

- **OBJECTIVE :** To learn about push down automata

### UNIT III     PUSH DOWN AUTOMATA

9

Definition - Moves - Instantaneous descriptions -- Equivalence of Pushdown automata and CFG - Deterministic pushdown automata - Pumping lemma for Regular Languages and CFL - Application of Pumping Lemma

### PUSH DOWN AUTOMATA BASIC DEFINITIONS

#### **Push Down Automata :**

- ★ The PDA will have an input tape a finite control and a stack.
- ★ The stack holds a string of symbols from some alphabet
- ★ The device will be non-deterministic having some finite number of choices of moves in each situations.

#### **Formal Definition :**

- ★ A push Down Automata M is a system

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

Where

$Q \rightarrow$  is a finite set of states

$\Sigma \rightarrow$  is an alphabet called the input alphabet

$\Gamma \rightarrow$  is an alphabet called the stack alphabet

$q_0 \rightarrow$  in  $Q$  is the initial state

$Z_0 \rightarrow$  is  $\Gamma$  is a particular stack symbol called the start symbol

$F \rightarrow F \subseteq Q$ , is the set of final states

$\delta \rightarrow$  is a transition function mapping from  $Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow$  finite subset of  $Q \times \Gamma^*$

### Moves of the Push Down automata

- ★ The moves will be of two types
- ★ In the first type of move,
  - An input symbol is used. Depending on the input symbol, the top symbol on the stack and the state of a finite control, a number of choice are possible.
  - Each choice consists of next state for the finite control and a string of symbols to replace the top stack symbol.
  - After selecting a choice, the input head is advanced one symbol.

$$\delta(q, a, z) = \{(p_1, v_1), (p_2, v_2), \dots, (p_m, v_m)\}$$

where

$q$  and  $p_i, 1 \leq i \leq m$  are states

$a$  is in  $\Sigma$

$Z \rightarrow$  is a stack symbol

$v_i \rightarrow$  is in  $\Gamma^*$

i.e) The PDA in state  $q$  with the input symbol  $a$  and  $z$  top symbol on the stack can for any  $i$  enter state  $p_i$ , replace symbol  $z$  by string  $v_i$  and advance the input head one symbol.

- ★ The second type of move called an  $\epsilon$  is similar to the first, except that the input symbol is not used and the input head is not advanced after the move

$$\delta(q, \epsilon, z) = \{(p_1, v_1), (p_2, v_2), \dots, (p_m, v_m)\}$$

ie) The PDA in state  $q$ , independent of the input symbol being scanned and with  $z$ , the top symbol on stack, can enter state  $p_i$ , for any  $i$  and replace  $z$  by  $v_i$ . The input head is not advanced.

### Instantaneous descriptions : (ID)

- ★ The ID records the state, input and stack contents
- ★ ID is defined as a triple

$$(q, w, v_i)$$

Where  $q \rightarrow$  is a state in the finite control

$w \rightarrow$  is a string of input

$v \rightarrow$  is a string of stack symbols.

- ★ If  $M=(Q, \Sigma, \Gamma, \delta, q_0, z_0, f)$  is a PDA we say

$$(q, aw, z\delta) \vdash (p, w, \beta, \alpha)$$

$$\text{if } \delta(q, a, z) = (p, \beta)$$

### Language accepted by PDA

#### 1. Language accepted by empty stack

- ★ To define the language accepted to be the set of all inputs for which some sequence of moves causes the PDA to empty its stack.
- ★ For PDA  $M=(Q, \Sigma, \Gamma, \delta, q_0, z_0, f)$ , we define  $N(M)$ , the language accepted by empty stack to be

$$N(M) = \{w \mid (q_0, w, z_0) \vdash^* (P, \epsilon, \epsilon), \text{ for some } P \in Q\}$$

#### 2. Language accepted by final state:

- ★ To define the language accepted to be the set of all inputs for which some choices of moves causes the PDA to enter a final state.
- ★ We define  $L(M)$ , the language accepted by PDA  $M$  by final state to be

$$L(M) = \{w \mid (q_0, w, z_0) \vdash^* (P, \epsilon, v), \text{ for some } P \in f \text{ and } v \in \Gamma^*\}$$

- ★ If a set of can be accepted by empty stack by some PDA, it can be accepted by final state by some other PDA and vice versa.

### **Deterministic push down Automata :**

- ★ The PDA is deterministic, if atmost one move is possible from any ID.
- ★ The PDA  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  is deterministic if
  - 1) For each  $q$  in  $Q$  and  $z$  in  $\Gamma$ , whenever  $\delta(q, \epsilon, z)$  is non empty then  $\delta(q, a, z)$  is empty for all  $a$  in  $\Sigma$ .
  - 2) For no  $q$  in  $Q, Z$  in  $\Gamma$  and  $a$  in  $\Sigma \cup \{\epsilon\}$  does  $\delta(q, a, z)$  contain more than one element.
- ★ The deterministic and non- deterministic models of PDA, are not equivalent with respect to the language accepted.
- ★  $ww^R$  is accepted by Non-deterministic PDA but not by any Deterministic PDA

### **EQUIVALENCE OF PUSHDOWN AUTOMATA AND CFL**

#### **Equivalence of acceptance by final state and empty stack**

- ★ If a Language is accepted by empty stack, by some PDA, it can be accepted by final stat by some other PDA and Vice versa

#### **Theorem 1 :**

- ★ If  $L$  is  $L(M_2)$  for some PDA  $M_2$ , then  $L$  is  $N(M_1)$  for some PDA  $M_1$ .

#### **Proof :**

- ★ We prove  $M_1$  to simulate  $M_2$  with the option for
  - $M_1$  should erase its stack whenever  $M_2$  enters a final state.
  - We use state  $q_e$  of  $M_1$  to erase the stack
  - We use a bottom of stack marker  $X_0$  for  $M_1$  does not accidentally accept if  $M_2$  empties its stack without entering a final state
- ★ Let  $M_2 = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$  be a PDA such that  $L = L(M_2)$
- ★ Let  $M_1 = (Q \cup \{q_e, q_0\}, \Sigma, \Gamma \cup \{x_0\}, \delta^1, q_0^1, x_0, \phi)$

Where  $\delta^1$  is defined as follows

- 1)  $\delta^1(q_0^1, \epsilon, x_0) = \{(q_0, z_0, x_0)\}$

- 2)  $\delta^1(q, a, z) = \delta(q, a, z)$   
for all  $q$  in  $Q$ ,  $a$  in  $\epsilon$  or  $\{\epsilon\}$  and  $z$  in  $\Gamma$
  - 3) For all  $q$  in  $F$  and  $Z$  in  $\Gamma \cup \{x_0\}$   
 $\delta^1(q, \epsilon, z_0) = (q_e, \epsilon)$
  - 4) For all  $z$  in  $\Gamma \cup \{x_0\}$   
 $\delta^1(q_e, \epsilon, z) = (q_e, \epsilon)$
- ★ Rule (1) causes  $M_1$  to enter the initial ID of  $M_2$
  - ★ Rule (2) allows  $M_1$  to simulate  $M_2$
  - ★ Rule (3) and (4) allow  $M_1$  to the choice of entering state  $q_e$  and erasing its stack thereby accepting the input or continuing to simulate  $M_2$
  - ★ Let  $x$  be in  $L(M_2)$ , then  
 $(q_0, x, z_0) \vdash (q, \epsilon, v)$  for some  $q$  in  $F$
  - ★ Now consider  $M_1$  with input  $x$  By rule (1)  
 $(q_0^1, x, x_0) \vdash (q_0, x, z_0, x_0)$

By rule (2) every move of  $M_2$  is a legal move for  $M_1$  thus.

$$(q_0, x, z_0) \vdash (q, \epsilon, v)$$

By rule (3) and (4)

$$(q, \epsilon, v, x_0) \vdash (q_e, \epsilon, \epsilon)$$

That is

$$(q_0^1, x, x_0) \vdash (q_0, x, z_0, x_0) \vdash (q, \epsilon, v, x_0) \vdash (q_e, \epsilon, \epsilon)$$

$M_1$  accepts  $x$  by empty stack

$$L(M_2) = N(M_1)$$

## Theorem 2:

If  $L$  is  $N(M)$  for some PDA  $M_1$ , then  $L$  is  $L(M_1)$  for some PDA  $M_2$

## Proof :

- ★ We prove  $M_2$  to simulate  $M_1$
- ★  $M_2$  enters a final state when and only when  $M_1$  empties its stack
- ★ Let  $M_1 = (Q, \Sigma, \Gamma, \delta, q_0, z_0, \phi)$  be a PDA such that  $L = N(M_1)$
- ★ Let  $M_2 = (QU\{q_0^1, q_f\}, \Sigma, \Gamma \cup \{x_0\}, \delta^1, q_0^1, x_0, \{q_f\})$

Where  $\delta^1$  is defined as follows

$$1) \delta^1(q_0^1, \epsilon, x_0) = \{(q_0, z_0, x_0)\}$$

- 2) For all  $q$  in  $Q$ ,  $a$  in  $\Sigma \cup \{\epsilon\}$ , and  $Z$  in  $\Gamma$   
 $\delta^1(q, a, z) = \delta(q, a, z)$
  - 3) For all  $q$  in  $Q$   
 $\delta^1(q, \epsilon, x_0) = (q_f, \epsilon)$
- ★ Rule(1) causes  $M_2$  to enter the initial ID of  $M_1$
  - ★ Rule(2) allows  $M_2$  to simulate  $M_1$
  - ★ Rule(3) causes  $M_2$ , when  $X_0$  appears to enter a final state, thereby accepting the input  $x$
  - ★ Let  $x$  be in  $N(M_1)$   
 $(q_0, x, z_0) \vdash (q, \epsilon, \epsilon)$
  - ★ Now, consider  $M_2$  with input  $x$   
 $(q_0^1, x, x_0) \vdash (q_0, x, z_0)$   
 $(q_0, x, x_0) \vdash (q, \epsilon, x_0)$   
 $(q, \epsilon, x_0) \vdash (q_f, \epsilon, \epsilon)$
  - ★ Thus  
 $L(M_2) = N(M_1)$

### EQUIVALENCE OF CFL AND PDA

#### **Theorem 3:**

If  $L$  is a context free Language, then there exists a PDA  $M$ , such that  $L = N(M)$

#### **Proof:**

Let  $G = (V, T, P, S)$  be a CFG in Greibach Normal form generating  $L$

- ★ Let  $M$  is defined as  
 $M = (\{q\}, T, V, \delta, q, s, \phi)$

Where

$$\delta(q, a, A) = (q, v)$$

Whenever  $A \rightarrow av$  is in  $P$

- ★ The PDA  $M$  simulates left most derivations of  $G$  is in GNF each sentential form in a left most derivation consist of a string of terminals  $x$  followed by a string of variables  $\alpha$
- ★  $M$  stores the suffix  $\alpha$  on the left sentential form on its stack after processing the prefix  $x$ .

**Theorem 4:**

If  $L$  is  $n(m)$  for some PDA  $M$ , then  $L$  is a context free language.

**Proof :**

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, \phi)$  be the PDA such that  $L = N(M)$

Let  $G = (V, T, P, S)$  be a CFG

Where

$V \rightarrow$  is the set of objects of the form  $[q, A, p]$ , for each  $q$  and  $p$  in  $Q$  and  $A$  in  $\Gamma$  plus the new symbol  $S$ .

$$T = \Sigma$$

$P \rightarrow$  is the set of productions.

1)  $S \rightarrow [q_0, z_0, q]$  for each  $q$  in  $Q$

2)  $[q, A, q_{m+1}] \rightarrow a[q_1, B_1, q_2][q_2, B_2, q_3], \dots [q_m, B_m, q_{m+1}]$

for each  $q, q_1, q_2, \dots, q_{m+1}$  in  $Q$  each  $a$  in  $\Sigma \cup \{\epsilon\}$  and  $A, B_1, B_2, \dots, B_m$  in  $\Gamma$  such that  $\delta(q, a, A) = (q_1, B_1, B_2 \dots B_m)$

(If  $m = 0$ , then the productin is  $[q, A, q_1] \rightarrow a$

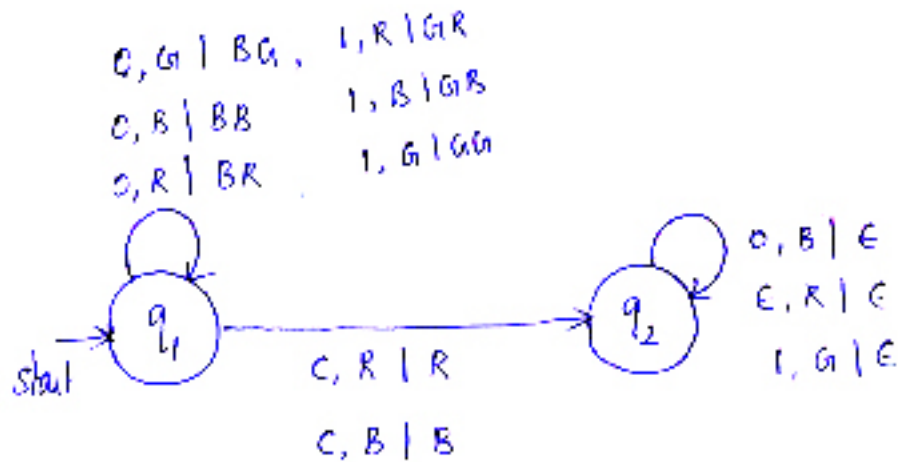
### CONVERSION OF CFL TO PDA

**1.Design a PDA accepting  $L = \{wcw^R \mid w \in (0+1)^*\}$  by empty stack.**

Solution

$$M = (\{q_1, q_2\}, \{0, 1, c\}, \{R, B, G\}, \delta, q_1, R, \theta)$$

Transition Diagram:



where  $\delta$  is defined as

$$1) \delta(q_1, O, R) = \{(q_1, BR)\}$$

$$2) \delta(q_1, O, B) = \{(q_1, BB)\}$$

$$3) \delta(q_1, O, G) = \{(q_1, BG)\}$$

$$4) \delta(q_1, C, R) = \{(q_2, R)\}$$

$$5) \delta(q_1, C, B) = \{(q_2, B)\}$$

$$6) \delta(q_1, C, G) = \{(q_2, G)\}$$

$$7) \delta(q_1, 1, R) = \{(q_1, GR)\}$$

$$8) \delta(q_1, 1, B) = \{(q_1, GB)\}$$

$$9) \delta(q_1, 1, G) = \{(q_1, GG)\}$$

$$10) \delta(q_2, O, B) = \{(q_2, \epsilon)\}$$

$$11) \delta(q_2, \epsilon, R) = \{(q_2, \epsilon)\}$$

$$12) \delta(q_2, 1, G) = \{(q_2, \epsilon)\}$$

Let  $x = O1C1O$

$$(q_1, O1C1O, R) \perp (q_1, 1C1O, BR) \perp (q_1, c1o, GBR)$$

$$\perp (q_2, 1O, GBR) \perp (q_2, O, BR) \perp (q_2, \epsilon, R)$$



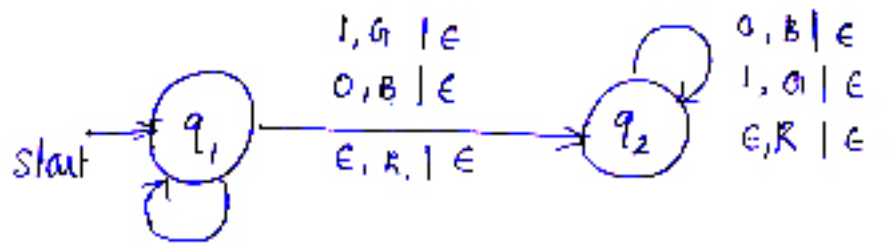
$\perp(q_2, \epsilon, \epsilon)$

$x = 01C1O$  is accepted by PDA

**2) Design a PDA accepting  $L = \{WW^R | W \text{ in } (0+1)^R\}$  by empty stack**

Solution

Transition Diagram



PDA M is defined as,

- 1)  $\delta(q_1, O, R) = \{(q_1, BR)\}$
- 2)  $\delta(q_1, 1, R) = \{(q_1, GR)\}$
- 3)  $\delta(q_1, O, B) = \{(q_1, BB)\}, (q_2, \epsilon)\}$
- 4)  $\delta(q_1, O, G) = \{(q_1, BG)\}$
- 5)  $\delta(q_1, 1, B) = \{(q_1, GB)\}$
- 6)  $\delta(q_1, 1, G) = \{(q_1, GG)\}, (q_2, \epsilon)\}$
- 7)  $\delta(q_2, O, B) = \{(q_2, \epsilon)\}$
- 8)  $\delta(q_2, 1, G) = \{(q_2, \epsilon)\}$
- 9)  $\delta(q_1, \epsilon, R) = \{(q_2, \epsilon)\}$
- 10)  $\delta(q_2, \epsilon, R) = \{(q_2, \epsilon)\}$

$M = (\{q_1, q_2\}, \{0, 1\}, \{R, B, G\}, \delta, q_1, R, \phi)$

Let  $x = 0110$

$(q_1, 0110, R) \perp (q_1, 110, BR)$

$\perp(q_1, 10, GBR) \perp (q_1, O, BR)$

$\perp(q_1, O, GGBR) \perp (q_2, \epsilon, R)$

$\perp(q_1, O, BGGBR) \perp (q_2, \epsilon, \epsilon)$

$\perp(q_1, \epsilon, BBGGBR)$

$x = 0110$  is accepted by PDA

4) Convert the Grammar

$$S \rightarrow OSI \mid A$$

$$A \rightarrow IAO \mid S \mid E$$

**Design a PDA that accepts the same language by empty stack.**

**Solution**

The Given grammar

$$G = (V, T, P, S)$$

Where  $V = \{S, A\}$

$$T = \{0, 1\}$$

To construct a PDA  $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, \phi)$

Where

$$Q = \{q_1, q_2\}$$

$$\Sigma = T = \{0, 1\}$$

$$\Gamma = \{v\} \cup \{z_0\}$$

$$= \{S, A, O, 1, Z_0\}$$

where  $\delta$  is defined as,

$$1) \quad \delta(q_1, \epsilon, z_0) = (q_2, S)$$

For each production

$$2) \quad \delta(q_2, \epsilon, S) = (q_2, OSI)$$

$$3) \quad \delta(q_2, \epsilon, S) = (q_2, A)$$

$$4) \quad \delta(q_2, \epsilon, A) = (q_2, S)$$

$$5) \quad \delta(q_2, \epsilon, A) = (q_2, IAO)$$

$$6) \quad \delta(q_2, \epsilon, A) = (q_2, \epsilon)$$

For each terminal

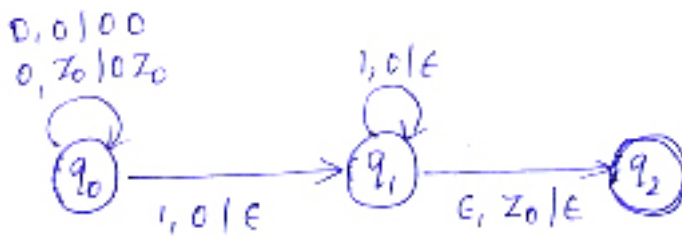
$$7) \quad \delta(q_2, 0, O) = (q_2, \epsilon)$$

- 8)  $\delta(q_2, 1, 1) = (q_2, \epsilon)$
- 9)  $\delta(q_2, 0, 1) = (q_2, \epsilon)$
- 10)  $\delta(q_2, 1, 0) = (q_2, \epsilon)$

**4) Design a PDA accepting  $L = \{a^n b^n \mid n \geq 1\}$  by final state**

**Solution:**

**Transition Diagram:**



**Transition function  $\delta$**

- 1)  $\delta(q_0, 0, z_0) = (q_0, 0z_0)$
- 2)  $\delta(q_0, 0, 0) = (q_0, 00)$
- 3)  $\delta(q_0, 1, \epsilon) = (q_1, \epsilon)$
- 4)  $\delta(q_1, 1, \epsilon) = (q_1, \epsilon)$
- 5)  $\delta(q_1, \epsilon, z_0) = (q_2, \epsilon)$

The PDA is defined as

$$M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1, z_0\}$$

$$F = \{q_2\}$$

Let us consider the string  $w = 0011$

$$(q_0, 0011; z_0) \vdash (q_0, 011; 0z_0) \vdash (q_1, 1; 0z_0) \vdash (q_1, \epsilon; z_0) \vdash (q_2, \epsilon)$$

Final state is reached so the string  $w = 0011$  is accepted.

## CONVERSION OF PDA TO CFL

### Given PDA

$$M = (\{q_0, q_1\}, \{0, 1\}, \{x, z_0\}, \delta, q_0, q_0, \phi)$$

where  $\delta$  is given by

$$\delta(q_0, 0, z_0) = (q_0, xz_0)$$

$$\delta(q_0, 0, x) = (q_0, xx)$$

$$\delta(q_0, 1, x) = (q_1, \epsilon)$$

$$\delta(q_1, 1, x) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, x) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, z_0) = (q_1, \epsilon)$$

Construct a CFG G, generating  $N(M)$

### Solution :

$$V = \{s, [q_0, x, q_0], [q_0, x, q_1], [q_1, x, q_0], [q_1, x, q_1], [q_0, z_0, q_0], [q_0, z_0, q_1], [q_1, z_0, q_0], [q_1, z_0, q_1]\}$$

$$T = \{0, 1\}$$

The productions for s are

$$S \rightarrow [q_0, z_0, q_0] \quad (\text{from rule 1})$$

$$S \rightarrow [q_0, z_0, q_1]$$

The Productions for each transition functions of M.

$$1) \delta(q_0, 0, z_0) = (q_0, x, z_0)$$

The Productions are

$$[q_0, z_0, q_0] \rightarrow 0 [q_0, x, q_0] [q_0, z_0, q_0]$$

$$[q_0, z_0, q_0] \rightarrow 0 [q_0, x, q_1] [q_1, z_0, q_0]$$

$$[q_0, z_0, q_1] \rightarrow 0 [q_0, x, q_0] [q_0, z_0, q_1]$$

$$[q_0, z_0, q_1] \rightarrow o [q_0, x, q_1][q_1, z_0, q_1]$$

2) There is a move  $\delta(q_0, o, x) = \{(q_0, xx)\}$

The productions are

$$[q_0, x, q_0] \rightarrow o [q_0, x, q_0][q_0, z_0, q_0]$$

$$[q_0, x, q_0] \rightarrow o [q_0, x, q_1][q_1, x, q_0]$$

$$[q_0, x, q_1] \rightarrow o [q_0, x, q_0][q_0, x, q_1]$$

$$[q_0, x, q_1] \rightarrow o [q_0, x, q_1][q_1, x, q_1]$$

3)  $\delta(q_0, l, x) = \{(q_1, \epsilon)\}$

The production is

$$[q_0, x, q_1] \rightarrow l$$

4)  $\delta(q_1, l, x) = \{(q_1, \epsilon)\}$

The production is

$$[q_1, x, q_1] \rightarrow l$$

5)  $\delta(q_1, \epsilon, x) = \{(q_1, \epsilon)\}$

The production is

$$[q_1, x, q_1] \rightarrow \epsilon$$

6)  $\delta(q_0, l, x) = \{(q_1, \epsilon)\}$

The production is

$$[q_0, x, q_1] \rightarrow l$$

- ★ There are no production for the variable  $[q_1, x, q_0]$  and  $[q_1, z_0, q_0]$
- ★ As all the productions for  $[q_0, x, q_0]$  and  $[q_0, z_0, q_0]$  have  $[q_1, x, q_0]$  or  $[q_1, z_0, q_0]$  on the right, no string of terminals can be derived from  $[q_0, x, q_0]$  or  $[q_0, z_0, q_0]$
- ★ Deleting all production involving one of these variables on either the right or left, we end up with the following productions.

$$S \rightarrow [q_0, z_0, q_1]$$

$$[q_0, z_0, q_1] \rightarrow o [q_0, x, q_1][q_1, z_0, q_1]$$

$$[q_0, x, q_1] \rightarrow o [q_0, x, q_1][q_1, x, q_1]$$

$$[q_0, x, q_1] \rightarrow 1$$

$$[q_1, z_0, q_1] \rightarrow \epsilon$$

$$[q_1, x, q_1] \rightarrow \epsilon$$

$$[q_1, x, q_1] \rightarrow 1$$

1) Give a grammar for the language  $N(M)$  where  $M = (\{q_0, q_1\}, \{0, 1\}, \{z_0, x\}, \delta, q_0, z_0, \phi)$  and  $\delta$  is given by

$$\delta(q_0, 1, z_0) = \{(q_0, xz_0)\}$$

$$\delta(q_0, 1, x) = \{(q_0, xx)\}$$

$$\delta(q_0, 0, x) = \{(q_1, x)\}$$

$$\delta(q_0, \epsilon, z_0) = \{(q_0, \epsilon)\}$$

$$\delta(q_1, 1, x) = \{(q_1, \epsilon)\}$$

$$\delta(q_1, 0, z_0) = \{(q_0, z_0)\}$$

$$V = \{S_1, [q_0, z_0, q_0], [q_0, z_0, q_1], [q_1, z_0, q_0], [q_1, z_0, q_1], [q_0, x, q_0], [q_0, x, q_1], [q_1, x, q_0], [q_1, x, q_1]\}$$

$$T = \{0, 1\}$$

$P$  = set of productions

$$S \rightarrow [q_0, z_0, q_0]$$

$$S \rightarrow [q_0, z_0, q_1]$$

$$1) \delta(q_0, 1, z_0) = \{(q_0, xz_0)\}$$

$$[q_0, z_0, q_0] \rightarrow 1 [q_0, x, q_0][q_0, z_0, q_0]$$

$$[q_0, z_0, q_0] \rightarrow 1 [q_0, x, q_1][q_1, z_0, q_0]$$

$$[q_0, z_0, q_1] \rightarrow 1 [q_0, x, q_0][q_0, z_0, q_1]$$

$$[q_0, z_0, q_1] \rightarrow 1 [q_0, x, q_1][q_1, z_0, q_1]$$

$$2) \delta(q_0, 1, x) = \{(q_0, xx)\}$$

$$[q_0, x, q_0] \rightarrow 1 [q_0, x, q_0][q_0, x, q_0]$$

$$[q_0, x, q_0] \rightarrow 1 [q_0, x, q_1][q_1, x, q_0]$$

$$[q_0, x, q_1] \rightarrow 1 [q_0, x, q_0][q_0, x, q_1]$$

$$[q_0, x, q_1] \rightarrow 1 [q_0, x, q_1][q_1, x, q_1]$$

$$3) \delta(q_0, 0, x) = (q_1, x)$$

$$[q_0, x, q_0] \rightarrow 0 [q_1, x, q_0]$$

$$[q_0, x, q_1] \rightarrow 0 [q_1, x, q_1]$$

$$4) \delta(q_0, \epsilon, z_0) = \{(q_0, \epsilon)\}$$

$$[q_0, z_0, q_0] \rightarrow \epsilon$$

$$5) \delta(q_1, 1, x) = \{(q_1, \epsilon)\}$$

$$[q_1, x, q_1] \rightarrow 1$$

$$6) \delta(q_1, 0, z_0) = \{(q_0, z_0)\}$$

$$[q_1, z_0, q_0] \rightarrow 0 [q_0, z_0, q_0]$$

$$[q_1, z_0, q_1] \rightarrow 0 [q_0, z_0, q_1]$$

- ★ There are no production for the variable  $[q_1, x, q_0]$
- ★ As all the productions for  $[q_0, x, q_0]$  have  $[q_1, x, q_0]$  on the right, no string of terminals can be derived from  $[q_0, x, q_0]$
- ★ Deleting all production involving one of these variables on either the right or left, we end up with the following productions.

$$[q_0, z_0, q_1] \rightarrow 1 [q_0, x, q_1][q_1, z_0, q_1]$$

$$[q_0, z_0, q_0] \rightarrow 1 [q_0, x, q_1][q_1, z_0, q_0]$$

$$[q_0, x, q_1] \rightarrow 1 [q_0, x, q_1][q_1, x, q_1]$$

$$[q_0, x, q_1] \rightarrow 0 [q_1, x, q_1]$$

$$[q_1, z_0, q_0] \rightarrow \epsilon$$

$$[q_1, x, q_1] \rightarrow 1$$

$$[q_1, z_0, q_0] \rightarrow 0 [q_0, z_0, q_0]$$

$$[q_1, z_0, q_1] \rightarrow 0 [q_0, z_0, q_1]$$

## PUMPING LEMMA FOR CFL

### **Pumping Lemma for CFL:**

- ★ The pumping lemma for CFL's states that there are two short substrings close together that can be repeated both the same number of times.

### **Lemma**

Let  $L$  be any CFL. Then there is a constant  $n$ , depending only on  $\gamma$ , such that if  $z$  is in  $L$  and

$|z| \geq n$  then we may write

$z = uvwxy$  such that

- 1)  $|vx| \geq 1$
- 2)  $|vwx| \leq n$
- 3) for all  $i \geq 0$   $uv^iwx^iy$  is in  $L$

### **Proof :**

- ★ Let  $G$  be a CFG in CNF generating  $L \setminus \{\epsilon\}$
- ★ If  $Z$  is in  $L(G)$  and  $Z$  is long, then any parse tree for  $z$  must contain a long path
- ★ We prove this by mathematical induction on  $i$ , that path of length  $i$  for  $z$ .
- ★ If the word generated by a CNF grammar has no path of length greater than  $i$ , then the word length is no greater than  $2^{i-1}$

### **Basis :**

$i = 1$  is trivial

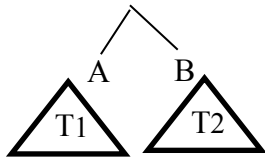
Since the tree must be of the form

### **Induction step:**

Let  $i > 1$

- ★ If there are no paths of length greater than  $i - 1$  in trees  $T_1$  and  $T_2$ , then the trees generate words of  $2^{i-1}$  or fewer symbols
- ★ Thus the entire tree generates a word no longer than  $2^{i-1}$





- ★ Let  $G$  have  $k$  variables and let  $n = 2^k$
  - ★ If  $z$  is in  $L(G)$  and  $|z| \geq n$ , then since  $|z| >^{k-1}$ , any parse tree for  $z$  must have a path of length at least  $k + 1$ .
  - ★ But such a path has  $k + 2$  vertices, and all except last vertex are labeled by variables.
  - ★ Thus there must be some variables that appears twice on the path.
  - ★ Some variables must appear twice near the bottom of the path.
  - ★ Then there must be two variables  $V_1$  and  $V_2$  on the path satisfying the following conditions.
    1. The vertices  $V_1$  and  $V_2$  both have the same label say  $A$
    2. Vertex  $V_1$  is closer to the root than vertex  $V_2$
    3. The portion of the path from  $V_1$  to the leaf is of length at most  $k + 1$
  - ★ Now the subtree  $T_1$  and  $T_2$  is the subtree generated by vertex  $V_2$  and Let  $Z_2$  is the yield of subtree  $T_2$
- Then we can write

$$Z_1 = Z_3 Z_2 Z_4$$

- ★  $Z_3$  and  $Z_4$  cannot both be  $\epsilon$ . Since the production used in the derivation of  $z_1$  must be of the form  $A \rightarrow BC$ , for some variable  $B$  and  $C$
- ★ The Subtree  $T_2$  must be completely within either the subtree generated by  $B$  or the subtree generated by  $C$ .
- ★ Example :
 
$$G = (\{A, B, C\}, \{a, b\}, P, A)$$

$$P : \{A \rightarrow BC, B \rightarrow BA, A \rightarrow a, B \rightarrow b, \{C \rightarrow BA\}$$

### Applications of pumping lemma:

- ★ The pumping lemma can be used to prove a variety of languages not to be context free.
- ★ The pumping lemma can also be used to show that certain languages similar to  $L_1$  are not context free.

### Example :

show that  $L = \{a^i b^i c^i \mid i \geq 1\}$  is not context free. Language.

Solution :

Let  $L = \{a^i b^i c^i \mid i \geq 1\}$  is a CFL

Let  $n \rightarrow$  be the constant of Lemma 1.

consider  $Z = a^n b^n c^n$

write  $Z = uvwxy$  so as to satisfy the conditions of pumping lemma

$$u = a^m$$

$$vwx = a^{n-m} b^m, \quad w = a^j b^j$$

$$y = b^{n-m} c^n$$

Verify

$$uvwxy = a^m a^{n-m} b^m b^{n-m} c^n$$

$$= a^n b^n c^n$$

$$uv^i wx^i y = a^m (a^{n-m-j})^i a^j b^j (b^{m-j})^i b^{n-m} c^n$$

For  $i = 0$

$$uv^i wx^i y = a^m a^j b^j b^{n-m} c^n$$

$$= a^{m+j} b^{n-m+j} c^n \notin L$$

For  $i = 2$

$$uv^i wx^i y = a^m a^{2n-2m-2j} a^j b^j b^{n-m} c^n$$

$$= a^{2n-m-j} b^{m+n-jj} c^n \notin L$$

For  $i = 0$  and  $i = 2$   $uv^i wx^i y$  is not in  $L$  so given  $L = \{a^i b^i c^i \mid i \geq 1\}$  is a CFL