

CA5

5.1

1. Insert 1
1 (B) \rightarrow Black
 \rightarrow R \rightarrow red

2. Insert 2

1 (B)
↓
2 (R)

3. Insert 3

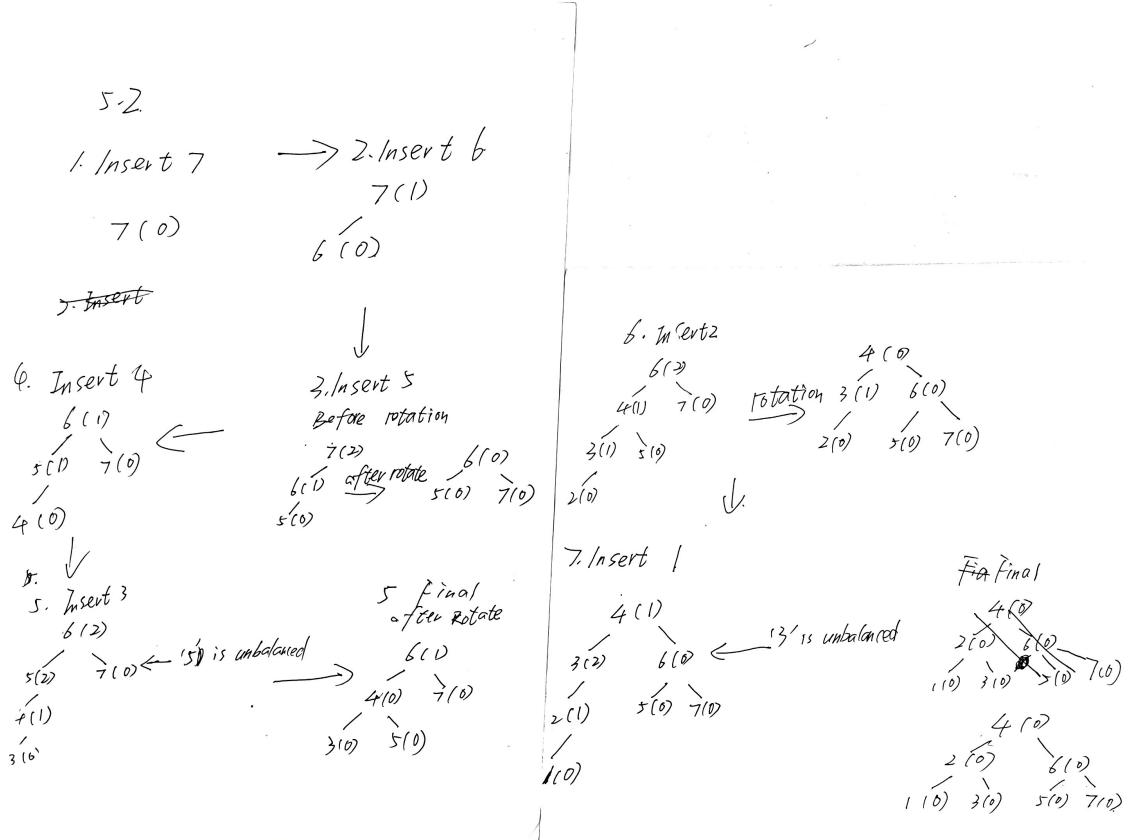
2 (B)
↓
1 (R) 3 (R)

4. Insert 4

2 (B)
↓
1 (B) 3 (B)
↓
4 (R)

Insert 5
2 (B)
↓
1 (B) 4 (B)
↓
3 (R) 5 (R)

5.2



5.3

1. Initial Population Fitness and Selection Probabilities

First, we calculate the total fitness and the probabilities for the initial population specified in the assignment1. The fitness function is $F_i = 100/n_i$.

- **Total Fitness Calculation:** Total Fitness = $4.35 + 14.29 + 4.17 + 10.00 + 3.45 = 36.26$

The completed table is as follows3:

String No.	String	n	$F_i = 100/n$	Probability ($F_i/36.26$)	Cumulative Probability
1	10111	23	4.35	0.120	0.120
2	00111	7	14.29	0.394	0.514

String No.	String	n	$F_i=100/n$	Probability ($F_i/36.26$)	Cumulative Probability
3	11000	24	4.17	0.115	0.629
4	01010	10	10.00	0.276	0.905
5	11101	29	3.45	0.095	1.000

2. Selection: Creating the Mating Pool

We generate 5 random numbers to simulate the roulette wheel selection 4 to create a mating pool of 5.

Spin	Random Number (Generated)	Selected Individual (based on Cumulative Probability)
1	0.813	String 4 (01010)
2	0.134	String 2 (00111)
3	0.495	String 2 (00111)
4	0.952	String 5 (11101)
5	0.076	String 1 (10111)

- The Mating Pool is
 - 01010 (from String 4)
 - 00111 (from String 2)
 - 00111 (from String 2)
 - 11101 (from String 5)
 - 10111 (from String 1)
-

3. Crossover Operations

We pair individuals (1,2), (3,4), and (5,1) from the mating pool 6 and perform single-point crossover with a probability of 0.57.

- Pair 1: (Individual 1: 01010, Individual 2: 00111)**
 - Random number for crossover check: 0.31 (≤ 0.5), so **Crossover Occurs**.
 - Random crossover point (1-4): 3.
 - Parents: 010|10 and 001|11
 - Offspring 1 & 2:** 01011 and 00110
- Pair 2: (Individual 3: 00111, Individual 4: 11101)**
 - Random number for crossover check: 0.74 (> 0.5), so **No Crossover**.

- Offspring 3 & 4

: 00111

and 11101

(direct copies) 8

- **Pair 3: (Individual 5: 10111, Individual 1: 01010)**

- Random number for crossover check: 0.45 (≤ 0.5), so **Crossover Occurs.**

- Random crossover point (1-4): 2.

- Parents: 10|111 and 01|010

- Offspring 5

: 10010

(The problem asks for a new population of 5, so we only need one offspring from the last pair)9

- **New Population (Before Mutation)10:**

1. 01011

2. 00110

3. 00111

4. 11101

5. 10010

4. Mutation

Each of the 25 bits has a 0.02 probability of flipping11. We simulate this process and assume **one mutation occurs.**

- **Simulation:** The 8th bit (Individual 2, 3rd bit) is chosen for mutation.

- Individual 2 before mutation: 00110

- Individual 2 **after mutation:** 00010

- **Population after Mutation**

1. 01011

2. 00010

3. 00111

4. 11101

5. 10010

5. Final Evaluation and Comparison

We calculate the fitness for each individual in the new, mutated population to evaluate its performance. 1

New Individual String	n (decimal)	Fitness ($F_i=100/n$)
01011	11	9.09
00010	2	50.00
00111	7	14.29
11101	29	3.45
10010	18	5.56

Now, we compare the total and average fitness of the new population against the initial one. 2

- **New Population Stats:**

- **Total Fitness:** $9.09+50.00+14.29+3.45+5.56=82.39$
- **Average Fitness:** $82.39 \div 5 = 16.48$

- **Initial Population Stats:**

- **Total Fitness:** 36.26
- **Average Fitness:** $36.26 \div 5 = 7.25$

Conclusion: Yes, the average fitness has improved significantly, increasing from **7.25** to **16.48**. The genetic algorithm successfully evolved the population towards better solutions.

- Fitness Comparison

- **Initial Population:** Total Fitness = 36.26, Average Fitness = $36.26 / 5 = \mathbf{7.25}$
- **New Population:** Total Fitness = 82.39, Average Fitness = $82.39 / 5 = \mathbf{16.48}$

Conclusion: Yes, the average fitness has significantly improved from 7.25 to 16.48. This is mainly due to the discovery of the highly fit individual **00010** (with n=2) through mutation.

5.4

1. Algorithmic Approach

To solve this, we use **dynamic programming**. The core of this method is to build a 2D table, c , where $c[i][j]$ stores the length of the longest common subsequence between the first i elements of sequence X and the first j elements of sequence Y . We also use arrows (\nwarrow , \uparrow , \leftarrow) to trace the path back to reconstruct the actual subsequence.

The rules for filling the table are:

- If $x[i]$ and $y[j]$ match, the length increases, and we follow the diagonal arrow \nwarrow .
- If they do not match, we take the maximum length from the cell above or the cell to the left, and follow the corresponding arrow \uparrow or \leftarrow .

2. Dynamic Programming Table

The two sequences are:

- $X: (1, 0, 0, 1, 0, 1, 0, 1)$
- $Y: (0, 1, 0, 1, 1, 0, 1, 1, 0)$

The resulting $(8+1) \times (9+1)$ table is shown below. The value in the bottom-right cell, **6**, is the length of the LCS.

	$j=0$	1	2	3	4	5	6	7	8	9
	$Y:$ 0	1	0	1	1	0	1	1	0	0
$i=0$	0	0	0	0	0	0	0	0	0	0
1 $x:1$	0	$\uparrow 0$ 1	\nwarrow 1	$\leftarrow 1$ 1	\nwarrow 1	$\leftarrow 1$ 1	$\leftarrow 1$ 1	\nwarrow 1	$\leftarrow 1$ 1	$\leftarrow 1$ 1
2 $x:0$	0	\nwarrow 1	$\uparrow 1$ 1	\nwarrow 2	$\leftarrow 2$ 2	$\leftarrow 2$ 2	\nwarrow 2	$\uparrow 2$ 2	$\uparrow 2$ 2	\nwarrow 2
3 $x:0$	0	\nwarrow 1	$\uparrow 1$ 1	\nwarrow 2	$\uparrow 2$ 2	$\uparrow 2$ 2	\nwarrow 3	$\leftarrow 3$ 3	$\leftarrow 3$ 3	\nwarrow 3
4 $x:1$	0	$\uparrow 1$ 1	\nwarrow 2	$\uparrow 2$ 2	\nwarrow 3	$\leftarrow 3$ 3	$\uparrow 3$ 3	\nwarrow 4	$\leftarrow 4$ 4	$\uparrow 4$ 4
5 $x:0$	0	\nwarrow 1	$\uparrow 2$ 1	\nwarrow 3	$\uparrow 3$ 3	$\uparrow 3$ 3	\nwarrow 4	$\uparrow 4$ 4	$\uparrow 4$ 4	\nwarrow 5
6 $x:1$	0	$\uparrow 1$ 1	\nwarrow 2	$\uparrow 3$ 2	\nwarrow 4	$\uparrow 4$ 4	$\uparrow 4$ 4	\nwarrow 5	$\leftarrow 5$ 5	$\uparrow 5$ 5
7 $x:0$	0	\nwarrow 1	$\uparrow 2$ 1	\nwarrow 3	$\uparrow 4$ 3	$\uparrow 4$ 4	\nwarrow 5	$\uparrow 5$ 5	$\uparrow 5$ 5	\nwarrow 6
8 $x:1$	0	$\uparrow 1$ 1	\nwarrow 2	$\uparrow 3$ 2	\nwarrow 4	\nwarrow 5	$\uparrow 5$ 5	\nwarrow 6	$\leftarrow 6$ 6	$\uparrow 6$ 6

3. Backtracking to Find the LCS

We trace the arrows back from the bottom-right cell $c[8,9]$ to find the subsequence.

1. Start at $c[8,9]$: The arrow is \uparrow . Move up to $c[7,9]$.
2. $c[7,9]$: The arrow is \nwarrow . This means $x[7]$ (0) is part of the LCS. Move to $c[6,8]$.
3. $c[6,8]$: The arrow is \leftarrow . Move left to $c[6,7]$.
4. $c[6,7]$: The arrow is \nwarrow . This means $x[6]$ (1) is part of the LCS. Move to $c[5,6]$.
5. $c[5,6]$: The arrow is \nwarrow . This means $x[5]$ (0) is part of the LCS. Move to $c[4,5]$.
6. $c[4,5]$: The arrow is \leftarrow . Move left to $c[4,4]$.
7. $c[4,4]$: The arrow is \nwarrow . This means $x[4]$ (1) is part of the LCS. Move to $c[3,3]$.
8. $c[3,3]$: The arrow is \nwarrow . This means $x[3]$ (0) is part of the LCS. Move to $c[2,2]$.
9. $c[2,2]$: The arrow is \uparrow . Move up to $c[1,2]$.
10. $c[1,2]$: The arrow is \nwarrow . This means $x[1]$ (1) is part of the LCS. Move to $c[0,1]$.
11. We have reached the first row, so the process ends.

Reversing the elements we collected gives us the final result.

- **Longest Common Subsequence (LCS):** (1, 0, 1, 0, 1, 0)

5.5

1. Core Idea

The dynamic programming approach solves the 0-1 knapsack problem by breaking it down into smaller, overlapping subproblems. A table is constructed bottom-up to store the optimal solutions for all subproblems, where a subproblem is defined by considering a subset of items and a smaller knapsack capacity. The solution to a larger problem is then derived from the already computed solutions of its subproblems.

2. State Definition

We define a two-dimensional array, $dp[i][w]$, to represent the state of a subproblem:

- $dp[i][w]$: The maximum value that can be achieved using the first i items (from item 1 to item i) with a maximum knapsack capacity of w .

Let n be the total number of items and w be the total capacity of the knapsack. Our goal is to find $dp[n][w]$.

3. State Transition Equation

When considering the i -th item (with value v_i and weight w_i), we have two choices for each capacity w :

1. **Do not include item i :** If we do not take the i -th item, the maximum value is simply the best we could achieve with the first $i-1$ items and the same capacity w . The value would be $dp[i-1][w]$.
2. **Include item i :** This is only possible if the weight of the item, w_i , does not exceed the current capacity w (i.e., $w_i \leq w$). If we take the item, its value v_i is added to the maximum value that could be achieved with the remaining capacity ($w - w_i$) using the first $i-1$ items. The value would be $v_i + dp[i-1][w - w_i]$.

The state transition equation combines these two choices by taking the maximum:

$$dp[i][w] = \begin{cases} dp[i-1][w] & \text{if } w_i > w \\ \max(dp[i-1][w], v_i + dp[i-1][w - w_i]) & \text{if } w_i \leq w \end{cases}$$

4. Table Construction

The dynamic programming table, dp , of size $(n+1) \times (w+1)$ is constructed as follows:

1. **Initialization:** The first row and the first column are initialized to 0. $dp[0][w] = 0$ for all w from 0 to w (no value if there are no items), and $dp[i][0] = 0$ for all i from 0 to n (no value if knapsack capacity is 0).
2. **Iteration:** The table is filled iteratively. We loop from $i = 1$ to n (for each item) and, within that, we loop from $w = 1$ to w (for each capacity). In each step, $dp[i][w]$ is computed using the state transition equation.
3. **Result:** The final answer, which is the maximum possible value, is located at $dp[n][w]$.

This algorithm has a time complexity of $O(n \cdot W)$ because it involves filling an $n \times w$ table, and each entry's computation takes constant time.

5.6

```
import java.util.ArrayList;
import java.util.List;
import java.util.concurrent.ThreadLocalRandom;

/**
 * Main class to execute the Genetic Algorithm as described in Question 5.3.
 * It handles selection, crossover, mutation, and evaluation.
 */
public class GeneticAlgorithm {

    private static final double CROSSOVER_PROBABILITY = 0.5; //
```

```

private static final double MUTATION_PROBABILITY = 0.02; //
private static final int POPULATION_SIZE = 5;

public static void main(String[] args) {
    // 1. INITIAL POPULATION
    List<Individual> initialPopulation = new ArrayList<>();
    initialPopulation.add(new Individual("10111"));
    initialPopulation.add(new Individual("00111"));
    initialPopulation.add(new Individual("11000"));
    initialPopulation.add(new Individual("01010"));
    initialPopulation.add(new Individual("11101"));

    System.out.println("--- Initial Population ---");
    printPopulationDetails(initialPopulation);

    // 2. SELECTION (Roulette Wheel)
    List<Individual> matingPool = performSelection(initialPopulation);
    System.out.println("\n--- Mating Pool (from Roulette Wheel Selection) ---");
}); //
    for (int i = 0; i < matingPool.size(); i++) {
        System.out.printf("Mating Pool Slot %d: %s\n", i + 1,
matingPool.get(i));
    }

    // 3. Crossover
    List<Individual> newPopulation = performCrossover(matingPool);
    System.out.println("\n--- New Population (After Crossover) ---"); //
    printPopulationDetails(newPopulation);

    // 4. MUTATION
    for (Individual individual : newPopulation) {
        individual.mutate(MUTATION_PROBABILITY);
    }
    System.out.println("\n--- Final Population (After Mutation) ---"); //
    printPopulationDetails(newPopulation);

    // 5. FINAL EVALUATION & COMPARISON
    System.out.println("\n--- Fitness Comparison ---");
    double initialAvgFitness = calculateAverageFitness(initialPopulation);
    double finalAvgFitness = calculateAverageFitness(newPopulation);
    System.out.printf("Initial Average Fitness: %.2f\n", initialAvgFitness);
    System.out.printf("Final Average Fitness: %.2f\n", finalAvgFitness);

    if (finalAvgFitness > initialAvgFitness) {
        System.out.println("Conclusion: The average fitness has improved.");
    } else if (finalAvgFitness < initialAvgFitness) {
        System.out.println("Conclusion: The average fitness has not
improved.");
    } else {
        System.out.println("Conclusion: The average fitness remained the
same.");
    }
}

/**
 * Performs Roulette Wheel Selection to create a mating pool.

```

```

    */
    public static List<Individual> performSelection(List<Individual> population)
    {
        double totalFitness = 0;
        for (Individual individual : population) {
            totalFitness += individual.getFitness();
        }

        System.out.printf("\nTotal Fitness of Initial Population: %.2f\n\n",
totalFitness); //
        System.out.println("--- Probabilities for Selection ---"); //
        System.out.println("String No. | Fi | Probability | Cumulative
Probability");
        System.out.println("-----|-----|-----|-----");
        System.out.println("----");

        double cumulativeProb = 0;
        for (int i = 0; i < population.size(); i++) {
            Individual ind = population.get(i);
            ind.selectionProbability = ind.getFitness() / totalFitness;
            cumulativeProb += ind.selectionProbability;
            ind.cumulativeProbability = cumulativeProb;
            System.out.printf("%-10d | %-5.2f | %-11.2f | %.2f\n",
                i + 1, ind.getFitness(), ind.selectionProbability,
                ind.cumulativeProbability);
        }

        // Generate mating pool with replacement
        List<Individual> matingPool = new ArrayList<>();
        for (int i = 0; i < POPULATION_SIZE; i++) {
            double random = ThreadLocalRandom.current().nextDouble();
            for (Individual individual : population) {
                if (random <= individual.cumulativeProbability) {
                    // Add a copy to avoid modifying original population
                    individuals
                    matingPool.add(new Individual(individual));
                    break;
                }
            }
        }
        return matingPool;
    }

    /**
     * Performs single-point crossover on the mating pool.
     */
    public static List<Individual> performCrossover(List<Individual> matingPool)
    {
        List<Individual> newPopulation = new ArrayList<>();

        // Pair individuals: (1,2), (3,4), (5,1) as per the example
        int[][] pairs = {{0, 1}, {2, 3}, {4, 0}};

        for (int[] pair : pairs) {
            Individual parent1 = matingPool.get(pair[0]);
            Individual parent2 = matingPool.get(pair[1]);

```

```

        Individual offspring1 = new Individual(parent1);
        Individual offspring2 = new Individual(parent2);

        // Check if crossover occurs with a 0.5 probability
        if (ThreadLocalRandom.current().nextDouble() <=
CROSSOVER_PROBABILITY) {
            // Choose a random crossover point between 1 and 4
            int crossoverPoint = ThreadLocalRandom.current().nextInt(1, 5);

            String p1_part1 = parent1.getBinaryString().substring(0,
crossoverPoint);
            String p1_part2 =
parent1.getBinaryString().substring(crossoverPoint);
            String p2_part1 = parent2.getBinaryString().substring(0,
crossoverPoint);
            String p2_part2 =
parent2.getBinaryString().substring(crossoverPoint);

            offspring1 = new Individual(p1_part1 + p2_part2);
            offspring2 = new Individual(p2_part1 + p1_part2);
        }
        // If crossover does not occur, offspring are copies of parents

        newPopulation.add(offspring1);
        newPopulation.add(offspring2);
    }

    // The pairing produces 6 offspring. We truncate to the required
population size of 5.
    return new ArrayList<>(newPopulation.subList(0, POPULATION_SIZE));
}

/**
 * Helper function to print details of a population.
 */
public static void printPopulationDetails(List<Individual> population) {
    for (int i = 0; i < population.size(); i++) {
        System.out.printf("Individual %d: %s\n", i + 1, population.get(i));
    }
}

/**
 * Helper function to calculate the average fitness of a population.
 */
public static double calculateAverageFitness(List<Individual> population) {
    if (population.isEmpty()) {
        return 0;
    }
    double totalFitness = 0;
    for (Individual individual : population) {
        totalFitness += individual.getFitness();
    }
    return totalFitness / population.size();
}
}

```

```

import java.util.concurrent.ThreadLocalRandom;

/**
 * Represents a single individual in the population for the Genetic Algorithm.
 * It holds the binary string representation, its decimal equivalent, and its
fitness score.
 */
public class Individual {
    private String binaryString;
    private int decimalValue;
    private double fitness;
    public double selectionProbability;
    public double cumulativeProbability;

    /**
     * Constructor for creating an Individual.
     * @param binaryString The 5-bit binary string for this individual.
     */
    public Individual(String binaryString) {
        this.binaryString = binaryString;
        this.calculateDecimalValue();
        this.calculateFitness(); // Fitness is calculated as  $F_i = 100/n$ 
    }

    /**
     * Copy constructor to create a new Individual instance from another.
     */
    public Individual(Individual source) {
        this.binaryString = source.binaryString;
        this.decimalValue = source.decimalValue;
        this.fitness = source.fitness;
        this.selectionProbability = source.selectionProbability;
        this.cumulativeProbability = source.cumulativeProbability;
    }

    /**
     * Calculates the decimal value 'n' from the binary string.
     */
    public void calculateDecimalValue() {
        // Handles cases where the binary string might be invalid after mutation
        try {
            this.decimalValue = Integer.parseInt(this.binaryString, 2);
        } catch (NumberFormatException e) {
            this.decimalValue = 0; // Assign a default/error value
        }
    }

    /**
     * Calculates the fitness  $F_i = 100/n$  for the individual.
     * If the decimal value is 0, fitness is set to 0 to avoid division by zero.
     */
    public void calculateFitness() {
        if (this.decimalValue == 0) {
            this.fitness = 0;
        }
    }
}

```

```

        } else {
            this.fitness = 100.0 / this.decimalvalue;
        }
    }

/***
 * Mutates the individual's binary string based on a given probability.
 * For each bit, it flips the value (0 to 1 or 1 to 0) if a random
 * number is less than or equal to the mutation rate.
 * @param mutationRate The probability of a single bit mutating.
 */
public void mutate(double mutationRate) {
    StringBuilder mutatedString = new StringBuilder(this.binaryString);
    for (int i = 0; i < mutatedString.length(); i++) {
        if (ThreadLocalRandom.current().nextDouble() <= mutationRate) {
            mutatedString.setCharAt(i, mutatedString.charAt(i) == '0' ? '1' :
'0');
        }
    }
    this.binaryString = mutatedString.toString();
    // Recalculate values after mutation
    this.calculateDecimalValue();
    this.calculateFitness();
}

// Standard getters
public String getBinaryString() {
    return binaryString;
}

public int getDecimalValue() {
    return decimalvalue;
}

public double getFitness() {
    return fitness;
}

@Override
public String toString() {
    return String.format("String: %s, n: %2d, Fitness (Fi): %.2f",
        binaryString, decimalvalue, fitness);
}
}

```

```

C:\Users\LENOVO\.jdks\corretto-17.0.9\bin\java.exe "-javaagent:D:\Program Files\JetBrains\IntelliJ IDEA 2025.1.2\lib\idea_rt.jar"
--- Initial Population ---
Individual 1: String: 10111, n: 23, Fitness (Fi): 4.35
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 11000, n: 24, Fitness (Fi): 4.17
Individual 4: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 5: String: 11101, n: 29, Fitness (Fi): 3.45

Total Fitness of Initial Population: 36.25

--- Probabilities for Selection ---
String No. | Fi     | Probability | Cumulative Probability
-----|-----|-----|-----
1   | 4.35  | 0.12      | 0.12
2   | 14.29 | 0.39      | 0.51
3   | 4.17   | 0.11      | 0.63
4   | 10.00  | 0.28      | 0.90
5   | 3.45   | 0.10      | 1.00

--- Mating Pool (from Roulette Wheel Selection) ---
Mating Pool Slot 1: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 2: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 3: String: 01010, n: 10, Fitness (Fi): 10.00
Mating Pool Slot 4: String: 00111, n: 7, Fitness (Fi): 14.29
Mating Pool Slot 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- New Population (After Crossover) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- Final Population (After Mutation) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00

--- New Population (After Crossover) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- Final Population (After Mutation) ---
Individual 1: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 2: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 3: String: 01010, n: 10, Fitness (Fi): 10.00
Individual 4: String: 00111, n: 7, Fitness (Fi): 14.29
Individual 5: String: 01010, n: 10, Fitness (Fi): 10.00

--- Fitness Comparison ---
Initial Average Fitness: 7.25
Final Average Fitness: 12.57
Conclusion: The average fitness has improved.

Process finished with exit code 0

```