# Edge lower bounds via discharging notes

January 12, 2016

#### 1 Introduction

For a graph G, let d(G) be the average degree of G. Let  $\mathcal{T}_k$  be the Gallai trees with maximum degree at most k-1, excepting  $K_k$ .

### 2 Gallai's bound via discharging

**Theorem 2.1** (Gallai). For  $k \geq 4$  and  $G \neq K_k$  a k-AT-critical graph, we have

$$d(G) > k - 1 + \frac{k - 3}{k^2 - 3}.$$

*Proof.* Start with initial charge function  $\operatorname{ch}(v) = d_G(v)$ . Have each  $k^+$ -vertex give charge  $\frac{k-1}{k^2-3}$  to each of its (k-1)-neighbors. Then let the vertices in each component of the low vertex subgraph share their total charge equally. Let  $\operatorname{ch}^*(v)$  be the resulting charge function. We finish the proof by showing that  $\operatorname{ch}^*(v) \geq k-1+\frac{k-3}{k^2-3}$  for all  $v \in V(G)$ .

If v is a  $k^+$ -vertex, then  $ch^*(v) \ge d_G(v) - \frac{k-1}{k^2-3}d_G(v) = \left(1 - \frac{k-1}{k^2-3}\right)d_G(v) \ge \left(1 - \frac{k-1}{k^2-3}\right)k = k - 1 + \frac{k-3}{k^2-3}$  as desired.

Let T be a component of the low vertex subgraph. Then the vertices in T receive total charge

$$\frac{k-1}{k^2-3} \sum_{v \in V(T)} k - 1 - d_G(v) = \frac{k-1}{k^2-3} \left( (k-1)|T| - 2 ||T|| \right).$$

So, after distributing this charge out equally, each vertex in T receives charge

$$\frac{1}{|T|} \frac{k-1}{k^2-3} ((k-1)|T|-2 ||T||) = \frac{k-1}{k^2-3} ((k-1)-d(T)).$$

By Lemma 2.2, this is at least

$$\frac{k-1}{k^2-3}\left((k-1)-\left(k-2+\frac{2}{k-1}\right)\right) = \frac{k-1}{k^2-3}\left(\frac{k-3}{k-1}\right) = \frac{k-3}{k^2-3}.$$

Hence each low vertex ends with charge at least  $k-1+\frac{k-3}{k^2-3}$  as desired.

**Lemma 2.2** (Gallai). For  $k \geq 4$  and  $T \in \mathcal{T}_k$ , we have  $d(T) < k - 2 + \frac{2}{k-1}$ .

*Proof.* Suppose not and choose a counterexample T minimizing |T|. Then T has at least two blocks. Let B be an endblock of T. If B is  $K_t$  for  $1 \le t \le k-1$ , then remove the non-separating vertices of B from T to get T'. By minimality of |T|, we have

$$2\|T\| - t(t-1) = 2\|T'\| < \left(k - 2 + \frac{2}{k-1}\right)|T'| = \left(k - 2 + \frac{2}{k-1}\right)|T| - \left(k - 2 + \frac{2}{k-1}\right)(t-1).$$

Hence we have the contradiction

$$2\|T\| < \left(k - 2 + \frac{2}{k - 1}\right)|T| + \left(t + 2 - k - \frac{2}{k - 1}\right)(t - 1) \le \left(k - 2 + \frac{2}{k - 1}\right)|T|.$$

The case when B is an odd cycle is the same as the above, a longer cycle just makes things better. Finally, if  $B = K_{k-1}$ , remove all vertices of B from T to get T'. By minimality of |T|, we have

$$2 ||T|| - (k-1)(k-2) - 2 = 2 ||T'||$$

$$< \left(k - 2 + \frac{2}{k-1}\right) |T'|$$

$$= \left(k - 2 + \frac{2}{k-1}\right) |T| - \left(k - 2 + \frac{2}{k-1}\right) (k-1).$$

Hence  $2 ||T|| < (k - 2 + \frac{2}{k-1}) |T|$ , a contradiction.

## 3 An initial improved bound

Lemma 2.2 is best possible as can be seen by the family of graphs with blocks on a path alternating  $K_{k-1}$  and  $K_2$ . But we have reducible configurations (see the last section for the precise statements) that place restrictions on  $K_{k-1}$  blocks. To state these restrictions, we need the following auxiliary bipartite graph.

For a k-AT-critical graph G, let  $\mathcal{L}(G)$  be the subgraph of G induced on the (k-1)-vertices and  $\mathcal{H}(G)$  the subgraph of G induced on the k-vertices. For  $T \in \mathcal{T}_k$ , let  $W^k(T)$  be the set of vertices of T that are contained in some  $K_{k-1}$  in T. Let  $\mathcal{B}_k(G)$  be the bipartite graph with one part  $V(\mathcal{H}(G))$  and the other part the components of  $\mathcal{L}(G)$ . Put an edge between  $y \in V(\mathcal{H}(G))$  and a component T of  $\mathcal{L}(G)$  if and only if  $N(y) \cap W^k(T) \neq \emptyset$ . Then Lemma 4.2 says that  $\mathcal{B}_k(G)$  is 2-degenerate.

We can use this fact to refine our discharging argument. Let  $\epsilon$  and  $\gamma$  be parameters that we will determine where  $\epsilon \leq \gamma < 2\epsilon$ . Start with initial charge function  $\operatorname{ch}(v) = d_G(v)$ .

- 1. Each  $k^+$ -vertex gives charge  $\epsilon$  to each of its (k-1)-neighbors not in a  $K_{k-1}$ ,
- 2. Each  $(k+1)^+$ -vertex give charge  $\gamma$  to each of its (k-1)-neighbors in a  $K_{k-1}$ ,
- 3. Let  $Q = \mathcal{B}_k(G)$ . Repeat the following steps until Q is empty.
  - (a) For each component T of  $\mathcal{L}(G)$  in Q that has degree at most two in Q do the following:

- i. For each  $v \in V(\mathcal{H}(G)) \cap V(Q)$  such that  $|N_G(v) \cap W^k(T)| = 2$ , pick one  $x \in N_G(v) \cap W^k(T)$  and send charge  $\gamma$  from v to x,
- ii. Remove T from Q.
- (b) Pick  $v \in V(\mathcal{H}(G)) \cap V(Q)$  with degree at most two in Q. Send charge  $\gamma$  from v to each  $x \in N_G(v) \cap W^k(T)$  for each component T of  $\mathcal{L}(G)$  where  $vT \in E(Q)$ .
- (c) Remove v from Q.
- 4. Have the vertices in each component of  $\mathcal{L}(G)$  share their total charge equally.

Let  $ch^*(v)$  be the resulting charge function. Here is some intuition for why this might be a useful refinement. In (3b), v sends charge to at most two different T and so, by Lemma 4.1 (or our 'beyond degree choosability' classification), v loses charge at most  $3\gamma$ . On the other hand, from (3a) each component T of  $\mathcal{L}(G)$  receives charge  $\gamma$  for all but at most two non-separating vertices in a  $K_{k-1}$  (the at most two is coming from Lemma 4.1 and the fact that we leave T in Q until it has degree at most two and when it does, we send up to two extra  $\gamma$  to T in (3ai) as needed). Note that (3ai) doesn't cause any  $v \in V(\mathcal{H}(G))$  to lose more than  $3\gamma$ , because it only gets enacted when the component T is about to be removed, after that v does not have two neighbors in another component. So, we can get each T almost as much charge as we could hope for without losing too much from the k-vertices. We don't have the same control over  $(k+1)^+$ -vertices, but it won't matter since they have extra charge to start with and sending  $\gamma$  to every (k-1)-neighbor will leave enough charge (we'll use  $\gamma < 2\epsilon$  here).

To analyze this discharging procedure we need a bound like Lemma 2.2, but taking into account the number of edges in  $\mathcal{B}_k(G)$ . We can do this by taking into account the number of non-separating vertices in  $K_{k-1}$ 's in T. To this end, for  $T \in \mathcal{T}_k$ , let q(T) be the number of non-separating vertices in a  $K_{k-1}$  in T. We give a family of such bounds. Without more reducible configurations we can't hope to do better than average degree k-3 because of  $K_{k-2}$  components, that is why the bound below has (k-3+p(k))|T|, a slight worsening of average degree k-3.

**Lemma 3.1.** Let  $K \geq 7$  and  $p: \mathbb{N} \to \mathbb{R}$ ,  $f: \mathbb{N} \to \mathbb{R}$ ,  $h: \mathbb{N} \to \mathbb{R}$  be such that for all  $k \geq K$  we have

1. 
$$f(k) \ge t(t+2-k-p(k))$$
 for all  $t \in [k-2]$ ; and

2. 
$$f(k) \ge (5 - k - p(k))s$$
 for all  $s \ge 5$ ; and

3. 
$$f(k) \ge (k-1)(1-p(k)-h(k))$$
; and

4. 
$$p(k) \ge h(k) + 5 - k$$
; and

5. 
$$p(k) \ge \frac{2}{k-2}$$
; and

6. 
$$p(k) \ge \frac{1+h(k)}{k-2}$$
; and

7. 
$$(k-1)p(k) + (k-3)h(k) \ge k+1$$
; and

8. 
$$p(k) \ge \frac{3-f(k)}{3(k-2)}$$
; and

Then for  $k \geq K$  and  $T \in \mathcal{T}_k$ , we have

$$2||T|| \le (k - 3 + p(k))|T| + f(k) + h(k)q(T).$$

Proof. Suppose not and choose a counterexample T minimizing |T|. First, suppose T is  $K_t$  for  $t \in [k-2]$ . Then t(t-1) > (k-3+p(k))t + f(k) contradicting (1). If T is  $C_{2r+1}$  for  $r \ge 2$ , then 2(2r+1) > (k-3+p(k))(2r+1) + f(k) and hence f(k) < (5-k-p(k))(2r+1) contradicting (2). If T is  $K_{k-1}$ , then (k-1)(k-2) > (k-3+p(k))(k-1) + f(k) + h(k)(k-1) contradicting (3).

Hence T has at least two blocks. Let B be an endblock of T and  $x_B$  the cutvertex of T contained in B. Let  $T' = T - (V(B) \setminus \{x_B\})$ . Then, by minimality of |T|, we have

$$2||T'|| \le (k - 3 + p(k))|T'| + f(k) + h(k)q(T').$$

Hence

$$2||T|| - 2||B|| \le (k - 3 + p(k))(|T| - (|B| - 1)) + f(k) + h(k)q(T').$$

Since T is a counterexample, this gives

$$2\|B\| > (k-3+p(k))(|B|-1) + h(k)(q(T)-q(T')).$$
(\*)

Suppose B is  $K_t$  for  $3 \le t \le k-3$  or B is an odd cycle. Then q(T') = q(T),  $2 ||B|| \le |B| (|B|-1)$  and 2 ||B|| = 2 |B| if |B| > k-3. Since  $p(k) \ge \frac{4}{k-2}$  by (5), this contradicts \*. If B is  $K_2$ , then  $q(T') \le q(T) + 1$  and \* gives 2 > k-3+p(k)-h(k) contradicting (4). To handle the cases when B is  $K_{k-2}$  or  $K_{k-1}$  we need to remove  $x_B$  from T as well. Let  $T^* = T - V(B)$ . Then, by minimality of |T|, we have

$$2||T^*|| \le (k - 3 + p(k))||T^*|| + f(k) + h(k)q(T^*).$$

Hence

$$2||T|| - 2||B|| - 2(d_T(x_B) - d_B(x_B)) \le (k - 3 + p(k))(|T| - |B|) + f(k) + h(k)q(T^*).$$

Since T is a counterexample and B is complete, this gives

$$2 ||B|| > (k - 3 + p(k)) |B| - 2(d_T(x_B) + 1 - |B|) + h(k) (q(T) - q(T^*)),$$

which is

$$2\|B\| > (k-1+p(k))|B| - 2d_T(x_B) - 2 + h(k)(q(T) - q(T^*)).$$
(\*\*)

Suppose B is  $K_{k-1}$ . Then  $d_T(x_B) = k-1$  and  $q(T^*) \le q(T) - (k-2) + 1 = q(T) - (k-3)$ . From \*\*, we have

$$(k-1)(k-2) > (k-1+p(k))(k-1) - 2(k-1) - 2 + h(k)(k-3)$$
  
=  $(k-1)(k-2) + p(k)(k-1) - (k+1) + h(k)(k-3)$ ,

contradicting (7).

Finally, suppose every endblock of T is  $K_{k-2}$ . Then, for any endblock B, we have  $d_T(x_B) = k - 1$  or  $d_T(x_B) = k - 2$ . In the former case,  $q(T) = q(T^*)$  and in the latter case  $q(T^*) \le q(T) + 1$ .

Call an endblock extreme if it is the end of a longest path in the block-tree of T. First, suppose there is an extreme endblock B with  $d_T(x_B) = k - 2$ . Then B is adjacent to a  $K_2$  block which is adjacent to a block A. If A is not  $K_{k-1}$ , then  $q(T) = q(T^*)$  and hence

$$(k-2)(k-3) > (k-1+p(k))(k-2) - 2(k-2) - 2 = (k-2)(k-3) - 2 + (k-2)p(k),$$

contradicting (5). Hence A is  $K_{k-1}$ . By the extremality of B, all but at most one  $K_2$  block adjacent to A is adjacent to a  $K_{k-2}$  endblock. Let  $B_1 = B, B_2, \ldots, B_t$  be these  $K_{k-2}$  endblocks.  $\hat{T} = T \setminus \left(A \cup \bigcup_{i \in [t]} B_i\right)$ . If  $|\hat{T}| = 0$ , then

$$2||T|| = t + (k-1)(k-2) + t(k-2)(k-3),$$

and

$$|T| = k - 1 + t(k - 2),$$

since T is a counterexample and q(T) = k - 1 - t, this gives (after some simplification)

$$t + k - 1 > p(k)(k - 1 + t(k - 2)) + f(k) + h(k)(k - 1 - t),$$

which gives

$$p(k) < \frac{t+k-1-f(k)-h(k)(k-1-t)}{k-1+t(k-2)} = \frac{t(h(k)+1)+(k-1)(1-h(k))-f(k)}{t}$$

applying (3), we get

$$p(k) < \frac{t+k-1-f(k)-h(k)(k-1-t)}{k-1+t(k-2)} = \frac{t(h(k)+1)+(k-1)p(k)}{k-1+t(k-2)},$$

SO

$$p(k) < \frac{t(h(k)+1)}{t(k-2)} = \frac{1+h(k)}{k-2},$$

contradicting (6).

So, for every extreme block B of T we have  $d_T(x_B) = k - 1$ . Pick an extreme block B and let C be the odd cycle adjacent to B. We claim that all but at most one vertex of C is in an endblock. Since B is the end of a longest path, C cannot have two noncutvertices that are both not in endblocks, for then we could get a longer path. So, to prove our claim, it will suffice to show that every vertex of C is a cut-vertex. Suppose  $v \in V(C)$  is not a cut-vertex. Then  $d_T(v) = 2$  and hence by minimality of |T|

$$2||T|| - 4 \le (k - 3 + p(k))(|T| - 1) + f(k) + h(k)q(T - v),$$

Since q(T - v) = q(T), the fact that T is a counterexample implies

$$4 > k - 3 + p(k),$$

a contradiction since  $k \geq K \geq 7$  and p(k) > 0. So, we have shown that all but one vertex of C is in an endblock. Let  $B_1 = B, B_2, \ldots, B_t$  be the endblocks adjacent to C.

First, suppose  $V(C) \cup \bigcup_{i \in [t]} V(B_i) = V(T)$ . Then

$$2||T|| = t + t(k-2)(k-3)$$

and

$$|T| = t(k-2),$$

so since T is a counterexample and q(T) = 0, we have

$$t > p(k)t(k-2) + f(k),$$

which is

$$p(k) < \frac{t - f(k)}{t(k - 2)} = \frac{1}{k - 2} - \frac{f(k)}{t(k - 2)},$$

which contradicts (5) when  $f(k) \ge 0$ . When f(k) < 0, the worst case is when t = 3 and hence

$$p(k) < \frac{3 - f(k)}{3(k - 2)},$$

which contradicts (8).

Hence  $V(C) \cup \bigcup_{i \in [t]} V(B_i) \neq V(T)$ . Since  $\delta(T) \geq 3$ , C must be adjacent to a non-endblock D.

First, suppose D is an odd cycle. By extremality, every block X adjacent to D with  $V(X) \cap V(D) = V(C) \cap V(D)$  is an odd cycle. Let  $C_1 = C, C_2, \ldots, C_s$  be these blocks. Each  $C_i$  is adjacent to  $|C_i| - 1$  endblocks just like C. Let  $C' = \sum_{i \in [s]} (|C_i| - 1)$ . Remove all these blocks and their adjacent endblocks to form T'. Then, by minimality of |T| and since q(T') = q(T), we have

$$(k-2)(k-3)C' + C' + s + 2 > (k-3+p(k))(C'(k-2)+1),$$

which gives

$$C' + s + 2 > k - 3 + p(k)(C'(k-2) + 1)$$

which is

$$p(k) < \frac{C' + s + 5 - k}{C'(k - 2) + 1} \le \frac{C' + s + 5 - k}{(C' + 1)(k - 2)} \le \frac{\frac{4}{3}C'}{(C' + 1)(k - 2)} < \frac{\frac{4}{3}}{k - 2},$$

contradicting (5).

So, D is not an odd cycle. Then D is not adjacent to an endblock. By extremality of B, all but at most one block adjacent to D is adjacent to an endblock. Let  $C_1 = C, C_2, \ldots, C_s$  be the blocks adjacent to D that are also adjacent to an endblock. Then, since  $C_i$  is also the penultimate vertex on a longest path in the block-tree, each  $C_i$  is an odd cycle and all but one vertex of C is in an endblock as above. Let  $C' = \sum_{i \in [s]} (|C_i| - 1)$ . Note  $1 \le s \le \frac{C'}{2}$ . Let Q be the vertices of T that are in a block adjacent to some  $C_i$ .

Suppose Q = V(T) and  $D = K_r$  for  $2 \le p \le k - 2$ . Then, since T is a counterexample and q(T) = 0, we have

$$(k-2)(k-3)C' + C' + s + (r)(r-1) > (k-3+p(k))(C'(k-2)+r) + f(k),$$

which gives,

$$C' + s + (r)(r - k + 2) > p(k)(C'(k - 2) + r) + f(k),$$

so,

$$p(k) < \frac{C' + s + r(r - k + 2) - f(k)}{C'(k - 2) + r},$$

which achieves its maximum when r = k - 2, so we have

$$p(k) < \frac{C' + s - f(k)}{(C' + 1)(k - 2)} \le \frac{\frac{3C'}{2} - f(k)}{(C' + 1)(k - 2)} \le \frac{3 - f(k)}{3(k - 2)},$$

contradicting (8).

Otherwise, there is a block F adjacent to D but not adjacent to an endblock. Let y be the vertex that D and F share. We apply minimality of T to  $T' = T - (Q \setminus \{y\})$ . Then, since T is a counterexample and q(T') = q(T), we have

$$|D|(|D|-1) + C' + s + C'(k-2)(k-3) > (k-3+p(k))(|D|-1+C'(k-2)),$$

simplifying, we get

$$(|D| - (k-3))(|D| - 1) + C' + s > p(k)(C'(k-2) + |D| - 1),$$

which is

$$p(k) < \frac{(|D| - (k-3))(|D| - 1) + C' + s}{C'(k-2) + |D| - 1}.$$

Suppose  $|D| \le k-3$ . The worst case is when |D| = k-3, using  $s \le \frac{C'}{2}$  we get

$$p(k) < \frac{\frac{3}{2}C'}{C'(k-2)+k-4} = \frac{\frac{3}{2}C'}{(C'+1)\left(k-4+2\frac{C'}{C'+1}\right)} \le \frac{3}{2(k-4+\frac{4}{2})} \le \frac{2}{k-2},$$

where in the penultimate inequality we used  $C' \geq 2$ . This contradicts (5).

Hence, we must have  $D = K_{k-2}$ . Suppose  $F = K_2$  and let  $T^* = T - Q$ . Then  $q(T^*) \le q(T) + 1$  and applying minimality of |T|, we get

$$1 + (k-2)(k-3) + C' + s + C'(k-2)(k-3) > (k-3+p(k))(|D| + C'(k-2)) - h(k),$$

simplifying, we get

$$1 + C' + s > p(k)(k - 2 + C'(k - 2)) - h(k),$$

so,

$$p(k) < \frac{1 + C' + s + h(k)}{(C' + 1)(k - 2)} = \frac{1}{k - 2} + \frac{s + h(k)}{(C' + 1)(k - 2)} \le \frac{\frac{C'}{2} + h(k)}{(C' + 1)(k - 2)}.$$

Since  $C' \geq 2$ , this gives

$$p(k) < \frac{1 + h(k)}{3(k-2)},$$

which contradicts (6).

So, F must be an odd cycle. All but at most one block adjacent to F is either an endblock, adjacent to an endblock or at distance two from an endblock. Let Q be such a block adjacent to F. If Q is an endblock, then  $Q = K_{k-2}$ . If Q is at distance two from an endblock, then the intervening block must be an odd cycle by extremality, but then by then  $Q = K_{k-2}$  for otherwise we can run one of the above cases on that subtree instead. If Q is adjacent to an endblock, then Q cannot be  $K_2$  since then we can remove Q and its endblock without increasing q(T). So, in that case, Q is an odd cycle. Let  $V(D) \cap V(F) = \{z\}$  and let  $w \in V(F) \setminus \{z\}$  be adjacent to z and in a block Q that is at distance at most two from an endblock. So, Q is either an endblock  $K_{k-2}$ , a  $K_{k-2}$  of the form of D, or an odd cycle. Let S be the graph formed from T by removing D and Q and their subtrees. Let  $C_1 = C, C_2, \ldots, C_s$  be the blocks adjacent to D that are also adjacent to an endblock. Let  $C' = \sum_{i \in [s]} (|C_i| - 1)$ . We have q(S) = q(T).

First, suppose  $Q = K_{k-2}$ . Then, by minimality of |T|, we get

$$(k-2)(k-3)C' + C' + s + 2(k-3)(k-2) + 1 > (k-3+p(k))(C'+2)(k-2),$$

hence

$$C' + s + 1 > p(k)(C' + 2)(k - 2),$$

which gives

$$p(k) < \frac{C' + s + 1}{(C' + 2)(k - 2)} = \frac{1}{k - 2} + \frac{s - 1}{(C' + 2)(k - 2)} \le \frac{1}{k - 2} + \frac{\frac{C'}{2} - 1}{(C' + 2)(k - 2)} < \frac{\frac{3}{2}}{k - 2},$$

contradicting (5).

Instead, suppose Q is a  $K_{k-2}$  of the form of D. Let  $H_1, H_2, \ldots, H_r$  be the blocks adjacent to Q that are also adjacent to an endblock. Let  $H' = \sum_{i \in [r]} (|H_i| - 1)$ . Then, by minimality of |T|, we get

$$(k-2)(k-3)(C'+D')+C'+D'+s+r+2(k-3)(k-2)+1>(k-3+p(k))(C'+D'+2)(k-2),$$

hence

$$C' + D' + s + r + 1 > p(k)(C' + D' + 2)(k - 2)$$

which is

$$p(k) < \frac{C' + D' + s + r + 1}{(C' + D' + 2)(k - 2)} = \frac{1}{k - 2} - \frac{s + r - 1}{(C' + D' + 2)(k - 2)} < \frac{2}{k - 2},$$

contradicting (5).

So, Q is an odd cycle and all of the blocks adjacent to Q (besides possibly F) are  $K_{k-2}$  endblocks (this is because, by extremality, the only other thing they could be is an odd cycle, but then the same proof that showed D is  $K_{k-2}$  gives a contradiction). Since  $\delta(T) \geq 3$ , all but at most one vertex in Q is adjacent to an endblock. Now we are in a case similar to when D was an odd cycle before, just with length one less. Remove Q and its subtree to get S'. Let  $A_1, A_2, \ldots, A_u$  be the endblocks adjacent to Q and put  $A' = \sum_{i \in [u]} (|A_i| - 1)$ . Then q(S') = q(T) and hence by minimality we have,

$$(k-2)(k-3)A' + A' + u + (k-2)(k-3) + 2 > (k-3+p(k))((k-2)(A'+1)),$$

so,

$$A' + u + 2 > p(k)((k-2)(A'+1)),$$

which is

$$p(k) < \frac{A' + u + 2}{(k - 2)(A' + 1)} \le \frac{1}{k - 2} + \frac{u + 1}{(k - 2)(A' + 1)} \le \frac{1}{k - 2} + \frac{\frac{A'}{2} + 1}{(k - 2)(A' + 1)} < \frac{2}{k - 2},$$

contradicting (5).

Now some examples of using Lemma 3.1. What happens if we take h(k) = 0? Then, by (7), we need  $(k-1)p(k) \ge k+1$  and hence  $p(k) \ge 1 + \frac{2}{k-1}$ . Taking  $p(k) = 1 + \frac{2}{k-1}$ , (3) requires  $f(k) \ge -2$ . Using f(k) = -2, all of the other conditions are satisfied and we conclude  $2 ||T|| \le (k-2+\frac{2}{k-1}) |T| - 2$  for every  $T \in \mathcal{T}_k$  when  $k \ge 4$ . This is a slight refinement of Gallai's Lemma 2.2.

Instead, let's make p(k) as small as Lemma 3.1 will let us. By (6),  $h(k) \leq (k-2)p(k)-2$ , plugging this in to (7) and solving we get  $p(k) \geq \frac{3k-5}{k^2-4k+5}$ . Now  $\frac{3k-5}{k^2-4k+5} \geq \frac{3}{k-2}$  for  $k \geq 7$ , so  $p(k) = \frac{3k-5}{k^2-4k+5}$  satisfies (5). With  $h(k) = \frac{k(k-3)}{k^2-4k+5}$ , (6) and (7) are also satisfied. Now with  $f(k) = -\frac{2(k-1)(2k-5)}{k^2-4k+5}$ , all the conditions of Lemma 3.1 are satisfied and hence we have the following.

Corollary 3.2. For  $k \geq 7$  and  $T \in \mathcal{T}_k$ , we have

$$2\|T\| \le \left(k - 3 + \frac{3k - 5}{k^2 - 4k + 5}\right)|T| - \frac{2(k - 1)(2k - 5)}{k^2 - 4k + 5} + \frac{k(k - 3)}{k^2 - 4k + 5}q(T).$$

If we put the Kostochka-Stiebitz bound on  $\sigma(T)$  into this form we get the following.

**Lemma 3.3** (Kostochka-Stiebitz). For  $k \geq 7$  and  $T \in \mathcal{T}_k$ , we have

$$2\|T\| \le \left(k - 3 + \frac{4(k - 1)}{k^2 - 3k + 4}\right)|T| - \frac{4(k^2 - 3k + 2)}{k^2 - 3k + 4} + \frac{k^2 - 3k}{k^2 - 3k + 4}q(T).$$

Note that  $\frac{3k-5}{(k-5)(k-1)} < \frac{4(k-1)}{k^2-3k+4}$  for  $k \ge 13$ .

#### 3.1 Analyzing the discharging

Our discharging procedure gives charge  $\epsilon$  to a component T for every incident edge not ending in a  $K_{k-1}$ . The number of such edges is exactly

$$A(T) := -q(T) + \sum_{v \in V(T)} k - 1 - d_T(v) = (k-1)|T| - 2||T|| - q(T).$$

Suppose we have a bound of the form

$$2||T|| \le (k - 3 + p(k))|T| + f(k) + h(k)q(T).$$

So, we get

$$A(T) \ge (2 - p(k))|T| - f(k) - (h(k) + 1)q(T).$$

We will use  $\gamma = (h(k)+1)\epsilon$  in order to make the q(T) term cancel. That happens because T receives charge on all but at most two of its non-separating vertices in a  $K_{k-1}$ ; that is, in discharging steps 2 and 3, T receives charge at least  $\gamma \max\{0, q(G) - 2\}$ . Hence in total T receives charge at least

$$\epsilon A(T) + \gamma(q(G) - 2) = \epsilon (2 - p(k)) |T| - \epsilon (f(k) + 2(h(k) + 1)).$$

To simplify things, let's impose the requirement  $f(k) + 2(h(k) + 1) \le 0$ . Then T receives charge at least

$$\epsilon (2 - p(k)) |T|$$
.

We want the k-vertices to end with enough charge, the worst case is when

$$1 - (3\gamma + (k-3)\epsilon) = \epsilon (2 - p(k)),$$

and thus

$$\epsilon = \frac{1}{k + 2 + 3h(k) - p(k)},$$
$$\gamma = \frac{h(k) + 1}{k + 2 + 3h(k) - p(k)}.$$

It remains to check that the  $(k+1)^+$ -vertices don't give away too much charge. Let v be a  $(k+1)^+$ -vertex, then v ends with charge at least

$$d(v) - \gamma d(v) = (1 - \gamma)d(v) \ge (1 - \gamma)(k + 1) = (k + 1)\frac{k + 1 + 2h(k) - p(k)}{k + 2 + 3h(k) - p(k)},$$

so we need

$$(k+1)\frac{k+1+2h(k)-p(k)}{k+2+3h(k)-p(k)} \ge k-1+\frac{2-p(k)}{k+2+3h(k)-p(k)},$$

simplifying, we get that we need

$$p(k) + (k-5)h(k) \le k+1.$$

Let's just add this as another requirement, it will be easily satisfied by the functions we want to use. We have proved the following.

**Theorem 3.4.** Let  $K \geq 7$  and  $p: \mathbb{N} \to \mathbb{R}$ ,  $f: \mathbb{N} \to \mathbb{R}$ ,  $h: \mathbb{N} \to \mathbb{R}$  be functions satisfying

- $f(k) + 2(h(k) + 1) \le 0$ ; and
- $p(k) + (k-5)h(k) \le k+1$ .

If for all  $k \geq K$  and  $T \in \mathcal{T}_k$  we have

$$2||T|| \le (k - 3 + p(k))|T| + f(k) + h(k)q(T),$$

then for  $k \geq K$  and  $G \neq K_k$  a k-AT-critical graph, we have

$$d(G) \ge k - 1 + \frac{2 - p(k)}{k + 2 + 3h(k) - p(k)}.$$

As a first test, suppose  $p(k) = 1 - \frac{2}{k-1}$ , f(k) = -2 and h(k) = 0. Then the hypotheses of Theorem 3.4 are satisfied with K = 7 and we get Gallai's bound  $d(G) \ge k - 1 + \frac{k-3}{k^2-3}$ .

Now, let's try the Kostochka-Stiebitz bound, that is,  $p(k) = \frac{4(k-1)}{k^2-3k+4}$ ,  $f(k) = -\frac{4(k^2-3k+2)}{k^2-3k+4}$  and  $h(k) = \frac{k^2-3k}{k^2-3k+4}$ . Again, the hypotheses of Theorem 3.4 are satisfied with K=7 and we get

$$d(G) \ge k - 1 + \frac{2(k-2)(k-3)}{(k-1)(k^2 + 3k - 12)}.$$

This is exactly equal to the bound in the paper with Hal!

Now, let's try our bound in Lemma 3.2, that is,  $p(k) = \frac{3k-5}{k^2-4k+5}$ ,  $f(k) = -\frac{2(k-1)(2k-5)}{k^2-4k+5}$  and  $h(k) = \frac{k(k-3)}{k^2-4k+5}$ . The hypotheses of Theorem 3.4 are satisfied with K=7 and we get

$$d(G) \ge k - 1 + \frac{(k-3)(2k-5)}{k^3 + k^2 - 15k + 15}.$$

This is better than the bound with Hal for  $k \geq 7$ . Possible improvements:

- 1. Use a better bound on average degree of Gallai trees. i would like to find the best possible family in the form here. How does this bound compare to the hand waiving one in the other document?
- 2. In the discharging, the k-vertices lost  $3\gamma$  even though they had degree two in Q because of the possibility of two edges into one component. Can we get this to  $2\gamma$  somehow, like maybe we can order our picking so that no vertex is picked before the component where it has two edges has been removed.
- 3. Related to the previous item, improved reducible configurations, a less restrictive condition in Lemma 4.2 taking into account the two edges to a component issue.

#### 4 Reducible Configurations

**Definition 1.** A graph G is AT-reducible to H if H is a nonempty induced subgraph of G which is  $f_H$ -AT where  $f_H(v) := \delta(G) + d_H(v) - d_G(v)$  for all  $v \in V(H)$ . If G is not AT-reducible to any nonempty induced subgraph, then it is AT-irreducible.

This first lemma tells us how a single high vertex can interact with the low vertex subgraph. This is the version Hal and i used, it (and more) follows from the classification in "mostlow".

**Lemma 4.1.** Let  $k \geq 5$  and let G be a graph with  $x \in V(G)$  such that:

- 1.  $K_k \not\subseteq G$ ; and
- 2. G-x has t components  $H_1, H_2, \ldots, H_t$ , and all are in  $\mathcal{T}_k$ ; and
- 3.  $d_G(v) \leq k-1$  for all  $v \in V(G-x)$ ; and
- 4.  $|N(x) \cap W^k(H_i)| \ge 1$  for  $i \in [t]$ ; and
- 5.  $d_G(x) > t + 2$ .

Then G is f-AT where  $f(x) = d_G(x) - 1$  and  $f(v) = d_G(v)$  for all  $v \in V(G - x)$ .

To deal with more than one high vertex we need the following auxiliary bipartite graph. For a graph G,  $\{X,Y\}$  a partition of V(G) and  $k \geq 4$ , let  $\mathcal{B}_k(X,Y)$  be the bipartite graph with one part Y and the other part the components of G[X]. Put an edge between  $y \in Y$  and a component T of G[X] if and only if  $N(y) \cap W^k(T) \neq \emptyset$ . The next lemma tells us that we have a reducible configuration if this bipartite graph has minimum degree at least three.

**Lemma 4.2.** Let  $k \geq 7$  and let G be a graph with  $Y \subseteq V(G)$  such that:

- 1.  $K_k \not\subseteq G$ ; and
- 2. the components of G-Y are in  $\mathcal{T}_k$ ; and
- 3.  $d_G(v) \leq k-1$  for all  $v \in V(G-Y)$ ; and
- 4. with  $\mathcal{B} := \mathcal{B}_k(V(G-Y), Y)$  we have  $\delta(\mathcal{B}) \geq 3$ .

Then G has an induced subgraph G' that is f-AT where  $f(y) = d_{G'}(y) - 1$  for  $y \in Y$  and  $f(v) = d_{G'}(v)$  for all  $v \in V(G' - Y)$ .

We also have the following version with asymmetric degree condition on  $\mathcal{B}$ . The point here is that this works for  $k \geq 5$ . As we'll see in the next section, the consequence is that we trade a bit in our size bound for the proof to go through with  $k \in \{5, 6\}$ .

**Lemma 4.3.** Let  $k \geq 5$  and let G be a graph with  $Y \subseteq V(G)$  such that:

- 1.  $K_k \not\subseteq G$ ; and
- 2. the components of G-Y are in  $\mathcal{T}_k$ ; and
- 3.  $d_G(v) \le k 1$  for all  $v \in V(G Y)$ ; and
- 4. with  $\mathcal{B} := \mathcal{B}_k(V(G-Y),Y)$  we have  $d_{\mathcal{B}}(y) \geq 4$  for all  $y \in Y$  and  $d_{\mathcal{B}}(T) \geq 2$  for all components T of G-Y.

Then G has an induced subgraph G' that is f-AT where  $f(y) = d_{G'}(y) - 1$  for  $y \in Y$  and  $f(v) = d_{G'}(v)$  for all  $v \in V(G' - Y)$ .