

# Classification of positive energy representations

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## Abstract

We will continue last week's discussion of Wassermann's paper *Operator Algebras and Conformal Field Theory III* by describing the classification of positive energy representations via the representation theory of  $SU(n)$ .

## 1 Introduction

Let  $G = SU(n)$  and let  $LG = C^\infty(S^1, G)$ . We are looking at positive energy representations of  $LG$  - that is, projective representations of  $LG \rtimes \mathbb{T}$  on a Hilbert space  $\mathcal{H}$  such that

$$\mathcal{H} = \bigoplus_{n \geq 0} \mathcal{H}(n),$$

$\dim \mathcal{H}(n) < \infty$ , and  $e^{it} \cdot \zeta = e^{int} \zeta$  for  $\zeta \in \mathcal{H}(n)$ . We'll also usually insist on the normalization  $\mathcal{H}(0) \neq \{0\}$ . If this is not the case, we may obtain such a representation by tensoring with a character of  $\mathbb{T}$ . We obtained one such representation as follows.

Let  $V = \mathbb{C}^n$ , and for  $f \in L^2(S^1, V)$  densely define  $a(f)$  on  $\Lambda\mathcal{H}$  by  $a(f)\omega = f \wedge \omega$ . Let  $CAR(\mathcal{H})$  denote the  $C^*$ -algebra generated by  $\{a(f)\}$  on  $\Lambda\mathcal{H}$ . For a projection  $p$  on  $\mathcal{H}$ , we discussed last week the existence of a pure state  $\phi_p$  on  $CAR(\mathcal{H})$  such that

$$\phi_p(a(f_1)^* \dots a(f_n)^* a(g_1) \dots a(g_m)) = \delta_{nm} \det \langle p g_i, f_j \rangle.$$

**Lemma 1.1.** *Quasi-free states exist.*

*Proof.* If  $\dim \mathcal{H} < \infty$ , choose ONB  $\{\zeta_1, \dots, \zeta_k\}$  and  $\{\eta_1, \dots, \eta_n\}$  for  $p\mathcal{H}$  and  $(1-p)\mathcal{H}$ , respectively. Let  $\eta = \eta_1 \wedge \dots \wedge \eta_n$ . Since  $a(f)\eta = a(pf)\eta$ , the vector state corresponding to  $\eta$  is quasi free of covariance  $p$ .

If  $\dim \mathcal{H} = \infty$ , choose ONB  $\{\zeta_i\}$  and  $\{\eta_j\}$  as before. Let  $V_k$  be the subspace spanned by  $\zeta_i$  and  $\eta_j$ . We have such a state on  $V_k$ , and the inclusions are coherent, so we're done.  $\square$

If  $u \in U(\mathcal{H})$  and  $\alpha_u$  is the automorphism of  $CAR(\mathcal{H})$  given by  $\alpha(a(f)) = a(uf)$ , then one can show that  $\phi_p \circ \alpha = \phi_{upu^*}$ . It turns out that  $\|\phi_p - \phi_{upu^*}\|$  is controlled by  $\text{tr}[(p - upu^*)^2]$ . It can be shown that if  $\|\phi_p - \phi_p \circ \alpha\| < 2$ , then the GNS representations are equivalent and  $\alpha$  is implemented on  $CAR(\mathcal{H})_{\phi_p}$ . Using these ideas, one shows that if  $up - pu$  is Hilbert-Schmidt (which is equivalent to  $p - u^*pu$  being Hilbert-Schmidt), then  $a(f) \mapsto a(uf)$  is implemented on  $CAR(\mathcal{H})_p$  (the GNS space for  $\phi_p$ ).

If it happens that  $\phi_p \circ \alpha_u = \phi_p$  (that is,  $[u, p] = 0$ ), then there is a unitary in  $U_{\alpha_u} \in B(CAR(\mathcal{H})_p)$  characterized by  $x\Omega \mapsto \alpha_u(x)\Omega$ , where  $\Omega$  is the cyclic vector in  $CAR(\mathcal{H})_p$  implementing  $\phi_p$ . It is easy to verify that  $U_{\alpha_u} \pi_P(x) U_{\alpha_u}^* = \pi_P(\alpha_u(x))$  for  $x \in CAR(\mathcal{H})$ . In this case, we say that  $\alpha$  is canonically quantized.

For  $\mathcal{H} = L^2(S^1, V)$  and  $p\mathcal{H} = H^2(S^1, V)$ , we have  $\|[M_f, p]\|_2 = \|f\|_{H^{1/2}}$  for  $f \in LG$  and  $[r_\theta, p] = 0$  for  $r_\theta \in \mathbb{T}$ . Thus we get a projective unitary representation  $\pi$  of  $LG \rtimes \mathbb{T}$  on  $CAR(\mathcal{H})_p$  which restricts to an ordinary representation of  $\mathbb{T}$ . This representation satisfies  $\pi(M_g)\pi_p(a(f))\pi(M_g)^* = \pi_p(a(gf))$  and  $\pi(r_\theta)\pi_p(a(f))\pi(M_g)^* = \pi_p(a(r_\theta f))$  for  $g \in LG$ ,  $f \in \mathcal{H}$  and  $\theta \in [0, 2\pi]$ . We'll denote  $\pi(r_\theta) = R_\theta$ .

An irreducible positive energy representation of level  $\ell$  is an irreducible subrepresentation of  $\pi^{\otimes \ell}$ . We've glossed over the (true statement) that the irreducible  $LG$ -subrepresentations of  $\pi^{\otimes \ell}$  are positive energy representations in the obvious way. In this talk, we will prove that all irreducible positive energy representations are characterized by the isomorphism class of  $\mathcal{H}(0)$  (the subspace fixed by the action of  $\mathbb{T}$ ) as an  $SU(n)$ -module (where  $SU(n)$  has been identified with constant loops in  $LG$ ).

## 2 Representation theory of $SU(n)$

Let  $G = SU(n)$  and let  $V$  be the defining representation on  $\mathbb{C}^n$ . Let  $\mathfrak{g}$  be the corresponding Lie algebra consisting of traceless skew-adjoint matrices and let  $\mathfrak{g}_{\mathbb{C}}$  be its complexification, the traceless matrices. We have representations of  $G$  and  $\mathfrak{g}$  on  $V^{\otimes m}$  via  $g \cdot (v_1 \otimes \cdots \otimes v_m) = gv_1 \otimes \cdots \otimes gv_m$  for  $g \in G$  and for  $x \in \mathfrak{g}_{\mathbb{C}}$

$$x \cdot (v_1 \otimes \cdots \otimes v_m) = \sum_{j=1}^m v_1 \otimes \cdots \otimes xv_j \otimes \cdots \otimes v_m.$$

Let  $\pi : G \rightarrow U(W)$  be a subrepresentation of  $V^{\otimes m}$ , irreducible for  $G$  (and hence for  $\mathfrak{g}$  and  $\mathfrak{g}_{\mathbb{C}}$ ). From Lie group theory, we may write

$$W = \bigoplus_{g \in \mathbb{Z}^n} W_g$$

where for  $z$  a diagonal matrix in  $G$  with entries  $z_1, \dots, z_n$  and  $w \in W_g$  we have  $\pi(z)w = (z_1^{g_1} \cdots z_n^{g_n})w$ . Since  $z_1 \cdots z_n = 1$ , we can only define  $g$  up to addition of a vector  $(a, \dots, a) \in \mathbb{Z}^n$ . On the Lie algebra side, if  $D$  is a traceless diagonal matrix with entries  $d_1, \dots, d_n$ , then

$$\pi(D)w = (d_1 g_1 + \cdots d_n g_n)w.$$

Using the relation  $DE_{ij} = E_{ij}D + (d_i - d_j)E_{ij}$ , we see that  $\pi(E_{ij})$  takes  $W_g$  into the weight space for  $g + e_i - e_j$  (where  $\{e_k\}$  is the standard basis for  $\mathbb{Z}^n$ ). If we order the weights lexicographically, then  $E_{ij}$  takes  $W_g$  into a higher weight space if  $i < j$  and into a lower weight space if  $i > j$ . These operators are called raising and lowering operators, respectively. Since monomial matrices in  $SU(n)$  permute weight spaces and  $W$  is finite dimensional, there is a highest weight space  $W_f$  with  $f_1 \geq f_2 \geq \cdots \geq f_n$ . We call this the signature of the representation.

We now prove that a finite-dimensional  $SU(n)$  representation is characterized up to unitary equivalence by its signature. Let  $v$  and  $v'$  be non-zero highest weight vectors. Since  $W$  is irreducible for  $G$  and hence  $\mathfrak{g}_{\mathbb{C}}$ , there is some  $T$  in the associative algebra generated by  $\pi(\mathfrak{g}_{\mathbb{C}})$  such that  $Tv = v'$ . Every element of  $\mathfrak{g}_{\mathbb{C}}$  is of the form

$$D + \sum L_i + R_i$$

Where  $D$  is a traceless diagonal operators,  $L_i$  is a linear combination of operators of the form  $\pi(E_{ij})$  for  $i > j$  and  $R_i$  is a linear combination of operators of the form  $\pi(E_{ij})$  for  $i < j$ . Using the commutation relations

$$DE_{ij} = E_{ij}D', \quad E_{ij}E_{k\ell} = E_{k\ell}E_{ij} + \delta_{jk}E_{i\ell} - \delta_{\ell i}E_{kj}$$

we can write any element of the algebra generated by  $\pi(\mathfrak{g}_{\mathbb{C}})$  as a sum of operators of the form  $LDR$  where  $L$  is a product of lowering operators,  $D$  is traceless diagonal, and  $R$  is a product of raising operators. Since  $v$  is highest weight, either  $LDRv$  is a multiple of  $v$  or lower weight. Thus  $v' = Tv = \lambda v$ , and the high weight space is one-dimensional. Similarly,  $\langle LDRv, v \rangle$  only depends on the weight of  $v$ , so  $\langle A_1v, A_2v \rangle$  only depends on the weight of  $v$  (writing  $A_2^*A_1$  as a sum of terms  $LDR$ ). Thus if  $W_1$  and  $W_2$  are two irreducible representations of  $SU(n)$  with the same signature, the map  $Av \mapsto Av'$  is a unitary intertwining the action of  $\mathfrak{g}$  and hence  $G$ .

Every signature occurs: if  $f_1 \geq f_2 \geq \dots \geq f_n \geq 0$ , then the vector

$$e_f = e_1^{\otimes(f_1-f_2)} \otimes (e_1 \wedge e_2)^{\otimes(f_2-f_3)} \otimes \dots \otimes (e_1 \wedge \dots \wedge e_n)^{\otimes f_n}$$

is the unique highest weight vector in  $\Lambda^1 V^{\otimes(f_1-f_2)} \otimes \dots \otimes \Lambda^n V^{\otimes f_n}$ . By uniqueness,  $e_f$  generates an irreducible submodule.

### 3 Existence of positive energy representations

If  $H$  is an irreducible positive energy representation of  $LSU(n)$ , we can think of its low weight space  $H(0)$  as an  $SU(n)$ -module, where  $SU(n)$  has been identified with the constant loops in  $LSU(n)$ . It turns out, the isomorphism class of this module determines the representation.

**Theorem 3.1** (Classification of representations). *If  $H$  is an irreducible positive energy representation of  $LSU(n)$ , then  $H(0)$  is an irreducible  $SU(n)$ -module. The  $H$  is an irreducible subrepresentation of  $\pi^{\otimes \ell}$ , then its signature satisfies  $f_1 - f_n \leq \ell$ , and all such signatures occur at level  $\ell$ . If  $H$  and  $H'$  are two positive energy representations at level  $\ell$  with the same signature, then they are unitarily equivalent.*

We'll prove selected parts of this theorem today. First, we'll show that  $H(0)$  is an  $SU(n)$ -module and outline the proof that every signature occurs. Note that if  $g$  is a constant loop in  $LSU(n)$  and  $v$  is fixed by rotation, then

$$R_\theta \pi_i(g)v = \pi_i(r_\theta g) R_\theta^* v = \pi_i(g)v.$$

Thus  $H(0)$  is an  $SU(n)$ -module.

We now try to see which signatures occur. To do this, we have to look a little more closely at the action of  $\mathbb{T} = \{r_\theta\}$  on  $CAR(\mathcal{H})_p$ . It will be useful to think of  $CAR(\mathcal{H})_p$  as  $\Lambda \mathcal{H}_p$ , where  $\mathcal{H}_p$  is  $\mathcal{H}$  with a new complex structure. Hence  $CAR(\mathcal{H})_p \cong \Lambda p\mathcal{H} \otimes \overline{\Lambda(1-p)\mathcal{H}}$ .  $\Lambda V$  sits inside  $\Lambda p\mathcal{H}$ , so  $\Lambda V$  is inside  $CAR(\mathcal{H})_p$  and is fixed by rotation. Since  $\dim V = n$ ,  $\Lambda V = \Lambda^1 V \oplus \dots \oplus \Lambda^n V$ . Note that  $\Lambda V$  contains irreducible subrepresentations of  $SU(n)$  for every signature such that  $f_1 - f_n \leq 1$ . For each signature, we'd like for there to be some irreducible subrepresentation of  $LSU(n) \rtimes \mathbb{T}$  that has that signature for its low weight space.

**Proposition 3.1.** *If  $H$  is the cyclic  $LSU(n) \rtimes \mathbb{T}$ -module generated by a lowest energy vector, it contains an irreducible  $LSU(n) \rtimes \mathbb{T}$ -module generated by some lowest energy vector. The weights of the low energy space of  $H$  and its irreducible submodule are the same.*

*Proof.* Let  $\Gamma = LSU(n) \rtimes \mathbb{T}$ . Let  $H(0)$  be the lowest energy subspace of  $H$ , and let  $K$  be any  $\Gamma$ -invariant subspace of  $H$  with corresponding projection  $p \in \Gamma'$ . Since  $H$  is the closed linear space of  $\Gamma H(0)$ ,  $K = pH$  is the closed linear span of  $\Gamma pH(0)$ . But  $pH(0) \subseteq H(0)$ , since  $p$  commutes with  $\mathbb{T}$ . Choosing  $pH(0)$  in  $H(0)$  of smallest dimension,  $K = pH$  must be irreducible as a  $\Gamma$ -module. Thus  $H$  contains an irreducible submodule  $K$  generated by any non-zero  $pv$  with  $v \in H(0)$ . Since  $p$  commutes with the action of  $SU(n)$ ,  $pH(0)$  has the same weights as  $H(0)$ .  $\square$

If we believe that the low weight space of the generated  $LSU(n)$ -module has not gotten any bigger (i.e. that if  $v \in H(0)$  then  $\pi(g)v \in H(0)$  if and only if  $g \in SU(n)$ ), then this shows that there is an irreducible positive energy representation whose low weight space has the given signature, so long as  $f_1 - f_n \leq 1$ .

Since the low weight space of  $\pi$  contained  $\Lambda V$ , the low weight space of  $\pi^{\otimes \ell}$  contains  $(\Lambda V)^{\otimes \ell}$ . Since we can construct a representation with a given signature  $f$  as a subrepresentation of

$$e_f = e_1^{\otimes(f_1-f_2)} \otimes (e_1 \wedge e_2)^{\otimes(f_2-f_3)} \otimes \cdots \otimes (e_1 \wedge \cdots \wedge e_n)^{\otimes f_n},$$

we have  $V_f$  as the low weight space of an irreducible subrepresentation of  $V^{\otimes \ell}$  if  $f_1 - f_n \leq \ell$  (since  $f_1 - f_n$  says how many tensor products are required in the above expression if we normalize to  $f_n = 0$ ).

The assumption that the low weight space could not have gotten any larger will be justified when we show that the low weight space of a positive energy representation is irreducible as an  $SU(n)$  module.

## 4 Summary of the rest (Part II)

We do the rest by understanding the action of  $L\mathfrak{g}$ . If  $X \in \mathfrak{g}$ , let  $X_n = Xe^{-int}$ . We can write down explicitly an action of  $X_n$  on  $CAR(\mathcal{H})_p$  such that the action exponentiates to give the action of  $e^{X_n}$ . Let's start with the action of  $\mathbb{T}$ . Everything is easier to discuss on a basis. By Stone's theorem, we can write  $R_\theta = e^{iD\theta}$  for self-adjoint  $D$ . Let's look at the action of  $D$  on a basis. In the interest of time, we assert that an orthonormal basis for  $CAR(\mathcal{H})_p$  is given by

$$e_{i_1}(n_1) \cdots e_{i_p}(n_p) e_{j_1}(m_1)^* \cdots e_{j_q}(m_q)^* \Omega$$

where  $n_i \leq 0$  and  $m_j > 0$ . A reason to believe this is to think of  $e_i(n)$  as creation if  $n \leq 0$  and annihilation if  $n > 0$ . As an example, we'll show  $e_i(n)\Omega = 0$  for  $n > 0$ . Compute

$$\langle e_i(n)\Omega, e_i(n)\Omega \rangle = \langle e_i(n)^* e_i(n)\Omega, \Omega \rangle = \phi_p(e_i(n)^* e_i(n)) = \langle pe_i(n), e_i(n) \rangle.$$

This is 1 if  $n \leq 0$  and 0 otherwise.

If  $X = \sum a_{ij}E_{ij}$ , then let  $X(n)$  act on  $CAR(\mathcal{H})$  by linear extending the action

$$E_{ij}(n) = \sum_{m \geq 0} e_i(n-m)e_j(-m)^* - \sum_{m \geq 0} e_j(m)^*e_i(m+n).$$

Note that  $E_{ij}(n)$  raises energy by  $-n$ . One shows, in analogy with the rep theory of  $SU(n)$ , that everything in  $L^0\mathfrak{g}$  is products of  $RDL$ , where  $D$ , the energy preserving ones, are precisely the  $E_{ij}(0)$ , or the constant loops. This shows that the low energy space cannot grow even when used to generate an  $LSU(n)$  module, as before.

A key fact is that  $L^0\mathfrak{g} \rtimes \mathbb{R}$  is algebraically irreducible on  $H^0$ . This shows that the low energy space is, in fact, always an irreducible  $SU(n)$  module. It can also be used to prove unitary equivalence of irreps with the same signature.