Appendix 1. Mathematics of AES

- 1) Galois' Field multiplication rule in MixColumns step
- 2) Calculation of elements in the SBOX table of Substitute Bytes step
- 1) MixColumn Step can be presented with the following short notation.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

To use the above formula we need to know a) how matrix product is calculated and b) the multiplication rule of Galois' Fields.

Example. Calculate element b₁₁ of matrix B, when matrix A is given as below.

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \otimes \begin{bmatrix} 95 & 90 & 85 & C3 \\ 65 & FB & 67 & C9 \\ F8 & B1 & A6 & 6E \\ F3 & 97 & 7B & FF \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

1. Matrix Multiplication:

The element b₁₁ of the product matrix can be written according to the matrix multiplication rule as follows:

$$b_{11} = 2 \otimes 95 + 3 \otimes 65 + 1 \otimes F8 + 1 \otimes F3$$

2. Calculation of the products and their sum

Operation \otimes is Galois' field multiplication for which we use polynomial representations:

The numbers of the first matrix have short polynomial representations $2 = 10_2 = x$, $3 = 11_2 = x + 1$, $1 = 01_2 = 1$

The bytes of the second matrix have following polynomial representations:

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\begin{array}{l} 95 = 1001\ 0101_2 = x^7 + x^4 + x^2 + 1 \\ 65 = 0110\ 0101_2 = x^6 + x^5 + x^2 + 1 \\ F8 = 1111\ 1000_2 = x^7 + x^6 + x^5 + x^4 + x^3 \\ F3 = 1111\ 0011_2 = x^7 + x^6 + x^5 + x^4 + x + 1 \end{array}
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Now we are able to calculate the products:

$$2 \otimes 95 = x (x^7 + x^4 + x^2 + 1) = x^8 + x^5 + x^3 + x$$

Because the degree > 7 , we reduce the polynomial by adding the modulus of GF(2 $^{\land}$) $x^8 + x^4 + x^3 + x + 1$

Execute the degree = 7, we reduce the polynomial by adding the modulus of $GF(2^3)(x^2+x^2+x^2+1)$ ($\underline{x}^8 + x^5 + \underline{x}^3 + \underline{x}) + (\underline{x}^8 + \underline$

$$3 \otimes 65 = (x+1)(x^6 + x^5 + x^2 + 1) = (x^7 + x^6 + x^3 + x) + (x^6 + x^5 + x^2 + 1) = x^7 + x^5 + x^3 + x^2 + x + 1 = 1010 1111$$

 $1 \otimes F8 = F8 = x^7 + x^6 + x^5 + x^4 + x^3 = 1111 1000$
 $1 \otimes F3 = F3 = x^7 + x^6 + x^5 + x^4 + x + 1 = 1111 0011$

Finally we add the four products together using XOR addition:

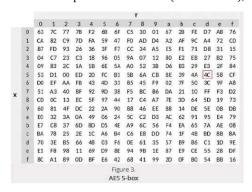
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0011 0001
1010 1111
1111 1000
1111 0011
1001 0101 = 95<sub>16</sub>
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Result: Element $b_{11} = 95$

After this appendix there is a (1p) bonus problem, where you are required to calculate byte B22 of the previous example.

2) Calculation of values of AES SBOX table

AES SBOX is presented as a table (Ch.2 slide 27), which includes the image bytes for all bytes XY.



The table values are calculated using the following matrix formula.

$$\begin{bmatrix} y8\\y7\\y6\\y5\\y4\\y3\\y2\\y1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1\\1 & 1 & 0 & 0 & 0 & 1 & 1 & 1\\1 & 1 & 1 & 0 & 0 & 0 & 1 & 1\\1 & 1 & 1 & 1 & 0 & 0 & 0 & 1\\1 & 1 & 1 & 1 & 1 & 0 & 0 & 0\\0 & 1 & 1 & 1 & 1 & 1 & 0\\0 & 0 & 1 & 1 & 1 & 1 & 1\\0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} b8\\b7\\b6\\b5\\b4\\b3\\b2\\b1 \end{bmatrix} + \begin{bmatrix} 0\\1\\0\\0\\0\\0\\0\\0\\0\end{bmatrix}$$

Byte $B=b_1...b_8$ is the <u>multiplicative inverse</u> *) in $GF(2^8)$ of the input byte XY. B is written least significant bit at the top.

Byte $Y = y_1...y_8$ is the image of the input byte (also upside-down)

The rows of the square matrix are obtained by rotations (cyclic permutations) of the first row. All additions are XOR additions.

Example: Calculation of the image byte of 69₁₆

Step1. Input 69 is in binary form 0110 1001. Its multiplicative inverse is slow to calculate manually. The method is based on ExtendedGCD algorithm for polynomials.

WolframAlpha command PolynomialExtendedGCD[$x^6+x^5+x^3+1$, $x^8+x^4+x^3+x+1$,Modulus \rightarrow 2] gives as output {1, { $1+x+x^2+x^6$, $x+x^3+x^4$ } containing gcd and polynomials t(x) and u(x) of the linear combination gdc = t(x) ($x^6+x^5+x^3+1$)+u(x) ($x^8+x^4+x^3+x+1$). The underlined polynomial x^6+x^2+x+1 is the required inverse.

In WolframAlpha, the inverse can also be calculated with another command PolynomialMod[PolynomialMod[$(x^6+x^5+x^3+1)^254$, $x^8+x^4+x^3+x+1$],2], which gives the same result x^6+x^2+x+1 . The answer is in byte form 01000111.

Step2. We need a calculator which is able to do matrix operations (even Excel can be used)

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 3 \\ 4 \\ 4 \\ 4 \\ 3 \\ 3 \\ 3 \\ 3 \\ 0 \\ 0 \end{bmatrix}$$
 which gives

Step3. Reducing the numbers of the resulting column matrix to binary numbers and reading it from bottom to up we get 11111001 = F9, which matches to the value in the SBOX table.

AES uses the precalculated SBOX table. The matrix formula is only needed when creating the table. The elements of SBOX form a permutation of all 256 bytes of $GF(2^8)$.

*) The **multiplicative inverse of polynomial p(x)** in GF(2^8) is a polynomial $p^{-1}(x)$, for which $p(x)*p^{-1}(x)$ mod q(x) = 1, where q(x) is the modulus $x^8 + x^4 + x^3 + x + 1$ used in AES.

Because the non-zero elements of GF(2^8) form a multiplicative Abelian group with 255 elements, we have $p(x)^255 = 1 \mod q(x)$. On the other hand $p(x)^*p^{-1}(x) = 1 \mod q(x)$, which leads to formula $p(x)^{-1} = p(x)^254 \mod q(x)$.