

# CA5

## 5.1

1. Insert 1

1 (B)

(B) → Black

(R) → Red

2. Insert 2

1 (B)

└ 2 (R)

3. Insert 3

2 (B)

┌ 1 (R)    └ 3 (R)

4. Insert 4

2 (B)

┌ 1 (B)    └ 3 (B)

└ 4 (R)

Insert 5

2 (B)

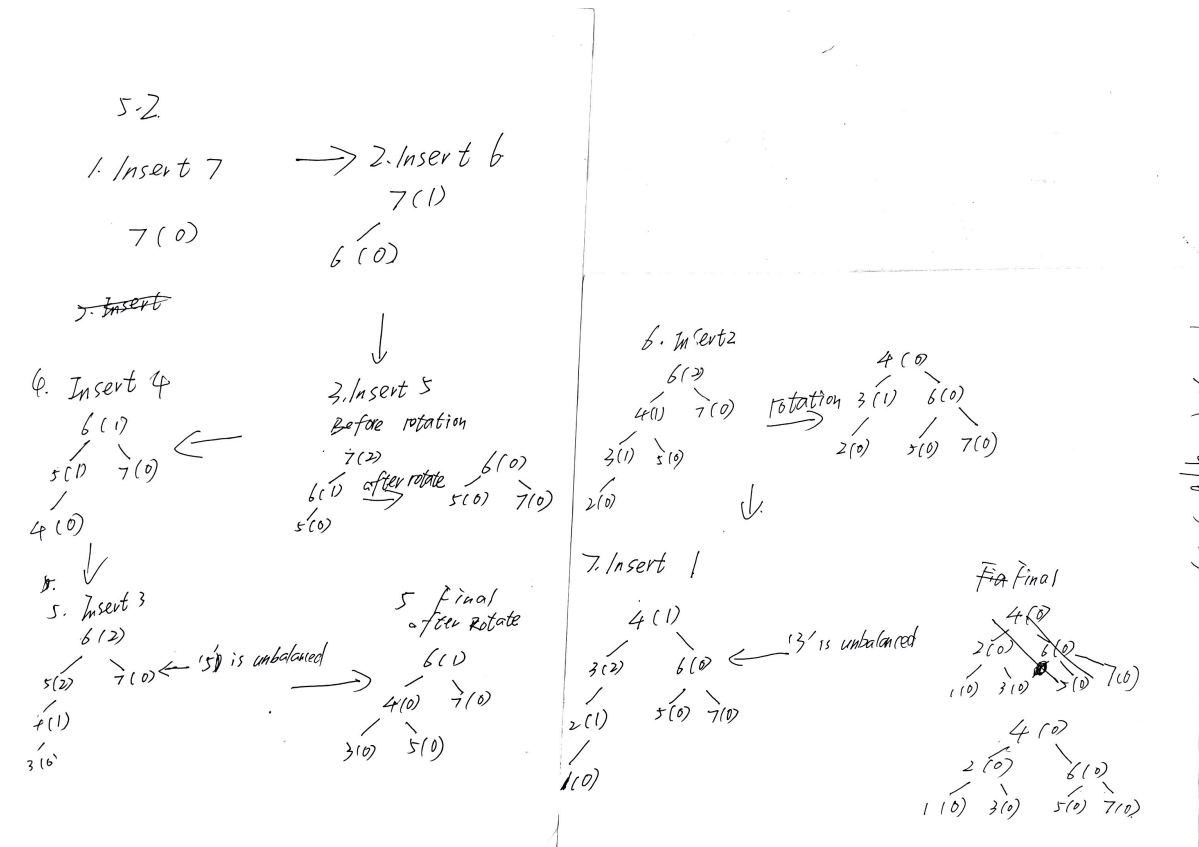
┌ 1 (B)

└ 4 (B)

┌ 3 (R)

└ 5 (R)

## 5.2



## 5.3

### 1. Initial Population Fitness and Selection Probabilities

First, we calculate the total fitness and the probabilities for the initial population specified in the assignment1. The fitness function is  $F_i = 100/n^2$ .

- **Total Fitness Calculation:** Total Fitness =  $4.35 + 14.29 + 4.17 + 10.00 + 3.45 = 36.26$

The completed table is as follows3:

String No.	String	n	$F_i = 100/n$	Probability ( $F_i/36.26$ )	Cumulative Probability
1	10111	23	4.35	0.120	0.120
2	00111	7	14.29	0.394	0.514

String No.	String	n	Fi=100/n	Probability (Fi/36.26)	Cumulative Probability
3	11000	24	4.17	0.115	0.629
4	01010	10	10.00	0.276	0.905
5	11101	29	3.45	0.095	1.000

## 2. Selection: Creating the Mating Pool

We generate 5 random numbers to simulate the roulette wheel selection 4 to create a mating pool of 5.

Spin	Random Number (Generated)	Selected Individual (based on Cumulative Probability)
1	0.813	String 4 ( 01010 )
2	0.134	String 2 ( 00111 )
3	0.495	String 2 ( 00111 )
4	0.952	String 5 ( 11101 )
5	0.076	String 1 ( 10111 )

- The Mating Pool is
  - 01010 (from String 4)
  - 00111 (from String 2)
  - 00111 (from String 2)
  - 11101 (from String 5)
  - 10111 (from String 1)

## 3. Crossover Operations

We pair individuals (1,2), (3,4), and (5,1) from the mating pool 6 and perform single-point crossover with a probability of 0.57.

- Pair 1: (Individual 1: 01010 , Individual 2: 00111 )**
  - Random number for crossover check: 0.31 ( $\leq 0.5$ ), so **Crossover Occurs**.
  - Random crossover point (1-4): 3.
  - Parents: 010|10 and 001|11
  - Offspring 1 & 2:** 01011 and 00110
- Pair 2: (Individual 3: 00111 , Individual 4: 11101 )**
  - Random number for crossover check: 0.74 ( $> 0.5$ ), so **No Crossover**.

- Offspring 3 & 4
  - : 00111
  - and 11101
  - (direct copies) 8
- **Pair 3: (Individual 5: 10111, Individual 1: 01010)**
  - Random number for crossover check: 0.45 ( $\leq 0.5$ ), so **Crossover Occurs**.
  - Random crossover point (1-4): 2.
  - Parents: 10|111 and 01|010
  - Offspring 5
    - : 10010
    - (The problem asks for a new population of 5, so we only need one offspring from the last pair)9
- **New Population (Before Mutation)10:**

1. 01011
2. 00110
3. 00111
4. 11101
5. 10010

---

## 4. Mutation

Each of the 25 bits has a 0.02 probability of flipping<sup>11</sup>. We simulate this process and assume **one mutation occurs**.

- **Simulation:** The 8th bit (Individual 2, 3rd bit) is chosen for mutation.
  - Individual 2 before mutation: 00110
  - Individual 2 **after mutation:** 00010
  - **Population after Mutation**
    1. 01011
    2. 00010
    3. 00111
    4. 11101
    5. 10010
-

## 5. Final Evaluation and Comparison

We calculate the fitness for each individual in the new, mutated population to evaluate its performance. 1

New Individual String	n (decimal)	Fitness ( $F_i=100/n$ )
01011	11	9.09
00010	2	50.00
00111	7	14.29
11101	29	3.45
10010	18	5.56

Now, we compare the total and average fitness of the new population against the initial one. 2

- **New Population Stats:**
  - **Total Fitness:**  $9.09+50.00+14.29+3.45+5.56=82.39$
  - **Average Fitness:**  $82.39\div5=16.48$
- **Initial Population Stats:**
  - **Total Fitness:** 36.26
  - **Average Fitness:**  $36.26\div5=7.25$

**Conclusion:** Yes, the average fitness has improved significantly, increasing from **7.25** to **16.48**. The genetic algorithm successfully evolved the population towards better solutions.




- **Fitness Comparison**
  - **Initial Population:** Total Fitness = 36.26, Average Fitness =  $36.26 / 5 = \mathbf{7.25}$
  - **New Population:** Total Fitness = 82.39, Average Fitness =  $82.39 / 5 = \mathbf{16.48}$

**Conclusion:** Yes, the average fitness has significantly improved from 7.25 to 16.48. This is mainly due to the discovery of the highly fit individual 00010 (with  $n=2$ ) through mutation.




## 5.4

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### 1. Algorithmic Approach

To solve this, we use **dynamic programming**. The core of this method is to build a 2D table, `C`, where `C[i][j]` stores the length of the longest common subsequence between the first `i` elements of sequence `X` and the first `j` elements of sequence `Y`.<sup>1</sup> We also use arrows (, , ) to trace the path back to reconstruct the actual subsequence.

The rules for filling the table are:






























- If `X[i]` and `Y[j]` match, the length increases, and we follow the diagonal arrow .
- If they do not match, we take the maximum length from the cell above or the cell to the left, and follow the corresponding arrow  or .

## 2. Dynamic Programming Table

The two sequences are:

- **X:** (1, 0, 0, 1, 0, 1, 0, 1)
- **Y:** (0, 1, 0, 1, 1, 0, 1, 1, 0)

The resulting  $(8+1) \times (9+1)$  table is shown below. The value in the bottom-right cell, **6**, is the length of the LCS.

	j=0	1	2	3	4	5	6	7	8	9
		Y: 0	1	0	1	1	0	1	1	0
i=0	0	0	0	0	0	0	0	0	0	0
1 X: 1	0	↑ 0	 1	← 1	 1	← 1	← 1	 1	← 1	← 1
2 X: 0	0	 1	↑ 1	 2	← 2	← 2	 2	↑ 2	↑ 2	 2
3 X: 0	0	 1	↑ 1	 2	↑ 2	↑ 2	 3	← 3	← 3	 3
4 X: 1	0	↑ 1	 2	↑ 2	 3	← 3	↑ 3	 4	← 4	↑ 4
5 X: 0	0	 1	↑ 2	 3	↑ 3	↑ 3	 4	↑ 4	↑ 4	 5
6 X: 1	0	↑ 1	 2	↑ 3	 4	↑ 4	↑ 4	 5	← 5	↑ 5
7 X: 0	0	 1	↑ 2	 3	↑ 4	↑ 4	 5	↑ 5	↑ 5	 6
8 X: 1	0	↑ 1	 2	↑ 3	 4	 5	↑ 5	 6	← 6	↑ 6

### 3. Backtracking to Find the LCS

We trace the arrows back from the bottom-right cell  $c[8, 9]$  to find the subsequence.

1. Start at  $c[8, 9]$ : The arrow is  $\uparrow$ . Move up to  $c[7, 9]$ .
2.  $c[7, 9]$ : The arrow is  $\swarrow$ . This means  $x[7]$  (0) is part of the LCS. Move to  $c[6, 8]$ .
3.  $c[6, 8]$ : The arrow is  $\leftarrow$ . Move left to  $c[6, 7]$ .
4.  $c[6, 7]$ : The arrow is  $\swarrow$ . This means  $x[6]$  (1) is part of the LCS. Move to  $c[5, 6]$ .
5.  $c[5, 6]$ : The arrow is  $\swarrow$ . This means  $x[5]$  (0) is part of the LCS. Move to  $c[4, 5]$ .
6.  $c[4, 5]$ : The arrow is  $\leftarrow$ . Move left to  $c[4, 4]$ .
7.  $c[4, 4]$ : The arrow is  $\swarrow$ . This means  $x[4]$  (1) is part of the LCS. Move to  $c[3, 3]$ .
8.  $c[3, 3]$ : The arrow is  $\swarrow$ . This means  $x[3]$  (0) is part of the LCS. Move to  $c[2, 2]$ .
9.  $c[2, 2]$ : The arrow is  $\uparrow$ . Move up to  $c[1, 2]$ .
10.  $c[1, 2]$ : The arrow is  $\swarrow$ . This means  $x[1]$  (1) is part of the LCS. Move to  $c[0, 1]$ .
11. We have reached the first row, so the process ends.

Reversing the elements we collected gives us the final result.

- **Longest Common Subsequence (LCS):** (1, 0, 1, 0, 1, 0)

## 5.5

---

### 1. Core Idea

The dynamic programming approach solves the 0-1 knapsack problem by breaking it down into smaller, overlapping subproblems. A table is constructed bottom-up to store the optimal solutions for all subproblems, where a subproblem is defined by considering a subset of items and a smaller knapsack capacity. The solution to a larger problem is then derived from the already computed solutions of its subproblems.

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### 2. State Definition

We define a two-dimensional array,  $dp[i][w]$ , to represent the state of a subproblem:

- $dp[i][w]$ : The maximum value that can be achieved using the first  $i$  items (from item 1 to item  $i$ ) with a maximum knapsack capacity of  $w$ .

Let  $n$  be the total number of items and  $w$  be the total capacity of the knapsack. Our goal is to find  $dp[n][w]$ .

---

### 3. State Transition Equation

When considering the  $i$ -th item (with value  $v_i$  and weight  $w_i$ ), we have two choices for each capacity  $w$ :

1. **Do not include item  $i$** : If we do not take the  $i$ -th item, the maximum value is simply the best we could achieve with the first  $i-1$  items and the same capacity  $w$ . The value would be  $dp[i-1][w]$ .
2. **Include item  $i$** : This is only possible if the weight of the item,  $w_i$ , does not exceed the current capacity  $w$  (i.e.,  $w_i \leq w$ ). If we take the item, its value  $v_i$  is added to the maximum value that could be achieved with the remaining capacity ( $w - w_i$ ) using the first  $i-1$  items. The value would be  $v_i + dp[i-1][w - w_i]$ .

The state transition equation combines these two choices by taking the maximum:

$$dp[i][w] = \begin{cases} dp[i-1][w] & \text{if } w_i > w \\ \max(dp[i-1][w], v_i + dp[i-1][w - w_i]) & \text{if } w_i \leq w \end{cases}$$

### 4. Table Construction

The dynamic programming table,  $dp$ , of size  $(n+1) \times (w+1)$  is constructed as follows:

1. **Initialization**: The first row and the first column are initialized to 0.  $dp[0][w] = 0$  for all  $w$  from 0 to  $w$  (no value if there are no items), and  $dp[i][0] = 0$  for all  $i$  from 0 to  $n$  (no value if knapsack capacity is 0).
2. **Iteration**: The table is filled iteratively. We loop from  $i = 1$  to  $n$  (for each item) and, within that, we loop from  $w = 1$  to  $w$  (for each capacity). In each step,  $dp[i][w]$  is computed using the state transition equation.
3. **Result**: The final answer, which is the maximum possible value, is located at  $dp[n][w]$ .

This algorithm has a time complexity of  $O(n \cdot W)$  because it involves filling an  $n \times w$  table, and each entry's computation takes constant time.

## 5.6

```
import java.util.ArrayList;
import java.util.List;
import java.util.concurrent.ThreadLocalRandom;

/**
 * Main class to execute the Genetic Algorithm as described in Question 5.3.
 * It handles selection, crossover, mutation, and evaluation.
 */
public class GeneticAlgorithm {

    private static final double CROSSOVER_PROBABILITY = 0.5; //
```



```

private static final double MUTATION_PROBABILITY = 0.02; //
private static final int POPULATION_SIZE = 5;

public static void main(String[] args) {
    // 1. INITIAL POPULATION
    List<Individual> initialPopulation = new ArrayList<>();
    initialPopulation.add(new Individual("10111"));
    initialPopulation.add(new Individual("00111"));
    initialPopulation.add(new Individual("11000"));
    initialPopulation.add(new Individual("01010"));
    initialPopulation.add(new Individual("11101"));

    System.out.println("--- Initial Population ---");
    printPopulationDetails(initialPopulation);

    // 2. SELECTION (Roulette wheel)
    List<Individual> matingPool = performSelection(initialPopulation);
    System.out.println("\n--- Mating Pool (from Roulette wheel Selection) ---");
    //
    for (int i = 0; i < matingPool.size(); i++) {
        System.out.printf("Mating Pool Slot %d: %s\n", i + 1,
matingPool.get(i));
    }

    // 3. CROSSOVER
    List<Individual> newPopulation = performCrossover(matingPool);
    System.out.println("\n--- New Population (After Crossover) ---"); //
    printPopulationDetails(newPopulation);

    // 4. MUTATION
    for (Individual individual : newPopulation) {
        individual.mutate(MUTATION_PROBABILITY);
    }
    System.out.println("\n--- Final Population (After Mutation) ---"); //
    printPopulationDetails(newPopulation);

    // 5. FINAL EVALUATION & COMPARISON
    System.out.println("\n--- Fitness Comparison ---");
    double initialAvgFitness = calculateAverageFitness(initialPopulation);
    double finalAvgFitness = calculateAverageFitness(newPopulation);
    System.out.printf("Initial Average Fitness: %.2f\n", initialAvgFitness);
    System.out.printf("Final Average Fitness:   %.2f\n", finalAvgFitness);

    if (finalAvgFitness > initialAvgFitness) {
        System.out.println("Conclusion: The average fitness has improved.");
    } else if (finalAvgFitness < initialAvgFitness) {
        System.out.println("Conclusion: The average fitness has not
improved.");
    } else {
        System.out.println("Conclusion: The average fitness remained the
same.");
    }
}

/**
 * Performs Roulette wheel Selection to create a mating pool.

```

```

    */
    public static List<Individual> performSelection(List<Individual> population)
    {
        double totalFitness = 0;
        for (Individual individual : population) {
            totalFitness += individual.getFitness();
        }

        System.out.printf("\nTotal Fitness of Initial Population: %.2f\n\n",
totalFitness); //
        System.out.println("--- Probabilities for Selection ---"); //
        System.out.println("String No. | Fi      | Probability | Cumulative
Probability");
        System.out.println("-----|-----|-----|-----
-----");

        double cumulativeProb = 0;
        for (int i = 0; i < population.size(); i++) {
            Individual ind = population.get(i);
            ind.selectionProbability = ind.getFitness() / totalFitness;
            cumulativeProb += ind.selectionProbability;
            ind.cumulativeProbability = cumulativeProb;
            System.out.printf("%-10d | %-5.2f | %-11.2f | %.2f\n",
                i + 1, ind.getFitness(), ind.selectionProbability,
ind.cumulativeProbability);
        }

        // Generate mating pool with replacement
        List<Individual> matingPool = new ArrayList<>();
        for (int i = 0; i < POPULATION_SIZE; i++) {
            double random = ThreadLocalRandom.current().nextDouble();
            for (Individual individual : population) {
                if (random <= individual.cumulativeProbability) {
                    // Add a copy to avoid modifying original population
individuals
                        matingPool.add(new Individual(individual));
                        break;
                }
            }
        }
        return matingPool;
    }

    /**
     * Performs single-point crossover on the mating pool.
     */
    public static List<Individual> performCrossover(List<Individual> matingPool)
    {
        List<Individual> newPopulation = new ArrayList<>();

        // Pair individuals: (1,2), (3,4), (5,1) as per the example
        int[][] pairs = {{0, 1}, {2, 3}, {4, 0}};

        for (int[] pair : pairs) {
            Individual parent1 = matingPool.get(pair[0]);
            Individual parent2 = matingPool.get(pair[1]);

```

```

        Individual offspring1 = new Individual(parent1);
        Individual offspring2 = new Individual(parent2);

        // Check if crossover occurs with a 0.5 probability
        if (ThreadLocalRandom.current().nextDouble() <=
CROSSOVER_PROBABILITY) {
            // Choose a random crossover point between 1 and 4
            int crossoverPoint = ThreadLocalRandom.current().nextInt(1, 5);

            String p1_part1 = parent1.getBinaryString().substring(0,
crossoverPoint);
            String p1_part2 =
parent1.getBinaryString().substring(crossoverPoint);
            String p2_part1 = parent2.getBinaryString().substring(0,
crossoverPoint);
            String p2_part2 =
parent2.getBinaryString().substring(crossoverPoint);

            offspring1 = new Individual(p1_part1 + p2_part2);
            offspring2 = new Individual(p2_part1 + p1_part2);
        }
        // If crossover does not occur, offspring are copies of parents

        newPopulation.add(offspring1);
        newPopulation.add(offspring2);
    }

    // The pairing produces 6 offspring. We truncate to the required
population size of 5.
    return new ArrayList<>(newPopulation.subList(0, POPULATION_SIZE));
}

/**
 * Helper function to print details of a population.
 */
public static void printPopulationDetails(List<Individual> population) {
    for (int i = 0; i < population.size(); i++) {
        System.out.printf("Individual %d: %s\n", i + 1, population.get(i));
    }
}

/**
 * Helper function to calculate the average fitness of a population.
 */
public static double calculateAverageFitness(List<Individual> population) {
    if (population.isEmpty()) {
        return 0;
    }
    double totalFitness = 0;
    for (Individual individual : population) {
        totalFitness += individual.getFitness();
    }
    return totalFitness / population.size();
}
}

```

```

import java.util.concurrent.ThreadLocalRandom;

/**
 * Represents a single individual in the population for the Genetic Algorithm.
 * It holds the binary string representation, its decimal equivalent, and its
 * fitness score.
 */
public class Individual {
    private String binaryString;
    private int decimalValue;
    private double fitness;
    public double selectionProbability;
    public double cumulativeProbability;

    /**
     * Constructor for creating an Individual.
     * @param binaryString The 5-bit binary string for this individual.
     */
    public Individual(String binaryString) {
        this.binaryString = binaryString;
        this.calculateDecimalValue();
        this.calculateFitness(); // Fitness is calculated as  $F_i = 100/n$ 
    }

    /**
     * Copy constructor to create a new Individual instance from another.
     */
    public Individual(Individual source) {
        this.binaryString = source.binaryString;
        this.decimalValue = source.decimalValue;
        this.fitness = source.fitness;
        this.selectionProbability = source.selectionProbability;
        this.cumulativeProbability = source.cumulativeProbability;
    }

    /**
     * Calculates the decimal value 'n' from the binary string.
     */
    public void calculateDecimalValue() {
        // Handles cases where the binary string might be invalid after mutation
        try {
            this.decimalValue = Integer.parseInt(this.binaryString, 2);
        } catch (NumberFormatException e) {
            this.decimalValue = 0; // Assign a default/error value
        }
    }

    /**
     * Calculates the fitness  $F_i = 100/n$  for the individual.
     * If the decimal value is 0, fitness is set to 0 to avoid division by zero.
     */
    public void calculateFitness() {
        if (this.decimalValue == 0) {
            this.fitness = 0;
        }
    }
}

```

```

        } else {
            this.fitness = 100.0 / this.decimalValue;
        }
    }

    /**
     * Mutates the individual's binary string based on a given probability.
     * For each bit, it flips the value (0 to 1 or 1 to 0) if a random
     * number is less than or equal to the mutation rate.
     * @param mutationRate The probability of a single bit mutating.
     */
    public void mutate(double mutationRate) {
        StringBuilder mutatedString = new StringBuilder(this.binaryString);
        for (int i = 0; i < mutatedString.length(); i++) {
            if (ThreadLocalRandom.current().nextDouble() <= mutationRate) {
                mutatedString.setCharAt(i, mutatedString.charAt(i) == '0' ? '1' :
'0');
            }
        }
        this.binaryString = mutatedString.toString();
        // Recalculate values after mutation
        this.calculateDecimalValue();
        this.calculateFitness();
    }

    // Standard getters
    public String getBinaryString() {
        return binaryString;
    }

    public int getDecimalValue() {
        return decimalValue;
    }

    public double getFitness() {
        return fitness;
    }

    @Override
    public String toString() {
        return String.format("String: %s, n: %2d, Fitness (Fi): %.2f",
            binaryString, decimalValue, fitness);
    }
}

```

```
C:\Users\LENOVO\jdk\corretto-17.0.9\bin\java.exe "-javaagent:D:\Program Files\JetBrains\IntelliJ IDEA 2025.1.2\lib\idea_rt.
--- Initial Population ---
Individual 1: String: 10111, n: 23, Fitness (Fi): 4.35
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 11000, n: 24, Fitness (Fi): 4.17
Individual 4: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 5: String: 11101, n: 29, Fitness (Fi): 3.45

Total Fitness of Initial Population: 36.25

--- Probabilities for Selection ---
String No. | Fi | Probability | Cumulative Probability
-----|-----|-----|-----
1 | 4.35 | 0.12 | 0.12
2 | 14.29 | 0.39 | 0.51
3 | 4.17 | 0.11 | 0.63
4 | 10.00 | 0.28 | 0.90
5 | 3.45 | 0.10 | 1.00

--- Mating Pool (from Roulette Wheel Selection) ---
Mating Pool Slot 1: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 2: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 3: String: 01010, n: 10, Fitness (Fi): 10.00
Mating Pool Slot 4: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- New Population (After Crossover) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- Final Population (After Mutation) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
```

#### --- New Population (After Crossover) ---

```
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00
```

#### --- Final Population (After Mutation) ---

```
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00
```

#### --- Fitness Comparison ---

```
Initial Average Fitness: 7.25
Final Average Fitness: 12.57
Conclusion: The average fitness has improved.
```

Process finished with exit code 0