

# Weak forms for Bloch eigenproblems

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## 1 Notation

In this document, the following notation is observed:

$\omega$  temporal frequency

$\kappa$  wave number (spatial frequency)

$\iota$  (“iota”) the imaginary unit

$i, j, k, l, m$  subscripts indicating vector/tensor components

Bold symbols indicate vectors, e.g.  $\mathbf{x}$  is the position vector with components  $x_i$ .

## 2 Direct ( $\kappa(\omega)$ )

In the direct formulation (see Collet et al., 2011), we prescribe the temporal frequency  $\omega$  and wave direction  $\phi$  and compute the wavevector magnitudes as the eigenvalues. The periodic functions  $\tilde{u}(\mathbf{x})$  are the eigenfunctions.

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## 3 Indirect ( $\omega(\kappa)$ ), untransformed

In the untransformed indirect formulation, we prescribe the wavevector through Floquet-periodic boundary conditions and solve for the temporal frequencies  $\omega$  as eigenvalues. The Floquet-periodic displacement fields  $u(\mathbf{x})$  are the corresponding eigenfunctions.

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## 4 Indirect ( $\omega(\kappa)$ ), with Bloch transformation

In the transformed indirect formulation, the Bloch ansatz  $u(\mathbf{x}) = \tilde{u}(\mathbf{x})e^{-\iota\kappa\cdot\mathbf{x}}$  is used to transform the equations of motion in terms of the periodic function  $\tilde{u}(\mathbf{x})$ . The wavevector  $\kappa$  is prescribed through additional terms that arise in the strong form of the problem, and the temporal frequency  $\omega$  is solved as the eigenvalue. The periodic functions  $\tilde{u}(\mathbf{x})$  are the corresponding eigenfunctions.

## 4.1 Scalar Helmholtz equation

Eigenproblem:

$$\begin{aligned}
\nabla \cdot (\mathbf{E}(\mathbf{x}) \nabla w(\mathbf{x}, t)) &= \rho(\mathbf{x}) \ddot{w}(\mathbf{x}, t) \\
(E_{ij}(\mathbf{x}) w_{,j}(\mathbf{x}, t))_{,i} &= \rho(\mathbf{x}) \ddot{w}(\mathbf{x}, t) \\
w(\mathbf{x}, t) &= u(\mathbf{x}) e^{-i\omega t} \\
(E_{ij}(\mathbf{x}) u_{,j}(\mathbf{x}))_{,i} e^{-i\omega t} &= -\omega^2 \rho(\mathbf{x}) u(\mathbf{x}) e^{-i\omega t} \\
(E_{ij}(\mathbf{x}) u_{,j}(\mathbf{x}))_{,i} &= -\omega^2 \rho(\mathbf{x}) u(\mathbf{x})
\end{aligned} \tag{1}$$

Bloch transformation:

$$u(\mathbf{x}) = \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa} \cdot \mathbf{x}} \tag{3}$$

$$\begin{aligned}
(E_{ij}(\mathbf{x}) [\tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}}]_{,j})_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
(E_{ij}(\mathbf{x}) [\tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} + \tilde{u}(\mathbf{x}) (e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}})_{,j}])_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
(E_{ij}(\mathbf{x}) [\tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} + \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_m \cdot \mathbf{x}} (-i\boldsymbol{\kappa}_k \delta_{kj})])_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
(E_{ij}(\mathbf{x}) [\tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} - i\boldsymbol{\kappa}_j \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}}])_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
(E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} - iE_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}})_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
(E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}})_{,i} - i(E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}})_{,i} &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
([E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})]_{,i} e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} - i\boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}}) & \\
- i([E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})]_{,i} e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} - i\boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}}) &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) e^{-i\boldsymbol{\kappa}_k \cdot \mathbf{x}} \\
([E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})]_{,i} - i\boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})) & \\
- i([E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})]_{,i} - i\boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})) &= -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x})
\end{aligned} \tag{4}$$

### 4.1.1 Transformed strong forms

General case:

$$([E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})]_{,i} - \boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})) - i([E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})]_{,i} + \boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})) = -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) \tag{5}$$

Isotropic modulus, i.e.  $E_{ij}(\mathbf{x}) = E(\mathbf{x}) \delta_{ij}$ :

$$([E(\mathbf{x}) \tilde{u}_{,i}(\mathbf{x})]_{,i} - \boldsymbol{\kappa}_i E(\mathbf{x}) \boldsymbol{\kappa}_i \tilde{u}(\mathbf{x})) - i([E(\mathbf{x}) \boldsymbol{\kappa}_i \tilde{u}(\mathbf{x})]_{,i} + \boldsymbol{\kappa}_i E(\mathbf{x}) \tilde{u}_{,i}(\mathbf{x})) = -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) \tag{6}$$

Piecewise constant modulus, i.e.  $E_{ij,k}(\mathbf{x}) = 0$ :

$$(E_{ij}(\mathbf{x}) \tilde{u}_{,ji}(\mathbf{x}) - \boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}(\mathbf{x})) - i(E_{ij}(\mathbf{x}) \boldsymbol{\kappa}_j \tilde{u}_{,i}(\mathbf{x}) + \boldsymbol{\kappa}_i E_{ij}(\mathbf{x}) \tilde{u}_{,j}(\mathbf{x})) = -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) \tag{7}$$

Piecewise constant isotropic modulus:

$$E(\mathbf{x}) (\tilde{u}_{,ii}(\mathbf{x}) - \boldsymbol{\kappa}_i \boldsymbol{\kappa}_i \tilde{u}(\mathbf{x})) - iE(\mathbf{x}) (\boldsymbol{\kappa}_i \tilde{u}_{,i}(\mathbf{x}) + \boldsymbol{\kappa}_i \tilde{u}_{,i}(\mathbf{x})) = -\omega^2 \rho(\mathbf{x}) \tilde{u}(\mathbf{x}) \tag{8}$$