

Notes: Chern-Simons theory, quantum error correction, anyons, and higher form symmetries

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1 Chern-Simons theory

Taken from page 18, 61 of my PHYS733 notes and [1].

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1.1 Gauge theory basics

Consider a d -dimensional manifold M and a bundle $E \rightarrow M$. A vector potential A is a $\text{End}(E)$ -valued one-form; that is, $A \in \Gamma(T^*M \otimes \text{End}(E))$. A is defined by the connection D . It turns out that any connection D can be written as $D_v s = D_v^0 s + A(v)s$ (where $D_v s$ denotes the covariant derivate of a section $s \in \Gamma(E)$ wrt the vector field $v \in \Gamma(TM)$). Here D^0 denotes some trivial flat connection, which depends on a choice of local trivialization of E . On each local patch U , then, we get $D_\mu = \partial_\mu + A_\mu$. Locally, we can pick a basis $\{T_i\}$ of $\text{End}(E|_U)$ and write $A_\mu = A_\mu^i T_i$.

Let's recall the relationship between A and the Christoffel symbols. For just this paragraph then, we consider $E = TM$. Pick a local basis e_1, \dots, e_d for sections of TM . The Christoffel symbols are defined as $D_\mu e_\nu = \Gamma_{\mu\nu}^\lambda e_\lambda$. In a similar way, recall that $D_\mu e_\nu = \partial_\mu e_\nu + A_\mu e_\nu = A_\mu e_\nu$. Since A_μ is in $\text{End}(E)$, we can write it as $A_\mu = (A_\mu)_\rho^\lambda e_\lambda \otimes e^\rho$, where e^ρ is the dual basis. Hence, $A_\mu e_\nu = (A_\mu)_\rho^\lambda e_\lambda e^\rho(e_\nu) = (A_\mu)_\nu^\lambda e_\lambda$. Thus, $(A_\mu)_\nu^\lambda = \Gamma_{\mu\nu}^\lambda$. By the way, when $E = TM$, the curvature (defined below) is the Riemann curvature tensor. The Riemann curvature tensor can be written in terms of the Christoffel symbols in the same way that the curvature can be written in terms of the gauge field.

If we assume that our F -fiber bundle E has structure group G , then we enforce that the transition functions be maps from overlapping trivialization charts $U_i \cap U_j$ to G , where G acts on F . That is, if $(x_1, \dots, x_d, f^{(i)}(\mathbf{x})) \in U_i \times F$ and $(y_1, \dots, y_d, f^{(j)}(\mathbf{y})) \in U_j \times F$, then on the overlap where \mathbf{x}, \mathbf{y} describe the same point in $U_i \cap U_j$, $f^{(j)}(\mathbf{y}) = g(\mathbf{x})f^{(i)}(\mathbf{x})$, where $g(\mathbf{x}) \in G$ is a continuous map.

On such an intersection, we have that $\frac{\partial}{\partial x^\mu} = \frac{\partial y^\nu}{\partial x^\mu} \frac{\partial}{\partial y^\nu}$. Let v be locally $\frac{\partial}{\partial x^\mu}$ and $s = (x_1, \dots, x_d, f^{(i)}(\mathbf{x})) = (y_1, \dots, y_d, f^{(j)}(\mathbf{y})) = (y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), g(\mathbf{x})f^{(i)}(\mathbf{x}))$. Then in the x trivialization,

$$(D_v s)(\mathbf{x}) = \frac{\partial}{\partial x^\mu} s + A_\mu(\mathbf{x})s \quad (1a)$$

$$= \left(x_1, \dots, x_d, \frac{\partial f^{(i)}(\mathbf{x})}{\partial x^\mu} + A_\mu(\mathbf{x})f^{(i)}(\mathbf{x}) \right). \quad (1b)$$

Whereas in the y trivialization,

$$(D_v s)(\mathbf{x}) = \frac{\partial}{\partial x^\mu} s + A'_\mu(\mathbf{y}(\mathbf{x}))s \quad (2a)$$

$$= \left(y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), \frac{\partial}{\partial x^\mu} f^{(j)}(\mathbf{y}(\mathbf{x})) + A'_\mu(\mathbf{y}(\mathbf{x}))f^{(j)}(\mathbf{y}(\mathbf{x})) \right) \quad (2b)$$

$$= \left(y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), \frac{\partial}{\partial x^\mu} g(\mathbf{x})f^{(i)}(\mathbf{x}) + A'_\mu(\mathbf{y}(\mathbf{x}))g(\mathbf{x})f^{(i)}(\mathbf{x}) \right) \quad (2c)$$

$$= \left(y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), \frac{\partial g(\mathbf{x})}{\partial x^\mu} f^{(i)}(\mathbf{x}) + g(\mathbf{x}) \frac{\partial f^{(i)}(\mathbf{x})}{\partial x^\mu} + A'_\mu(\mathbf{y}(\mathbf{x}))g(\mathbf{x})f^{(i)}(\mathbf{x}) \right) \quad (2d)$$

$$= \left(y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), \left[\frac{\partial g(\mathbf{x})}{\partial x^\mu} + A'_\mu(\mathbf{y}(\mathbf{x}))g(\mathbf{x}) \right] f^{(i)}(\mathbf{x}) + g(\mathbf{x}) \frac{\partial f^{(i)}(\mathbf{x})}{\partial x^\mu} \right). \quad (2e)$$

Recall based on our definition of the transition functions, $(x_1, \dots, x_d, f^{(i)}(\mathbf{x}))$ in the x trivialization is the same as $(y_1(\mathbf{x}), \dots, y_d(\mathbf{x}), g(\mathbf{x})f^{(i)}(\mathbf{x}))$ in the y trivialization. Hence, we find that

$$g(\mathbf{x})^{-1} \left\{ \left[\frac{\partial g(\mathbf{x})}{\partial x^\mu} + A'_\mu(\mathbf{y}(\mathbf{x}))g(\mathbf{x}) \right] f^{(i)}(\mathbf{x}) + g(\mathbf{x}) \frac{\partial f^{(i)}(\mathbf{x})}{\partial x^\mu} \right\} = \frac{\partial f^{(i)}(\mathbf{x})}{\partial x^\mu} + A_\mu(\mathbf{x})f^{(i)}(\mathbf{x}). \quad (3)$$

Hence,

$$A_\mu(\mathbf{x}) = g(\mathbf{x})^{-1} \frac{\partial g(\mathbf{x})}{\partial x^\mu} + g(\mathbf{x})^{-1} A'_\mu(\mathbf{y}(\mathbf{x}))g(\mathbf{x}). \quad (4)$$

Thus, vector potentials related by such a *gauge transformation* represent the same connection, just with a different choice of local coordinates. Changing the location of the primes and $g \rightarrow g^{-1}$ as a convention, we have that

$$A' = g A g^{-1} + g \text{d} g^{-1}. \quad (5)$$

The curvature is a $\text{End}(E)$ -valued 2-form is defined by $F(v, w) = D_v D_w - D_w D_v - D_{[v, w]}$. That is, $F \in \Gamma(\Omega^2(M) \otimes \text{End}(E))$. Note that our definition of A only makes sense in local coordinates, since $D = D^0 + A$ and D^0 depends on local trivializations¹. However, F makes since globally. We will see below what F looks like in terms of A locally.

The intuition of this definition is that we can measure the curvature of a manifold by how much that partial derivative don't commute. But vector fields in general don't commute, so we need to subtract the Lie bracket of the vector fields to compensate. For example, consider a trivial bundle $M \times V$, and a section (function since the bundle is trivial) $f: M \rightarrow V$. Given two vector fields v, w , we have $[v, w](f) = v(w(f)) - w(v(f))$, which is not in general zero. It's not too hard to show that $F(v, w)(fs) = fF(v, w)s$ for any section $s \in \Gamma(E)$ and any function $f \in C^\infty(M)$; this proves that $F(v, w) \in \Gamma(\text{End}(E))$. Furthermore, F is linear in both its arguments, so that in local coordinates

$$F(v, w) = v^\mu w^\nu F(\partial_\mu, \partial_\nu) = v^\mu w^\nu F_{\mu\nu}. \quad (6)$$

One can then work out that

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]. \quad (7)$$

It is also easy to see that $F(v, w)$ is antisymmetric, so that at least locally²

$$F = F_{\mu\nu} dx^\mu \otimes dx^\nu = \frac{1}{2} F_{\mu\nu} dx^\mu \wedge dx^\nu, \quad (8)$$

so that F is indeed a two-form. Indeed, from above, we have that

$$dA + A \wedge A = \partial_\mu A_\nu dx^\mu \wedge dx^\nu + A_\mu A_\nu dx^\mu \wedge dx^\nu \quad (9a)$$

$$= \frac{1}{2} (\partial_\mu A_\nu dx^\mu \wedge dx^\nu + \partial_\nu A_\mu dx^\mu \wedge dx^\nu + A_\mu A_\nu dx^\mu \wedge dx^\nu + A_\mu A_\nu dx^\mu \wedge dx^\nu) \quad (9b)$$

$$= \frac{1}{2} (\partial_\mu A_\nu dx^\mu \wedge dx^\nu - \partial_\nu A_\mu dx^\mu \wedge dx^\nu + A_\mu A_\nu dx^\mu \wedge dx^\nu - A_\mu A_\nu dx^\nu \wedge dx^\mu) \quad (9c)$$

$$= \frac{1}{2} F_{\mu\nu} dx^\mu \wedge dx^\nu \quad (9d)$$

$$= F. \quad (9e)$$

Then, using properties of the exterior derivate, a gauge transformation acts as

$$F' = d(gAg^{-1} + g dg^{-1}) + (gAg^{-1} + g dg^{-1}) \wedge (gAg^{-1} + g dg^{-1}) \quad (10a)$$

$$= dg \wedge Ag^{-1} + g dAg^{-1} - gA \wedge dg^{-1} + dg \wedge dg^{-1} + gAg^{-1} \wedge gAg^{-1} \\ + gAg^{-1} \wedge g dg^{-1} + g dg^{-1} \wedge gAg^{-1} + g dg^{-1} \wedge g dg^{-1} \quad (10b)$$

$$= dg \wedge Ag^{-1} + g dAg^{-1} + A_g \wedge dgg^{-1} - dg \wedge g^{-1} dgg^{-1} + A_g \wedge A_g \\ - A_g \wedge dgg^{-1} - dgg^{-1} \wedge A_g + dgg^{-1} \wedge dgg^{-1} \quad (10c)$$

$$= g dAg^{-1} + dgg^{-1} \wedge A_g + A_g \wedge dgg^{-1} - d(dgg^{-1}) + A_g \wedge A_g - A_g \wedge dgg^{-1} - dgg^{-1} \wedge A_g + d(dgg^{-1}) \quad (10d)$$

$$= g dAg^{-1} + A_g \wedge A_g + dgg^{-1} \wedge A_g + A_g \wedge dgg^{-1} - A_g \wedge dgg^{-1} - dgg^{-1} \wedge A_g \quad (10e)$$

$$= gFg^{-1} + dgg^{-1} \wedge A_g + A_g \wedge dgg^{-1} - A_g \wedge dgg^{-1} - dgg^{-1} \wedge A_g \quad (10f)$$

$$= gFg^{-1}, \quad (10g)$$

where we defined $A_g = gAg^{-1}$ and used that $gg^{-1} = 1$ so that $dgg^{-1} + g dg^{-1} = 0$, and that $d(dgg^{-1}) = -dg \wedge dg^{-1} = dg \wedge g^{-1} dgg^{-1} = dgg^{-1} \wedge dgg^{-1}$.

Now, when working with a structure group G , we have the associated bundle to a vector bundle E . Suppose for example that $G = \text{U}(n)$ and E a \mathbb{C}^n vector bundle. When we choose $G = \text{U}(n)$, we are adding an

¹Once we have a choice of D^0 , A makes sense as a global one form I think. But the point is that A as a one-form depends on our choice of D^0 , which depends on local trivializations. We will show below that in local coordinates under a gauge transformation, $F \rightarrow gFg^{-1}$, so that $\text{Tr}(F)$ is a globally-defined gauge invariant two-form.

²Do we need to do something else to make sure this is well-defined globally or is it fine as is?

additional structure that moving from patch to patch should not change the norm of a vector. With our additional structure, we want a connection that when parallel transporting a vector does not change the norm. For example, suppose in local coordinates $s = (\mathbf{x}, v(\mathbf{x}))$ is a local section. This section is the parallel transport of $(\mathbf{x}_0, v(\mathbf{x}_0))$ along the μ direction if $D_\mu s = 0$ at \mathbf{x}_0 . Hence $\partial_\mu v(\mathbf{x}_0) = -A_\mu v(\mathbf{x}_0)$. The change in norm squared of the vector is $(\partial_\mu v)^T v + v^T (\partial_\mu v) = -v^T (A_\mu^T + A_\mu)v$. For this to be zero, A_μ must be in Lie algebra of $U(n)$. We can perform similar analysis for more general groups. That's why we generally want A to be Lie algebra valued rather than just $\text{End}(E)$ valued. So in summary, $A \in \Gamma(\mathfrak{g} \otimes T^*M)$. One thing to mention is that if we specifically work with the associated principle G -bundle, then A *must* be Lie algebra valued. For example, consider the $U(n)$ -bundle and a local section $(\mathbf{x}, g(\mathbf{x}))$. $g(\mathbf{x})$ is a parallel transport if $\partial_\mu g(\mathbf{x}_0) = -A_\mu g(\mathbf{x}_0)$. g can be written as $e^{B(\mathbf{x})}$ for B in the Lie algebra, thus giving $\partial_\mu B(\mathbf{x}_0) = -A_\mu$. Since the Lie algebra is a vector space, $\partial_\mu B(\mathbf{x}_0)$ is an element of the Lie algebra, so that A_μ must be as well.

Define the **exterior covariant derivative** d_D wrt the connection D as follows. d_D will act on E valued differential forms; that is, elements of $\Gamma(\Omega^m(M) \otimes E)$. It will also act on $\text{End}(E)$ valued forms. Recall the formula $d_f(v) = v(f)$. We generalize this as

$$d_D s(v) := D_v s \quad s \in \Gamma(E) \tag{11a}$$

$$d_D(s \otimes \omega) := d_D s \wedge \omega + s \otimes d\omega \quad \omega \in \Omega^m(M). \tag{11b}$$

This can naturally extend to acting on $\text{End}(E)$ valued forms (see around page 251). In local coordinates, $d_D s = D_\mu s \otimes dx^\mu$. As before, when working locally we used $D_\mu^0 = \partial_\mu$ so that $d_{D^0} = d$. From $D = D^0 + A$, one can show that [1, p. 259]

$$d_D \omega = d\omega + A \wedge \omega \quad \omega \in \Gamma(\Omega^m(M) \otimes E) \tag{12a}$$

$$d_D \eta = d\eta + [A, \eta] \quad \eta \in \Gamma(\Omega^m(M) \otimes \text{End}(E)), \tag{12b}$$

where

$$[\omega, \eta] := \omega \wedge \eta - (-1)^{pq} \mu \wedge \eta \quad \omega \in \Gamma(\Omega^p(M) \otimes \text{End}(E)), \quad \eta \in \Gamma(\Omega^q(M) \otimes \text{End}(E)). \tag{13}$$

1.2 Holonomy and Wilson loops

Consider locally a vector $s(t) = (\gamma(t), f(t))$ valued in E , and consider a path $\gamma(t)$. $s(t)$ is parallel transported along $\gamma(t)$ if $D_{\dot{\gamma}(t)} s(t) = 0$. This becomes $\dot{\gamma}^\mu(t) \partial_\mu f(\gamma(t)) = -\dot{\gamma}^\mu(t) A_\mu f(t)$. Hence, we have $\dot{f}(t) = -\dot{\gamma}^\mu(t) A_\mu f(t)$. The solution is $f(t) = P \exp \left[- \int_0^t A_\mu \dot{\gamma}^\mu dt \right] f(0)$. But this is exactly a line integral along the path $\gamma(t)$. Hence, we find that the **holonomy** is

$$H(\gamma, A) = P \exp \left[- \int_\gamma A \right]. \tag{14}$$

Note that when A defines a G -connection (i.e. A is \mathfrak{g} -valued), then $H(\gamma, A) \in G$.

Let's assume wlog that the start of the path is $t = 0$ and end is $t = 1$. Consider a gauge transformaton $A \rightarrow A'$. We know that the fiber $f(t)$ in one gauge (trivialization) is related to the the fiber in the other gauge via $f(t) \rightarrow g(\gamma(t))f(t)$ for $g(\gamma(t)) \in G$. Hence $f(1) = H(\gamma, A)f(0)$ and $g(\gamma(1))f(1) = H(\gamma, A')g(\gamma(0))f(0)$. Thus, under a gauge transformation, $H(\gamma, A) \rightarrow g(\gamma(1))^{-1} H(\gamma, A) g(\gamma(0))$. Recall again though as above, usually the convention for a gauge transformation swaps inverses compared to what I just did. Thus, under a gauge transformation, the holonomy transforms as

$$H(\gamma, A) \rightarrow g(\gamma(1)) H(\gamma, A) g(\gamma(0))^{-1}. \tag{15}$$

The holonomy around a loop $\gamma(0) = \gamma(1)$ is an endomorphism on $E_{\gamma(0)}$. Since $g(\gamma(0)) = g(\gamma(1))$, we see that the **Wilson loop** $\text{Tr}(H(\gamma, A))$ is gauge invariant.

Let's restrict ourselves to closed loops γ from now on. Consider the case when γ is contractable (homotopically trivial). Then γ is the boundary of some surface S . If G is abelian, then we can apply Stokes theorem

to find that

$$H(\gamma_{\text{trivialloop}}, A_{\text{abelian}}) = \exp \left[- \oint_{\gamma} A \right] = \exp \left[- \int_S dA \right] = \exp \left[- \int_S F \right]. \quad (16)$$

Thus, the holonomy group around trivial loops is trivial if and only if the connection is flat / the curvature vanishes. This same result holds in the non-Abelian case, but it is less trivial to prove because of the path ordering. We cannot simply apply Stoke's theorem. So we won't show it here, but I think it is the Ambrose-Singer theorem³. When the connection is flat, then holonomy is equal to monodromy; that is, the only nontrivial holonomies comes from nontrivial loops. Hence, the holonomy group forms a representation of the fundamental group of M .

1.3 Yang-Mills

If T is a section of $\text{End}(E)$, we define the trace $\text{Tr}(T): \mathcal{M} \rightarrow \mathbb{C}$ as $p \mapsto \text{Tr}(T(p))$ ⁴. Similarly, for any differential form ω , we define $\text{Tr}(T \otimes \omega) = \text{Tr}(T)\omega$. The Yang-Mills (YM) action is

$$S_{YM}(A) = \frac{1}{2} \int_M \text{Tr}(F \wedge \star F) = \frac{1}{2} \int_M \text{Tr}(F_{\mu\nu} F^{\mu\nu}) \text{vol}, \quad (17)$$

where \star is the Hodge star operator, which of course contains metric information. Since it contains metric information, this is not a topological field theory. Since $F \rightarrow gFg^{-1}$ under a gauge transformation, the YM actions is trivially gauge invariant.

Let's consider a variation $A \rightarrow A + \delta A$. Then

$$\delta F = d\delta A + A \wedge \delta A + \delta A \wedge A + \mathcal{O}(\delta A^2) = d_D \delta A. \quad (18)$$

A variation of the action gives

$$\delta S_{YM} = \frac{1}{2} \int_M \text{Tr}(\delta F \wedge \star F + F \wedge \star \delta F) = \int_M \text{Tr}(\delta F \wedge \star F) = \int_M \text{Tr}(d_D \Delta A \wedge \star F) = \int_M \text{Tr}(\delta A \wedge d_D \star F), \quad (19)$$

where we used [1, Exercise 119]. Hence the classical EOM for the YM action is $d_D \star F = 0$.

1.4 Chern classes

The YM action treats the metric as a fixed background structure. But given that in general relativity, the metric should be dynamical, there's a basic philosophy that fixed background structures are undesirable. Instead, we often want an action where everything is being integrated over. With this in mind, consider instead the action

$$S(A) = \int_M \text{Tr}(F^n) = \int_M \text{Tr}(F \wedge \cdots \wedge F) \quad (20)$$

when M is a $2n$ dimensional manifold, which is again trivially gauge invariant. The Lagrangian density is the n^{th} **Chern form**. We consider the variation

$$\delta S = n \int_M \text{Tr}(\delta F \wedge F^{n-1}) = n \int_M \text{Tr}(d_D \delta A \wedge F^{n-1}) = n \int_M \text{Tr}(\delta A \wedge d_D F^{n-1}). \quad (21)$$

The Bianchi identity takes the form $d_D F = 0$ [1, p. 255]. Hence, with Leibniz's law, we have that $d_D F^{n-1} = 0$. What we therefore realize is that $S(A)$ is independent of A , so that $S(A)$ is a topological invariant of the bundle $E \rightarrow M$! Any connection on E yields the same invariant.

Furthermore, [1, Exercise 118] says that $\text{Tr}(d_D \omega) = d \text{Tr}(\omega)$ for an $\text{End}(E)$ valued form ω . This implies that the n^{th} **Chern form** $\text{Tr}(F^k)$ is closed, $d \text{Tr}(F^k) = \text{Tr}(d_D F^k) = 0$. Hence, the Chern form defines a

³See also [here](#)

⁴I probably should have mentioned a while ago that we are of course working with a fixed representation of G throughout, and with this we define a trace. I am always assuming that G is a matrix Lie group so that the trace is just the ordinary trace.

cohomology class in $H^{2k}(M)$. The Chern form itself depends on the connection A , but the cohomology class does not, since if we change A the Chern form changes by an exact form [1, p. 281]

$$\mathrm{Tr}(F'^k) - \mathrm{Tr}(F^k) = k \mathrm{d} \left(\int_0^1 \mathrm{Tr}(\delta A \wedge F_s^{k-1}) \mathrm{d}s \right), \quad \delta A = A' - A, \quad A_s = A + s\delta A. \quad (22a)$$

We can therefore define the k^{th} **Chern class** $C_k(E)$ of the vector bundle $E \rightarrow M$ to be the cohomology class of $\mathrm{Tr}(F^k)$, where F is the curvature of *any* connection on E . It turns out that when M is compact and oriented,

$$\frac{(\mathrm{i}/2\pi)^k}{k!} \int_N \mathrm{Tr}(F^k) \in \mathbb{Z} \quad (23)$$

for any compact oriented manifold N mapped into M [1, pp. 282]. [I think this is related to the Pontragin characteristic classes. Indeed, when the manifold has a spin structure, using the index theorem once can show that the Pontragin class is divisible by 2 [2, pp. 7]. Work through this!]

Example 1 (Quantization of the first Chern class of a U(1) connection⁵). Consider A a U(1) connection and M a two-dimensional closed manifold. Let γ be a contractable loop. Recall that $H(\gamma, A) \in \mathrm{U}(1)$, and by definition Eq. (14), $H(\gamma^{-1}, A) = \overline{H(\gamma, A)}$. Using this and Stoke's theorem (since γ is contractable), we have

$$1 = H(\gamma^{-1}, A)H(\gamma, A) = \exp \left[- \oint_{\gamma} A - \oint_{\gamma^{-1}} A \right] = \exp \left[- \int_{\text{int of } \gamma} \mathrm{d}A - \int_{\text{ext of } \gamma} \mathrm{d}A \right] = \exp \left[- \int_M F \right]. \quad (24)$$

Thus, we find that $\frac{1}{2\pi\mathrm{i}} \int_M F \in \mathbb{Z}$. In the physicists convention, $F \rightarrow F/\mathrm{i}$, so that you will often see instead $\int_M F \in 2\pi\mathbb{Z}$. \diamond

Example 2. We have been assuming that a particle coupled to A (ie. a section of A) picks up a phase $e^{\int A}$. In reality, it picks up $e^{\frac{q}{\hbar} \int A}$. Thus, we have implicitly set $q/\hbar = 1$. This means that the true magnetic field is $\frac{\hbar}{q}$ times the curl of A , where A is the same A we have been working with.

If we have a different charged particle, it corresponds to a different bundle E' . It is different because the fibre is a representation of U(1). The representations of U(1) are labeled by integers. Thus, all particles have charge that is an integer multiple of some fundamental charge q .

Suppose that we have a magnetic monopole of charge g at the origin. This means that the vector potential cannot be defined for all of space. In other words, $\nabla \cdot B = g\delta(x)$. Instead, we can think about defining the gauge field on the spacetime manifold $\mathbb{R}^4 \setminus \mathbb{R} \cong \mathbb{R}^2 \times S^2 \simeq S^2$ (spacetime with time trajectory of one particle taken out). Since there is a magnetic monopole, we get

$$g = \int_{S^2} B \cdot \hat{n} = \frac{\hbar}{q} \int_{S^2} F = 2\pi \frac{\hbar}{q} C_1(E) = hC_1(E)/q. \quad (25)$$

Thus, we get the Dirac charge quantization condition $gq \in h\mathbb{Z}$. See also [3]. \diamond

Example 3 (U(1) vs \mathbb{R} gauge field⁶). Notice from above that the choice of a U(1) gauge theory immediately implied the electric charge must be integer multiples of some fundamental unit of charge (that is, a charged particle is a section of a line bundle which depends on a choice of representation of U(1)) [check this]. Quantization of magnetic charge comes from Chern classes.

The same is not true for an \mathbb{R} gauge theory. The Lie algebra is the same as in the U(1) case, but the topology of the group is different. Here, we have many more representations. Furthermore, we don't get even get magnetic monopoles. Let's see how that works.

Recall from above we found that $g \propto \int_{S^2} F$. This gives us $g \propto \int_N F + \int_S F = \int_E (A_N - A_S)$, where N, S refer to the northern and southern hemisphere and E to the equator, and we used Stoke's theorem. On the overlapping area E where A_N and A_S are defined, they must differ only by a gauge transformation.

⁵See eg. <https://physics.stackexchange.com/a/678862/114833>

⁶See eg. <https://physics.stackexchange.com/a/617965/114833>

Recalling Eq. (5), this tells us that $g \propto \int_E g \, dg^{-1} = - \int_E dg g^{-1}$. Parameterize the equator E by $z = e^{i\theta}$, giving $g \propto \oint_{|z|=1} g'(z)g(z)^{-1} dz$. For $g(z) \in U(1)$, we could for example let $g(z) = z$. This is a totally well-defined gauge transformation. This results in $g \propto 1$, by the residue theorem. On the other hand, for \mathbb{R} gauge fields, we have g of the form e^r , so that $g(z) = e^{r(z)}$, with $r(z) \in \mathbb{R}$. This yields $g \propto \oint r'(z) dz$. In order for $g(z) = e^{r(z)} \in \mathbb{R}$ to be a well-defined function on E , it must be that $r(z)$ is a well-defined function on E (this is not the case for $e^{i\theta(z)}$). Therefore, $g \propto \oint r'(z) dz = 0$.

[https://www.reddit.com/r/AskPhysics/comments/1ep7qj3/if_em_gauge_group_were_r_instead_of_u1/, https://www.reddit.com/r/AskPhysics/comments/lelerj/comment/gmi1nqo/?utm_source=share&utm_medium=web3x&utm_name=web3xcss&utm_term=1&utm_content=share_button relevant for \mathbb{R} gauge theory. In particular, if you just have pure gauge theory, then $U(1)$ and \mathbb{R} gauge theory seem to be the same (you can just see this from the equations of motion). But once you couple to, say, a scalar field, you get different Lagrangians depending on the gauge field.]

In general, regardless of classical or quantum, we have the following⁷. In a pure gauge theory (either with no couplings or with couplings only to external currents), we can't tell the difference between the gauge group being G or being a covering \tilde{G} of G . This is because the Lie algebras are the same. However, once we couple to other fields, the choice of G matters because of the way the fields transform under a gauge transformation. \diamond

1.5 Chern-Simons theory

Recall that $\text{Tr}(F^k)$ is the k^{th} Chern form. The k^{th} **Chern-Simons form** is the $\text{End}(E)$ -valued $(2k-1)$ form ω satisfying $d\omega = \text{Tr}(F^k)$. In the case of $k=1$, we have that $d\text{Tr}(A) = \text{Tr}(d_D A)$ from above, and $d_D A = dA + A \wedge A = F$. Hence, $\text{Tr}(A)$ is the first Chern Simons form.

For $k=2$, we have that

$$d\text{Tr}(A \wedge dA + \frac{2}{3}A \wedge A \wedge A) = \text{Tr}(d_D(A \wedge dA) + \frac{2}{3}d_D(A \wedge A \wedge A)) \quad (26a)$$

$$= \text{Tr}(d(A \wedge dA) + A \wedge A \wedge dA + \frac{2}{3}d(A \wedge A \wedge A) + \frac{2}{3}A \wedge A \wedge A \wedge A) \quad (26b)$$

$$= \text{Tr}(d(A \wedge dA) + A \wedge A \wedge dA + \frac{2}{3}d(A \wedge A \wedge A)) \quad (26c)$$

$$= \text{Tr}(dA \wedge dA + A \wedge A \wedge dA + \frac{2}{3}dA \wedge A \wedge A - \frac{2}{3}A \wedge dA \wedge A + \frac{2}{3}A \wedge A \wedge dA) \quad (26d)$$

$$= \text{Tr}(dA \wedge dA + A \wedge A \wedge dA + 2A \wedge A \wedge dA) \quad (26e)$$

$$= \text{Tr}((dA + A \wedge A) \wedge (dA + A \wedge A)) \quad (26f)$$

$$= \text{Tr}(F \wedge F), \quad (26g)$$

, where we used that

$$\text{Tr}(A \wedge A \wedge A \wedge A) = \text{Tr}(A_\mu A_\nu A_\rho A_\sigma) dx^\mu \wedge dx^\nu \wedge dx^\rho \wedge dx^\sigma \quad (27a)$$

$$= \frac{1}{2} \text{Tr}(A_\mu A_\nu A_\rho A_\sigma) (dx^\mu \wedge dx^\nu \wedge dx^\rho \wedge dx^\sigma - dx^\sigma \wedge dx^\mu \wedge dx^\nu \wedge dx^\rho) \quad (27b)$$

$$= \frac{1}{2} \text{Tr}(A_\mu A_\nu A_\rho A_\sigma - A_\sigma A_\mu A_\nu A_\rho) dx^\mu \wedge dx^\nu \wedge dx^\rho \wedge dx^\sigma \quad (27c)$$

$$= 0. \quad (27d)$$

Thus, the **Chern-Simons three-form** is

$$\text{Tr}(A \wedge dA + \frac{2}{3}A \wedge A \wedge A). \quad (28)$$

⁷<https://physics.stackexchange.com/a/353848/114833>

The **Chern-Simons action** for the vector potential A on a 3-dimensional space S is

$$S_{\text{CS}}(A) = \int_S \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A). \quad (29)$$

The classical equations of motion come from $A \rightarrow A + a$ and expanding to first order in a :

$$S_{\text{CS}}(A + a) - S_{\text{CS}}(A) = \int_S \text{Tr} \left[a \wedge dA + A \wedge da + \frac{2}{3} (a \wedge A \wedge A + A \wedge a \wedge A + A \wedge A \wedge a) \right] \quad (30a)$$

$$= \int_S \text{Tr} \left[a \wedge dA + d(A + a) - dA \wedge a + \frac{2}{3} (a \wedge A \wedge A + A \wedge a \wedge A + A \wedge A \wedge a) \right] \quad (30b)$$

$$= \int_S \text{Tr}[2a \wedge dA + 2a \wedge A \wedge A] \quad (30c)$$

$$= 2 \int_S \text{Tr}(a \wedge F), \quad (30d)$$

where we used that $\text{Tr}(A \wedge a) = -\text{Tr}(a \wedge A)$ and $\text{Tr}(a \wedge A \wedge A) = \text{Tr}(A \wedge a \wedge A) = \text{Tr}(A \wedge A \wedge a)$ by a similar argument that we used above to prove that $\text{Tr}(A^4) = 0$. Hence, the classical EOM for CS theory is vanishing curvature $F = 0$. That is, classical solutions to G CS theory are flat connections. Since flat connections are determined entirely by monodromies (holonomies around nontrivial cycles), we see that classical solutions are in one-to-one correspondence with $\text{Hom}(\pi_1(M), G)/\sim$, where \sim denotes conjugation by G . Consider for example a $(2+1)\text{D}$ spacetime $\mathbb{R} \times T^2$. Since $\pi_1(T^2) = \mathbb{Z}^2 = \langle a, b \rangle$, classical solutions are in one-to-one correspondence with elements of $\text{Hom}(\mathbb{Z}^2, \text{U}(1)) = T^2$. In other words, the Wilson loops $W_{a/b} = \exp[-\oint_{a/b} A] \in \text{U}(1)$ define a point $(W_a, W_b) \in \text{U}(1) \times \text{U}(1) = T^2$.

The CS action is invariant under orientation-preserving diffeomorphisms ϕ of S (that is, since integration is coordinate independent, $\int \omega = \int \phi^* \omega$ so that $S_{\text{CS}}(A) = S_{\text{CS}}(\phi^* A)$). The Chern-Simons action is a boundary term that shows up when we integrate the second Chern form over a four-dimensional spacetime of the form $M = [0, 1] \times S$.

The CS action is invariant under small gauge transformations (those g for which there is a smooth one-parameter family of gauge transformations g_s with $g_0 = 1$ and $g_1 = g$), and is invariant up to $8\pi^2 N$ for some $N \in \mathbb{Z}$ under large gauge transformations. This argument is easy to see for trivial bundles and $\text{U}(1)$ gauge fields A . But let's do it more generally. I summarize my PHYS733 notes, now with the addition of the details from above. Strictly speaking, the CS form is only a locally-defined form. So as I said above, we should really think of the CS action on M^3 as being the action with the Chern form on W^4 , where $\partial W^4 = M^3$. Then,

$$S = \int_{W^4} \text{Tr}(F \wedge F) = \int_{W^4} d \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A) = \int_{M^3} \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A). \quad (31)$$

We already showed above that $\text{Tr}(F \wedge F)$ is trivially gauge invariant, we we know that our action is gauge invariant. Note that in order to define this, we needed to extend A , which was originally over M^3 , to all of W^4 . Recall also above we showed that $\int_{W^4} \text{Tr}(F \wedge F)$ was the same for *every* A . Thus, we just need to consider what happens when we choose a different manifold W_2^4 with $\partial W_2^4 = M^3$. We consider gluing $W_1^4 = W^4$ to W_2^4 along their mutual boundary M^3 ; in doing so, we define $W_{\text{closed}}^4 = W_1^4 \cup_{M^3} \bar{W}_2^4$. Then we have from above there there exists some integer $N \in \mathbb{Z}$ such that

$$8\pi^2 N = \int_{W_{\text{closed}}^4} \text{Tr}(F \wedge F) = \int_{W_1^4} \text{Tr}(F \wedge F) - \int_{W_2^4} \text{Tr}(F \wedge F). \quad (32)$$

In conclusion, we have seen that we can make sense of

$$S_{\text{CS}}(A) = \frac{k}{4\pi} \int_{M^3} \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A) \quad (33)$$

by considering it as a boundary theory, and it makes sense as a physical theory whenever $k \in \mathbb{Z}$ because $e^{iS_{\text{CS}}(A)}$ is independent of the choice of extending M^3 to W^4 (i.e. $e^{iS_{\text{CS}}^{(W_1)}(A) - iS_{\text{CS}}^{(W_2)}(A)} = e^{i\frac{8\pi^2 N}{4\pi} k} = e^{2\pi N k} = 1$). Gauge invariant quantities are, as we showed above, Wilson loops $\text{Tr } P \exp[-\oint A]$.

Finally, for bosonic vs spin CS theories, see Appendix B.

Solving for the EOM for $S_{\text{CS}}(A) + S_{\text{YM}}(A)$ for $G = \text{U}(1)$ (i.e. $S_{\text{YM}} = S_{\text{Maxwell}}$), one finds that the photon gets a mass for nonzero k . But, since there are two derivatives in the Maxwell term and only one in the CS term, the CS theory dominates at low energies (long wavelengths).

1.6 1-form symmetry

Recall from Appendix D that if we have $d \star J = 0$ where J is a $(q+1)$ -form, then we have a q -form symmetry $U_\alpha(\Sigma) = \exp(i\alpha Q_\Sigma)$ for charged operators $Q_\Sigma = \int_\Sigma \star J$. Here Σ is a codimension $(q+1)$ manifold.

In the case of a Minkowski metric and 2-form $J = \frac{1}{2}J_{\mu\nu} dx^\mu \wedge dx^\nu$, $d \star J = 0$ becomes $\partial^\mu J_{\mu\nu} = 0$ and $J_{\mu\nu}$ of course antisymmetric. The classical EOMs $F = 0$ imply that $J_{\mu\nu} = \varepsilon^{\mu\nu\rho} A_\rho$ is conserved. This gives $\star J = A_\mu dx^\mu = A$. Hence the unitary operator implementing the 1-form symmetry is the Wilson loop.

1.7 Coupling to a current

Let's consider the $G = \text{U}(1)$ case (I think something analogous holds for non-Abelian G with the addition of various traces and such). Recall from my other notes that a vector field $J^\mu \partial_\mu$ satisfies $\nabla_\mu J^\mu = 0$ if and only if the one form $J = J_\mu dx^\mu$ satisfies $d \star J = 0$. (This is for the Levi-Civita connection ∇_ν). Given such a conserved current, we can perform a minimal coupling by adding the term $A \wedge \star J$ to the action. This works because given a gauge transformation $A \rightarrow A + df$, we get $A \wedge \star J \rightarrow A \wedge \star J + d(f \wedge \star J) - f \wedge (d \star J)$. Since the second term is a total derivative and the third term is zero since J is a conserved current, this gives a gauge invariant coupling,

$$A \wedge \star J = A_\mu J_\nu dx^\mu \wedge \star dx^\nu \quad (34a)$$

$$= A_\mu J_\nu \frac{\sqrt{g}}{2} g^{\nu\sigma_1} \varepsilon_{\sigma_1\sigma_2\sigma_3} dx^\mu \wedge dx^{\sigma_2} \wedge dx^{\sigma_3} \quad (34b)$$

$$= A_\mu J^\nu \frac{\sqrt{g}}{2} \varepsilon_{\nu\sigma_2\sigma_3} \varepsilon^{\mu\sigma_2\sigma_3} dx^1 \otimes dx^2 \otimes dx^3 \quad (34c)$$

$$= A_\mu J^\nu \frac{\sqrt{g}}{2} \varepsilon_{\nu\sigma_2\sigma_3} \varepsilon^{\mu\sigma_2\sigma_3} dx^1 \otimes dx^2 \otimes dx^3 \quad (34d)$$

$$= A_\mu J^\nu \sqrt{g} \delta_\nu^\mu dx^1 \otimes dx^2 \otimes dx^3 \quad (34e)$$

$$= A_\mu J^\mu \text{vol.} \quad (34f)$$

1.8 Quantizing Chern-Simons theory

I will work through canonical quantization of $\text{U}(1)$ CS theory on $M = T^2 \times \mathbb{R}$. [to do: work through non-Abelian CS quantization from e.g. here] [Work out path integral quantization, see here]

We begin with $S = \frac{k}{4\pi} \int_M A \wedge dA = \frac{k}{4\pi} \int A_\mu \partial_\nu A_\lambda \varepsilon^{\mu\nu\lambda} d^3x$. We have that $A_\mu \sim A_\mu + \partial_\mu f$ via a gauge transformation. So we can always choose a gauge where $A_0 = 0$. This yields $S = \frac{k}{4\pi} \int A_\mu \dot{A}_\lambda \varepsilon^{\lambda\mu} d^3x = \frac{k}{4\pi} \int (A_y \dot{A}_x - A_x \dot{A}_y) d^3x$. The momenta conjugate to A_x, A_y are

$$P_x = \frac{\partial \mathcal{L}}{\partial \dot{A}_x} = \frac{k}{4\pi} A_y, \quad P_y = \frac{\partial \mathcal{L}}{\partial \dot{A}_y} = -\frac{k}{4\pi} A_x. \quad (35)$$

We see however that this is overcounting variables, since A_y is conjugate to A_x and vice versa. We can make this more clear by integrating by parts, giving $S = \frac{k}{4\pi} \int (2A_y \dot{A}_x - \frac{\partial}{\partial t}(A_x A_y)) d^3x$. Since it's a total derivative, we can ignore it, giving the action $S = \frac{k}{2\pi} \int A_y \dot{A}_x d^3x$. Then we have a single coordinate $x = A_x$

and its conjugate momentum $p = kA_y/2\pi$. The Poisson bracket is then $\{x(\mathbf{x}), p(\mathbf{y})\} = \delta(\mathbf{x} - \mathbf{y})$. We therefore promote the A to operators,

$$\{A_x(\mathbf{x}), A_y(\mathbf{y})\} = \frac{2\pi}{k} \{x(\mathbf{x}), p(\mathbf{y})\} = \frac{2\pi}{k} \delta(\mathbf{x} - \mathbf{y}) \implies [A_x(\mathbf{x}), A_y(\mathbf{y})] = \frac{2\pi i}{k} \delta(\mathbf{x} - \mathbf{y}). \quad (36)$$

The Hamiltonian is $p\dot{x} - L = 0$.

Note that a more correct approach is to consider canonical quantization of the Dirac bracket rather than the Poisson bracket; see [4, Ap. A] for a nice short review. The upshot is, as we saw above, depending on whether or not we integrate by parts, we get a different Poisson bracket. If we however consider the Dirac bracket, then we would find that in either case, we get the same Dirac bracket, and so we can proceed with canonical quantization either way to get the same results.

Consider the Wilson loops around the two independent cycles of the torus. This gives us $W_i = e^{\theta_i}$ for $i = 1, 2$, where $\theta_i = -\oint_{\gamma_i} A_i$. Then, $[\theta_1, \theta_2] = \oint_{\gamma_x} \oint_{\gamma_y} [A_x, A_y] = \frac{2\pi i}{k}$. Hence $W_x W_y = W_y W_x e^{[\theta_x, \theta_y]}$. Thus we find that the Wilson loops obey the algebra $W_x W_y = e^{2\pi i/k} W_y W_x$. The smallest nontrivial representation of this algebra has dimension k , so this gives a k -fold degenerate ground state space. (The ground state space needs to form a representation of the Wilson algebra because the Wilson operators commute with the Hamiltonian, since $H = 0$).

1.9 Braiding

Some of this is taken from [these notes](#). Another nice review is [5, Ap. B].

We consider $S = \frac{1}{4\pi} \int_M K_{IJ} A^I \wedge dA^J + \int_M A^I \wedge \star J_I$ for U(1) gauge fields. To first order in a ,

$$S[A + a] - S[A] = \frac{1}{4\pi} \int_M K_{IJ} (a^I \wedge dA^J + A^I \wedge da^J) + \int_M a^I \wedge \star J_I \quad (37a)$$

$$= \frac{1}{4\pi} \int_M K_{IJ} (a^I \wedge dA^J - a^J \wedge dA^I) + \int_M a^I \wedge \star J_I, \quad (37b)$$

where we integrated by parts. So the classical EOM is

$$\frac{1}{4\pi} (K_{IJ} + K_{JI}) F^J + \star J_I = 0. \quad (38)$$

Recall that we assumed that K is symmetric, and therefore,

$$K_{IJ} F^J = -2\pi \star J_I \implies \quad (39a)$$

$$K_{IJ} \varepsilon^{\mu\nu\rho} F_{\mu\nu}^J = 4\pi (J_\rho)_J \quad (39b)$$

$$K_{IJ} \varepsilon^{\mu\nu\rho} \partial_\mu (A^\nu)^J = 2\pi (J_\rho)_J. \quad (39c)$$

We now try to extend the treatment of braiding two “particle” from [6, Problem 7.3.1] (see also [7, Sec. 7.1.3.2]). Consider braiding two particles $(J_t)_I = m_I \delta(\mathbf{x} - \mathbf{x}(t)) + n_I \delta(\mathbf{x} - \mathbf{y}(t))$, where $\mathbf{x}(t), \mathbf{y}(t)$ represents the braiding. And we have $(J_i)_I = m_I \dot{x}_i \delta(\mathbf{x} - \mathbf{x}(t)) + n_I \dot{y}_i \delta(\mathbf{x} - \mathbf{y}(t))$. We write $(J_i)_I = (J_i^{(1)})_I + (J_i^{(2)})_I$. We then see that (by plugging in classical EOMs)

$$S = \int_M \frac{-1}{2} A^I \wedge \star J_I + A^I \wedge \star J_I \quad (40a)$$

$$= \frac{1}{2} \int_M A^I \wedge \star J_I. \quad (40b)$$

So we now just need to plug in the A^I that solves $K_{IJ} \varepsilon^{\mu\nu\rho} \partial_\mu (A^\nu)^J = 2\pi (J_\rho)_J$. Suppose that $y = \dot{y} = 0$, so that we just move \mathbf{x} around 0. Then the EOM is

$$K_{IJ} \varepsilon^{\mu\nu 0} \partial_\mu (A^\nu)^J = 2\pi (m_I \delta(\mathbf{x} - \mathbf{x}(t)) + n_I \delta(\mathbf{x})) \quad (41a)$$

$$K_{IJ}\varepsilon^{\mu\nu 1}\partial_\mu(A^\nu)^J = 2\pi(m_I \dot{x}_1 \delta(\mathbf{x} - \mathbf{x}(t))) \quad (41b)$$

$$K_{IJ}\varepsilon^{\mu\nu 2}\partial_\mu(A^\nu)^J = 2\pi(m_I \dot{x}_2 \delta(\mathbf{x} - \mathbf{x}(t))). \quad (41c)$$

The claim is [figure out why this is true] we can just consider the cross term $\frac{1}{2} \int (J_\mu^{(1)})_I (\tilde{A}^\mu)^I$ where \tilde{A}_μ solves

$$K_{IJ}\varepsilon^{\mu\nu 0}\partial_\mu(\tilde{A}^\nu)^J = 2\pi n_I \delta(\mathbf{x}) \quad (42a)$$

$$K_{IJ}\varepsilon^{\mu\nu 1}\partial_\mu(\tilde{A}^\nu)^J = 0 \quad (42b)$$

$$K_{IJ}\varepsilon^{\mu\nu 2}\partial_\mu(\tilde{A}^\nu)^J = 0. \quad (42c)$$

This is solved by $\tilde{A}_t = \tilde{A}_y = 0$, $\tilde{A}_x = -2\pi K^{-1} \mathbf{n} H(y)$, where $H(y)$ is the step function. Since $\mathbf{x}(t)$ goes in a circle around 0, we can set $\mathbf{x}_1 = \cos t$ and $\mathbf{x}_2 = \sin t$. Thus we have

$$\frac{1}{2} \int (J_\mu^{(1)})_I (\tilde{A}^\mu)^I = -\pi n^J (K^{-1})^{IJ} m_I \int H(y) \dot{x}_1 \delta(\mathbf{x} - \mathbf{x}(t)) \quad (43a)$$

$$= \pi n^J (K^{-1})^{IJ} m_I \int H(y) \sin t \delta(\mathbf{x} - \mathbf{x}(t)) \quad (43b)$$

$$= 2\pi n^J (K^{-1})^{IJ} m_I. \quad (43c)$$

Thus, we see that braiding yields a phase $m_I (K^{-1})^{IJ} n_J$. [This was a bit of a sketchy derivation. Work this out more clearly.]

The m and n label charges. m_I, n_I have to be integers so that the loops are gauge invariant [double check this]. We can define the lattice by vectors \mathbf{e}_I , so that $K_{IJ} = \mathbf{e}_I \cdot \mathbf{e}_J$ (where the dot product here is actually the Lorentzian dot product⁸; a better way to think of all of this is that K is a symplectic Gram matrix [8]). Similarly, $(K^{-1})^{IJ} = \mathbf{f}^I \cdot \mathbf{f}^J$ with $\mathbf{e}_I \cdot \mathbf{f}^J = \delta_I^J$. The lattice Λ is exactly the integer combinations of \mathbf{e}_I and this the dual lattice is the integral combinations of \mathbf{f}^I . We can therefore identify \mathbf{m} with a dual lattice vector $\mathbf{u} = m_I \mathbf{f}^I$, and similarly $\mathbf{v} = n_I \mathbf{f}^I$ for \mathbf{n} . Then, $\mathbf{u}, \mathbf{v} \in \Lambda^*$ have braiding phase $2\pi \mathbf{u} \cdot \mathbf{v}$. If we shift \mathbf{u} by a $\boldsymbol{\lambda} \in \Lambda$, then the phase changes by $2\pi \boldsymbol{\lambda} \cdot \mathbf{v} \in 2\pi \mathbb{Z}$; thus the phase doesn't change! We therefore see that distinct charges are labeled by elements Λ^*/Λ .

For Lorentzian lattices, $|\Lambda^*/\Lambda| = |\det K|$ [8, Eq. 33]. Therefore for the toric code, we have 4 distinct anyons.

Braiding m, n yields $B(m, n) = \exp[2\pi i m^T K^{-1} n]$. The topological spin of an anyon m is given by $\theta(m) = \exp[\pi i m^T K^{-1} m]$ (that is, the braiding of two m anyons is the same as exchanging the anyons twice in the above picture). A topological spin of 1 is then a boson and -1 a fermion. We can derive the following nice relationship:

$$\frac{\theta(a+b)}{\theta(a)\theta(b)} = \exp[\pi i(-\langle a, K^{-1}a \rangle - \langle b, K^{-1}b \rangle) + \langle a+b, K^{-1}(a+b) \rangle] \quad (44a)$$

$$= \exp[\pi i(\langle a, K^{-1}b \rangle + \langle b, K^{-1}a \rangle)] \quad (44b)$$

$$= \exp[2\pi i \langle a, K^{-1}b \rangle] \quad (44c)$$

$$= B(a, b). \quad (44d)$$

This nice relationship is proven in the Pauli formalism in [9, Ap. A].

1.10 Chern-Simons theory and lattices

We see from above that with a single U(1) gauge field and $k = 2$, the Wilson loops around the two cycles of the torus produce the Pauli algebra $XZ = -ZX$. We can generalize the above to the following action with $n+n$ gauge fields, $S = \frac{1}{4\pi} \int_M K_{IJ} A^I \wedge dA^J$; i.e. U(1) $^{n+n}$ CS theory. This gauge theory is defined by

⁸For example, if $K = \sigma^x$, then we can choose $\mathbf{e}_1 = |+\rangle, \mathbf{e}_2 = |-\rangle$. Then we have that $\mathbf{e}_1 \cdot \mathbf{e}_1 = \langle + | \sigma^z | + \rangle = 0 = K_{11}$, $\mathbf{e}_2 \cdot \mathbf{e}_2 = \langle - | \sigma^z | - \rangle = 0$, $\mathbf{e}_1 \cdot \mathbf{e}_2 = \langle + | \sigma^z | - \rangle = 1 = K_{12}$, and similarly for K_{21} .

a lattice Λ with bilinear form K . Notice that $A'_I = U_{IJ}A^J$ for a matrix of constants U is a gauge field; consider a gauge transformation $A^I \rightarrow A^I + df^I$; then $A'_I \rightarrow A'_I + d(U_{IJ}f^J)$. However, we had requirements that e.g. $\frac{1}{8\pi^2} \int_W F \wedge F \in \mathbb{Z}$. So we can't just willy-nilly change A . Instead, we need $U_{IJ} \in \mathbb{Z}$. Hence K and SKS^T define the same theory if $S \in \mathrm{GL}(2n, \mathbb{Z})$.

Toric code

We choose the lattice $\Lambda = \sqrt{2}\mathbb{Z}^{n+n}$ ($\sqrt{d}\mathbb{Z}^{n+n}$ for qudits) and $K = \begin{pmatrix} 0 & \mathbb{I} \\ \mathbb{I} & 0 \end{pmatrix}$. Then the dual lattice is $\Lambda^* = \frac{1}{\sqrt{2}}\mathbb{Z}^{n+n}$ and $\Lambda^P = \Lambda^*$. Let's consider $n=1$. Then call $A = A^1$ and $B = A^2$. The CS action is

$$S = \frac{1}{4\pi} \int_M (A dB + B dA) = \frac{1}{2\pi} \int_M A \wedge dB. \quad (45)$$

Charges correspond to elements of $\Lambda^*/\Lambda = \mathbb{Z}^2/2\mathbb{Z}^2 = \mathbb{Z}_2 \oplus \mathbb{Z}_2$. The braiding is given by $K^{-1} = K$. Define $1, e, m, f \in \Lambda^*/\Lambda$ by $1 = (0, 0), e = (1, 0)/\sqrt{2}, m = (0, 1)/\sqrt{2}, f = e + m = (1, 1)/\sqrt{2}$. The topological spin of a is $\theta(a) = e^{\pi i a^T K a}$, giving $\theta(1) = \theta(e) = \theta(m) = -\theta(f) = 1$. The phase of braiding a around b is then $e^{2\pi i a^T K b}$, which we can easily check equals $\theta(a+b)/(\theta(a)\theta(b))$.

General discussion

Generalizing the discussion from above, we now follow the introduction of Ref. [10]. We consider Abelian CS theory.

For a finite-rank free Abelian group Γ , we denote the torus $T_\Gamma = (\Gamma \otimes \mathbb{R})/(2\pi\Gamma)$. Let's fix the lattice $\Lambda \subset \mathbb{R}^n$. We then consider a gauge field as a connection on a principle T_Λ -bundle over an oriented 3-manifold M ; locally, we write $A = A_\mu dx^\mu$ taking values in the vector space $\mathfrak{t}_\Lambda = \Lambda \otimes \mathbb{R}$, which is the Lie algebra of T_Λ ⁹. The action is

$$S = \frac{1}{4\pi} \int_M K(A, dA), \quad (46)$$

where K is a symmetric¹⁰ bilinear form on \mathfrak{t}_Λ . In order for e^{-S} to be well-defined (independent of trivialization of the T_Λ -bundle), K must be integer valued and even on Λ , $K(\lambda, \lambda') \in \mathbb{Z}$ and $K(\lambda, \lambda) \in 2\mathbb{Z}$ for $\lambda, \lambda' \in \Lambda$. (If we endow M with a spin structure, then we can still make sense of odd K , but let's ignore that for now).

Λ equipped with the integer-valued symmetric bilinear form K is a lattice of rank n . Since we enforce that K be even, the lattice is even. Thus, classical bosonic (i.e. non-spin) Abelian CS theories are labeled by even lattices.

Denote the Pontryagin dual of Λ by $\Lambda^P = \mathrm{Hom}(\Lambda, \mathbb{Z})$. Given K , we define the dual lattice Λ^* . Clearly, $\Lambda^* \cong \Lambda^P$. For an $X \in \Lambda^P$, we extend it to all of $\Lambda \otimes \mathbb{R}$ in the obvious way. We can then consider the Wilson loop $W_X(\gamma) = \exp[-\oint_\gamma X(A)]$. X needs to take integer values on Λ so that the Wilson loop is gauge invariant. Indeed the point is that (large) gauge transformations can shift the charge of Wilson loop by Λ , so distinct charges are labeled by Λ^*/Λ . We can see this a little bit better as follows. Consider $\exp[-\oint \alpha_I A^I]$, and then consider a large gauge transformation. The result is that (note I'm being a little careless as to when the gauge field has an i in it and when it doesn't) $\exp[-\oint \alpha_I A^I + 2\pi i \oint \alpha_I v^I]$ where v^I is a vector in Λ . Hence, in order for it to be gauge invariant, we need that $\alpha_J = c^J K_{IJ}$ where $c \in \Lambda^*$; that way, $c^T K v \in \mathbb{Z}$. So we see that Wilson loops must be labeled by elements of Λ^* , giving $W_{c \in \Lambda^*}(\gamma) = \exp[-\oint_\gamma c^I K_{IJ} A^J]$.

⁹For example, consider $\Lambda = \langle (1, 0), (0, 2) \rangle \subset \mathbb{R}^2$. Then $\Lambda \otimes \mathbb{R} \cong \mathbb{R}^2$, and $T_\Lambda \cong \{(\theta, \phi) \mid \theta \in \mathbb{R}/2\pi\mathbb{Z}, \phi \in \mathbb{R}/4\pi\mathbb{Z}\}$. The Lie algebra is then $\mathfrak{t}_\Lambda \cong \mathbb{R}^2$.

¹⁰We do this wlog. I think the reason is because for abelian gauge fields, $A \wedge dB = dB \wedge A$. Hence, any non symmetric term can be made symmetric by adding a total derivative; $A \wedge dB \rightarrow A \wedge dB - \frac{1}{2}d(A \wedge B) = \frac{1}{2}(A \wedge dB + B \wedge dA)$. Not totally sure about this though. [See below in my discussion of gapped edge theories. I think when we consider $U(1)^{n+m}$ for $n \neq m$ and K not symmetric, we get chiral theories on the boundary where the number of left and right moving modes is not equal.]

Next, we show that $W_v(\gamma)$ for $v \in \Lambda \subset \Lambda^*$ commutes with everything, which implies that distinct anyons are labeled by Λ^*/Λ . Recall our quantization $[A_x(\mathbf{x}), A_y(\mathbf{y})] = \frac{2\pi i}{k} \delta(\mathbf{x} - \mathbf{y})$ in the case of the K matrix being 1×1 . This gets replaced by $[A_x^I(\mathbf{x}), A_y^J(\mathbf{y})] = 2\pi i(K^{-1})^{IJ} \delta(\mathbf{x} - \mathbf{y})$. Fix a $c \in \Lambda^*$. Then,

$$W_v(\gamma)W_c(\lambda) = \exp\left[-\oint_{\gamma} v^I K_{IJ} A^J\right] \exp\left[-\oint_{\lambda} c^I K_{IJ} A^J\right] \quad (47a)$$

$$= \exp\left[\left[\oint_{\lambda} c^I K_{IJ} A^J, \oint_{\gamma} v^I K_{IJ} A^J\right]\right] \exp\left[-\oint_{\lambda} c^I K_{IJ} A^J\right] \exp\left[-\oint_{\gamma} v^I K_{IJ} A^J\right] \quad (47b)$$

$$= \exp\left[\oint_{\lambda} \oint_{\gamma} v^{I'} K_{I'J'} c^I K_{IJ} [A^J, A^{J'}]\right] W_c(\lambda) W_v(\gamma) \quad (47c)$$

$$= \exp\left[2\pi i v^{I'} K_{I'J'} c^I K_{IJ} (K^{-1})^{JJ'}\right] W_c(\lambda) W_v(\gamma) \quad (47d)$$

$$= \exp\left[2\pi i v^{I'} K_{I'J'} c^{J'}\right] W_c(\lambda) W_v(\gamma). \quad (47e)$$

Since $c \in \Lambda^*$ and $v \in \Lambda$, we see that the phase is 1.

In the toric code case, we have that

$$W_m(x)W_e(y) = \exp\left[-\frac{1}{\sqrt{2}} \oint_x A_x^1\right] \exp\left[-\frac{1}{\sqrt{2}} \oint_y A_y^2\right] \quad (48a)$$

$$= \exp\left[\left[\frac{1}{\sqrt{2}} \oint_y A_y^2, \frac{1}{\sqrt{2}} \oint_x A_x^1\right]\right] \exp\left[-\frac{1}{\sqrt{2}} \oint_y A_y^2\right] \exp\left[-\frac{1}{\sqrt{2}} \oint_x A_x^1\right] \quad (48b)$$

$$= \exp[\pi i] W_e(y) W_m(x) \quad (48c)$$

and of course $W_f(x) = W_e(x)W_m(x)$ and similarly for y . We can realize this algebra by

$$W_e(x) = X \otimes \mathbb{I} \quad W_e(y) = \mathbb{I} \otimes Z \quad (49a)$$

$$W_m(x) = \mathbb{I} \otimes X \quad W_m(y) = Z \otimes \mathbb{I}. \quad (49b)$$

These of course are the logical operators of the toric code.

[How exactly does anyon condensation work in this language?]

Anyons as objects charged under 1-form symmetry

Now we want to show that the distinct anyons exactly label the charges under the 1-form symmetry. We'll do this by examining an example. Consider again the toric code example $\Lambda = \sqrt{2}\mathbb{Z}^2$ ($\sqrt{d}\mathbb{Z}^2$ for qudits) and $K = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. Then the dual lattice is $\Lambda^* = \frac{1}{\sqrt{2}}\mathbb{Z}^2$ and $\Lambda^P \cong \Lambda^*$. We can therefore associate $c = (c_1, c_2) \in \Lambda^*$ with $\Lambda^P \ni X : (a_1, a_2) \mapsto c^T K a = c_1 a_2 + c_2 a_1$. The Wilson line is then $W_c(\gamma) \equiv W_{X_c}(\gamma) = \exp\left[-c^I K_{IJ} \oint_{\gamma} A^J\right]$. The charge of the Wilson loops under the 1-form symmetry is the q satisfying $e^{i \int \star J} W_c(\gamma) e^{-i \int \star J} = e^{2\pi i q} W_c(\gamma)$, where recall that $\star J \propto A$. So in other words, we can get the charge of $W_c(\gamma)$ under the 1-form symmetry operator $W_{c'}(\lambda)$ by finding $q = q(c, \gamma; c', \lambda)$ such that $W_{c'}(\lambda) W_c(\gamma) W_{c'}(\lambda)^{-1} = e^{2\pi i q} W_c(\gamma)$. For example, $q(e, x; m, y) = 1/2$.

[Anything else to say here? Is this all right?]

Chiral central charge and Gauss sum

Given $\theta(a) = e^{\pi i a^T K^{-1} a}$, it can be proven [11, Ap. 4] that

$$\sum_{a \in A} \theta(a) = \sqrt{|A|} e^{\pi i c/4}, \quad (50)$$

where $c = \text{sgn}(K)$ is the signature of K (the number of positive eigenvalues minus the number of negative eigenvalues). We will see later when we study CS in the presence of the boundary that we get chiral modes

on the boundary. Generalizing that discussion to the K matrix case, we get left and right moving modes on the boundary depending on the signature of K . Hence, the total current is the number of left movers minus the number of right movers, which is exactly the chiral central charge c .

For example, the toric code given by $K = \begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix}$ is not chiral, $c = 0$.

1.11 Boundary wavefunctions

Let's consider a simple manifold with boundary, where the boundary is just a straight line at $y = 0$. The variation of the CS action gives

$$\delta S_{\text{CS}} = \frac{k}{4\pi} \int d^3x \varepsilon^{\mu\nu\rho} (\delta A_\mu F_{\nu\rho} + \partial_\mu (A_\nu \delta A_\rho)). \quad (51)$$

Before we could just discard the second term, but now we cannot. If everything is to work out as before to give the EOM $F_{\mu\nu} = 0$, we need to set the last term to zero at the boundary. The last term becomes $\frac{k}{4\pi} \int dt dx \varepsilon^{2\nu\rho} A_\nu(t, x, 0) \delta A_\rho(t, x, 0) = \frac{k}{4\pi} \int dt dx (A_t(t, x, 0) \delta A_x(t, x, 0) - A_x(t, x, 0) \delta A_t(t, x, 0))$. If we fix a gauge $(A_t - v A_x)|_{y=0} = 0$ for some v inserted by hand, then we assert that variations satisfy $\delta A_t = v \delta A_x$ at the boundary, so that this term vanishes.

So we have, by hand, picked a gauge (CS theory knows nothing about v). We might as well extend the gauge throughout the bulk. Notice that $A_\mu dx^\mu = A'_\mu dx'^\mu$ if

$$t' = t, \quad x' = x + vt, \quad y' = y \quad (52a)$$

$$A'_{t'} = A_t - v A_x, \quad A'_{x'} = A_x, \quad A'_{y'} = A_y. \quad (52b)$$

So we can consider the action $\int A' \wedge dA'$ instead. Since the theory is quadratic, we can plug classical EOMs back into the action [understand this better]. Our choice of gauge is just $A'_{t'} = 0$. $\frac{\delta S}{\delta A'_{t'}} = 0$ yields $F'_{x'y'} = 0$. We can solve this with $A'_{i'} = \partial_{i'} \phi$. Plugging this back into the action yields

$$S = \frac{k}{4\pi} \int d^3x' (A'_{t'} F'_{x'y'} + A'_{x'} F'_{y't'} + A'_{y'} F'_{t'x'}) \quad (53a)$$

$$= \frac{k}{4\pi} \int d^3x' (A'_{x'} (-\partial_{t'} A_{y'}) + A'_{y'} (\partial_{t'} A'_{x'})) \quad (53b)$$

$$= \frac{k}{4\pi} \int d^3x' (\partial_{y'} \phi \partial_{t'} \partial_{x'} \phi - \partial_{x'} \phi \partial_{t'} \partial_{y'} \phi) \quad (53c)$$

$$= \frac{k}{4\pi} \int d^3x' (\partial_{y'} (\phi \partial_{t'} \partial_{x'} \phi) - \partial_{x'} (\phi \partial_{y'} \partial_{t'} \phi)) \quad (53d)$$

$$= \frac{k}{4\pi} \int_{y=0} dx' dt' \phi \partial_{t'} \partial_{x'} \phi \quad (53e)$$

$$= \frac{k}{4\pi} \int_{y=0} dx' dt' (-\partial_{t'} \phi \partial_{x'} \phi + \partial_{t'} (\phi \partial_{x'} \phi)) \quad (53f)$$

$$= -\frac{k}{4\pi} \int_{y=0} dx' dt' \partial_{t'} \phi \partial_{x'} \phi \quad \text{since only boundary is } y = 0 \quad (53g)$$

For a tangent vector $\dot{\gamma}(t) = (\dot{x}, \dot{y})$, we have $\frac{d}{dt} = \dot{x} \frac{\partial}{\partial x} + \dot{y} \frac{\partial}{\partial y} = \dot{x}' \frac{\partial}{\partial x'} + \dot{y}' \frac{\partial}{\partial y'} = (\dot{x} \frac{\partial x'}{\partial x} + \dot{y} \frac{\partial y'}{\partial y}) \frac{\partial}{\partial x'} + (\dot{x} \frac{\partial y'}{\partial x} + \dot{y} \frac{\partial x'}{\partial y}) \frac{\partial}{\partial y'}$. Hence $\frac{\partial}{\partial x} = \frac{\partial x'}{\partial x} \frac{\partial}{\partial x'} + \frac{\partial y'}{\partial x} \frac{\partial}{\partial y'}$ and similarly for $\frac{\partial}{\partial y}$. In the present case, this means that

$$\frac{\partial}{\partial x} = \frac{\partial x'}{\partial x} \frac{\partial}{\partial x'} + \frac{\partial t'}{\partial x} \frac{\partial}{\partial t'} = \frac{\partial}{\partial x'} \quad (54a)$$

$$\frac{\partial}{\partial t} = \frac{\partial x'}{\partial t} \frac{\partial}{\partial x'} + \frac{\partial t'}{\partial t} \frac{\partial}{\partial t'} = v \frac{\partial}{\partial x'} + \frac{\partial}{\partial t'} \quad (54b)$$

Hence, we get

$$S = -\frac{k}{4\pi} \int_{y=0} dx dt (\partial_t - v\partial_x) \phi \partial_x \phi. \quad (55)$$

Let's define a new efield $\rho = \frac{1}{2\pi} \partial_x \phi$, so that

$$S = -\frac{k}{4\pi} \int_{y=0} dx dt (\partial_t - v\partial_x) \phi \partial_x \phi. \quad (56)$$

Let's consider a periodic x coordinate, S^1 . Let the circumference of the circle be $2\pi R$. Since the modes live on the circle, we can Fourier expand as

$$\phi(t, x) = \frac{1}{\sqrt{2\pi R}} \sum_{n \in \mathbb{Z}} \phi_n(t) e^{inx/R}. \quad (57)$$

The fact that ϕ is real means that $\bar{\phi}_n = \phi_{-n}$. We get that

$$S = -\frac{k}{4\pi} \sum_{n, m \in \mathbb{Z}} \int_{S^1 \times \mathbb{R}} dx dt (\partial_t - v\partial_x)(\phi_n e^{inx/R}) \partial_x(\phi_m e^{imx/R}) \quad (58a)$$

$$= -\frac{k}{4\pi(2\pi R)} \sum_{n, m \in \mathbb{Z}} \int_{S^1 \times \mathbb{R}} dx dt e^{i(n+m)x/R} (\dot{\phi}_n - \frac{ivn}{R} \phi_n) \frac{im}{R} \phi_m \quad (58b)$$

$$= -\frac{k}{4\pi} \sum_{n, m \in \mathbb{Z}} \int_{\mathbb{R}} dt \delta_{n+m, 0} (\dot{\phi}_n - \frac{ivn}{R} \phi_n) \frac{im}{R} \phi_m \quad (58c)$$

$$= \frac{k}{4\pi} \sum_{n \in \mathbb{Z}} \int_{\mathbb{R}} dt \left(\frac{in}{R} \dot{\phi}_n \phi_{-n} - v \left(\frac{in}{R} \right)^2 \phi_n \phi_{-n} \right) \quad (58d)$$

$$= \frac{k}{4\pi} \sum_{n=0}^{\infty} \int_{\mathbb{R}} dt \left(\frac{in}{R} \dot{\phi}_n \phi_{-n} - \frac{in}{R} \dot{\phi}_{-n} \phi_n + \frac{2vn^2}{R^2} \phi_n \phi_{-n} \right) \quad (58e)$$

$$= \frac{k}{4\pi} \sum_{n=0}^{\infty} \int_{\mathbb{R}} dt \left(\frac{in}{R} \left(2\dot{\phi}_n \phi_{-n} - \frac{d}{dt}(\phi_n \phi_{-n}) \right) + \frac{2vn^2}{R^2} \phi_n \phi_{-n} \right) \quad (58f)$$

$$= \frac{k}{2\pi} \sum_{n=0}^{\infty} \int_{\mathbb{R}} dt \left(\frac{in}{R} \dot{\phi}_n \phi_{-n} + \frac{vn^2}{R^2} \phi_n \phi_{-n} \right) \quad (58g)$$

(58h)

So the momentum Π_n conjugate to ϕ_n is $\frac{ink}{2\pi R} \phi_{-n}$. Therefore, when we canonically quantize, we get

$$[\phi_n, \phi_{n'}] = \frac{2\pi R}{nk} \delta_{n+n', 0}. \quad (59)$$

Define $\rho = \frac{1}{2\pi} \partial_x \phi$. Then $\rho_n = \frac{in}{2\pi R} \phi_n$, so that

$$[\rho_n, \rho_{n'}] = \frac{n}{2\pi R k} \delta_{n+n', 0}, \quad [\rho_n, \sigma_{n'}] = \frac{i}{k} \delta_{n+n', 0}, \quad (60)$$

where the first is the Kac-Moody algebra. Integrating these commutation relations yields

$$[\phi(t, x), \phi(t, x')] = \frac{\pi i}{k} \operatorname{sgn}(x - x'), \quad (61a)$$

$$[\rho(t, x), \phi(t, x')] = \frac{i}{k} \delta(x - x'), \quad (61b)$$

$$[\rho(t, x), \rho(t, x')] = -\frac{i}{2\pi k} \partial_x \delta(x - x'). \quad (61c)$$

The Hamiltonian becomes

$$H = \sum_{n=0}^{\infty} \left[\Pi_n \dot{\phi}_n - \frac{k}{2\pi} \left(\frac{in}{R} \dot{\phi}_n \phi_{-n} + \frac{vn^2}{R^2} \phi_n \phi_{-n} \right) \right] \quad (62a)$$

$$= \frac{kv}{2\pi} \sum_{n=0}^{\infty} \frac{n^2}{R^2} \phi_n \phi_{-n} \quad (62b)$$

$$= 2\pi kv \sum_{n=0}^{\infty} \rho_n \rho_{-n}. \quad (62c)$$

Thus our final Hamiltonian is just a bunch of harmonic oscillators (recall that we said $\bar{\rho}_n = \rho_{-n}$, so that as operators $\rho_n^\dagger = \rho_{-n}$). The ground state $|0\rangle$ is defined by $\rho_{-n}|0\rangle = 0$ for all $n > 0$.

Given this Hamiltonian, we see that ρ has the interpretation of charge density. The time evolution is $\dot{\rho}_n = i[H, \rho_n] = iv(n/R)\rho_n$, so that

$$\rho(t, x) = \frac{1}{\sqrt{2\pi R}} \sum_{n \in \mathbb{Z}} \rho_n e^{i(x+vt)n/R} = \frac{1}{\sqrt{2\pi R}} \sum_{n>0} (\rho_{-n} e^{-i(x+vt)n/R} + \rho_{-n}^\dagger e^{i(x+vt)n/R}), \quad (63)$$

and so ρ is a chiral field. Similarly, we have $\dot{\phi}_n = i[H, \phi_n] = \frac{nvi}{R}\phi_n$, so that $\phi_n(t) = e^{ivnt/R}\phi_n$.

We then claim that the operator describing an electron in the boundary is $\Psi = :e^{ik\phi}:$, where the normal ordering places ϕ_{-n} with $n > 0$ to the right. The reason for this is that

$$[\rho(t, x), \Psi^\dagger(t, x')] = \delta(x - x')\Psi^\dagger(t, x'). \quad (64)$$

[What is the normal ordering doing? I think maybe it's ensuring that $\Psi^\dagger|0\rangle$ is well-defined?]

Now we consider CS theory on $\mathbb{R} \times S^2$ at a fixed time. In any quantum system, the kind of object that sits at a fixed time is a wavefunction. We will see how the wavefunction of CS theory is related to the boundary CFT.

In the $a_0 = 0$ gauge, we had that $[a_i(\mathbf{x}), a_j(\mathbf{y})] = \frac{2\pi i}{k} \varepsilon_{ij} \delta^2(\mathbf{x} - \mathbf{y})$. Let's define $z = \theta + i\varphi$, where θ, φ are the coordinates of S^2 . Then

$$[a_z(z, \bar{z}), a_{\bar{z}}(w, \bar{w})] = [a_\theta + ia_\varphi, a_\theta - ia_\varphi] \quad (65)$$

$$= -2i[a_\theta, a_\varphi] \quad (66)$$

$$= -2i \frac{2\pi i}{k} \delta^2(\mathbf{z} - \mathbf{w}) \quad (67)$$

$$= \frac{4\pi}{k} \delta^2(\mathbf{z} - \mathbf{w}). \quad (68)$$

We're going to choose $a_{\bar{z}}$ as "position" and $P = -\frac{ki}{4\pi} a_z$ as "momentum" in order to yield the right commutation relations (this choice is called *holomorphic quantization*). So we will describe the state of the theory by a wavefunction $\Psi(a_{\bar{z}}(z, \bar{z}))$. The EOM is as usual $f_{z\bar{z}} = 0$. So we impose this constraint as an operator equation on Ψ . Namely, we have that $f_{z\bar{z}} = \partial_z a_{\bar{z}} - \partial_{\bar{z}} a_z = \partial_z a_{\bar{z}} - \frac{4\pi i}{k} \partial_z P$. Of course, we make $P = -i \frac{\delta}{\delta a_{\bar{z}}}$. So we have

$$\left(\frac{4\pi}{k} \partial_{\bar{z}} \frac{\delta}{\delta a_{\bar{z}}} \right) \Psi(a_{\bar{z}} - \partial_z a_{\bar{z}}) = 0, \quad (69)$$

or

$$\left(\partial_{\bar{z}} \frac{\delta}{\delta a_{\bar{z}}} - \frac{k}{4\pi} \partial_z a_{\bar{z}} \right) \Psi(a_{\bar{z}}) = 0. \quad (70)$$

This is our Schrodinger equation. We will see that this same equation arises from the chiral boson CFT.

Specifically, consider $S[\phi] = \frac{k}{4\pi} \int d^2x \partial_{\bar{z}} \phi \partial_z \phi$, and the charge is $\rho = \frac{1}{2\pi} \partial_z \phi$. The equation of motion is $\partial_{\bar{z}} \rho \sim \partial_{\bar{z}} \partial_z \phi = 0$, which is the chiral conservation law. We couple ϕ to a background gauge field a , giving the action $S[\phi; a] = \frac{k}{2\pi} \int d^2x D_{\bar{z}} \phi \partial_z \phi$ where $D_{\bar{z}} \phi = \partial_{\bar{z}} \phi - a_{\bar{z}}$ [This looks strange]. The EOM is $\partial_{\bar{z}} \partial_z \phi = \frac{1}{2} \partial_z a_{\bar{z}}$.

This tells us the the charge ρ is no longer conserved, which is an example of an anomoly [what is going on here?] The partition function is $Z[a_{\bar{z}}] = \int D\phi e^{-S[\phi, a]}$, which obeys

$$\left(\partial_{\bar{z}} \frac{\delta}{\delta a_{\bar{z}}} - \frac{k}{4\pi} \partial_z a_{\bar{z}} \right) Z[a_{\bar{z}}] = 0. \quad (71)$$

The LHS can be seen to result in $\langle \partial_{\bar{z}} \partial_z \phi - \frac{1}{2} \partial_z a_{\bar{z}} \rangle$, which vanishes by the EOM.

Hence we see that $\Psi(a_{\bar{z}}) = Z[a_{\bar{z}}]$. This provides a relationship between the boundary correlation functions (generated by the partition function) and the bulk CS wavefunction.

[This seemed a bit ad hoc. Where did our strange form of $D_{\bar{z}}\phi$ come from?]

1.12 General abelian anyon theories, Lagrangian subgroups, and gapped edge theories

From [5, Sec. 3].

A general abelian anyon theory is a pair (A, θ) , where A is a finite abelian group and $\theta: A \rightarrow U(1)$. From θ , define $B(a, b) = \frac{\theta(ab)}{\theta(a)\theta(b)}$. From [5, Fig. 8], we have the constraints that

$$\theta(a^n) = \theta(a)^{n^2}, \quad B(a^n, b) = B(a, b)^n. \quad (72)$$

Defining q by $\theta(a) = e^{2\pi i q(a)}$, we see that $q(a^n) = n^2 q(a)$ and $b(a, b) = q(ab) - q(a) - q(b)$ is bilinear. These conditions define a quadratic form. Hence, abelian anyon theories are classified by quadratic forms. Non-degenerate quadratic forms correspond to when every anyon braids nontrivially with at least one other anyon.

Condensing a boson b corresponds to proliferating the b quasiparticles. That is, pairs of b bosons can be created and destroyed without affecting the ground state. Suppose we want to condense a boson b (in the Pauli stabilizer formalism, we add the local string operators of b to the stabilizer group). This then corresponds to identifying b with the trivial anyon since it is no longer an excitation. Then every other anyon in A needs to braid trivially with the condensed b . An anyon $a \in A$ that does not braid nontrivially with b becomes confined, meaning that it is not a local excitation because the energetic cost of separating a pair of confined anyons grows linearly with the separation. In other words, by condensing b , we set its Wilson loop operators to be trivial. Then the Wilson loop for every other anyon a must commute with the Wilson loop for b . If it does not, then this must mean that the Wilson loop for a is no longer a Wilson loop of the theory, and so must not map us between degenerate ground states.

We can see confinement in the stabilizer formalism as follows. By adding the local string operators C_j for b to the stabilizer group, this means that the Hamiltonian is now $-\sum_i A_i - \sum_j C_j$, where A_i are the original stabilizer terms. Let string operator $D(x)$ be for creating an anyon a and its antiparticle a^{-1} with a separation x . If $D(x)$ does not commute with C_j (meaning that their Wilson loops do not commute), then it will not commute with order of x different C_j (since in the Wilson loop language it does not matter where they cross). So if $|\psi\rangle$ is a ground state of H with energy 0 by convention, then $\langle\psi| D(x)^\dagger H D(x) |\psi\rangle = \langle\psi| D(x)^\dagger [H, D(x)] |\psi\rangle \sim \mathcal{O}(x)$.

Thus, we see that upon condensation of b , all anyons that braid nontrivially with b become confined (and hence not a part of the resulting anyonic theory). The remaining anyonic theory is hence all anyons that braid trivially with b . But of course since b can be created and destroyed freely, all anyons that are related by b must be equivalent; i.e. we have an equivalence relation $a \sim ab$. In other words, by setting the Wilson loops for b to be the identity, the Wilson loops for a and for ab must be the same.

Of course we can only condense bosons, because in order to identify b with 1, we need that $\theta(b) = \theta(1) = 1$.¹¹

Finally, we can condense a set $\{b_i\}$ of bosons as long as they have trivial mutual braiding statistics.

¹¹In the stabilizer formalism, you can't condense fermions because you can't project into simultaneous eigenspace of anticommuting operators. Condensed subspaces in the stabilizer context are defined by eigenspaces of short string operators, and they

Lagrangian subgroups Starting with the last paragraph of page of 14 of [5] and starting with [12, Sec. 3B].

Given an anyonic theory (A, θ) , a Lagrangian subgroup L is a subgroup of A comprised of bosonic anyons such that

1. $\forall a, b \in L, B(a, b) = 1$, and
2. $\forall a \in A \setminus L, \exists b \in L$ s.t. $B(a, b) \neq 1$.

The existence of a Lagrangian subgroup signals the potential for a gapped boundary in a topologically ordered system. Specifically, a Hamiltonian whose excitations are described by (A, θ) admits a gapped boundary iff (A, θ) has a Lagrangian subgroup.

Let's unpack this. Recall above we showed that the boundary of a U(1) CS theory is a gapless chiral boson. A similar result holds for $U(1)^{n+n}$. More generally, it seems [prove/confirm this] that for a $U(1)^{n+m}$ theory, we get a chiral theory with n right moving modes and m left moving ones. In this note, we have mostly been focusing on $n = m$ because these correspond to symplectic lattices.

When $n = m$ and a symmetric K matrix, we have n left moving modes and n right moving gapless modes. We say these modes can be gapped if we can add perturbations to the edge theory to make the modes massive. Specifically, can we add a term of the form $\sum_{i=1}^n U_i$ to gap the modes? It turns out that this can be done iff $a^T K b = 0$ for all $a, b \in \Lambda^*/\Lambda$. The idea is that the terms that we can add to the edge to gap the theory are the short string operators truncated on the boundary corresponding to the bosons of the Lagrangian subgroup. Adding these to the edge condenses the bosons and therefore confines all the anyons that braid nontrivially with \mathcal{L} (ie. makes them immobile). It follows that the ground state on the boundary can contain bosons from \mathcal{L} , but all anyons that braid nontrivially with \mathcal{L} (which, by definition of a Lagrangian subgroup, is all the remaining anyons) cannot move freely, therefore gapping the chiral modes.¹² The rough argument/proof of this result is in [12, Ap. A] for the fermionic case and Ap. E for the bosonic case. It is not hard to understand, so that's nice!

1.13 Chern-Simons theory and CFT

[Find good reference]

A Quantum Hall

Here I follow [13, Ch. 5,6].

Let's suppose we want to figure out how a current J^μ responds to an electric and magnetic field. The electric and magnetic fields are described by a U(1) gauge field A , and as before, we have the natural coupling $S[A] = \int d^3x J^\mu A_\mu$, where the current is conserved giving $\partial_\mu J^\mu = 0$ so that the coupling is gauge invariant (as I said above, I think we have to assume the metric on the spacetime is the Minkowski metric so that $\nabla_\mu = \partial_\mu$, but I'm not totally sure about this). We assume that at low-energies, there are no degrees of freedom that can affect the physics when the system is perturbed. The most obvious requirement is then that there is a gap to the first excited state.

The partition function is

$$Z[A] = \int D(\text{fields}) \exp[iS[\text{fields}; A]] = \exp[iS_{\text{eff}}[A]], \quad (\text{A1})$$

generate a group. If this group is abelian, then you can measure all of these generators and project onto the eigenspaces. For non-bosons, this doesn't work because the moment you add two string operators, it will contain products of string operators that cross, and for non-bosons they don't commute so the group is non abelian. Specifically, because non-bosons braid non trivially with themselves, so their short string operators must not all commute. So there doesn't exist a stabilizer state that's the simultaneous stabilizer state.

¹²I think it's something like this: the gapless-ness is the fact that the short truncated string operators creating an anyon on the boundary commute with all bulk stabilizers, so the anyons can essentially move freely on the boundary. By condensing a Lagrangian subgroup and therefore confining the rest of the anyons, there are no longer any mobile anyons, and so the modes are no longer gapless.

where ‘‘fields’’ refers to dynamical degrees of freedom, and the action includes the coupling $A_\mu J^\mu$ from above. Our goal is to integrate out the fields and compute the effective action. From this effective action, we can figure out the response of the current to electric and magnetic fields, since due to the $A_\mu J^\mu$ coupling,

$$\frac{\delta S_{\text{eff}}[A]}{\delta A_\mu(x)} = \langle J^\mu(x) \rangle. \quad (\text{A2})$$

We don’t know anything about the microscopic physics (e.g. ‘‘fields’’). Instead we just write down all possible terms that can be in S_{eff} and focus on the important ones. First, we require that it be gauge invariant, and second, since we only care about long distances, we require that $S_{\text{eff}}[A]$ be a local functional; that is, can be written as $\int d^3x \dots$. Since we are interested in low energies (below the gap), the terms with the fewest powers of A will be the most important. Similarly, since we are interested only in long distances, the terms with the fewest derivatives will be the most important.

A.1 3+1 dimensions

By rotational invariance, the first terms that we can write down in (3+1)D are $S_{\text{eff}}[A] = \int d^4x \epsilon \mathbf{E} \cdot \mathbf{E} - \frac{1}{\mu} \mathbf{B} \cdot \mathbf{B} + \alpha \mathbf{E} \cdot \mathbf{B}$. He says that $\frac{\delta S_{\text{eff}}[A]}{\delta A_\mu(x)}$ gives free currents. I think that means the currents are zero? Which would make sense since S_{eff} depends only on $F = dA$ not A . [Understand this better]

A.2 2+1 dimensions

In (2+1)D, we can write down the CS term $A \wedge F$. This is consistent with rotational invariance. We have that

$$\langle J^0 \rangle = \langle \rho \rangle = \frac{k}{4\pi} (F_{12} - F_{21}) = \frac{k}{2\pi} B \quad (\text{A3a})$$

$$\langle J^1 \rangle = \frac{k}{2\pi} F_{20} = -\frac{k}{2\pi} E_y \quad (\text{A3b})$$

$$\langle J^2 \rangle = \frac{k}{2\pi} F_{01} = \frac{k}{2\pi} E_x. \quad (\text{A3c})$$

Recall we are thinking of A as an additional gauge field over and above the original quantum Hall magnetic field (this is because the original magnetic field generates a state, and now we want to see the conductivity of that state, so we have to subject it to a new gauge field and see how it responds). We see that $\mathbf{J} = \sigma \mathbf{E}$, with $\sigma_{xx} = \sigma_{yy} = 0$ and $-\sigma_{xy} = \sigma_{yx} = \sigma_H = k/2\pi$ ¹³. This is exactly the integer quantum Hall effect.

A.3 Anomaly inflow

[See section 4.4 of <http://www.damtp.cam.ac.uk/user/tong/gaugetheory/4lattice.pdf> and]

B Spin structure

In the notes, we focused primarily on bosonic Chern-Simons theories. Fermionic CS theories require the choice of a spin structure. Here, I will review spin structures.

[To do: check out [14, Sec. 46.7] and see if there’s anything more to add here.]

B.1 k can be odd of spin Chern-Simons

Let’s start by restricting to U(1) CS theories. From Section 1.4, $F/2\pi \in H_{DR}^2(M, \mathbb{R})$. Since \mathbb{R} is a field, $H^*(M, \mathbb{R}) \cong \text{Hom}(H_*(M), \mathbb{R})$. By de Rham’s theorem, $H_{DR}^*(M, \mathbb{R}) \cong H^*(M, \mathbb{R}) \cong \text{Hom}(H_*(M), \mathbb{R})$ via $\omega \in H_{DR}^*(M, \mathbb{R})$ maps to $(H_*(M) \ni c \mapsto \int_c \omega \in \mathbb{R}) \in \text{Hom}(H_*(M), \mathbb{R})$. Thus, we see that $F/2\pi \in \text{Hom}(H_2(M), \mathbb{Z})$, and thus $F \in H^2(M, \mathbb{Z})$ ¹⁴.

¹³Note that rotational invariance around the z axis tells us that we should have invariance with $x \rightarrow y$ and $y \rightarrow -x$. This means that $\sigma_{xy} = -\sigma_{yx}$. [Why exactly is this?]

¹⁴<https://math.stackexchange.com/questions/399507/how-do-i-know-when-a-form-represents-an-integral-cohomology-class>

Since $F/2\pi \in H^2(M, \mathbb{Z})$, we know¹⁵ that the cup product with itself is an element of $H^4(M, \mathbb{Z})$, and hence

$$\frac{k}{8\pi^2} \int F \wedge F = \frac{k}{2} I \quad (\text{B1})$$

where $I \in \mathbb{Z}$. if k is even, then the whole thing is thus an integer with or without a spin structure. If k is odd, then the CS theory is only well-defined if $I \in 2\mathbb{Z}$. A spin four-manifold must have even intersection form¹⁶, and the converse. Thus, if M is spin, then $\int(F/2\pi) \wedge (F/2\pi) = I \in 2\mathbb{Z}$ so that the CS is well-defined, and the converse. [To do: understand Wu's formula via Stiefel-Whitney classes [15, Thm. 11.7]] Thus, we now understand why spin CS theories work for arbitrary integer k , while bosonic CS theories only work when k is even.

There is another way to prove that $\frac{1}{4\pi^2} \int_N F \wedge F \in 2\mathbb{Z}$ for a closed manifold N using the index theorem for the Dirac operator [2, pp. 412] [sounds cool, work thorough this!].

B.2 When we want Spin vs Spin^C

From [16]

(I will be a bit sloppy going back and forth talking about principle bundles and their associated vector bundles; this does not really matter since by definition their transition functions are the same)

When we have a Riemannian manifold (M, g) with $n = \dim M$, the transition functions lie in $O(n)$. If we can reduce the transition functions to lie in $SO(n)$, then M is orientable. Many objects we deal with transforms in a representation of $SO(n)$ and is thus acted on by these transition functions accordingly. However, fermions transform in a projective representation of $SO(n)$, which corresponds to a representation of the double cover $Spin(n)$. Thus, we need a principle $Spin(n)$ -bundle. We construct such a bundle by lifting the transition functions from the original $SO(n)$ -bundle. Spinors are then sections of the associated vector bundle. Refer to Ref. [15, Ch. 11] for how we eventually find that the first Stiefel-Whitney class tells us whether the manifold is orientable and the second Stiefel-Whitney class tells us if it is spin.

On the other hand, sometimes requiring a Spin bundle is unnecessarily restrictive. In particular, suppose that we have a fermion that is charged under a G -gauge field. Let \tilde{G} be a double cover, $G = \tilde{G}/\mathbb{Z}_2$. We could take a $G \times SO(n)$ -bundle and try to lift it to a $G \times Spin(n)$ -bundle, in which case we run into the same analysis as before. There are cases, though, where the second SW class is nonzero (and so we cannot do the lifting) and yet we can still define fermions! We assume that the center \mathbb{Z}_2 factors of \tilde{G} and $Spin(n)$ act the same on the fermions. In this case, the group that couples to the fermions is actually $(\tilde{G} \times Spin(n))/\mathbb{Z}_2$. So we actually only need a $Spin^G(n)$ -bundle.

So we care about $Spin^G(n) = Spin(n) \times_{\mathbb{Z}_2} G$, where we have the $\mathbb{Z}_2 = \{e, a\}$ equivalence $(u, g) \sim (-u, ag)$ for $u \in Spin(n), g \in G$. For some reason, $Spin^C := Spin^{U(1)}$.

Obstructions

In the case of Spin bundles, the second SW class was an obstruction. In the case of $Spin^G$ bundles, it turns out that the third *integral* SW class is an obstruction. Let's show the following (from Wikipedia).

Proposition B.1. *A $Spin^C$ structure exists on M iff M is orientable and its second SW class (an element of $H^2(M, \mathbb{Z}_2)$) is in the image of $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2)$. Equivalently, this is iff the third integral SW class (an element of $H^3(M, \mathbb{Z})$) vanishes.*

Proof sketch. Using the short exact sequence $0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \rightarrow \mathbb{Z}_2 \rightarrow 0$, we get the long exact sequence in cohomology (see my other notes on the Ext functor, etc)

$$\dots \rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\times 2} H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2) \xrightarrow{\beta} H^3(M, \mathbb{Z}) \rightarrow \dots \quad (\text{B2})$$

¹⁵<https://math.stackexchange.com/q/29797/395731>

¹⁶https://en.wikipedia.org/wiki/Intersection_form_of_a_4-manifold

Apparently β is something called the Bockstein homomorphism. Clearly the two iff's are equivalent by exactness; ie. by exactness, the image of the second SW class is in $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2)$ iff it is in the kernel of β . It turns out that the third integral SW class is equal to β on the second SW class (not sure why this is exactly, I suppose I need to learn more about the Bockstein homomorphism).

Now we show the first iff. Suppose that the second SW class $w_2 \in H^2(M, \mathbb{Z}_2)$ is nonzero. Suppose that we have a Spin “bundle” (not actually a bundle because $w_2 \neq 0$ so it fails the triple overlap condition, so I’ll just keep quotes around it). The failure of a lift comes from the triple overlap conditions with the minus signs coming from \mathbb{Z}_2 . So to cancel this issue, we tensor our Spin “bundle” with a U(1) “bundle” that has the same w_2 .

A true $U(1)$ bundle is classified by its Chern class in $H^2(M, \mathbb{Z})$. Going through the sequence, we double the class. So legitimate bundles correspond to even elements in the second $H^2(M, \mathbb{Z})$. By exactness, legitimate bundles correspond to zero elements in the image in $H^2(M, \mathbb{Z}_2)$.

The $U(1)$ “bundle” will cancel the obstruction if the image is w_2 . Thus we see that a Spin^C bundle exists iff w_2 is in the image of the natural map $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2)$. \square

Apparently every 4-manifold has a Spin^C structure.

B.3 How does the spin structure affect the theory

[17, Sec. 2.5, 2.6], [18]

We saw above that a Spin^C bundle exists on a four manifold M iff the second SW class $w_2 \in H^2(M, \mathbb{Z}_2)$ is in the image of the map $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}_2)$. In other words, we need an integral lift $\hat{w}_2 \in H^2(M, \mathbb{Z})$ of $w_2 \in H^2(M, \mathbb{Z}_2)$. We define a spin structure on M by \hat{w}_2 . Since $\hat{w}_2, F/2\pi \in H^2(M, \mathbb{Z})$, their cup product (ie. wedge product) is in $H^4(M, \mathbb{Z})$.

In particular, apparently \hat{w}_2 is always a characteristic vector, meaning that $\int F/2\pi \wedge w_2 = \int F/2\pi \wedge F/2\pi \pmod{2}$ [why is this?]. Thus, we can define the action $\frac{k}{8\pi^2} \int_M F \wedge (F \wedge \hat{w}_2)$ and it is well-defined for all $k \in \mathbb{Z}$. [I’m a little bit confused. In the other notes that I found and as discussed above, they say that $\int F/2\pi \wedge F/2\pi \in 2\mathbb{Z}$, and so the CS theory is immediately well-defined as long as the manifold is spin (ie. independent of spin structure). But here, it seems like he does not assume this to be the case, but instead uses that \hat{w}_2 is a characteristic vector to show that the integral is even.]

[In particular, given the discussion above coming from [2], it seems like the braiding for a spin CS theory described by a K matrix would still be given entirely by K^{-1} , and the spin structure doesn’t change anything. On the other hand, given the description here in terms of \hat{w}_2 , it seems like \hat{w}_2 might affect the braiding. So what’s happening? In particular, from [17, Eq. 2.355], it seems like the braiding will be described by $(K + \text{something})^{-1}$.]

[[18] is better than [17]. It seems to match [2]. But it also has a section about how it depends on spin structure. Need to understand this better. Need to understand how they get to Eq. 2.9. Then, what does Eq. 2.9 imply for braiding. I think it’s not supposed to affect anything because it seems equivalent to shifting $A \rightarrow A + \epsilon$, where $d\epsilon = 0$ and $\int \epsilon \in \{0, 1\}$. So it seems like it is just adjusting the holonomies. But in the quantum theory, we integrate over all A , so this shouldn’t affect anything. But I think maybe it matters when there is a boundary?]

[I think spin structure does not affect the K matrix stuff, and I think it only affects things when we couple to other things. From [18], it looks like a change in spin structure can be equivalently thought of as $A \rightarrow A + f$. But since the path integral is over all A , this should not affect things in the pure gauge theory.]

C Classifying spaces and cohomology

From [2].

A classifying space of a BG topological group G is the base space of a principle G -bundle EG called the universal bundle. Any G -bundle $E \rightarrow M$ allows a bundle map into the universal bundle $EG \rightarrow BG$, and any two such morphisms are homotopic. The induced map between base spaces is $\gamma: M \rightarrow BG$, the classifying map. The topology of E is entirely determined by the homotopy class of γ . It can be shown that up to homotopy BG is uniquely determined by requiring EG to be contractible (eg. see my other notes).

The group cohomology of a group can be defined as the cohomology of BG . The elements of $H^*(BG, \mathbb{Z})$ are called characteristic classes, since under the pullback γ^* they give rise to cohomology classes in $H^*(M, \mathbb{Z})$ that depend only on the pullback γ^* , and thus they give rise to cohomology classes in $H^*(M, \mathbb{Z})$ that depend only on the topology of the bundle E .

Consider the SES

$$0 \rightarrow \mathbb{Z} \rightarrow \mathbb{R} \rightarrow \mathbb{R}/\mathbb{Z} \rightarrow 0. \quad (\text{C1})$$

Using the standard property of cohomology and SESs (see my notes on the Ext functor), we then get the LES

$$0 \rightarrow H^0(BG, \mathbb{Z}) \rightarrow H^0(BG, \mathbb{R}) \rightarrow H^0(BG, \mathbb{R}/\mathbb{Z}) \rightarrow H^1(BG, \mathbb{Z}) \rightarrow \dots \quad (\text{C2})$$

Apparently [2, Eq. 2.5], for compact Lie groups¹⁷, $H^{2n+1}(BG, \mathbb{R}) = 0$, and for finite groups, $H^*(BG, \mathbb{R}) = 0$. So we get that for finite groups,

$$H^{k-1}(BG, \mathbb{R}/\mathbb{Z}) \cong H^k(BG, \mathbb{Z}). \quad (\text{C3})$$

Recall from Section 1.4 and Appendix B, the Chern form $\text{Tr } F \wedge F$ (with appropriate normalization) defines an element of the integral de Rham cohomology class $H^4(M, \mathbb{Z})$. Using γ , such a class comes from an element of $H^4(BG, \mathbb{Z})$. So we see that CS theories are defined by an element of $H^4(BG, \mathbb{Z})$. [Is this the full classification? How much do M and γ matter?]

For finite groups, we see then that CS theories are defined by an element of $H^3(BG, \mathbb{R}/\mathbb{Z}) = H^3(BG, \text{U}(1))$.

Recall from Section 1.4, the Chern form $\text{Tr } F \wedge F$ defines a de Rham cohomology class $H^4(M, \mathbb{R})$. Using the LES above, $H^4(M, \mathbb{R}) \cong H^4(M, \mathbb{Z})/H^3(M, \mathbb{R}/\mathbb{Z})$. Via γ , such a class comes from a characteristic class $H^4(BG, \mathbb{R})$. We will now show that $H^3(M, \mathbb{R}/\mathbb{Z}) = 0$, so that, by using γ , the CS form comes from a characteristic class in $H^4(BG, \mathbb{Z})$.

Lemma C.1. $H^3(M, \mathbb{R}/\mathbb{Z}) = 0$.

Proof. By Poincare duality, $H^3(M, \mathbb{R}) \cong H_1(M, \mathbb{R})$ and $H^1(M, \mathbb{R}) \cong H_3(M, \mathbb{R})$, since M is four dimensional. Thus, $H_3(M, \mathbb{R}) = 0$. Therefore, from the universal coefficient theorem,

$$H^3(M, \mathbb{R}/\mathbb{Z}) \cong \text{Ext}_{\mathbb{R}}^1(H_2(M, \mathbb{R}), \mathbb{R}/\mathbb{Z}). \quad (\text{C4})$$

As usual, $H_2(M, \mathbb{R})$ has no torsion and so is free. We know that $\text{Ext}_R^{i>0}(A, B) = 0$ when A is a projective module. Hence, we have that $H^3(M, \mathbb{R}/\mathbb{Z}) \cong 0$. \square

[Not sure how totally correct this is. It doesn't seem like we used anything about how the integral of the Chern form is integral. But maybe it's implicit in the characteristic class. In [2], they go about it a big different. Maybe I should work through that at some point.] [yes indeed I think the above is wrong, but it is fixed (TO DO) easily by instead using an argument similar to the one given in the beginning of Appendix B.]

D Higher form symmetries

Various bits and pieces taken from [19–21].

Proposition D.1. Let $J^\mu \partial_\mu$ be a vector field and $J = J_\mu dx^\mu$ a one-form, where $J_\mu = g_{\mu\nu} J^\nu$. Let ∇ be the Levi-Civita connection with respect to the metric g . Then $\nabla_\mu J^\mu = 0$ if and only if $d \star J = 0$, where \star is the Hodge star operator.

¹⁷<https://mathoverflow.net/questions/61784/cohomology-of-bg-g-compact-lie-group>

Proof. [To do: add my proof from my other scratch notes.] □

This proposition tells us that a conserved vector field can also be thought of as a one-form satisfying $d \star J = 0$.

Given the current (conserved vector field) $J^\mu \partial_\mu$, the charge corresponding to the current is

$$Q_\Sigma = \int_\Sigma \star J, \quad (\text{D1})$$

where Σ is a manifold of codimension 1 and $J = J_\mu dx^\mu$. For example, think of Σ_1, Σ_2 as fixed time slices. Then

$$Q_{\Sigma_2} - Q_{\Sigma_1} = \int_{\Sigma_2} \star J - \int_{\Sigma_1} \star J = \int_{\partial(\bar{\Sigma}_1 \cup \Sigma_2)} \star J = \int_{\bar{\Sigma}_1 \cup \Sigma_2} d \star J \quad (\text{D2})$$

by Stoke's theorem. So if J corresponds to a conserved current so that $d \star J = 0$, then $Q_{\Sigma_1} = Q_{\Sigma_2}$.

From [19, Ap, E], we have that

$$\int_{\partial M} \star J = \int_{\partial M} d^{n-1}y \sqrt{\det \gamma} n_\mu J^\mu \quad (\text{D3})$$

where γ is the induced metric. Hence we get a flux, so that $Q = \int \star J$ is just Gauss's law.

From this perspective, a symmetry generator is the same as a topological operator. The points is that we can deform Σ without changing Q_Σ . Suppose that we insert a charge at some point x via a local operator. Then Q_Σ does not change when deforming Σ unless we cross the point x . So charged particle worldlines cannot end except on charged operators.

[To do: add the Noether perspective.]

Zero form (ordinary) symmetry Given Q_Σ , we can define a symmetry operator $U_\alpha(\Sigma) = e^{i\alpha Q_\Sigma}$. For simplicity, let's assume that the symmetry is abelian [add general case]. Local operators charged under the symmetry by definition transform under the symmetry as

$$O(x) \rightarrow U_\alpha(\Sigma) O(x) U_\alpha(\Sigma)^\dagger = e^{iq\alpha} O(x), \quad (\text{D4})$$

where q is the charge of the operator. This means that $[Q_\Sigma, O] = qO$. For example, consider bosonic ladder operators a, a^\dagger . Let $Q = a^\dagger a$ and $O = (a^\dagger)^q$. Then it is easy to check that O has charge 2 under e^{2iQ} .

One form symmetry Suppose instead we have a two-form $J = \frac{1}{2} J_{\mu\nu} dx^\mu \wedge dx^\nu$ where $J_{\mu\nu}$ is completely antisymmetric. Suppose that $d \star J = 0$. As before, for any codimension 2 locus Σ in spacetime, $Q_\Sigma = \int_\Sigma \star J$ depends only on the topological class of Σ . The symmetry operator is again $U_\alpha(\Sigma) = e^{i\alpha Q_\Sigma}$.

[To do: add my notes on how we can argue that charged operators must be closed loops.]

[To do: add my notes on why p -form symmetries always commute with each other for $p > 0$.]

[To do: add notes on gauging higher form symmetries. See [22, Sec. 4.1], [?], Ethan's notes, and my Slack conversation with Juio. Actually, [23] are really good notes on higher-form and higher-group symmetries!]

D.1 Rough understanding of higher-form symmetries in Chern-Simons theory

Let's consider explicitly the CS theory describing the standard toric code.

- The Wilson loops $W_a(C)$ are the 1-form symmetry operators. The ground state spontaneously breaks the 1-form symmetry in the sense that I have four different ground states that the symmetry moves me between (ie. $W_a(C)$ are logical operators). I think this is why people say that topological phases of matter can be thought of spontaneous symmetry breaking, except that now it is for higher form symmetries.

- A symmetry defect in a topologically ordered system with symmetry G is by definition¹⁸ an extrinsic defect that “carries” a nontrivial group element $g \in G$. This means that anyons are acted upon g after being taken around the symmetry defect. g can act on different anyons differently. There might also be some notion of an irrep here. In this sense, it sounds like anyons are symmetry defects, because when an anyon goes around another anyon, it is acted on by the one-form symmetry. Often, people refer to anyons as intrinsic defects as opposed to extrinsic defects. However, at least in the case of abelian CS theory, recall that the Wilson loops are both the one-form symmetry operators *and* the charged operators. Thus, anyons really are symmetry defects.
- If I “gauge” the one-form symmetry, it means I want to make the global symmetry local. So that means that I am asking for broken Wilson loops to be symmetries. But of course at the end of broken Wilson loops, I have anyons. So that’s why “gauging proliferates symmetry defects”, because when I gauge the theory, I get a proliferation of anyons. More accurately, “gauging” really means by definition making symmetry defects dynamical.
- We can consider subgroups of the one-form symmetry corresponding to a subgroup of anyons. When trying to gauge a symmetry, we might find an anomaly (by definition, an anomaly is an obstruction to gauging). It can happen that we can gauge one subgroup or another, but not both (recall for the toric code case, our one-form symmetry is $\mathbb{Z}_2 \oplus \mathbb{Z}_2$; each \mathbb{Z}_2 individually is non-anomalous, but together they are anomalous). **[Understand this better]** If I have a subgroup of anyons that are all bosons and all mutually braid trivially, then I can gauge – ie. require that their associated cut Wilson loops should also be symmetries. So in the stabilizer formalism, this corresponds to adding the local string operators to the stabilizer group, so that this subgroup of anyons are no longer excitations, and so we have in fact condensed these anyons. So for such a non-anomalous subgroup of anyons, I can gauge that part of the associated symmetry (ie. I can gauge the subgroup of the group of all Wilson operators corresponding to the the Wilson loops of the associated anyons). In that sense, the largest subgroup of the 1-form symmetry that I can gauge is given by Lagrangian subgroups of the anyon model.
- If I try to gauge the symmetry with both bosons in the toric code case, something goes wrong because these they do not braid trivially. I’m not exactly sure what goes wrong **[Understand this better]**. I think it is pretty easier to understand from the stabilizer picture, but I’d like to understand it on the CS side.
- Similarly, if I instead try to gauge a part of the symmetry corresponding to the fermions, something goes wrong. **[Understand this better]**
- So I take the CS theory corresponding to the toric code. I gauge one of the non-anomalous \mathbb{Z}_2 symmetries. This is the same as condensing the corresponding boson. The resulting theory is a \mathbb{Z}_2 protected SPT. This can be seen roughly as follows. Recall that the Wilson loops in the toric code are strings of X and Z operators. Let’s gauge one of the \mathbb{Z}_2 ’s (eg. let’s say that we add the short string operators XX ’s to the stabilizer group). This projects us onto a definite eigenspace of one of the zero-form symmetry operators (recall that the toric code has the zero-form symmetry (ie. global symmetry) operators $\prod_{i,j} X_{i,j}$ and $\prod_{i,j} Z_{i,j}$; by adding the short string XX operators to the stabilizer group, we can think of that as measuring these operators, which projects us onto a definite eigenspace of $\prod_{i,j} X_{i,j}$, which gives us an index I). Thus, our state is now a \mathbb{Z}_2 SPT, in the sense that we can easily (with a local operator) change the value of I , but only if that local operator does not commute with the symmetry $\prod_{i,j} X_{i,j}$. **[I think this is roughly correct]**

To understand all of this better (ie. gauging higher form symmetries), see Ethan’s notes and the other references mentioned above **[to do!]**.

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- [1] John Baez and Javier P Muniain. “Gauge fields, knots and gravity”. *Series on Knots and Everything: Volume 4*. World Scientific. (1994).

¹⁸<https://physics.stackexchange.com/a/800539/114833>

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