## notes for planar 5-AT

November 30, 2015

## 1 orientation tools

Let G be a graph and  $\leq$  a total order on V(G). An orientation of G is even if the number of directed edges vw with  $v \leq w$  is even; otherwise, the orientation is odd. Let  $\alpha \colon V(G) \to \mathbb{N}$ . An orientation X of G is an  $\alpha$ -orientation if  $d_X^+(v) = \alpha(v)$  for all  $v \in V$ . Let  $D_{\alpha}(G)$  be the set of  $\alpha$ -orientations of G. We partition  $D_{\alpha}(G)$  into even  $\alpha$ -orientations  $DE_{\alpha}(G)$  and odd  $\alpha$ -orientations  $DO_{\alpha}(G)$ . For  $X, Y \in D_{\alpha}(G)$ , let  $X \oplus Y$  be the spanning subgraph of X with edge set

$$\{x_1x_2 \in E(X) \mid x_2x_1 \in E(Y)\}.$$

Then  $X \oplus Y$  is a spanning Eulerian subgraph of X. We say that a spanning Eulerian subgraph of X is *even* if it has an even number of edges and *odd* otherwise. Let EL(X) be the set of spanning Eulerian subgraphs of X. We partition EL(X) into even spanning Eulerian subgraphs EE(X) and odd spanning Eulerian subgraphs EO(X).

**Lemma 1.1.** Let  $X \in D_{\alpha}(G)$ . For each  $S \in EL(X)$  there is a unique  $X_S \in D_{\alpha}(G)$  such that  $S = X \oplus X_S$ . Moreover, S is odd when X and  $X_S$  have opposite parity and even otherwise. Therefore, if X is even, then  $|EE(X)| = |DE_{\alpha}(G)|$  and  $|EO(X)| = |DO_{\alpha}(G)|$ . If X is odd, then  $|EE(X)| = |DO_{\alpha}(G)|$  and  $|EO(X)| = |DE_{\alpha}(G)|$ . So, up to sign, we always have

$$|EE(X)| - |EO(X)| = |DE_{\alpha}(G)| - |DO_{\alpha}(G)|.$$

Since Lemma 1.1 was for any  $X \in D_{\alpha}(G)$ , we have the following.

Corollary 1.2. If  $X, Y \in D_{\alpha}(G)$  then, up to sign, we have

$$|EE(X)| - |EO(X)| = |EE(Y)| - |EO(Y)|.$$

It will be useful to investigate  $\alpha$ -orientations further. First, a basic fact about Eulerian graphs.

**Lemma 1.3.** If D is an Eulerian directed graph, then D is an edge-disjoint union of directed cycles.

*Proof.* If D is not edgeless, it must have a directed cycle, remove it and apply induction.  $\Box$ 

One important thing to note about Lemma 1.3 is there may be multiple different decompositions of D into directed cycles. Following Felsner [1], we say that  $vw \in E(G)$  is  $\alpha$ -rigid if vw is oriented the same way in every  $\alpha$ -orientation of G.

**Lemma 1.4.** If  $X, Y \in D_{\alpha}(G)$  with  $x_1x_2 \in E(X)$  and  $x_2x_1 \in E(Y)$ , then there is a directed cycle C in X containing  $x_1x_2$  such that Y contains the directed cycle made from C by reversing all edges.

*Proof.* Since  $X \oplus Y$  is Eulerian, it is an edge-disjoint union of directed cycles. Let C be the directed cycle containing  $x_1x_2$ .

From Lemma 1.4 we have the following.

Corollary 1.5. An edge e of G is  $\alpha$ -rigid if and only if no  $\alpha$ -orientation of G has a directed cycle containing e.

A graph G is  $\alpha$ -AT if there is an  $\alpha$ -orientation X of G with  $EE(X) \neq EO(X)$ . Note that by Lemma 1.1, if G is  $\alpha$ -AT then  $EE(X) \neq EO(X)$  for every  $X \in D_{\alpha}(G)$ . It is useful to see how  $\alpha$ -AT behaves when we remove edges.

**Lemma 1.6.** For any  $\alpha$ -orientation of G and  $vw \in E(G)$  with  $v \leq w$ , we have

$$|D_{\alpha}(G)| = |D_{\alpha-1_{v}}(G)| + |D_{\alpha-1_{w}}(G)|, \text{ and}$$

$$|DE_{\alpha}(G)| = |DO_{\alpha-1_{v}}(G)| + |DE_{\alpha-1_{w}}(G)|, \text{ and}$$

$$|DO_{\alpha}(G)| = |DE_{\alpha-1_{v}}(G)| + |DO_{\alpha-1_{w}}(G)|.$$

**Lemma 1.7.** Suppose G is  $\alpha$ -AT and  $vw \in E(G)$  with  $v \leq w$ . If vw is  $\alpha$ -rigid (say always directed from v to w), then G - vw is  $(\alpha - 1_v)$ -AT. Otherwise, G - vw is either  $(\alpha - 1_v)$ -AT or  $(\alpha - 1_w)$ -AT.

*Proof.* First, suppose vw is  $\alpha$ -rigid. Let X be an  $\alpha$ -orientation of G. Then vw is not contained in any  $S \in EL(X)$  and hence removing it does not change parities. So, G - vw is  $(\alpha - 1_v)$ -AT.

Now, suppose vw is not  $\alpha$ -rigid. By Lemma 1.6, we have

$$0 \neq |DE_{\alpha}(G)| - |DO_{\alpha}(G)| = |DO_{\alpha-1_n}(G)| - |DE_{\alpha-1_n}(G)| + |DE_{\alpha-1_m}(G)| - |DO_{\alpha-1_m}(G)|.$$

Hence either 
$$|DO_{\alpha-1_v}(G)| - |DE_{\alpha-1_v}(G)| \neq 0$$
 or  $|DE_{\alpha-1_w}(G)| - |DO_{\alpha-1_w}(G)| \neq 0$ . By Lemma 1.1,  $G - vw$  is either  $(\alpha - 1_v)$ -AT or  $(\alpha - 1_w)$ -AT.

We will use the following to reverse an edge on a triangle cutset when the inductive hypothesis directs the triangle cyclically.

**Lemma 1.8.** Suppose G is  $\alpha$ -AT and X is an  $\alpha$ -orientation of G. If Z is an induced subgraph of X such that EE(Z) = EO(Z), then X has an induced cycle  $C \nsubseteq Z$  containing an edge of Z.

*Proof.* Otherwise, every spanning Eulerian subgraph of X is the edge-disjoint union of a spanning Eulerian subgraph of Z and a spanning Eulerian subgraph of X - E(Z). But then EE(Z) = EO(Z) implies EE(X) = EO(X), a contradiction.

## References

[1] Stefan Felsner, Lattice structures from planar graphs, Electron. J. Combin  ${\bf 11}$  (2004), no. 1, R15.