closed smooth manifold of dimension  $2(m-3)$  [3].*

To learn the relation between the variety of  $\mathbf{a}$  and  $\mathbf{a}$ , we need first define the *short* subset of  $\{1, 2, \dots, m\}$ . We say a subset  $V \subseteq \{1, 2, \dots, m\}$  if such that  $\sum_{i \in V} a_i \leq \sum_{j \notin V} a_j$ . From Lemma 2.3 we know every subset  $V$  contains only a singleton is short subset. Let  $S(\mathbf{a})$  denote all short subsets corresponding to  $\mathbf{a}$ . Note that  $S(\mathbf{a})$  is partially ordered set which is determined by its maximal elements.

Hausmann and Knutson proved the following lemma of a property of the variety and short subsets in 1998.

**Lemma 2.5.** *If two vectors  $\mathbf{a}, \mathbf{a}' \in \mathbb{R}_+^m$  are generic, and there is an isomorphism between  $S(\mathbf{a})$  and  $S(\mathbf{a}')$ , then  $M(\mathbf{a})$  and  $M(\mathbf{a}')$  are diffeomorphic.*

Recall that two smooth manifolds  $X, Y$  are diffeomorphic if there is a bijective and differentiable map  $f : X \rightarrow Y$  and  $f^{-1}$  is differentiable.

There are also some universality theorems focusing on the topological properties of the configuration spaces of linkages.

**Theorem 2.6 (Jordan and Steiner '98 [8]).** *Any compact real algebraic variety  $V \subset \mathbb{R}^n$  is homeomorphic to the union of components of the configuration space of a mechanical linkage.*

**Theorem 2.7 (Kapovich and Millson '02 [9]).** *For any smooth compact manifold  $X$ , there is a linkage  $L$  of which the moduli space is diffeomorphic to a disjoint union of a number of copies of  $X$ .*

Recall that the moduli space is the solutions of a geometric problem.

## 2.2 Navigational Complexity of the Motion Planning Problem

Farber explored the topological properties of the robot motion planning problem and showed that there is a homotopy invariant quantity of configuration spaces  $X$  called the *navigational complexity*  $TC(X)$  [4, 6]. This invariant explains how knowing the cohomology algebra of configuration space of a robot one may predict instabilities of its behavior.

Let  $X$  denote the configuration space of a mechanical system  $S$ . Then we can use a continuous path  $\gamma : [0, 1] \rightarrow X$  to represent a sequence of continuous motions of  $S$  where  $\gamma(0), \gamma(1)$  are the initial and final states of the system, respectively.

If  $X$  is path-connected, it is easy to see that one may move the system between any two states. Let  $PX$  denote the space of all these continuous paths. Let  $\pi : PX \rightarrow X \times X$  be the map from path to initial-final states configuration. Then  $\pi$  is a Serre fibration.

On the other hand, a motion planning algorithm is a function  $s : X \times X \rightarrow PX$ , and  $s$  is a section of  $\pi$ .

Now we are going to learn the continuity of motion planning algorithms. We say a motion planning algorithm  $s$  is continuous if solutions  $s(A, B)$  for all  $A, B \in X \times X$  continuously depend on initial-final states  $A$  and  $B$ .

**Lemma 2.8 (Farber '03 [4]).** *There exists a continuous motion planning algorithm for a configuration space  $X$  if and only if  $X$  is contractible.*

In practice, most of planning problems involves noncontractible configuration spaces. So we want to learn more about the *discontinuities*. Farber proposed an invariant  $\mathbf{TC}(X)$  to measure the complexity the planning problem in space  $X$ . Besides this, they also described four different ways in which  $\mathbf{TC}(X)$  affects the structure of motion planning algorithms for  $X$ . To describe those, we first use four distinct notions of navigational complexity of topological spaces:  $\mathbf{TC}_j(X), j = 1, 2, 3, 4$ .

A topological space  $X$  is called an *Euclidean Neighbourhood Retract* if it can be embedded into an Euclidean space  $\mathbb{R}^k$  such that for some open neighbourhood  $U, X \subset U \subset \mathbb{R}^k$ , there exists a retraction  $r : U \rightarrow X, r|_X = \mathbf{1}_X$  [6].

**Definition 2.9.** *A motion planning algorithm  $s : X \times X \rightarrow PX$  is called tame if  $X \times X = \bigcup_{1 \leq i \leq k} P_i$  for some finited number  $k$  such that*

- 1)  $s|_{P_i} : P_i \rightarrow PX, 1 \leq i \leq k$  is continuous.
- 2) All  $P_i$  are disjoint with each other.
- 3) Every  $P_i$  is an ENR

In practice, all motion planning algorithms are tame and the function  $s$  restrict to any  $P_i$  is usually real algebraic and continuous.

**Definition 2.10.** *The topological complexity of a tame motion planning algorithm  $s$  is the minimal number of domains of continuity  $k$  in a representation of  $s$  in definition 2.9 [7].*

**Definition 2.11.** *The topological complexity  $\mathbf{TC}_1(X)$  of a path-connected topological space  $X$  is the minimal topological complexity of motion planning algorithms of  $X$  [7].*

Observe that  $\mathbf{TC}_1(X) = 1$  if and only if  $X$  is a contractible Euclidean Neighbourhood Retract. If there is no tame motion planning algorithm for  $X$ ,  $\mathbf{TC}_1(X) = \infty$ .

Before we introduce the second notion of the topological complexity of topological spaces, we need first introduce the Schwarz genus. Let  $\pi : X \rightarrow B$  be a fibration. Let  $k$  be a number such that there is an open cover of the base  $B = \bigcup_{1 \leq i \leq k} S_i$  of which for each set  $S_i$ , there is a continuous section  $s_i : U_i \rightarrow X$  of  $\pi$ . The Schwarz genus is defined as the minimum  $k$  satisfies this condition by Schwarz in 1958 [14].

**Definition 2.12.** *Let  $X$  be any path-connected topological space. The topological complexity  $\mathbf{TC}_2(X)$  is the Schwarz genus of the fibration [7]*

$$\pi : PX \rightarrow X \times X$$

Let  $\text{cat}(X)$  denote the Lusternik-Schnirelmann category of  $X$  [2], then

$$\text{cat}(X) \leq \mathbf{TC}_2(X) \leq \text{cat}(X \times X)$$

The third notion of the topological complexity relates to the *order of instability* of motion planning algorithms introduced by *Farber* in 2004. For more details, see [6]. The order of instability is a fundamental feature of a motion planning algorithm. Formally, it is defined as the maximum value  $r \leq k$  such that for any  $\epsilon > 0$  we can find  $r$  pairs of initial-final configurations  $(A_1, B_1), (A_2, B_2), \dots, (A_r, B_r)$  in where the distance between any two pairs is less than  $\epsilon$  and every pair belongs to a unique set  $P_i$  as defined in definition 2.9.

**Definition 2.13.** *The topological complexity  $\mathbf{TC}_3(X)$  is the minimum order of instabilities of all tame motion planning algorithms in  $X$  [7].*

Before describing how  $\mathbf{TC}_4(X)$  is defined, we first introduce the complexity of random motion planning algorithms [5].

Let  $X$  be any path-connected topological space and  $PX$  be the space of all continuous paths.

**Definition 2.14.** *For any  $A, B \in X$ , let  $\gamma_1, \gamma_2, \dots, \gamma_n \in PX$  be an order sequence of continuous paths such that  $\gamma_i(0) = A, \gamma_i(1) = B$  for any  $i$ , and  $p_1, p_2, \dots, p_n \in [0, 1]$  is a sequence of probabilities summing to 1. Then a random  $n$ -valued path  $\sigma$  from  $A$  to  $B$  is defined as:*

$$\sigma = p_1\gamma_1 + \dots + p_n\gamma_n$$

Let  $P_n X$  denote the set of all  $n$ -valued random paths in  $X$ . Let  $\pi_n$  be a map from  $P_n X$  to the initial-final configuration space  $X \times X$ , then  $\pi_n$  is continuous [5]. Then an  $n$ -valued random motion planning algorithm can be defined as a continuous section of  $\pi_n$ ,  $s : X \times X \rightarrow P_n X$ .

**Definition 2.15.** The topological complexity  $\mathbf{TC}_4(X)$  is defined as the minimum value  $n$  such that there exists an  $n$ -valued random motion planning algorithm for  $X$  [7].

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