

## Appendix 1. Mathematics of AES steps

### 1) Galois' Field multiplication rule in AES MixColumns step

### 2) Calculation of elements of SBOX table in Substitute Bytes using GF(2<sup>8</sup>) mathematics

**1) MixColumn Step** can be presented with the following short notation.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

To use the above formula we need to know a) how matrix product is calculated and b) the multiplication rule of Galois' Fields.

Example. Calculate element  $b_{11}$  of matrix B, when A is given as below.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} 95 & 90 & 85 & C3 \\ 65 & FB & 67 & C9 \\ F8 & B1 & A6 & 6E \\ F3 & 97 & 7B & FF \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

#### 1. Matrix Multiplication:

According to the rule of matrix multiplication  $b_{11}$  is the dot product of first row of the matrix of the first matrix and the first column of the second matrix of the product. Thus we can write

$$b_{11} = 2 \otimes 95 + 3 \otimes 65 + 1 \otimes F8 + 1 \otimes F3$$

#### 2. Calculation of the products and their sum

Operation  $\otimes$  is Galois' field multiplication for which we use polynomial presentations:

The numbers of the first matrix have short polynomial presentations  $2 = 10_2 = x$ ,  $3 = 11_2 = x + 1$ ,  $1 = 01_2 = 1$

The bytes of the second matrix have following polynomial presentations:

$$95 = 1001\ 0101_2 = x^7 + x^4 + x^2 + 1$$

$$65 = 0110\ 0101_2 = x^6 + x^5 + x^2 + 1$$

$$F8 = 1111\ 1000_2 = x^7 + x^6 + x^5 + x^4 + x^3$$

$$F3 = 1111\ 0011_2 = x^7 + x^6 + x^5 + x^4 + x + 1$$

Now we are able to calculate the products:

$$2 \otimes 95 = x(x^7 + x^4 + x^2 + 1) = x^8 + x^5 + x^3 + x$$

Because the degree  $> 7$ , we reduce the polynomial by adding the modulus of GF(2<sup>8</sup>)  $x^8 + x^4 + x^3 + x + 1$

$$(x^8 + x^5 + x^3 + x) + (x^8 + x^4 + x^3 + x + 1) = x^5 + x^4 + 1 = 00110001 \quad (\text{underlined powers appear twice} \Rightarrow \text{even coefficient 2 equals 0})$$

$$3 \otimes 65 = (x+1)(x^6 + x^5 + x^2 + 1) = (x^7 + x^6 + x^3 + x) + (x^6 + x^5 + x^2 + 1) = x^7 + x^5 + x^3 + x^2 + x + 1 = 1010\ 1111$$

$$1 \otimes F8 = F8 = x^7 + x^6 + x^5 + x^4 + x^3 = 1111\ 1000$$

$$1 \otimes F3 = F3 = x^7 + x^6 + x^5 + x^4 + x + 1 = 1111\ 0011$$

Finally we add the four products together using XOR addition:

$$0011\ 0001$$

$$1010\ 1111$$

$$1111\ 1000$$

$$\underline{1111\ 0011}$$

$$1001\ 0101 = 95_{16}$$

Result: Element  $b_{11} = 95$

**After this appendix there is a (1p) bonus problem, where you are required to calculate byte B22 of the previous example.**

## 2) Calculation of values of AES SBOX table

AES SBOX is presented as the following table (Ch.2 slide 27), which shows the image byte of byte XY.

		Y															
		0	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
X	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	38	D6	B3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	a	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	b	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	c	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	d	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
	e	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	f	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	BD	54	BB	16

Figure 3.  
AES S-box

The table values are calculated using the following matrix formula.

$$\begin{bmatrix} y_8 \\ y_7 \\ y_6 \\ y_5 \\ y_4 \\ y_3 \\ y_2 \\ y_1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} b_8 \\ b_7 \\ b_6 \\ b_5 \\ b_4 \\ b_3 \\ b_2 \\ b_1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

Byte  $B = b_1 \dots b_8$  is the multiplicative inverse \*) in  $GF(2^8)$  of the input byte XY. B is written least significant bit at the top.

Byte  $Y = y_1 \dots y_8$  is the image of the input byte (also upside-down)

The rows of the square matrix are obtained by rotations of first row. All additions are XOR additions.

### Example: Calculation of the image byte of 69<sub>16</sub>.

Step1: Input 69 is in binary form 0110 1001. We need its multiplicative inverse, which is difficult to do manually.

The method is based on ExtendedGCD algorithm for polynomials. WolframAlpha command

`PolynomialExtendedGCD[x^6+x^5+x^3+1,x^8+x^4+x^3+x+1,Modulus→2]` gives linear combination in form  $\{1, \{1 + x + x^2 + x^6, x + x^3 + x^4\}\}$ , where the underlined polynomial  $x^6 + x^2 + x + 1$  is the required inverse.

In WolframAlpha we can calculate the inverse also with another command

`PolynomialMod[PolynomialMod[(x^6+x^5+x^3+1)^254, x^8+x^4+x^3+x+1],2]` \*) giving  $x^6 + x^2 + x + 1$ .

Answer in binary form is 0100 0111.

Step2 We need calculator which is able to do matrix operations (even Excel will do)

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \text{ which gives } \begin{bmatrix} 3 \\ 4 \\ 4 \\ 3 \\ 3 \\ 3 \\ 3 \\ 1 \end{bmatrix}$$

Step3. Reducing the result to binary numbers using rule even  $\rightarrow 0$ , odd  $\rightarrow 1$

and reading it from bottom to up we get 11111001 = F9.

By checking the answer from the SBOX table we see that the result matches.

Notice, that **AES uses the precalculated SBOX** table. The matrix product is not needed after the table is formed. The elements of SBOX is a permutation of all 256 bytes of  $GF(2^8)$ .

The inverse permutation is a table, which is used in AES decryption.

\*) The **multiplicative inverse of polynomial  $p(x)$**  in  $GF(2^8)$  is a polynomial  $p^{-1}(x)$ , for which  $p(x) \cdot p^{-1}(x) \bmod q(x) = 1$ , where  $q(x)$  is the modulus  $x^8 + x^4 + x^3 + x + 1$  used in AES.

Because  $GF(2^8)$  is an Abelian group with 255 elements, we have  $p(x)^{255} = 1 \bmod q(x)$ . On the other hand  $p(x) \cdot p^{-1}(x) = 1 \bmod q(x)$ , which leads to formula  **$p(x)^{-1} = p(x)^{254} \bmod q(x)$** .

This formula was used in WolframAlpha command

`PolynomialMod[PolynomialMod[(x^6+x^5+x^3+1)^254, x^8+x^4+x^3+x+1],2]`