

For keycloak FAPI-SIG
Oct 2020

Client Policies Practical Guide

This document describes Client Policy Basics – the framework part of Client Policies

- JIRA Ticket : KEYCLOAK-14189 Client Policy : Basics
- Design document : Client Policies
- Pull Request : #7104 KEYCLOAK-14189 Client Policy : Basics

Client Policies are introduced to realize security profiles like FAPI and OAuth2 BCP in unified and extensible manner.

The intended reader is the following.

- Implementer of security profiles using Client Policies.
- Contributor to Client Policies itself.

Preface

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Benefits

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Benefits

Benefits

Client Policies can realize security profiles like FAPI and OAuth2 BCP in unified and extensible manner. Its benefits are as follow.

- Usability

It can improve messy client settings.

- Code Maintainability/Extensibility/Availability

It can improve the readability of endpoint classes.

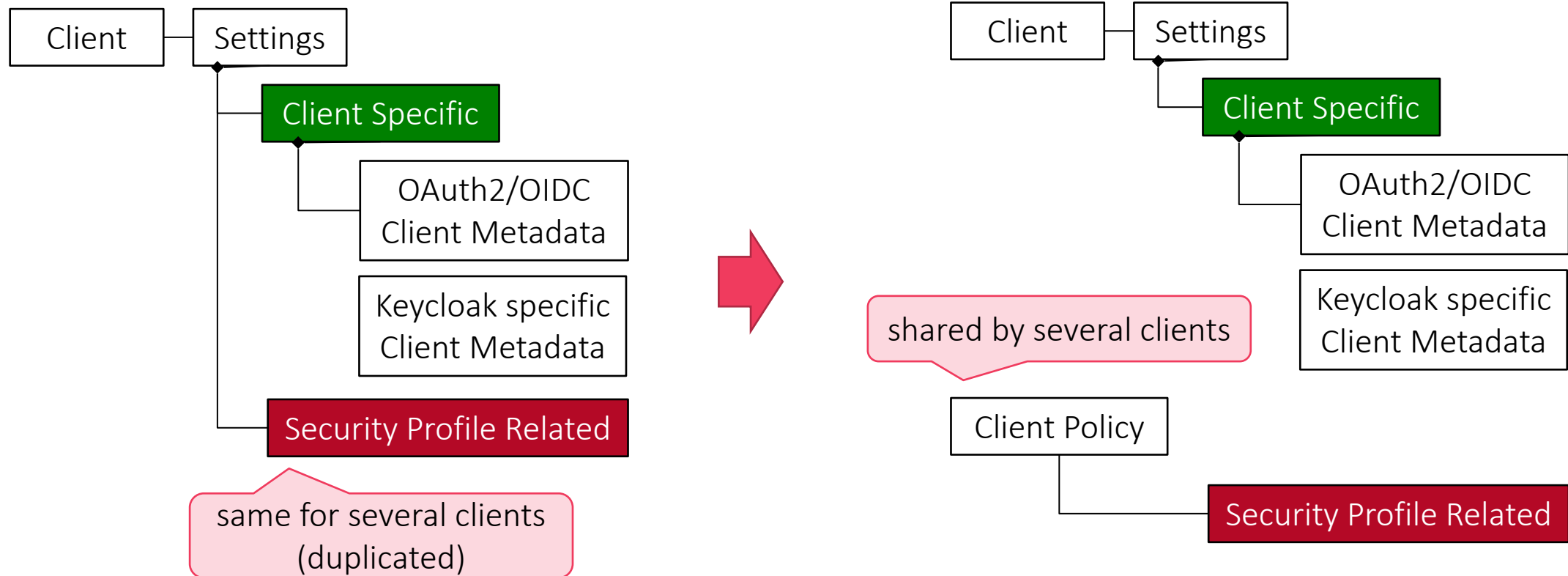
- Backward Compatibility

It can realize what the current Client Registration Policies do

Benefits - Usability

- Usability

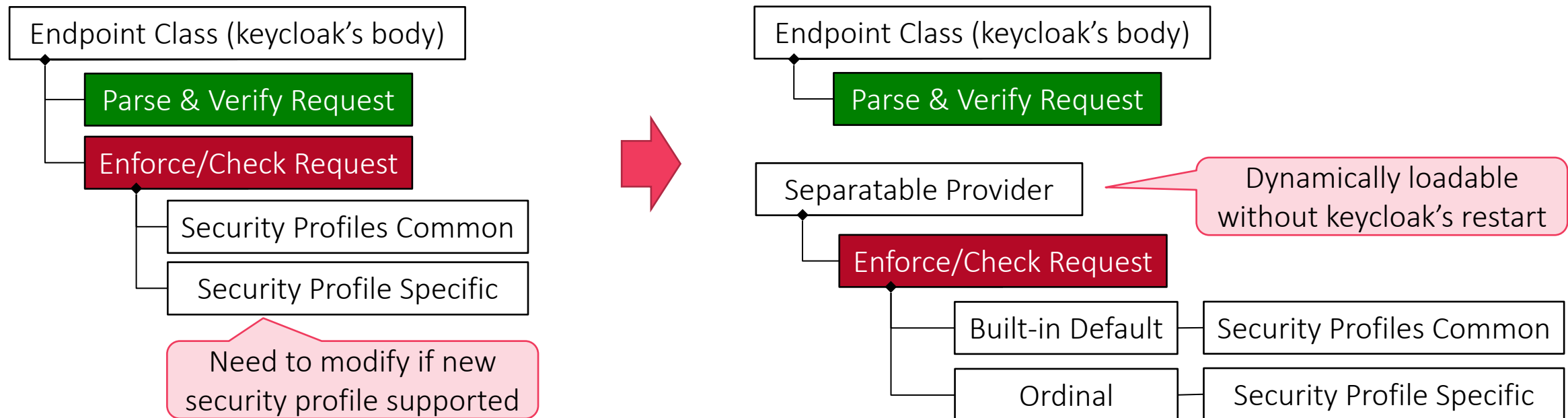
Security profile related client settings can be moved from Admin Console's client settings UI.



Benefits - Code Maintainability/Extensibility/Availability

- Code Maintainability/Extensibility/Availability

Security profile related hardcoded codes can be moved from endpoint classes to separatable providers. Keycloak need not restart when introducing new policies.



Benefits - Backward Compatibility

- Backward Compatibility

It can realize what the current Client Registration Policies do

- Provider :

Re-implemented as Executor of Client Policies

- UI :

Re-realized by Client Policies' Admin Console UI

- Persistent Entity :

Migrated to Client Policies' ComponentModel by liquibase

Scope

Scope

This document covers the followings :

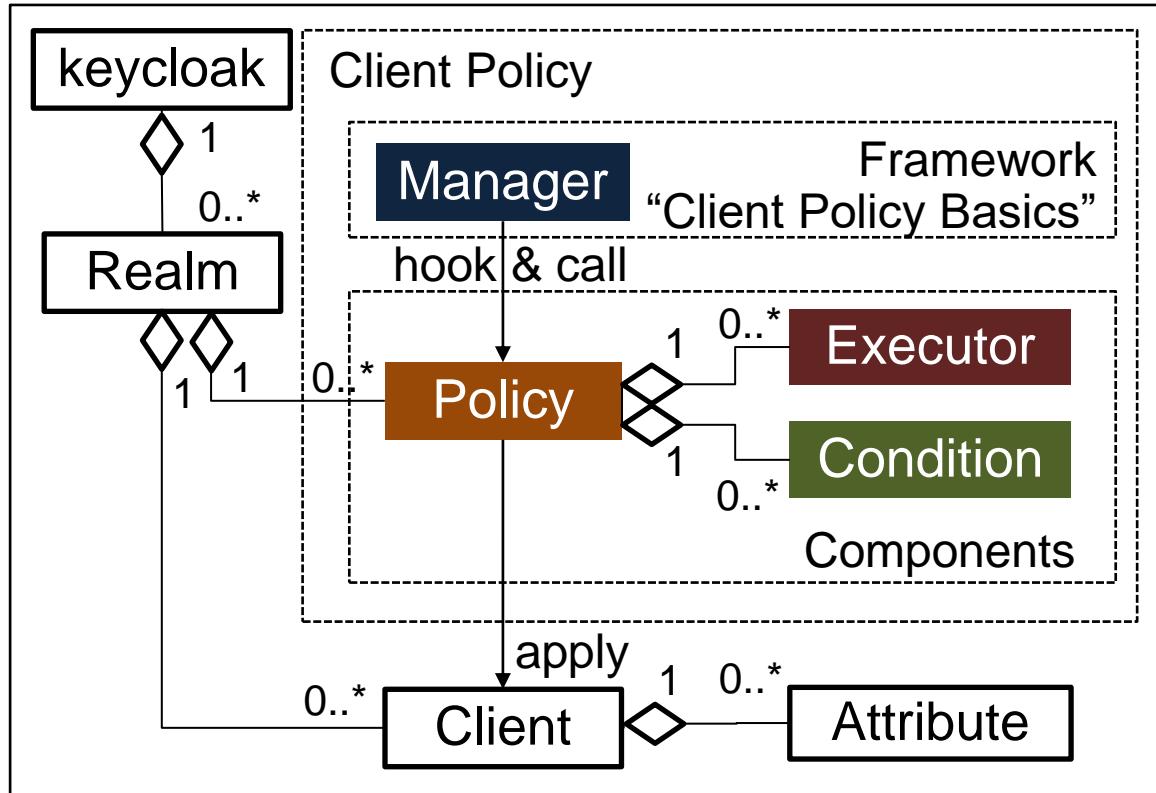
- JIRA Ticket : KEYCLOAK-14189 Client Policy : Basics
- Design document : Client Policies
- Pull Request : #7104 KEYCLOAK-14189 Client Policy : Basics

Especially, this document does not cover the followings :

- JIRA sub tickets of KEYCLOAK-13933 Client Policies other than Client Policy : Basics.
 - Conditions/Executors Implementation for FAPI-RW security profile support
 - Admin Console UI
 - Pre-set Policies
 - Client Registration Policies Migration

Mechanism

Layout



Basically, Client Policies consists of two parts.

- Framework

Load & run components.

Implemented on keycloak's body.

- Components

Security Profile's process.

Determine which security profile applied to which client.

Elements

[Components]

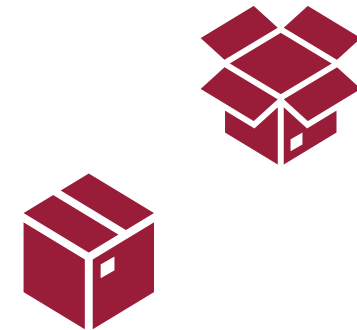
- Executor
- Condition
- Policy

[Framework]

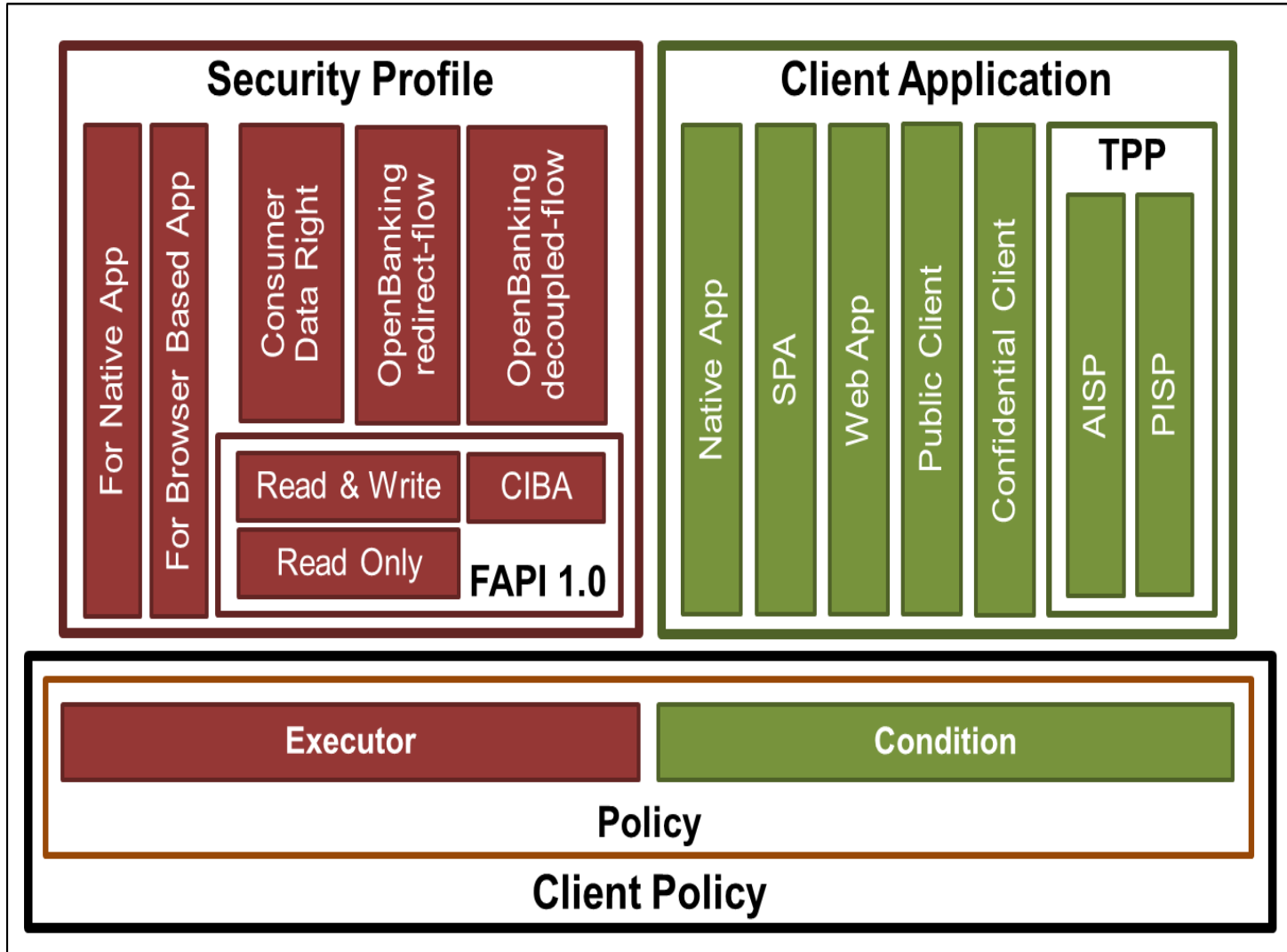
- Event
- Context
- Manager

[Misc]

- Vote
- Exception
- Logger
- Test : ClientPolicyBasicsTest

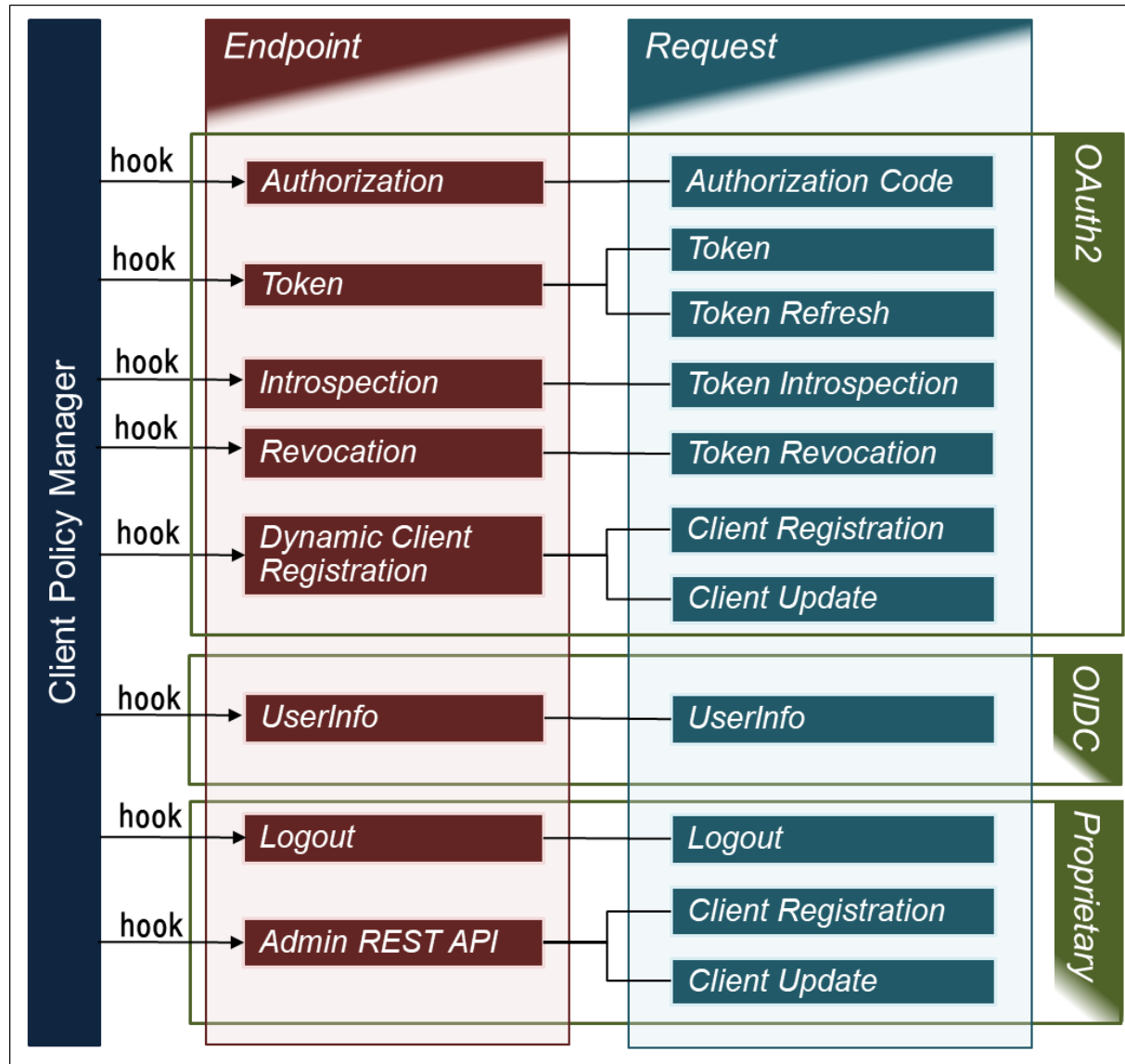


Components



- **Executor** : what to do
Implement security profile's process
- **Condition** : to which client
Determine which security profile applied to which client.
- **Policy** : what & which client
Manage a pair of Executor and Condition.

Framework

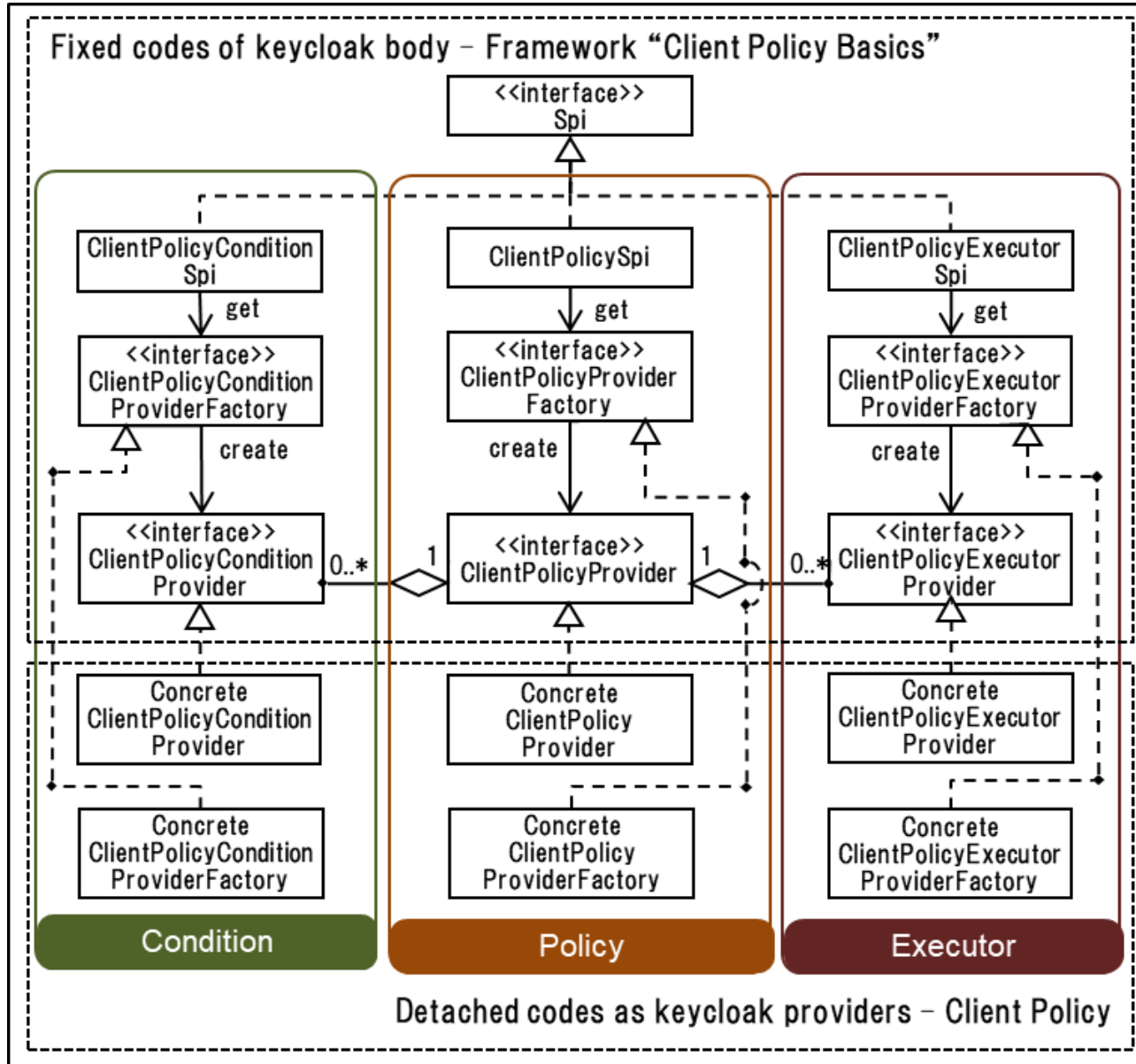


- **Event** : what kind of request arrives
Indicate which type of request arrives on which endpoint.
- **Context** : what request in detail
Contain the context data of the received request.
- **Manager** : monitor everything
Dependent on Event and Context, evaluate Policy's Condition to determine whether Policy's Executor is applied to the client sending the request. If so, execute Policy's Executor.

- Vote
 - The return value of evaluating Condition
 - “YES” : the client satisfies this Condition
 - “NO” : the client does not satisfy this Condition
 - “ABSTAIN” : skipping the evaluation of this Condition
- Exception
 - Showing errors occurred when evaluating Condition and executing Executor.
- Logger
 - Logging events occurred when evaluating Condition and executing Executor.
- Test : ClientPolicyBasicsTest
 - Testing Client Policies feature by Arquillian Integration Test framework.

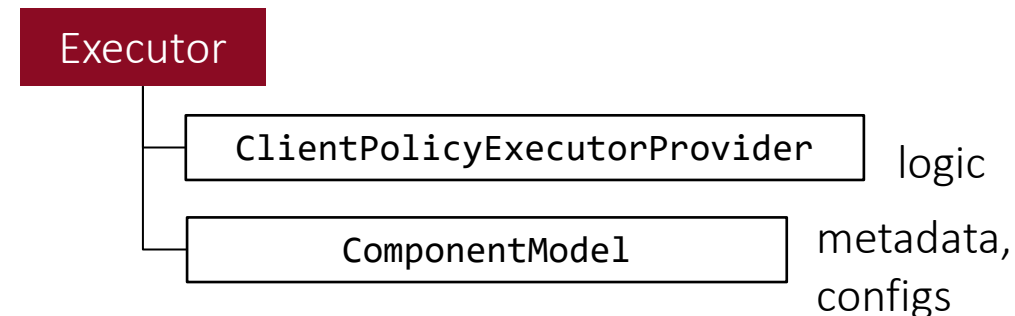
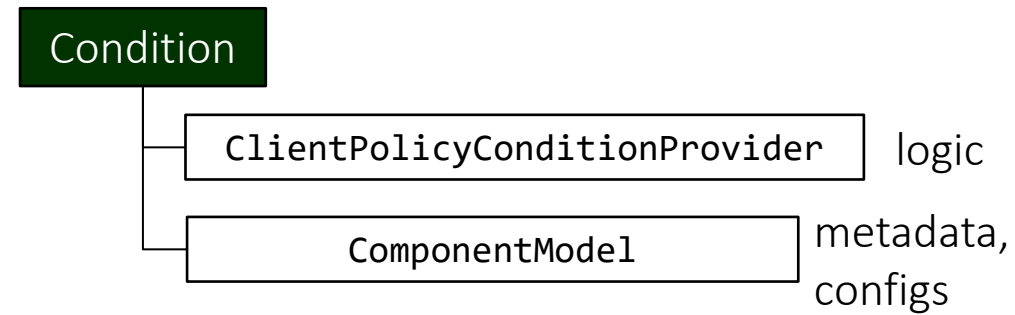
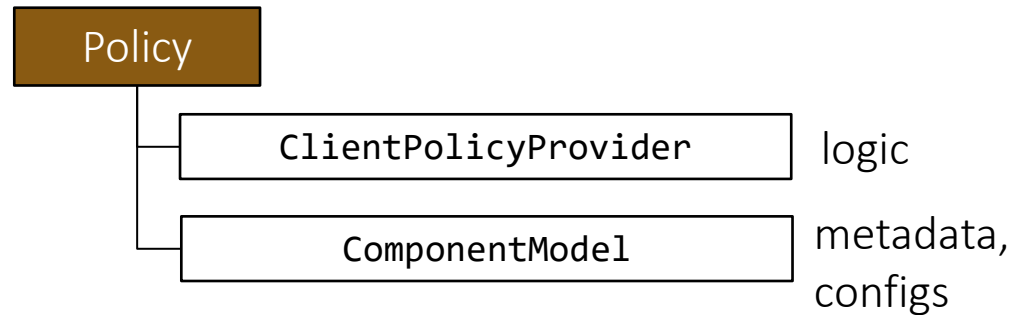
Internals

Implementation



- Fixed codes of keycloak body
 - Client Policy Basics (Framework)
 - Interface of Policy/Condition/Executor
- Detached codes as keycloak providers
 - Concrete Policy/Condition/Executor

Policy/Condition/Executor : Persisted Metadata & Configs



- Each of Policy, Condition and Executor persist their metadata and configs on ComponentModel class that is generally used to accommodate any kind of provider's metadata and configs.

[Metadata]

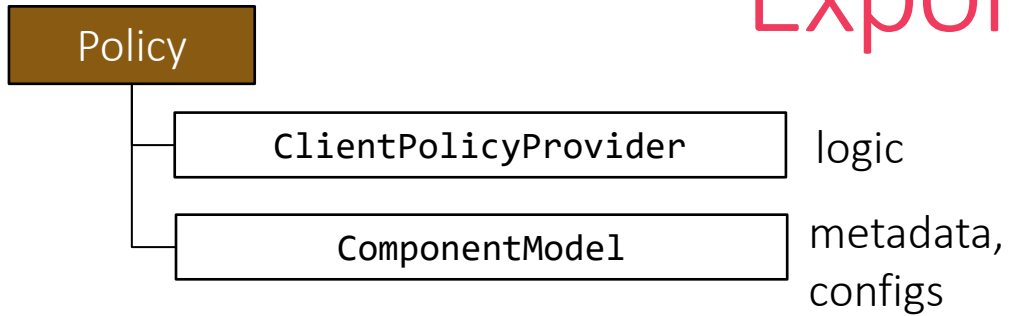
- ID : Component's instance ID
- Name : Component's instance name
- Provider ID : Component's provider ID defined by concrete provider factory class.

[Configs]

Depending on each components.

Policy: Persisted Metadata & Configs

Exported JSON Representation



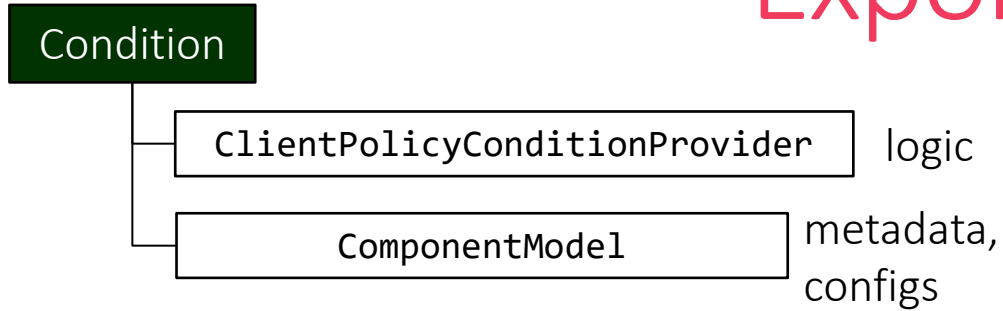
```
"components": {  
  ...  
  "org.keycloak.services.clientpolicy.ClientPolicyProvider" : [ {  
    "name" : "MyPolicy",  
    "providerId" : "client-policy-provider",  
    "subComponents" : { },  
    "config" : {  
      "client-policy-executor-ids" : [ "abad6ee9-6f4b-4e12-bc23-a5da2fdb6abf",  
                                       "47e818e3-f0d8-4a90-ace7-1a8b2362cec7" ],  
      "client-policy-condition-ids" : [ "047eb7c3-ba5f-45bc-9e27-9ca0d3ae5146" ]  
    }  
  } ],  
  ...  
}
```

Accommodating
executors' ids

Accommodating
conditions' ids

Condition : Persisted Metadata & Configs

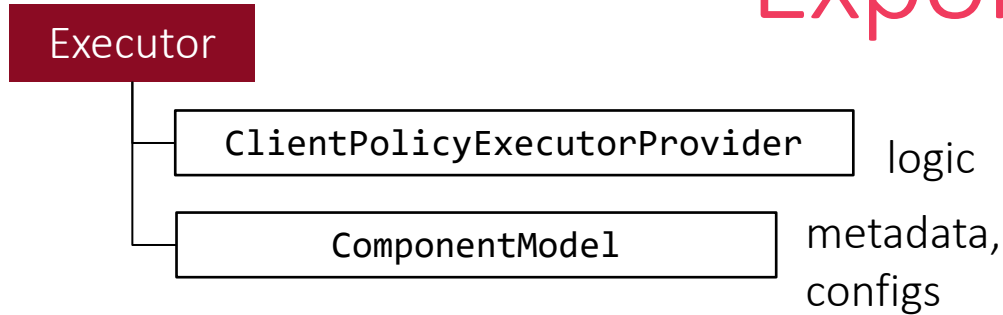
Exported JSON Representation



```
"components": {  
  ...  
  "org.keycloak.services.clientpolicy.condition.ClientPolicyConditionProvider" : [ {  
    "id" : "047eb7c3-ba5f-45bc-9e27-9ca0d3ae5146",  
    "name" : "ClientRolesCondition",  
    "providerId" : "clientroles-condition",  
    "subComponents" : { },  
    "config" : {  
      "roles" : [ "sample-client-role" ]  
    }  
  } ]  
  ...  
}
```

Executor : Persisted Metadata & Configs

Exported JSON Representation



```
"components": {  
  ...  
  "org.keycloak.services.clientpolicy.executor.ClientPolicyExecutorProvider" : [ {  
    "id" : "47e818e3-f0d8-4a90-ace7-1a8b2362cec7",  
    "name" : "SecureRequestObjectExecutor",  
    "providerId" : "secure-reqobj-executor",  
    "subComponents" : { },  
    "config" : { }  
  },  
  ...  
}
```

Condition : How to Implement

<<interface>> ClientPolicyConditionProvider
+applyPolicy(ClientPolicyContext)

- Implement applyPolicy method.
- Implement codes on each Event.
- Dependent on Event, ClientPolicyContext argument is casted into the corresponding Context class (p.30,31).
- Return ABSTAIN if the Event is not evaluated.

```
@Override
public ClientPolicyVote applyPolicy(ClientPolicyContext context) throws ClientPolicyException {
    switch (context.getEvent()) {
        case REGISTER:
        case UPDATE:
            if (isAuthMethodMatched((ClientUpdateContext)context)) return ClientPolicyVote.YES;
            return ClientPolicyVote.NO;
        default:
            return ClientPolicyVote.ABSTAIN;
    }
}
```

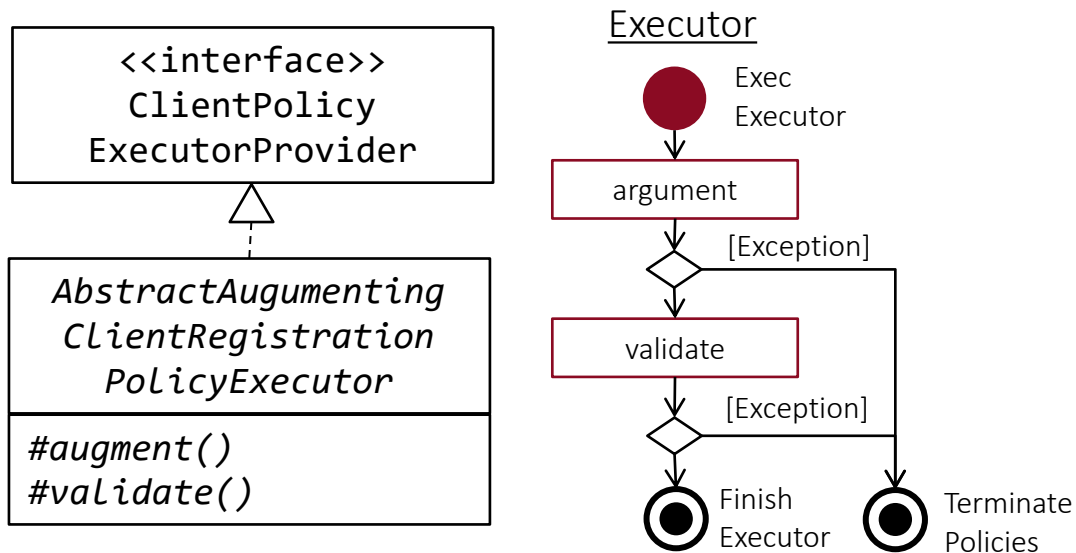
Executor : How to Implement

<code><<interface>></code> <code>ClientPolicyExecutorProvider</code>
<code>+executeOnEvent</code> <code>(ClientPolicyContext)</code>

- Implement `executeOnEvent` method.
- Implement codes on each Event.
- Dependent on Event, `ClientPolicyContext` argument is casted into the corresponding Context class (p.30,31).
- Throw `ClientPolicyException` the client's request is prohibited due to not complying with the security profile implemented by the executor.

```
@Override
public void executeOnEvent(ClientPolicyContext context) throws ClientPolicyException {
    switch (context.getEvent()) {
        case AUTHORIZATION_REQUEST:
            AuthorizationRequestContext authorizationRequestContext = (AuthorizationRequestContext)context;
            executeOnAuthorizationRequest(authorizationRequestContext.getparsedResponseType(),
                authorizationRequestContext.getAuthorizationEndpointRequest(),
                authorizationRequestContext.getRedirectUri(),
                authorizationRequestContext.getRequestParameters());
            break;
        default:
            return;
    }
}
```

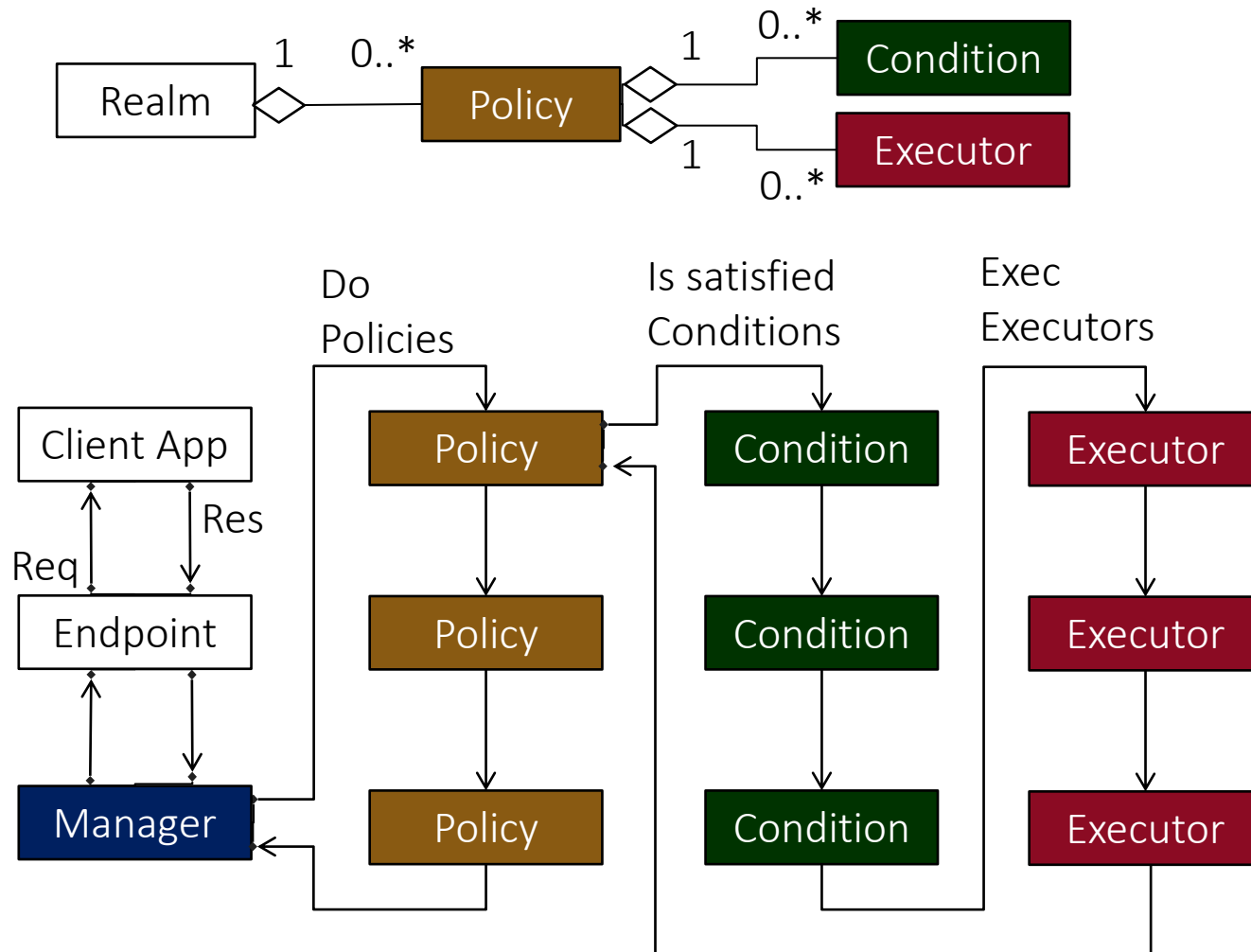

Augmenting Executor for Client Registration/Update



- At argument phase, some security profile related settings are enforced.
- At validate phase, some security profile related settings are checked to confirm whether there are appropriate or not.
- Subclasses overrides `argument` and `validate` method.

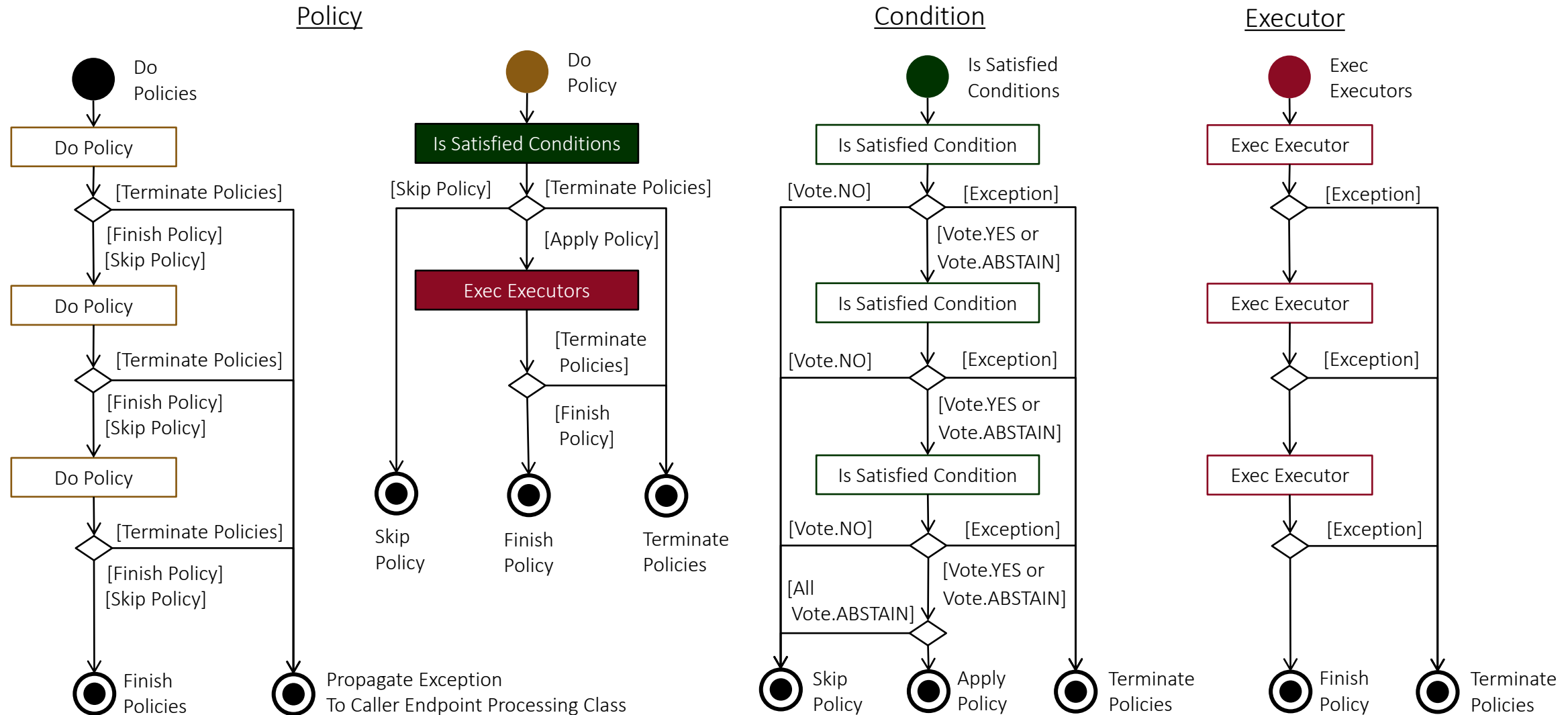
```
@Override
public void executeOnEvent(ClientPolicyContext context) throws ClientPolicyException {
    switch (context.getEvent()) {
        case REGISTER:
        case UPDATE:
            ClientUpdateContext clientUpdateContext = (ClientUpdateContext)context;
            augment(clientUpdateContext.getProposedClientRepresentation());
            validate(clientUpdateContext.getProposedClientRepresentation());
            break;
        default:
            return;
    }
}
```

Policy/Condition/Executor : Cardinality, Duplication, Order of Execution

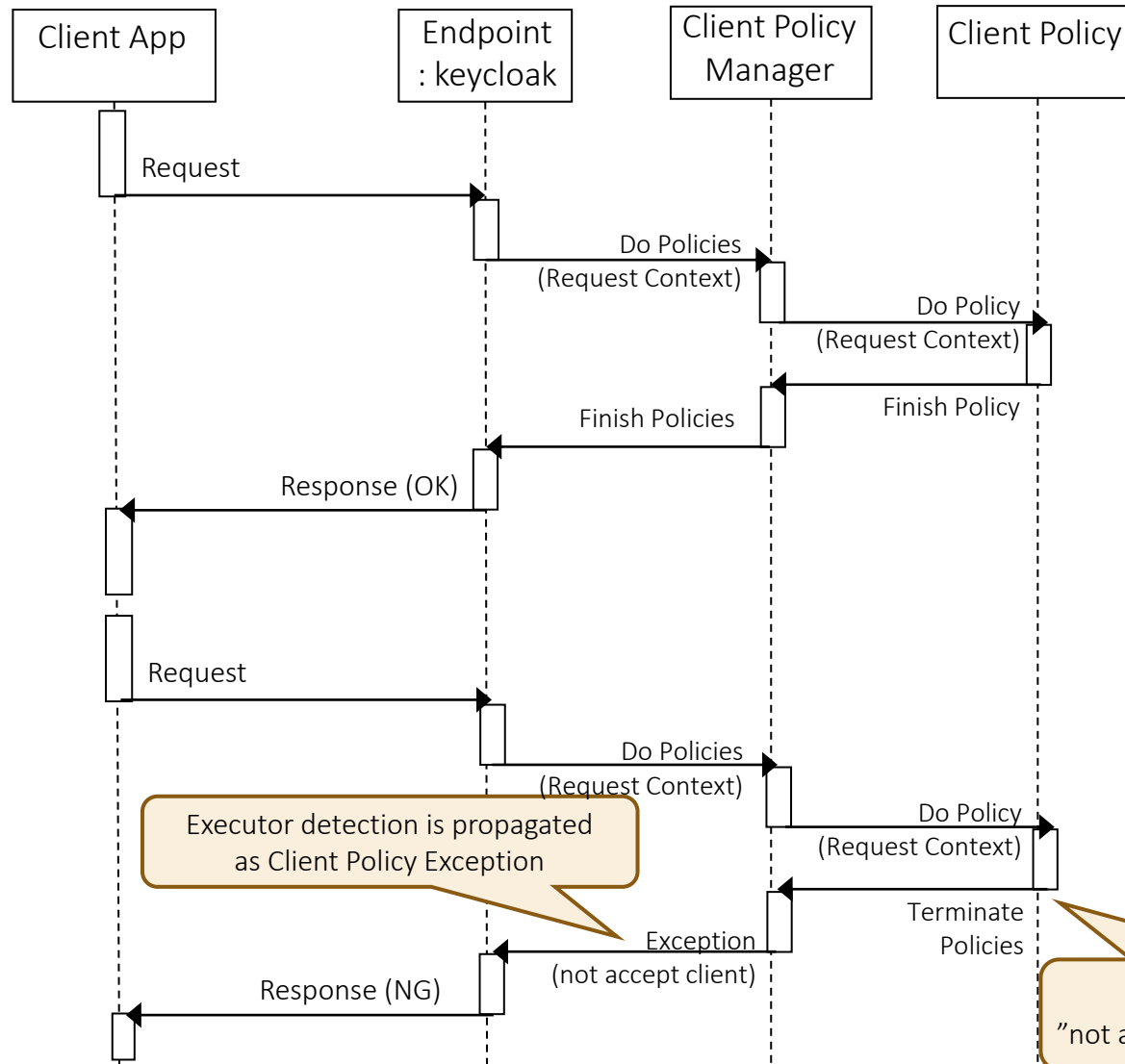


- [Cardinality] Realm can accommodate multiple Policies. The number of Policies is unlimited.
- [Cardinality] Policy can accommodate multiple Conditions and Executors. The number of Conditions/Executors are unlimited.
- [Duplication] It is allowed to duplicate Policy/Condition/Executor.
- [Order of Execution] We can not specify the order of execution of Policies/Conditions/Executors.

Client Policies Evaluation Activity Diagram

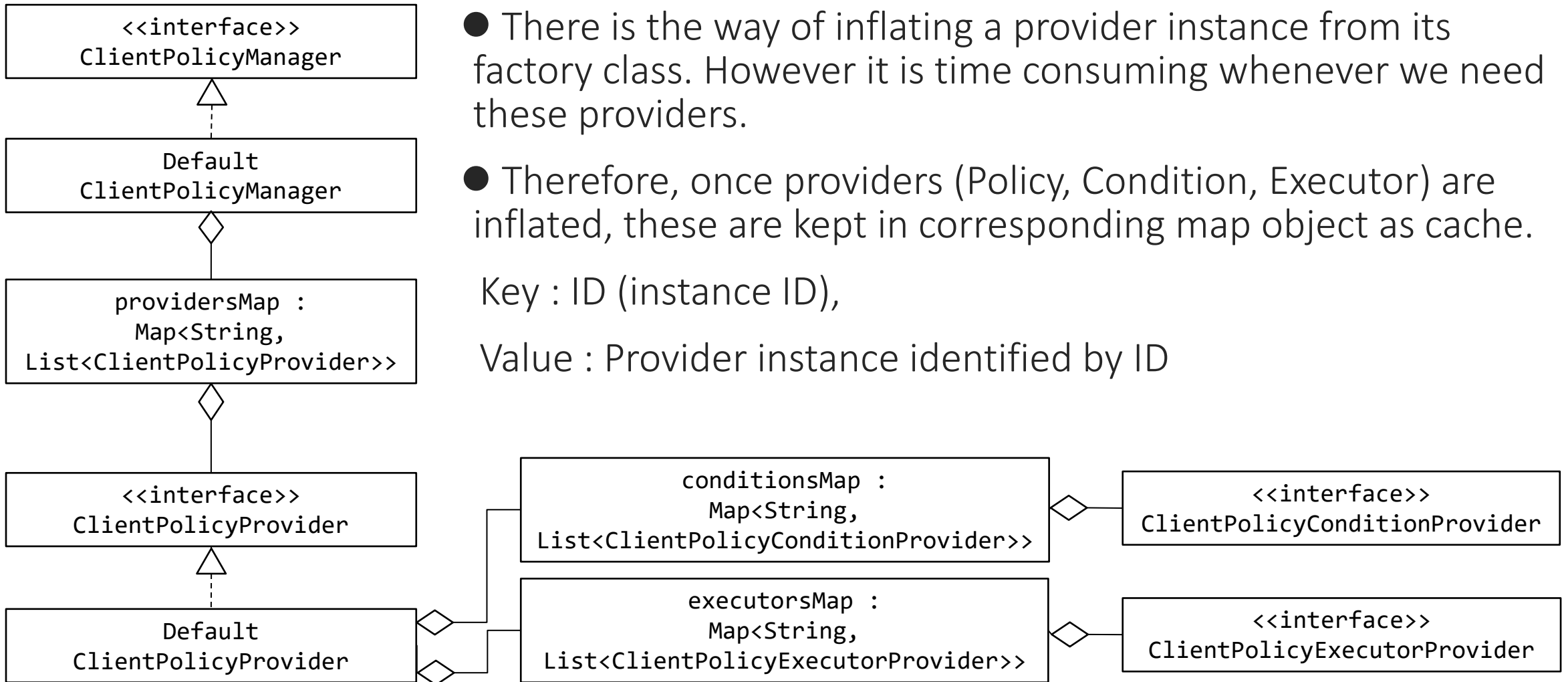


Client Policies Evaluation Flow Diagram



- On receiving a request from Client App, Endpoint class asks Client Policy Manager to do Client Policies operations.
- Client Policy Manager evaluate all Client Policies.
- [Error Notification] If some Executor determines that this Client App's request is not acceptable, throw Client Policy Exception to notify caller Endpoint class of it. Endpoint class catch it and crafts an error response to Client App.

Performance - Provider Cache



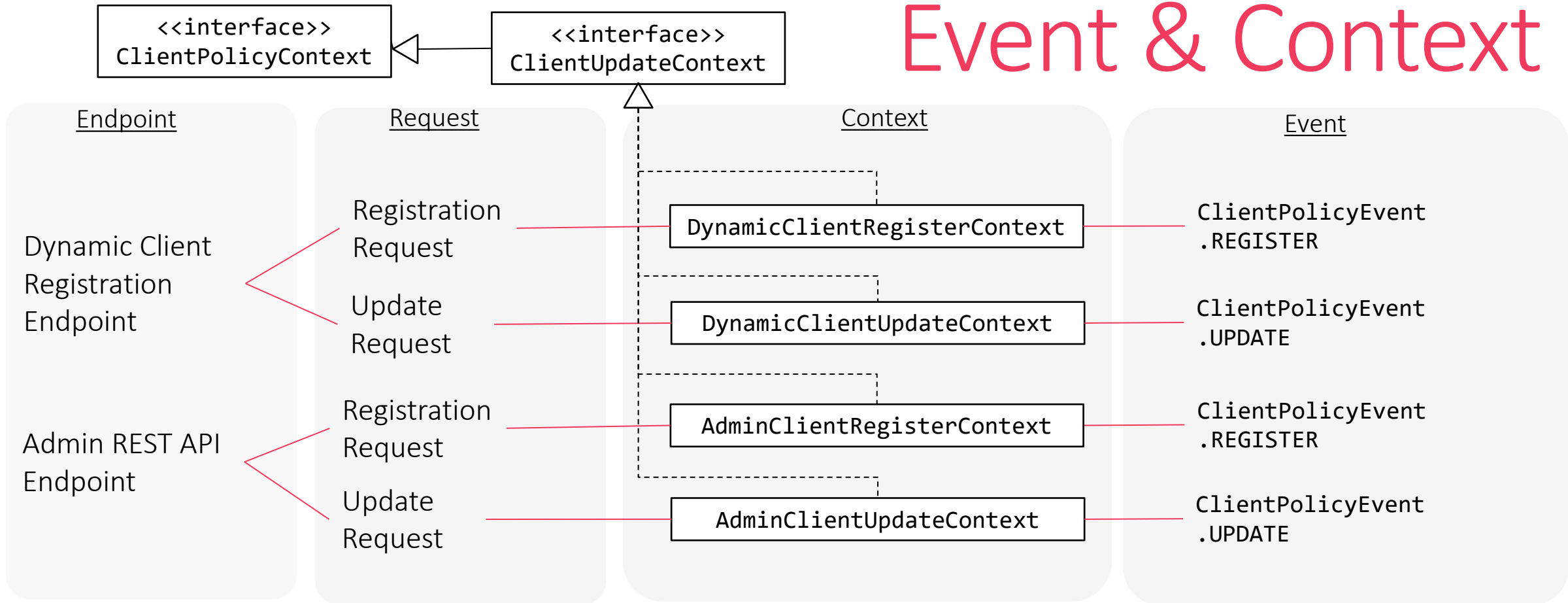
- There is the way of inflating a provider instance from its factory class. However it is time consuming whenever we need these providers.

- Therefore, once providers (Policy, Condition, Executor) are inflated, these are kept in corresponding map object as cache.

Key : ID (instance ID),

Value : Provider instance identified by ID

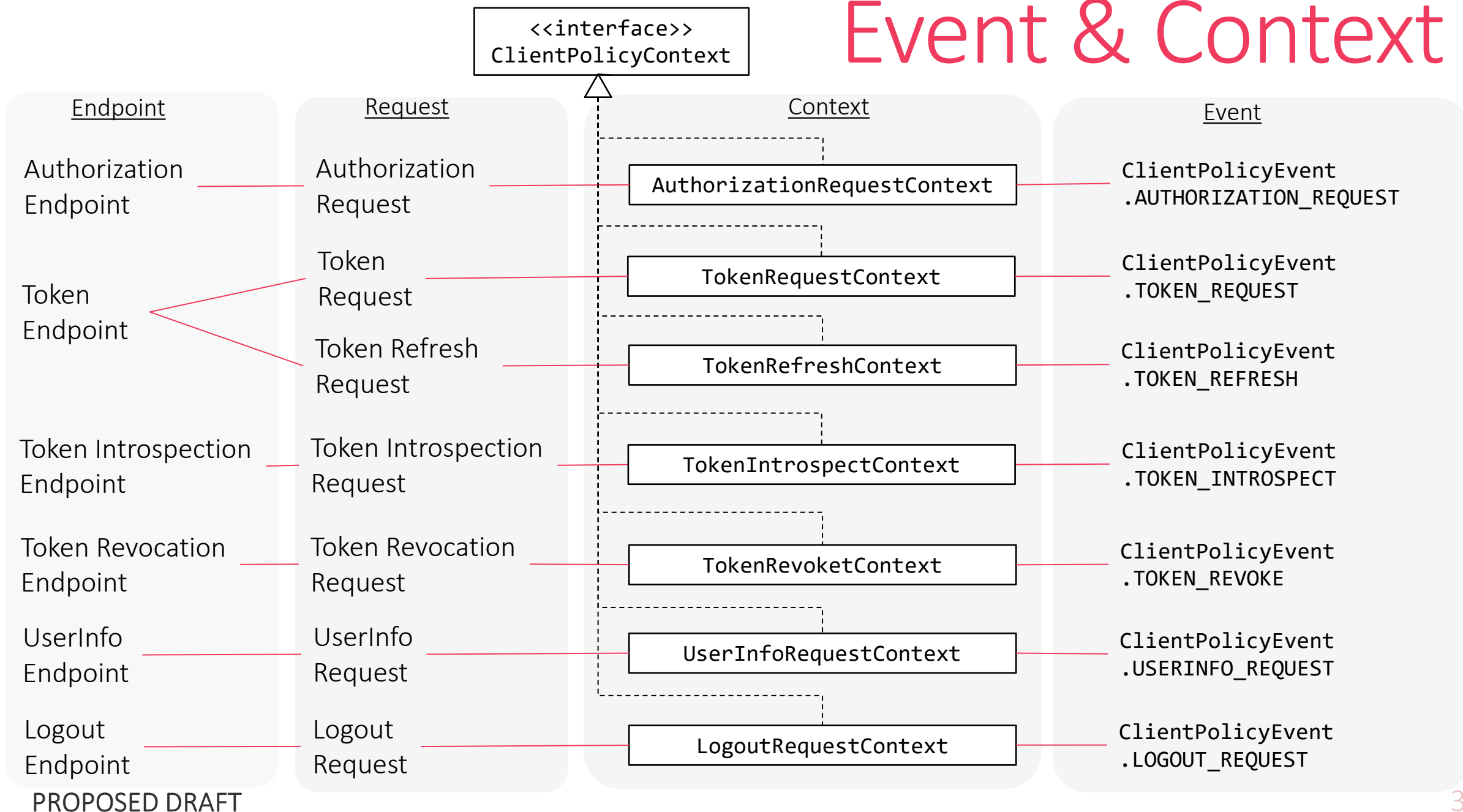
Event & Context



{ Endpoint, Request } → Context → Event

- A pair of Endpoint and the type of Request that the Client sends to the Endpoint corresponds to the dedicated Context.
- Context corresponds to the dedicated Event.

Event & Context



Exception

- [Exception] Following Exception class is used for Client Policies related codes :
server-spi/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyException.java
- [Usage of this exception in Executor] This exception is used to inform the caller Endpoint class of the client's request is prohibited due to not complying with the security profile implemented by the executor.

```
...  
    // check whether "aud" claim exists  
    List<String> aud = new ArrayList<String>();  
    JsonNode audience = requestObject.get("aud");  
    if (audience == null) {  
        ClientPolicyLogger.log(logger, "aud claim not included.");  
        throw new ClientPolicyException(INVALID_REQUEST_OBJECT,  
                                         "Missing parameter : aud");  
    }  
...
```


Logging

- [Logger] Following Logger class is used for Client Policies related codes :
services/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyLogger.java
- [Log Level] This logger's log level is "trace".
- [LoggingControl on Arquillian Integration Test] When running Arquillian Integration Test (ClientPolicyBasicsTest), you can control this logging by modifying the following properties file.

testsuite/integration-arquillian/tests/base/src/test/resources/log4j.properties

```
...  
# log4j.logger.org.keycloak.services.clientpolicy=trace  
# log4j.logger.org.keycloak.testsuite.clientpolicy=trace  
...
```

Tests

- [Arquillian Integration Test Class] Following test class is used :

testsuite/integration-arquillian/tests/base/src/test/java/org/keycloak/testsuite/client/ClientPolicyBasicsTest.java

- [Test Run Command] The command for running this test is as follows :

```
# Build
mvn clean install -DskipTests -Pdistribution

# Build testsuite
mvn clean install -Pauth-server-wildfly -DskipTests -f testsuite/pom.xml

# Run base tests
mvn -f testsuite/integration-arquillian/tests/base/pom.xml -Pauth-server-wildfly test
-Dtest=org.keycloak.testsuite.client.ClientPolicyBasicsTest -Dkeycloak.logging.level=info
```

Client Policy Basics Classes

[1] Tech Preview Setting

`common/src/main/java/org/keycloak/common/Profile.java`

[2] Unit Test : Tech Preview Setting

`common/src/test/java/org/keycloak/common/ProfileTest.java`

[3] Policy : Provider - Interface

`server-spi-private/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyProvider.java`

[4] Policy : Provider Factory - Interface

`server-spi-private/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyProviderFactory.java`

[5] Policy : SPI

`server-spi-private/src/main/java/org/keycloak/services/clientpolicy/ClientPolicySpi.java`

[6] Vote

`server-spi-private/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyVote.java`

Client Policy Basics Classes

[7] Condition : Provider - Interface

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/condition/ClientPolicyConditionProvider.java

[8] Condition : Provider Factory - Interface

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/condition/ClientPolicyConditionProviderFactory.java

[9] Condition : SPI

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/condition/ClientPolicyConditionSpi.java

[10] Executor : Provider - Interface

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/executor/ClientPolicyExecutorProvider.java

[11] Executor : Provider Factory - Interface

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/executor/ClientPolicyExecutorProviderFactory.java

[12] Executor : SPI

server-spi-private/src/main/java/org/keycloak/services/clientpolicy/executor/ClientPolicyExecutorSpi.java

Client Policy Basics Classes

[13] Policy/Condition/Executor : SPI Registration

server-spi-private/src/main/resources/META-INF/services/org.keycloak.provider.Spi

[14] Keycloak Session - Interface

server-spi/src/main/java/org/keycloak/models/KeycloakSession.java

Provides Client Policy Manager.

[15] Context - Interface

server-spi/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyContext.java

[16] Event

server-spi/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyEvent.java

[17] Exception

server-spi/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyException.java

[18] Manager - Interface

server-spi/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyManager.java

Client Policy Basics Classes

[19] Endpoint – Authorization Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/AuthorizationEndpoint.java`

[20] Endpoint – Logout Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/LogoutEndpoint.java`

[21] Endpoint – Token Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/TokenEndpoint.java`

[22] Endpoint – Token Introspection Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/TokenIntrospectionEndpoint.java`

[23] Endpoint – Token Revocation Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/TokenRevocationEndpoint.java`

[24] Endpoint – UserInfo Endpoint

`services/src/main/java/org/keycloak/protocol/oidc/endpoints/UserInfoEndpoint.java`

Client Policy Basics Classes

[25] Keycloak Session – Default Implementation

`services/src/main/java/org/keycloak/services/DefaultKeycloakSession.java`

Provides Client Policy Manager.

[26] Context – Client Registration Request by Admin REST API

`services/src/main/java/org/keycloak/services/clientpolicy/AdminClientRegisterContext.java`

[27] Context – Client Update Request by Admin REST API

`services/src/main/java/org/keycloak/services/clientpolicy/AdminClientUpdateContext.java`

[28] Context – Authorization Request

`services/src/main/java/org/keycloak/services/clientpolicy/AuthorizationRequestContext.java`

[29] Logger

`services/src/main/java/org/keycloak/services/clientpolicy/ClientPolicyLogger.java`

[30] Context – General Client Registration/Update Request Interface

`services/src/main/java/org/keycloak/services/clientpolicy/ClientUpdateContext.java`

Client Policy Basics Classes

[31] Manager – Default Implementation

`services/src/main/java/org/keycloak/services/clientpolicy/DefaultClientPolicyManager.java`

[32] Policy – Default Implementation

`services/src/main/java/org/keycloak/services/clientpolicy/DefaultClientPolicyProvider.java`

[33] Policy Factory – Default Implementation

`services/src/main/java/org/keycloak/services/clientpolicy/DefaultClientPolicyProviderFactory.java`

[34] Context – Dynamic Client Registration Request

`services/src/main/java/org/keycloak/services/clientpolicy/DynamicClientRegisterContext.java`

[35] Context – Dynamic Client Update Request

`services/src/main/java/org/keycloak/services/clientpolicy/DynamicClientUpdateContext.java`

[36] Context – Logout Request

`services/src/main/java/org/keycloak/services/clientpolicy/LogoutRequestContext.java`

Client Policy Basics Classes

[37] Context – Token Introspection Request

`services/src/main/java/org/keycloak/services/clientpolicy/TokenIntrospectContext.java`

[38] Context – Token Refresh Request

`services/src/main/java/org/keycloak/services/clientpolicy/TokenRefreshContext.java`

[39] Context – Token Request

`services/src/main/java/org/keycloak/services/clientpolicy/TokenRequestContext.java`

[40] Context – Token Revocation Request

`services/src/main/java/org/keycloak/services/clientpolicy/TokenRevokeContext.java`

[41] Context – UserInfo Request

`services/src/main/java/org/keycloak/services/clientpolicy/UserInfoRequestContext.java`

[42] Executor – Abstract Augmenting Executor for Client Registration/Update

`services/src/main/java/org/keycloak/services/clientpolicy/executor/AbstractAugumentingClientRegistrationPolicyExecutor.java`

Client Policy Basics Classes

[43] Executor Factory – Abstract Augmenting Executor Factory for Client Registration/Update

services/src/main/java/org/keycloak/services/clientpolicy/executor/AbstractAugmentingClientRegistrationPolicyExecutorFactory.java

[44] Endpoint – Dynamic Client Registration/Update

services/src/main/java/org/keycloak/services/clientregistration/ClientRegistrationAuth.java

[45] Endpoint – Admin REST API for Client Update

services/src/main/java/org/keycloak/services/resources/admin/ClientResource.java

[46] Endpoint – Admin REST API for Client Registration

services/src/main/java/org/keycloak/services/resources/admin/ClientsResource.java

[47] Policy Implementation Registration

services/src/main/resources/META-INF/services/org.keycloak.services.clientpolicy.ClientPolicyProviderFactory

Client Policy Basics Classes

[48] Condition – Test Provider Implementation : the way of creating/updating a client

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestAuthnMethodsCondition.java

[49] Condition Factory – Test Provider Factory Implementation : the way of creating/updating a client

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestAuthnMethodsConditionFactory.java

[50] Condition – Test Provider Implementation : Client Role

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestClientRolesCondition.java

[51] Condition Factory – Test Provider Factory Implementation : Client Role

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestClientRolesConditionFactory.java

Client Policy Basics Classes

[52] Condition – Test Provider Implementation : Raise Client Policy Exception Intentionally

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestRaiseExeptionCondition.java

[53] Condition Factory – Test Provider Factory Implementation : Raise Client Policy Exception Intentionally

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/condition/TestRaiseExeptionConditionFactory.java

[54] Executor – Test Provider Implementation : Enforce more secure client authentication method when client registration

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/executor/TestClientAuthenticationExecutor.java

[55] Executor Factory – Test Provider Factory Implementation : Enforce more secure client authentication method when client registration

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/executor/TestClientAuthenticationExecutorFactory.java

Client Policy Basics Classes

[56] Executor – Test Provider Implementation : Enforce Proof Key for Code Exchange (PKCE)

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/executor/TestPKCEEnforceExecutor.java

[57] Executor Factory – Test Provider Factory Implementation : Enforce Proof Key for Code Exchange (PKCE)

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/java/org/keycloak/testsuite/services/clientpolicy/executor/TestPKCEEnforceExecutorFactory.java

[58] Test Provider Condition Implementation Registration

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/resources/META-INF/services/org.keycloak.services.clientpolicy.condition.ClientPolicyConditionProviderFactory

[59] Test Provider Executor Implementation Registration

testsuite/integration-arquillian/servers/auth-server/services/testsuite-providers/src/main/resources/META-INF/services/org.keycloak.services.clientpolicy.executor.ClientPolicyExecutorProviderFactory

Client Policy Basics Classes

[60] Arquillian Integration Test – Client Policy Basics

testsuite/integration-arquillian/tests/base/src/test/java/org/keycloak/testsuite/client/ClientPolicyBasicsTest.java

[61] Arquillian Integration Test – Client Policy Logger Log Level Control

testsuite/integration-arquillian/tests/base/src/test/resources/log4j.properties

End