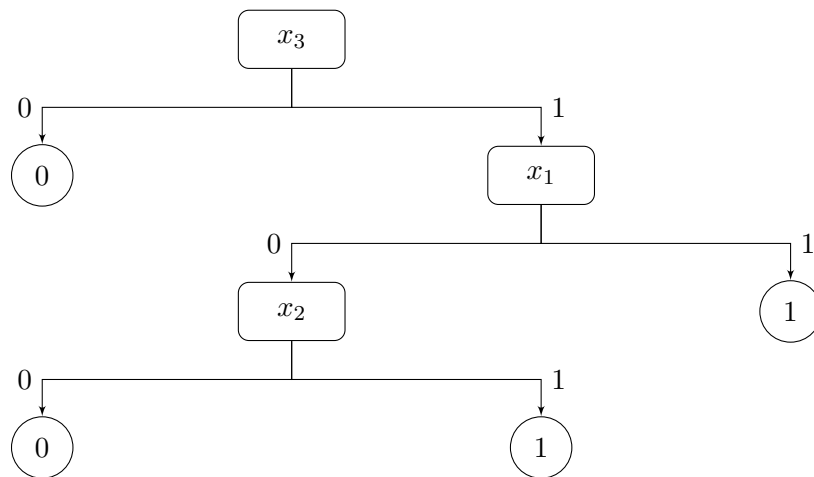


# 1: Decision trees

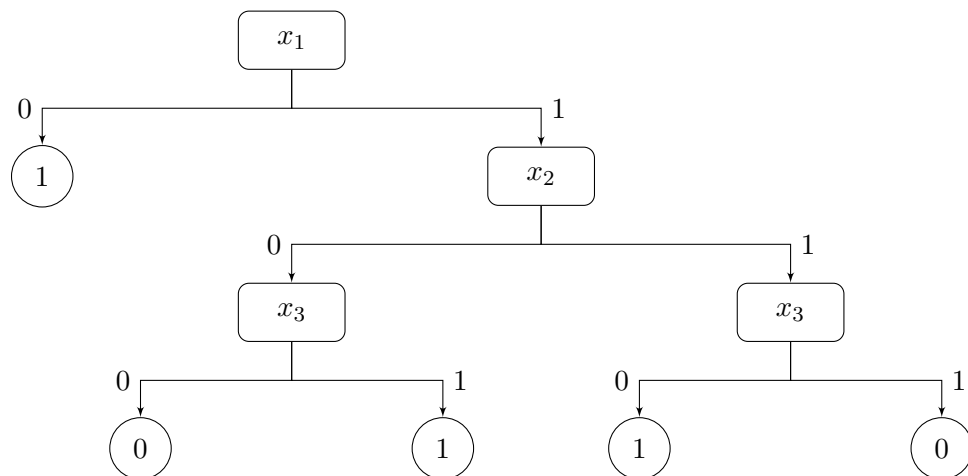
*Note: Square nodes test for feature values and round leaf nodes specify the class labels.*

(1) Representing Boolean functions as decision trees.

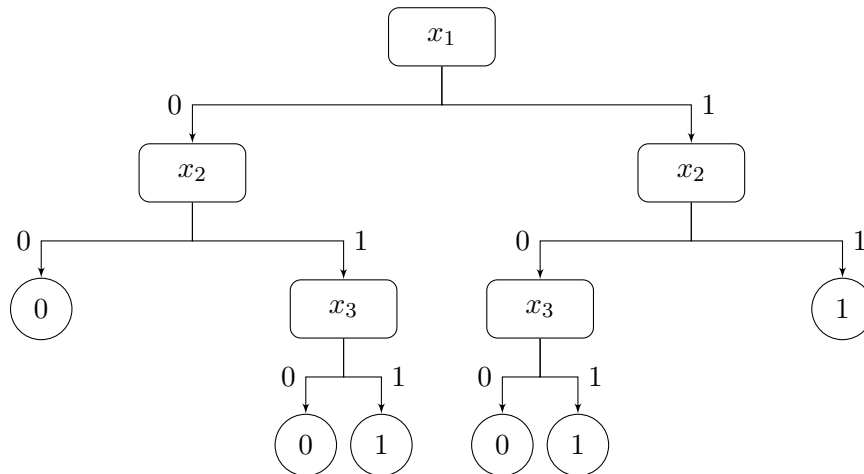
(a)  $(x_1 \vee x_2) \wedge x_3$



(b)  $(x_1 \wedge x_2) \text{ xor } (\neg x_1 \vee x_3)$



- (c) The 2-of-3 function defined as follows: at least 2 of  $\{x_1, x_2, x_3\}$  should be true for the output to be true.



- (2) Pokémon Go decision tree to determine whether a Pokémon can be caught.

- (a) There are 2 choices for Berry, 3 choices for Ball, 3 choices for Color, and 4 choices for type. This gives  $2 * 3 * 3 * 4 = 72$  possible outputs. We are making a Boolean decision, so there are two ways to fill each of those 72 outputs giving  $2^{72}$  possible functions.

$2^{72}$  possible functions

- (b) Entropy is defined as:

$$H(S) = -p_+ \log(p_+) - p_- \log(p_-)$$

The training set contains 16 examples, 8 of which result in a catch while the other 8 do not.

$$H(Caught) = -\frac{8}{16} \log\left(\frac{8}{16}\right) - \frac{8}{16} \log\left(\frac{8}{16}\right) = 1$$

$H(Caught) = 1$

- (c) Information gain is defined as:

$$Gain(S, A) = H(S) - \sum_{v \in Values(A)} \frac{|S_v|}{|S|} H(S_v)$$

Berry = Yes. 7 out of 16 examples. Caught = 6/7 - Not Caught = 1/7.  $H(berry_{yes}) = 0.592$ .  
 Berry = No. 9 out of 16 examples. Caught = 2/9 - Not Caught = 7/9.  $H(berry_{no}) = 0.764$ .

$$Gain(S, Berry) = 1 - \left(\left(\frac{7}{16}\right)(0.592) + \left(\frac{9}{16}\right)(0.764)\right) = 0.689$$

$Gain(S, Berry) = 0.311$

Ball = Poké. 6 out of 16 examples. Caught = 1/6 - Not Caught = 5/6.  $H(ball_{poke}) = 0.65$ .

Ball = Great. 7 out of 16 examples. Caught = 4/7 - Not Caught = 3/7.  $H(ball_{great}) = 0.985$ .

Ball = Ultra. 3 out of 16 examples. Caught = 3/3 - Not Caught = 0/3.  $H(ball_{ultra}) = 0$ .

$$Gain(S, Ball) = 1 - ((\frac{6}{16})(0.65) + (\frac{7}{16})(0.985) + (\frac{3}{16})(0)) = 0.325$$

$Gain(S, Ball) = 0.325$

Color = Green. 3 out of 16 examples. Caught = 2/3 - Not Caught = 1/3.  $H(color_{green}) = 0.918$ .

Color = Yellow. 7 out of 16 examples. Caught = 3/7 - Not Caught = 4/7.  $H(color_{yellow}) = 0.985$ .

Color = Red. 6 out of 16 examples. Caught = 3/6 - Not Caught = 3/6.  $H(color_{red}) = 1$ .

$$Gain(S, Color) = 1 - ((\frac{3}{16})(0.918) + (\frac{7}{16})(0.985) + (\frac{6}{16})(1)) = 0.022$$

$Gain(S, Color) = 0.022$

Type = Normal. 6 out of 16 examples. Caught = 3/6 - Not Caught = 3/6.  $H(type_{normal}) = 1$ .

Type = Water. 4 out of 16 examples. Caught = 2/4 - Not Caught = 2/4.  $H(type_{water}) = 1$ .

Type = flying. 4 out of 16 examples. Caught = 3/4 - Not Caught = 1/4.  $H(type_{flying}) = 0.811$ .

Type = psychic. 2 out of 16 examples. Caught = 0/2 - Not Caught = 2/2.  $H(type_{psychic}) = 0$ .

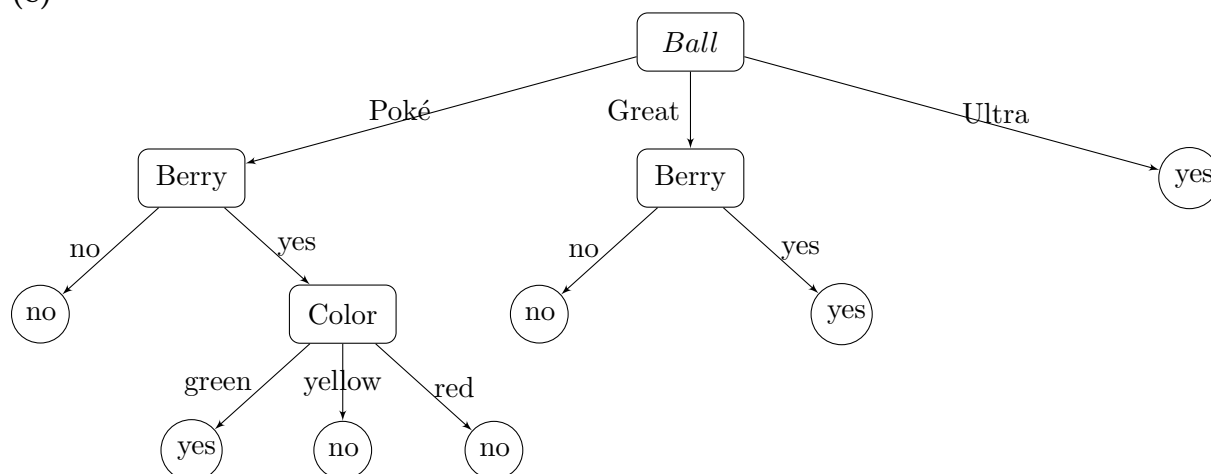
$$Gain(S, Type) = 1 - ((\frac{6}{16})(1) + (\frac{4}{16})(1) + (\frac{4}{16})(0.811) + (\frac{2}{16})(0)) = 0.172$$

$Gain(S, Type) = 0.172$

(d) When using the ID3 algorithm to create a decision tree, I would select the attribute Ball as the root of the tree.

Ball

(e)



Based on the common value for the attribute value *yellow*, a choice was made for the node  $\text{Ball} \rightarrow \text{Berry} \rightarrow \text{Color}$ . Across the training examples the most likely labeling is *no*.

(f)

Berry	Ball	Color	Type	Caught	Correct Prediction?
Yes	Great	Yellow	Psychic	Yes	Yes
Yes	Poké	Green	Flying	No	No
No	Ultra	Red	Water	No	No

Table 1: Predictions for test set

Out of the three examples in the test set, my decision tree predicted only one correct.

Accuracy = 33%

(g) I think using a decision tree to classify if a Pokémon will be caught or not is a good idea. The training set provided is very sparse and I would expect a better rate of accuracy given more training data.

(3) Using the Gini measure with the ID3 algorithm.

(a) Information gain is defined as:

$$\text{Gain}(S, A) = \text{Gini}(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} \text{Gini}(S_v)$$

Caught = Yes. 8 out of 16 examples. Caught = No. 8 out of 16 examples.  $\text{Gini}(S) = 0.5$

Berry = Yes. 7 out of 16 examples. Caught = 6/7 - Not Caught = 1/7.  $\text{Gini}(\text{berry}_{\text{yes}}) = 0.245$ .

Berry = No. 9 out of 16 examples. Caught = 2/9 - Not Caught = 7/9.  $\text{Gini}(\text{berry}_{\text{no}}) = 0.346$ .

$$\text{Gain}(S, \text{Berry}) = 0.5 - \left( \left( \frac{7}{16} \right) (0.245) + \left( \frac{9}{16} \right) (0.346) \right) = 0.2$$

$$\text{Gain}(S, \text{Berry}) = 0.2$$

Ball = Poké. 6 out of 16 examples. Caught = 1/6 - Not Caught = 5/6.  $\text{Gini}(\text{ball}_{\text{poke}}) = 0.278$ .

Ball = Great. 7 out of 16 examples. Caught = 4/7 - Not Caught = 3/7.  $\text{Gini}(\text{ball}_{\text{great}}) = 0.49$ .

Ball = Ultra. 3 out of 16 examples. Caught = 3/3 - Not Caught = 0/3.  $\text{Gini}(\text{ball}_{\text{ultra}}) = 0$ .

$$\text{Gain}(S, \text{Ball}) = 0.5 - \left(\left(\frac{6}{16}\right)(0.278) + \left(\frac{7}{16}\right)(0.49) + \left(\frac{3}{16}\right)(0)\right) = 0.181$$

$$\text{Gain}(S, \text{Ball}) = 0.181$$

Color = Green. 3 out of 16 examples. Caught = 2/3 - Not Caught = 1/3.

$\text{Gini}(\text{color}_{\text{green}}) = 0.444$ .

Color = Yellow. 7 out of 16 examples. Caught = 3/7 - Not Caught = 4/7.

$\text{Gini}(\text{color}_{\text{yellow}}) = 0.49$ .

Color = Red. 6 out of 16 examples. Caught = 3/6 - Not Caught = 3/6.  $\text{Gini}(\text{color}_{\text{red}}) = 0.5$ .

$$\text{Gain}(S, \text{Color}) = 0.5 - \left(\left(\frac{3}{16}\right)(0.444) + \left(\frac{7}{16}\right)(0.49) + \left(\frac{6}{16}\right)(0.5)\right) = 0.015$$

$$\text{Gain}(S, \text{Color}) = 0.015$$

Type = Normal. 6 out of 16 examples. Caught = 3/6 - Not Caught = 3/6.

$\text{Gini}(\text{type}_{\text{normal}}) = 0.5$ .

Type = Water. 4 out of 16 examples. Caught = 2/4 - Not Caught = 2/4.  $\text{Gini}(\text{type}_{\text{water}}) = 0.5$ .

Type = flying. 4 out of 16 examples. Caught = 3/4 - Not Caught = 1/4.

$\text{Gini}(\text{type}_{\text{flying}}) = 0.375$ .

Type = psychic. 2 out of 16 examples. Caught = 0/2 - Not Caught = 2/2.  $\text{Gini}(\text{type}_{\text{psychic}}) = 0$ .

$$\text{Gain}(S, \text{Type}) = 0.5 - \left(\left(\frac{6}{16}\right)(0.5) + \left(\frac{4}{16}\right)(0.5) + \left(\frac{4}{16}\right)(0.375) + \left(\frac{2}{16}\right)(0)\right) = 0.094$$

$$\text{Gain}(S, \text{Type}) = 0.094$$

(b) I would pick Berry as the root of my decision tree. When using the Gini measure to calculate the information gain of each attribute, Berry has the highest information gain. This is different than when entropy is used to calculate information gain. When entropy is used, Ball is selected as the root.

Berry - different than when entropy is used

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**2: Linear Classifiers**


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(1) Weights  $\mathbf{w}$  and a bias  $\mathbf{b}$  classifies the dataset from problem 1.

$$\mathbf{w} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} \text{ and } b = -1$$

Looking at the dataset, one possible way to classify the data is either  $x_3$  or  $x_4$  must be set to produce an output of 1. Otherwise, the output is  $-1$ .

(2) The table below details the accuracy of the linear classifier from part 1 on the dataset from part 2:

$x_1$	$x_2$	$x_3$	$x_4$	$o$	<i>prediction</i>
1	0	1	1	1	1
0	1	0	1	1	1
1	0	1	0	1	1
1	1	0	0	1	-1
1	1	1	1	1	1
1	1	1	0	1	1
0	0	1	0	-1	1

Accuracy = 5/7 or 71.4%
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(3) Weights  $\mathbf{w}$  and a bias  $\mathbf{b}$  classifies the combined dataset from part 1, 2, and 3.

$$\mathbf{w} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix} \text{ and } b = -1$$

Looking at the combined dataset, we can see that either  $x_1$  or  $x_4$  must be set to produce an output of 1. Otherwise, the output is  $-1$ .

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**3: Experiments**


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