

<sup>1</sup> Nonresponse and Sample Weighting in Organizational Surveying

**Abstract**

Post-stratification weighting is a common procedure used in public opinion polling applications to correct demographic constituency differences between samples and populations. Although common practice in public opinion polling, this form of data remediation is only lightly acknowledged as a procedural topic worthy of empirical investigation within the organizational surveying literature. The current paper induces survey nonresponse via data simulation across fictional constituent groups (aka organizational strata) and documents the impact of weighting on the accuracy of sample statistics. Our goal was to evaluate the effectiveness of weighting when confronted with *passive* versus *active* forms of nonresponse in an effort to: 1) interject this data-remediation procedure into the established organizational surveying literature focused on nonresponse, while also 2) exploring organizationally-relevant sampling scenarios that: a) benefit from, b) are “hurt” by, or c) are relatively unaffected by post-stratification weighting. The results confirm that sampling contexts characterized by active nonresponse do benefit from application of sample weights, but only when accompanied by constituency differences in underlying population construct (e.g., surveyed *attitude*) standing. Alternatively, constituent member differences in population attitudes, when characterized by passive forms of nonresponse, exhibit no benefit from weighting (and in fact sample representativeness in these scenarios may be somewhat *hurt* by weighting). The simulations reinforce that, moving forward, it would be prudent for surveyors of all disciplinary backgrounds to mutually attend to the traditional focus of both traditions: public opinion polling (e.g., multiple possible methodological sources of error as well as post-stratification adjustment) and organizational surveying (e.g., *form* of nonresponse).

*Keywords:* Survey methodology, sample weighting, nonresponse, response rate

**26 Nonresponse and Sample Weighting in Organizational Surveying**

27 Akin to differential variable weighting (for instance: a) construct indicators within a  
28 multi-item assessment scale [aka factor loadings], or b) predictors within a selection system  
29 [aka regression weights]), sample weighting alters the proportional contributions of *individual*  
30 *respondents* within a data set. Some respondents' responses are assigned greater relative  
31 contribution and others are assigned less. This practice is commonplace in the summary of  
32 general population polling data reflecting, for example, elections and politics (e.g., Rivers &  
33 Bailey, 2009), prevalence rates of psychological disorders (e.g., Kessler et al., 2009), or  
34 feelings of physical safety (e.g., Quine & Morrell, 2008). It is also seemingly in the periphery  
35 of awareness and interest within the published organizational surveying literature (see, for  
36 example, Kulas et al., 2016; Landers & Behrend, 2015; Tett et al., 2014).

37 We speculate that this form of statistical remediation is gaining research interest in  
38 the organizational surveying research domain, at least in part, because industrial  
39 psychologists are keenly aware that response rates within organizational surveying  
40 applications have been trending downward (see, for example, Anseel et al., 2010; Rogelberg  
41 & Stanton, 2007). With lower response rates, surveyors are confronted with heightened levels  
42 of scrutiny because, historically, higher locally realized response rates have been interpreted  
43 as indicators of data quality (e.g., Anseel et al., 2010; Cycyota & Harrison, 2002, 2006;  
44 Frohlich, 2002). The orientation of this presentation, however, is that although response rate  
45 is a commonly referenced proxy of survey quality, it is not response rate but rather sample  
46 representativeness that should be the primary focus of concern for survey specialists (see, for  
47 example, Cook et al., 2000; Krosnick, 1999). Representativeness can of course be "hurt" by  
48 low response rates, but the relationship between these two survey concepts is by no means  
49 exact (e.g., Curtin et al., 2000; Keeter et al., 2006; Kulas et al., 2017). Stated differently, a  
50 high response rate is neither a sufficient nor necessary condition for representative

51 population sampling.<sup>1</sup>

52 In the context of survey applications, population misrepresentation refers to a  
53 discrepancy between estimated sample statistics and actual population parameters. Ideally,  
54 such discrepancies arise from completely random sources. In reality, however, discrepancies  
55 are driven not only by purely random causes. There are several broader sampling  
56 methodology factors that may be systematically driving the relative under- or over-selection  
57 of a population segment (see, for example, Kulas et al., 2016), but the most commonly cited  
58 contributor within the organizational sciences is non-response (e.g., invited individuals  
59 simply either forget or consciously choose not to participate in the survey process, see, for  
60 example, Rogelberg et al., 2000). Our presentation also focuses on this non-response  
61 contributor to sample misrepresentation, but only because we aim to: 1) integrate the  
62 organizational non-response and public-opinion post-stratification weighting literatures,  
63 while also 2) highlighting the associations and dissociations between response rate and  
64 misrepresentation (although we note here that the focal procedure also addresses alternative  
65 methodological sources of misrepresentation).<sup>2</sup>

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<sup>1</sup> Statistical benefits exist that are commonly attributed to higher response rates, such as greater power. These benefits, however, do not originate from response rate, but rather its consequence: larger  $n$ . Our presentation reflects the fact that greater power (and/or, relatedly, smaller confidence intervals) may in fact foster a false sense of confidence regarding “data quality”. Primarily for this reason, we stress that the methodological/statistical concepts of response rate, sample size, and power should be fully disentangled from the principle of representativeness, and the importance of these dissociations drives the central theme of the current paper.

<sup>2</sup> Frequently presented as a separate consideration, *measurement error* is an additional contributor to population misrepresentation and is not addressed via the weighting procedure. The concern of weighting is deviations from a perfect sampling methodology as opposed to deviations from an ideal psychometric methodology. We do however note that future advancements within the broad domain of “survey error” would benefit from a unified perspective that encompasses error arising from both methodological sources: measurement and sampling strategy.

## 66 Nonresponse in Organizational Surveying

67 Within the organizational surveying domain, it is not uncommon for response rate  
68 (RR) to be referenced as a proxy for survey data quality (see, for example, Baruch & Holtom,  
69 2008; Fan & Yan, 2010; Pedersen & Nielsen, 2016). Baruch (1999), for example, states that,  
70 “...to have dependable, valid, and reliable results, we need a high RR from a wide  
71 representation of the whole population under study” and that, “The level of RR is an  
72 important, sometimes crucial, issue in relation to the validity of a paper’s results” (p. 422).  
73 Fan and Yan (2010) similarly state that response rate is, “...the most widely used and  
74 commonly computed statistic to indicate the quality of surveys” (p. 132). Pedersen and  
75 Nielsen (2016) claim that a high survey response rate, “...diminishes sampling bias concerns  
76 and promotes the validity of survey-based research findings” (p. 230). The general consensus  
77 seems to be that there are three major (negative) consequences of low response rates,  
78 including (a) yielding smaller sample size, which negatively impacts statistical power and  
79 confidence intervals, (b) reducing the credibility of survey data, and (c) generating biased  
80 samples that impair the generalizability of survey results (Biemer & Lyberg, 2003; Luong &  
81 Rogelberg, 1998; Rogelberg et al., 2000).

82 To the likely frustration of those who associate response rate with survey data quality,  
83 organizational survey response rates have, on average, been declining for decades. Baruch  
84 (1999), for example, summarized response rates of 175 studies published in five leading  
85 management and behavioral sciences journals in 1975, 1985, and 1995. His results revealed  
86 an average response rate (across time periods) of 55.6% ( $SD = 19.7\%$ ), but also a trend  
87 within which response rates declined steadily from 64.4% to 55.7% to 48.4% over the three  
88 time points. Nine years later, Baruch and Holtom (2008) conducted a follow-up study of  
89 1,607 studies published in 17 disciplinary-relevant journals in 2000 and 2005 but found no  
90 substantial differences in response rates compared to those in 1995, suggesting that the  
91 declining trend had perhaps reached a lower asymptote. However, a different approach with

similar goals (Anseel et al., 2010) analyzed 2,037 survey projects published in 12 journals in Industrial and Organizational Psychology, Management, and Marketing from 1995 to 2008 and did note a slight decline (overall  $M = 52.3\%$ ) when controlling for the use of response enhancing techniques.<sup>3</sup> The most recent like-minded review focused on the years 2010, 2015, and 2020 and concluded that the trend had perhaps reversed, such that average response rates had risen to 68% in 2020 (Holtom et al., 2022).

### 98 ***Form of Nonresponse***

Although high response rates are considered desirable within organizational surveying applications, there has also been a broad acknowledgement that not all forms of nonresponse should be considered equally worrisome. Rogelberg et al. (2003), for example, proposed a distinction between active and passive nonrespondents based on intent and (in)action. According to Rogelberg et al. (2003), active nonrespondents are those who intentionally refuse to participate in surveys, while passive nonrespondents are those who fail to respond to surveys due to reasons such as forgetting or misplacing invitations. Passive nonrespondents are thought to be similar to respondents in both attitude (Rogelberg et al., 2003) as well as organizational citizenship behaviors (OCBs, Spitzmüller et al., 2007), whereas active nonrespondents have been shown to exhibit significantly lower organizational commitment and satisfaction, higher intention to quit, lower conscientiousness, and lower OCBs than survey respondents (Rogelberg et al., 2000, 2003; Spitzmüller et al., 2007). Taris and Schreurs (2007) similarly noted that selection of an individual population element into a realized sample may in fact be predictable (because of, for example, an increased likelihood of not responding when dissatisfied or disgruntled).

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<sup>3</sup> It is also possible that the declination had stabilized with mean response rates hovering around 50% after roughly the turn of the millennium ( $M = 52.5\%$  for HRM studies from 2009 to 2013, Mellahi & Harris, 2016;  $M = 52.0\%$  for management studies from 2000 to 2004, Werner et al., 2007). This stability, if authentic, may again possibly be accounted for by an increased contemporary emphasis on response enhancing strategies (Anseel et al., 2010; Fulton, 2016).

114       The more commonly encountered form of organizational nonresponse appears to be

115      passive (Rogelberg et al., 2003; Rogelberg & Stanton, 2007), although subgroup rates may

116      evidence variability - men, for example, have a higher proclivity toward active nonresponse

117      than do women (Luong & Rogelberg, 1998; Rogelberg et al., 2000; Spitzmüller et al., 2007).

118      The organizational surveying baseline default expectation is that, *on average*, roughly 15% of

119      nonrespondents should be expected to be accurately characterized as “active” (Rogelberg et

120     al., 2003; Rogelberg & Stanton, 2007; Werner et al., 2007). It is this second, less frequently

121      anticipated form of nonresponse that also carries the greater resulting threat of biased sample

122      estimates (see, for example, Kulas et al., 2017; Rogelberg & Stanton, 2007). It is these

123      biased estimates that are the desired target of remediation when applying sample weights.

124                                                  **Sample Weighting - a Brief Overview**

125        Within public opinion polling contexts, when realized sample constituencies (e.g.,

126      44% male - by tradition from *carefully-constructed* and *randomly sampled* data frames)<sup>4</sup> are

127      compared against census estimates of population parameters (e.g., 49% male), weights are

128      applied to the sample in an effort to remediate the relative proportional under- or

129      over-sampling. This is because, if the broader populations from which the under- or

130      over-represented groups are sampled differ along surveyed dimensions (e.g., males, within the

131      population, are *less likely to vote for Candidate X* than are women), then unweighted

132      aggregate statistics (of, for example, projected voting results) will misrepresent the true

133      population parameter. This remedial application of sample weights should also be considered

134      an option for researchers pursuing answers to analogous organizational pollings such as:

135      “What is the mood of the employees?” This is because focused queries such as this are of

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<sup>4</sup> These important sampling concepts are very carefully attended to within public opinion polling contexts. Conversely, within organizational surveying traditions, these considerations are not commonly acknowledged, at least explicitly within the published literature. The weighting procedure presented in the current manuscript remediates bias regardless of the methodological source, but is dependent on accurate “census” population constituency estimates.

136 course covertly complex - implicit in the question is a focus not on survey results, but rather  
 137 the broader employee population. Acknowledging the appropriate object of attribution is of  
 138 course important, because the next step (after gauging the mood of the surveyed  
 139 respondents) is *doing something* about it. Weighting may be a procedural option for  
 140 organizational surveyors to credibly transition a bit closer from, “What do the survey results  
 141 say”? to “What do the employees feel”?

142 **Procedural application**

143 *Proportional weights* are the form of weights most directly relevant to organizational  
 144 surveying applications that traditionally focus on nonresponse as the primary contributor to  
 145 sample misrepresentation. These weights are ratios of the proportion of a population within  
 146 a given stratum to the proportion of the sample within that same stratum:

$$\text{proportional weight}(\pi_k) = \frac{(N_k/N)}{(n_k/n)} \quad (1)$$

147 Over-sampling of elements of a stratum ( $k$ ) results in proportional weights less than  
 148 one, while under-sampling (relative to the population) results in proportional weights greater  
 149 than one. The common procedure for weight estimation *when more than one stratum is*  
 150 *specified* is an iterative process that may be referred to by multiple substantively  
 151 synonymous terms: *rim weighting*, *iterative proportional fitting*, or *raking* (see, for example,  
 152 Deming & Stephan, 1940). Regardless of label, the procedure guides the surveyor to:

- 153 1) Determine proportional weights for all levels within one stratum, and then assign these  
 154 weights to cases.
- 155 2) Determine proportional weights for a second group (ratio of population percent to  
 156 *current* sample percent [the current sample percent will be affected by the step 1  
 157 weighting procedure]). Multiply previous (step 1) weights by the proportional weights  
 158 for this second stratum and assign these new weights to cases.

159        3) Determine proportional weights for a third stratum (which will once again require  
160            re-inspection of the *current* sample percent). Multiply the previous step 2 weights by  
161            the third stratum proportional weights and assign to cases.

162        4) Iterate through steps 1, 2, and 3 (or more if more than three strata are considered)  
163            until the weighted sample characteristics match the population characteristics to your  
164            desired level of precision.

165            Possible strata relevant for organizational survey weighting include: branch, full-,  
166            part-, or flex-time status, functional area, gender, geographic location, hierarchy,  
167            remote-working categorization, salaried status, subsidiary, tenure, work shift, or any other  
168            groupings especially suspected to plausibly possess a relatively disproportionate number of  
169            active nonrespondents (through application of forecasting strategies such as those advocated  
170            by, for example, Rogelberg and Stanton, 2007). Each of these strata may of course also be  
171            the targeted focus of survey results feedback, but when *aggregating* results across (or even  
172            within) strata, a consideration of the impact of nonresponse *has the potential* to yield more  
173            accurate survey estimates. The explicit goal is therefore a closer approximation of  
174            population parameters with descriptive sample statistics via statistical remediation, and  
175            drives the current paper's focus on the interplay of four survey elements: 1) response rate, 2)  
176            nonresponse form, 3) distribution of attitude within the larger population, and 4) remedial  
177            weighting.

178            *Research question 1:* What role does response rate play in population  
179            misrepresentation? ■<sup>5</sup>

180            *Research question 2:* What role does nonresponse form (passive versus active) play in

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<sup>5</sup> 11/7/24 – Effect is moderated – there is not a simple relationship between response rate and misrepresentation. Rather, a wide range of representative/error-filled estimates can be expected all along the response rate continuum. See Figure 2

181 population misrepresentation? □<sup>6</sup>

182           *Research question 3:* What impact does the application of weights have on both  
183 biased<sup>7</sup> and unbiased sample estimates? □

184           We view these questions as being analogous to similar questions asked and answered  
185 regarding differential *variable* weighting within the broader applied psychological disciplines.  
186 Just as, for example, there has been debate regarding the merits of differential versus unit  
187 variable weighting in a selection context or aggregate scale score definition (e.g., Bobko et al.,  
188 2007; Wainer, 1976), we propose that a similar consideration is appropriate with persons,  
189 and therefore compare and contrast unit versus proportional sample weighting.

## 190           **Methods**

191           We address our research questions within a simulated fictionalized context of  
192 organizational surveying (wherein it is common to assess estimates of employee attitude or  
193 perception; for example, commitment, culture/climate, engagement, satisfaction). We began  
194 the simulations by establishing “populations”, each consisting of 10,000 respondents  
195 characterized by demographic categorizations across gender (male and female) and  
196 department (A and B). We therefore had four demographic groups (Male.A, Male.B,  
197 Female.A, and Female.B). For these population respondents, we generated scaled continuous  
198 responses (real numbers) ranging from values of 1 to 5, representing averaged aggregate scale  
199 scores from a fictional multi-item survey with a common 1 → 5 Likert-type rating scale.

200           In order to represent different proportions of relative constituency (for example, more  
201 Females than Males or more Department A workers than Department B), we iterated

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<sup>6</sup> Figure 3 shows that the largest misrepresentation sampling scenarios are associated with *greater degrees of* active nonresponse. However, there also exist active nonresponse scenarios within which little or no misrepresentation occurs.

<sup>7</sup> We have to be careful about the use of the term “bias” - either very carefully distinguish between error and bias or just avoid use of the term altogether. Perhaps Dr. Robinson can help here.

202 population characteristics at marginal levels (gender and department) starting at 20% (and  
203 80%) with increments and corresponding decrements of 20%. For example, if Males  
204 accounted for 20% of the simulated population, then Females were 80%; also if respondents  
205 in Department A represented 60% of a population, then 40% were in Department B.  
206 Marginal constituencies were therefore realized at all combinations (across the two variables)  
207 of 20% and 80%, 40% and 60%, 60% and 40%, and 80% and 20%. This resulted in  
208 population *cell* constituencies (e.g., Male.A, Female.A, Male.B, Female.B) as low as 400 and  
209 as high as 6,400 - see Figure 1 for further clarification of our “cell” and “margin” terminology  
210 and relative constituency specification.

211 Each population cell was characterized by an attitudinal distribution in one of three  
212 different possible forms: normal, positively skewed, or negatively skewed. These  
213 distributional forms were retained in an attempt to model similarities and discrepancies in  
214 construct standing (e.g., commitment, satisfaction, or engagement) across localized  
215 respondent groupings. The normal distribution exhibited, on average, a mean of 3.0 whereas  
216 the skewed distributions were characterized by average means of 2.0 and 4.0, respectively. In  
217 total, eight crossings of distributional type across employee categorization were specified  
218 (Table 1 presents the combinations of these distributions). Note that these eight conditions  
219 are not exhaustive of all possible combinations of constituent groups and attitudinal  
220 distribution - we limited the simulations to combinations projected to collectively be most  
221 informative within the manipulated simulation parameters.

222 Individual attitudes were randomly sampled from population distributions at the cell  
223 level (e.g., Male.A) without replacement. These response rates (methodologically within the  
224 simulation these could equally be conceptualized as *sampling* rates) were specified at 10%  
225 increments ranging from 60% to 90%, and these were fully iterated across each of our four  
226 marginal groups (Males, Females, Departments A and B). Our cell-level response rates  
227 therefore ranged from 36% to 81% - a range of rates that encompass reasonable real-world

expectations according to the organizational surveying literature (e.g., Mellahi & Harris, 2016; Werner et al., 2007). We therefore investigated error within the aggregate mean (e.g., grand mean aka total sample mean) attributable to different likelihoods of sample inclusion from constituent groups of different relative size and representing populations of different attitudinal distribution, but at response rates reasonably expected to exist in real-world organizational surveying contexts.

It should be noted here that our operationalization of active versus passive utilizes consistency of response rate as a baseline indicator of passive nonresponse. There are several patterns of response that are therefore intended to represent sampling scenarios reflecting passive nonresponse across groups, *regardless of response rate*. These are the scenarios in which all subgroups exhibit the same response rate (e.g., 36%, 36%, 36%, and 36%). All other combinations of response rate are intended operationalizations of active forms of nonresponse (e.g., not *as reasonably* characterized as missing at random).

In an attempt to capture the “degree of active nonresponse”, we calculated a simple index of response rate discrepancy (SD; presented in Table 2). The “least” active nonresponse scenarios are characterized by two subgroups with identical response rates and two having a slightly different response rate (e.g., male.a = 36%, female.a = 36%, male.b = 42%, and female.b<sup>8</sup> = 42%; see the second row of Table 2, the SD index = .034)<sup>9</sup>. Also here note that three of our eight Table 1 conditions represent scenarios where the presence of

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<sup>8</sup> Throughout the Method and Results, “lowercase” specification of simulation strata indicates sample constituencies (e.g., male.b) whereas uppercase implicates population (e.g., Male.B).

<sup>9</sup> This method of simplifying the presentation of our response rate conditions is fully independent of consideration of population constituency and distributional form. That is, the amount of bias present in a sample estimate is expected to be quite different for Condition 7 with response rates of 48%, 48%, 72%, 72% versus 48%, 72%, 48%, 72%, even though the crude response rate index (SD = 0.139) is the same for both scenarios. There is additional information within these simulations (the effect of a *combination* of response rate and population form on degree of bias) that is therefore not captured via this simple SD index.

247 active nonrespondents is not expected to result in bias (e.g., regardless of patterns of  
248 nonresponse, the unweighted sample mean is expected to yield an unbiased estimate of the  
249 population mean). These are Table 1 conditions one through three, where attitudinal  
250 distributions are of *the same form* across groups, regardless of any individual group response  
251 rate discrepancy from others'.

252 The operationalizations of passive and active forms of nonresponse retained here  
253 differ from other investigations with similar goals. Kulas et al. (2017), for example, directly  
254 tie probabilities of sample inclusion to an individual's held attitude (the likelihood of sample  
255 inclusion is fully dependent on the population member's attitude). Conversely, the  
256 probability of sample inclusion in the current investigation is dependent only on *group*  
257 membership (with some of these groups occasionally being characterized by unique attitude  
258 distributional forms). Essentially, Kulas et al. (2017) operationalize active nonresponse at  
259 the person-level whereas the current paper does so at the group level. This may be a more  
260 practical procedural specification with regard to the implications of these simulations, as  
261 organizational surveyors are more likely to have an inclination of a group's collective attitude  
262 or likelihood to respond (e.g., night shift workers, machine operators) than they are of any  
263 one individual employee.

## 264 Results

265 In total, we generated 327.68 million samples (4,096 unique combinations of response  
266 rate and population constituencies across gender and department, simulated 10,000 times  
267 each across the eight Table 1 conditions). Each of these samples was comprised of, on  
268 average,  $n = 5,625$ , collectively representing an experiment-wide simulated  $n$  of 1.8432  
269 trillion. For each individual simulation, weights were applied iteratively to the data at the  
270 two marginal (variable) levels via raking, and were estimated via the *anesrake* package  
271 (Pasek, 2018) in R version 4.2.2 (2022-10-31 ucrt).

272 We were most interested in comparing the extent to which unweighted (aggregated

273 responses without raking) and weighted (aggregated weighted) sample means approximated  
 274 the known population means across our controlled specifications of response rate,  
 275 nonresponse form, and attitudinal distribution. Population means were extracted from each  
 276 iteration, as the simulations specified a new population at each iteration. “Misrepresentation”  
 277 between sample and population was operationalized as: 1) the discrepancies between the  
 278 population and both weighted and unweighted sample means, as well as, 2) the averaged  
 279 deviation of these discrepancies from the population mean (discrepancy in the “mean” of the  
 280 means is bias, dispersion about the “mean” of the means is error). If the average weighted  
 281 sample mean was closer to the true population mean, relative to the unweighted one, then  
 282 the weighting was deemed beneficial.<sup>10</sup>

### 283 Unweighted effects

#### 284 Role of response rate

285 Research question 1 asked what singular effect response rate has on population  
 286 misrepresentation. This is presented most concisely in Figure 2, with *moderate* response  
 287 rates exhibiting the greatest degrees of misrepresentation across our simulated conditions.  
 288 Note here again that conditions 1 through 3, which represent populations with similar  
 289 distributions of attitude, do not exhibit misrepresentation regardless of response rate ( $\bar{d}_{Cond1}$   
 290 = 0.01,  $sd_{Cond1} = 0.00$ ;  $\bar{d}_{Cond2} = 0.01$ ,  $sd_{Cond2} = 0.00$ ;  $\bar{d}_{Cond3} = 0.01$ ,  $sd_{Cond3} = 0.00$ ). These  
 291 can be contrasted most particularly with conditions 6 ( $\bar{d}_{Cond6} = 0.05$ ,  $sd_{Cond6} = 0.04$ ), 7  
 292 ( $\bar{d}_{Cond7} = 0.07$ ,  $sd_{Cond7} = 0.05$ ), and 8 ( $\bar{d}_{Cond8} = 0.07$ ,  $sd_{Cond8} = 0.05$ ), which evidence  
 293 considerable misrepresentation, particularly so at moderate response rates (the greatest  
 294 degree of misrepresentation occurs with aggregate response rates ranging from roughly 40%

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<sup>10</sup> Do we want to do a little more with the dispersion concept? Currently it's underreported in the Results (but stated here that it is something we look at). If so, do we say that the weighting was beneficial also if the dispersion (error) was relatively small? Probably need Dr. Robinson to weigh in on this one

295 to 70%)<sup>11</sup>. Note also that all conditions exhibit circumstances where low and moderate  
296 response rates result in no misrepresentation.

297 Discrepancies in unweighted means between samples and populations – regardless of  
298 response rate – did exhibit differences across the 8 conditions ( $F_{(7,32,760)} = 2,938.50, p <$   
299 .001). Tukey’s HSD implicated all contrasts other than between Conditions 1, 2, and 3 and  
300 also between Conditions 7 and 8. Retaining only Conditions 4 through 8, the relationship  
301 between response rate and sample/population discrepancy was significant but trivial *beyond*  
302 the effect of condition **FIND ANALYSES AND BETTER DESCRIBE** ( $\Delta R^2 = 0.00$ ;  
303  $F = 7,862.44$ ), although a polynomial response rate term did add slightly to the discrepancy  
304 prediction ( $\Delta R^2 = 0.02; F = 2,503.61$ ).<sup>12</sup> Collectively these results reflect inconsistent direct  
305 relationships between response rate and population representation – a range of  
306 representative/error-filled estimates were encountered all along the response rate continuum.  
307 The next sections explore potential explanatory mechanisms for these ranges of  
308 misrepresentation at identical rates of response. **NOTE. Keep “moderator” frame and**  
309 **move footnotes to discussion (e.g., don’t explain away RR variance here)**

310 **Role of nonresponse form**

311 Research question 2 asked what role the *form* of nonresponse (passive versus active)  
312 plays in population misrepresentation. In terms of explaining the error that did emerge

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<sup>11</sup> Note that extreme overall rates (e.g., .36/.81) are necessarily associated with more passive forms of non-response as operationalized in the current paper. The “middle”-most response rates are those most likely to be characterized by a mixture of both passive and active forms of non-response.

<sup>12</sup> **NEEDS FURTHER THOUGHT/EXPLANATION** Middle range more cases - for the lowest case, there’s only 256 cases (all with the same response rate of 36%). That explains the “upward slope” on the left of the graphing spaces. Clarification here would state that *these graphs hint to form of nonresponse being important - the lowest and highest response rates are constrained such that all groups have the same/similar levels of response rate - this is our operationalization of passive nonresponse*. Also need clarification on hierarchical regression (what is meant by response rate - how was that specified in the regression).

313 within unweighted means sampled from conditions 4 though 8, this error was largely  
 314 attributable to form of nonresponse as operationalized by our SD index (See Figure 3).  
 315 Figure 3 also adds context to the previously noted Figure 2 variabilities in ranges of  
 316 misrepresentation across response rates, with the most extreme Figure 3 cases of  
 317 misrepresentation fully echoing circumstances of active nonresponse (e.g., the greatest cases  
 318 of misrepresentation are always associated with the highest SD index regardless of simulation  
 319 condition).

320 The Figure 3 scatterplots also reveal progressively increasing heteroskedasticity across  
 321 the response rate continuum. Similar to the response rate – misrepresentation associations,  
 322 there are *active nonresponse* scenarios in which no misrepresentation occurs (see, for  
 323 example, the lower right-hand portions of conditions 4 through 8 where discrepancy  
 324 estimates of “0” persist at multiple points along the passive-active x-axis). These  
 325 circumstances are simulated conditions within which the response rates “parallel” the  
 326 *population distributional form*. For example, in Condition Eight, the distributional forms  
 327 across populations were:  $PositiveSkew_{Male(A)}$ ,  $PositiveSkew_{Male(B)}$ ,  
 328  $NegativeSkew_{Female(A)}$ ,  $NegativeSkew_{Female(B)}$ . Response rates that “mirror”  
 329 distributional patterns in extreme cases of active nonresponse (e.g.,  $SD = .156$ ;  $54\%_{Male(A)}$ ,  
 330  $54\%_{Male(B)}$ ,  $81\%_{Female(A)}$ ,  $81\%_{Female(B)}$ ) result in effectively zero error in the population mean  
 331 approximation (average discrepancy = 0.00,  $SD = 0.00$ ). Alternatively, when the response  
 332 rates are inverted for the  $SD=.156$  cases, (e.g.,  $54\%_{Male\_A}$ ,  $81\%_{Male\_B}$ ,  $54\%_{Female\_A}$ ,  
 333  $81\%_{Female\_B}$ ), there is substantial error in approximation (average discrepancy = 0.16,  $SD =$   
 334 0.03). Here, it is not merely response rate or form that is associated with biased sample  
 335 estimates, but rather the nature of response rate relative to existing attitudinal differences.<sup>13</sup>

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<sup>13</sup> Don't think this is correct - maybe frame: "sometimes the active non-response is non-troublesome - when it fully parallels the distributional proportions (?)" ← still confusing. Looked at with Yang 3/1/24 and still confused - maybe leave in for reviewers to note and question. In `data load and prep chunk` (line 74) - work backwards from lines 141-144 to pull proper distal variables and place into explanatory figure (showcase one

<sup>336</sup> See Figure 6 for placeholder explanation.

<sup>337</sup> ***Need to work on this section***

<sup>338</sup> To further expand upon this *attitudinal form/pattern of nonresponse* interplay, the  
<sup>339</sup> discrepancies between population constituency and sampling proportions were additionally  
<sup>340</sup> evaluated through the lens of Cattell's profile similarity index ( $r_p$ , Cattell, 1949; Cattell et  
<sup>341</sup> al., 1966).  $r_p$  is sensitive to discrepancies in profile shape (pattern across profile components),  
<sup>342</sup> elevation (average component score), and scatter (sum of individual components' deviation  
<sup>343</sup> from the elevation estimate. Here, the profile similarity index references the relationship  
<sup>344</sup> between the response rates (NEED YANG TO VERIFY - THINK THIS IS  
<sup>345</sup> SSmale;SSfemale;SSdepta;SSdeptb from `combo` object) and sample sizes  
<sup>346</sup> (cellrate.ma;cellrate.mb;cellrate.fa;cellrate.gb) across experimental *cells*. For example,  
<sup>347</sup> VERIFY BEFORE CLARIFYING HERE. Figure 4 demonstrates the pattern of unweighted  
<sup>348</sup> sample mean deviation (from the population parameter) when this index is taken into  
<sup>349</sup> consideration. Specifically, Figure 4 demonstrates a more pronounced *form of* nonresponse  
<sup>350</sup> association when underlying attitudinal distributions evidence group differences (e.g.,  
<sup>351</sup> incrementally across the 8 specified conditions), and in these scenarios, active nonresponse is  
<sup>352</sup> shown to have a fairly large effect on error within the sample estimate (as well as  
<sup>353</sup> systematically increasing degrees of heteroskedasticity paralleling the Cattell index; omnibus  
<sup>354</sup> Breusch-Pagan [across conditions] = 3177.2,  $p < .001$ ). The curvilinear nature of these  
<sup>355</sup> functions was estimated via hierarchical polynomial regression (excluding conditions 1, 2,  
<sup>356</sup> and 3), with misrepresentation exhibiting a linear association across condition ( $R^2 = 0.15$ ,  $p$   
<sup>357</sup>  $< .001$ ) as well as incrementally across the Cattell index ( $\Delta R^2 = 0.24$ ,  $p < .001$ ), and also  
<sup>358</sup> exhibiting an incremental polynomial effect ( $\Delta R^2 = 0.07$ ,  $p < .001$ ).

<sup>359</sup> To further elaborate this point, consider, for example, Condition 4 as presented in  
<sup>360</sup> Table 1. Here, three groups are characterized by similar distributions of attitudes (normally

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low  $r_p$  and one high  $r_p$ )

distributed) and one, Female.B, is characterized by negatively skewed attitudes. The greatest unweighted error here arises from sampling scenarios in which there are many Female.B (e.g., in our specifications, 6,400) and fewer males and Department A females<sup>14</sup>, but the female.b exhibit a much lower response rate (e.g., 20%) than do other groups, who respond at a high rate (e.g., 80%). That is, it is not merely response rate, but response rate within these identifiable groups, and whether or not those response rate differences parallel underlying attitudinal differences that drives sample misrepresentation.

### Impact of weighting

Research question 3 was focused on the impact of weights on both biased (e.g., misrepresentative) and unbiased sample estimates<sup>15</sup>. Figure 5 provides a broad summary of the results across the eight different attitudinal distribution conditions, presenting the average absolute discrepancy from the population mean for the weighted and unweighted sample estimates. Conditions one through three demonstrate that, on average, the unweighted sample mean provides a good (unbiased) estimate of the population mean when the distributional form does not differ across constituent groups (e.g., the distributions of attitudes are of similar functional forms and locations for all constituent groups). This is regardless of form or extent of nonresponse. Additionally, weighting remediates deviations about the true mean in all five attitudinally discrepant conditions, even when substantive relative error exists in the unweighted estimate (e.g., the rightmost bars in Figure 5). Although the *patterns* of unweighted sample mean discrepancies differed across conditions, all eight conditions exhibited similar omnibus effect (weighting ameliorating error wherever it

<sup>14</sup> Because of the “marginal” versus “cell” specifications of population constituencies, our most extreme example here necessarily results in 400 Male.A’s, 1,600 Male.B’s, and 1,600 Female.A’s. This was a decision based on keeping the population N’s at 10,000 and certainly more extreme population constituency combinations could be examined in future like-minded explorations.

<sup>15</sup> Come back to this phrasing after decision is made on RQ 3 wording (whether to avoid using the term bias or not).

382 arose [in the unweighted statistic]).

383 ***Weighting and Sampling Error***

384 Mean square error is our second index for sample quality. It is well-known that the  
385 application of weights increases (random) errors of precision, which was also empirically true  
386 in the current study. For each condition in our simulations, we calculated the standard  
387 deviations of 40.96 million unweighted and 40.96 million weighted samples means (4,096  
388 possible population-sample combinations by 10,000 iterations), which yielded eight  
389 empirically-estimated standard errors of unweighted and weighted sample means. Figure 5  
390 visually presents these standard errors in eight pairs of bars, demonstrating that the  
391 standard error of weighted sample means tended to be 16% to 18% larger than that of  
392 unweighted sample means regardless of condition (excluding Conditions 1-3). These errors  
393 highlight the caveat that weighting should only be applied in the active nonresponse case  
394 (e.g., although the aggregate effect of weighting with passive nonresponse is error-minimizing,  
395 any one sampling condition is *more likely* to result in greater deviation from the population  
396 parameter when weighting is applied to sample data driven by passive nonresponse).

397 **Collective roles of response rate, form, and attitudinal distribution**

398 As an aggregate across sampling events, weighting always corrects sample bias when  
399 it is present in the unweighted estimate. However, the standard errors suggest that for any  
400 *one* sampling event in the absence of bias, the likelihood that the sample mean approximates  
401 the *mean* of sample means is (slightly) greater for the unweighted estimate. When bias is  
402 present (in the unweighted estimate), there is obviously no advantage to “being closer” to  
403 this biased mean of means. That is, under some circumstances, the mean of unweighted  
404 sample means does not center on the population mean. The implications of this seem quite  
405 obvious: Weighting should only be applied if bias is anticipated in the sample estimate. This  
406 may seem to be a picayune recommendation, but we note here that this advocacy is not  
407 heeded in public opinion polling applications, where the computation and application of  
408 weights are default practice (**CITES?** - perhaps AAPOR standards or personal

409 communication with polling agencies such as Gallop).

410           **Question for David - Can we look at the “crossing point?” (e.g., when**  
411       **MSE becomes excessive)**

412           [perhaps David can derive/find a proof to parallel our results?] (Table 1 +  
413       **ResponseRate1 + SDForm2 + Figure 4) Maybe try to combine Figures 2 and 3**  
414       **(put SD on Figure 3 - color code)**

415                          **Discussion**

416       We view nonresponse as a serious problem that should be addressed via repeated  
417       attempts to survey particularly reluctant or hard-to-reach respondents because nonresponse  
418       may be reasonably expected to be greatest in groups that are most unsatisfied (e.g., it may  
419       be typical for individuals representing these groups to have their responses diluted, see, for  
420       example, Taris & Schreurs, 2007). However, several researchers have noted potentially  
421       misplaced relative emphasis on response rates, with Cook et al. (2000), Krosnick (1999), and  
422       Visser et al. (1996) articulating the point that representativeness of the sample is more  
423       important than response rate. We also believe that the goal in organizational surveying  
424       should be representativeness not exhaustiveness. **PRACTITIONER PERSPECTIVES**  
425       **SHOULD ALSO BE ADDED HERE – THEY ALMOST UNIVERSALLY**  
426       **EQUATE RESPONSE RATE WITH QUALITY** Krosnick (1999) specifically  
427       comments that, even when probability sampling is employed, response rate does not  
428       necessarily implicate either good or poor sample representativeness. One aim of this paper is  
429       to stress this primary ‘representativeness’ orientation to those who may be otherwise inclined  
430       to focus on response rate as a sufficient index of quality (while also stressing sample  
431       weighting as a practice that can potentially remediate *misrepresentativeness*).

432       With the above in mind, we set out to answer three fairly straightforward questions:  
433       What roles do 1) response rate and 2) form of nonresponse have on population

misrepresentation, and 3) what impact does the application of weights have on the quality of sample estimates? The simulations demonstrate that the impact of (mere) response rate is contingent on the underlying distributions of population attitude. Conditions 1 through 3 are fully immune and all other conditions are occasionally immune to response rate influence, depending on whether the pattern of nonresponse parallels the pattern of attitudinal distribution differences or not **THIS NEEDS COORDINATION WITH CATTELL STUFF**. Active forms of nonresponse can harm the unweighted sample estimate, but only when the pattern of active nonresponse is accompanied by differing distributions of attitudes within the active nonrespondent “populations” (this would appear to be a reasonable expectation based on the literature, e.g., Rogelberg et al., 2000, 2003; Spitzmüller et al., 2007). Weighting “always” helps, as long as you capture the proper strata (which of course we were able to do via controlled simulation), but also...

It may be noted here that the organizational surveying categorization of passive versus active somewhat parallels the broader statistical focus on data that is missing at random or completely at random (MAR or MCAR, see for example, Heitjan & Basu, 1996) versus data not missing at random (MNAR, see for example, Enders, 2011). Imputation is a common remediation technique for data MAR or MCAR whereas MNAR solutions may involve strategies such as latent variable estimation procedures (Muthén et al., 1987). In the context of organizational surveying, the current findings lead to a similar bifurcation of remediation methods - post-stratification weighting is recommended only in the circumstance of active nonresponse.

It has been stated that active nonresponse is relatively harmless unless the actively nonrespondent group is relatively large<sup>^</sup>[NEED TO CHECK CITES FOR RELEVANCE]It should also be pointed out that although the active nonrespondent group seems to be a great concern, it will not seriously bias the results unless the proportion of active nonrespondents is higher than 15% (Rogelberg et al., 2003; Rogelberg & Stanton,

460 2007; Werner et al., 2007). “In this study we found that the active nonrespondent group was  
461 relatively small (approximately 15%), but consistent in size with research conducted by .”  
462 (Rogelberg et al., 2003, pp. 1110–1111). “Furthermore, consistent with Roth (1994) who  
463 stated that when missingness is not random (as we found for active nonrespondents),  
464 meaningful bias will only be introduced if the group is relatively large (which was not the  
465 case in this study).” (Rogelberg et al., 2003, p. 1112). “If the results show that the active  
466 nonrespondent group comprises a low proportion of the population, fewer concerns for bias  
467 arise. If the proportion of active respondents is greater than 15% of the group of individuals  
468 included in the interviews or focus groups (this has been the average rate in other studies),  
469 generalizability may be compromised.” (Rogelberg & Stanton, 2007, p. 201) \* I believe there  
470 is an error here. The author want to say that if the proportion of active nonrespondents is  
471 greater than 15% of the group .

472 “It has been suggested that it takes a response rate of 85% to conclude that  
473 nonresponse error is not a threat (Dooely & Lindner, 2003). We agree that researchers  
474 should provide both empirical and theoretical evidence refuting nonresponse bias whenever  
475 the response rate is less than 85%.” (Werner et al., 2007, p. 293)]. The current study,  
476 however, suggests that post-data-collection remediation. There may also be some important  
477 implications here regarding sample (and population) size. Because organizational surveyors  
478 likely interface with organizations of varying sizes (perhaps some of which are small- or  
479 medium-sized), the implications of our simulations particularly in the small population  
480 conditions, were highlighted. Findings specific to these conditions were: XXX, XXX, XXX.

481 Note here however, the seeming disconnect between the reports of 15% active  
482 nonresponse and declining response rates (trending toward 50%). Certainly with decreasing  
483 overall response rates, the likely reasons would appear to be more active than passive (e.g., it  
484 is difficult to entertain the idea that potential respondents are more likely to forget to  
485 respond today than they were 40 years ago).

486        Integration of IT/IS systems within HR functions hopefully assists the  
487        (un)likelihood that organizational population frames are either deficient or  
488        contaminated, although we note that this possibility (frame misspecification) is  
489        much more plausible within organizations that do not have updated or integrated  
490        HR IT/IS systems (perhaps, ironically, *smaller* organizations).

## 491        **Limitations**

492        The results are presented with at least three limitations: 1) our simulations are  
493        comprehensive, iterating through all possible combinations of response rates - those  
494        paralleling population distributions, those inversely mirroring population distributions, and  
495        those “orthogonal to” population distributions, 2) the “SD” operationalization of passive to  
496        active forms of nonresponse is a bit crude and insensitive to specific combinations of response  
497        rates expected to manifest or not manifest in bias, and 3) substantial bias may be present in  
498        the unweighted estimate even with only small proportions of active non-response (e.g., only  
499        one or two groups exhibiting slightly different response rates, with the resulting discrepancy  
500        [population versus sample mean] being quite large).

501        The current findings are of course qualified by the uniqueness of our simulations,  
502        most notably our ability to fully capture the correct population parameters (e.g., because  
503        these were “created” by us, we were also able to identify these strata as the nonresponse  
504        contributors). Even in the extreme conditions (e.g., a small “population” with a  
505        correspondingly low response rate; see, for example, the lower-left hand corner of Figure 2),  
506        the weighting algorithm was able to provide a bias correction. This is undoubtedly  
507        attributable to our random sampling procedure (instead of, for example, sampling  
508        conditionally from the population distributions), but here we do note that the raking  
509        procedure is applied at the “margins” (e.g., variable level, not interaction level), although  
510        our introduction of a biasing element is at the cell (interaction) level.

511        Although the weighted mean proved an unbiased estimate of the population mean

512 across all simulations, in circumstances where no bias existed in the unweighted estimate,  
513 the trade-off between bias-correction and random error of precision (e.g., standard error) also  
514 needs to be acknowledged.

515 **Future Directions**

516 There is of course no need to restrict weighting protocols to demographic groups -  
517 organizational surveyors have a rich tradition of attending to drivers of nonresponse (see, for  
518 example, Rogelberg & Stanton, 2007). Groupings of any sort can be the basis of weighting  
519 (for example, pre-survey probing might assign probabilities of nonresponse, and these  
520 probabilities can be retained post-administration as weighting guides).

521 Our operationalization of passive nonresponse was based on realized subsample  
522 differences in response rate. Of course it is plausible that consistent response rates (e.g., 36%,  
523 36%, 36%, 36%) could have corresponding *non-sampled* elements who represent active  
524 non-response. Our methodology did not model these scenarios, but future like-minded  
525 investigations may wish to do so.

526 *Research question placeholder:* What are the important interrelationships between  
527 nonresponse form, response rate, and underlying distributional attributes that impact  
528 population misrepresentation?

529 A very practical implication of this study is that future organizational researchers  
530 may find more success implementing strategic sampling strategies as opposed to (or in  
531 addition to) pursuing response enhancement. That is, as a field, organizational researchers  
532 have been focused on response-enhancing strategies that minimize the presence of  
533 nonresponse. The current findings suggest that more careful adherence to random sampling  
534 from carefully constructed population frames may provide a different route to the same  
535 end-goal of sample representativeness.

536 Experimental methods within the psychological discipline have long been criticized

537 for heavy reliance on samples of convenience (for instance, student samples). Very little  
538 progress has been made regarding the application of appropriate population sampling  
539 procedures in experimentation. Certain non-experimental procedures (most notably  
540 organizational surveying) hold paradoxical advantage over experimental procedures primarily  
541 in this arena of sampling - particularly in consideration of population coverage, which refers  
542 to the percent of a population that is reachable by the sampling procedure (e.g., postal,  
543 intra-office, or internet invitation) and likelihood of having access to population parameter  
544 estimates (e.g., strata constituencies). There is a rich tradition and literature of public  
545 opinion polling procedures and techniques from which to draw. These procedures, however,  
546 only hold advantage if the non-experimental methodologist acknowledges the criticality of  
547 sample representativeness. The current paper provides one corrective technique  
548 (post-stratification weighting) as an important focus for the organizational surveyor who  
549 shares this primary interest in maximizing sample representativeness.

550 We note the above “advantage” held by organizational surveyors because extensions  
551 of the current protocol include investigating how inaccurate census estimates (and/or  
552 grabbing the “wrong” group) affects the quality of sample estimates. That is, in our  
553 controlled simulations, we were able to know population constituencies, because they were  
554 set by us! In real-world applications, there is likely more error between the population  
555 estimate and actual population constituency. Similarly, if the association between attitude  
556 and group membership were to be controlled, there may be conditions identified whereby  
557 weighting loses its efficacy (e.g., low “correlations” between attitude and group membership).  
558 Future simulations should test boundary conditions for this type of error, identifying at what  
559 point inaccuracy in the population constituency estimate appreciably degrades the weighting  
560 procedure. Furthermore, it was demonstrated here that, when bias exists, weighting corrects  
561 it. Weighting also, however, results in a larger mean square error (MSE; expected spread of  
562 sample estimates around the population parameter). Feasibly then, there is a point at which  
563 the decreased bias is accompanied by an unacceptably inflated MSE. At which point does

564 this occur? This is another fertile area for future exploration.

565 Most potential issues with weighting are addressed through careful consideration of  
566 the appropriate strata to take under consideration as well as ultimate level of aggregation  
567 (what group constitutes the population of interest or focus of feedback; e.g., regional,  
568 functional, or organizational?). We recommend the surveyor especially considers groups that  
569 might have issues of active forms of nonresponse and collect those demographics so weighting  
570 is an option. It is particularly in these contexts of ‘unsatisfied’ employees being less likely to  
571 respond to surveys that pre-stratification consideration becomes critical (for instance, if  
572 there is an inclination that attitudes may differ across, for example, night versus day shift  
573 workers, it is important that shift be measured and incorporated as a stratum prior to survey  
574 administration).

575 For Condition 5 (for example, low/high response rates with minority/majority  
576 population constituencies). The lower-right to upper-left diagonal reflects response rates that  
577 parallel population constituencies. The patterns across these stressors were consistent, with  
578 the weighted sample means (red dots) providing unbiased estimates of the population  
579 parameter, whereas the unweighted sample means (grey dots) tended to yield unbiased  
580 estimates when the population constituencies were roughly equal (e.g., close to 50%/50%).

581 Figure 3 drills down this information further by extracting unweighted and weighted  
582 estimates in one specific marginal population parameter combination (here, 60% males and  
583 40% females; 40% in department A and 60% in department B). In doing so, the population  
584 parameters were in control and sample parameters were set free (see dotted red rectangle in  
585 Figure 2). Therefore, Figure 3 was then arranged in a fashion that allowed further  
586 investigation into the interactive effect of marginal sample parameters (gender on the x-axis  
587 and department on the y-axis) on the effectiveness of post-stratification weighting reflected  
588 by the pattern of grey and red dots. **Huh? - find old version or delete**

589 Could be introducing more error if try to apply weights to correct constintuent

590 proportionalities with passive nonresponse.

591 Mention tradition of single-item indicators in public opinion polling versus multi-item

592 scales in Psychological assessment?

593 PRIOR TO RQs: after chatting with Yang (10/31/19) these need to be clarified

594 a bit - reading 11/3 they make sense but need to be read very carefully. Check

595 with Yang on 1/26 Skype. 2/1 revisions seem ok to Kulas. Three moving parts:

596 underlying attitudinal distributions, response rate, and form of nonresponse <-

597 perhaps we should make these variables more explicit prior to the

598 procedure/results...

599 Our operationalization of active nonresponse as subgroup differences in response rates

600 of course merits validation. The literature suggests that individuals with... this Whether or

601 not subgroup differences in response rate can (or should) be investigated as potential

602 indication of active nonresponse is an empirical question and future investigations would

603 benefit from exploring the extent to which such variability in simple response rate across

604 constituent groups *should* be interpreted as indicative of active nonresponse. This would be

605 an extension of Taris and Schreurs (2007), who noted that selection of an individual

606 population element into a realized sample may in fact be predictable (because of, for

607 example, an increased likelihood of not responding when dissatisfied or disgruntled). This

608 operationalization is dependent on subgroup comparison (e.g., is not reflective of an entire

609 organization that collectively exhibits active nonresponse).

610 Most likely nonrespondents are actually those in the middle (not extremely

611 dissatisfied or extremely satisfied).

612 "put differently, a high response rate may not allow for valid inferences and a

613 lower response rate might adequately represent the broader population" [p. 1574;

614 Holtom et al. (2022)].

615 Previous presentations have noted that bias is sometimes associated with nonresponse

616 and othertimes it is not - this research has not been explicit in the specific conditions that

617 moderate this association, however. The current paper does make this association explicit. It

618 is not merely the form of nonresponse that determines whether or not bias occurs, but also

619 the underlying distributions that the response probabilities are applied to. Some

620 distributional patterns are immune to the biasing effects of active nonresponse (see, for

621 example, Conditions 1 through 3). Some patterns of active nonresponse also result in no bias

622 even when distributional patterns deviate substantially (see, for example, Condition 8 where

623 a 20%, 20%, 80%, 80% response rate pattern exhibits no error). The target therefore should

624 not be merely form of nonresponse but also underlying attitudes. Regardless, however,

625 weighting always remediates the error when it occurs (and does not add error where it is

626 absent).

627

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**Table 1***Attitudinal Distribution Conditions Specified in Current Paper*

Condition	Distributional Shape	mu	Male		Female		Bias Susceptibility
			Dept A	Dept B	Dept A	Dept B	
1	Normal	3	X	X	X	X	Low
	Positive Skew	2					
	Negative Skew	4					
2	Normal	3					Low
	Positive Skew	2	X	X	X	X	
	Negative Skew	4					
3	Normal	3					Low
	Positive Skew	2					
	Negative Skew	4	X	X	X	X	
4	Normal	3	X	X	X		Moderate
	Positive Skew	2					
	Negative Skew	4				X	
5	Normal	3	X	X			Moderate/High
	Positive Skew	2			X	X	
	Negative Skew	4					
6	Normal	3		X	X		Moderate/High
	Positive Skew	2	X				
	Negative Skew	4				X	
7	Normal	3					High
	Positive Skew	2	X		X		
	Negative Skew	4		X		X	
8	Normal	3					High
	Positive Skew	2	X	X			
	Negative Skew	4			X	X	

**Table 2**

*Example Summarized Response Rate Conditions Represented in Figures 2 through 5*

Example Response Rates (Any Combination)							Form (and degree) of Nonresponse
Male Dept A	Male Dept B	Female Dept A	Female Dept B	SD Index	Number of Conditions		
36%	36%	36%	36%	.000	256		Passive
36%	36%	42%	42%	.034	128		
48%	48%	54%	54%	.035	64		
42%	42%	49%	49%	.040	192		
48%	48%	56%	56%	.046	128		
56%	56%	64%	64%	.047	64		
54%	54%	63%	63%	.051	128		
63%	63%	72%	72%	.052	64		
36%	42%	42%	49%	.053	64		
42%	48%	49%	56%	.057	128		
49%	56%	56%	64%	.061	64		
48%	54%	56%	63%	.062	128		
56%	63%	64%	72%	.066	128		
36%	36%	48%	48%	.069	128		
64%	72%	72%	81%	.069	64		
42%	42%	56%	56%	.081	128		

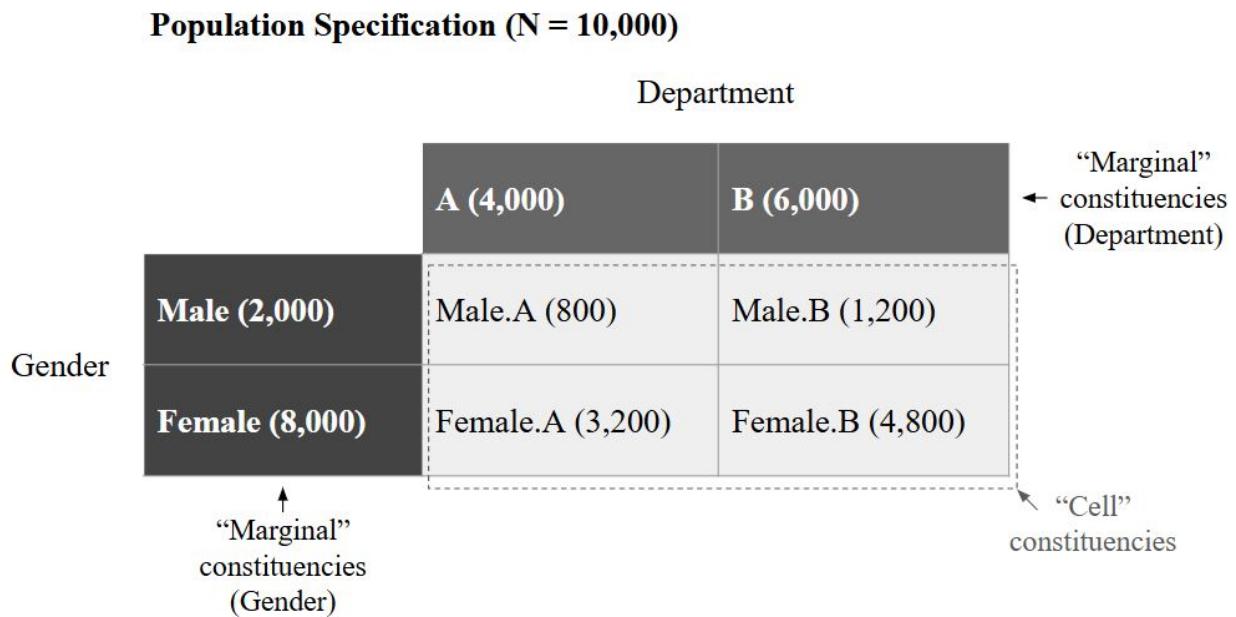
Table 2 continued

## Example Response Rates (Any Combination)

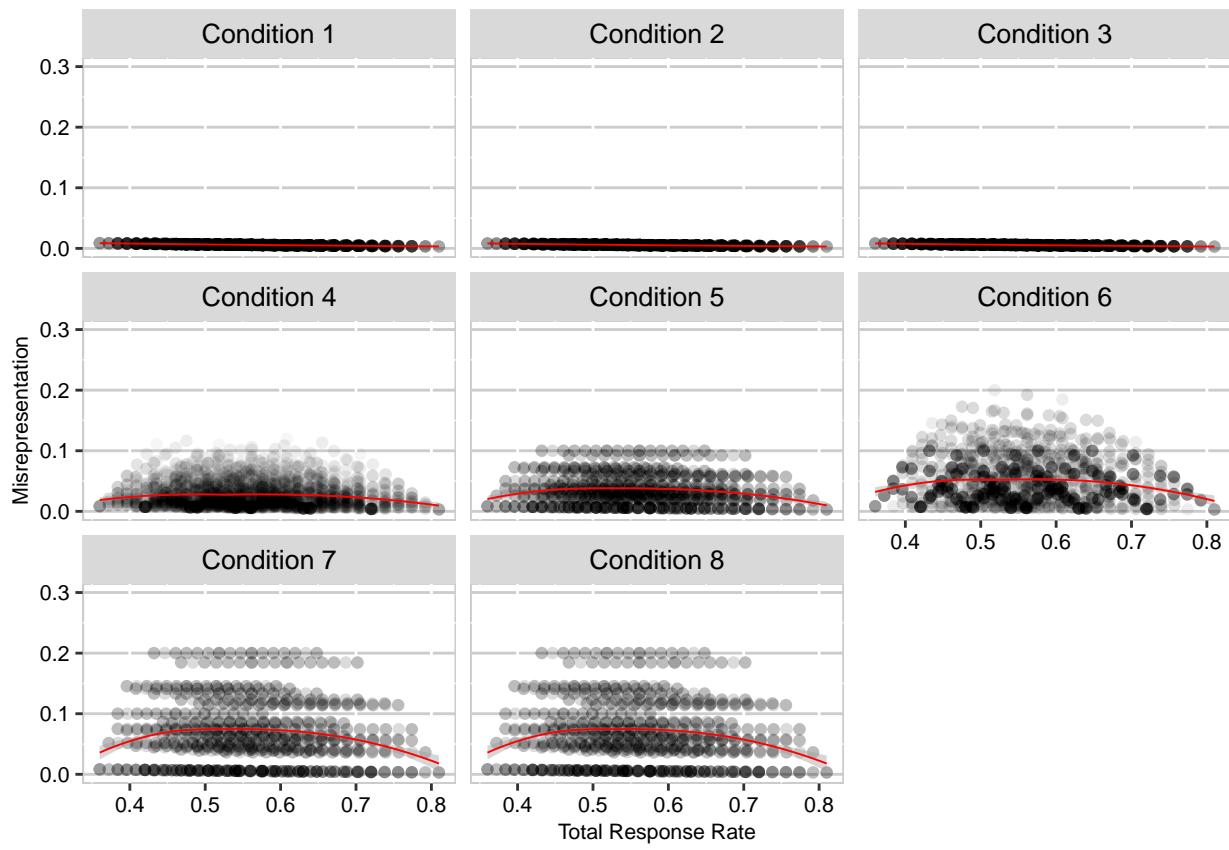
Male Dept A	Male Dept B	Female Dept A	Female Dept B	SD Index	Number of Conditions	Form (and degree) of Nonresponse
36%	42%	48%	56%	.085	128	
42%	49%	54%	63%	.089	128	
48%	48%	64%	64%	.092	128	
42%	48%	56%	64%	.096	128	
49%	56%	63%	72%	.098	128	
36%	36%	54%	54%	.104	192	
48%	54%	64%	72%	.106	128	
56%	63%	72%	81%	.109	128	
36%	48%	48%	64%	.115	64	
36%	42%	54%	63%	.120	128	
42%	42%	63%	63%	.121	64	
42%	54%	56%	72%	.123	128	
49%	63%	63%	81%	.131	64	
42%	48%	63%	72%	.137	128	
48%	48%	72%	72%	.139	64	
36%	48%	54%	72%	.150	128	

Table 2 continued

Example Response Rates (Any Combination)						
Male Dept A	Male Dept B	Female Dept A	Female Dept B	SD Index	Number of Conditions	Form (and degree) of Nonresponse
48%	54%	72%	81%	.154	128	
54%	54%	81%	81%	.156	64	
42%	54%	63%	81%	.164	128	
36%	54%	54%	81%	.186	64	Active

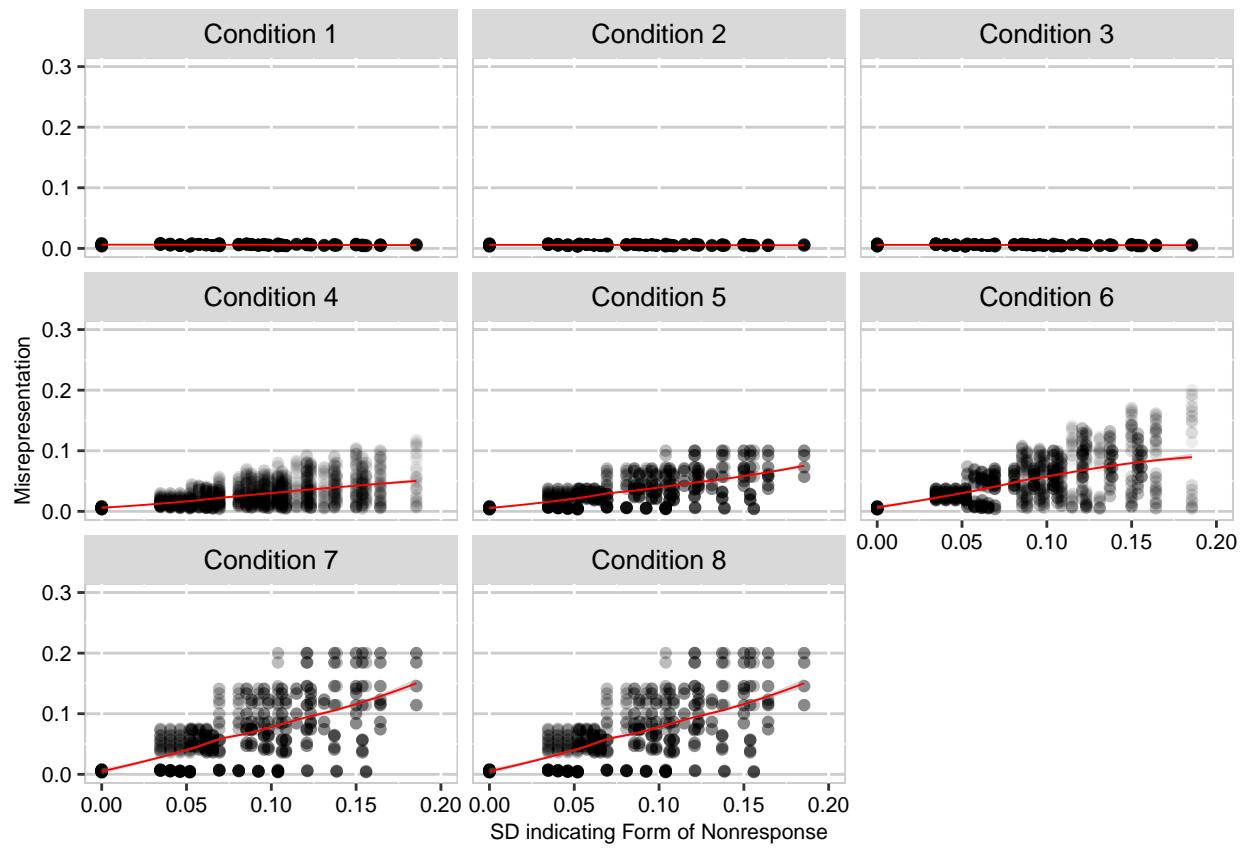
**Figure 1**

*Visual demonstrating terms used to describe population elements.*



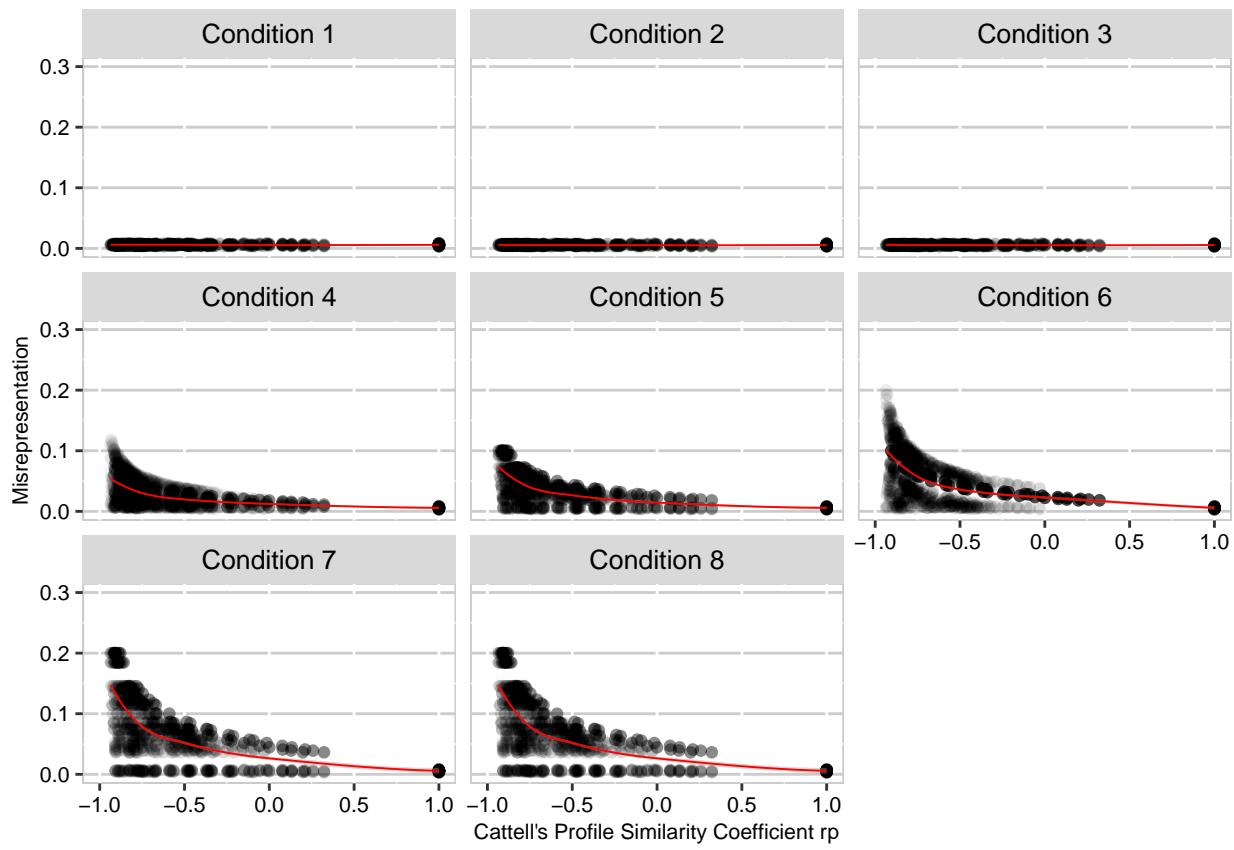
**Figure 2**

*Relationship between total response rate and misrepresentation.*

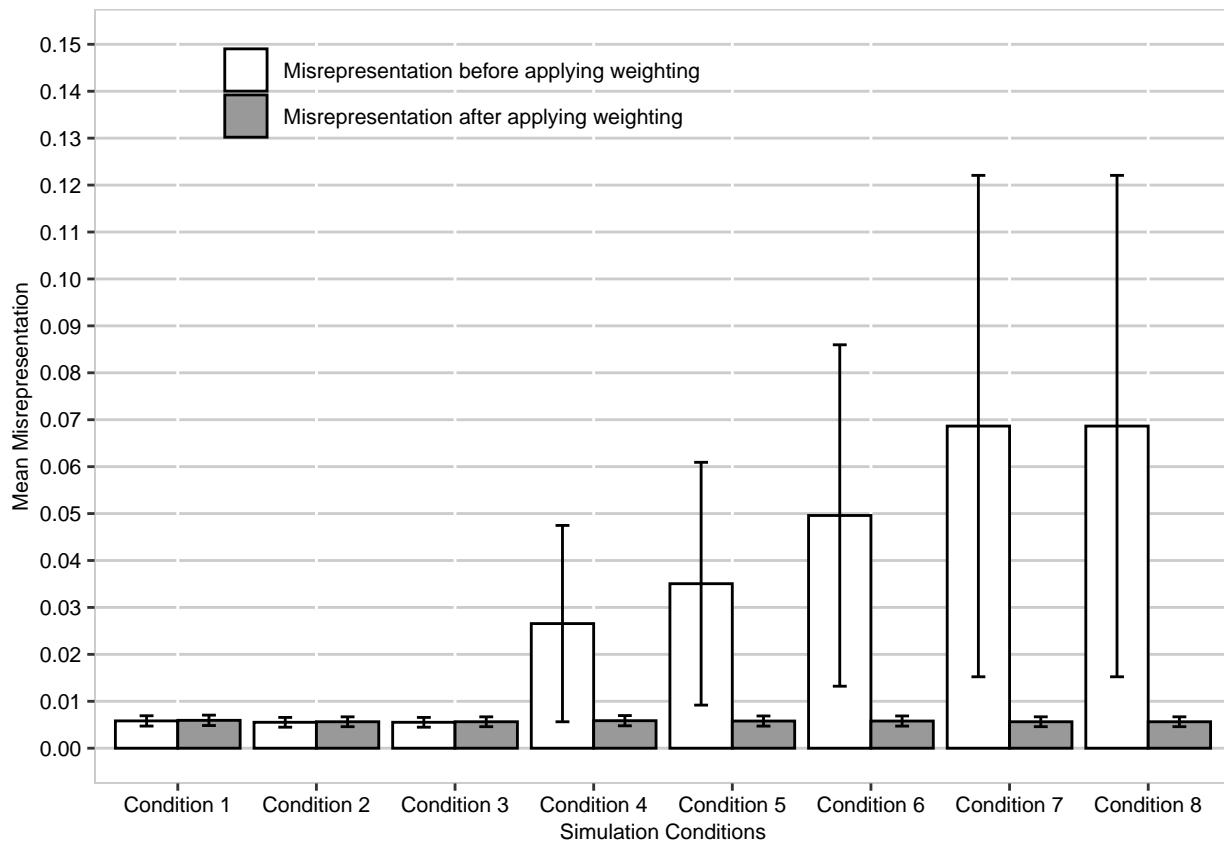


**Figure 3**

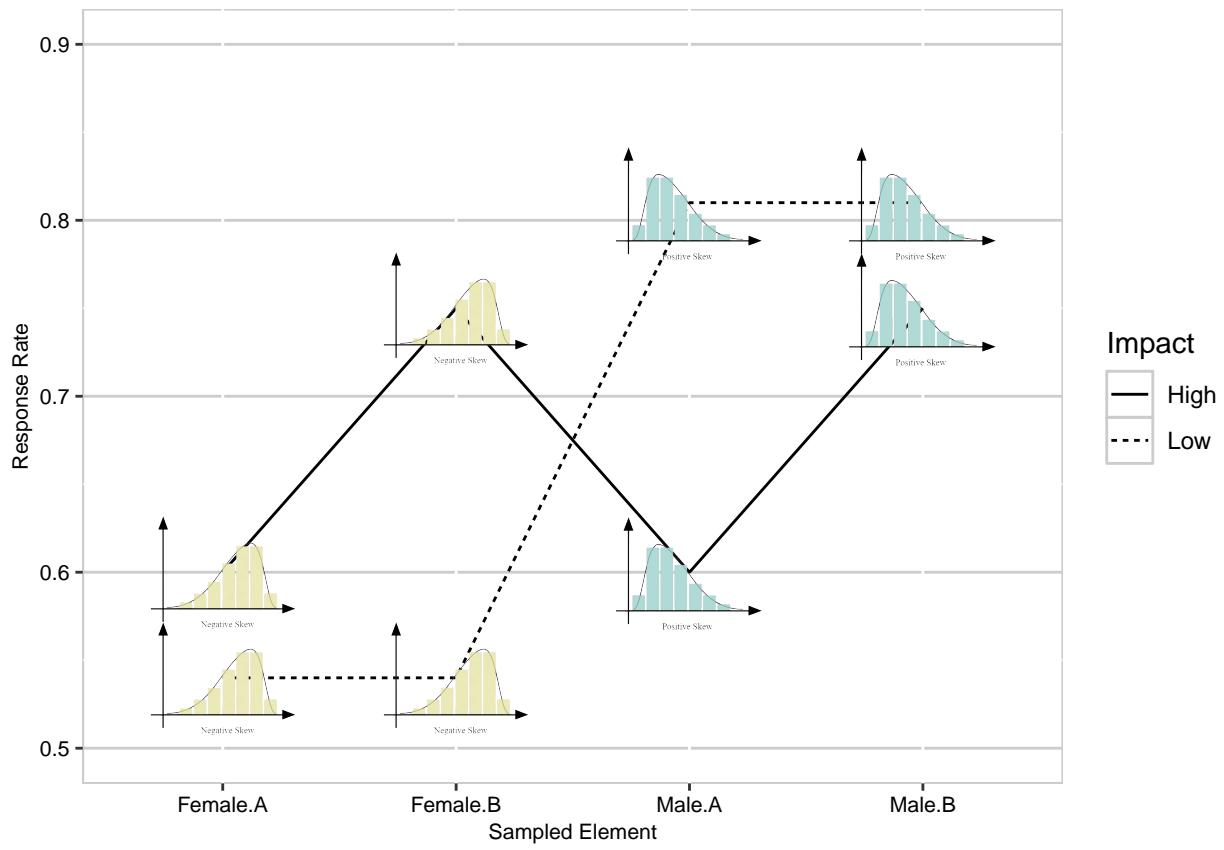
*Relationship between nonresponse form and misrepresentation.*

**Figure 4**

*Effect of subgroup sampling rate match with distributional form on population misrepresentation.*

**Figure 5**

*Average absolute discrepancy (unweighted in white and weighted in grey) across the eight attitudinal conditions.*



**Figure 6**

*Allocation of response rates relative to underlying distributional form and its impact on population misrepresentation (need to think through hi/lo given Dr Robinsons thoughts)*