Appendix 1. Mathematics of AES steps

- 1) Galois' Field multiplication rule in AES MixColumns step
- 2) Calculation of elements of SBOX table in Substitute Bytes using GF(2^8) mathematics
- 1) MixColumn Step can be presented with the following short notation.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

To use the above formula we need to know a) how matrix product is calculated and b) the multiplication rule of Galois' Fields.

Example. Calculate element b₁₁ of matrix B, when A is given as below.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} 95 & 90 & 85 & \mathbf{C3} \\ 65 & \mathbf{FB} & 67 & \mathbf{C9} \\ \mathbf{F8} & \mathbf{B1} & \mathbf{A6} & \mathbf{6E} \\ \mathbf{F3} & 97 & 7\mathbf{B} & \mathbf{FF} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

1. Matrix Multiplication:

According to the rule of matrix multiplication b_{11} is the dot product of first row of the matrix of the first matrix and the first column of the second matrix of the product. Thus we can write

$$b_{11} = 2 \otimes 95 + 3 \otimes 65 + 1 \otimes F8 + 1 \otimes F3$$

2. Calculation of the products and their sum

Operation \otimes is Galois' field multiplication for which we use polynomial presentations:

The numbers of the first matrix have short polynomial presentations $2 = 10_2 = x$, $3 = 11_2 = x + 1$, $1 = 01_2 = 1$

The bytes of the second matrix have following polynomial presentations:

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\begin{array}{l} 95 = 1001\ 0101_2 = x^7 + x^4 + x^2 + 1 \\ 65 = 0110\ 0101_2 = x^6 + x^5 + x^2 + 1 \\ F8 = 1111\ 1000_2 = x^7 + x^6 + x^5 + x^4 + x^3 \\ F3 = 1111\ 0011_2 = x^7 + x^6 + x^5 + x^4 + x + 1 \end{array}
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Now we are able to calculate the products:

$$2 \otimes 95 = x (x^7 + x^4 + x^2 + 1) = x^8 + x^5 + x^3 + x$$

Because the degree > 7 , we reduce the polynomial by adding the modulus of GF(2\land) $x^8 + x^4 + x^3 + x + 1$
 $(x^8 + x^5 + x^3 + x) + (x^8 + x^4 + x^3 + x + 1) = x^5 + x^4 + 1 = 00110001$ (underlined powers appear twice \Rightarrow even coefficient 2 equals 0)

$$3 \otimes 65 = (x+1)(x^6 + x^5 + x^2 + 1) = (x^7 + \underline{x^6} + x^3 + x) + (\underline{x^6} + x^5 + x^2 + 1) = x^7 + x^5 + x^3 + x^2 + x + 1 = 1010 1111$$

 $1 \otimes F8 = F8 = x^7 + x^6 + x^5 + x^4 + x^3 = 1111 1000$
 $1 \otimes F3 = F3 = x^7 + x^6 + x^5 + x^4 + x + 1 = 1111 0011$

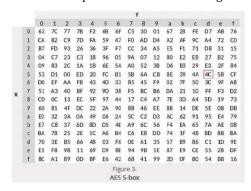
Finally we add the four products together using XOR addition:

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0011 0001
1010 1111
1111 1000
1111 0011
1001 0101 = 95<sub>16</sub>
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Result: Elemenf $b_{11} = 95$

After this appendix there is a (1p) bonus problem, where you are required to calculate byte B22 of the previous example.

AES SBOX is presented as the following table (Ch.2 slide 27), which shows the image byte of byte XY.



The table values are calculated using the following matrix formula.

$$\begin{bmatrix} y8\\y7\\y6\\y5\\y4\\y3\\y2\\y1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1\\1 & 1 & 0 & 0 & 0 & 1 & 1 & 1\\1 & 1 & 1 & 0 & 0 & 0 & 1 & 1\\1 & 1 & 1 & 1 & 0 & 0 & 0 & 1\\1 & 1 & 1 & 1 & 1 & 0 & 0 & 0\\0 & 1 & 1 & 1 & 1 & 1 & 0\\0 & 0 & 1 & 1 & 1 & 1 & 1\\0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} b8\\b7\\b6\\b5\\b4\\b3\\b2\\b1 \end{bmatrix} + \begin{bmatrix} 0\\1\\0\\0\\0\\0\\0\\0\\0 \end{bmatrix}$$

Byte $B=b_1...b_8$ is the <u>multiplicative inverse</u> *) in $GF(2^8)$ of the input byte XY. B is written least significant bit at the top.

Byte $Y = y_1...y_8$ is the image of the input byte (also upside-down)

The rows of the square matrix are obtained by rotations of first row. All additions are XOR additions.

Example: Calculation of the image byte of 69₁₆.

Step1: Input 69 is in binary form 0110 1001. We need its multiplicative inverse, which is difficult to do manually. The method is based on ExtendedGCD algorithm for polynomials. WolframAlpha command PolynomialExtendedGCD[x^6+x^5+x^3+1,x^8+x^4+x^3+x+1,Modulus \rightarrow 2] gives linear combination in form {1, { $\frac{1+x+x^2+x^6}{2}$, $x+x^3+x^4$ }, where the underlined polynomial x^6+x^2+x+1 is the required inverse. In WolframAlpha we can calculate the inverse also with another command PolynomialMod[[v^6+x^5+x^3+1)^254, x^8+x^4+x^3+x+1],2] *) giving x^6+x^2+x+1 . Answer in binary form is 0100 0111.

Step2 We need calculator which is able to do matrix operations (even Excel will do)

Step3. Reducing the result to binary numbers using rule even $\rightarrow 0$, odd $\rightarrow 1$ and reading it from bottom to up we get 11111001 = F9. By checking the answer from the SBOX table we see that the result matches.

Notice, that **AES** uses the precalculated SBOX table. The matrix product is not needed after the table is formed. The elements of SBOX is a permutation of all 256 bytes of $GF(2^8)$.

The inverse permutation is a table, which is used in AES decryption.

*) The **multiplicative inverse of polynomial p(x)** in GF(2^8) is a polynomial $p^{-1}(x)$, for which $p(x)*p^{-1}(x) \mod q(x) = 1$, where q(x) is the modulus $x^8 + x^4 + x^3 + x + 1$ used in AES.

Because GF(2⁸) is an Abelian group with 255 elements, we have $p(x)^255 = 1 \mod q(x)$. On the other hand $p(x)^*p^{-1}(x) = 1 \mod q(x)$, which leads to formula $p(x)^{-1} = p(x)^254 \mod q(x)$.