

# Coloring from almost maximum degree sized palettes

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## 1 Introduction

My dissertation contains material on a few different topics all relating to graph coloring, but today I'll mostly talk about a conjecture of Borodin and Kostochka from 1977. But before i get to that, i want to put the problem in context. First, i need to define some terms.

Define,  $\Delta(G)$ ,  $K_t$ , coloring. PICTURES.

A pair of complimentary results from the late 90s tell us that  $c$ -coloring transitions from being hard to being easy around around  $c = \Delta - \sqrt{\Delta}$ . The first is from Emden-Weinert, Hougardy and Kreuter and says:

**Theorem 1.1.** *Fix  $\Delta$ . For any  $k$  such that  $k^2 + k > \Delta$ , the problem of determining whether a graph  $G$  of maximum degree  $\Delta$  has a  $(\Delta + 1 - k)$ -coloring is NP-complete (also need,  $\Delta + 1 - k \geq 3$ ).*

The second result is from Molloy and Reed.

**Theorem 1.2.** *There exists  $\Delta_0$  such that for fixed  $\Delta \geq \Delta_0$  and  $k$  such that  $k^2 + k \leq \Delta$  the problem of determining whether a graph  $G$  of maximum degree  $\Delta$  has a  $(\Delta + 1 - k)$ -coloring is in P.*

The large  $\Delta$  requirement comes from using the probabilistic method. The complexity situation for small  $\Delta$  is open. For  $k = 0$ , this was solved in 1941 by Brooks:

**Theorem 1.3** (Brooks 1941). *Every graph  $G$  with  $\Delta(G) \geq 3$  that doesn't contain  $K_{\Delta(G)+1}$  is  $\Delta(G)$ -colorable.*

So, an algorithm can just test for a  $K_{\Delta+1}$  component. In 1977, Borodin and Kostochka conjectured a result that would solve the case when  $k = 1$  and  $\Delta \geq 9$ .

**Conjecture 1.4.** *Every graph  $G$  with  $\Delta(G) \geq 9$  that doesn't contain  $K_{\Delta(G)}$  is  $(\Delta(G) - 1)$ -colorable.*

Talk,  $K_\Delta$  is the obvious obstruction to  $(\Delta - 1)$ -coloring. The  $\Delta \geq 9$  condition is necessary:

Known results:

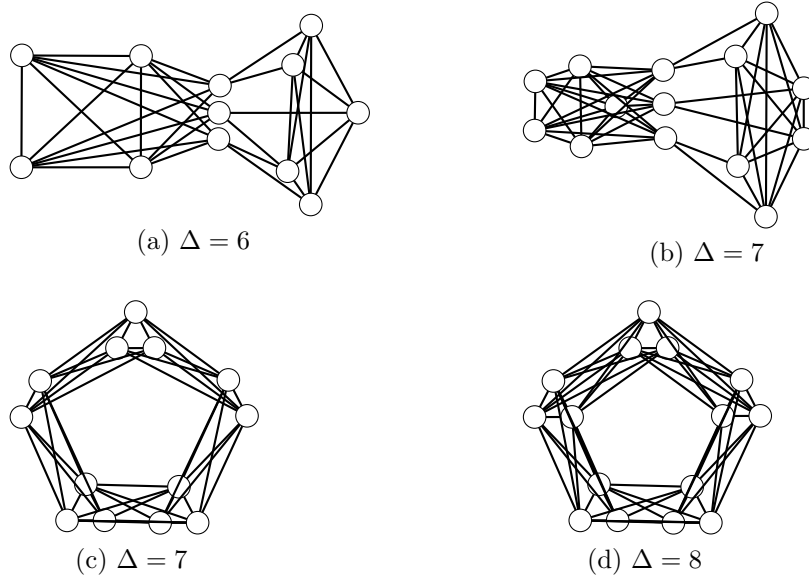


Figure 1: Counterexamples to the Borodin-Kostochka Conjecture for small  $\Delta$ .

- In 1980, Kostochka proved that if we exclude  $K_{\Delta(G)-29}$  instead, then  $G$  is  $(\Delta(G) - 1)$ -colorable.
- Later in the 1980s, Mozhan proved that if  $\Delta(G) \geq 31$  and we exclude  $K_{\Delta(G)-3}$  instead, then  $G$  is  $(\Delta(G) - 1)$ -colorable.
- In 1999, Reed proved that the conjecture holds for  $\Delta \geq 10^{14}$ .

Highlights:

- We prove the full Borodin-Kostochka conjecture for claw-free graphs.
- We prove that the following conjecture is equivalent to the Borodin-Kostochka conjecture.

**Conjecture 1.5.** *If  $G$  is a graph with  $\Delta(G) \geq 9$  such that  $G$  doesn't contain  $K_3 * \overline{K}_{\Delta(G)-3}$ , then  $G$  is  $(\Delta(G) - 1)$ -colorable.*

- We generalize Reed's result to list coloring:

**Theorem 1.6.** *There exists  $\Delta_0$  such that every graph with  $\Delta \geq \Delta_0$  that doesn't contain  $K_\Delta$  is  $(\Delta - 1)$ -choosable.*

Define  $k$ -choosable.

## 2 List coloring lemmas

We call a graph  $d_k$ -choosable if it is colorable from any list assignment  $L$  with  $|L(v)| \geq d(v) - k$  for each vertex  $v$ . Why do we care about these particular list assignments? Suppose we want to  $(\Delta(G) - k)$ -color a graph  $G$  and that we can  $(\Delta(G) - k)$ -color some proper induced subgraph  $H$ . PICTURE. Look at the lists of colors available on  $G - H$ . Each  $v \in V(G - H)$  has at most  $\Delta(G) - d_{G-H}(v)$  neighbors in  $H$ , so has at least  $\Delta(G) - k - (\Delta(G) - d_{G-H}(v)) = d_{G-H}(v) - k$  colors available. So, if  $G - H$  is  $d_k$ -choosable, we can finish.

The  $d_0$ -choosable graphs were classified in the 70s by Borodin and independently Erdos-Rubin-Taylor. For the Borodin-Kostochka conjecture, we want to know about  $d_1$ -choosable graphs.

We classified the  $d_1$ -choosable graph joins  $A * B$  where  $|A|, |B| \geq 2$ , this gives a lot of structure about a counterexample. The classification takes 45 pages to prove, we won't go into it, but will use the results to prove Borodin-Kostochka for claw-free graphs. One example,  $K_6 * B$  is  $d_1$ -choosable unless  $\omega(B) \geq |B| - 1$ , so intersections of cliques are severely restricted. PICTURE.

## 3 Borodin-Kostochka for claw-free graphs

We outline the proof of the following.

**Theorem 3.1.** *Every claw-free graph with  $\Delta \geq 9$  that doesn't contain  $K_\Delta$  can be  $(\Delta - 1)$ -colored.*

The proof uses the structure theorem for claw-free graphs proved by Chudnovsky and Seymour. We actually only need a simpler part of it: the structure theorem for quasi-line graphs; graphs where the neighborhood of every vertex can be covered by two cliques. PICTURE.

We use the following structure theorem for quasi-line graphs.

**Lemma 3.2.** *Every connected skeletal quasi-line graph is a circular interval graph or a composition of linear interval strips.*

We need to define the terms in this lemma.

A *homogeneous pair of cliques*  $(A_1, A_2)$  in a graph  $G$  is a pair of disjoint nonempty cliques such that for each  $i \in [2]$ , every vertex in  $G - (A_1 \cup A_2)$  is either joined to  $A_i$  or misses all of  $A_i$  and  $|A_1| + |A_2| \geq 3$ . PICTURES.

A homogeneous pair of cliques  $(A_1, A_2)$  is *skeletal* if for any  $e \in E(A, B)$  we have  $\omega(G[A \cup B] - e) < \omega(G[A \cup B])$ . A graph is *skeletal* if it contains no nonskeletal homogeneous pair of cliques.

Given a set  $V$  of points on the unit circle together with a set of closed intervals  $C$  on the unit circle we define a graph with vertex set  $V$  and an edge between two different vertices if and only if they are both contained in some element of  $C$ . Any graph isomorphic to such

a graph is a *circular interval graph*. Similarly, by replacing the unit circle with the unit interval, we get the class of *linear interval graphs*.

It remains to define *compositions of linear interval strips*. These are a generalization of line graphs. A *linear interval strip*  $(S, A_1, A_2)$  is a linear interval graph  $S$  together with end cliques  $A_1$  and  $A_2$ . PICTURE.

Let  $H$  be a directed multigraph (possibly with loops) and suppose for each edge  $e$  of  $H$  we have a strip  $(S_e, X_e, Y_e)$ . For each  $v \in V(H)$  define

$$C_v := \left( \bigcup \{X_e \mid e \text{ is directed out of } v\} \right) \cup \left( \bigcup \{Y_e \mid e \text{ is directed into } v\} \right)$$

The graph formed by taking the disjoint union of  $\{S_e \mid e \in E(H)\}$  and making  $C_v$  a clique for each  $v \in V(H)$  is the composition of the strips  $(S_e, X_e, Y_e)$ . Any graph formed in such a manner is called a *composition of linear interval strips*. PICTURE.

Taking all strips to have a single vertex gives the line graph construction.

Now we can outline the proof.

1. Prove for circular interval graphs.
2. Reduce from quasi-line graphs to line graphs as follows:
  - (a) It is always possible to make skeletal counterexample from a given counterexample just by removing edges in nonskeletal homogeneous pairs of cliques. Do so.
  - (b) We must have a composition of linear interval strips by the structure theorem.
  - (c) Take a composition representation using the maximum number of strips.
  - (d) Show that for each strip  $(S, A_1, A_2)$  we must have  $V(S) = A_1 = A_2$  and thus we have a line graph.
3. Prove for line graphs of multigraphs.
4. Reduce from claw-free graphs to quasi-line graphs.

Steps (1), (2) and (4) all rely heavily on our classification of  $d_1$ -choosable joins. Step (4) uses some  $d_1$ -choosability results outside this classification, for example, the following graph  $D_8$  is  $d_1$ -choosable:

The reduction from claw-free graphs to quasi-line graphs works for list coloring as well. Also, the circular interval graphs proof works for list coloring. So, the following generalization seems within reach.

**Conjecture 3.3.** *Every claw-free graph with  $\Delta \geq 9$  that doesn't contain  $K_\Delta$  is  $(\Delta - 1)$ -choosable.*

Borodin and Kostochka conjecture that this holds with the claw-free restriction removed. As evidence of this, we generalized Reed's proof of Borodin-Kostochka for large  $\Delta$  to list coloring, proving:

**Theorem 3.4.** *There exists  $\Delta_0$  such that every graph with  $\Delta \geq \Delta_0$  that doesn't contain  $K_\Delta$  is  $(\Delta - 1)$ -choosable.*

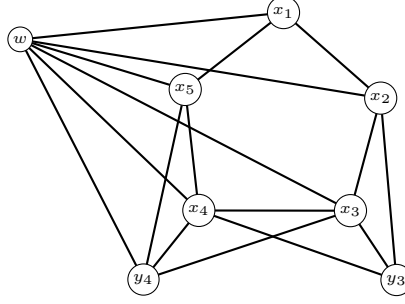


Figure 2: The graph  $D_8$ .

## 4 Future directions

1. BK for list coloring for claw-free graphs.
2. improved bounds on the number of edges in (online) list-critical. These can be used to prove Ore degree bounds for (online) list coloring; we have so far that the Ore degree version of Brooks' theorem for (online) list coloring holds for  $\Delta \geq 11$ .
3. Improve Mozhan's methods to get down to  $\Delta - 2$ , we can now prove his  $\Delta - 3$  result for  $\Delta \geq 13$  instead of  $\Delta \geq 31$ , and the proof is relatively simple.
4. BK for large  $\Delta$  for online list coloring (and Alon-Tarsi number)