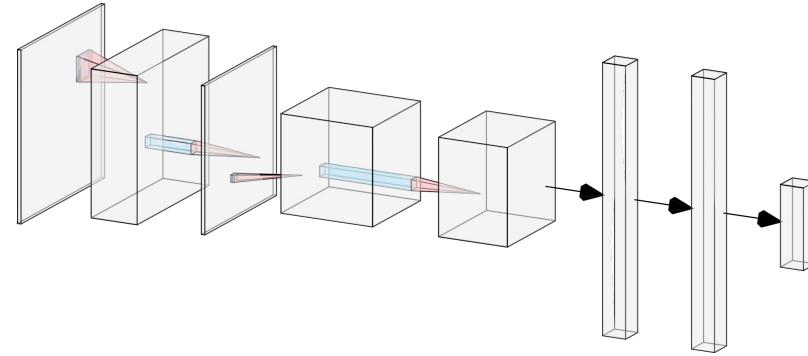
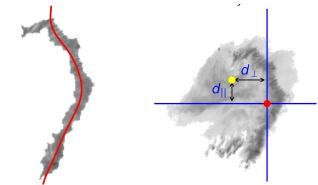
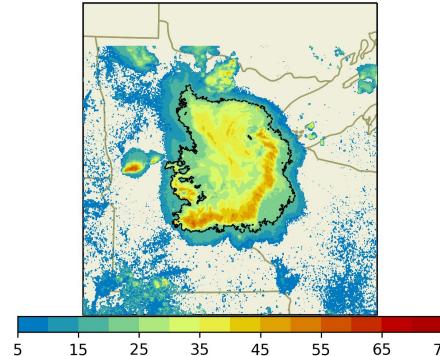


Comparison of Machine Learning Techniques for Convective Morphology Classification from Radar Imagery

Comp. Refl. 20150713T0400Z_0



Jonathan E. Thielen¹

Mentors: William A. Gallus¹ and Alex M. Haberlie²

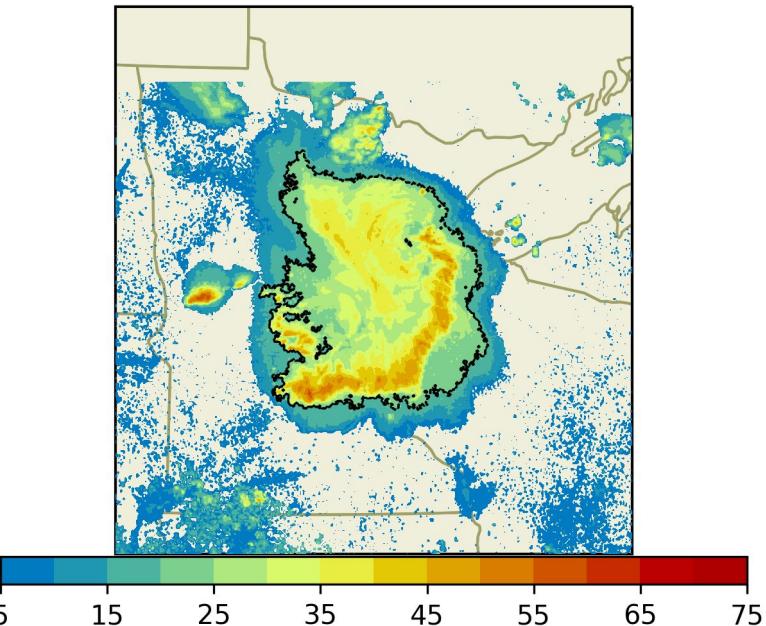
¹Department of Geological and Atmospheric Sciences, Iowa State University, Ames, IA; ²Department of Geography and Anthropology, Louisiana State University, Baton Rouge, LA

What is Convective Morphology?

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- Shape
- Structure
- Organization

Comp. Refl. 20150713T0400Z_0



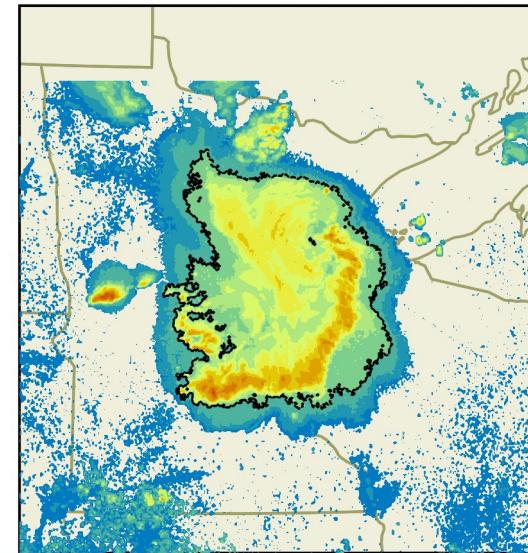
What is Convective Morphology?

- Shape
- Structure
- Organization

Importance:

- System dynamics
- Severe hazard likelihood
(Gallus et al. 2008)

Comp. Refl. 20150713T0400Z_0



Classification of Convective Morphology



Classification of Convective Morphology

Subjective nine-category scheme of Gallus et al. (2008):

- Three cellular types
- Five linear types
- Non-linear

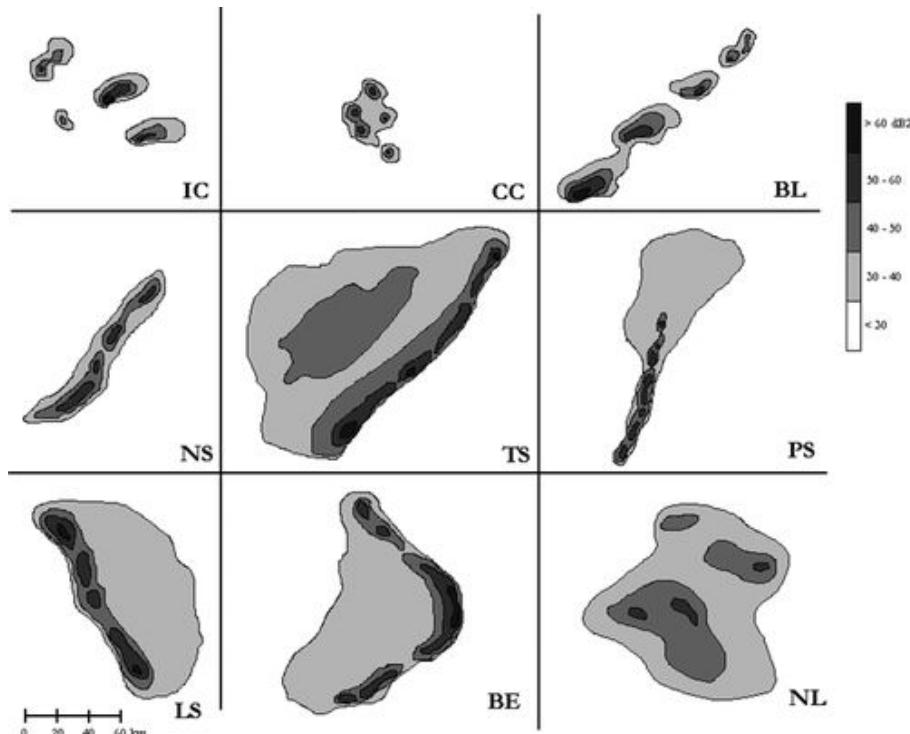


Figure from Gallus et al. (2008)

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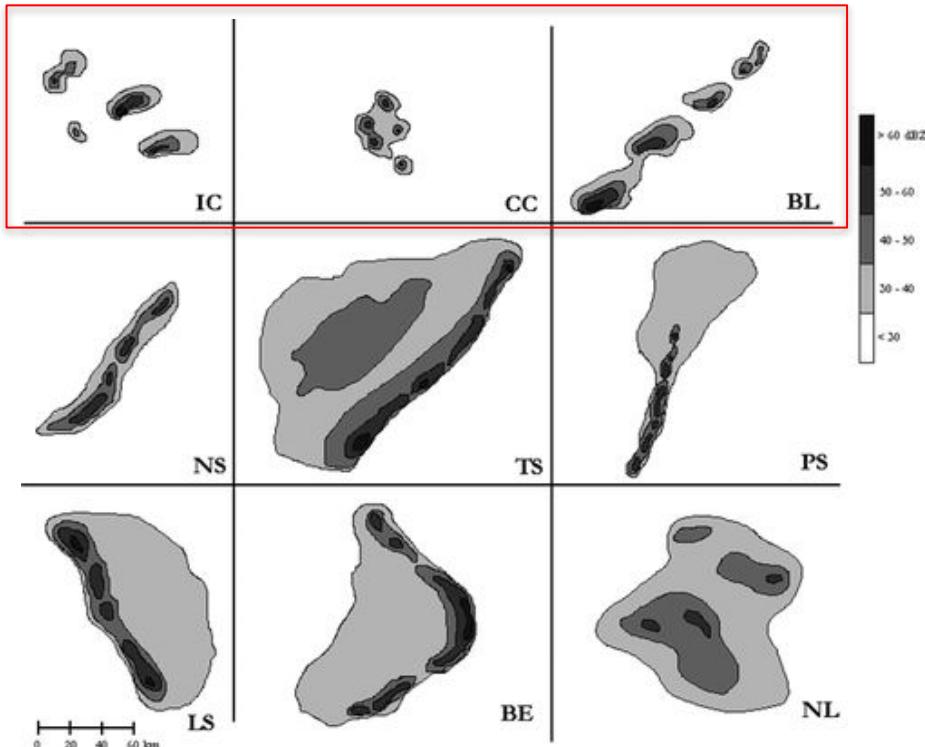


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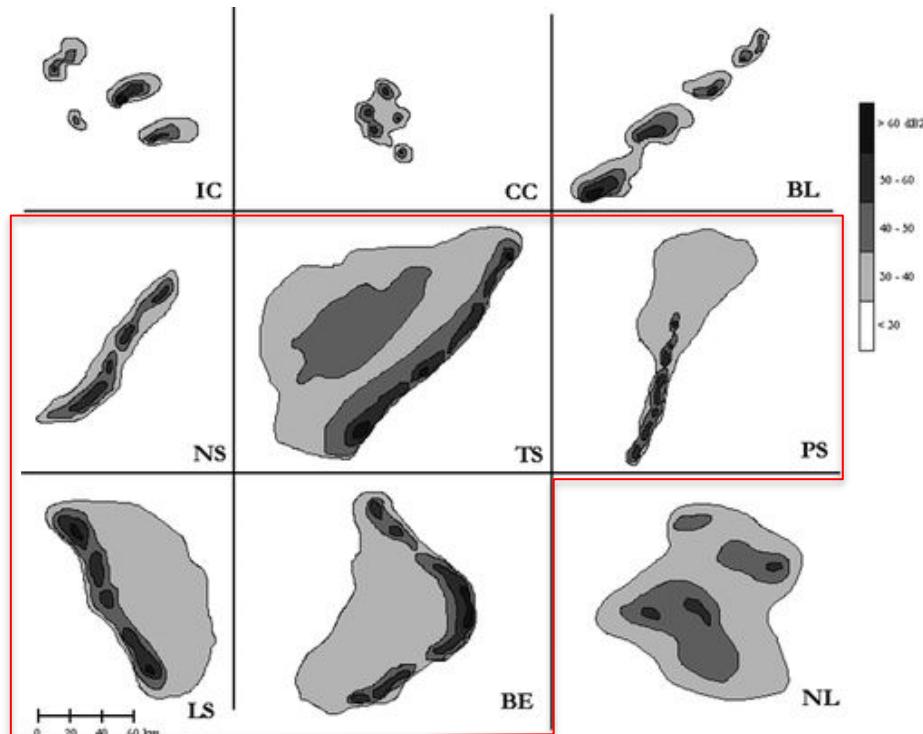


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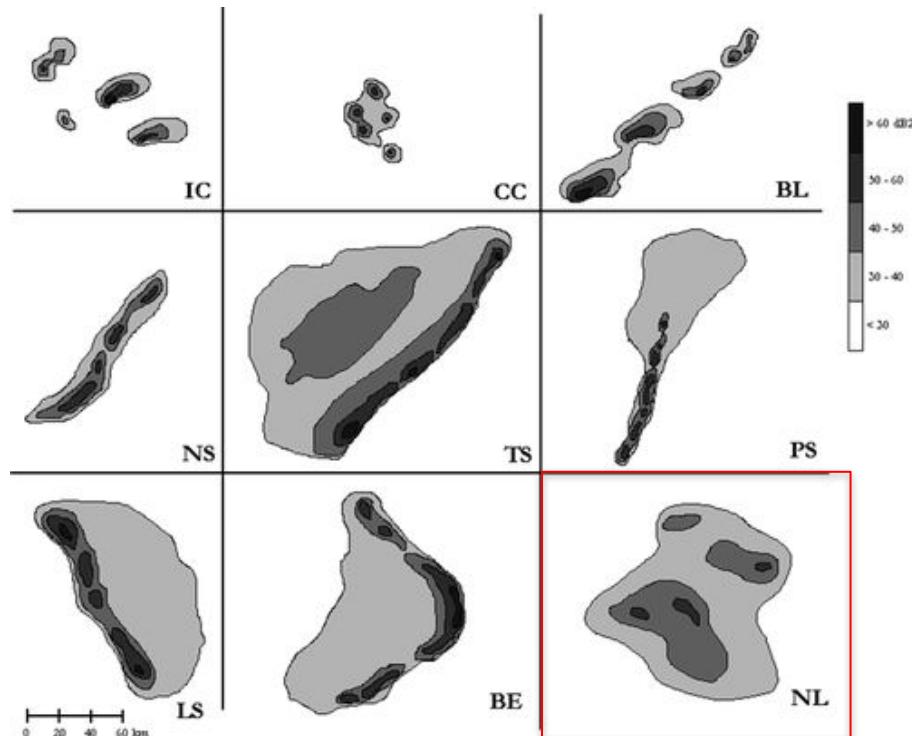


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Manual vs. Automated:

- Sample sizes
(Thielen et al. 2018)
- Consistency
(Corfidi et al. 2016)

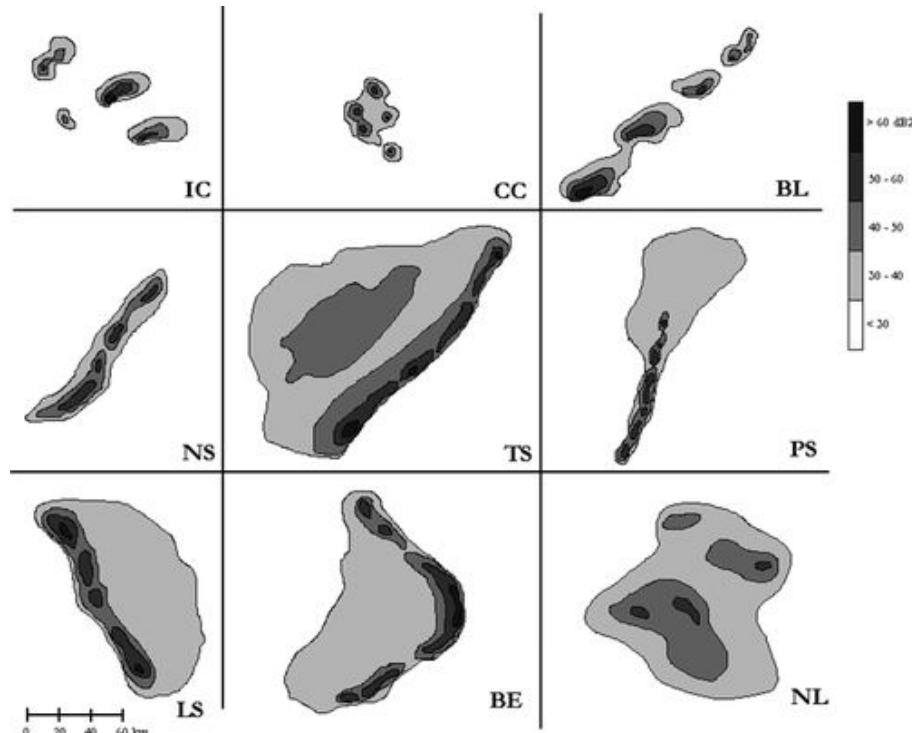


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Machine Learning

Creating and using mathematical models built on training data to perform a task, rather than explicitly programming the task

Machine Learning

Creating and using mathematical models built on training data to perform a task, rather than explicitly programming the task

- Ensembles of decision trees
 - Tree gives classification from “branching” decisions on feature parameters
 - Combinations of many trees
 - Used in past studies with broader classifications
(Gagne et al. 2009; Lack and Fox 2012; Haberlie and Ashley 2018a)

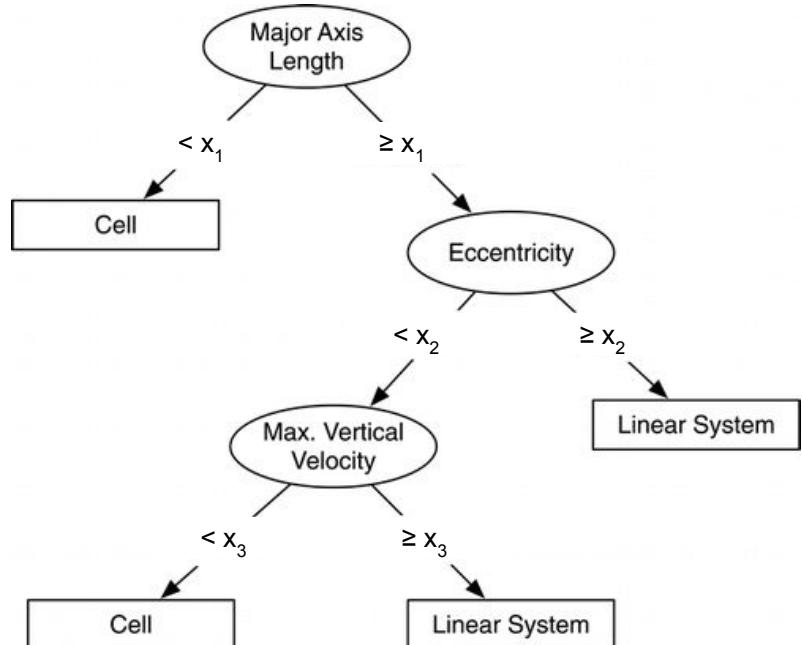


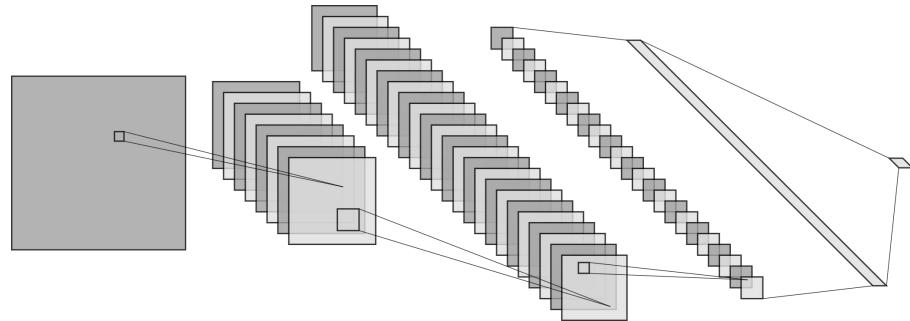
Figure from Gagne et al. (2009), with modification

Machine Learning

Creating and using mathematical models built on training data to perform a task, rather than explicitly programming the task

- Convolutional Neural Networks (CNNs)

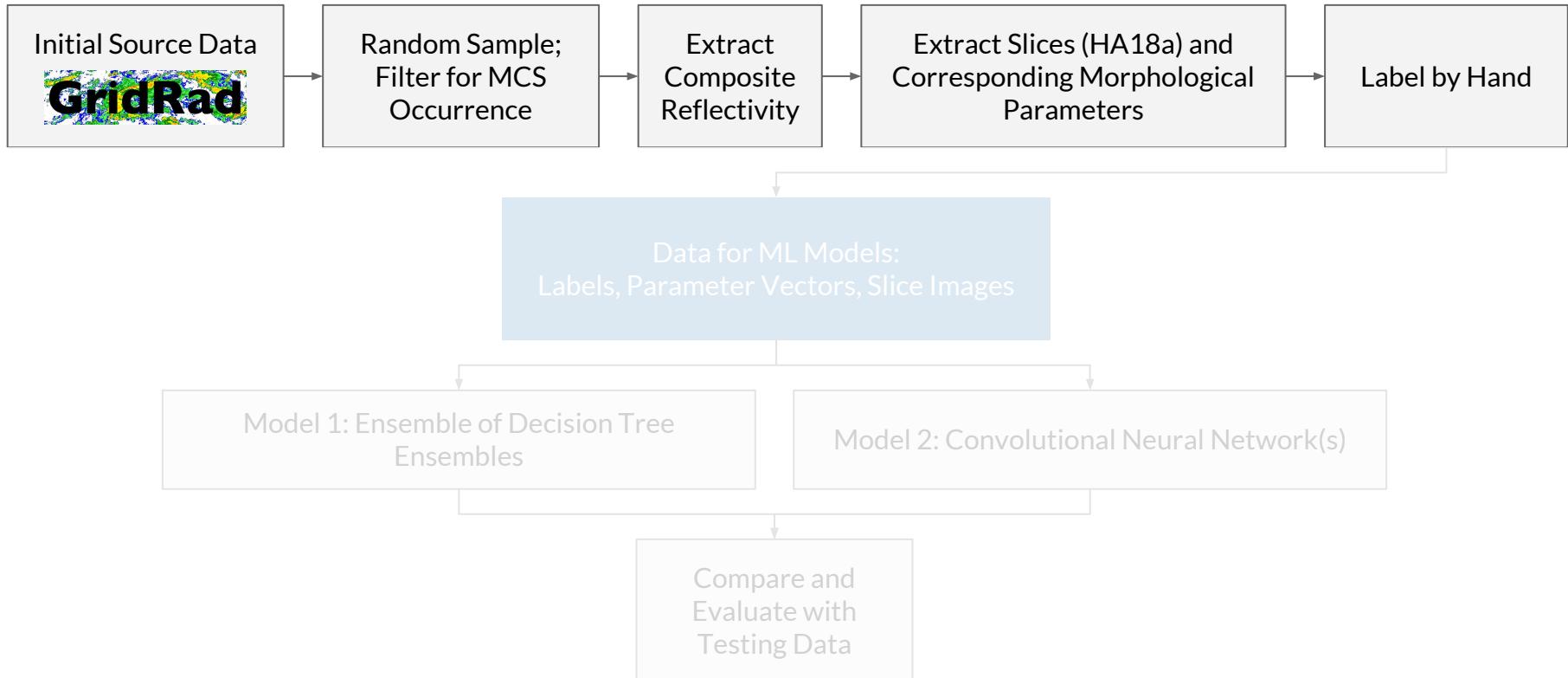
- Patterned after the visual cortex
- Train on images directly
- Many possible architectures
- Promising early results with storm classification
(McGovern et al. 2017;
Haberlie and Ashley 2018b)



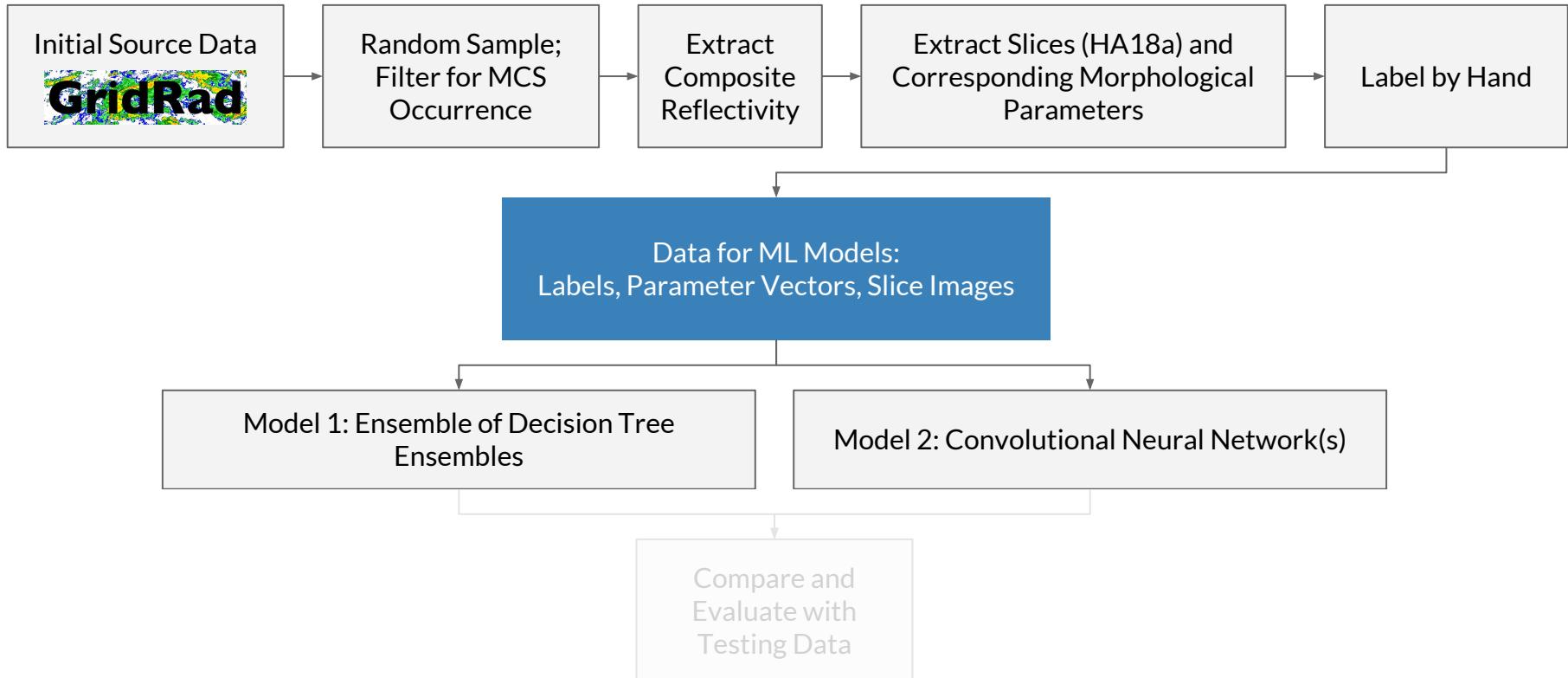
Goals of This Study

- Develop methodologies that extend on past attempts
- Assess performance in classifying morphology
 - Ensembles of decision trees (parameter-based)
 - CNNs (image-based)
- Is either accurate enough to be relied on in future research?

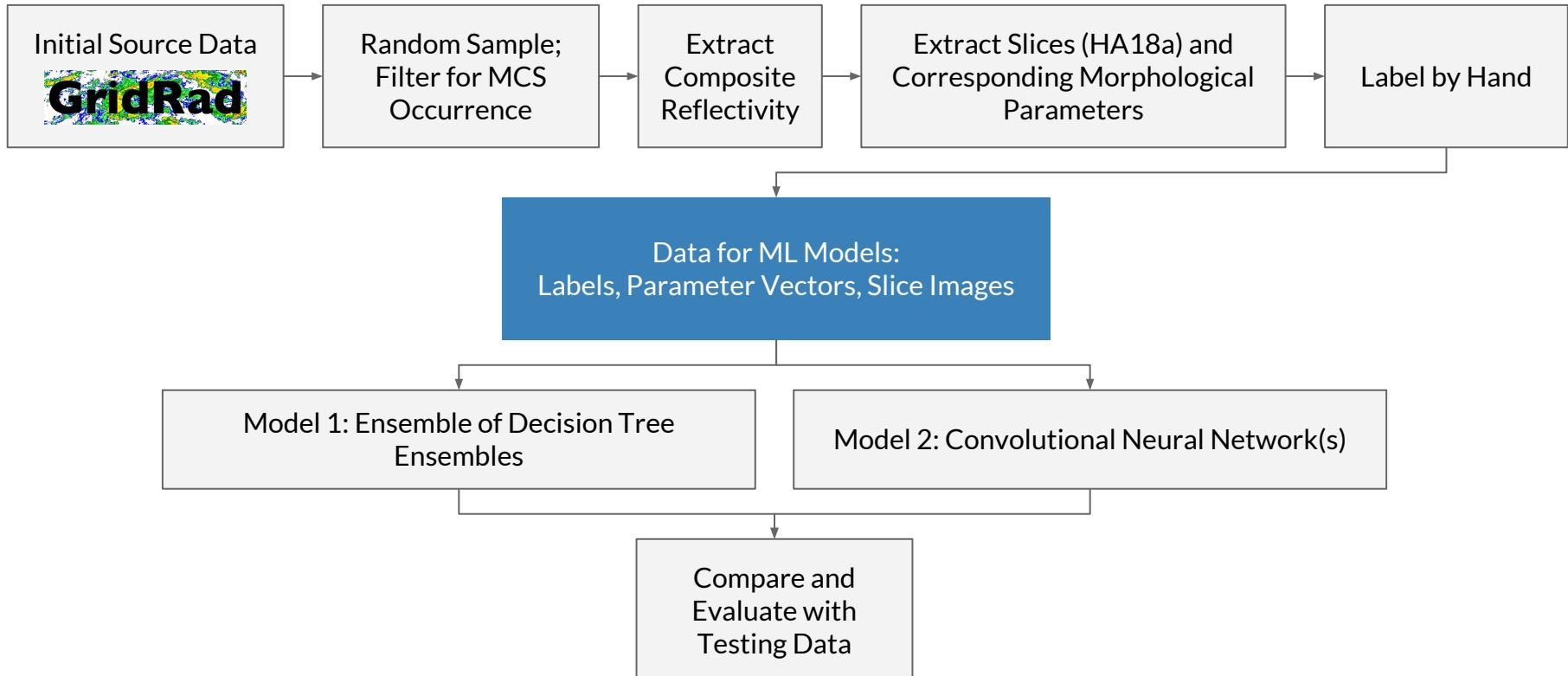
Methodology: An Overview of the Process



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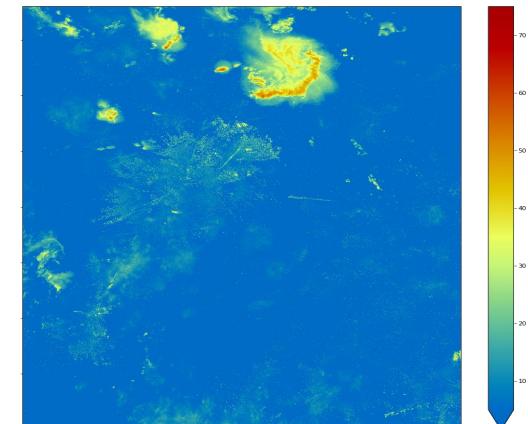


Methodology: An Overview of the Process



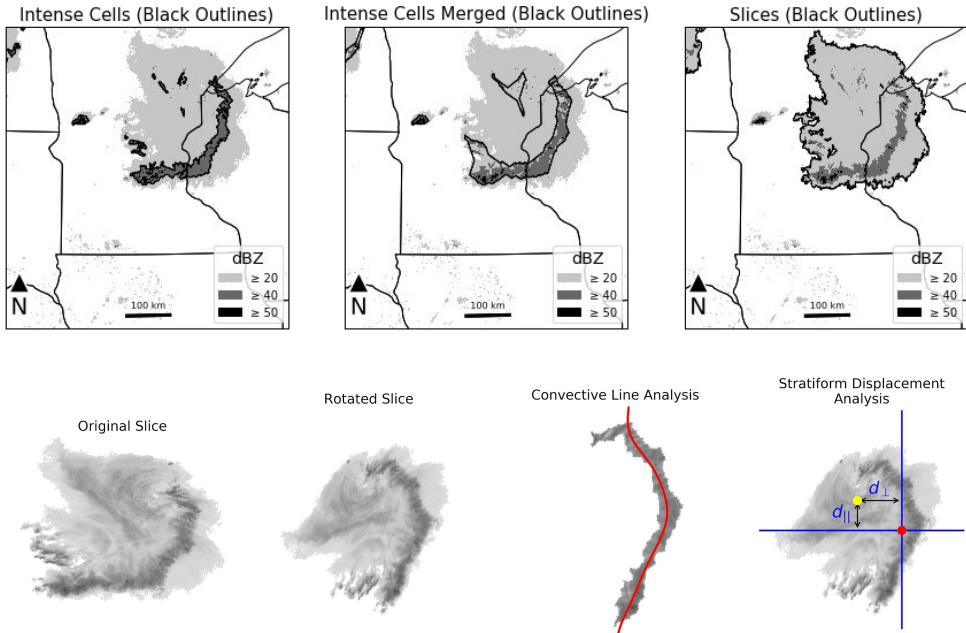
GridRad Data: Where it all starts

- 3D Gridded NEXRAD Data (Bowman and Homeyer 2017)
- Resolution: $0.02^\circ \times 0.02^\circ$ horizontal, 1 km vertical, 1 hr temporal
- Available 2004-2016
- Subsetting on
 - Warm season (May-Sep)
 - Central U.S.
 - Convective system present
- Using composite (column-maximum) reflectivity regridded to 2 km Lambert Conformal grid



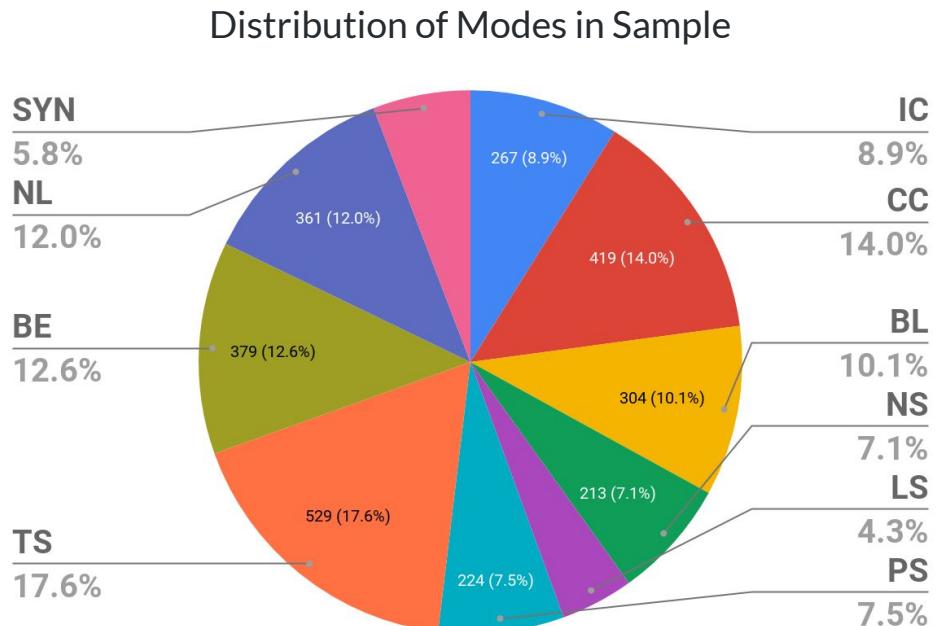
Slice Extraction

- Extract candidate system slices from mosaic (Haberlie and Ashley 2018a)
- Derive geometric and intensity parameters of system regions
 - Areas/lengths/widths
 - Intensity statistics
 - Convective line curvature
 - Stratiform displacement
 - Connectivity on triangular mesh of cell maxima
- Image analysis via scikit-image

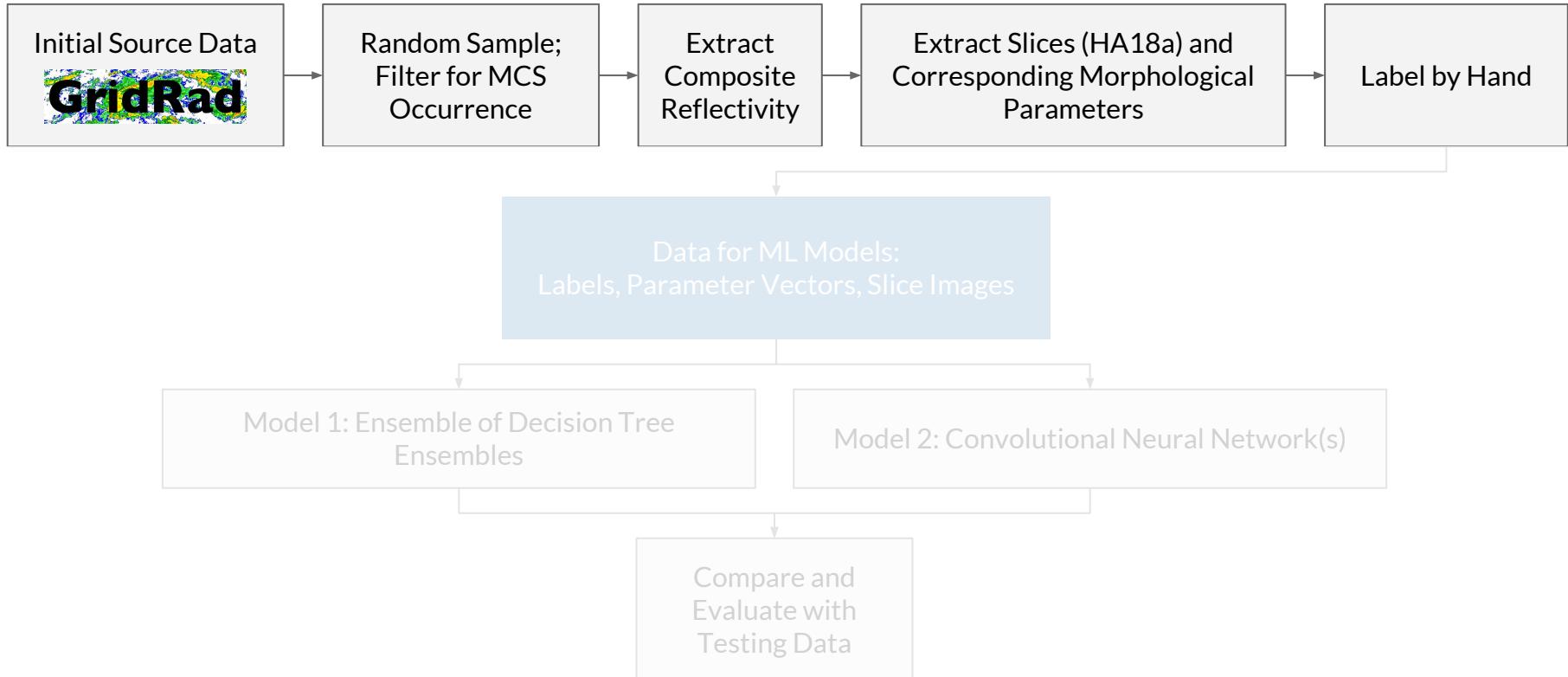


Summary of Manual Classification Results

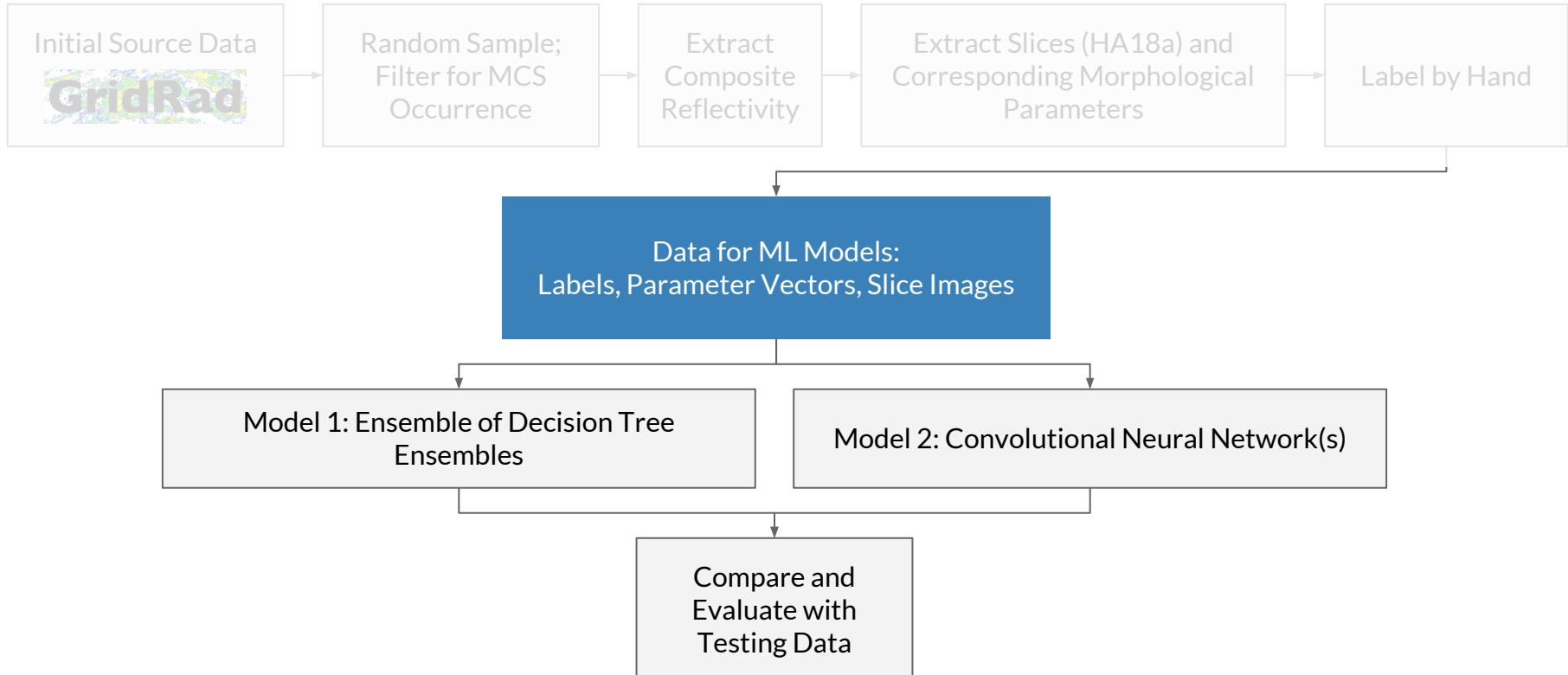
- 3000 extracted and hand-labeled systems
- Use nine modes of Gallus et al. (2008) and SYN type of Haberlie and Ashley (2018a)
 - Subjective classification
 - No temporal continuity
- Split into two subsets:
 - Training data (2007-2016): 2397
 - Testing data (2004-2006): 603



Process Overview



Process Overview



Classification Models

- Eight ensembles of decision trees (scikit-learn)
 - Four types
 - Random forest (RFC)
 - Gradient boosted trees (GBC)
 - XGBoost (XGBC)
 - Ensemble of these three (ENS)
 - Two feature sets
 - Original (Haberlie and Ashley 2018a)
 - All (with 24 added)

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 - Original (Haberlie and Ashley 2018a)
 - All (with 24 added)
- Three CNNs (Keras with TensorFlow backend)
 - Fixed input image size
 - Centered on primary convective line
 - Differ on image resizing
 - Scale to 128 by 128 px (Scaled)
 - Crop to 128 by 128 px (Chopped)
 - Upscale to 4 km, then crop to 128 by 128 px (4km Chopped)

Accuracy Scores

Accuracy: proportion of classifications that are correct

Classifier	Accuracy (%)
RFC-Original	47.76
GBC-Original	43.62
XGBC-Original	46.93
ENS-Original	45.44
RFC-All	57.05
GBC-All	56.55
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ENS-All	59.37
CNN-Scaled	44.78
CNN-Chopped	30.85
CNN-4km-Chopped	38.14

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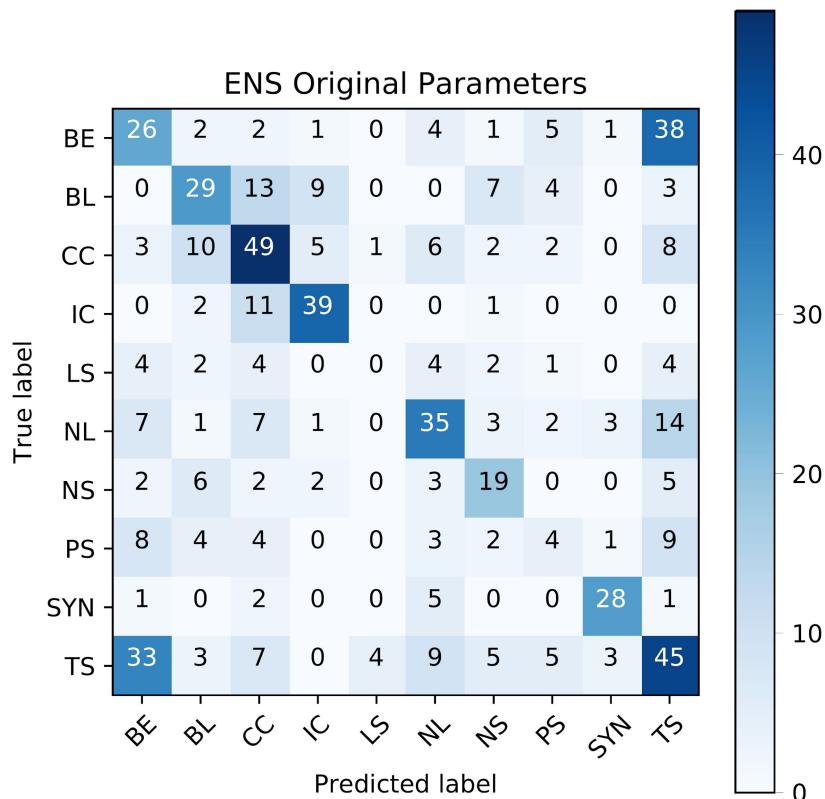
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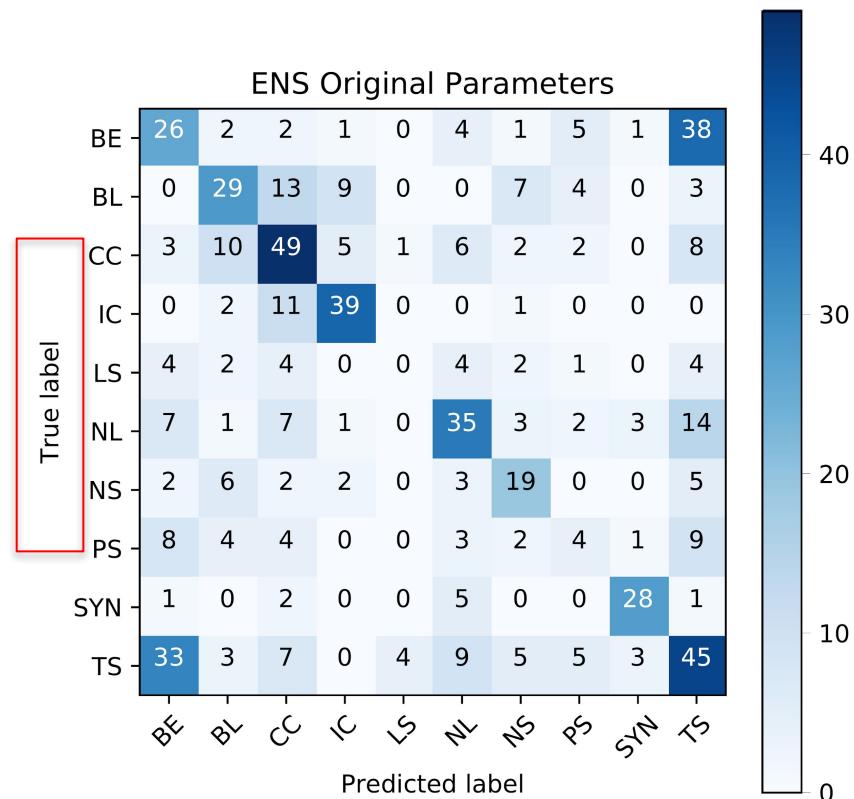
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- All results below threshold

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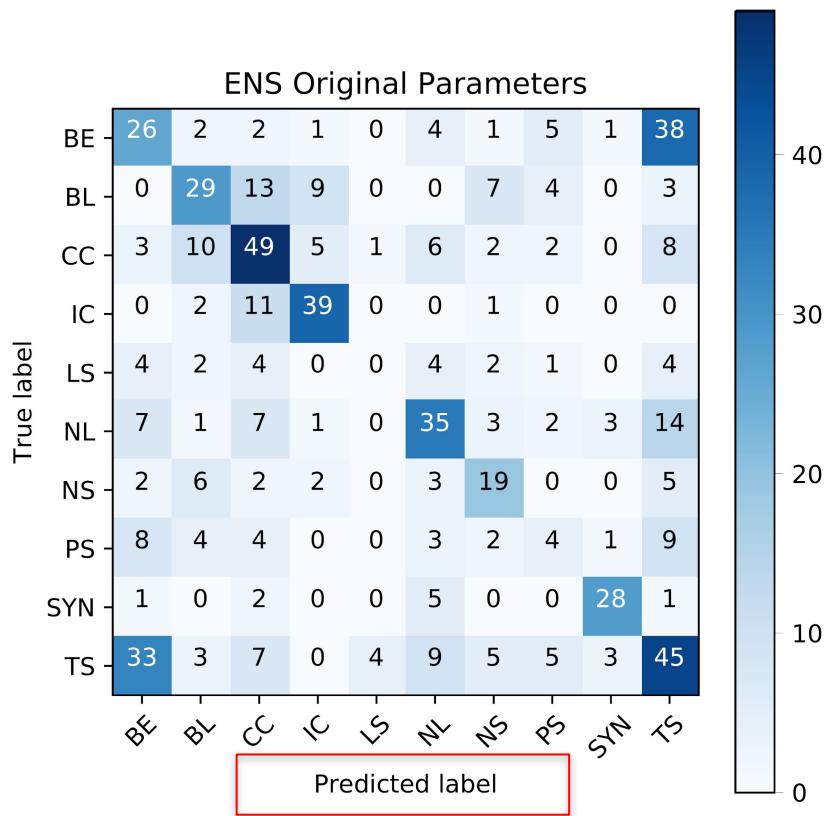
Confusion Matrices: ENS-Original



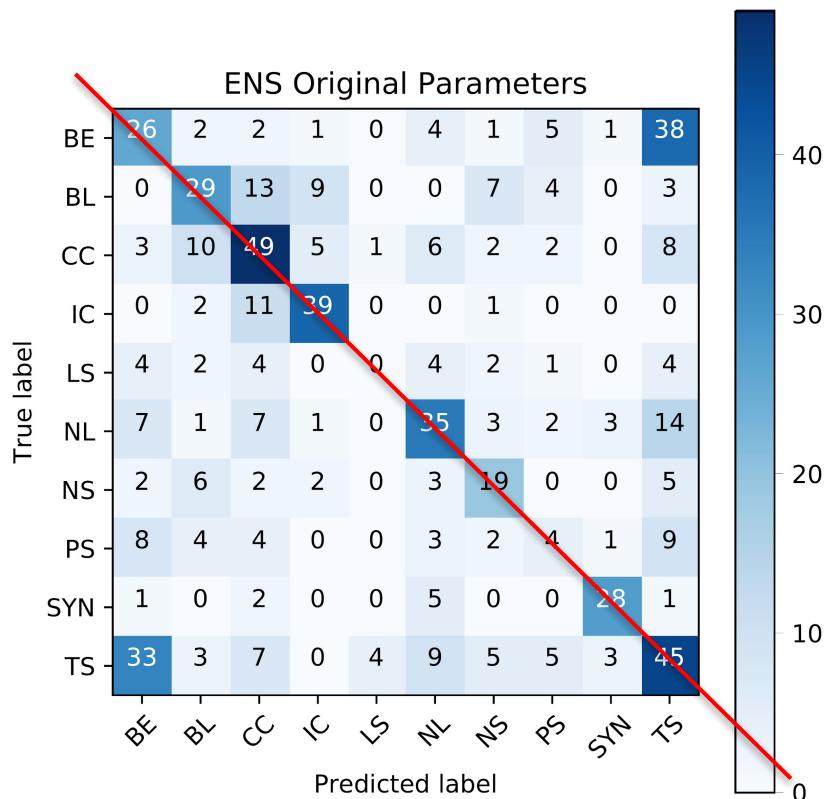
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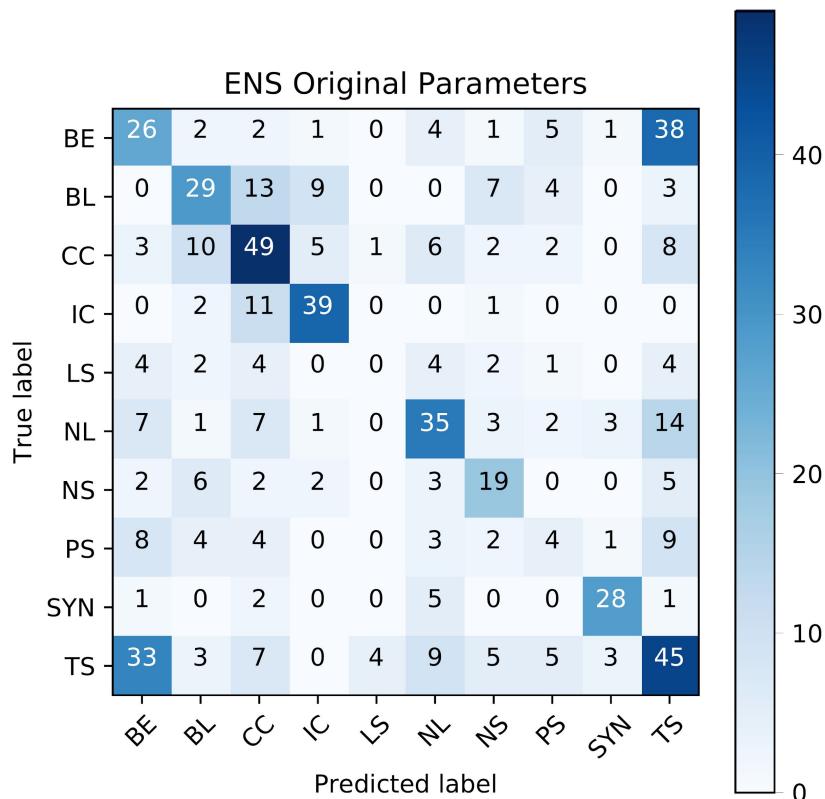
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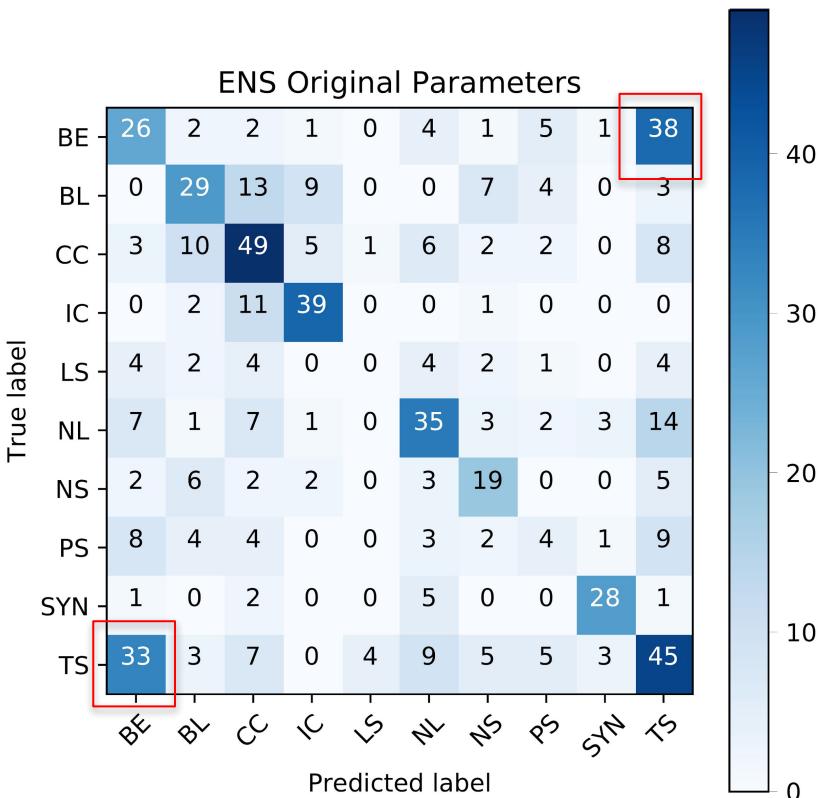


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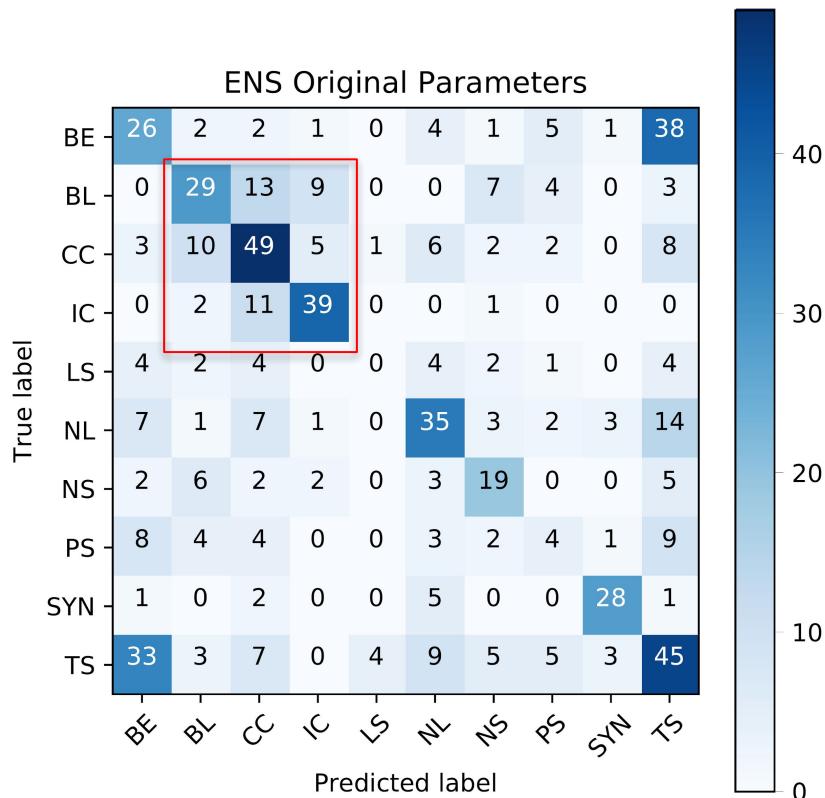
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- BE/TS mismatches
- Cellular mode mismatches
- No LS-LS and few PS-PS
- Best recall for CC and SYN



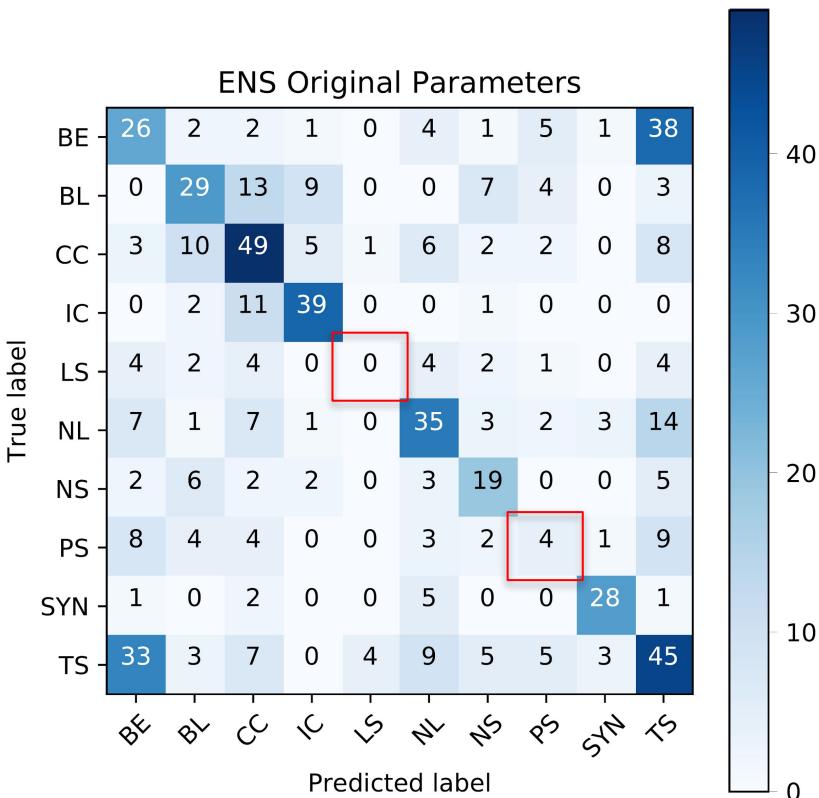
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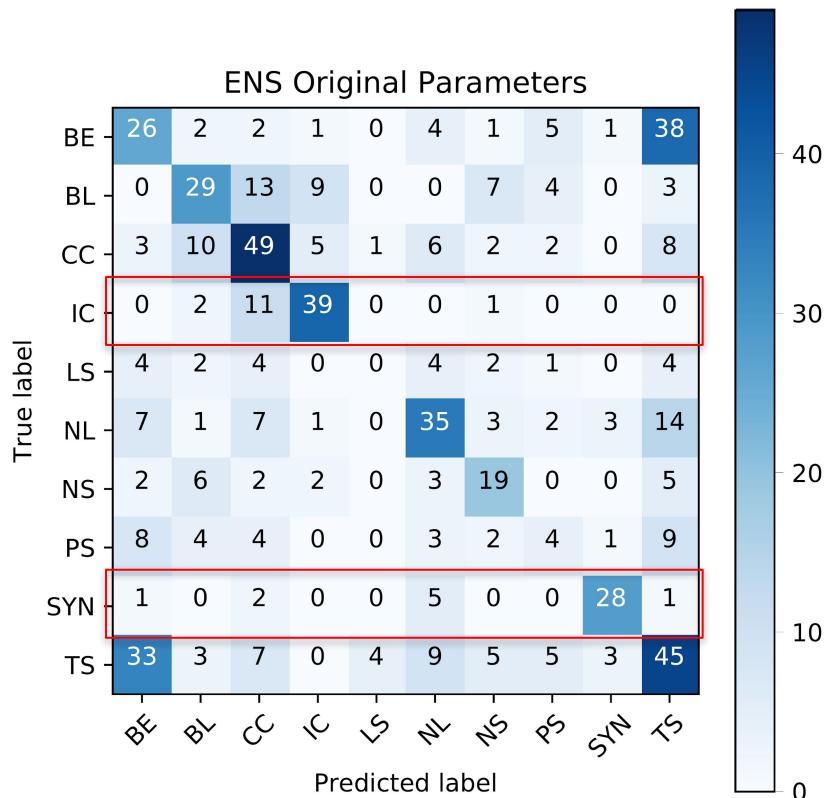
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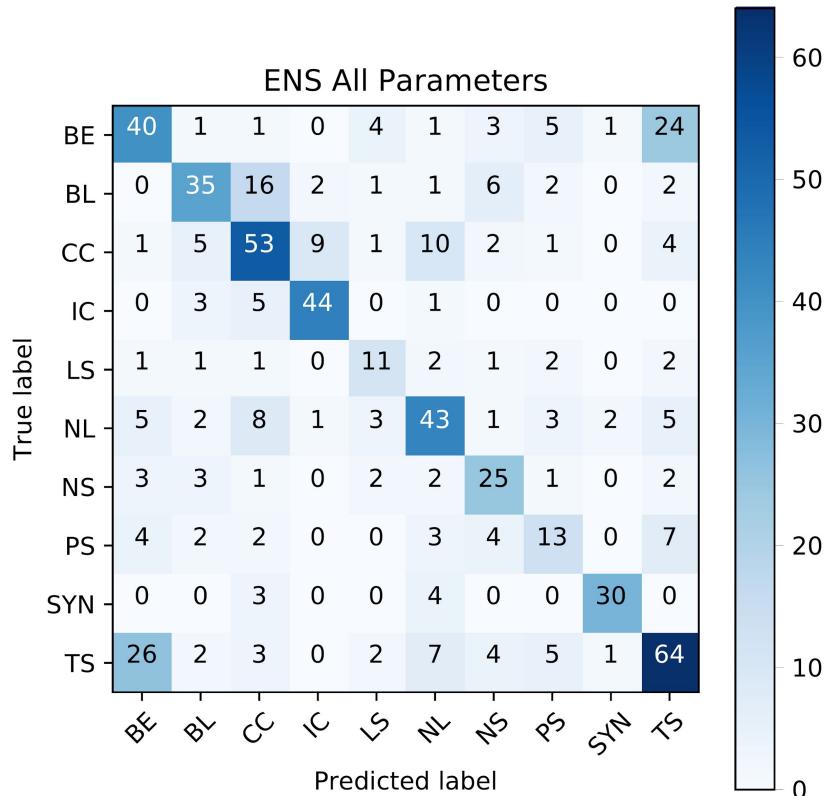
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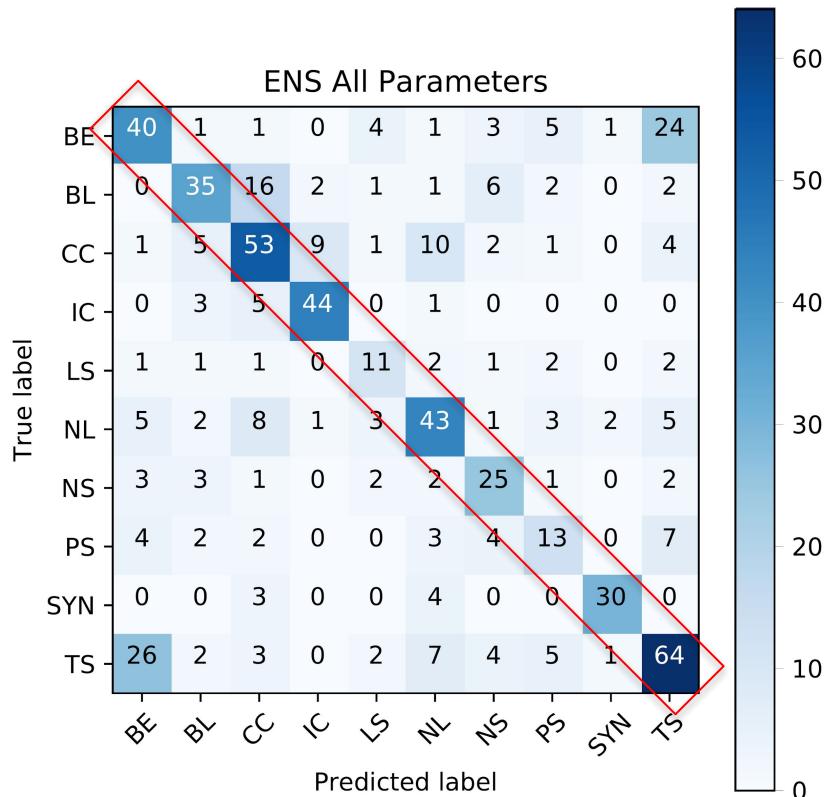
Confusion Matrices: ENS-All

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- How many of these remaining mismatches are borderline cases?



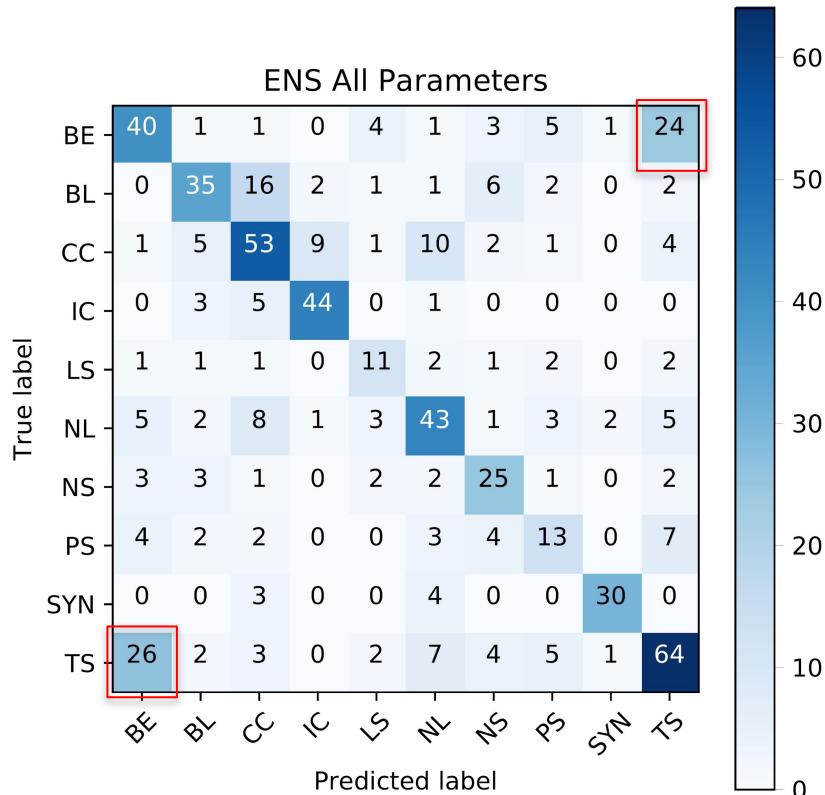
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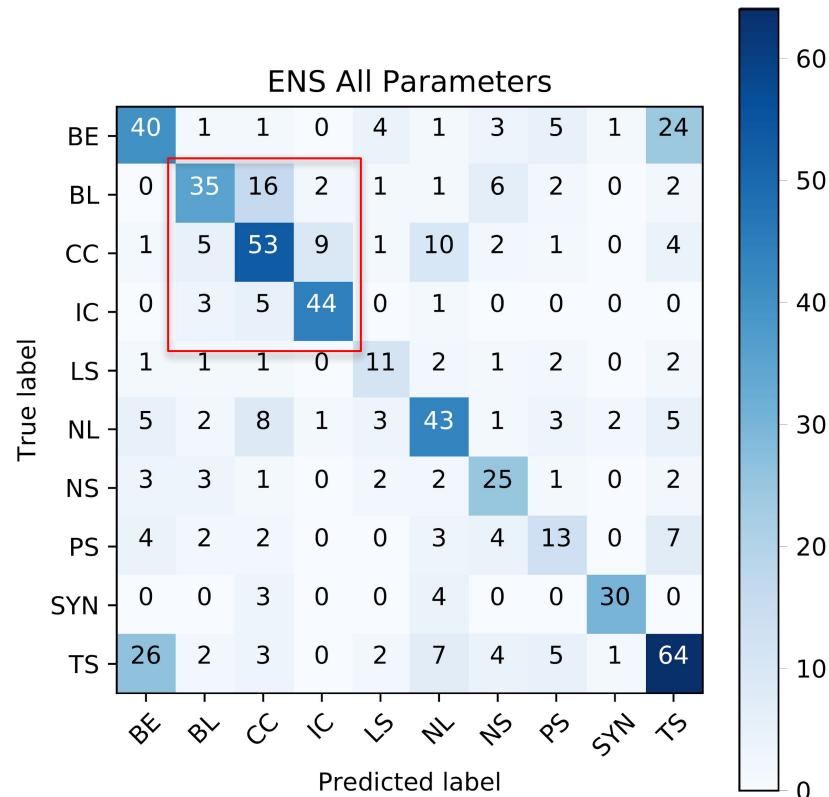
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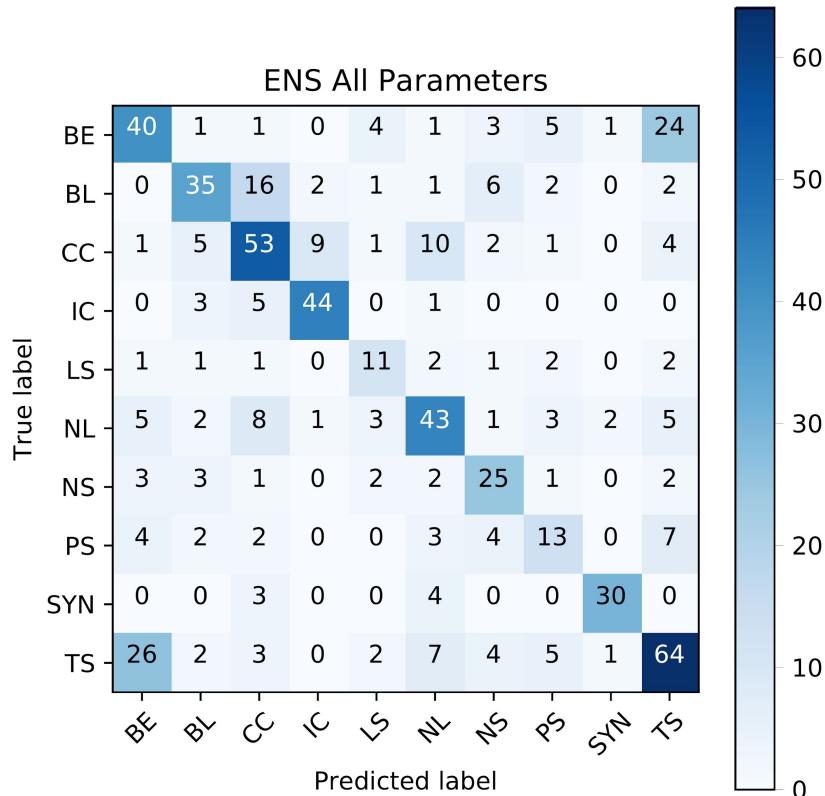
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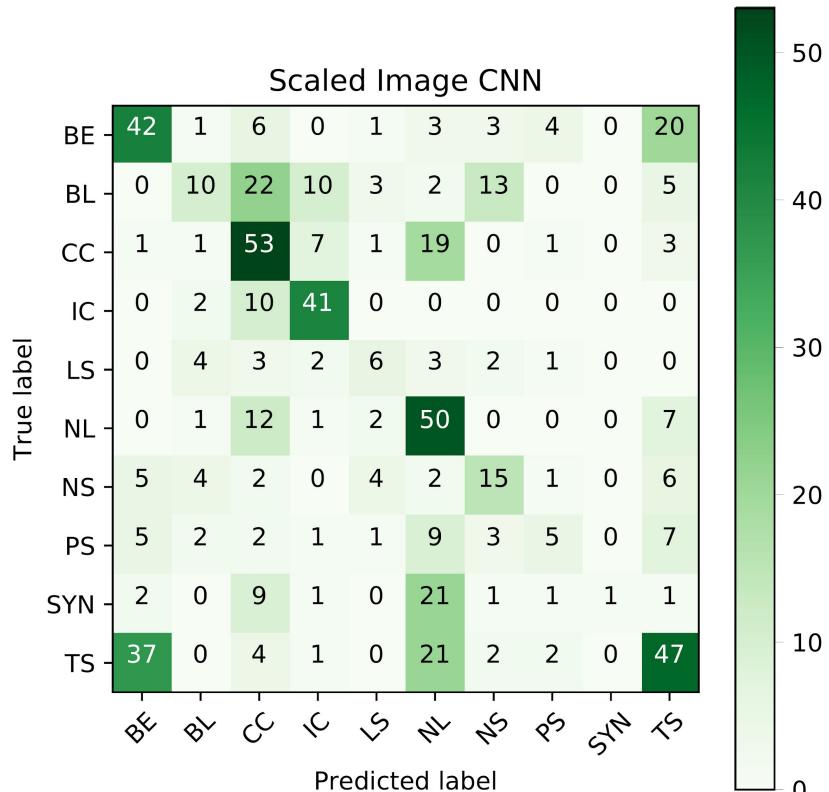
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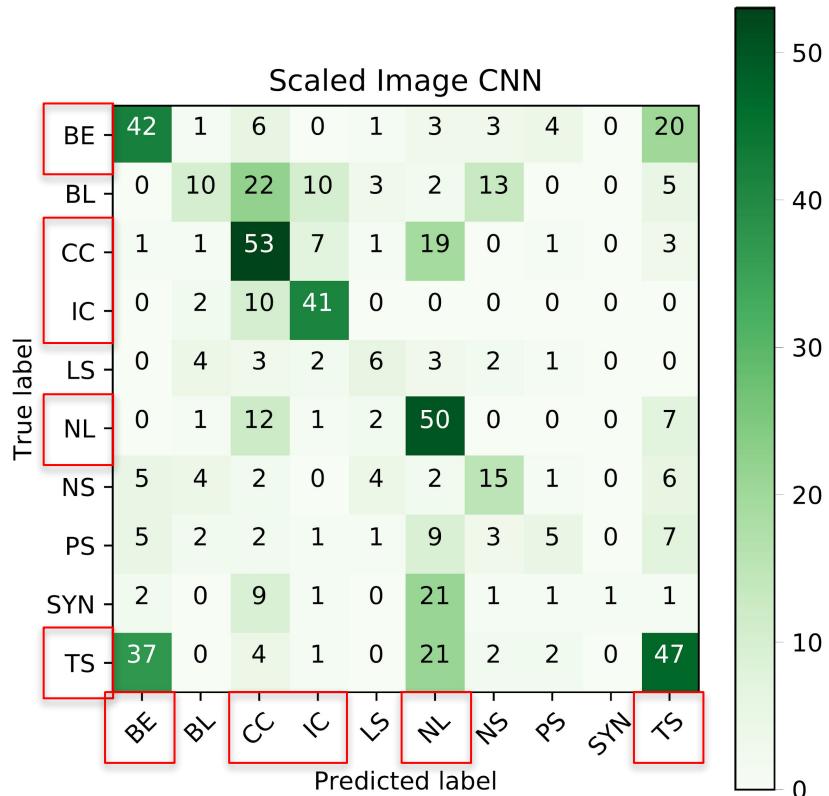
Confusion Matrices: CNN-Scaled

- With common modes (BE, CC, IC, NL, and TS):
 - High correct counts
 - Over-prediction
- With less common modes:
 - Very inconsistent results
- Potential causes:
 - Loss of scale-dependent features
 - Insufficient training data
 - Issues in network structure (only one used)



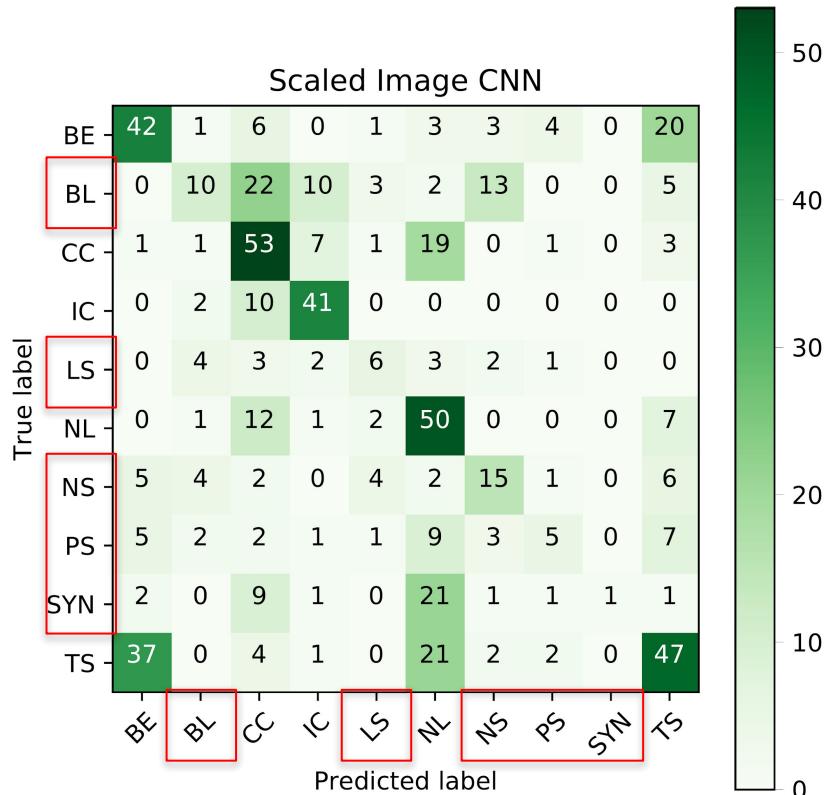
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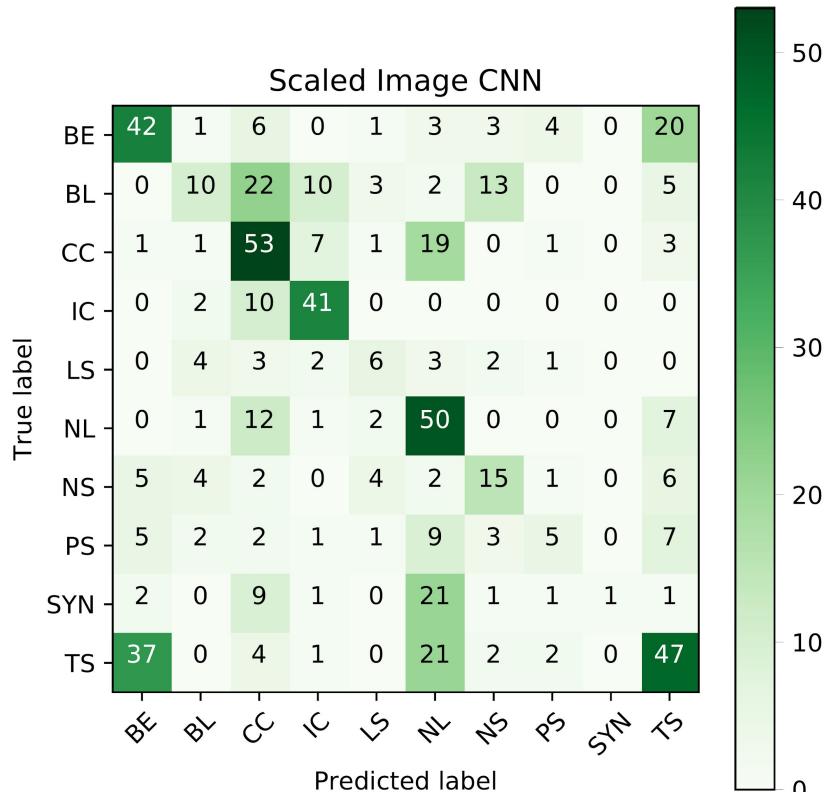
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Conclusions

- Ensemble of ensembles of decision trees (with all parameters) performed best
 - Extra parameters improved results
 - Accuracy still insufficient for applications
- CNNs generally struggled
 - Lack of data (both dataset and input image sizes)
 - Lack of robustness/complexity (computational limits)
- Future work will
 - Analyze classifier mismatches in detail
 - Utilize larger samples
 - Investigate improvements in morphology feature extraction
 - Explore more robust/complex CNNs
 - Examine probabilistic classifications

Acknowledgements

- Mentors Dr. William Gallus and Dr. Alex Haberlie for guidance and input on this project
- Melissa Piper for assistance in filtering cases for occurrence of convective systems
- Machine learning sessions at the 2018 Unidata Users Workshop for inspiration and training
- Google Colaboratory for computational resources
- Supporting Python packages: NumPy, matplotlib, scipy, xarray, pandas, Cartopy, pyproj, xESMF, and MetPy

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Questions?

Appendix

Lists of Feature Parameters

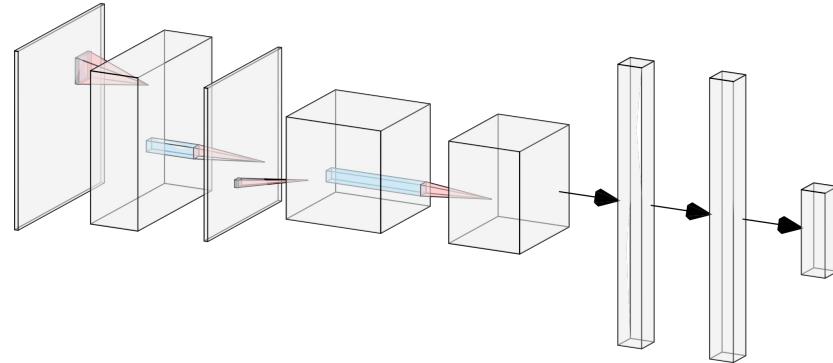
- Total Area
- Intense Area
- Convective Area
- Intense-Total Area Ratio
- Convective-Total Area Ratio
- Intense-Convective Area Ratio
- Convex Area
- Solidity
- Major Axis Length
- Minor Axis Length
- Eccentricity
- Mean Intensity
- Max Intensity
- Intensity Variance
- Convective Solidity
- Normal Stratiform Displacement
- Parallel Stratiform Displacement
- Normalized Cell Count
- Mean Characteristic Curvature
- Max Characteristic Curvature
- Max Mean Characteristic Curvature
- Convective Length
- Convective Width
- Convective Length-Width Ratio
- Stratiform Width
- System-Convective Length Ratio
- Stratiform-Convective Width Ratio
- Delaunay Edges
- Edge Proportion with Minimum at
 - None
 - Stratiform
 - Convective
- Edge Proportion with Average at
 - None
 - Stratiform
 - Convective
 - Intense
- Edge Mean Length
- Cell Centroid Spread
- Cell Centroid R²

Distribution of Cases

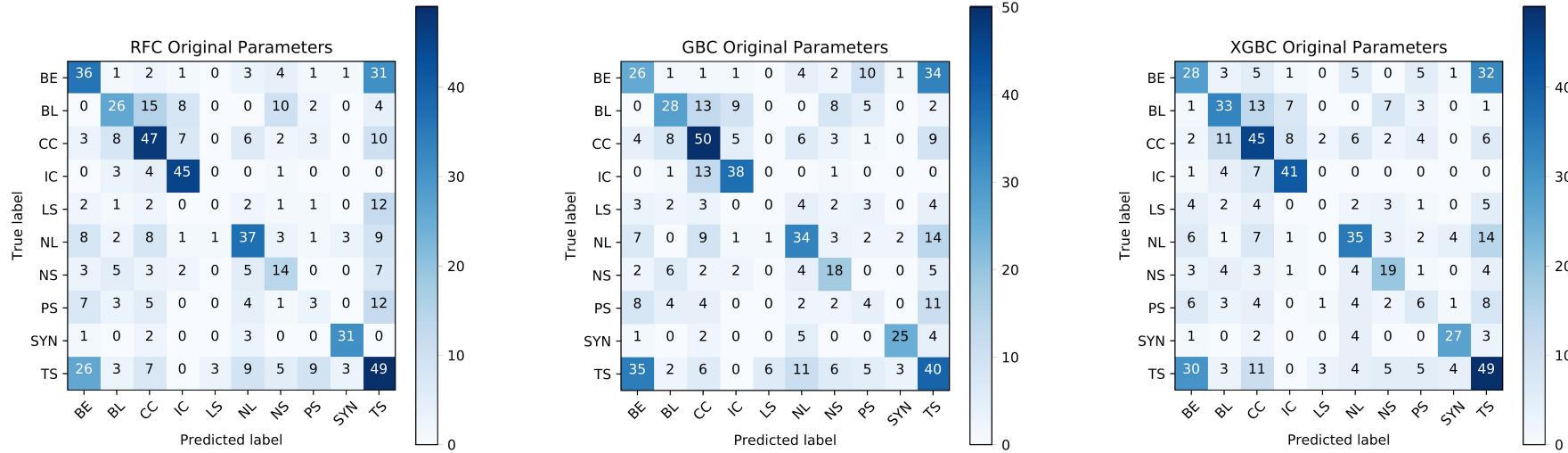
<i>Mode</i>	<i>Testing (2004-2006)</i>	<i>Training (2007-2016)</i>	<i>Total</i>	<i>Percentage of Total</i>
IC	53	214	267	8.90
CC	86	333	419	13.97
BL	65	239	304	10.13
NS	39	174	213	7.10
LS	21	109	130	4.33
PS	35	189	224	7.47
TS	114	415	529	17.63
BE	80	299	379	12.63
NL	73	288	361	12.03
SYN	37	137	174	5.80
Total	603	2397	3000	

CNN Structure

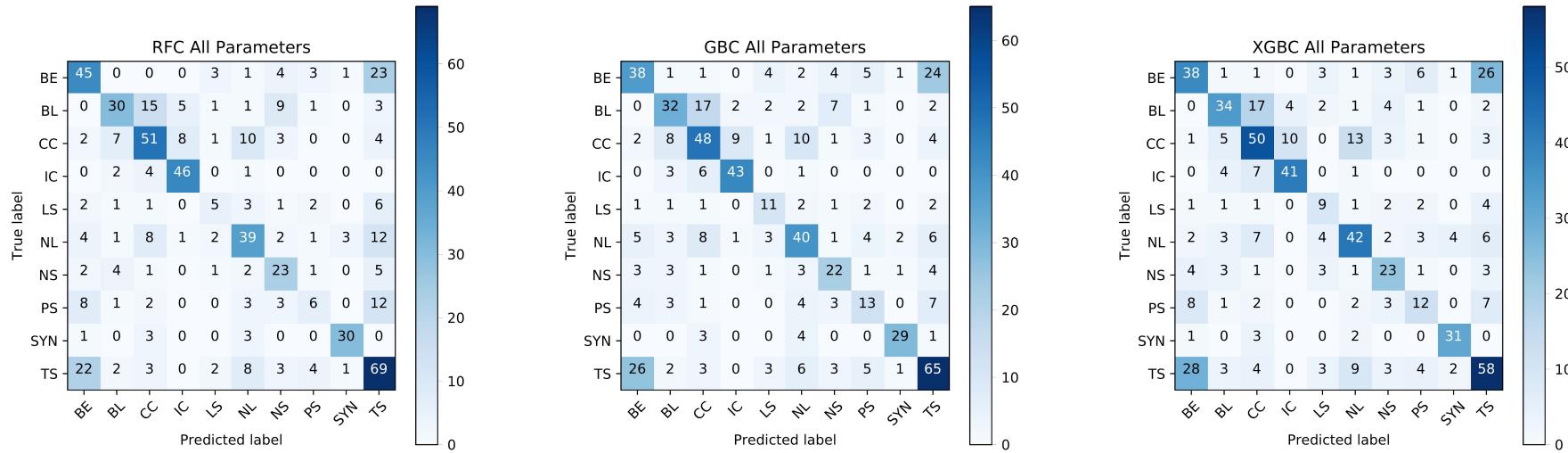
Layer Type	# Features	Filter Size	Stride	Activation	Dropout
Convolutional	64	7 x 7	--	ReLU	--
Convolutional	64	3 x 3	--	ReLU	--
Max Pooling	--	2 x 2	2 x 2	--	--
Convolutional	128	3 x 3	--	ReLU	--
Convolutional	128	3 x 3	--	ReLU	--
Max Pooling	--	2 x 2	2 x 2	--	--
Convolutional	256	3 x 3	--	ReLU	--
Convolutional	256	3 x 3	--	ReLU	--
Max Pooling	--	2 x 2	2 x 2	--	--
Convolutional	512	3 x 3	--	ReLU	--
Convolutional	512	3 x 3	--	ReLU	--
Max Pooling	--	2 x 2	2 x 2	--	--
Flatten	--	--	--	--	--
Dense	4096	--	--	ReLU	Dropout (0.3)
Dense	4096	--	--	ReLU	Dropout (0.3)
Dense	10	--	--	Softmax	--



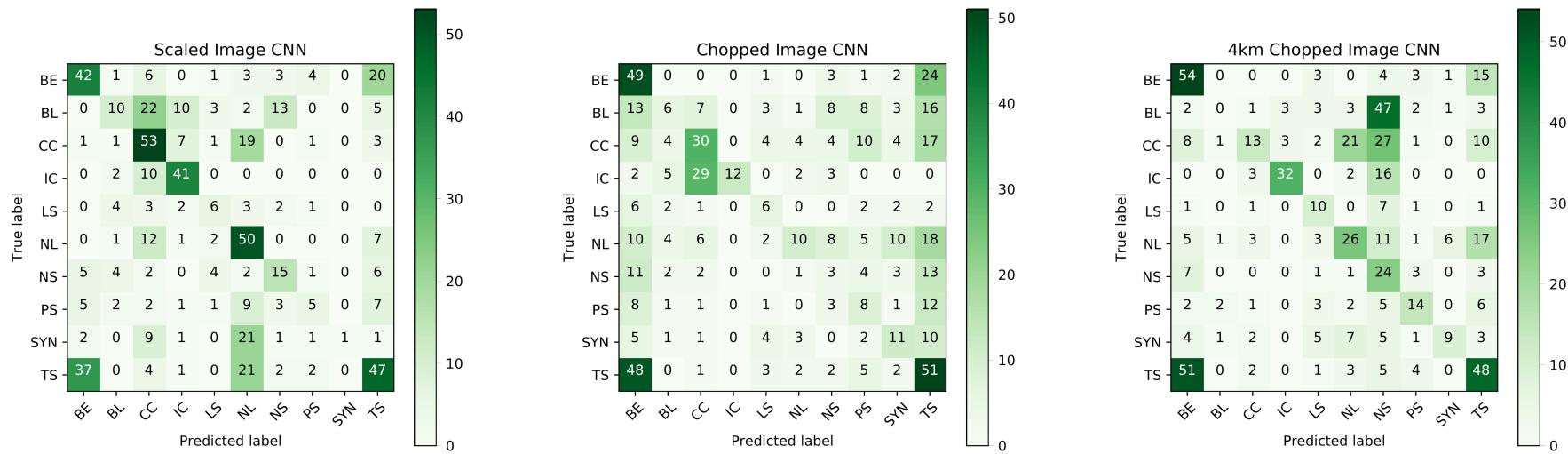
Supplemental Results: Confusion Matrices



Supplemental Results: Confusion Matrices



Supplemental Results: Confusion Matrices



Examples of Highest Confidence Results

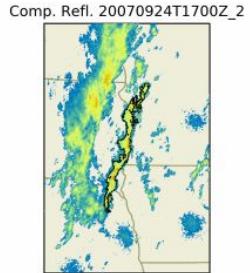
IC
Isolated Cells



CC
Cluster of Cells



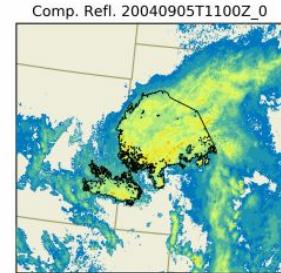
BL
Broken Line



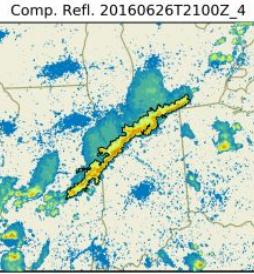
NL
Non-Linear



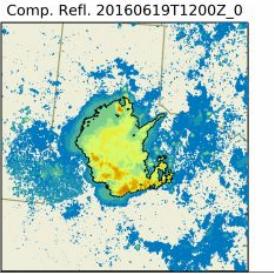
SYN
Synoptic*



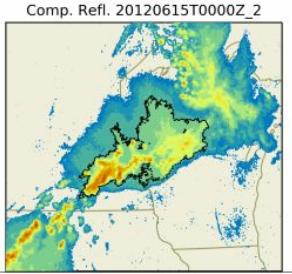
NS
Non-Stratiform



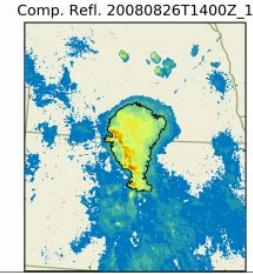
TS
Trailing Stratiform



PS
Parallel Stratiform



LS
Leading Stratiform



BE
Bow Echo

