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# Chapter 1

## Hopcroft's algorithm

### 1.0.1 algorithm

Member function `min_Hopcroft` implements Hopcroft's  $n \log n$  minimization algorithm, as presented in [[WATSON94b], Algorithm 4.8].

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**Algorithm 1** Hopcroft's minimization algorithm

---

**Input:**  $G = (Q, V, T, q_0, F)$

**Output:** The equivalence classes of  $Q$

```
1:  $P \leftarrow [Q]_{E_0} = \{F, Q \setminus F\}$   $\triangleright$  The initial partitions is  $[Q]_{E_0}$ , it's the total euivalence relation.
2:  $L \leftarrow \emptyset$   $\triangleright$  The waiting set
3: for all  $a \in V$  do
4:    $ADD((\min(F, Q \setminus F), a), L)$   $\triangleright$  initialization of the waiting set
5: end for
6: while  $L \neq \emptyset$  do
7:    $P_{old} = P;$ 
8:    $(Q_1, a) \leftarrow TakeSome(L)$   $\triangleright$  Take and remove some splitter
9:    $L = L \setminus \{(Q_1, a)\};$ 
10:  for all  $Q_0 \in P_{old}$  do
11:     $Q_0$  is split by  $(Q_1, a)$   $\triangleright$  Compute the split,  $Q_0$  is splitted into  $Q'_0$  and  $Q''_0$ 
12:     $Q'_0 = \{p | p \in Q_0 \wedge T(p, a) \in Q_1\}$ 
13:     $Q''_0 = \{Q_0 \setminus Q'_0\}$ 
14:     $P = P \setminus \{Q_0\} \cup \{Q'_0, Q''_0\}$   $\triangleright$  Refine the partition, Replace  $Q_0$  by  $Q'_0$  and  $Q''_0$  in  $P$ .
15:    for all  $b \in V$  do  $\triangleright$  Update the waiting set
16:      if  $(Q_0, b) \in L$  then
17:         $L = L \setminus \{(Q_0, b)\} \cup \{(Q'_0, b), (Q''_0, b)\}$   $\triangleright$  Replace  $(Q_0, b)$  by  $(Q'_0, b)$  and  $(Q''_0, b)$  in  $L$ 
18:      else
19:         $ADD((\min(Q'_0, Q''_0), b), L)$ 
20:      end if
21:    end for
22:  end for
23: end while
```

---

The combination of the out-transitions of all of the States is stored in a **CRSet**  $C$ .

Set  $L$  from the abstract algorithm is implemented as a mapping from States to int (an array of int is used).

Array  $L$  should be interpreted as follows: if State  $q$  a representative, then the following pairs still require processing (are still in abstract set  $L$ ):

$$([q], C_0), \dots, ([q], C_{L(q)-1})$$

The remaining pairs do not require processing:

$$([q], C_{L(q)}), \dots, ([q], C_{|C|-1})$$

This implementation facilitates quick scanning of  $L$  for the next valid State-CharRange pair.

### 1.0.2 Minimization example 1

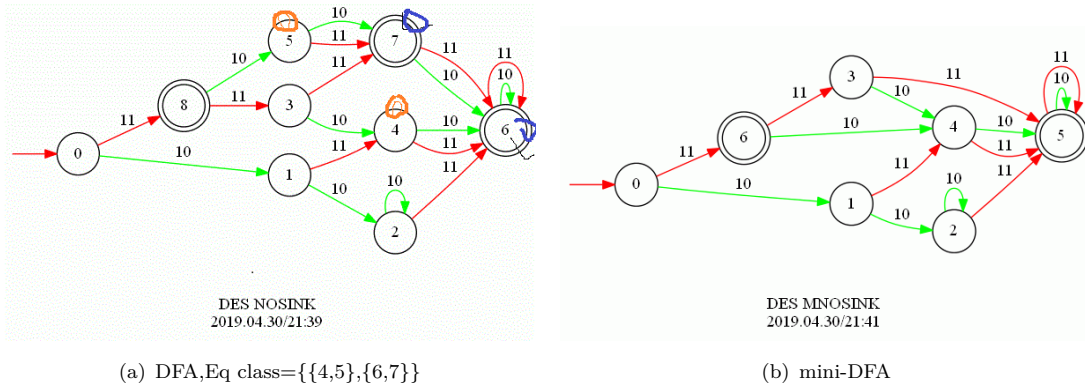


图 1.1: Minimization example 1

CRSet C; // the out labels of State's: 'a' 'b'

int L[9]; // the index of  $L = q$ : 对应等价类  $[q]$ ;  $L[q]$  表示正在处理等价类  $[q]$  的字符在  $C$  中的 index。

$L = \{0, 0, 0, 0, 0, 0, 0, 0, 0\}$

DFA

$Q = [0, 9)$

$S = \{ 0 \}$

$F = \{ 6 \ 7 \ 8 \}$

Transitions =

$0 \rightarrow \{ \text{'a'} \rightarrow 1 \ \text{'b'} \rightarrow 8 \}$

$1 \rightarrow \{ \text{'a'} \rightarrow 2 \ \text{'b'} \rightarrow 4 \}$

$2 \rightarrow \{ \text{'a'} \rightarrow 2 \ \text{'b'} \rightarrow 6 \}$

$3 \rightarrow \{ \text{'a'} \rightarrow 4 \ \text{'b'} \rightarrow 7 \}$

$4 \rightarrow \{ [\text{'a'}, \text{'b'}] \rightarrow 6 \}$

**Algorithm 2** Hopcroft's minimization algorithm**Input:**  $G = (Q, V, T, q_0, F)$ **Output:** The equivalence classes of  $Q$ 

```

1:  $P \leftarrow [Q]_{E_0} = \{F, Q \setminus F\}$   $\triangleright$  The initial partitions is  $[Q]_{E_0}$ , it's the total euivalence relation.
2:  $L \leftarrow 0$   $\triangleright$  The waiting set
3:  $C = V$   $\triangleright$  C is all symbols set
4: if  $|F| \leq |Q \setminus F|$  then  $\triangleright$  initialization of the waiting set
5:    $L[q] = C.size(), [q]$  is the representative of the  $F$ 
6: else
7:    $L[q] = C.size(), [q]$  is the representative of the  $Q \setminus F$ 
8: end if
9: while (1) do
10:   if all  $L[q]=0$  then
11:     break;
12:   end if
13:   Find the first pair in L that still needs processing.  $(Q_1, a) = [q], L[q] \neq 0$   $\triangleright$  Take and remove some splitter
14:    $P_{old} = P$   $\triangleright$  current partitions
15:    $L[q] - -;$   $\triangleright$  Mark this element of L as processed.
16:   for all  $Q_0 \in P_{old}$  do
17:      $Q_0$  is split by  $(Q_1, a)$   $\triangleright$  Compute the split,  $Q_0$  is splitted into  $Q'_0$  and  $Q''_0$ 
18:      $Q'_0 = \{p | p \in Q_0 \wedge T(p, a) \in Q_1\}$ 
19:      $Q''_0 = \{Q_0 \setminus Q'_0\}$ 
20:      $P = P \setminus \{Q_0\} \cup \{Q'_0, Q''_0\}$   $\triangleright$  Refine the partition, Replace  $Q_0$  by  $Q'_0$  and  $Q''_0$  in  $P$ .
21:      $p = Q_0$ 
22:      $r = Q'_0$ 
23:     if  $[r] \neq Invalid$  then  $\triangleright$  Update the waiting set
24:       if  $(|p| \leq |r|)$  then
25:          $L[r] = L[p]$   $\triangleright$  [r] 待处理 L[p] 剩下的字符
26:          $L[p] = C.size()$   $\triangleright$  新的 [p], 待处理 C[0]...C[C.size()-1]
27:       else
28:          $L[r] = C.size()$   $\triangleright$  // 新的 [r], 待处理 C[0]...C[C.size()-1]
29:       end if
30:     end if
31:   end for
32: end while

```

```

5->{ ['a', 'b']->7 }
6->{ ['a', 'b']->6 }
7->{ ['a', 'b']->6 }
8->{ 'a'->5 'b'->3 }

```

```
current = -1
```

```
is the DFA Useful?: 1
```

```
The combination for all the out labels of State's: C□=□{□'a'□□'b'□}
```

```

L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 0 0
Initialize partitions, E0:
StateEqRel
{ 0 1 2 3 4 5 }
{ 6 7 8 }

Initialize Lrepr={F}:
{ 6 }
L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 2 0 0
===== Iterate: k=1
L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 1 0 0
Partitions:
StateEqRel
{ 0 1 2 3 4 5 }
{ 6 7 8 }

pick [q] in L: ([q], a) = ([6], 'b')
split [p] w.r.t ([6], 'b')
==split [0] w.r.t ([6], 'b')
new split of [0] is [1]
[p] = { 0 2 3 4 5 }
[r] = { 1 }
p and r are the new representatives. Now update L with the smallest of
[0] and [1]
using [r] = [1], L[r] = C.size();
after update L:
L:
0 1 2 3 4 5 6 7 8
0 2 0 0 0 0 0 1 0 0
==split [6] w.r.t ([6], 'b')
new split of [6] is [8]
[p] = { 6 7 }
[r] = { 8 }
p and r are the new representatives. Now update L with the smallest of
[6] and [8]

```

```

using [r] = [8], L[r] = C.size();
after_update L:
L:
0 1 2 3 4 5 6 7 8
0 2 0 0 0 0 0 1 0 2
===== Iterate: k = 2

L:
0 1 2 3 4 5 6 7 8
0 1 0 0 0 0 0 1 0 2
Partitions:
StateEqRel
{ 0 2 3 4 5 }
{ 1 }
{ 6 7 }
{ 8 }

pick [q] in L: ([q], a) = ([1], 'b')
split [p] w.r.t ([1], 'b')
== split [0] w.r.t ([1], 'b')
new_split_of [0] is [-1]
== split [1] w.r.t ([1], 'b')
new_split_of [1] is [-1]
== split [6] w.r.t ([1], 'b')
new_split_of [6] is [-1]
== split [8] w.r.t ([1], 'b')
new_split_of [8] is [-1]
===== Iterate: k = 3

L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 1 0 2
Partitions:
StateEqRel
{ 0 2 3 4 5 }
{ 1 }
{ 6 7 }
{ 8 }

pick [q] in L: ([q], a) = ([1], 'a')
split [p] w.r.t ([1], 'a')
== split [0] w.r.t ([1], 'a')
new_split_of [0] is [2]

```

```

[p]={0}
[r]={2,3,4,5}
p and r are the new representatives. Now update L with the smallest of
  [0] and [2]
using [p]= [0], L[r]=L[p]; L[p]=C.size();
after update L:
L:
0 1 2 3 4 5 6 7 8
2 0 0 0 0 0 0 1 0 2
==split [1] w.r.t ([1], 'a')
new split of [1] is [-1]
==split [6] w.r.t ([1], 'a')
new split of [6] is [-1]
==split [8] w.r.t ([1], 'a')
new split of [8] is [-1]
===== Iterate: k=4

L:
0 1 2 3 4 5 6 7 8
1 0 0 0 0 0 0 1 0 2
Partitions:
StateEqRel
{0}
{1}
{2,3,4,5}
{6,7}
{8}

pick [q] in L: ([q], a) = ([0], 'b')
split [p] w.r.t ([0], 'b')
==split [0] w.r.t ([0], 'b')
new split of [0] is [-1]
==split [1] w.r.t ([0], 'b')
new split of [1] is [-1]
==split [2] w.r.t ([0], 'b')
new split of [2] is [-1]
==split [6] w.r.t ([0], 'b')
new split of [6] is [-1]
==split [8] w.r.t ([0], 'b')
new split of [8] is [-1]
===== Iterate: k=5

L:

```



0\_1\_2\_3\_4\_5\_6\_7\_8

0\_0\_0\_0\_0\_0\_0\_1\_0\_2

Partitions:

StateEqRel

{\_0\_}

{\_1\_}

{\_2\_3\_4\_5\_}

{\_6\_7\_}

{\_8\_}

pick\_<sub>[q]</sub>in\_<sub>L</sub>:([q],a)=([0], 'a')

split\_<sub>[p]</sub>w.r.t\_<sub>([0], 'a')</sub>

==split [0]\_w.r.t\_<sub>([0], 'a')</sub>

new\_split\_of\_<sub>[0]</sub>is\_<sub>[-1]</sub>

==split [1]\_w.r.t\_<sub>([0], 'a')</sub>

new\_split\_of\_<sub>[1]</sub>is\_<sub>[-1]</sub>

==split [2]\_w.r.t\_<sub>([0], 'a')</sub>

new\_split\_of\_<sub>[2]</sub>is\_<sub>[-1]</sub>

==split [6]\_w.r.t\_<sub>([0], 'a')</sub>

new\_split\_of\_<sub>[6]</sub>is\_<sub>[-1]</sub>

==split [8]\_w.r.t\_<sub>([0], 'a')</sub>

new\_split\_of\_<sub>[8]</sub>is\_<sub>[-1]</sub>

====\_Iterate:\_k\_=6

L:

0\_1\_2\_3\_4\_5\_6\_7\_8

0\_0\_0\_0\_0\_0\_0\_0\_0\_2

Partitions:

StateEqRel

{\_0\_}

{\_1\_}

{\_2\_3\_4\_5\_}

{\_6\_7\_}

{\_8\_}

pick\_<sub>[q]</sub>in\_<sub>L</sub>:([q],a)=([6], 'a')

split\_<sub>[p]</sub>w.r.t\_<sub>([6], 'a')</sub>

==split [0]\_w.r.t\_<sub>([6], 'a')</sub>

new\_split\_of\_<sub>[0]</sub>is\_<sub>[-1]</sub>

==split [1]\_w.r.t\_<sub>([6], 'a')</sub>

new\_split\_of\_<sub>[1]</sub>is\_<sub>[-1]</sub>

==split [2]\_w.r.t\_<sub>([6], 'a')</sub>

```

new_split_of [2] is [4]
[p] = {2, 3}
[r] = {4, 5}
p and r are the new representatives. Now update L with the smallest of
[2] and [4]
using [p] = [2], L[r] = L[p]; L[p] = C.size();
after update L:
L:
0 1 2 3 4 5 6 7 8
0 0 0 2 0 0 0 0 0 2
==split [6] w.r.t ([6], 'a')
new_split_of [6] is [-1]
==split [8] w.r.t ([6], 'a')
new_split_of [8] is [-1]
===== Iterate: k = 7
L:
0 1 2 3 4 5 6 7 8
0 0 0 1 0 0 0 0 0 2
Partitions:
StateEqRel
{0}
{1}
{2, 3}
{4, 5}
{6, 7}
{8}

pick [q] in L: ([q], a) = ([2], 'b')
split [p] w.r.t ([2], 'b')
==split [0] w.r.t ([2], 'b')
new_split_of [0] is [-1]
==split [1] w.r.t ([2], 'b')
new_split_of [1] is [-1]
==split [2] w.r.t ([2], 'b')
new_split_of [2] is [-1]
==split [4] w.r.t ([2], 'b')
new_split_of [4] is [-1]
==split [6] w.r.t ([2], 'b')
new_split_of [6] is [-1]
==split [8] w.r.t ([2], 'b')
new_split_of [8] is [-1]

```

```

=====Iterate : k=8
L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 0 2
Partitions:
StateEqRel
{ 0 }
{ 1 }
{ 2 3 }
{ 4 5 }
{ 6 7 }
{ 8 }

pick[q] in L : ([q], a) = ([2], 'a')
split[p] w.r.t ([2], 'a')
==split[0] w.r.t ([2], 'a')
new_split_of[0] is [-1]
==split[1] w.r.t ([2], 'a')
new_split_of[1] is [-1]
==split[2] w.r.t ([2], 'a')
new_split_of[2] is [3]
[p] = { 2 }
[r] = { 3 }
p and r are the new representatives. Now update L with the smallest of
[2] and [3]
using [p] = [2], L[r] = L[p]; L[p] = C.size();
after update L:
L:
0 1 2 3 4 5 6 7 8
0 0 2 0 0 0 0 0 2
==split[4] w.r.t ([2], 'a')
new_split_of[4] is [-1]
==split[6] w.r.t ([2], 'a')
new_split_of[6] is [-1]
==split[8] w.r.t ([2], 'a')
new_split_of[8] is [-1]
=====Iterate : k=9
L:
0 1 2 3 4 5 6 7 8
0 0 1 0 0 0 0 0 2
Partitions:

```

StateEqRel

{0}

{1}

{2}

{3}

{45}

{67}

{8}

pick[q] in L: ([q], a) = ([2], 'b')

split[p] w.r.t ([2], 'b')

== split [0] w.r.t ([2], 'b')

new split of [0] is [-1]

== split [1] w.r.t ([2], 'b')

new split of [1] is [-1]

== split [2] w.r.t ([2], 'b')

new split of [2] is [-1]

== split [3] w.r.t ([2], 'b')

new split of [3] is [-1]

== split [4] w.r.t ([2], 'b')

new split of [4] is [-1]

== split [6] w.r.t ([2], 'b')

new split of [6] is [-1]

== split [8] w.r.t ([2], 'b')

new split of [8] is [-1]

==== Iterate: k=10

L:

012345678

0000000000002

Partitions:

StateEqRel

{0}

{1}

{2}

{3}

{45}

{67}

{8}

pick[q] in L: ([q], a) = ([2], 'a')

split[p] w.r.t ([2], 'a')

```

==split [0] w.r.t ([2], 'a')
new_split_of [0] is [-1]
==split [1] w.r.t ([2], 'a')
new_split_of [1] is [-1]
==split [2] w.r.t ([2], 'a')
new_split_of [2] is [-1]
==split [3] w.r.t ([2], 'a')
new_split_of [3] is [-1]
==split [4] w.r.t ([2], 'a')
new_split_of [4] is [-1]
==split [6] w.r.t ([2], 'a')
new_split_of [6] is [-1]
==split [8] w.r.t ([2], 'a')
new_split_of [8] is [-1]
===== Iterate : k = 11

L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 0 1
Partitions :
StateEqRel
{ 0 }
{ 1 }
{ 2 }
{ 3 }
{ 4 5 }
{ 6 7 }
{ 8 }

pick [q] in L : ([q], a) = ([8], 'b')
split [p] w.r.t ([8], 'b')
==split [0] w.r.t ([8], 'b')
new_split_of [0] is [-1]
==split [1] w.r.t ([8], 'b')
new_split_of [1] is [-1]
==split [2] w.r.t ([8], 'b')
new_split_of [2] is [-1]
==split [3] w.r.t ([8], 'b')
new_split_of [3] is [-1]
==split [4] w.r.t ([8], 'b')
new_split_of [4] is [-1]
==split [6] w.r.t ([8], 'b')

```

```

new_split_of [6] is [-1]
==split [8].w.r.t ([8], 'b')
new_split_of [8] is [-1]
=====Iterate: k=12

L:
0 1 2 3 4 5 6 7 8
0 0 0 0 0 0 0 0 0
Partitions:
StateEqRel
{ 0 }
{ 1 }
{ 2 }
{ 3 }
{ 4 5 }
{ 6 7 }
{ 8 }

pick [q] in L: ([q], a) = ([8], 'a')
split [p].w.r.t ([8], 'a')
==split [0].w.r.t ([8], 'a')
new_split_of [0] is [-1]
==split [1].w.r.t ([8], 'a')
new_split_of [1] is [-1]
==split [2].w.r.t ([8], 'a')
new_split_of [2] is [-1]
==split [3].w.r.t ([8], 'a')
new_split_of [3] is [-1]
==split [4].w.r.t ([8], 'a')
new_split_of [4] is [-1]
==split [6].w.r.t ([8], 'a')
new_split_of [6] is [-1]
==split [8].w.r.t ([8], 'a')
new_split_of [8] is [-1]

*****minDFA

DFA
Q=[0,7)
S={0}
F={5,6}
Transitions=

```

```

0->{␣'a'->1␣␣'b'->6␣}
1->{␣'a'->2␣␣'b'->4␣}
2->{␣'a'->2␣␣'b'->5␣}
3->{␣'a'->4␣␣'b'->5␣}
4->{␣['a','b']->5␣}
5->{␣['a','b']->5␣}
6->{␣'a'->4␣␣'b'->3␣}

```

```
current␣=␣-1
```

## 1.1 Minimization example 2

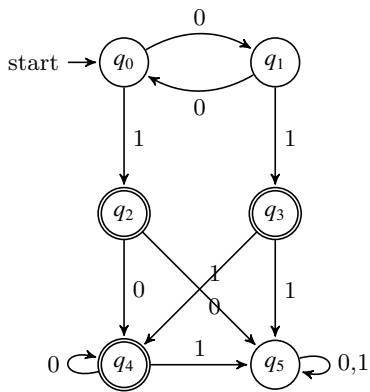


图 1.2: Minimization example 2

DFA

$Q = [0, 6)$

$S = \{ 0 \}$

$F = \{ 2 \ 3 \ 4 \}$

Transitions =

$0 \rightarrow \{ \ '0' \rightarrow 1 \ \ '1' \rightarrow 2 \}$

$1 \rightarrow \{ \ '0' \rightarrow 0 \ \ '1' \rightarrow 3 \}$

$2 \rightarrow \{ \ '0' \rightarrow 4 \ \ '1' \rightarrow 5 \}$

$3 \rightarrow \{ \ '0' \rightarrow 4 \ \ '1' \rightarrow 5 \}$

$4 \rightarrow \{ \ '0' \rightarrow 4 \ \ '1' \rightarrow 5 \}$

$5 \rightarrow \{ \ ['0', '1'] \rightarrow 5 \}$

current = -1

is the DFA Useful?: 0

The combination for all the out labels of State's:  $C = \{ '0', '1' \}$

```

L:
0 1 2 3 4 5
0 0 0 0 0 0
Initialize partitions, E0:
StateEqRel
{ 0 1 5 }
{ 2 3 4 }

Initialize Lrepr = {F}:
{ 2 }
L:
0 1 2 3 4 5
0 0 2 0 0 0
===== Iterate: k=1
L:
0 1 2 3 4 5
0 0 1 0 0 0
Partitions:
StateEqRel
{ 0 1 5 }
{ 2 3 4 }

pick [q] in L: ([q], a) = ([2], '1')
split [p] w.r.t ([2], '1')
== split [0] w.r.t ([2], '1')
new split of [0] is [5]
[p] = { 0 1 }
[r] = { 5 }
p and r are the new representatives. Now update L with the
smallest of [0] and [5]
using [r] = [5], L[r] = C.size();
after update L:
L:
0 1 2 3 4 5
0 0 1 0 0 2
== split [2] w.r.t ([2], '1')
new split of [2] is [-1]

```



```

=====Iterate: k=2
L:
0 1 2 3 4 5
0 0 0 0 0 2
Partitions:
StateEqRel
{0 1}
{2 3 4}
{5}

pick [q] in L: ([q], a) = ([2], '0')
split [p] w.r.t ([2], '0')
==split [0] w.r.t ([2], '0')
new split of [0] is [-1]
==split [2] w.r.t ([2], '0')
new split of [2] is [-1]
==split [5] w.r.t ([2], '0')
new split of [5] is [-1]

=====Iterate: k=3
L:
0 1 2 3 4 5
0 0 0 0 0 1
Partitions:
StateEqRel
{0 1}
{2 3 4}
{5}

pick [q] in L: ([q], a) = ([5], '1')
split [p] w.r.t ([5], '1')
==split [0] w.r.t ([5], '1')
new split of [0] is [-1]
==split [2] w.r.t ([5], '1')
new split of [2] is [-1]
==split [5] w.r.t ([5], '1')
new split of [5] is [-1]

=====Iterate: k=4
L:
0 1 2 3 4 5
0 0 0 0 0 0
Partitions:

```

```

StateEqRel
{0 1}
{2 3 4}
{5}

pick [q] in L: ([q], a) = ([5], '0')
split [p] w.r.t ([5], '0')
== split [0] w.r.t ([5], '0')
new split of [0] is [-1]
== split [2] w.r.t ([5], '0')
new split of [2] is [-1]
== split [5] w.r.t ([5], '0')
new split of [5] is [-1]

***** minDFA

DFA
Q = [0, 3)
S = {0}
F = {1}
Transitions =
0 -> { '0' -> 0, '1' -> 1 }
1 -> { '0' -> 1, '1' -> 2 }
2 -> { '0', '1' -> 2 }

current = -1
\end{list}

```

## 1.2 Minimization example 3 ( $1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow 5 \rightarrow 6$ )

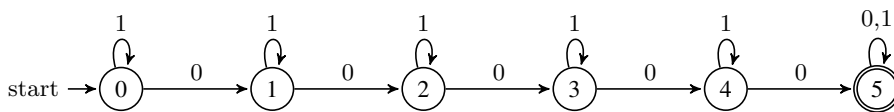


图 1.3: Minimizing example

split  $Q_0$  wrt  $(Q_1, 0)$ , see Fig. 1.4.

Ex 1:  $Q_0 = \{0, 1, 2, 3, 4\}, Q_1 = \{5\}$

Since,  $Q'_0 = \{4\} \subseteq Q_0$ , and  $T(Q'_0, 0) = T(\{4\}, 0) = 5 \in Q_1$

$Q''_0 = Q_0 \setminus Q'_0 = \{0, 1, 2, 3\} \subseteq Q_0$ , and  $T(Q''_0, 0) = T(\{0, 1, 2, 3\}, 0) = T(\{0\}, 0) \cup T(\{1\}, 0) \cup T(\{2\}, 0) \cup T(\{3\}, 0) = \{1\} \cup \{2\} \cup \{3\} = \{1, 2, 3\} \notin Q_1$

$\therefore Q_0$  wrt  $(Q_1, 0)$  is splitted into two parts. part(1)  $Q'_0 = \{4\}$ , part(2)  $Q''_0 = Q_0 \setminus Q'_0 = \{0, 1, 2, 3\}$

Ex 2:  $Q_0 = \{5\}, Q_1 = \{0, 1, 2, 3, 4\}$

Since,  $\forall q \in Q_0, \nexists T(q, a) \in Q_1$

$\therefore Q_0$  wrt  $(Q_1, 0)$  是一个无效的 split, 同样  $Q_0 = Q_1 = \{5\}$  也是一个无效的 split。

Ex 3:  $Q_0 = \{0, 1, 2, 3, 4\}, Q_1 = \{0, 1, 2, 3, 4\}$

Since,  $Q'_0 = \{0, 1, 2, 3\} \subseteq Q_0$ , and  $T(Q'_0, 0) = T(\{0, 1, 2, 3\}, 0) = T(\{0\}, 0) \cup T(\{1\}, 0) \cup T(\{2\}, 0) \cup T(\{3\}, 0) = \{1\} \cup \{2\} \cup \{3\} = \{1, 2, 3\} \in Q_1$

$Q''_0 = Q_0 \setminus Q'_0 = \{4\} \subseteq Q_0$ , and  $T(Q''_0, 0) = T(\{4\}, 0) = 5 \notin Q_1$

$\therefore Q_0$  wrt  $(Q_1, 0)$  is splitted into two parts. part(1)  $Q'_0 = \{0, 1, 2, 3\}$ , part(2)  $Q''_0 = Q_0 \setminus Q'_0 = \{4\}$

if  $(\exists p, q \in Q_0, T(p, a) \in Q_1, \text{ and } T(q, a) \notin Q_1)$ , then

split  $Q_0$  wrt  $(Q_1, a) \rightarrow$  two parts, (1):  $Q'_0 \in Q_1$  and (2):  $(Q_0 \setminus Q'_0) \notin Q_1$

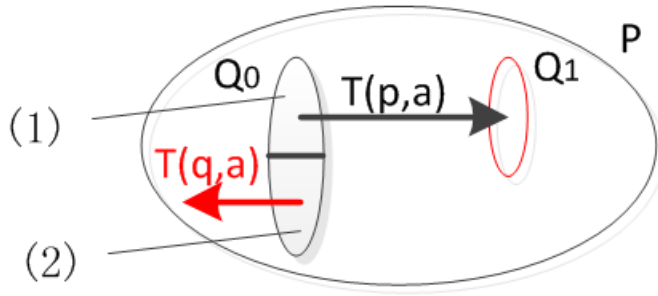


图 1.4: split  $Q_0$  wrt  $Q_1$

开始于:  $[q] = \{Q \setminus F\} = \{0, 1, 2, 3, 4\}$ , split  $[p]$  w.r.t  $([q], a)$

\*\*\*\*\* DFA

DFA

$Q = [0, 6)$

$S = \{ 0 \}$

$F = \{ 5 \}$

Transitions =

$0 \rightarrow \{ '0' \rightarrow 1 \quad '1' \rightarrow 0 \}$

$1 \rightarrow \{ '0' \rightarrow 2 \quad '1' \rightarrow 1 \}$

$2 \rightarrow \{ '0' \rightarrow 3 \quad '1' \rightarrow 2 \}$

$3 \rightarrow \{ '0' \rightarrow 4 \quad '1' \rightarrow 3 \}$

```

4->{ '0'->5 '1'->4 }
5->{ ['0','1']->5 }

```

```
current = -1
```

```
is the DFA Useful?: 1
```

The combination **for** all the out labels of State's:  $C = \{ '0' '1' \}$   
 L:

```
0_1_2_3_4_5
```

```
0_0_0_0_0_0
```

```
Initialize partitions, E0:
```

```
StateEqRel
```

```
{ 0_1_2_3_4 }
```

```
{ 5 }
```

```
L:
```

```
0_1_2_3_4_5
```

```
2_0_0_0_0_0
```

```
===== Iterate: k=1
```

```
L:
```

```
0_1_2_3_4_5
```

```
1_0_0_0_0_0
```

```
Partitions:
```

```
StateEqRel
```

```
{ 0_1_2_3_4 }
```

```
{ 5 }
```

```
pick [q] in L: ([q], a) = ([0], '1')
```

```
split [p] w.r.t ([0], '1')
```

```
==split [0] w.r.t ([0], '1')
```

```
new split of [0] is [-1]
```

```
==split [5] w.r.t ([0], '1')
```

```
new split of [5] is [-1]
```

```
===== Iterate: k=2
```

```
L:
```

```
0_1_2_3_4_5
```

```
0_0_0_0_0_0
```

```
Partitions:
```

```
StateEqRel
```

```
{ 0_1_2_3_4 }
```

$\{\_5\}$

$\text{pick\_}[q] \text{ in } L: ([q], a) = ([0], '0')$

$\text{split\_}[p] \text{ w.r.t. } ([0], '0')$

$\equiv \text{split } [0] \text{ w.r.t. } ([0], '0')$

$\text{new\_split\_of } [0] \text{ is } [4]$

$[p] = \{\_0\_1\_2\_3\}$

$[r] = \{\_4\}$

$p$  and  $r$  are the new representatives. Now update  $L$  with the smallest of  $[0]$  and  $[4]$

using  $[r] = [4]$ ,  $L[r] = C.size()$ ;

after update  $L$ :

$L$ :

$0\_1\_2\_3\_4\_5$

$0\_0\_0\_0\_2\_0$

$\equiv \text{split } [5] \text{ w.r.t. } ([0], '0')$

$\text{new\_split\_of } [5] \text{ is } [-1]$

$\equiv \equiv \equiv \text{Iterate: } k = 3$

$L$ :

$0\_1\_2\_3\_4\_5$

$0\_0\_0\_0\_1\_0$

Partitions:

StateEqRel

$\{\_0\_1\_2\_3\}$

$\{\_4\}$

$\{\_5\}$

$\text{pick\_}[q] \text{ in } L: ([q], a) = ([4], '1')$

$\text{split\_}[p] \text{ w.r.t. } ([4], '1')$

$\equiv \text{split } [0] \text{ w.r.t. } ([4], '1')$

$\text{new\_split\_of } [0] \text{ is } [-1]$

$\equiv \text{split } [4] \text{ w.r.t. } ([4], '1')$

$\text{new\_split\_of } [4] \text{ is } [-1]$

$\equiv \text{split } [5] \text{ w.r.t. } ([4], '1')$

$\text{new\_split\_of } [5] \text{ is } [-1]$

$\equiv \equiv \equiv \text{Iterate: } k = 4$

$L$ :

$0\_1\_2\_3\_4\_5$

$0\_0\_0\_0\_0\_0$

Partitions:

StateEqRel

$\{0 \cup 1 \cup 2 \cup 3\}$

$\{4\}$

$\{5\}$

$\text{pick}[q] \text{ in } L: ([q], a) = ([4], '0')$

$\text{split}[p] \text{ w.r.t. } ([4], '0')$

$\Rightarrow \text{split}[0] \text{ w.r.t. } ([4], '0')$

$\text{new\_split\_of}[0] \text{ is } [3]$

$[p] = \{0 \cup 1 \cup 2\}$

$[r] = \{3\}$

$p$  and  $r$  are the new representatives. Now update  $L$  with the smallest of  $[0]$  and  $[3]$

using  $[r] = [3]$ ,  $L[r] = C.\text{size}()$ ;

after update  $L$ :

$L$ :

$0 \cup 1 \cup 2 \cup 3 \cup 4 \cup 5$

$0 \cup 0 \cup 0 \cup 2 \cup 0 \cup 0$

$\Rightarrow \text{split}[4] \text{ w.r.t. } ([4], '0')$

$\text{new\_split\_of}[4] \text{ is } [-1]$

$\Rightarrow \text{split}[5] \text{ w.r.t. } ([4], '0')$

$\text{new\_split\_of}[5] \text{ is } [-1]$

$\Rightarrow \text{Iterate: } k = 5$

$L$ :

$0 \cup 1 \cup 2 \cup 3 \cup 4 \cup 5$

$0 \cup 0 \cup 0 \cup 1 \cup 0 \cup 0$

Partitions:

StateEqRel

$\{0 \cup 1 \cup 2\}$

$\{3\}$

$\{4\}$

$\{5\}$

$\text{pick}[q] \text{ in } L: ([q], a) = ([3], '1')$

$\text{split}[p] \text{ w.r.t. } ([3], '1')$

$\Rightarrow \text{split}[0] \text{ w.r.t. } ([3], '1')$

$\text{new\_split\_of}[0] \text{ is } [-1]$

$\Rightarrow \text{split}[3] \text{ w.r.t. } ([3], '1')$

$\text{new\_split\_of}[3] \text{ is } [-1]$

$\Rightarrow \text{split}[4] \text{ w.r.t. } ([3], '1')$

$\text{new\_split\_of}[4] \text{ is } [-1]$

$\Rightarrow \text{split}[5] \text{ w.r.t. } ([3], '1')$

```
new_split_of [5] is [-1]
```

```
===== Iterate : k = 6
```

```
L:
```

```
0 1 2 3 4 5
```

```
0 0 0 0 0 0
```

```
Partitions:
```

```
StateEqRel
```

```
{ 0 1 2 }
```

```
{ 3 }
```

```
{ 4 }
```

```
{ 5 }
```

```
pick [q] in L : ([q], a) = ([3], '0')
```

```
split [p] w.r.t ([3], '0')
```

```
== split [0] w.r.t ([3], '0')
```

```
new_split_of [0] is [2]
```

```
[p] = { 0 1 }
```

```
[r] = { 2 }
```

```
p and r are the new representatives. Now update L with the smallest of
[0] and [2]
```

```
using [r] = [2], L[r] = C.size();
```

```
after update L:
```

```
L:
```

```
0 1 2 3 4 5
```

```
0 0 2 0 0 0
```

```
== split [3] w.r.t ([3], '0')
```

```
new_split_of [3] is [-1]
```

```
== split [4] w.r.t ([3], '0')
```

```
new_split_of [4] is [-1]
```

```
== split [5] w.r.t ([3], '0')
```

```
new_split_of [5] is [-1]
```

```
===== Iterate : k = 7
```

```
L:
```

```
0 1 2 3 4 5
```

```
0 0 1 0 0 0
```

```
Partitions:
```

```
StateEqRel
```

```
{ 0 1 }
```

```
{ 2 }
```

```
{ 3 }
```

```
{ 4 }
```

$\{ \_5 \}$

$\text{pick\_}[q] \_ \text{in\_} L : ([q], a) = ([2], '1')$

$\text{split\_}[p] \_ \text{w.r.t\_} ([2], '1')$

$\equiv \text{split } [0] \_ \text{w.r.t\_} ([2], '1')$

$\text{new\_split\_of\_} [0] \_ \text{is\_} [-1]$

$\equiv \text{split } [2] \_ \text{w.r.t\_} ([2], '1')$

$\text{new\_split\_of\_} [2] \_ \text{is\_} [-1]$

$\equiv \text{split } [3] \_ \text{w.r.t\_} ([2], '1')$

$\text{new\_split\_of\_} [3] \_ \text{is\_} [-1]$

$\equiv \text{split } [4] \_ \text{w.r.t\_} ([2], '1')$

$\text{new\_split\_of\_} [4] \_ \text{is\_} [-1]$

$\equiv \text{split } [5] \_ \text{w.r.t\_} ([2], '1')$

$\text{new\_split\_of\_} [5] \_ \text{is\_} [-1]$

$\equiv \text{Iterate :\_} k \_ = 8$

L:

0\_1\_2\_3\_4\_5

0\_0\_0\_0\_0\_0

Partitions:

StateEqRel

$\{ \_0 \_ \_ 1 \_ \}$

$\{ \_2 \_ \}$

$\{ \_3 \_ \}$

$\{ \_4 \_ \}$

$\{ \_5 \_ \}$

$\text{pick\_}[q] \_ \text{in\_} L : ([q], a) = ([2], '0')$

$\text{split\_}[p] \_ \text{w.r.t\_} ([2], '0')$

$\equiv \text{split } [0] \_ \text{w.r.t\_} ([2], '0')$

$\text{new\_split\_of\_} [0] \_ \text{is\_} [1]$

$[p] = \{ \_0 \_ \}$

$[r] = \{ \_1 \_ \}$

$p \_ \text{and\_} r \_ \text{are\_the\_new\_representatives. \_ Now\_update\_} L \_ \text{with\_the\_smallest\_of\_}$   
 $[0] \_ \text{and\_} [1]$

$\text{using\_} [p] \_ = [0], L[r] = L[p]; \_ L[p] = C.size();$

$\text{after\_update\_} L:$

L:

0\_1\_2\_3\_4\_5

2\_0\_0\_0\_0\_0

$\equiv \text{split } [2] \_ \text{w.r.t\_} ([2], '0')$

$\text{new\_split\_of\_} [2] \_ \text{is\_} [-1]$



```

==split [3] w.r.t ([2] , '0')
new_split_of [3] is [-1]
==split [4] w.r.t ([2] , '0')
new_split_of [4] is [-1]
==split [5] w.r.t ([2] , '0')
new_split_of [5] is [-1]
=====Iterate : k=9

```

L:

0 1 2 3 4 5

1 0 0 0 0 0

Partitions:

StateEqRel

{ 0 }

{ 1 }

{ 2 }

{ 3 }

{ 4 }

{ 5 }

pick [q] in L : ([q] , a) = ([0] , '1')

split [p] w.r.t ([0] , '1')

==split [0] w.r.t ([0] , '1')

new\_split\_of [0] is [-1]

==split [1] w.r.t ([0] , '1')

new\_split\_of [1] is [-1]

==split [2] w.r.t ([0] , '1')

new\_split\_of [2] is [-1]

==split [3] w.r.t ([0] , '1')

new\_split\_of [3] is [-1]

==split [4] w.r.t ([0] , '1')

new\_split\_of [4] is [-1]

==split [5] w.r.t ([0] , '1')

new\_split\_of [5] is [-1]

=====Iterate : k=10

L:

0 1 2 3 4 5

0 0 0 0 0 0

Partitions:

StateEqRel

{ 0 }

{ 1 }

```

{ 2 }
{ 3 }
{ 4 }
{ 5 }

pick[q] in L: ([q], a) = ([0], '0')
split[p] w.r.t ([0], '0')
== split [0] w.r.t ([0], '0')
new split of [0] is [-1]
== split [1] w.r.t ([0], '0')
new split of [1] is [-1]
== split [2] w.r.t ([0], '0')
new split of [2] is [-1]
== split [3] w.r.t ([0], '0')
new split of [3] is [-1]
== split [4] w.r.t ([0], '0')
new split of [4] is [-1]
== split [5] w.r.t ([0], '0')
new split of [5] is [-1]

```

\*\*\*\*\* minDFA

DFA

$Q = \{0, 6\}$

$S = \{0\}$

$F = \{5\}$

Transitions =

$0 \rightarrow \{0 \rightarrow 1, 1 \rightarrow 0\}$

$1 \rightarrow \{0 \rightarrow 2, 1 \rightarrow 1\}$

$2 \rightarrow \{0 \rightarrow 3, 1 \rightarrow 2\}$

$3 \rightarrow \{0 \rightarrow 4, 1 \rightarrow 3\}$

$4 \rightarrow \{0 \rightarrow 5, 1 \rightarrow 4\}$

$5 \rightarrow \{0, 1\} \rightarrow 5$

current = -1

开始于:  $[q] = \{F\} = \{5\}$ , split  $[p]$  w.r.t  $([q], a)$

\*\*\*\*\* DFA

DFA

$Q = [0, 6)$

$S = \{ 0 \}$

$F = \{ 5 \}$

Transitions =

$0 \rightarrow \{ '0' \rightarrow 1 \quad '1' \rightarrow 0 \}$

$1 \rightarrow \{ '0' \rightarrow 2 \quad '1' \rightarrow 1 \}$

$2 \rightarrow \{ '0' \rightarrow 3 \quad '1' \rightarrow 2 \}$

$3 \rightarrow \{ '0' \rightarrow 4 \quad '1' \rightarrow 3 \}$

$4 \rightarrow \{ '0' \rightarrow 5 \quad '1' \rightarrow 4 \}$

$5 \rightarrow \{ ['0', '1'] \rightarrow 5 \}$

current = -1

is the DFA Useful?: 1

The combination for all the out labels of State's:  $C = \{ '0', '1' \}$

L:

$0_1 1_2 2_3 4_5$

$0_0 0_0 0_0 0_0$

Initialize partitions, E0:

StateEqRel

$\{ 0_1 1_2 2_3 4_5 \}$

$\{ 5_0 \}$

Initialize Lrepr = {F}:

$\{ 5_0 \}$

L:

$0_1 1_2 2_3 4_5$

$0_0 0_0 0_0 0_2$

---

---

Iterate:  $k = 1$

L:

$0_1 1_2 2_3 4_5$

$0_0 0_0 0_0 0_1$

Partitions:

StateEqRel

$\{ 0_1 1_2 2_3 4_5 \}$

$\{ 5_0 \}$

$\text{pick}[q] \text{ in } L: ([q], a) = ([5], '1')$

$\text{split}[p] \text{ w.r.t } ([5], '1')$

$\text{split}[0] \text{ w.r.t } ([5], '1')$

```

new_split_of [0] is [-1]
==split [5] w.r.t ([5], '1')
new_split_of [5] is [-1]
=====Iterate: k=2

L:
0 1 2 3 4 5
0 0 0 0 0 0
Partitions:
StateEqRel
{0 1 2 3 4}
{5}

pick [q] in L: ([q], a) = ([5], '0')
split [p] w.r.t ([5], '0')
==split [0] w.r.t ([5], '0')
new_split_of [0] is [4]
[p] = {0 1 2 3}
[r] = {4}
p and r are the new representatives. Now update L with the smallest of
[0] and [4]
using [r] = [4], L[r] = C.size();
after update L:
L:
0 1 2 3 4 5
0 0 0 0 2 0
==split [5] w.r.t ([5], '0')
new_split_of [5] is [-1]
=====Iterate: k=3

L:
0 1 2 3 4 5
0 0 0 0 1 0
Partitions:
StateEqRel
{0 1 2 3}
{4}
{5}

pick [q] in L: ([q], a) = ([4], '1')
split [p] w.r.t ([4], '1')
==split [0] w.r.t ([4], '1')
new_split_of [0] is [-1]

```

```

====split [4] w.r.t ([4] , '1')
new_split_of [4] is [-1]
====split [5] w.r.t ([4] , '1')
new_split_of [5] is [-1]
=====Iterate: k=4

L:
0 1 2 3 4 5
0 0 0 0 0 0
Partitions:
StateEqRel
{ 0 1 2 3 }
{ 4 }
{ 5 }

pick [q] in L: ([q] , a) = ([4] , '0')
split [p] w.r.t ([4] , '0')
====split [0] w.r.t ([4] , '0')
new_split_of [0] is [3]
[p]={ 0 1 2 }
[r]={ 3 }
p and r are the new representatives. Now update L with the smallest of
[0] and [3]
using [r] = [3] , L[r]=C.size();
after update L:
L:
0 1 2 3 4 5
0 0 0 2 0 0
====split [4] w.r.t ([4] , '0')
new_split_of [4] is [-1]
====split [5] w.r.t ([4] , '0')
new_split_of [5] is [-1]
=====Iterate: k=5

L:
0 1 2 3 4 5
0 0 0 1 0 0
Partitions:
StateEqRel
{ 0 1 2 }
{ 3 }
{ 4 }
{ 5 }

```

```

pick [q] in L: ([q], a) = ([3], '1')
split [p] w.r.t ([3], '1')
==split [0] w.r.t ([3], '1')
new split of [0] is [-1]
==split [3] w.r.t ([3], '1')
new split of [3] is [-1]
==split [4] w.r.t ([3], '1')
new split of [4] is [-1]
==split [5] w.r.t ([3], '1')
new split of [5] is [-1]
===== Iterate: k=6

L:
0 1 2 3 4 5
0 0 0 0 0 0
Partitions:
StateEqRel
{ 0 1 2 }
{ 3 }
{ 4 }
{ 5 }

pick [q] in L: ([q], a) = ([3], '0')
split [p] w.r.t ([3], '0')
==split [0] w.r.t ([3], '0')
new split of [0] is [2]
[p] = { 0 1 }
[r] = { 2 }
p and r are the new representatives. Now update L with the smallest of
[0] and [2]
using [r] = [2], L[r] = C.size();
after update L:
L:
0 1 2 3 4 5
0 0 2 0 0 0
==split [3] w.r.t ([3], '0')
new split of [3] is [-1]
==split [4] w.r.t ([3], '0')
new split of [4] is [-1]
==split [5] w.r.t ([3], '0')
new split of [5] is [-1]

```

```

=====Iterate : k=7
L:
0_1_2_3_4_5
0_0_1_0_0_0
Partitions:
StateEqRel
{0_1_}
{2_}
{3_}
{4_}
{5_}

pick[q]_in_L:([q],a)=([2], '1')
split[p]_w.r.t_([2], '1')
==split[0]_w.r.t_([2], '1')
new_split_of[0]_is_[-1]
==split[2]_w.r.t_([2], '1')
new_split_of[2]_is_[-1]
==split[3]_w.r.t_([2], '1')
new_split_of[3]_is_[-1]
==split[4]_w.r.t_([2], '1')
new_split_of[4]_is_[-1]
==split[5]_w.r.t_([2], '1')
new_split_of[5]_is_[-1]
=====Iterate : k=8
L:
0_1_2_3_4_5
0_0_0_0_0_0
Partitions:
StateEqRel
{0_1_}
{2_}
{3_}
{4_}
{5_}

pick[q]_in_L:([q],a)=([2], '0')
split[p]_w.r.t_([2], '0')
==split[0]_w.r.t_([2], '0')
new_split_of[0]_is_[1]
[p]={0_}

```

```

[r]={_1_}
p_and_r_are_the_new_representatives.Now_update_L_with_the_smallest_of_
  [0]_and_[1]
using_[p]_=[0],L[r]=L[p];_L[p]=C.size();
after_update_L:
L:
0_1_2_3_4_5
2_0_0_0_0_0
==split[2]_w.r.t_([2], '0')
new_split_of_[2]_is_[-1]
==split[3]_w.r.t_([2], '0')
new_split_of_[3]_is_[-1]
==split[4]_w.r.t_([2], '0')
new_split_of_[4]_is_[-1]
==split[5]_w.r.t_([2], '0')
new_split_of_[5]_is_[-1]
=====Iterate:_k=_9
L:
0_1_2_3_4_5
1_0_0_0_0_0
Partitions:
StateEqRel
{_0_}
{_1_}
{_2_}
{_3_}
{_4_}
{_5_}

pick_[q]_in_L:([q],a)=([0], '1')
split_[p]_w.r.t_([0], '1')
==split[0]_w.r.t_([0], '1')
new_split_of_[0]_is_[-1]
==split[1]_w.r.t_([0], '1')
new_split_of_[1]_is_[-1]
==split[2]_w.r.t_([0], '1')
new_split_of_[2]_is_[-1]
==split[3]_w.r.t_([0], '1')
new_split_of_[3]_is_[-1]
==split[4]_w.r.t_([0], '1')
new_split_of_[4]_is_[-1]

```



```

====split [5]_w.r.t_([0], '1')
new_split_of [5]_is [-1]
=====Iterate: k=10

L:
0_1_2_3_4_5
0_0_0_0_0_0
Partitions:
StateEqRel
{0_}
{1_}
{2_}
{3_}
{4_}
{5_}

pick [q]_in L: ([q], a) = ([0], '0')
split [p]_w.r.t_([0], '0')
====split [0]_w.r.t_([0], '0')
new_split_of [0]_is [-1]
====split [1]_w.r.t_([0], '0')
new_split_of [1]_is [-1]
====split [2]_w.r.t_([0], '0')
new_split_of [2]_is [-1]
====split [3]_w.r.t_([0], '0')
new_split_of [3]_is [-1]
====split [4]_w.r.t_([0], '0')
new_split_of [4]_is [-1]
====split [5]_w.r.t_([0], '0')
new_split_of [5]_is [-1]

*****_minDFA

DFA
Q=[0,6)
S=[0_]
F=[5_]
Transitions_=
0->{0_0'>1_1'>0_}
1->{0_0'>2_1'>1_}
2->{0_0'>3_1'>2_}
3->{0_0'>4_1'>3_}

```

```

4->{␣'0'->5␣␣'1'->4␣}
5->{␣['0','1']->5␣}

current␣=␣-1

```

开始于:  $[q] = \{Q \setminus F\} = \{0, 1, 2, 3, 4\}$ , split  $[p]$  w.r.t  $([q], a)$   
或者开始于  $[q] = \{F\} = \{5\}$ , split  $[p]$  w.r.t  $([q], a)$  处理结果是一致的。

### 1.3 Minimization example 4

$\{a, b\}, \{d, e\}$  is not equivalent states.

Sets of equivalent states:  $\{a, c\}, \{b\}, \{d\}, \{e\}$

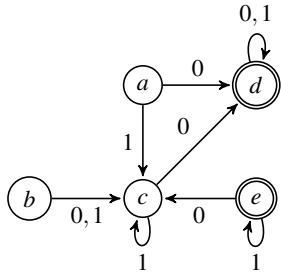


图 1.5: Finite state automaton

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