

1 Vowel-initial glottalization as a prominence cue in speech  
2 perception and online processing

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## Abstract

Three experiments examined the relevance of vowel-initial glottalization in the perception of vowel contrasts in American English, in light of the claimed prominence-marking function of glottalization in word-initial vowels. Experiment 1 showed that the presence of a preceding glottal stop leads listeners to re-calibrate their perception of a vowel contrast in line with the prominence-driven modulation of vowel formants. Experiment 2 manipulated cues to glottalization along a continuum and found that subtler cues generate the same effect, with bigger perceptual shifts as glottalization cues increase in strength. Experiment 3 examined the time-course of this effect in a visual world eyetracking task, finding a rapid influence of glottalization which is simultaneous with the influence of formant cues in online processing. Results are discussed in terms of the importance of phonetically detailed prominence marking in speech perception, and implications for models of processing which consider segmental and prosodic information jointly.

*keywords:* speech perception, prominence, glottalization, vowels, eyetracking

# 1 Background

One important question in prosody research is the following: How do speakers make syllables and words prominent in speech, and how do listeners make use of this information? The answer to this question is complex, entailing a consideration of a language’s various cues to prominence, and the listener’s incorporation of prominence information in different domains of perception and processing.

In speech production, the literature has documented various ways in which speech articulations and acoustics are modulated by prosodic prominence, referred to here under the umbrella term of “prominence strengthening”. These effects generally help enhance a given segment’s perceptual salience, and/or enhance acoustic (or featural) properties relevant for the contrast system of a given language (e.g., Cho, 2005; Garellek, 2014; Cole et al., 2007; de Jong, 1995; Beckman et al., 1992; Kim et al., 2018a).

In comparison, relatively little work has been carried out examining the perceptual component of the above question. The present study thus addresses one part of this line of inquiry from the perspective of the listener. In three experiments, this study tests how glottalized voice quality and production of a glottal stop impact the perception of vowels in American English, in line with the hypothesized function of glottalization as prominence marking. The perception of / $\varepsilon$ / versus / $\text{æ}$ / is adopted as a test case. A visual-world eyetracking experiment further tests how the influence of glottalization plays out in online speech processing, and compares this data to that of a previous study (Steffman, 2021a), informing our understanding of how prominence cues are integrated as speech unfolds.

The introduction proceeds with an introduction of the concept of prosodic prominence (1.1), the role that vowel-initial glottalization has been shown to play in speech production (1.2), and finally the role of prosodic information in perception (1.3), motivating the test of vowel-initial glottalization as a prominence cue.

## 1.1 Defining prominence

As suggested by continuing and recent reviews (Baumann and Winter, 2018; Baumann and Cangemi, 2020; Ladd and Arvaniti, 2022), defining prominence is not an entirely straightforward enterprise. For the purpose of the present study, prominence is considered in two regards.

Firstly, following commonly used terminology from Jun (2005, 2014), language’s prosodic system can be described as having head “head prominence” and/or “edge prominence”. In the former, the expression of prominence is linked to a prosodic head. Relevant to the present

study, in American English this head is a metrically prominent syllable in a phrase (note that this entails reference to prosodic phrasing in the sense that the domain of prominence assignment is within a phrase, and in English the highest prominence is generally the last, “nuclear”, accent within a phrase). Metrically prominent syllables may be marked as phrasally prominent, and produced with a prominence-lending pitch movement (a pitch accent). This sort of prominence, which is linked to a prosodic head in a phrase will henceforth be described as “phrasal prominence”. In languages which are described as lacking head prominence, the notion of a prosodic head is not relevant and F0 events tend to demarcate domain (phrase) edges (and be determined by other factors); Ladd and Arvaniti (2022) raise the question of if, in languages of this sort, the concept of prosodic prominence is a useful one at all. Another definition of prominence can be made without reference to metrical structure and phrasing, and the prosodic features of a particular language. This is a language-general notion of “standing out”, two definitions are as follows:

- (1) “prosodic prominence [is] the strength of a spoken word relative to the words surrounding it in the utterance.” (Cole et al., 2010, p. 425)
- (2) “Loosely defined, ‘perceptual prominence’ refers to any aspect of speech that somehow ‘stands out’ to the listener.”(Baumann and Cangemi, 2020, p. 20)

The former definition uses the concept of a word, though the same definition could also apply to sub-word units. Both this definition and perceptual one that follows are evidently related to phrasal prominence: a phrasally prominent, pitch-accented syllable/word will be prominent in the sense of both (1) and (2).<sup>1</sup> However the definitions are broader in that other properties, besides phrasal prominence, may also impact the (perceived) “strength” of a word in relation to surrounding material (including, e.g., word frequency as in Baumann and Winter, 2018). One relevant example of this is domain-initial strengthening (e.g., Cho and McQueen, 2005; Keating et al., 2004; Keating, 2006). Here the phonetic properties of segments are strengthened in phrase-initial positions, though not necessarily in analogous fashion to strengthening under phrasal prominence (Kim et al., 2018a). These strengthening effects can be seen as enhancing the acoustic/phonetic prominence of a given segment, if prominence is defined as in (1) and (2) above.

As will be described in Section 1.2, vowel-initial glottalization in American English can be related both to phrasal prominence, and to the more general definitions given in (1) and (2). On the one hand, it is probabilistically predicted by phrasal prominence: phrasally prominent vowel-initial words are more likely to be preceded by glottalization, discussed below. On

the other hand, glottalization is also predicted by phrasing, and can be seen as an instance of a very general acoustic/phonetic prominence strengthening for the following vowel. Both of these views of prominence are thus relevant when considering vowel-initial glottalization effects.

## 1.2 Vowel-initial glottalization in speech production

“Glottalization” is used here as a cover term to refer to the production of a sustained closure of the vocal folds, i.e. a glottal stop [ʔ], and localized voice quality changes that are associated with constriction of the vocal folds during voicing (Garellek, 2013; Huffman, 2005). The cover term is useful if we consider the latter of these to be an “incomplete” or lenited glottal stop realization, as is common in the literature (Pierrehumbert and Talkin, 1992; Dilley et al., 1996).

Of the languages described in the UPSID database (Maddieson and Precoda, 1989), about half use glottalization contrastively (often represented as /ʔ/). However, in many languages that do not use glottalization contrastively, it is well documented that glottalization is nevertheless pervasive in speech, for example in English, Dutch, and Spanish (Dilley et al., 1996; Jongenburger and van Heuven, 1991; Garellek, 2014). An important task for speech research is thus accounting for the prevalence and distribution of glottalization in spoken language.

One clear predictor of glottalization in American English (among other languages) is prosodic organization, both related to prosodic boundaries and prosodic prominence as noted above. Glottal stops are described as being “inserted” at the beginning of vowel-initial words in prosodically strong positions, where prosodically strong positions include the beginning of a prosodic phrase (Pierrehumbert and Talkin, 1992; Dilley et al., 1996), and in words which bear phrasal prominence (Dilley et al., 1996; Garellek, 2013). Dilley et al. (1996) in particular show that phrase-medial, word-initial vowels in pitch-accented (phrasally-prominent) syllables are glottalized at higher rates as compared to non-prominent equivalents. Notably however, not all pitch accented word-initial vowels are glottalized, and vowels in words which lack pitch-accent but do not have a reduced vowel are more likely to be glottalized than reduced vowels. Speakers also vary widely in their overall rate of glottalization and the extent to which prominence impacts their rate of glottalization. In this sense, glottalization in word-initial vowels is only probabilistically related to phrasal prominence marking, though with a clear tendency to co-occur with phrasal prominence. Redi and Shattuck-Hufnagel (2001) document similar patterns, and consistent inter-speaker variation, and state: “It is clear from these results and from earlier studies that phrase-level glottalization is not obligatory [...] glottalization may serve

as a marker of ‘degree of finality’ (when it occurs at phrase boundaries) or ‘degree of prominence’ (when it occurs at pitch-accented syllables). Perceptual experiments will be necessary to evaluate the hypothesis that glottalization unrelated to segmental allophony is interpreted by listeners as evidence for a boundary or a prominence, and to determine whether it is interpreted along a continuum or as a contrastive binary feature” (p 427). The present study addresses both of these perceptual questions.

Garellek (2013, 2014) further suggests a functional motivation for vowel-initial glottalization in American English, using electroglottography (EGG) to examine voicing in vowel-initial words. Garellek (2014) found that phrase-initial vowels, especially non-prominent vowels, were generally produced with less vocal fold contact during voicing, corresponding to breathy voicing. This effect also became larger at higher-level phrasal domains. Breathier phrase-initial voicing was attributed to phrase-initial pitch reset, where falling pitch (immediately after reset) results in relaxation of the cricothyroid and thyroarytenoid muscles, and vocal fold abduction (Mendelsohn and Zhang, 2011; Zhang, 2011). Breathier voicing generally leads to decreased intensity and weaker formant energy (Garellek and Keating, 2011; Gordon and Ladefoged, 2001), and Garellek (2014) accordingly proposes that phrase-initial glottalization occurs as a countervailing influence which mitigates the effects of pitch-reset-induced breathiness on voice quality, explaining its prevalence phrase-initially (Pierrehumbert and Talkin, 1992; Dilley et al., 1996). Glottalization in prominent phrase-initial vowels “strengthens” voice quality, as described by Garellek, in the sense that it engenders more high frequency energy and overall intensity, and boosts frequency information that will be useful in vowel perception (Kreiman and Sidtis, 2011; cf. Garellek, 2013 who found a boost of harmonic energy between 1500 - 2500 Hz). Glottalization may also be functionally useful in prominence-marking in separating prominent vowel-initial words from surrounding material, and modulating the amplitude envelope in the vicinity of prominent vowels to make them stand out. Preceding silence from a glottal stop will likewise give a boost to listeners’ auditory system at the onset of the vowel (Delgutte, 1980; Delgutte and Kiang, 1984). This view of phrase-initial (and phrase-medial) glottalization implicates (acoustic/phonetic) prominence as the driving force behind it, in that vowels which are preceded by glottalization are enhanced (though this may be either at prosodic domain edges to mitigate phrase-initial breathiness, or at phrasally prominent prosodic heads). In this sense, glottalization in word initial-vowels in American English is an example of phonetic prominence strengthening, which is additionally related probabilistically to phrasal prominence.

In addition to prosodic prominence, various other factors have been shown to influence the rate and distribution of glottalization preceding a vowel in various languages. These include speech rate (Pompino-Marschall and Żygis, 2010; Umeda, 1978) and vowel height (Pompino-Marschall and Żygis, 2010; Groves et al., 1985; Thompson et al., 1974; Michnowicz and Kagan, 2016). As documented in German and Spanish, the relative openness of vowels in a vowel hiatus environment predicts the production of glottalization between them: lower (more open) vowels are more likely to be preceded by a glottal stop (Pompino-Marschall and Żygis, 2010; Mckinnon, 2018). However, relevant to the present study, in American English this is not systematic. Umeda (1978) found no relationship between relative differences in vowel height and production of a glottal stop in a hiatus environment, and Garellek (2013) found that the rate of production of glottal stop in a vowel-initial word was not related to vowel height. Given this, it appears that vowel-initial glottalization is not well predicted by vowel height in American English as it is in e.g., German. This point will be returned to in Section 3.3 in light of the results.

### 1.3 Prosody and prominence in perception

Given the aforementioned patterns attested in the speech production literature, we can now consider some ways in which prosodic information impacts speech perception, and how these prior findings relate the objectives of the current study.

In some studies, prosodic information (e.g., an intonational tune), has been shown to exert an early, or anticipatory, influence on speech processing. For example, Weber et al. (2006) found that German intonational tunes are used by listeners to disambiguate temporarily ambiguous sentences as S(ubject) V(erb) O(bject) or OVS, prior to critical case information which disambiguated the constituent order. Similar anticipatory effects of pitch accent type were shown by Ito and Speer (2008), where by a prominent (L+H\*) pitch accent was interpreted as conveying contrastive focus on one element in adjective-noun pairs, generating anticipatory looks to a referent (e.g., as participants decorated a Christmas tree: “hang the blue ball, now hang the GREEN ....” generates anticipatory looks to a green ball). Results such as these (among others, e.g., Dahan et al., 2002; Nakamura et al., 2022; Snedeker and Trueswell, 2003) indicate that prosodic cues, especially intonational tunes, can be used to anticipate upcoming speech in terms of syntactic, discourse and information structure.

Complementing this research, the role of prosodic features such as prominence in the perception of speech segments (and relatedly in pre-lexical and lexical processing) has been a

recent topic of interest in the literature, (Mitterer et al., 2016; Kim et al., 2018b; Mitterer et al., 2019; McQueen and Dilley, 2020). In comparison to the results described in the preceding paragraph, data in this line of research offers a different view of the way in which listeners use prosodic information in their perception of fine-grained phonetic detail, and their integration of prosody in perception of cues to segmental contrasts. As alluded to above, it is well documented in the speech production literature that prosodic organization modulates cues that are relevant in the perception of segmental contrasts (see e.g., Keating, 2006 for an overview). For example, voice onset time (VOT) in aspirated stops, an important cue for voicing contrasts, varies systematically as a function of prosodic factors. VOT is longer at the beginning of prosodic domains and in phrasally prominent positions (Cole et al., 2007; Keating et al., 2004; Kim et al., 2018b). Another example of prosodically modulated cues to segmental contrasts, described in more detail in Section 2, is that of vowel formants. To the extent that phrasal prosody impacts segmental realization along these lines, the listener is hypothesized to benefit from integrating prosodic information with their perception of segmental and lexical material (Kim and Cho, 2013; Mitterer et al., 2016).

A model which has framed this line of inquiry and received empirical support is that of *Prosodic Analysis* (Cho et al., 2007; McQueen and Dilley, 2020). The model's architecture stipulates simultaneous parses of segmental information and prosodic information from the speech signal, though the role of each of these in processing is different. Adopting an activation-competition view of word recognition, the model postulates that segmental information activates entries in the lexicon, while phrasal prosodic information is used to select among possible candidates. In the original formulation of the model this entails the reconciliation of prosodic boundaries and word boundaries to determine lexical selection (cf. Christophe et al., 2004). Empirical support for the model comes from studies showing a delayed influence of prosodic boundary information in processing (Kim et al., 2018b; Mitterer et al., 2019), consistent with a post-lexical influence in word recognition.

This framing of the role of prosody in processing departs somewhat from the anticipatory effects described above, and this follows from the fact that prosodic characteristics are good predictors of sentence and discourse structure as in Weber et al. (2006) and Ito and Speer (2008), however they are not good predictors of particular lexical items themselves (i.e., generally speaking, a given word can be produced with a range of prosodic expressions, phrase-medially, phrase-initially, and so on). In this sense, the model, and existing data suggests that prosodic information is not used to anticipate a given word (as predictive relationship



between, e.g., an intonational tune and particular lexical item should generally be weak, or non-existent), but is instead integrated with bottom-up cues in lexical processing with a relative delay, consistent with modulation of activated lexical hypotheses. In other words, if the listener's task is to identify a lexical item (in the absence of other good predictive information), prosodic cues may be integrated in this process but not used to anticipate what word will be said prior to acoustic information about that word is perceived.

What the Prosodic Analysis model and available data show more generally is the importance of considering both prosodic and segmental factors as being processed in parallel in speech recognition, with many outstanding questions (see McQueen and Dilley, 2020 for a recent overview). As such, in addition to contributing to our understanding of glottalization as a perceptual prominence cue, the present study will seek to build on our understanding of the role of prominence (and prosody more generally) in segmental perception and spoken word recognition.

With respect to glottalization specifically, recent perception and processing studies in Maltese, a language in which /ʔ/ is contrastive, suggest that listeners are sensitive to its prosodic patterning in the language (Mitterer et al., 2021a, 2019, 2021b). In addition to marking a phonemic contrast in Maltese, vowel-initial words can be glottalized when they are at the beginning of a prosodic phrase as a form of phrase-initial strengthening. Glottalization thus serves a sort of dual function, it is phonemic and conveys contrast, and also patterns based on prosodic organization. Mitterer et al. (2019) show that listeners are aware of this dual patterning: when a word is phrase-initial, the listener is more likely to attribute the presence of glottalization as being driven by prosody, thus inferring a phonemically vowel-initial word. In contrast, when glottalization precedes a vowel phrase-medially, the listener is more likely to infer that the word is phonemically/contrastively glottalized. Consistent with the prosodic analysis model, these effects were seen to be delayed in time, as assessed in a visual world eyetracking study. Mitterer et al. (2021a) show that glottal stops differ from other stops (e.g., /t/) in that they do not strongly constrain lexical access, suggesting that listeners' interpretation of glottalization is intimately linked to prosodic features in a way that differs from other stops. Mitterer et al. (2021b) further show that glottalization is clearly interpreted as a prosodic feature in that it impacts syntactic parsing decisions in the resolution of attachment ambiguity: the presence of word-initial glottalization leads listeners to posit a preceding prosodic boundary, and thus the presence of a syntactic boundary. These results together thus suggest that vowel-initial glottalization can be treated as prosodic cue in perception by listeners, even when glottalization is

247 contrastive.

248 Steffman (2021a) offers another relevant comparison for the present study. Steffman ex-  
249 amined the influence of prosodic prominence on listeners' perception of vowel contrasts, as  
250 cued by the intonational tune and durational patterns of a phrase. Vowels are strengthened  
251 phonetically by formant modulations described in Section 2 below. Steffman thus tested how  
252 phrase-level prominence impacted the perception of vowel formants, and further examined  
253 the timecourse of its influence. As noted above, in American English, the expression of promi-  
254 nence is related to the placement of pitch accents in a phrase, which are linked to metrically  
255 prominent syllables and (in the autosegmental-metrical model of American English intonation,  
256 e.g., Pierrehumbert, 1980) are described as F0 targets in an intonation contour. Steffman ma-  
257 nipulated F0, duration and intensity in a phrase to shift perceived pitch accentuation, and the  
258 perceived prominence of a target word, in the stimuli. In one condition, the target word (which  
259 was categorized by listeners) was relatively prominent, interpretable as having an (H\*) pitch  
260 accent in the phrase "I'll say [TARGET] now" (where [TARGET] indicates the target word;  
261 this could be uttered in a broad focus context). In the other condition, the target word was  
262 preceded by focus on the verb "say": "I'll SAY [target] now", where "say" bore a prominent  
263 L+H\* pitch accent (this could be uttered in a contrastive focus context, e.g., A: "Will you  
264 write [target] now?", B: "I'll SAY [target] now"). In this condition the target is post-focus  
265 and non-prominent (more details on the stimuli in Steffman, 2021a are given in Section 4.5.2,  
266 which compares that data to the results of this study). This prominence manipulation is one  
267 of phrasal/global prominence cues, and was found to impact listeners' perception of the target  
268 in line with the patterns which will be described in Section 2.

269 Using eyetracking data, Steffman additionally found that, in contrast to the strictly delayed  
270 influence of prosodic boundaries documented in previous studies (Kim et al., 2018a; Mitterer  
271 et al., 2019), phrasal prominence showed subtle earlier influences in vowel perception, though  
272 these effects were quite small, and strengthened over time to be most robust later in processing.  
273 The presence of the earlier effect was discussed in (Steffman, 2020) as reflecting prominence  
274 processing at multiple stages, described in terms of the Multistage Assessment of Prominence  
275 in Processing (MAPP) model. Early effects were described as reflecting "phonetic prominence":  
276 the relative acoustic/phonetic salience of a word (signaled by whatever cues lend prominence  
277 in this sense). The fact that the effect was strongest later in time was interpreted the results  
278 of a more abstract/phonological prominence percept, (e.g., the presence or absence of pitch-  
279 accentuation) which is reconciled with lexical candidates, under the hypothesis that the lexicon

contains information about prosodically conditioned pronunciation variants along the lines of Brand and Ernestus (2018); Pitt (2009); Mitterer et al. (2021a). Notably, this multi-stage effect was generated from stimuli that varied both in terms of phonological prominence (pitch accent structure within the phrase), and necessarily, the relative phonetic prominence of the target word. One prediction from the MAPP model is thus that cues which convey only “phonetic prominence”, i.e. vowel initial glottalization, without varying a more global prominence in terms of pitch accent structure etc., should show a clear early effect, and a different online processing pattern than the effect in Steffman (2021a). The present data thus address this prediction from the model directly as a first test of how different cues to prominence may be processed differently by listeners.

## 2 The present study

Given these recent studies on the role of prominence in vowel perception and the processing of vowel-initial glottalization, the present experiments will inform if prominence cued by glottalization should be considered as a mediating factor in vowel perception in American English, a language where glottalization is not contrastive. To the extent that vowel-initial glottalization is a relevant prominence cue, we can examine the timecourse of its influence in relation to the general prediction from the prosodic analysis model that prosody shows a delayed influence in processing, and compare this data to that in Steffman (2021a).

Relevant to the present study, the literature documents a variety of ways in which vowel articulations may be modulated under prominence. Typically, prosodic prominence is here considered in terms of phrase-level prominence marking: the presence/absence of a pitch accent on a syllable. A well-documented pattern of prominence strengthening in vowels has been termed *sonority expansion*, where sonority is defined as “the overall openness of the vocal tract or the impedance looking forward from the glottis” (Silverman and Pierrehumbert, 1990, p 75). In this sense, a more sonorous vowel articulation is one which is produced with increased amplitude of jaw movement and other articulatory adjustments that allow more energy to radiate from the mouth. Sonority-expanding gestures make a vowel articulation more acoustically prominent (louder, longer etc.), and have been described as enhancing its “sonority features” (de Jong, 1995). Other effects, not consistent with sonority expansion, have also been documented in the literature, for example, the production of more extreme high vowel articulations (as with /i/), which are not more open but instead reflect hyperarticulation of the vowel target under prominence (Cho, 2005; Erickson, 2002; de Jong, 1995). In this sense, patterns of

prominence strengthening are dependent on the vowels under consideration, and the system of contrasts in the language (e.g., Cho, 2005; Garellek and White, 2015), and so is the listener's perception of vowels a function of prominence (Steffman, 2020).

Vowels which *do* undergo sonority expansion are realized as acoustically lower and backer in the vowel space, with higher F1 and lower F2 (Cho, 2005), and listeners' perception of prominence in a prominence rating task reflects this formant variation as well (Mo et al., 2009). This pattern will form the basis of the test case adopted in the present study as we ask if listeners expect a more prominent variant of a vowel (specifically with higher F1 and lower F2) to be realized in a prominent context.

These questions raised in Section 1 are addressed in testing if a glottal stop modulates vowel perception in line with sonority expansion effects on vowel formants (Experiment 1), using the contrast between / $\epsilon$ / and / $\text{æ}$ / as a test case (vowels which undergo sonority expansion). This study further tests if fine-grained glottalization cues that do not entail a sustained stop generate the same effect (Experiment 2), and if glottalization mediates online processing of vowel information in the ways predicted by the current model of prosodic analysis (Experiment 3). The experiments consist of an offline two-alternative forced choice task, and a visual world eyetracking task, in which listeners categorized stimuli on an / $\epsilon$ /-/ $\text{æ}$ / continuum with various contextual manipulations of glottalization. All of the stimuli used in the present experiments, the data for each experiment, and the scripts used to analyze the data are included in full in the open-access repository for the paper hosted on the OSF at <https://osf.io/v4cdz/>.

## 2.1 Predictions

In order to help explain the creation of the stimuli, let us first consider the empirical predictions that we would expect if glottalization cues prominence to listeners and exerts an influence on their perception of the vowel contrast. If a vowel preceded by glottalization is perceived as prominent, a more prominent acoustic realization of that vowel may be expected by listeners. In this case, it would mean a lower and backer realization of the vowel (with higher F1 and lower F2), with a prominent / $\epsilon$ / essentially becoming acoustically more like / $\text{æ}$ /. The corresponding perceptual response would thus be a shift in categorization of the F1/F2 continuum, with more sonorant (lower, backer) F1/F2 values categorized as / $\epsilon$ / in a prominent context (when preceded by glottalization), as compared to a non-prominent one. Empirically, this predicts increased / $\epsilon$ / responses under prominence. Such an effect would constitute perceptual re-calibration for a prominent vowel realization. It is worth noting here that Steffman (2021a)

found this effect with the same contrast, when prominence was cued by global/phrasal context as described above.

## 2.2 Materials

The materials used in all experiments reported here were created by re-synthesizing the speech of a male American English speaker. The speech used in making the stimuli was recorded in a sound-attenuated booth in the UCLA Phonetics Lab, using an SM10A Shure<sup>TM</sup> microphone and headset. Recordings were digitized at 32 bit with a 44.1 kHz sampling rate.

### 2.2.1 A full glottal stop: Experiments 1 and 3

The method for creating the stimuli was to design a continuum that varied in F1 and F2, ranging between two vowels, and manipulate the presence or absence of preceding glottalization. The two words used as endpoints of the continuum were “ebb” / $\varepsilon$ /, and “ab” / $\text{æ}$ / . F1 and F2 were manipulated by LPC decomposition and resynthesis using the Burg method (Winn, 2016) in Praat (Boersma and Weenink, 2020). The formant values for each endpoint were based on model sound productions of “ebb” and “ab”, measures across the entire vowel (i.e., time-series measurements). The resynthesis process estimated the source and filter for the starting model sound from the “ebb” model. The filter model’s F1 and F2 were then adjusted to match those of a model “ab” production. From these two filter models, 8 intermediate filter steps were created by interpolating between these model endpoint values in Bark space (Traunmüller, 1990). Phase-locked higher frequencies from the starting base / $\varepsilon$ / model were restored to all continuum steps, improving the naturalness of the continuum. The result was a 10 step continuum ranging from / $\varepsilon$ / to / $\text{æ}$ / values in F1 and F2. Intensity and pitch were invariant across the continuum.

The starting point for stimulus creation was a production of the sentence “say the ebb now”, with “the” produced as [ðə], which was how the model speaker produced it without explicit instruction (as compared to the alternative pronunciation [ði]).<sup>2</sup> The sentence was produced with an H\* pitch accent on the word “ebb”, such that the word with the target vowel bore the final (nuclear) pitch accent in the phrase (this was systematic in the model speaker’s other productions of the sentence, including those which were not used in stimulus creation, and was a natural way for them to produce the sentence).

The file from which the continuum was created was one produced without a glottal stop preceding the target word. The model speaker (a trained phonetician) reported that it was most

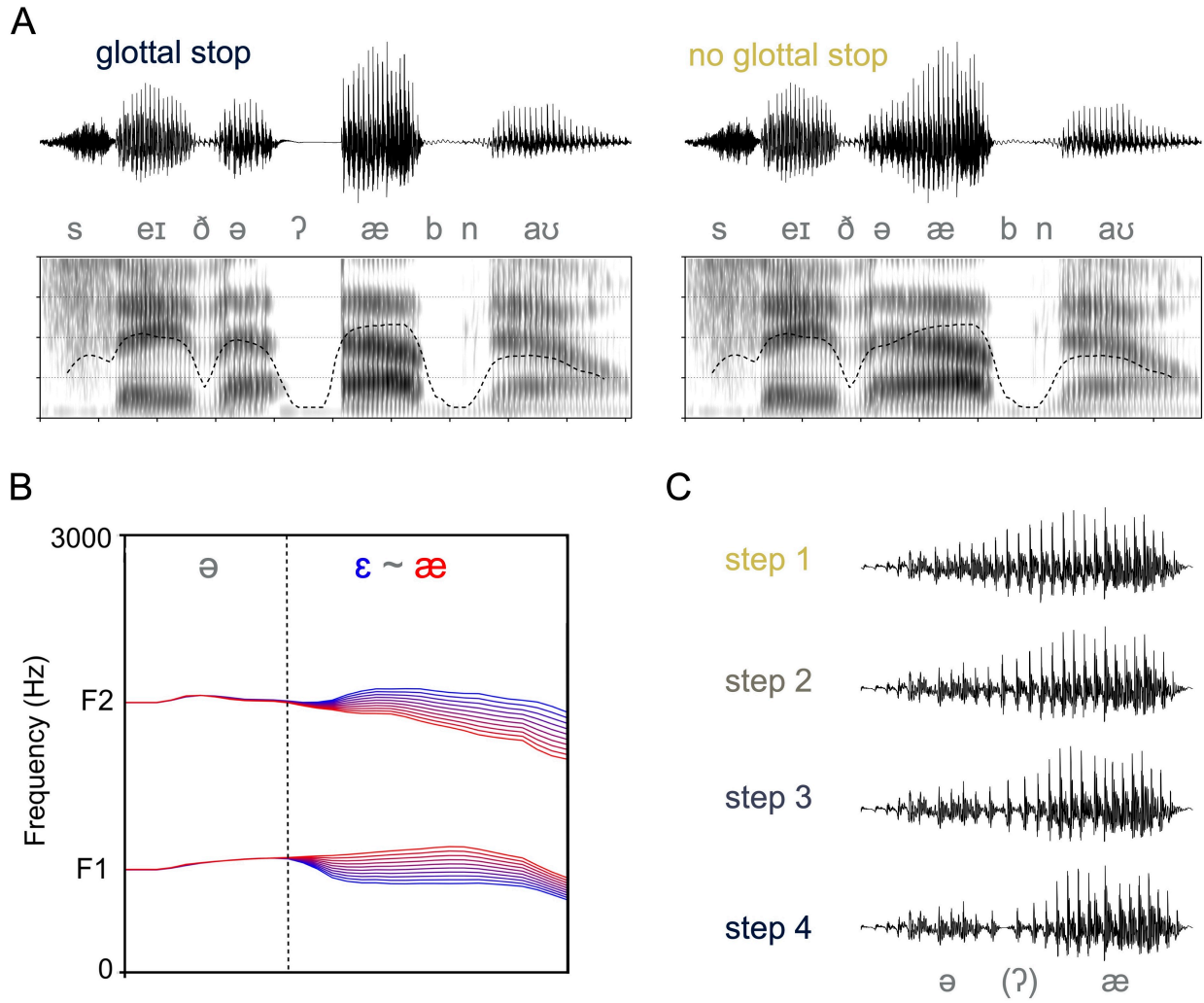


Figure 1: Visualizations of the stimuli used in all Experiments. Panel A: Waveforms and spectrograms showing the glottal stop manipulation (y axis 0-4000 Hz, ticks on axis at 100 ms intervals; in this example the target vowel is at step 10, the most /æ/-like). The intensity profile is additionally overlaid on the spectrograms as a dashed line. Panel B: Formant tracks showing the 10-step continuum created from the VV sequence (the target and the preceding vowel). Panel C: Waveforms showing the four steps of the glottalization continuum from Experiment 2, with just the target vowel and preceding vowel shown.

natural for them to produce a glottal stop between the two vowels, though renditions with and without a glottal stop were both easy to produce. The speaker was prompted to record multiple productions of both target words both with and without a preceding glottal stop. The base files for stimulus creation were selected as those which had the clearest production of the target vowels, sounded natural in terms of tempo etc., and which were either very clearly produced with, or without, a glottal stop. The creation of the continuum only altered F1 and F2 in the

target word as described above, creating a [ðəɛb] to [ðəæb] continuum, with continuous formant transitions from the precursor vowel to the target (as there was no intervening glottal stop). Formant tracks for the 10-step continuum, and preceding vowel are shown in Figure 1 panel B. This constitutes what will be referred to as the “no glottal stop condition”, where no glottal stop preceded the target sound in the vowel hiatus environment. The formants in the precursor vowel [ə] were also slightly centralized (F1 raised, F2 lowered) so that these manipulations did not introduce a confound related to spectral contrast effects.<sup>3</sup> This manipulation made the precursor vowel sound slightly lower than a canonical [ə], though it was clearly intelligible and judged to sound natural.

The method for creating the “glottal stop condition” was to cross-splice [ʔ] from a different production of the carrier phrase in which it preceded the target. The portion of the glottal stop that was inserted was the silent closure (approximately 100 ms in duration), and the short aperiodic burst that accompanied the release of the stop (approximately 15 ms). The stop duration was based on several repetitions from the model speakers (in a careful speech style), and was judged to sound appropriate for the speech rate and of the stimuli. This duration is fairly long, though not outside of the norm: Byrd (1993) describes the durational characteristics of glottal stops in the TIMIT database of American English and finds a mean duration of 76 ms for glottal stop closures between two vowels with 100 ms falling within one standard deviation of that mean (cf. Henton et al., 1992).<sup>4</sup>

The production from which [ʔ] was cross-spliced was [ðəʔæb]. In the case that any information about the following vowel is contained in the release of the stop (though none was perceived), it would bias listeners towards /æ/ when a glottal stop precedes the target, which is the opposite of the predicted prominence effect, described in Section 2.1. The point at which the glottal stop was inserted was where formant trajectories began to shift to the target vowel, indicated by the dashed vertical line in Figure 1, panel B. The insertion of [ʔ] resulted in a sudden end to the vowel in the precursor. To render the precursor more natural, several periods from [ə] in the production of [ðəʔæb] were cross-spliced and appended to the precursor vowel at zero crossing in the waveform. This cross-spliced material replaced the six pitch periods of that immediately preceded formant variation along the continuum in the no glottal stop condition (with approximately 60 ms of voicing replaced). The cross-spliced material introduced a dip in amplitude and irregular voicing going into the glottal stop, which was judged to improved the naturalness of the stimuli substantially. This modified precursor vowel and following [ʔ] were cross-spliced to precede all steps on the continuum, resulting in a [ðəʔɛb] to [ðəʔæb] continuum,

as shown in Figure 1 panel A. Note that the appended periods were identical for all stimuli, as the precursor did not vary across the formant continuum. Notably, all stimuli underwent formant resynthesis, however the glottal stop condition was created by cross-splicing, while there was no cross splicing manipulation in the no glottal stop condition. This was done in order to keep the continuum acoustically identical across conditions, though as a consequence the glottal stop condition is in a sense less natural than the no glottal stop condition, though the manipulation was found to sound very similar to naturally produced glottal stops (produced by the speaker in recording for the stimuli). The sudden onset of the target vowel in the glottal stop condition was additionally found to match the acoustic profile of these naturally produced stops and thus deemed to be an adequate manipulation of glottalization cues.

### 2.2.2 A glottalization continuum: Experiment 2

As is well documented in the speech production literature, and noted above, the way in which glottalization is realized phonetically is notoriously variable, and needn't entail the production of a sustained stop at the glottis (Garellek, 2013; Dilley et al., 1996; Redi and Shattuck-Hufnagel, 2001). As such, an important question is if different realizations of a glottal stop produce similar perceptual effects. Various studies have shown that glottalization may be cued perceptually by a decrease in pitch and intensity (Gerfen and Baker, 2005; Pierrehumbert and Frisch, 1997). Accordingly, Experiment 2 was designed to create a continuum that varied in glottalization strength. Step 1 in the glottalization continuum in Experiment 2 was the same as the "no glottal stop condition" in Experiment 1. Three additional glottalization conditions were created (labeled step 2-4 in Figure 1C). In each, pitch and intensity cues were varied to signal an increase in the strength of glottalization between the pre-target and target vowels. Of note, the endpoint of the continuum is not a complete stop (unlike the glottal stop condition in Experiment 1).

This manipulation was implemented by decreasing the  $f_0$  and intensity at the juncture of the two vowels, indicated by the dashed vertical line in Figure 1 panel B. The seven  $f_0$  periods at and surrounding this point were manipulated. Intensity was manipulated as a 2 dB decrease in intensity per glottalization continuum step for these seven periods, which were then cross-spliced into the original unmodified production at zero crossings in the waveform. The pitch manipulation, which was implemented with the PSOLA method in Praat (Moulines and Charpentier, 1990) took the  $f_0$  period at the juncture and decreased it linearly by 25 Hz at each step. An original  $f_0$  of approximately 115 Hz at Step 1 thus became 90, 65, and 40



Hz at Steps 2,3 and 4 respectively.  $f_0$  was interpolated linearly from this low point across the surrounding three periods on either side to the  $f_0$  values surrounding them. The result was a four-step continuum in strength of glottalization, shown in Figure 1 panel C.

Experiment 2 used a subset of the formant continuum steps from Experiment 1, as it was observed that listeners in Experiment 1 were essentially at ceiling in their categorization responses for steps 1-3. For this reason only steps 3-10 from Experiment 1 were used.

### 3 Experiment 1 and 2

Experiment 1 and 2 are described and presented together here, given their similarity. In addition to the general prediction of increased  $/\varepsilon/$  responses under prominence, In Experiment 2 we can further predict that increasing strength of glottalization should entail increasing strength of this effect, where we see additive shifts in categorization from Steps 1 to 4 in the glottalization continuum shown in Figure 2 panel C.

#### 3.1 Participants and procedure

##### 3.1.1 Experiment 1

30 participants were recruited for Experiment 1. All participants were self-reported native American English speakers with normal hearing, and were recruited from the student population at the University of California, Los Angeles. Each participant completed a language background questionnaire and provided informed consent to participate. Participants received course credit for their participation. The online platform that was used to control stimulus presentation was AppsoBabble (Tehrani, 2020).

The procedure was a simple two-alternative forced choice (2AFC) task in which participants heard a stimulus and categorized it as one of two words, “ebb” or “ab”. Participants completed testing seated in front of a desktop computer monitor, in a sound-attenuated room in the UCLA Phonetics Lab. Stimuli were presented binaurally via a PELTOR™ 3M™ listen-only headset. The target words were represented orthographically, each target word centered in each half of the monitor. The side of the screen on which the target words appeared was counterbalanced across participants, such that for half of the participants “ebb” was on the left, and for the other half “ebb” was on the right.

Participants were instructed that their task was to identify which word they heard by key press, where a “j” key press indicated the word on the right side of the screen, and an “f” key

press indicated the word on the left. Prior to the test trials, participants completed 4 training trials. In these trials, the continuum endpoints were presented once in each glottalization condition. In the subsequent test trials, each unique stimulus was presented 10 times, in random order, for a total of 200 test trials during the experiment (20 unique stimuli  $\times$  10 repetitions). Halfway through the test trials, participants were prompted to take a short self-paced break. The experiment took approximately 15-20 minutes to complete in total.

### 3.1.2 Experiment 2

34 participants were recruited from the same population for Experiment 2. Data collection and recruitment took place remotely due to COVID 19. Participants were asked to complete the experiment in a quiet location while using headphones. There were a total of 32 unique stimuli used in the experiment (8 formant continuum steps  $\times$  4 glottalization continuum steps) each of which was repeated a total of 7 times for a total of 224 trials in the experiment. The four training trials in Experiment 2 presented the endpoints of the glottalization continuum (step 1 and step 4), with the endpoints of the formant continuum, such that listeners heard the endpoints of both continua. The experimental procedure was otherwise the same as in Experiment 1.

## 3.2 Analysis

The analysis of categorization data in all experiments reported here was carried out using a Bayesian logistic mixed-effects regression model, implemented with the R package *brms* (Bürkner, 2017). The models were run using R version 4.1.2 (R Core Team, 2021) in the RStudio environment (RStudio Team, 2021). Weakly informative normally distributed priors were employed for both the intercept and fixed effects, as Normal(mean = 0, standard deviation = 1.5) in log-odds space.<sup>5,6</sup>

In reporting effects on categorization two measures are given, both characterizing the estimated posterior distribution for a given fixed effect. First we report the estimate and 95% credible intervals (CrI) for an estimate. This gives the effect size (in log-odds), and characterizes the distribution/certainty around the estimate. When 95% credible intervals exclude 0, this suggests a consistently estimated directionality, and accordingly a robust influence. In comparison, 95% credible intervals which *include* 0 would indicate substantial variability in the estimated direction of an effect, and therefore a non-reliable impact on categorization. An additional metric is reported: the “probability of direction”, (henceforth *pd*), computed with

*bayestestR* package (Makowski et al., 2019). This metric is conceptually similar to reporting CrI, but is useful in that it corresponds more intuitively to a frequentist model's p-value. *pd* indexes the percentage of a posterior distribution which shows a given sign, and the value of *pd* ranges between 50 and 100. A posterior centered precisely on zero (i.e. no evidence for an effect), will have a *pd* of 50, while a posterior with a skewed negative or positive distribution will have *pd* that approaches 100. Convincing evidence for an effect would come from *pd* values that are greater than 97.5 (the *pd* value that corresponds to 95% CrI excluding zero; a *pd* value of 100 would indicate all of the distribution for an estimate excludes the value of zero, this would be very strong evidence for an effect). Tables showing all fixed effects estimates for each model are included in the appendix.

Models were coded to predict categorization responses, with an / $\epsilon$ / response mapped to 1, and an / $\ae$ / response mapped to 0. The formant continuum was coded as a continuous variable, and scaled and centered. In Experiment 1, glottalization was contrast coded with the presence of a glottal stop mapped to 0.5, and the absence of a glottal stop mapped to -0.5. Categorization responses were predicted as a function of continuum step, glottalization, and the interaction of these two fixed effects. In Experiment 2, the glottalization continuum was treated as a continuous variable, and was scaled and centered. Categorization responses were predicted as a function of glottalization continuum, formant continuum, and their interaction. Random effects in the each model included random intercepts for participant and random slopes for all fixed effects and interactions.

### 3.3 Results and discussion

The results of Experiments 1 and 2 are shown together in Figure 2. In both Experiment 1 ( $\beta = -2.95$ , 95%CrI = [-3.27, -2.64]; *pd* = 100) and Experiment 2 ( $\beta = -2.61$ , 95%CrI = [-2.99, -2.26]; *pd* = 100) changing formant values along the continuum shifted categorization in the expected way; increasing (scaled) step values along the continuum decreased the log-odds of an / $\epsilon$ / response.

In Experiment 1, the glottal stop condition showed a credible effect in shifting categorization ( $\beta = 1.69$ , 95%CrI = [1.26, 2.12]; *pd* = 100). As shown in Figure 1A, a preceding glottal stop increased / $\epsilon$ / responses. This result lines up with the predictions outlined in Section 2.1, suggesting that listeners do indeed adjust their perception of the contrast in line with sonority expansion: a vowel preceded by a glottal stop is expected to be realized as a more prominent variant, i.e. lower and backer in the vowel space.

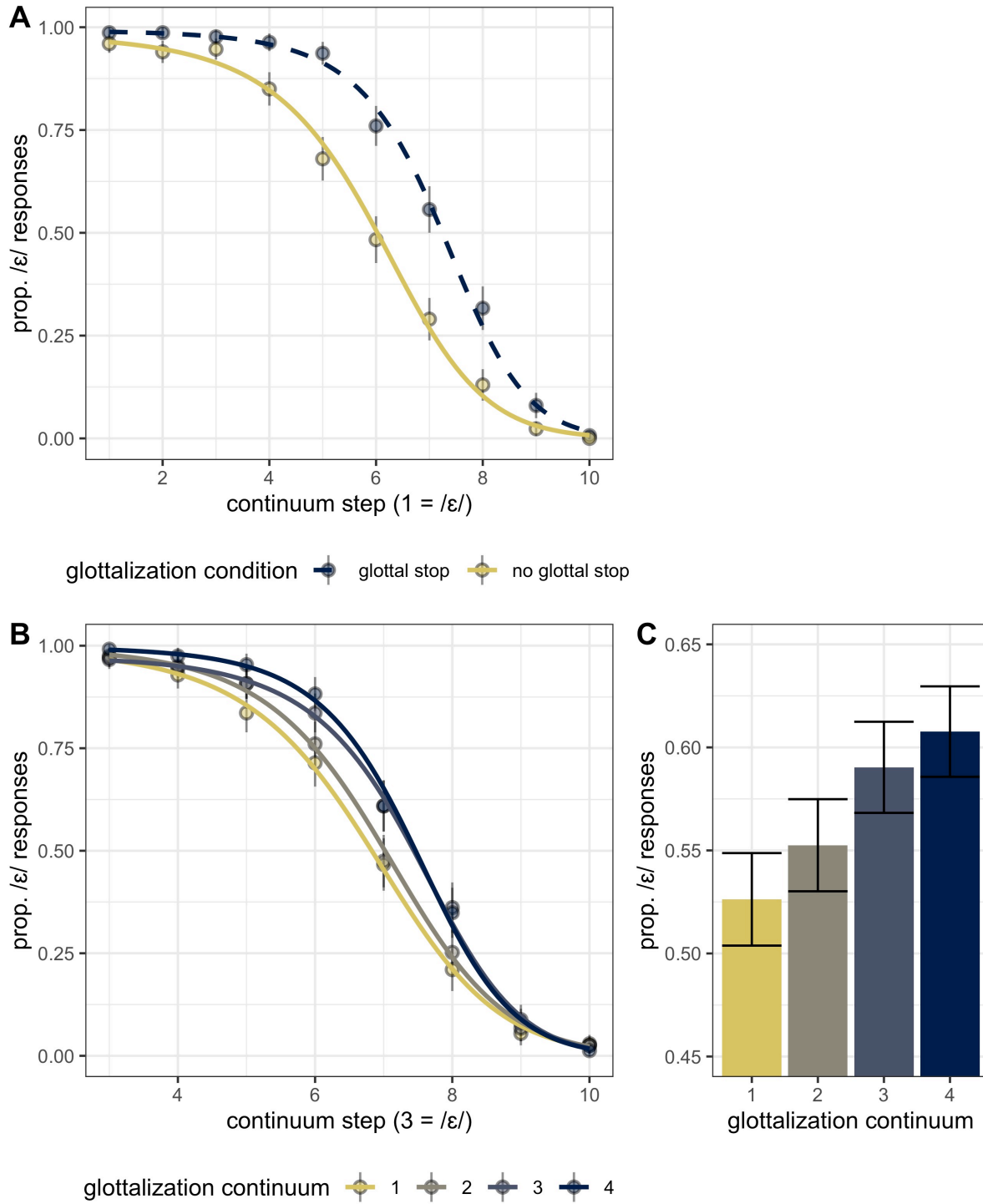


Figure 2: Categorization results in Experiment 1 (panel A) and 2 (panel B and C). In panels A and B, the x axis shows the formant continuum and the y axis shows listeners' proportion of /ε/, responses at each step, split by glottalization condition. Lines in panel A and B show a logistic fit to the data with points showing empirical means. Error bars show one SE from the data (not model estimates). Panel C shows the effect of the glottalization continuum on the x axis, pooled across formant continuum steps. Step numbering for the formant continuum refers to the values from the original 10 step continuum, with Experiment 2 ranging from step 3 to step 10.

In Experiment 2, the glottalization continuum additionally showed a credible effect in shifting categorization responses ( $\beta = 0.38$ , 95%CrI = [0.29, 0.49];  $p_d = 100$ ). This is evident in Figure 2B as increasing rightward shifts along the glottalization continuum, with the strongest glottalization cues (step 4), showing the largest difference from step 1 (no glottalization). The results are further shown in Figure 3B, which collapses across all steps of the formant continuum, showing a graded increase in / $\epsilon$ / responses as glottalization cues increase in strength. The effect size (in log odds) is smaller than in Experiment 1, though direct comparisons are not straightforward because of the way that the variables were coded. In Experiment 2, the estimate is for a one-unit change in the scaled value of glottalization continuum step. Relating the scaled and centered values to actual continuum values and comparing the difference between step 1 and step 4 (weakest to strongest glottalization cues) yields an estimated log-odds difference of approximately 1.05, suggesting a slightly smaller effect than the full stop in Experiment 1. This may be expected because glottalization cues, even at their strongest in Experiment 2, are in a sense “weaker” than the full stop in Experiment 1. This effect size estimate is in agreement with an alternative parameterization of the model in which glottalization continuum was treated as a four level categorical variable, included in the open access repository.<sup>7</sup>

We can consider these results in relation to the aforementioned relation between vowel height and vowel-initial glottalization, whereby a general cross-linguistic pattern is that lower vowels favor glottalization (e.g., Brunner and Zygis, 2011). On the one hand, this relationship could be treated as a statistical pattern by listeners: glottalization could lead to the expectation of a lower vowel phoneme (in the present study, / $\epsilon$ /). The results indicate that this is clearly not the case, as glottalization favors perception of / $\epsilon$ /, which is in line with data from American English showing no clear relationship between phonological/categorical vowel height and the production of vowel initial glottalization (Garellek, 2013; Umeda, 1978). What the results indicate instead is that vowel-initial glottalization leads listeners to re-calibrate such that, for a given vowel category, the acoustic space which is mapped to it is lower and backer (in F1/F2), in line with sonority expansion. This relation to (acoustic) vowel height is a restatement of the predicted prominence effect, though future work will benefit from looking at other vowels, including those which are *not* realized as acoustically lower/backer under prominence (e.g. American English /i/, Cho, 2005).

The data from Experiments 1 and 2 thus supports the prediction that vowel-initial glottalization serves a prominence-marking function for listeners. Notably, we can see that different realizations of glottalization engender similar perceptual effects, with a clear relationship

between strength of glottalization and the magnitude of the perceptual shifts evidenced by listeners, which seems to vary fairly continuously as a function of the glottalization continuum, addressing “whether [glottalization] is interpreted along a continuum or as a contrastive binary feature” (Redi and Shattuck-Hufnagel, 2001, p 427).

## 4 Experiment 3

Given the effect of glottalization on categorization in both Experiment 1 and 2, Experiment 3 examined the timecourse of its influence in online processing in a visual world eyetracking task.

### 4.1 Materials

Experiment 3 made use of the same materials as Experiment 1, though it used a subset of the 10 step continuum. The method by which the Experiment 3 stimuli were selected was the same as that used in Mitterer and Reinisch (2013). The overall interpolated categorization function for Experiment 1 was inspected. The point at which the interpolated function crossed 50% (i.e. the most ambiguous region in the continuum) was identified. The three steps on each side of this crossover point were used in Experiment 3. This led to the selection of steps 4-9 from Experiment 1. There were accordingly 12 unique stimuli used (6 continuum steps  $\times$  2 prominence conditions).

### 4.2 Participants and procedure

40 participants were recruited from the same population as previous experiments to participate in Experiment 3. Testing was carried out in a sound-attenuated room in the UCLA Phonetics Lab.

Participants were seated in front of an arm-mounted SR Eyelink 1000 (SR Research, Mississauga, Canada) set to track the left eye<sup>8</sup> using pupil tracking and corneal reflection at a sampling rate of 500 Hz, and set to record remotely (i.e., without a head mount) at a distance of approximately 550 mm. At the start of the experiment, participants’ gaze was calibrated with a 5-point calibration procedure.

Stimuli were presented binaurally via a PELTOR™ 3M™ listen-only headset. The visual display was presented on a 1920 $\times$ 1080 ASUS HDMI monitor. In each trial, participants were presented with a black fixation cross (60px by 60px) in the center of monitor. The target words

themselves were displayed in 60pt black Arial font, with one word centered in the left half of the monitor, and the other in the right half of the monitor. The side of the screen on which the words appeared was counterbalanced across participants, though for a given participant the same word always appeared on the same side of the screen as in Reinisch and Sjerps (2013); Kingston et al. (2016). Two interest areas (300px by 150px) were defined around the target words. These were slightly larger than the printed words, to ensure that looks in the vicinity of the target words were also recorded, following e.g., Chong and Garellek (2018); Kingston et al. (2016).

The onset of the audio stimulus was look-contingent, such that stimuli did not begin to play until a look to the fixation cross had been registered. This was done to ensure that participants were not already looking at a target word at the onset of the stimulus. As soon as a look to the fixation cross was registered, the audio stimulus began, and the target words appeared simultaneously with the onset of the audio. The trial ended after participants provided a click response. The next trial began automatically after a click response was registered. At the start of each new trial, the cursor position was re-centered on the computer screen, following Kingston et al. (2016). Trials were separated by an interval of 1 second. Eye movements were recorded from the first appearance of the fixation cross until the participants provided a click response and the next trial began.

There were four practice trials, with each continuum endpoint being presented in each prominence condition once. Following this, there were a total of 96 test trials; each of 12 unique stimuli was presented a total of 8 times, with stimulus presentation completely randomized. The experiment, including calibration, took approximately 20 minutes to complete.

### 4.3 Eyetracking analyses

Two complementary analyses of the eyetracking data are presented here. The dependent measure in each analysis was a “preference measure”, which offers a normalized measure of listeners’ propensity to fixate on a target (cf. Reinisch and Sjerps, 2013). This measure is computed as log-transformed looks to “ebb” minus log-transformed looks to “ab”, using the empirical logit (Elog) transformation<sup>9</sup> given in Barr (2008). This measure was computed within a given time bin in a trial, the size of which was different in the two different analyses, described below. The analysis window of 0-1200 ms from the onset of the target vowel in the stimulus is used.

In the first eyetracking analysis, eye movement data from Experiment 3 was analyzed by

a Generalized Additive Mixed Model (GAMM) using the R packages *mgcv* (Wood, 2006) and *itsadug* (van Rij et al., 2016). GAMMs have recently been suggested to offer an appealing alternative to moving window analyses in that they allow for an encoding of the temporal contingency across time bins, and further allow for modeling non-linearity in the data (see Zahner et al., 2019 for a discussion of the advantages of GAMMs for eyetracking data). The data was sampled at 20 ms time bins for the GAMM analysis (as in Steffman, 2021a; Zahner et al., 2019). The GAMM was an AR1 error model, fit using the technique described in e.g., (Sóskuthy, 2017), to reduce residual autocorrelation. The rho parameter was specified in the model based on a previous run of the same model with the AR1 component (see the open access repository for code implementing this). The number of knots in the model was assessed to be adequate using the *gam.check* function and the distribution of residuals were found to be normal using the *qq.gam* function.

The model was fit with parametric terms for continuum step (scaled and centered), glottalization condition, and the interaction between these fixed effects. Parametric terms in the GAMM model are analogous to fixed effects in mixed effects models and capture if listeners' fixation preference in the analysis window as a whole varies as a function of the predictors. Smooth terms are additionally fit to model changes over time, and (potentially) non-linear patterns in the data. The model was fit to capture the interaction between continuum acoustics and time using a non-linear tensor-product interaction term, which allows us to examine how, over time, vowel acoustics mediate listeners' preference to fixate on a given target. There was an additional smooth term modeling the influence of glottalization condition over time, allowing us to examine the mediating influence of a preceding glottal stop. Random effects in the model were specified using the reference-difference smooth method described in Sósokuthy (2021), with factor smooths for participant, and for participant by glottal stop condition (coded as an ordered factor). In both factor smooth terms, the *m* parameter was set to 1, following Baayen et al. (2018) and Sósokuthy (2021).<sup>10</sup> The numerical GAMM model output is included in the appendix, though the terms in the model as it was coded are generally not useful for interpreting timecourse questions of interest here (Nixon et al., 2016; Zahner et al., 2019).

The second analysis was a more traditional moving window analysis, which assesses how vowel-internal formant cues influence eye movements in relation to the glottal stop manipulation. Time bins of 100 ms were used with the preference measure computed at 100 ms intervals across a trial. 100 ms window was selected as one that provides a fairly fine-grained temporal assessment, while also granting a reasonable amount of independence from bin to



bin (Barr, 2008; Mitterer and Reinisch, 2013), though this is known issue in moving window analyses. The dependent measure was predicted as a function of (scaled) formant continuum step, and glottalization context (coded as in the categorization models), and the interaction of these two fixed effects in each time bin. Random effects were random intercepts for participant and random slopes for both fixed effects and their interaction. These models were run in *brms* as with models of the categorization data. The assessment of the effects will be in terms of when, over binned time, each has a robust effect on listeners' fixations.

The benefit of the moving window analysis for our purposes is that it provides temporal information about when, as a main effect, both glottalization and formants impact processing. The GAMM analysis is comparatively useful for looking at the relationship between the formant effect and glottalization effect, but it does not provide a single-time estimate for the impact of glottalization overall, nor the impact of formant cues overall.<sup>11</sup> The moving window analysis also facilitates cross-experiment comparison with data from Steffman (2021a). For this comparison, a parallel moving window analysis from data in Steffman (2021a) (which was not reported in that paper) is presented here as well. More details for that study are given in Section 4.5.2, following the presentation of the GAMM results of Experiment 3. The model was coded and implemented in the same way as the model of the Experiment 3 data. With this model we can make a direct comparison for the effect of continuum step and prominence cues (phrasal prominence versus glottalization) across experiments, and allowing us to examine if glottalization shows a different pattern from phrasal prominence in online processing.

#### 4.4 Timecourse predictions

Given the variables under consideration and the previous accounts of prosody and prominence in processing described in Section 1.3, we can operationalize some predictions in Experiment 3. First, a general expectation is that vowel-internal formant cues should exhibit a rapid influence in online processing as shown, for example, by Reinisch and Sjerps (2013). It takes approximately 200 milliseconds to program a saccadic eye movement, meaning that we expect a 200 ms lag between the time that a given stimulus dimension is used by listeners and the time it influences their looking behavior. Given this, we can predict to see an influence of vowel acoustics (modeled with the continuum variable) in online processing as early as 200 ms from the onset of the target vowel.

Taking this timing as a baseline for what constitutes a rapid effect, consider the timecourse predictions for vowel-initial glottalization. Following the prosodic analysis model, if a glottal

stop is processed as strictly contributing to a prosodic parse of the signal which is integrated later in word recognition following Cho et al. (2007), it should show a later-stage effect in line with Kim et al. (2018b) and Mitterer et al. (2019). This makes two empirical predictions.

- *Prediction 1: Impact of glottalization on early formant processing.* The prosodic analysis model predicts that listeners' early processing of formants should not be impacted by prosodic information. That is, listeners should use formant cues to activate lexical hypotheses, which are then reconciled with prosodic information. This predicts that the early processing of formants will be comparable across glottalization conditions. Conversely, early differences in formant processing would support the predictions from the MAPP model described in Section 1.3, reflecting an early influence of glottalization in the perception of formant cues. This relationship between formants and glottalization will be tested using the GAMM model.
- *Prediction 2: Timing of formant and glottalization effects.* Relatedly, the prosodic analysis model predicts asynchrony between the influence of vowel formants and glottalization, with the effects of formants coming first, for the reason mentioned above. The MAPP model predicts that a localized, "phonetic" prominence cue should impact processing at an early stage. A rapid effect of glottalization, one that is simultaneous, or near-simultaneous with the effect of vowel-internal formant cues, would thus support this prediction from the MAPP model. This will be tested with the moving window analysis.

Importantly, as described in Section 2.2 the glottalization manipulation only *preceded the target vowel in time*, and the target itself is acoustically the same across glottalization conditions. Accordingly, any effects must be attributed to the (contextual) glottalization manipulation.

## 4.5 Results

As shown in Figure 3, panel A, categorization results from Experiment 3 essentially replicated Experiment 1. Formant cues from the continuum exerted a reliable influence in categorization, ( $\beta = -2.61$ , 95%CrI =  $[-2.99, -2.26]$ ;  $pd = 100$ ), and we can see the categorization function is overall fairly well-anchored. The glottal stop effect from Experiment 1 was also replicated, with the presence of a preceding glottal stop increasing listeners' / $\epsilon$ / responses ( $\beta = 2.49$ , 95%CrI =  $[1.99, 3.01]$ ;  $pd = 100$ ). An overall bias towards / $\epsilon$ / is also evident in the tendency of listeners to categorize the target as / $\epsilon$ /, especially when it is preceded by a glottal stop.

Figure 3 panel B shows the raw eye movement data from the experiment, plotting eye

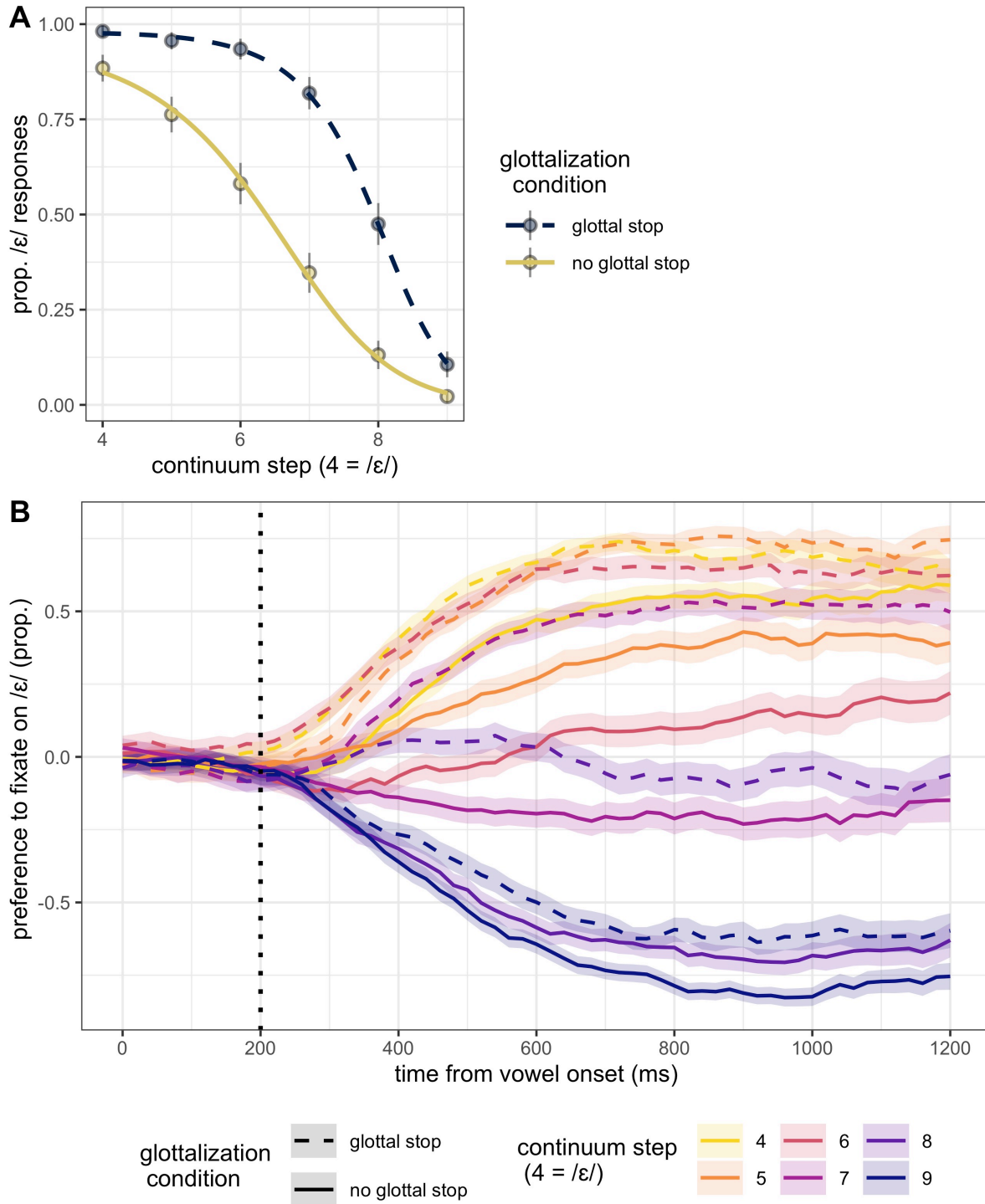


Figure 3: Categorization results in Experiment 3 (panel A), and eye movement data in Experiment 3 (panel B; see text). Error bars and ribbons show one SE, computed from the data. The vertical dotted line at 200 ms indicates the earliest time at which information in the target vowel is expected to impact fixations. Step numbering refers to the values from the original 10 step continuum.

movement trajectories as a function of continuum step and glottalization condition. The measure plotted on the y axis is listeners' preference to fixate on / $\epsilon$ /, computed as the proportion of looks to / $\epsilon$ / minus looks to / $\text{æ}$ / in each 20 ms time bin. Here a value of zero indicates no preference, a positive value indicates a preference to fixate on / $\epsilon$ / and a negative value indicates a preference to fixate on / $\text{æ}$ /. Note that the time which is marked as zero on the x axis is the precise point in the stimulus (in either glottalization condition) where there begins to be any difference based on vowel continuum acoustics, corresponding to the positioning of the dashed line in Figure 1. In other words, the stimuli up until this time will be different based on the glottalization manipulation preceding the target vowel, but there are not yet any formant cues to vowel identity at this point. We can see the effect of continuum step in the separation of lines based on coloration, with more / $\epsilon$ /-like continuum acoustics leading to a preference to fixate on / $\epsilon$ /. This separation, or fanning out, of trajectories appears to occur at roughly 200 ms from the onset of the vowel. The effect of vowel-initial glottalization is also evident in the separation we see based on line type: In line with the categorization data, a preceding glottal stop (dashed lines) facilitates looks to / $\epsilon$ /, an online effect corresponding to the categorization results we have seen thus far. We can also note that there is an / $\epsilon$ /-bias in eye movements, as also suggested by the categorization data, with steps 1- 4 showing a strong / $\epsilon$ / preference. Qualitatively, it thus appears that both vowel-internal acoustic cues, and preceding glottalization, are jointly shaping listeners' perception of the target word.

This general timing of the effects raises an important issue related to prediction. In the glottal stop condition, listeners hear the production of the pre-target glottal stop, and they could, in theory, use this to predict the upcoming vowel prior to hearing vowel-internal cues. Given the qualitative assessment of the data, this would mean a glottal stop would, overall, lead to a prediction of / $\epsilon$ / (as we see the glottal stop condition favors looks to / $\epsilon$ /). Note that this sort of prediction-based cue use would be conceivable if listeners tracked the co-occurrence of pre-target glottalization with vowel acoustics that tended to sound more like / $\epsilon$ / based on perceptual re-calibration for sonority expansion, then in turn used this information to predict the upcoming vowel. Evidence for this sort predictive cue use would come from an effect of glottalization condition which *preceded* an influence of vowel-internal cues. Recall that it takes approximately 200 milliseconds to program a saccadic eye movement. Systematic looks to a target prior to 200 ms from vowel onset would thus be evidence for prediction-based cue use for glottalization. For now, we can note that qualitatively that it seems trajectories do not separate prior to this time (the dotted line in Figure 3), though we return to this point in

discussing the modeling results.

#### 4.5.1 GAMM modeling

The GAMM modeling analysis focused on the relationship between glottalization and formants in jointly shaping listeners' processing of the target word, addressing prediction 1 in Section 4.4. First we can note that the parametric terms in the GAMM model confirm an influence of vowel formants and glottalization in the analysis window as a whole ( $p < 0.001$  for both), as would be expected given the observations made of Figure 3.

To assess the relationship between continuum step, glottal stop condition, and time, three dimensional topographic surface plots are presented in Figure 4. These plots show the model fit, representing the effect of continuum step (as a continuous variable on the y axis) over time (on the x axis). The dependent variable (listeners' Elog-transformed preference to fixate on the / $\epsilon$ / target) is represented on a gradient color scale. The two panels represent model fits based on glottalization condition, panel A being when the target is preceded by a glottal stop. A value of zero (in the middle of the color scale) indicates no preference, while a positive value (closer to yellow on the color scale) indicates a preference for the / $\epsilon$ / target. A negative value (closer to purple on the color scale) represents a preference for / $\text{æ}$ /. Shading on the surface shows locations where listeners' preference is not significantly different than zero, i.e. when 95% CI from the model estimate include the value of zero. Note that listeners do not show a preference early in the analysis window, with shading on all of the surface prior to approximately 200 ms. The fact that shading occupies the first 200 ms of the analysis window indicates that listeners are not using information that precedes the target vowel to predict target vowel identity. If preceding information (i.e. the presence of a glottal stop) was systematically used to predict vowel identity directly, shading on the surface would disappear prior to 200 ms from the vowel onset. This point is returned to in the moving window analysis of the data, which more directly assesses the timing of the effect of the glottalization condition..

As time progresses, listeners develop graded preferences based on continuum step. At the end of the analysis window, there is a range of preferences: a stronger / $\epsilon$ / preference at step 4 on the continuum, and a stronger / $\text{æ}$ / preference at step 9. Note too that some portion in the middle region of the continuum never attains a significant preference in either panel. That is, the model finds that the ambiguous region of the continuum remains ambiguous even at the end of the analysis window. This is shown by the shaded area persisting until the end of the analysis window. With this in mind, we now can assess the impact of a glottal stop on

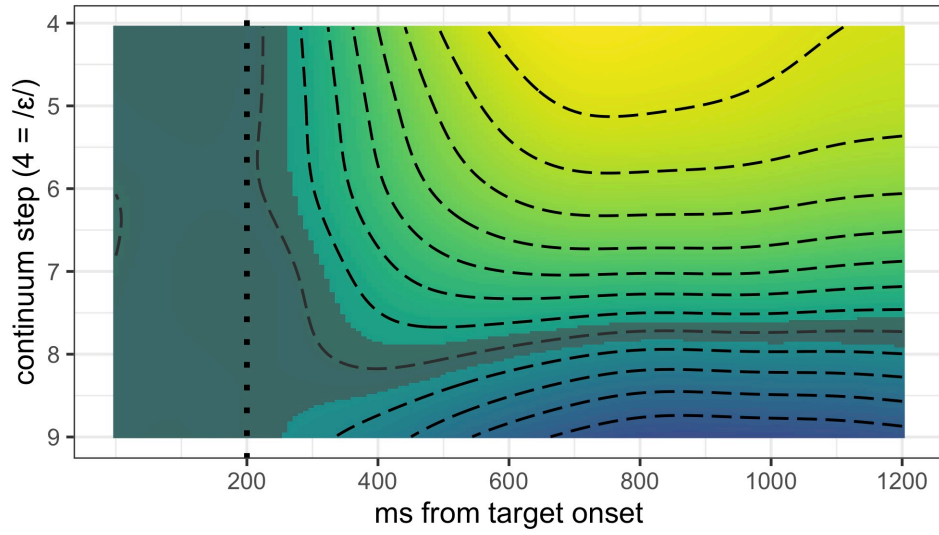
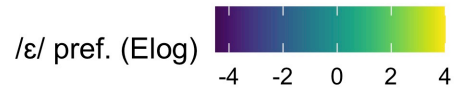
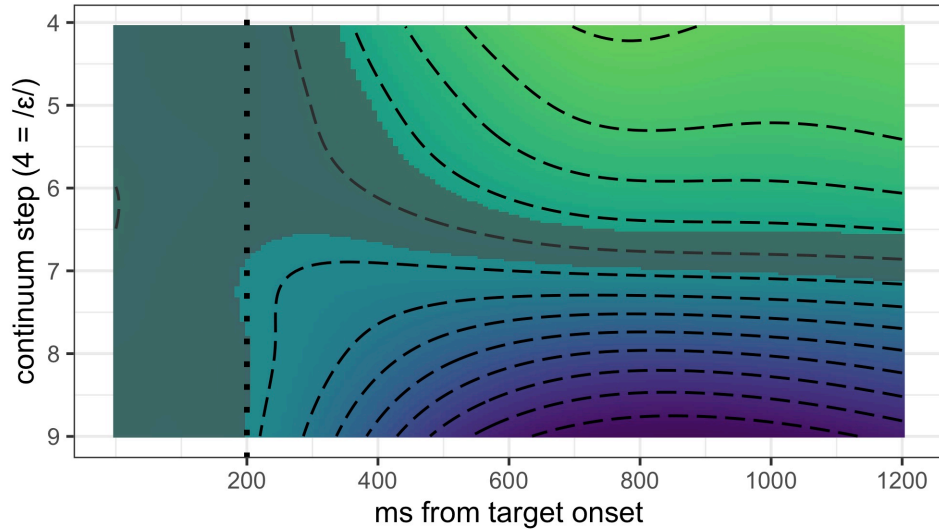
**A** glottal stop**B** no glottal stop

Figure 4: Surface plots showing the GAMM model fit in Experiment 3, with continuum step on the y axis, time on the x axis, and listeners' log-transformed fixation preference indexed by coloration. Gray shading indicates places on the surface where listeners have no preference for either target. The vertical dotted line at 200 ms indicates the earliest time at which information in the target vowel is expected to impact fixations. Step numbering refers to the values from the original 10 step continuum.

listeners' use of the continuum over time. The effect of the glottal stop is evident in observing (1) the coloration of each panel A and B, and (2) the shape and position of the shaded area showing points on the surface for which listeners did not have a preference for either target. In terms of coloration, note the color scale used in both panels is shared by them: the same color on each panel would reflect the same degree of / $\epsilon$ / preference. We can see that each panel overall occupies different color spaces, with the glottal stop condition showing a stronger / $\epsilon$ / preference (more yellow on the plot), and the no glottal stop condition showing a stronger / $\text{æ}$ / preference (more purple on the plot). In other words, acoustically identical continuum steps are perceived as more like one target or the other, as function of glottalization. These differences are notably evident as early as listeners show *any* preference: as soon as the shading on the surfaces disappears.<sup>12</sup>

Additionally, the surface plots show that glottal stop condition also influences which stimuli are perceived as ambiguous by listeners. This is apparent in the vertical positioning of the shaded region, particularly the narrow portion that persists throughout the analysis window. The regions along the continuum which show no preference in looks vary based on glottal stop condition, starting early (roughly 200 ms from target onset) and persisting throughout the analysis window. This pattern is not only reflected in the narrow band of the shaded region, but also in the surrounding shading which extends around that region. This shading shows a relative delay in processing formant cues in the region of steps 7-9 in the glottal stop condition, and steps 4-6 in the no glottal stop condition whereby regions more in the proximity of ambiguous steps show slower recognition of the vowel. Critically, where these regions are is impacted by the glottalization manipulation. This pattern can also be framed in terms of expectations: pre-target glottalization cues favor the recognition of a particular vowel, slowing down recognition of the alternative (though notably this pattern does not constitute a predictive effect in the sense that only at 200 ms from target onset do listeners begin to show a preference). Inspection of the surface plots therefore supports a difference in early formant processing across conditions, with differences across conditions evident at the earliest moments, and early modulation of which vowel acoustics are ambiguous to listeners, and the speed at which a particular vowel is recognized.

The surface plots thus support an early effect of formant information (which is modulated by the glottalization condition). However, they do not offer a tidy assessment of the timing for the glottal stop effect itself. This can be examined in the GAMM by looking at when smooths for the glottalization condition diverge in time (observing difference smooths extracted from

the model), for each continuum step value. This yields six divergence times (one for each continuum step) which ranged between 242 ms and 279 ms and on average were 255 ms from the onset of the target vowel (as noted above the GAMM model as it was structured is not particularly well-suited for this sort of single-time estimate). The timing of the effect corroborates the previous observation that glottalization is indeed an early effect, and also aligns with the timing as assessed by the moving window analysis, which is described below.

#### 4.5.2 Comparison to Steffman 2021

Given these results we now consider how the glottalization effects described above compare to data from Steffman (2021a), which asked a similar question about vowel perception under variations in prominence as described in Section 1.3. Here it is thus relevant to consider the design of the stimuli and experiment in that paper. Steffman (2021a) adopted a highly similar eyetracking design to Experiment 3, with the intent that they may be compared. Steffman (2021a) tested perception of the same contrast as the present study, and also made use of a 6-step continuum ranging between / $\epsilon$ / and / $\text{æ}$ /, using the same target words (though the continuum was not acoustically identical to the one used here). The experiments can also be considered fairly comparable in that the visual eyetracking display was identical in each of them, and the instructions and procedure were the same. Where the two experiments differ crucially is the way in which prominence was manipulated.

As described in Section 1.3, in Steffman (2021a) the target word was placed in two carrier phrases, which manipulated the relative prominence of the target word: “I’ll say [TARGET] now” versus “I’ll SAY [target] now”. In creating the stimuli for these conditions, the goal was to manipulate only the context surrounding the target (with the target identical across conditions), in such a way that listeners’ perception of target prominence varied in the way described in Section 1.3. As with the present experiments, these stimuli present a fairly conservative manipulation in changing only context, to ensure that properties of the target sound itself do no influence responses. Two productions served as the basis for the stimuli. In one the target was relatively prominent, produced with a nuclear H\* accent, appropriate for a broad focus context, in the sentence “I’ll say [TARGET] now”. The prosodically prominent condition was created simply by using a version of this frame. In the prosodically non-prominent condition, the vowel in the word “say” from a production in which focus was on “say” (“I’ll SAY [target now]”) replaced the original vowel in that frame. This cross-spliced vowel in “say” therefore has increased amplitude and duration relative to “say” in the other condition, and



a prominent L+H\* pitch accent. Following this, the pitch on the preceding word “I’ll” was re-synthesized to match the pitch values of this word in “I’ll SAY [target now]”, with lower F0 for the production of L in L+H\*. Pitch on “I’ll” in the other condition was also resynthesized, overlaid with values from another broad focus production to ensure that both conditions underwent an equal amount of resynthesis. The post-target word “now” was identical across conditions, realized as unaccented and phrase-final with a low (L-L%) boundary tone. These manipulations thus created differences in the pre-target pitch contour, as well as the duration, overall amplitude and amplitude envelope of the pre-target vowel /eɪ/. The F0 and intensity of the target were averaged between the values from the productions of “I’ll say [TARGET] now” and “I’ll SAY [target] now”, rendering it acoustically intermediate and ambiguous, which was judged to sound appropriate for both frames. A formant continuum was additionally created using the method described in Section 2.2. This prominence manipulation, though it controls the acoustic properties of the target is nevertheless more global than the present experiments, and varies multiple acoustic dimensions in all of the pre-target material, conveying different prominence structures for the target and the material before it (see Steffman, 2021a for more details).

Though the stimuli in Steffman (2021a) thus differ substantially from those in Experiment 3 in how prominence is cued, the two sets of stimuli have important similarities. In both, cues manipulating prominence *only precede the target word in time*. Thus any differences across prominence conditions are coming from pre-target material, with the target, and post-target material being identical across prominence conditions. The analyses of both experiments additionally both crucially take the onset of the target vowel as the beginning of the analysis window. Registering the onset of the window to this point for both Experiment 3 and Steffman (2021a) facilitates comparison in terms of the timing of these effects in the sense that in both, we examine how listeners’ preference to fixate on a target word develops at the start of that word (with preceding prominence cues varying). These similarities can be kept in mind as the data are compared with a moving window analysis, though it should also be kept in mind that this is a between-subjects comparison.

A visualization of the eyetracking data from Steffman (2021a) is given in Figure 5, with a layout mirroring that in Figure 3. As in Figure 3, we can note that trajectories fan out and separate as a function of changing acoustics along the continuum (more /ε/-like acoustics along the continuum favor fixations on /ε/). We can also note a comparable prominence effect to that seen in Experiment 3: The prosodically prominent condition in which the target

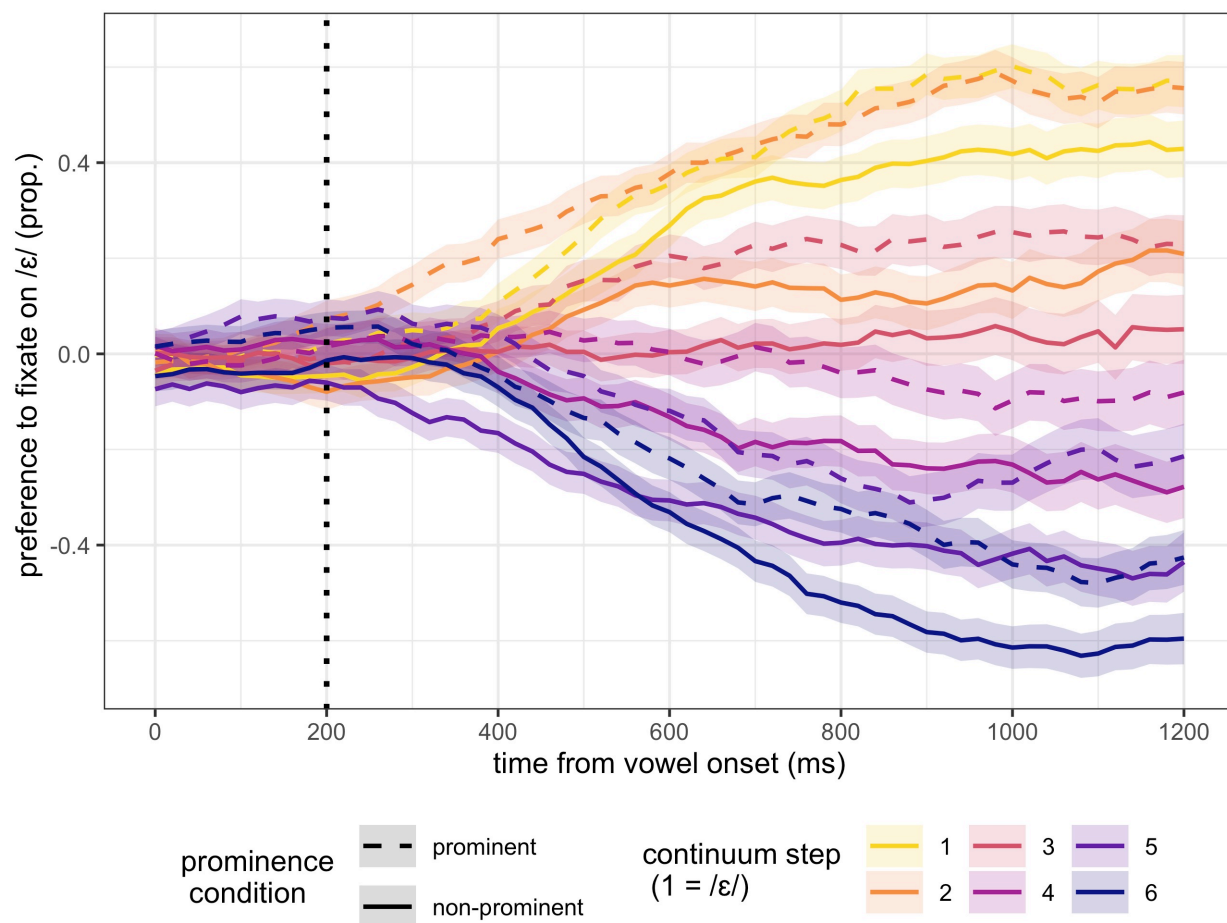


Figure 5: Eyetracking results from (Steffman, 2021a), displaying eye movements as a function of prominence and continuum step, laid out as in Figure 3. Steps are numbered 1-6 ranging from most to least like /ε/

is not preceded by focus on “say” shows increased fixations to /ε/, analogous the effect of a preceding glottal stop in Experiment 3. Based on this visual assessment we can thus conclude a similar impact of these two (very different) prominence cues across experiments. The following section compares these experiments in terms of the timecourse of formant cues and prominence a moving window analysis.

### 4.5.3 Moving window analysis

In the moving window analysis, estimate for each effect from the model is given along with 95% CrI, each of which are plotted over time, which is presented in 100 ms time bins, shown in Figure 6. The full model summaries which produced the estimates plotted here are contained

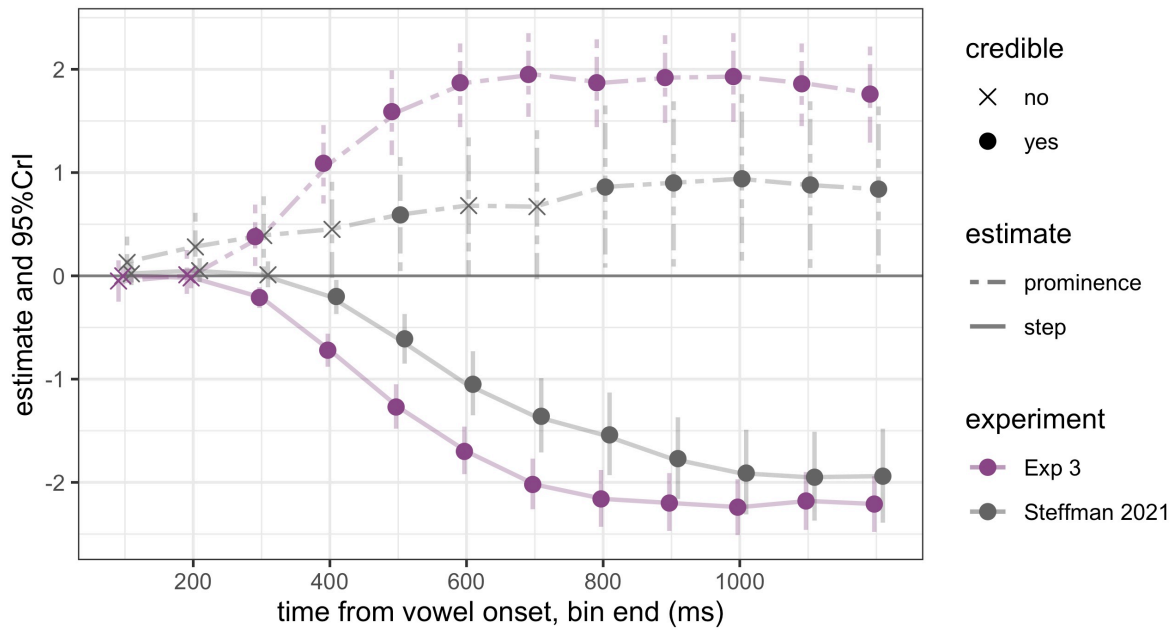


Figure 6: Model estimates for the effect of continuum step and prominence (glottalization) in the moving window analysis for Experiment 3, with estimates from the same analysis for data from Steffman (2021a) for comparison. Each point is located at the end of a time bin, e.g., 200 indicates 100-200 ms. Point shape indicates whether or not an effect is credible in a given time bin.

in the open access repository.

First consider just the data from Experiment 3. The timing of an effect can be taken to be reliable if, in a given time bin, 95%CrI for the effect *exclude* the value of 0, as indicated by a circular point for that time bin in the figure. A reliable effect of continuum step in Experiment 3 evident in the 200-300 ms time bin (note that estimates are arbitrarily negative because of the way in which the variables were coded, i.e. decreases in the log-transformed /ε/-preference as a function of increasing values of continuum step). This effect is early and is consistent with previous work showing a rapid use of vowel formants in processing vowel information (Reinisch and Sjerps, 2013). Next, consider the timing of this step effect in relation to the glottal stop effect (labeled as the prominence effect for Experiment 3). This effect also becomes credibly different from zero at the same time as the effect of continuum step (200-300 from target onset). This estimate agrees with that obtained from the difference smooths in the GAMM model (255 ms, averaged across continuum steps). The influence of continuum step and the glottal stop are thus simultaneous. The simultaneity of these effects again speaks against glottalization as an independent predictive cue, otherwise we would expect it to precede vowel internal formant cues in the timing of its influence. Synchronous timing of the two effects

instead suggests that the preceding glottalization cues are used to calibrate/modulate formant perception, being integrated with formant information when it becomes available.

This simultaneous effect can be compared to the timing of the effects of vowel acoustics and prominence from Steffman (2021a), also plotted in Figure 6. The effect of continuum step is reliable 300-400 ms from the onset of the target vowel, one time bin later than the effect of continuum step in Experiment 3. The effect of the phrasal prominence manipulation in Steffman (2021a) is smaller in size compared to Experiment 3, and does not show a consistent divergence from 0 until the 700-800ms time bin and onward (though there is a transitory and smaller credible effect at 400-500 ms). This lines up with the GAMM analysis presented in Steffman (2021a), which showed subtle effects of phrasal prominence early in time, with larger and more robust effects only apparent later in the analysis window. Importantly, the robust effect is clearly asynchronous with the effect of vowel acoustics in that experiment, differentiating it from the synchronous influence of a glottal stop, and vowel formants, in online processing.

In summary, the timecourse data in Experiment 3 shows a rapid influence of vowel-initial glottalization in vowel perception, in line with sonority expansion effects on vowel formants. This influence was rapid in the sense that it impacted fixations as soon as listeners showed a preference for any target, as determined by the GAMM analysis, and that it was synchronous with the immediate use of vowel formants as determined by the moving window analysis. Both of these outcomes support the predictions from the MAPP model, given in Section 2.1. The glottalization effect also preceded the effect of phrasal prominence in time (Steffman, 2021a), as shown by comparing the timing of the effect to data from (Steffman, 2021a). This comparison shows that different sorts of prominence lending information can impact processing at different times, and effects can vary both magnitude and timing. Implications for this rapid effect of vowel-initial glottalization and difference in effect timing are discussed in more detail below.

## 5 General Discussion

The present study set out to examine if listeners are impacted by the presence of vowel-initial glottalization in their perception of vowel contrasts. In Experiment 1, it was seen that the production of a sustained glottal stop preceding a vowel led to listeners mapping vowel acoustics to a lower/backer realization of the vowel, in line with the ways in which the relevant vowel acoustics are modulated by prominence. Experiment 2 showed that these effects are

also evident when glottalization was cued by dipping pitch and intensity along a continuum, and without a full glottal stop. Intermediate steps on the glottalization continuum led to intermediate shifts in categorization, suggesting that stronger vowel-initial glottalization cued a stronger percept of prominence. Experiment 3 replicated the effects of a full glottal stop seen in Experiment 1 in a visual-world eyetracking paradigm which compared the timecourse of the influence of a preceding glottal stop to that of vowel-internal formant values. Both of these influences were simultaneous, with a vowel-initial glottal stop immediately impacting perception and modulating how formant cues are used at the earliest moments in processing.

## 5.1 Glottalization and prominence

Let us first consider these results as they relate to the hypothesized prominence-marking function of word-initial glottalization in American English in the speech production literature. The presence of glottalization preceding a vowel led to listeners' expectation of a more prominent (in this case, sonorous) variant of that vowel being produced. This data thus supports the proposal that glottalization cues prominence to listeners, in line with its implementation as a prominence marker in production. This interpretation more generally accords with Mitterer et al. (2021a,b) in that glottalization is an important prosody-related cue which is recruited in perception.

It is worth noting here that across all conditions in the present experiments the target word was pitch accented, such that the prominence effects seen here suggest different levels of perceptual prominence within pitch accented words, and fine-grained variation in prominence perception as shown in Experiment 2. If we consider "pitch-accented" to be a phonological specification of prominence category, these results speak to the importance of considering within-category variation in perceived prominence as meaningfully impacting the perception of segmental material, in line too with Dilley et al. (1996) showing that pitch accented vowel-initial words are often glottalized, but not always (i.e., there is a probabilistic relationship between pitch accentuation and vowel-initial glottalization). This further raises the question of listeners' behavior when prominence cues conflict, for example glottalization preceding an unaccented phrase-medial vowel (possible, but less common as shown in Dilley et al., 1996). Given the independent effect of glottalization and global/phrasal prominence seen here and in (Steffman, 2021a) one prediction is that these cues should be additive when combined, allowing for the possibility of a sort of "perceptual garden path" effect when they conflict. We could thus predict an overall delay in recognition and (potentially) revised fixation behavior in

981 eyetracking as cues unfold, for example if glottalization information precedes the relevant pitch  
982 accent information in time. On the other hand, if listeners instead wait until both cues have  
983 been heard it could be taken to suggest that they are integrating them into a more holistic  
984 and abstract prominence percept. Pitting cues (e.g. glottalization and pitch accentuation)  
985 against one another in this sense will also allow for testing precedence and possible interactions  
986 (e.g., perhaps glottalization is an important cue only when words are pitch accented). Tests of  
987 this sort will help us to better understand the ways multiple cues are used in combination by  
988 listeners, and hopefully, what sort of representation of prominence is implicated.

989 More broadly, this result suggests that future research will benefit from considering other  
990 patterns of prominence strengthening as relevant in segmental perception. For example, con-  
991 sider the lengthening of VOT in voiceless stops which is observed in prominent syllables (Cole  
992 et al., 2007; Kim et al., 2018a). Given the present results we can predict that prominence-  
993 signaling lengthening of VOT may impact perception of the following vowel. If found, this  
994 would further indicate the importance of fine-grained prominence-strengthening cues in seg-  
995 mental perception. A key takeaway from these results is accordingly the view that prosody  
996 should be considered not only in terms of suprasegmental parameters, nor strictly abstract  
997 structural terms (phrase boundaries, pitch accents) but should be viewed holistically and as  
998 encoded in fine-grained detail and modulation of cues such as VOT and formant structure.

## 999 5.2 Implications for models of speech processing

1000 The eyetracking data further enrich our understanding of the interplay between prosodic and  
1001 segmental/lexical processing. As noted in Section 1.3, examination of prosodic influences in  
1002 segmental processing have focused on manipulations of more global phrasal prosodic context,  
1003 with data supporting a delayed influence of prosodic structure, overall consistent with a post-  
1004 lexical model of prosodic effects. Such an account of the present data predicts a two-stage  
1005 influence of segment-internal cues to a contrast and prosodic context, with segmental cues  
1006 preceding prosodic context in the timecourse of their influence. The data in Experiment 3 are  
1007 not consistent with this account, with *simultaneous* effects of formants and a preceding glottal  
1008 stop in online processing. This effect is clearly different from the effect of phrasal prominence  
1009 in being robust early in processing, as described in section 4.5. This asymmetry suggests more  
1010 generally that prominence effects can unfold at multiple time scales, consistent with a local  
1011 to global perception of prominence that may feed forward into a more abstract representa-  
1012 tion of prosodic structure, as described for the prosodic analysis model by Cho et al. (2007).

1013 This is thus one extension that the present data present from the Prosodic Analysis model in  
1014 suggesting a richer set of prominence effects in segmental/lexical processing than a strictly  
1015 post-lexical one. The effects of glottalization and the comparison to Steffman (2021a) show  
1016 that prominence effects can unfold differently over time, and can occur early in processing,  
1017 consistent with the predictions from the MAPP model.

1018 Nevertheless, existing data on phrasal prosodic boundaries in processing show clear sup-  
1019 port for only a later influence of prosodic boundary information in the perception of segmental  
1020 material (Kim et al., 2018b; Mitterer et al., 2019), as noted previously. In this sense, the  
1021 present data suggest the field will benefit from considering that prominence information and  
1022 prosodic boundary information may enter differently into processing. One possible view of the  
1023 asymmetrical role of these prosodic dimensions is that prosodic boundary information is nec-  
1024 essarily structural: the listener must determine the presence of a boundary based on phonetic  
1025 cues, broader phonological context, word boundary information, and syntactic information.  
1026 Inferences about these levels of representation can be presumed to take place in parallel, and  
1027 with the consideration of multiple hypotheses, framed recently through the lens of Bayesian  
1028 inference by McQueen and Dilley (2020). Prominence could also be described as structural in  
1029 the sense that in American English (among other languages) it is determined based on met-  
1030 rical structure and phrasing (e.g., the most prominent pitch accent, the nuclear accent is the  
1031 last one in an intonational phrase). However prominence should also clearly be viewed at a  
1032 more fine-grained level as the property of perceptually “standing out” from context (Baumann  
1033 and Cangemi, 2020), as described in Section 1.1. In this sense the present study shows the  
1034 importance of considering phonetic prominence, signaled by language-specific cues such as  
1035 vowel-initial glottalization, as being incorporated by listeners in their perception of segments.  
1036 Prominence in this regard can be useful in determining if a segment has undergone prominence  
1037 strengthening effects: in essence, in reconciling the extent to which a segment is perceptually  
1038 prominent, with its acoustic structure to determine how it should map to a phonemic cate-  
1039 gory. This view implicates perceptual prominence at both sub-lexical and higher levels, in  
1040 multiple stages of processing. The MAPP model, introduced in Section 1.3, as a two-stage  
1041 model, predicts that these different prominence effects should be differentiable. The data from  
1042 the present experiment confirm this prediction from the model: prominence cues which are  
1043 strictly “phonetic” are processed early, and differently from more global (and perhaps phono-  
1044 logical) prominence distinctions.

### 5.2.1 Considering cue locality

Given the preceding discussion, a pertinent consideration here is the distinction between local/global and phonetic/phonological. In addition to conveying (hypothesized-to-be) different sorts of prominence information, the present glottalization data and (Steffman, 2021a) also differ in that prominence cues in (Steffman, 2021a) are more temporally extended and varied F0, duration, and intensity in two words preceding the target. The asymmetries in processing seen in Section 4.5.3, could thus be seen as originating from local versus (more) global prominence integration. There is an obvious relation between global and phonological in the sense that variations in phonological prominence configuration will usually mean temporally distributed cues. However, there is some evidence that cue locality and distribution is not a direct predictor of the timecourse of processing. This comes from two studies (Kim et al., 2018b; Mitterer et al., 2019), mentioned previously, which tested the influence of prosodic boundaries. In Kim et al. (2018b), the F0 cues which were manipulated to signal a prosodic boundary (a Korean accentual phrase boundary), were fairly local in the sense that it varied over 50% of a word preceding the target which in was three syllables in length. This makes it fairly comparable in terms of locality to the manipulation in Steffman (2021a). Notably, though Kim et al. (2018a) found a delayed influence of this manipulation, which was not robustly evident until 800 ms from the onset of the of the word. Mitterer et al. (2019) also manipulated a perceived prosodic boundary locally, changing just the duration of the pre-target syllable as a phrase-final lengthening cue. This manipulation is certainly more local than that in Steffman (2021a), and is fairly comparable to the temporal extent of the manipulation in the present study, at least for the full glottal stop manipulation (where the pre-target syllable varied slightly, as did the presence/absence of a pre-target glottal stop closure). Nevertheless, this phrasing cue exerted a clearly later stage influence (see Mitterer et al., 2019 Experiments 3 and 4 for details), which contrasts sharply with the influence of vowel initial glottalization seen here. In that sense, when comparing across studies (with the obvious inherent limitations in these comparisons), we have evidence that the locality of a particular manipulation/cue does not explain the timecourse of its processing. The difference between Kim et al. (2018b) and (Mitterer et al., 2019), and the present study, is by hypothesis the functionality of these cues in a particular language system: boundary marking on the one hand (processed later) and prominence marking on the other (processed earlier). The comparison between the present data and Steffman (2021a) does nevertheless suggest a possible role for cue locality in prominence processing, though as discussed above this is confounded with the hypothesized prominence representations at play,



wherein Steffman (2021a) manipulates perceived phrasal prominence. Future work testing these claims about cue locality and cue functionality (prominence versus boundary marking) might approach the issue by attempting to cross these parameters and compare local to global prominence cues (in the vein of Section 4.5.3) as well as comparing local to global boundary cues.

### 5.3 Some future directions

Additional tests for this sort of distinction between localized/phonetic and global/structural prominence cues could take the form of examining the extent to which each can be modulated by task factors. Certain early effects in processing are assumed to be relatively immune to task effects and cognitive load as shown by, e.g., Bosker et al. (2017). More global prosodic factors have recently been shown to be influenced by task and stimulus presentation factors (Steffman, 2019, 2021b). For example, Steffman (2021b) found that rhythmic effects in the perception of segmental cues are disrupted when stimuli vary in speech rate, while speech rate effects (typically assumed to result from low-level auditory processing) are robust to rhythmic variation and occur consistently. To the extent that the effects of vowel-initial glottalization seen here reflect early sub-lexical processing we might expect them to be robust to these sorts of task effects whereas global prominence effects may be more fragile.

In this vein, one outstanding question is the extent to which localized prominence strengthening effects are related to more general auditory processing. Though glottalization as prominence strengthening is certainly implemented in a language-specific fashion by speakers, it has the effect of making the following vowel acoustically prominent in a more general way (i.e. a vowel preceded by glottalization is rendered louder than, and perceptually more separated from, preceding material) which boosts auditory processing (Delgutte, 1980; Delgutte and Kiang, 1984). Pulling apart the role of language-specific phonetic knowledge and language-general prominence perception may be difficult as phonetic strengthening patterns tend to serve the function of making the strengthened segment more prominent perceptually (though Steffman, 2020 shows that the effects of prominence on vowel perception are specific to the vowel contrast in question). Some indirect evidence for a language-specific interpretation of glottalization cues comes from comparing the early time course of the effect seen here to the delayed influence documented in Mitterer et al. (2019), where a delayed effect is consistent with higher level prosodic analysis. This suggests that the processing of glottalization for American English listeners is different from its processing in Maltese. One account for this asymme-

try has to do with the function of the glottalization cues in this study as compared to Mitterer et al. (2019). In Mitterer et al. (2019), glottalization served a domain-initial boundary-marking function, and its perception was impacted by the presence of preceding phrase-final lengthening. The hypothesis then is that even though glottalization in Maltese may likely make a word more phonetically prominent, its function in the prosodic system is primarily demarcative: when glottalization is linked to boundary processing, it's influence will be later in time. This is currently speculative, and carefully controlled cross-linguistic experiments may be useful as a further test of language-general versus language-specific effects going forwards, particularly across languages (and within a language) in which glottalization can have different functions.

Future work will also benefit from examining the ways in which both early and later effects of prosodic organization are weighted against other effects. For example, effects of segmental co-occurrence probability (often computed as biphone probability) are typically assumed to operate at a sublexical level of processing (Norris et al., 2000; Pitt and McQueen, 1998), while effects related to word-hood and neighborhood density entail contact with the lexicon and a hypothesized delay in processing (cf. Newman et al., 1997; Kingston et al., 2016). Comparing these effects in their timecourse to those that we see for prominence-related effects and examining the extent to which they interact and compete in determining the listener's interpretation of speech will be a useful test going forwards. For example, if the effects documented here represent sublexical processing we should expect similar timing between them and effects of biphone probability, and a joint influence of these factors in determining listeners' perception. This will give a more holistic and systemic understanding of the effects shown in the present study.

In sum, relating the present results to other phonetic strengthening patterns, other languages, and other aspects of linguistic organization will help situate these findings with our understanding of the detailed interplay between segmental and prosodic processing in speech comprehension.

## Acknowledgments

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## Notes

<sup>1</sup>As Ladd and Arvaniti (2022) discuss, a purely general definition of prominence can be disadvantageous in that it does not facilitate discussion of variation across languages in how prominence is produced and perceived (e.g., Riesberg et al., 2020).

<sup>2</sup>To keep the stimulus design simpler, only one file was used as the base file (the “ebb” model). Though this may have engendered a slight bias towards / $\epsilon$ / responses (seen in Experiment 1 somewhat), it should be noted that this caveat does not impact the interpretation of the glottalization effect, which is totally contextual in the sense that the glottalization manipulation did not alter the acoustics of the F1/F2 continuum.

<sup>3</sup>Spectral contrast refers to the perception of frequency regions in the spectrum (here, formants) relative to contextual spectral information (Stilp, 2020; Holt et al., 2000). The impact of a preceding vowel’s formants on the perception of a following vowel should be considered in this light (here, the formants in the vowel in the word “the” impacting perception of the continuum). Contrast effects diminish in strength as there is increased distance between context and target (Holt, 2005; Stilp, 2018). Contrast effects here will be strongest in the no glottal stop condition, where no glottal stop temporally separates the preceding vowel and the target continuum. In the present stimuli, the precursor vowel generally has higher F1 and lower F2 than the formant values on the continuum. Thus, F1 in the continuum will be perceived as relatively low and F2 in the continuum will be perceived as relatively high (more like / $\epsilon$ /) as a function of spectral contrast with the precursor. This predicts that the target is more likely to be perceived as / $\epsilon$ / in the no glottal stop condition, where contrast effects should be strongest. This is the opposite of the prediction based on glottalization as a prominence cue, where the target is more likely to be perceived as / $\epsilon$ / in the glottal stop condition, described in Section 2.1. In this sense contrast effects are not a confound, they predict the opposite of the prominence prediction.

<sup>4</sup>Of note, no previous work that describes the relationship between glottal stop duration and following vowel duration in American English is known to the author.

<sup>5</sup>The 0 mean of the prior for the intercept encodes a expectation of equal odds of “ebb” versus “ab” responses at the center of the continuum, as the continuum variable is centered and scaled. The 0 mean of the prior for the fixed effects encodes a prior expectation a change of 0 in log odds as a function of either fixed effect (i.e., no prior expectation of an effect). The standard deviation of 1.5 (in log-odds) encodes a wide window of uncertainty around these values, which is essentially flat in log-odds space (McElreath, 2020). This represents high uncertainty about what the effects will be in both magnitude and directionality. Such priors thus provide some information to the model about the intercept but are only very weakly informative, allowing for the data to “speak for itself”. This is appropriate for hypothesis testing of the sort carried out here where there is not any prior expectation about the data, see e.g., McElreath, 2020 for discussion of priors in logistic regression.

<sup>6</sup>The model was fit to draw 4,000 samples from the posterior in each of four Markov chains. To ensure

sufficient independence from the starting value in each chain, each was run with a burn-in period of 1,000 iterations, discarding the first 1,000 samples and retaining the latter 75% of the samples for inference.  $\hat{R}$ , a metric which compares between-chain to within-chain estimates (which should agree with one another) was inspected for each estimate to confirm adequate mixing of the chains. Bulk and Tail ESS (effective sample size), which indicates the efficiency of sampling in the bulk and tails of the posterior, additionally were inspected to confirm adequate sampling.

<sup>7</sup>Two alternative parameterizations of the Experiment 2 model are included in the open-access repository for the paper but not reported here. In one, the glottal stop continuum was treated as an ordinal predictor (monotonic effect), which showed the same credible impact on categorization responses. In the other, the glottal stop continuum was treated as a categorical variable with four levels. In this second model, pairwise comparisons between all levels, compared with *emmeans* (Lenth et al., 2018) were reliably different (all having  $pd > .98$ ). Alternative modeling approaches thus all lead to the same conclusions about the effect being robust.

<sup>8</sup>Binocular recording not available for this arm-mounted set up.

<sup>9</sup>The transformation is the following, where  $n$  is the total number of samples in a given time bin and  $y$  is the number of samples for a given interest area:

$$Empirical\ logit = \log\left(\frac{y+0.5}{n-y+0.5}\right)$$

<sup>10</sup>The final model explained 30.4% of the deviance in the data.

<sup>11</sup>Pairwise differences between glottalization conditions can be inspected at each formant step, and vice versa, and these can be considered together to obtain an estimate for an overall effect, however the structuring of the GAMM model used here makes it more suited for testing the interaction between these variables and the dynamics of the effects as compared to obtaining a single-time estimate for effect significance.

<sup>12</sup>We can also note that slightly more of the surface overall is shaded when there is no glottal stop (32%), as compared to when there is a glottal stop (29%), with no preference for either target persisting slightly longer in the “no glottal stop”, (particularly at more /ε/-like steps). This is consistent with the idea that a glottal stop facilitates recognition of the target vowel, allowing listeners to develop as fixation preference sooner overall, as compared to when no glottal stop precedes the target.

Table 1: Model outputs for categorization results

Experiment 1					
	Estimate	Est. Error	L-95% CI	U-95%CI	pd
intercept	1.15	0.15	0.83	1.45	100
glottal stop	1.69	0.22	1.26	2.12	100
continuum	-3.29	0.17	-3.62	-2.97	100
glottal stop:continuum	-0.75	0.19	-1.13	-0.39	100
Experiment 2					
	Estimate	Est. Error	L-95% CI	U-95%CI	pd
intercept	0.75	0.12	0.87	1.50	100
glottalization (scaled)	0.38	0.05	0.29	0.49	100
continuum	-2.95	0.16	-3.27	-2.64	100
glottalization:continuum	-0.24	0.07	-0.40	-0.11	100
Experiment 3					
	Estimate	Est. Error	L-95% CI	U-95%CI	pd
intercept	0.95	0.16	0.66	1.26	100
glottal stop	2.49	0.26	1.99	3.01	100
continuum	-2.61	0.18	-2.99	-2.26	100
glottal stop:continuum	-0.43	0.17	-0.78	-0.11	100

Table 2: Model output for the GAMM used in Experiment 2, with parametric terms shown above and smooth terms shown below.

Parametric terms	Estimate	Est. Error	t-value	p-value
intercept	0.86	0.08	11.36	< 0.001
continuum	-0.84	0.04	-18.8	< 0.001
glottal stop	-1.32	0.13	-10.59	< 0.001
glottal stop:continuum	-0.06	0.06	-1.09	0.31
Smooth terms	edf	ref df	F-value	p-value
te(time, continuum)	26.74	29.98	85.35	< 0.001
te(time, continuum; condition = glottal stop)	8.20	8.56	12.38	< 0.001
s(time, continuum; condition = no glottal stop )	2.21	2.52	1.42	0.27
s(time, participant)	244.68	359.00	2.69	< 0.001
s(time, participant; condition )	207.19	359.00	1.61	< 0.001

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