

Improving Brooks' theorem

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You are a warden in a prison with five large cells. You need to put all the inmates into the cells, but to prevent fighting you cannot put a pair of inmates that have fought before into the same cell. Each inmate in the prison has fought with at most six other inmates and none of the inmates who have fought with six others have fought with each other. Under what conditions can you complete your task?

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- plainly, if there is a group of six inmates who have all fought one another, then you cannot complete your task

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- plainly, if there is a group of six inmates who have all fought one another, then you cannot complete your task
- is this simple necessary condition sufficient?

Greedy coloring

- $C := \{c_1, c_2, c_3, \dots\}$ an infinite set of colors

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- G has vertices ordered v_1, v_2, \dots, v_n

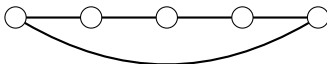
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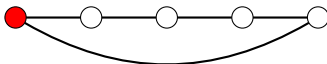
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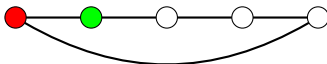
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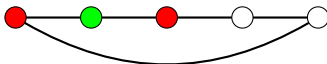
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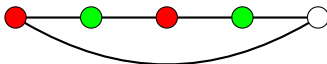
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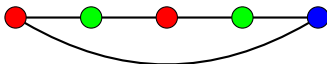
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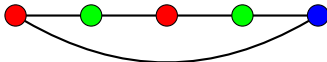
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For example, say $C := \{\text{red, green, blue, cyan}, \dots\}$ and G is the 5-cycle:



- if G has maximum degree k , then v_i has at most k colored neighbors, so greedy coloring uses at most $k + 1$ colors

Brooks' theorem

- $\chi(G) :=$ the minimum number of colors needed to color the vertices of G so that adjacent vertices receive different colors

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- $\chi(G) :=$ the minimum number of colors needed to color the vertices of G so that adjacent vertices receive different colors
- $\omega(G) :=$ the number of vertices in a largest complete subgraph of G

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- $\omega(G) :=$ the number of vertices in a largest complete subgraph of G
- $\Delta(G) :=$ the maximum degree of G

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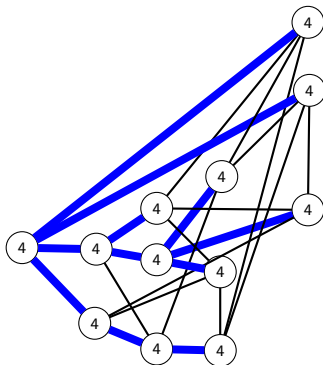
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- $\omega(G) :=$ the number of vertices in a largest complete subgraph of G
- $\Delta(G) :=$ the maximum degree of G

Theorem (Brooks 1941)

Every graph with $\Delta \geq 3$ satisfies $\chi \leq \max\{\omega, \Delta\}$.

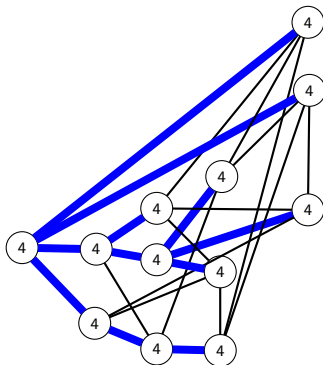
Proof sketch

Any incomplete 2-connected graph with $\Delta \geq 3$ has a spanning tree where the root has two nonadjacent leaves as neighbors.



Proof sketch

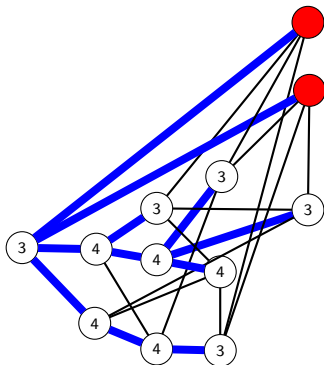
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Greedy coloring in leaf first order proves Brooks' theorem

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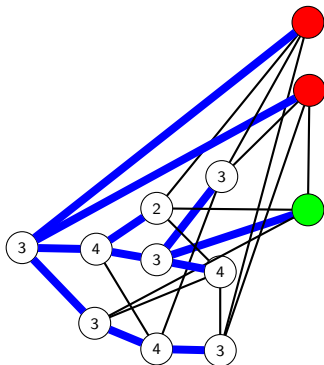
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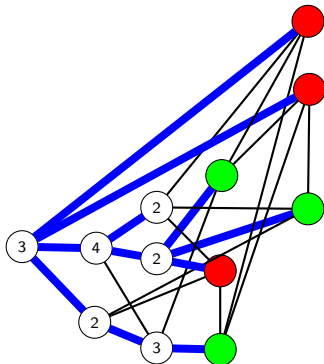
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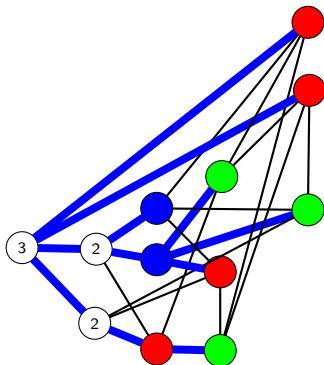
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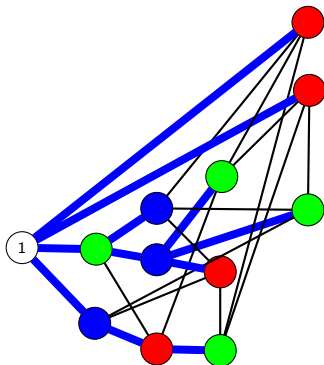
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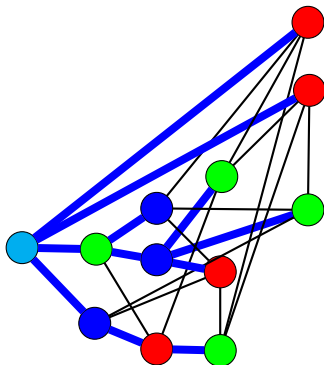
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The Ore-degree

Definition

The *Ore-degree* of an edge xy in a graph G is

$$\theta(xy) := d(x) + d(y).$$

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- every graph satisfies $\lfloor \frac{\theta}{2} \rfloor \leq \Delta$
- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \lfloor \frac{\theta}{2} \rfloor + 1$

Kierstead and Kostochka's generalization

Theorem (Kierstead and Kostochka 2009)

Every graph with $\theta \geq 12$ satisfies $\chi \leq \max \left\{ \omega, \left\lfloor \frac{\theta}{2} \right\rfloor \right\}$.

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Every graph with $\theta \geq 12$ satisfies $\chi \leq \max \left\{ \omega, \left\lfloor \frac{\theta}{2} \right\rfloor \right\}$.

Kierstead and Kostochka conjectured that the 12 could be reduced to 10. That this would be best possible can be seen from the following example which has $\theta = 9$, $\omega = 4$ and $\chi = 5$.

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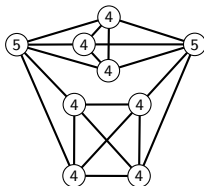


Figure: O_5 , a counterexample with $\theta = 9$.

Rephrasing the problem

Definition

A graph G is called *vertex critical* if $\chi(G - v) < \chi(G)$ for each $v \in V(G)$.

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Let G be a vertex critical graph. The *low vertex subgraph* $\mathcal{L}(G)$ is the graph induced on the vertices of degree $\chi(G) - 1$. The *high vertex subgraph* $\mathcal{H}(G)$ is the graph induced on the vertices of degree at least $\chi(G)$.

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Problem

Prove that $K_{\Delta(G)+1}$ is the only vertex critical graph G with $\chi(G) \geq \Delta(G) \geq 6$ such that $\mathcal{H}(G)$ is edgeless.

Kierstead and Kostochka's proof

- the proof is high-tech and clean, it uses both of the following

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- using these it is basically just a counting argument

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- unfortunately, it only works for $\Delta \geq 7$

To get down to $\Delta = 6$, go low-tech and get dirty.

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Theorem (Rabern 2010)

$K_{\Delta(G)+1}$ is the only vertex critical graph G with
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- setting $\omega(\mathcal{H}(G)) = 1$ proves Kierstead and Kostochka's conjecture
- equivalently, as long as there is no group of six inmates who have all fought one another, you (the warden) can complete your inmate-cell-assignment task

Proof outline

- start with a minimal counterexample G

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- start with a minimal counterexample G
- for any induced subgraph H , $\Delta - 1$ coloring $G - H$ leaves a list assignment L on H where $|L(v)| \geq \deg(v) - 1$

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Construct a subgraph H for which such a list assignment can always be completed.

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Construct a subgraph H for which such a list assignment can always be completed.

- we need H to have large degrees to get large lists, so H will be “dense”

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- run the following recoloring algorithm to construct H

Partitioned colorings

Definition

Let G be a vertex critical graph. Let $a \geq 1$ and r_1, \dots, r_a be such that $1 + \sum_i r_i = \chi(G)$. By a (r_1, \dots, r_a) -*partitioned coloring* of G we mean a proper coloring of G of the form

$$\{\{x\}, L_{11}, L_{12}, \dots, L_{1r_1}, L_{21}, L_{22}, \dots, L_{2r_2}, \dots, L_{a1}, L_{a2}, \dots, L_{ar_a}\}.$$

Here $\{x\}$ is a singleton color class and each L_{ij} is a color class.

Mozhan's Lemma

Lemma (Mozhan 1983)

Let G be a vertex critical graph. Let $a \geq 1$ and r_1, \dots, r_a be such that $1 + \sum_i r_i = \chi(G)$. Of all (r_1, \dots, r_a) -partitioned colorings of G pick one minimizing

$$\sum_{i=1}^a \left\| G \left[\bigcup_{j=1}^{r_i} L_{ij} \right] \right\|.$$

Remember that $\{x\}$ is a singleton color class in the coloring. Put $U_i := \bigcup_{j=1}^{r_i} L_{ij}$ and let $Z_i(x)$ be the component of x in $G[\{x\} \cup U_i]$. If $d_{Z_i(x)}(x) = r_i$, then $Z_i(x)$ is complete if $r_i \geq 3$ and $Z_i(x)$ is an odd cycle if $r_i = 2$.

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- take a $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function

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- prove that we may assume that x is a low vertex

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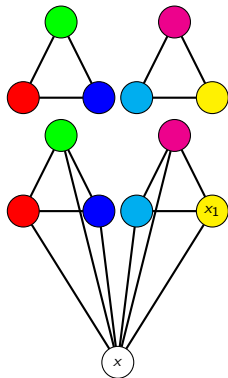
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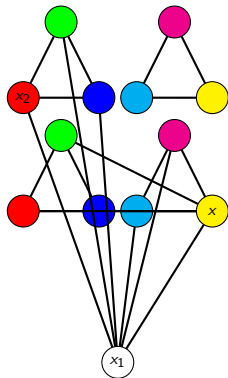
- take a $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function
- prove that we may assume that x is a low vertex
- by Mozhan's lemma, the neighborhood of x in each part induces a clique or an odd cycle

The recoloring algorithm



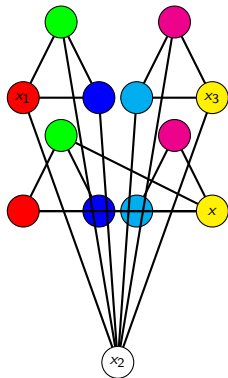
- swap x with a low vertex x_1 in the right part

The recoloring algorithm



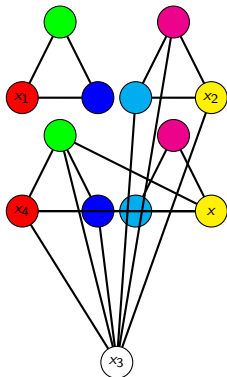
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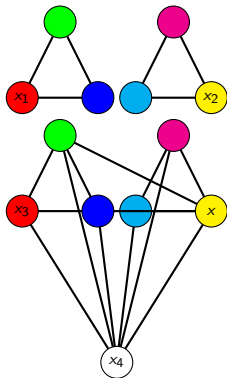
- swap x with a low vertex x_1 in the right part
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The recoloring algorithm



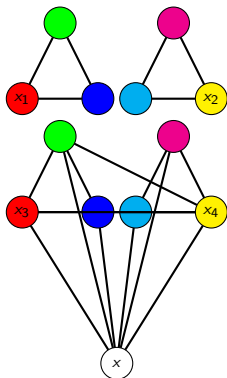
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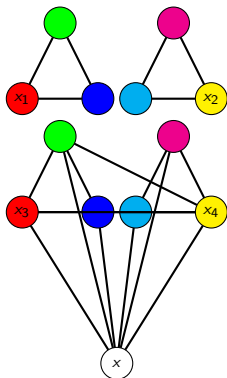
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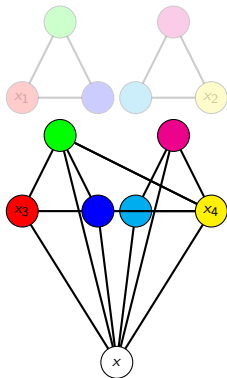
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The recoloring algorithm



- use the fact that you wrapped around to show that there are many edges between the two cliques

The recoloring algorithm



- use the fact that you wrapped around to show that there are many edges between the two cliques
- we have now constructed the desired large “dense” subgraph

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Definition

For $0 \leq \epsilon \leq 1$, define $\Delta_\epsilon(G)$ as

$$\left\lfloor \max_{xy \in E(G)} (1 - \epsilon) \min\{d(x), d(y)\} + \epsilon \max\{d(x), d(y)\} \right\rfloor.$$

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Note that $\Delta_1 = \Delta$, $\Delta_{\frac{1}{2}} = \left\lfloor \frac{\theta}{2} \right\rfloor$.

The generalized bound

Theorem (Rabern 2010)

For every $0 < \epsilon \leq 1$, there exists t_ϵ such that every graph with $\Delta_\epsilon \geq t_\epsilon$ satisfies $\chi \leq \max\{\omega, \Delta_\epsilon\}$.

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- the graph O_5 shows that $t_\epsilon = 6$ is smallest for $\frac{1}{2} \leq \epsilon < 1$
- best known general bounds, $\frac{2}{\epsilon} + 1 \leq t_\epsilon \leq \frac{4}{\epsilon} + 2$

The lower bound on t_ϵ

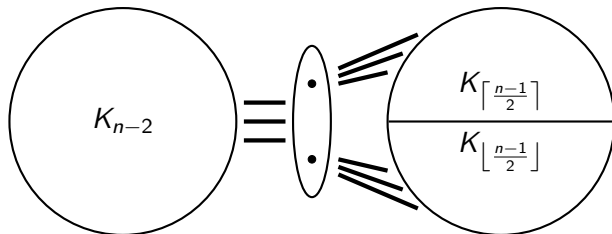


Figure: The graph O_n .

The lower bound on t_ϵ

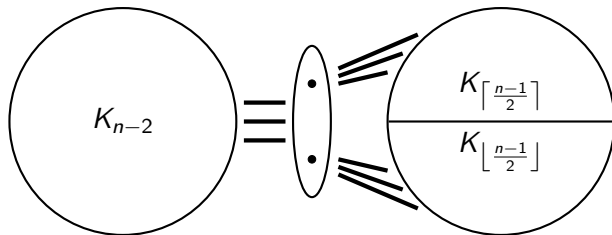


Figure: The graph O_n .

- $\chi(O_n) = n > \omega(O_n)$ and $\Delta(O_n) = \lceil \frac{n-1}{2} \rceil + n - 2$

The lower bound on t_ϵ

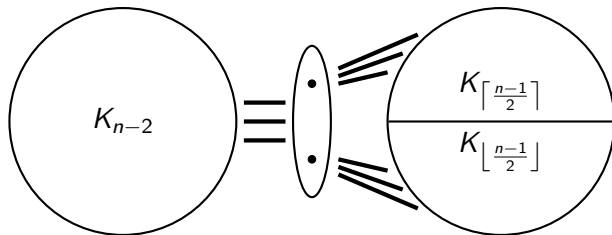


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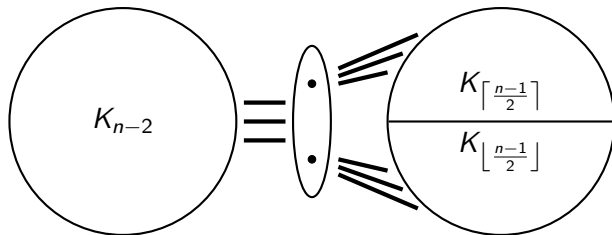


Figure: The graph O_n .

- $\chi(O_n) = n > \omega(O_n)$ and $\Delta(O_n) = \lceil \frac{n-1}{2} \rceil + n - 2$
- $\mathcal{H}(O_n)$ is edgeless
- computing Δ_ϵ gives $t_\epsilon \geq \frac{2}{\epsilon} + 1$

What about Δ_0 ?

- the above proofs only work for $\epsilon > 0$

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- what happens when $\epsilon = 0$?

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Definition (Stacho 2001)

For a graph G define

$$\Delta_0(G) := \max_{xy \in E(G)} \min\{d(x), d(y)\}.$$

Facts about Δ_0

- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \Delta_0 + 1$

Facts about Δ_0

- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \Delta_0 + 1$
- for any fixed $t \geq 3$, the problem of determining whether or not $\chi(G) \leq \Delta_0(G)$ for graphs with $\Delta_0(G) = t$ is *NP*-complete (Stacho 2001)

A tempting thought

A tempting thought

There exists t such that every graph with $\Delta_0 \geq t$ satisfies $\chi \leq \max\{\omega, \Delta_0\}$.

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- we use Lovász's ϑ parameter which can be approximated in polynomial time and has the property that $\omega(G) \leq \vartheta(G) \leq \chi(G)$

A polynomial-time algorithm

- assume the tempting thought holds for some $t \geq 3$

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- assume the tempting thought holds for some $t \geq 3$
- take any arbitrary graph with $\Delta_0 \geq t$

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A polynomial-time algorithm

- assume the tempting thought holds for some $t \geq 3$
- take any arbitrary graph with $\Delta_0 \geq t$
- first, compute Δ_0 in polynomial time

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- if $x \geq \Delta_0 + \frac{1}{2}$, then $\chi \geq \vartheta > \Delta_0$ and hence $\chi = \Delta_0 + 1$

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- if $x < \Delta_0 + \frac{1}{2}$, then $\omega \leq \vartheta < \Delta_0 + 1$, and hence $\omega \leq \Delta_0$

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- now, $\chi \leq \max\{\omega, \Delta_0\} \leq \Delta_0$

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- now, $\chi \leq \max\{\omega, \Delta_0\} \leq \Delta_0$
- we just gave a polynomial time algorithm to determine whether or not $\chi \leq \Delta_0$ for graphs with $\Delta_0 \geq t$
- this is impossible unless $P=NP$

What we can prove about Δ_0

Theorem (Rabern 2010)

Every graph with $\Delta \geq 3$ satisfies

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{5}{6}(\Delta + 1) \right\}.$$

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- actually, all the above results about Δ_ϵ follow from this result

In joint work with Kostochka and Stiebitz similar techniques were used to improve the bounds further. Highlights:

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Theorem (Kostochka, Rabern and Stiebitz 2010)

Every graph with $\theta \geq 8$, except O_5 , satisfies $\chi \leq \max \left\{ \omega, \left\lfloor \frac{\theta}{2} \right\rfloor \right\}$.

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Theorem (Kostochka, Rabern and Stiebitz 2010)

Every graph satisfies

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{3}{4}(\Delta + 2) \right\}.$$

Conjecture

Every graph satisfies

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{2\Delta + 5}{3} \right\}.$$

The examples O_n above show that this would be tight.

Improving
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