

# Chapter Two

## Abstract Data Types & Linked lists

# Abstract Data Types

data object

set or collection of instances

$\text{integer} = \{0, +1, -1, +2, -2, +3, -3, \dots\}$

$\text{daysOfWeek} = \{\text{S}, \text{M}, \text{T}, \text{W}, \text{Th}, \text{F}, \text{Sa}\}$

# Data Object

instances may or may not be related

```
myDataObject = {apple, chair, 2, 5.2, red, green, Jack}
```

# Data Structure



Data object +  
relationships that exist among instances  
and elements that comprise an instance

Among instances of integer

$$369 < 370$$

$$280 + 4 = 284$$

# Data Structure

Among elements that comprise an instance

369

3 is more significant than 6

3 is immediately to the left of 6

9 is immediately to the right of 6

# Data Structure

The relationships are *usually specified by specifying operations on one or more instances.*

add, subtract, predecessor, multiply

# Linear (or Ordered) Lists

instances are of the form

$(e_0, e_1, e_2, \dots, e_{n-1})$

where  $e_i$  denotes a list element

$n \geq 0$  is finite

list size is  $n$

# Linear Lists

$$L = (e_0, e_1, e_2, e_3, \dots, e_{n-1})$$

relationships

$e_0$  is the zero'th (or front) element

$e_{n-1}$  is the last element

$e_i$  immediately precedes  $e_{i+1}$



# Linear List Examples/Instances

Students in MyClass =

(Jack, Jill, Abe, Henry, Mary, ..., Judy)

Exams in MyClass =

(exam1, exam2, exam3)

Days of Week = (S, M, T, W, Th, F, Sa)

Months = (Jan, Feb, Mar, Apr, ..., Nov, Dec)

# Linear List Operations—Length()

determine number of elements in list

$$L = (a,b,c,d,e)$$

$$\text{length} = 5$$

# Linear List Operations— *Retrieve(theIndex)*

retrieve element with given index

$$L = (a, b, c, d, e)$$

$$\textit{Retrieve}(0) = a$$

$$\textit{Retrieve}(2) = c$$

$$\textit{Retrieve}(4) = e$$

$$\textit{Retrieve}(-1) = \text{error}$$

$$\textit{Retrieve}(9) = \text{error}$$

# Linear List Operations— *IndexOf(theElement)*

determine the index of an element

$$L = (a, b, d, b, a)$$

$$\textit{IndexOf}(d) = 2$$

$$\textit{IndexOf}(a) = 0$$

$$\textit{IndexOf}(z) = -1$$

# Linear List Operations— Delete(theIndex)

delete and return element with given index

$$L = (a, b, c, d, e, f, g)$$

*Delete(2)* returns *c*

and *L* becomes *(a, b, d, e, f, g)*

index of *d, e, f*, and *g* decrease by 1

# Linear List Operations— Delete(theIndex)

delete and return element with given index

$$L = (a, b, c, d, e, f, g)$$

*Delete*(-1) => error

*Delete*(20) => error

# Linear List Operations— *Insert(theIndex, theElement)*

insert an element so that the new element  
has a specified index

$$L = (a, b, c, d, e, f, g)$$

$$\textit{Insert}(0, h) \Rightarrow L = (h, a, b, c, d, e, f, g)$$

index of *a, b, c, d, e, f*, and *g* increase by 1

# Linear List Operations— *Insert(theIndex, theElement)*

$$L = (a, b, c, d, e, f, g)$$

$$\textit{Insert}(2, h) \Rightarrow L = (a, b, h, c, d, e, f, g)$$

index of *c, d, e, f*, and *g* increase by 1

$$\textit{Insert}(10, h) \Rightarrow \text{error}$$

$$\textit{Insert}(-6, h) \Rightarrow \text{error}$$



# Data Structure Specification

- Language independent

  - Abstract Data Type

- C++

  - Class

# Linear List Abstract Data Type

**AbstractDataType** *LinearList*

{

**instances**

ordered finite collections of zero or more elements

**operations**

*IsEmpty()*: return true iff the list is empty, false otherwise

*Length()*: return the list size (i.e., number of elements in the list)

*Retrieve(index)*: return the *index*th element of the list

*IndexOf(x)*: return the index of the first occurrence of *x* in the list, return -1 if *x* is not in the list

*Delete(index)*: remove and return the *index*th element, elements with higher index have their index reduced by 1

*Insert(theIndex, x)*: insert *x* as the *index*th element, elements with *theIndex*  $\geq$  *index* have their index increased by 1

}

## Linear List As A C++ Class

- To specify a general linear list as a C++ class, we need to use a template class.
- We shall study C++ templates later.
- So, for now we restrict ourselves to linear lists whose elements are integers.

# Linear List As A C++ Class

```
class LinearListOfIntegers
{
    bool IsEmpty() const;
    int length() const;
    int Retrieve(int index) const;
    int IndexOf(int theElement) const;
    int Delete(int index);
    void Insert(int index, int theElement);
}
```

# Data Structures In Text

Generally specified as a C++ (template) class.

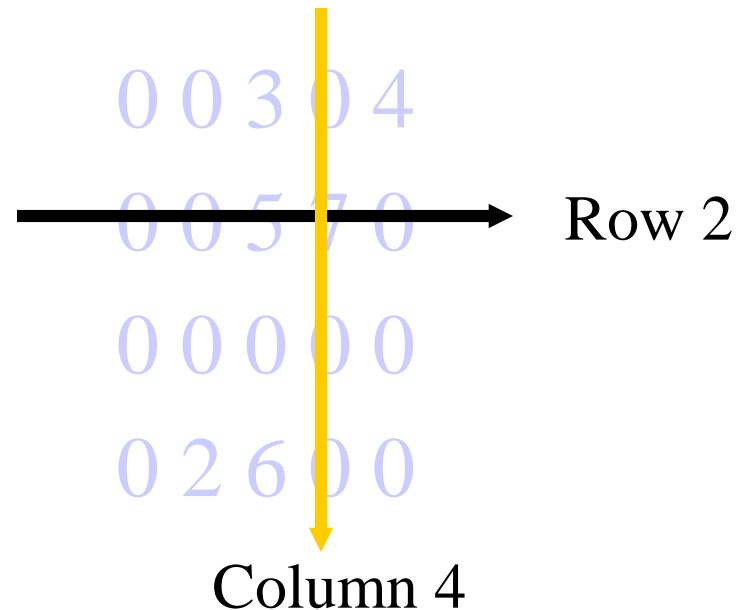
# Sparse Matrices



Matrix → table of values

# Sparse Matrices

Matrix → table of values



A 4x5 matrix is displayed with light blue numbers. A horizontal black arrow points to the second row, labeled 'Row 2'. A vertical yellow arrow points to the fourth column, labeled 'Column 4'.

0	0	3	0	4
0	0	5	7	0
0	0	0	0	0
0	2	6	0	0

4 x 5 matrix

4 rows

5 columns

20 elements

# Sparse Matrices

Sparse matrix  $\rightarrow$  #nonzero elements/#elements is small.

Examples:

- Diagonal
  - Only elements along diagonal may be nonzero
  - $n \times n$  matrix  $\rightarrow$  ratio is  $n/n^2 = 1/n$
- Tridiagonal
  - Only elements on 3 central diagonals may be nonzero
  - Ratio is  $(3n-2)/n^2 = 3/n - 2/n^2$



# Sparse Matrices

- Lower triangular (?)
  - Only elements on or below diagonal may be nonzero
  - Ratio is  $n(n+1)/(2n^2) \sim 0.5$

These are structured sparse matrices. Nonzero elements are in a well-defined portion of the matrix.

# Sparse Matrices

An  $n \times n$  matrix may be stored as an  $n \times n$  array.

This takes  $O(n^2)$  space.

The example structured sparse matrices may be mapped into a 1D array so that a mapping function can be used to locate an element quickly; the space required by the 1D array is less than that required by an  $n \times n$  array (next lecture).

# Unstructured Sparse Matrices

## Airline flight matrix.

- airports are numbered 1 through  $n$
- $\text{flight}(i,j)$  = list of nonstop flights from airport  $i$  to airport  $j$
- $n = 1000$  (say)
- $n \times n$  array of list pointers  $\Rightarrow$  4 million bytes
- total number of nonempty flight lists = 20,000 (say)
- need at most 20,000 list pointers  $\Rightarrow$  at most 80,000 bytes

# Unstructured Sparse Matrices

Web page matrix.

web pages are numbered 1 through n

$\text{web}(i,j)$  = number of links from page i to page j

Web analysis.

authority page ... page that has many links to it

hub page ... links to many authority pages

# Web Page Matrix

- $n = 2$  billion (and growing by 1 million a day)
- $n \times n$  array of ints  $\Rightarrow 16 * 10^{18}$  bytes ( $16 * 10^9$  GB)
- each page links to 10 (say) other pages on average
- on average there are 10 nonzero entries per row
- space needed for nonzero elements is approximately 20 billion x 4 bytes = 80 billion bytes (80 GB)

# Representation Of Unstructured Sparse Matrices

Single linear list in row-major order.

scan the nonzero elements of the sparse matrix in row-major order (i.e., scan the rows left to right beginning with row 1 and picking up the nonzero elements)

each nonzero element is represented by a triple

(row, column, value)

the list of triples is stored in a 1D array

# Single Linear List Example

0 0 3 0 4

0 0 5 7 0

0 0 0 0 0

0 2 6 0 0

list =

row

column

value

1	1	2	2	4	4
3	5	3	4	2	3
3	4	5	7	2	6

# One Linear List Per Row

0 0 3 0 4

0 0 5 7 0

0 0 0 0 0

0 2 6 0 0



# Single Linear List

- Class SparseMatrix

- Array of triples of type MatrixTerm
  - ✓ row, col, value
- rows, // number of rows
- cols, // number of columns
- terms, // number of nonzero elements
- capacity; // size of

- Size of generally not predictable at time of initialization.

- Start with some default capacity/size (say 10)
- Increase capacity as needed

# Approximate Memory Requirements

500 x 500 matrix with 1994 nonzero elements, 4 bytes per element

2D array  $500 \times 500 \times 4 = 1\text{million}$  bytes

Class SparseMatrix  $3 \times 1994 \times 4 + 4 \times 4$   
 $= 23,944$  bytes

# Array Resizing

```
(newSize < terms)          “Error”;  
MatrixTerm *temp =        MatrixTerm[newSize];  
copy(smArray, smArray+terms, temp);  
    [] smArray;  
smArray = temp;  
capacity = newSize;
```

# Array Resizing

- To avoid spending too much overall time resizing arrays, we generally set  $\text{newSize} = c * \text{oldSize}$ , where  $c > 0$  is some constant.
- Quite often, we use  $c = 2$  (array doubling) or  $c = 1.5$ .
- Now, we can show that the total time spent in resizing is  $O(s)$ , where  $s$  is the maximum number of elements added to `smArray`.

# \*\*\*Matrix Transpose

0 0 3 0 4

0 0 5 7 0

0 0 0 0 0

0 2 6 0 0

0 0 0 0

0 0 0 2

3 5 0 6

0 7 0 0

4 0 0 0

# Matrix Transpose

0 0 0 0	0 0 0 0
0 0 3 0 4	0 0 0 2
0 0 5 7 0	3 5 0 6
0 0 0 0 0	0 7 0 0
0 2 6 0 0	4 0 0 0

row	1	1	2	2	4	4	2	3	3	3	4	5
column	3	5	3	4	2	3	4	1	2	4	2	1
value	3	4	5	7	2	6	2	3	5	6	7	4

# Matrix Transpose

Step 1: #nonzero in each row of transpose.

= #nonzero in each column of  
original matrix

= [0, 1, 3, 1, 1]

Step2: Start of each row of transpose

= sum of size of preceding  
rows of

transpose

= [0, 0, 1, 4, 5]

Step 3: Move elements, left to right,

# Matrix Transpose

0 0 3 0 4  
0 0 5 7 0  
0 0 0 0 0  
0 2 6 0 0

0 0 0 0  
0 0 0 2  
3 5 0 6  
0 7 0 0  
4 0 0 0

Step 1: #nonzero in each row of transpose.

= #nonzero in each column of original matrix

= [0, 1, 3, 1, 1]

Step 2: Start of each row of transpose

= sum of size of preceding rows of

transpose

= [0, 0, 1, 4, 5]

Step 3: Move elements left to right

row	1	1	2	2	4	4
column	3	5	3	4	2	3
value	3	4	5	7	2	6



# Runtime Performance

## Matrix Transpose

500 x 500 matrix with 1994 nonzero elements

Run time measured on a 300MHz Pentium II PC

2D array	210 ms
SparseMatrix	6 ms

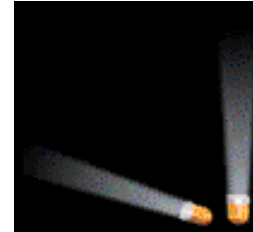
# Performance

## Matrix Addition.

500 x 500 matrices with 1994 and 999 nonzero elements

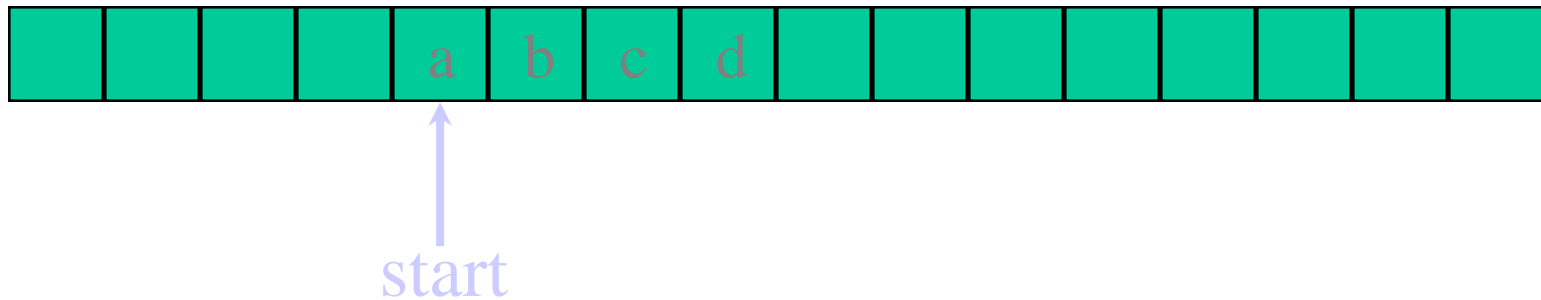
2D array	880 ms
SparseMatrix	18 ms

# Arrays



# 1D Array Representation In C++

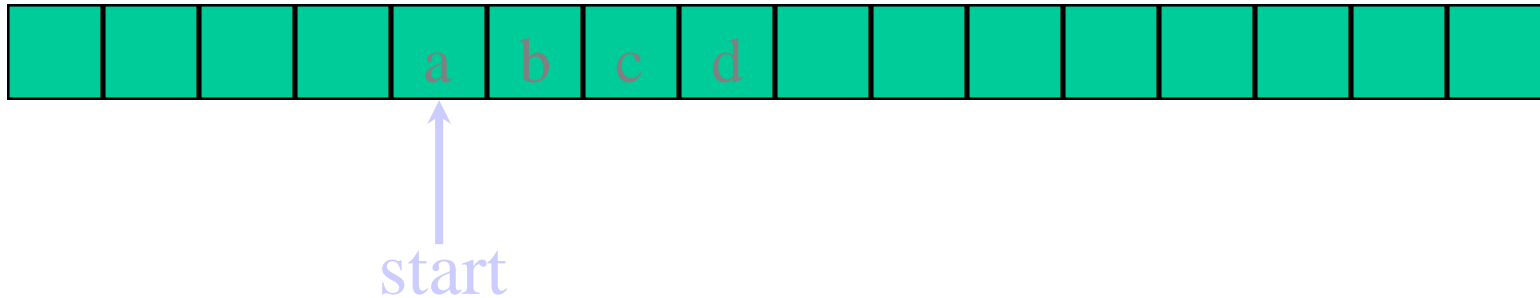
Memory



- 1-dimensional array  $x = [a, b, c, d]$
- map into contiguous memory locations
  - $\text{location}(x[i]) = \text{start} + i$

# Space Overhead

Memory



space overhead = 4 bytes for **start**

(excludes space needed for the elements of **x**)

# 2D Arrays

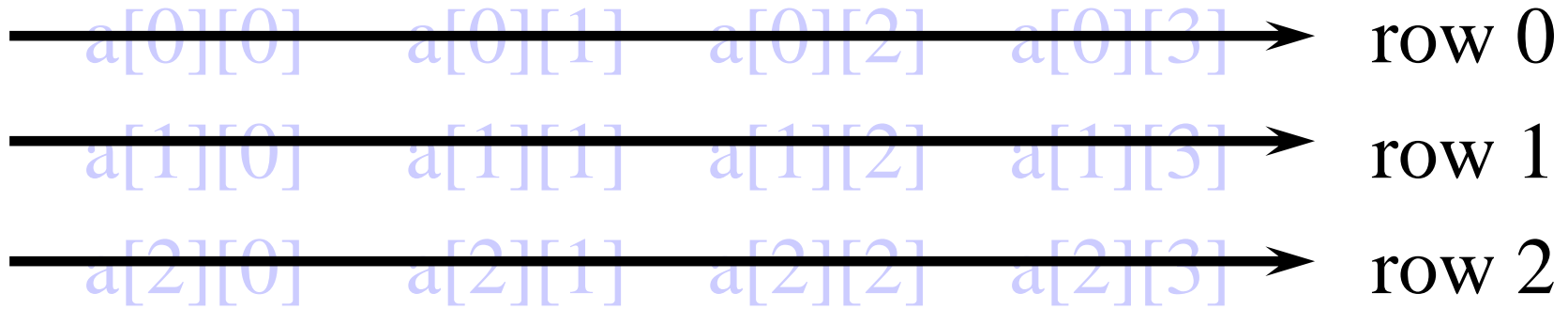
The elements of a 2-dimensional array **a** declared as:

```
int [][]a = new int[3][4];
```

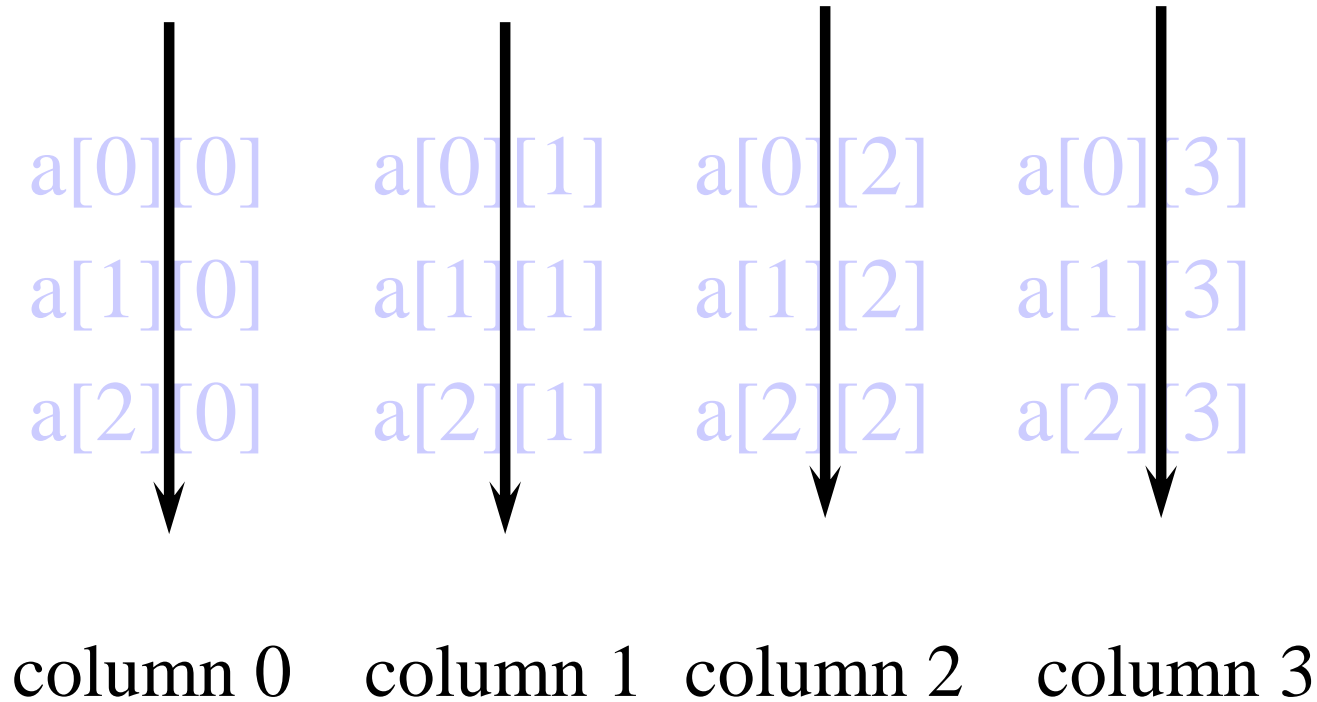
may be shown as a table

a[0][0]	a[0][1]	a[0][2]	a[0][3]
a[1][0]	a[1][1]	a[1][2]	a[1][3]
a[2][0]	a[2][1]	a[2][2]	a[2][3]

# Rows Of A 2D Array



# Columns Of A 2D Array





# 2D Array Representation In C++

2-dimensional array `x`

`a, b, c, d`

`e, f, g, h`

`i, j, k, l`

view 2D array as a 1D array of rows

`x = [row0, row1, row 2]`

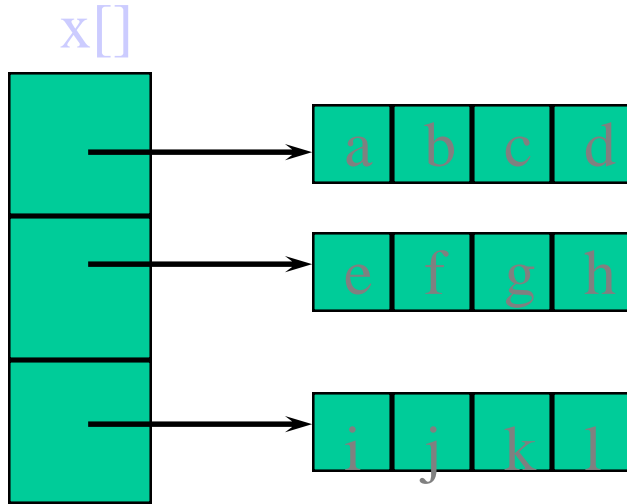
`row 0 = [a,b, c, d]`

`row 1 = [e, f, g, h]`

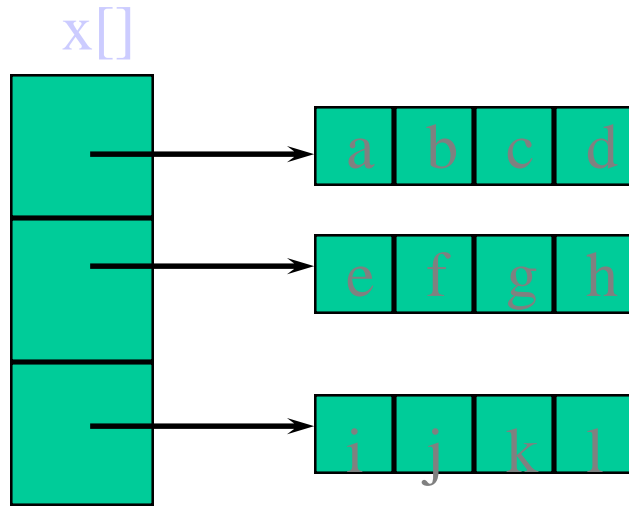
`row 2 = [i, j, k, l]`

and store as 4 1D arrays

# 2D Array Representation In C++

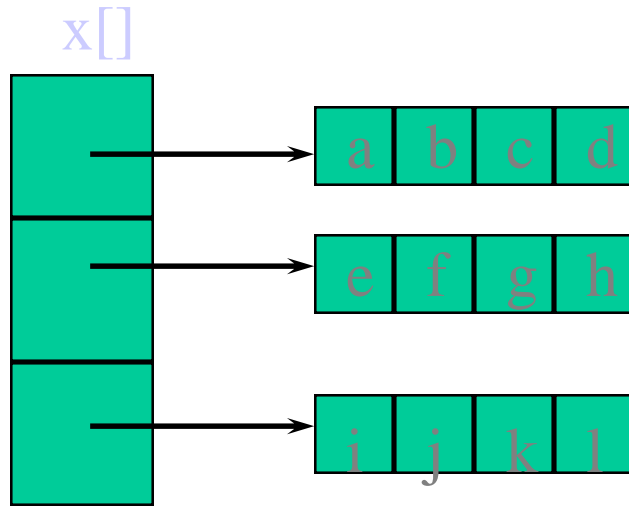


# Space Overhead



space overhead = overhead for 4 1D arrays  
=  $4 * 4$  bytes  
= 16 bytes  
= (number of rows + 1) x 4 bytes

# Array Representation In C++



- This representation is called the array-of-arrays representation.
- Requires contiguous memory of size 3, 4, 4, and 4 for the 4 1D arrays.
- 1 memory block of size `number of rows` and `number of rows` blocks of size `number of columns`

# Row-Major Mapping

- Example 3 x 4 array:

a b c d  
e f g h  
i j k l

- Convert into 1D array by collecting elements by rows.
- Within a row elements are collected from left to right.
- Rows are collected from top to bottom.
- We get {a, b, c, d, e, f, g, h, i, j, k, l}



# Locating Element $x[i][j]$



- assume  $x$  has  $r$  rows and  $c$  columns
- each row has  $c$  elements
- $i$  rows to the left of row  $i$
- so  $ic$  elements to the left of  $x[i][0]$
- so  $x[i][j]$  is mapped to position  
 $ic + j$  of the 1D array

# Space Overhead



4 bytes for **start** of 1D array +  
4 bytes for **c** (number of columns)  
= 8 bytes

# Disadvantage

Need contiguous memory of size  $rc$ .



# Column-Major Mapping

a b c d

e f g h

i j k l

- Convert into 1D array by collecting elements by columns.
- Within a column elements are collected from top to bottom.
- Columns are collected from left to right.
- We get {a, e, i, b, f, j, c, g, k, d, h, l}

# Matrix

Table of values. Has rows and columns, but numbering begins at 1 rather than 0.

a b c d      row 1

e f g h      row 2

i j k l      row 3

- Use notation  $x(i,j)$  rather than  $x[i][j]$ .
- May use a 2D array to represent a matrix.

# Shortcomings Of Using A 2D Array For A Matrix

- Indexes are off by 1.
- C++ arrays do not support matrix operations such as **add**, **transpose**, **multiply**, and so on.
  - Suppose that  $A$  and  $B$  are 2D arrays. Can't do  $A + B$ ,  $A^T$ , etc. in Java.
- Develop a class **Matrix** for object-oriented support of all matrix operations.

# Diagonal Matrix

An  $n \times n$  matrix in which all nonzero terms are on the diagonal.

# Diagonal Matrix

1 0 0 0

0 2 0 0

0 0 3 0

0 0 0 4

- $x(i,j)$  is on diagonal iff  $i = j$
- number of diagonal elements in an  $n \times n$  matrix is  $n$
- non diagonal elements are zero
- store diagonal only vs  $n^2$  whole

# Lower Triangular Matrix

An  $n \times n$  matrix in which all nonzero terms are either on or below the diagonal.

1 0 0 0

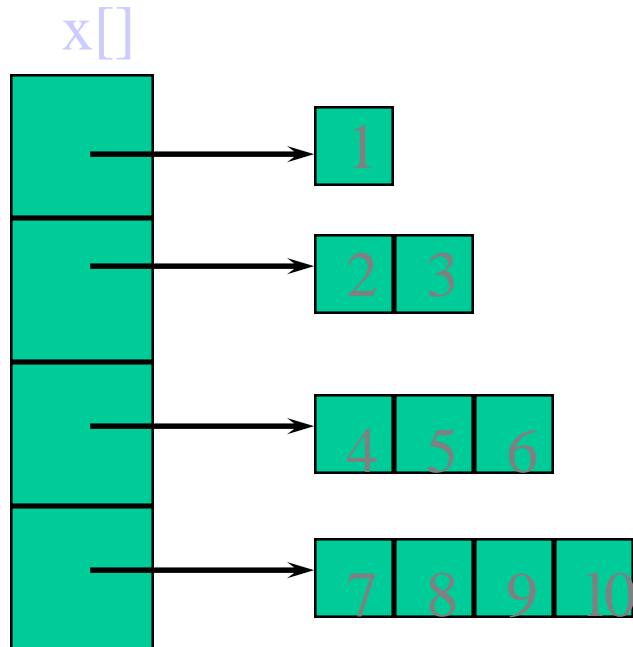
2 3 0 0

4 5 6 0

7 8 9 10

- $x(i,j)$  is part of lower triangle iff  $i \geq j$ .
- number of elements in lower triangle is  $1 + 2 + \dots + n = n(n+1)/2$ .
- store only the lower triangle

# Array Of Arrays Representation



Use an irregular 2-D array ... length of rows is not required to be the same.

# Creating And Using An Irregular Array

```
// declare a two-dimensional array variable
```

```
// and allocate the desired number of rows
```

```
int ** irregularArray =      int* [numberOfRows];
```

```
// now allocate space for the elements in each row
```

```
for (int i = 0; i < numberOfRows; i++)
```

```
    irregularArray[i] = new int [length[i]];
```

```
// use the array like any regular array
```

```
irregularArray[2][3] = 5;
```

```
irregularArray[4][6] = irregularArray[2][3] + 2;
```

```
irregularArray[1][1] += 3;
```



# Map Lower Triangular Array Into A 1D Array

Use row-major order, but omit terms that are not part of the lower triangle.

For the matrix

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 2 & 3 & 0 & 0 \\ 4 & 5 & 6 & 0 \\ 7 & 8 & 9 & 10 \end{pmatrix}$$

we get

$$1, 2, 3, 4, 5, 6, 7, 8, 9, 10$$

# Index Of Element [i][j]



- Order is: row 1, row 2, row 3, ...
- Row  $i$  is preceded by rows 1, 2, ...,  $i-1$
- Size of row  $i$  is  $i$ .
- Number of elements that precede row  $i$  is  
 $1 + 2 + 3 + \dots + i-1 = i(i-1)/2$
- So element  $(i,j)$  is at position  $i(i-1)/2 + j - 1$  of the 1D array.