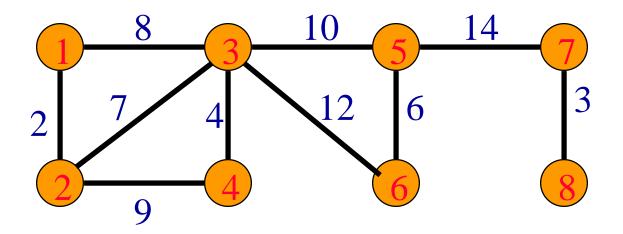
# Chapter Nine Weighted Trees

- 9.1 Minimum Spanning Tree
- 9.2 Shortest Past Problems
- 9.3 Dijkstra's Algorithm
- (\*9.4 Floyd's Algorithm\*)

# 9.1 Minimum-Cost Spanning Tree

- weighted connected undirected graph
- spanning tree
- cost of spanning tree is sum of edge costs
- find spanning tree that has minimum cost

## Example



- Network has 10 edges.
- Spanning tree has only n 1 = 7 edges.
- Need to either select 7 edges or discard 3.

## Edge Selection Strategies

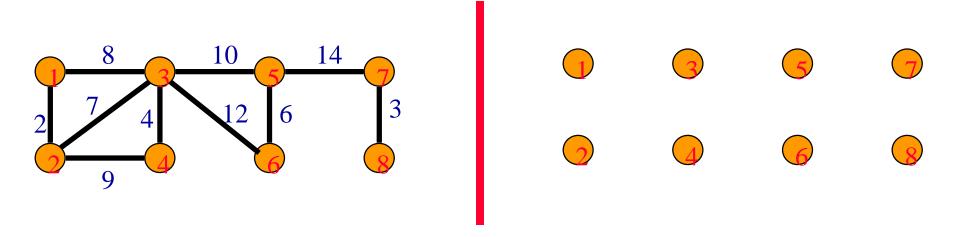
- Start with an n-vertex 0-edge forest. Consider edges in ascending order of cost. Select edge if it does not form a cycle together with already selected edges.
  - Kruskal's method.
- Start with a 1-vertex tree and grow it into an n-vertex tree by repeatedly adding a vertex and an edge. When there is a choice, add a least cost edge.
  - Prim's method.

## Edge Selection Strategies

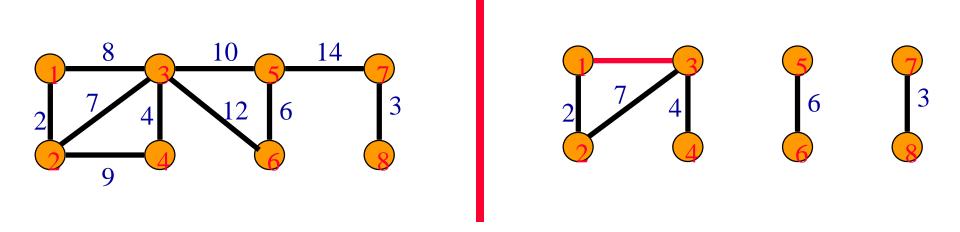
- Start with an n-vertex forest. Each component/tree selects a least cost edge to connect to another component/tree. Eliminate duplicate selections and possible cycles. Repeat until only 1 component/tree is left.
  - Sollin's method.

# Edge Rejection Strategies

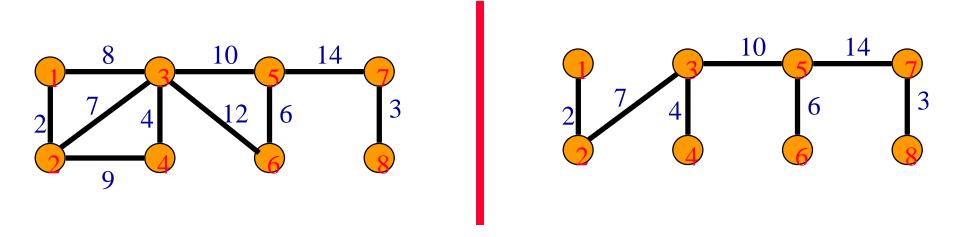
- Start with the connected graph. Repeatedly find a cycle and eliminate the highest cost edge on this cycle. Stop when no cycles remain.
- Consider edges in descending order of cost.
   Eliminate an edge provided this leaves behind a connected graph.



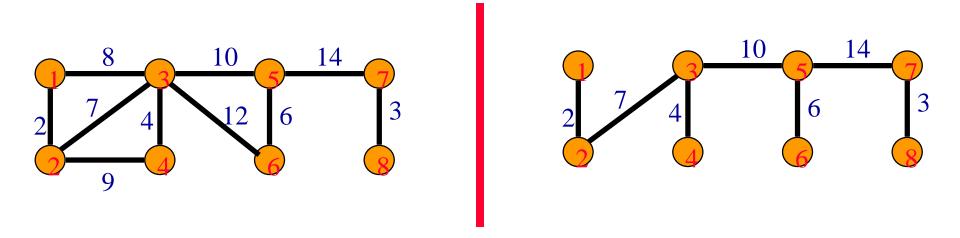
- Start with a forest that has no edges.
- Consider edges in ascending order of cost.
- Edge (1,2) is considered first and added to the forest.



- Edge (7,8) is considered next and added.
- Edge (3,4) is considered next and added.
- Edge (5,6) is considered next and added.
- Edge (2,3) is considered next and added.
- Edge (1,3) is considered next and rejected because it creates a cycle.

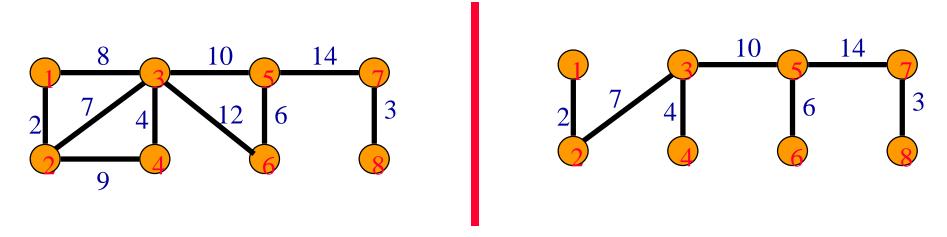


- Edge (2,4) is considered next and rejected because it creates a cycle.
- Edge (3,5) is considered next and added.
- Edge (3,6) is considered next and rejected.
- Edge (5,7) is considered next and added.



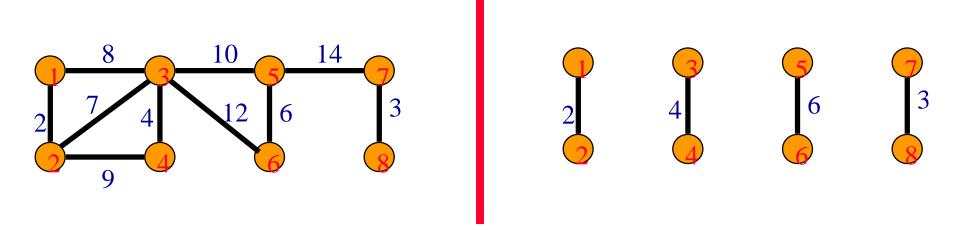
- n 1 edges have been selected and no cycle formed.
- So we must have a spanning tree.
- Cost is 46.
- Min-cost spanning tree is unique when all edge costs are different.

## (\*\*\*Prim's Method



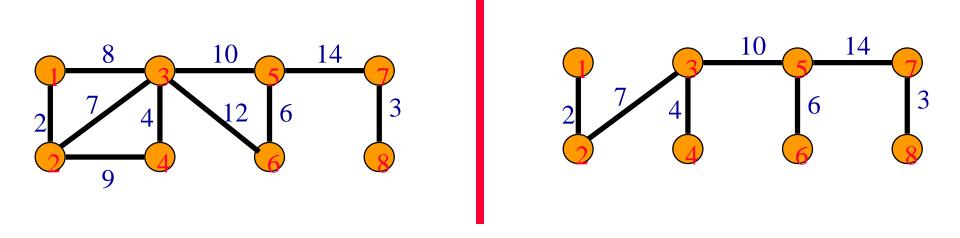
- Start with any single vertex tree.
- Get <u>a 2-vertex tree</u> by <u>adding a cheapest edge</u>.
- Get <u>a 3-vertex tree</u> by adding a cheapest edge.
- Grow the tree one edge at a time until the tree has n - 1 edges (and hence has all n vertices).

## Sollin's Method



- Start with a forest that has no edges.
- Each component selects <u>a least cost edge</u> with which to connect to another component.
- Duplicate selections are eliminated.
- Cycles are possible when the graph <u>has</u> some edges that have the same cost.

## Sollin's Method



- Each component that remains selects a least cost edge with which to connect to another component.
- Beware of duplicate selections and cycles.

#### Minimum-Cost Spanning Tree Methods

- Can prove that all stated edge selection/rejection result in a minimum-cost spanning tree.
- Prim's method is fastest.
  - O(n²) using an implementation similar to that of Dijkstra's shortest-path algorithm.
  - $O(e + n \log n)$  using a Fibonacci heap.
- Kruskal's uses union-find trees to run in  $O(n + e \log e)$  time.

#### Pseudocode For Kruskal's Method

```
Start with an empty set T of edges.
while (E is not empty && |T| != n-1)
   Let (u,v) be a least-cost edge in E.
   E = E - \{(u,v)\}. // delete edge from E
   if ((u,v) does not create a cycle in T)
     Add edge (u,v) to T.
if (|T| == n-1) T is a min-cost spanning tree.
else Network has no spanning tree.
```

Edge set E.

Operations are:

- Is E empty?
- Select and remove a least-cost edge.

Use a min heap of edges.

- Initialize. O(e) time.
- Remove and return least-cost edge. O(log e) time.

Set of selected edges T.

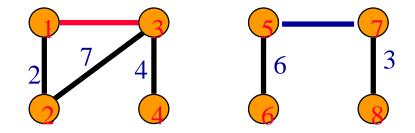
#### Operations are:

- Does T have n 1 edges?
- Does the addition of an edge (u, v) to T result in a cycle?
- Add an edge to T.

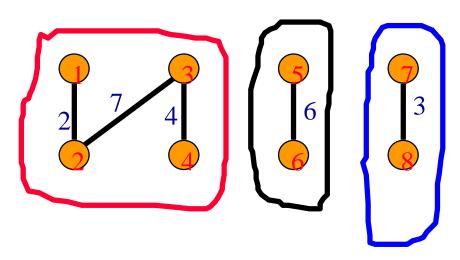
Use an array for the edges of T.

- Does T have n 1 edges?
  - Check number of edges in array. O(1) time.
- Does the addition of an edge (u, v) to T result in a cycle?
  - Not easy.
- Add an edge to T.
  - Add at right end of edges in array. O(1) time.

Does the addition of an edge (u, v) to T result in a cycle?

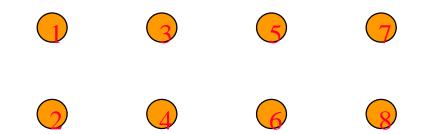


- Each component of T is a tree.
- When u and v are in the same component, the addition of the edge (u,v) creates a cycle.
- When u and v are in the different components, the addition of the edge (u,v) does not create a cycle.



- Each component of T is defined by the vertices in the component.
- Represent each component as a set of vertices.
  - **1**, 2, 3, 4}, {5, 6}, {7, 8}
- Two vertices are in the same component iff they are in the same set of vertices.

• Initially, T is empty.



• Initial sets are:

```
• {1} {2} {3} {4} {5} {6} {7} {8}
```

• Does the addition of an edge (u, v) to T result in a cycle? If not, add edge to T.

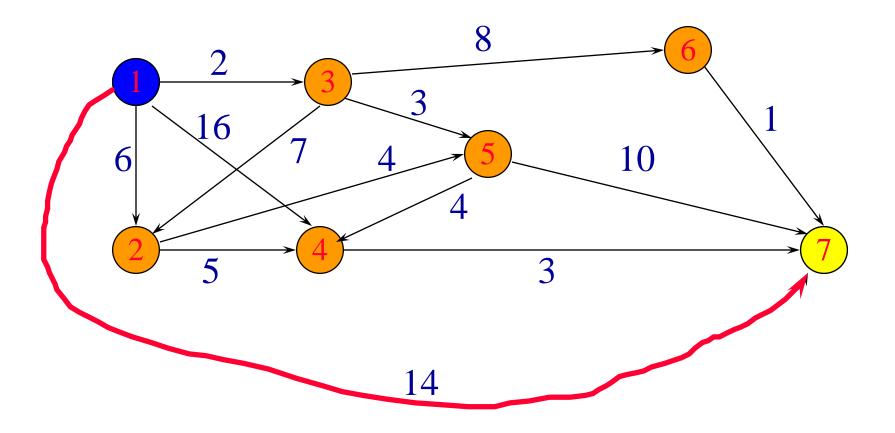
```
s1 = Find(u); s2 = Find(v);
if (s1 != s2) Union(s1, s2);
```

- Use fast solution for disjoint sets.
- Initialize.
  - **O**(n) time.
- At most 2e finds and n-1 unions.
  - Very close to O(n + e).
- Min heap operations to get edges in increasing order of cost take O(e log e).
- Overall complexity of Kruskal's method is O(n + e log e).

#### 9.2 Shortest Path Problems

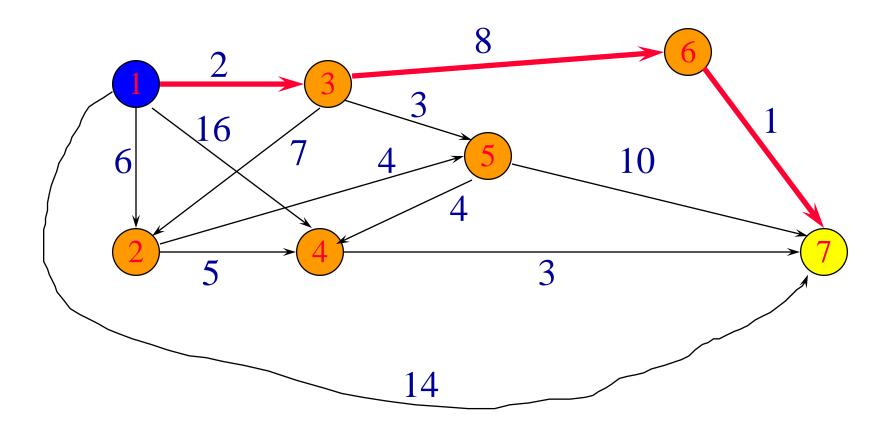
- Directed weighted graph.
- Path length is sum of weights of edges on path.
- The vertex at which the path begins is the source vertex.
- The vertex at which the path ends is the destination vertex.

# Example



A path from 1 to 7. Path length is 14.

# Example



Another path from 1 to 7. Path length is 11.

#### Shortest Path Problems

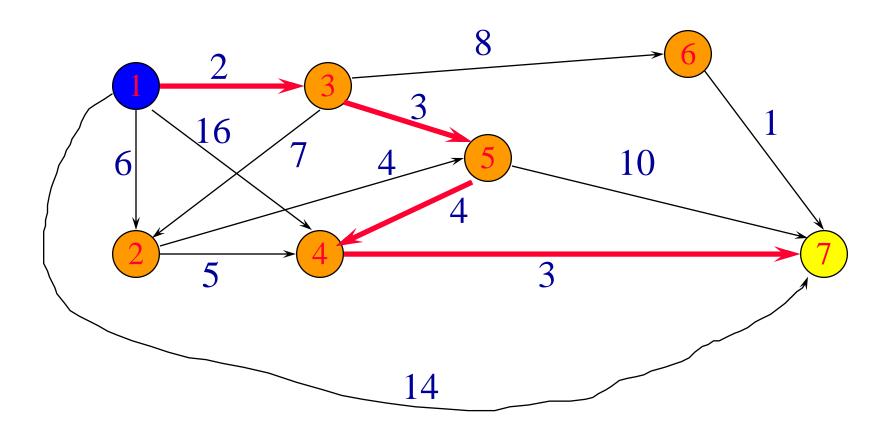
- Single source single destination.
- Single source all destinations.
- All pairs (every vertex is a source and destination).

## Single Source Single Destination

#### Possible algorithm: (\*\*\*\*\*)

- Leave source vertex using cheapest/shortest edge.
- Leave new vertex using cheapest edge subject to the constraint that a new vertex is reached.
- Continue until destination is reached.

#### Constructed 1 To 7 Path



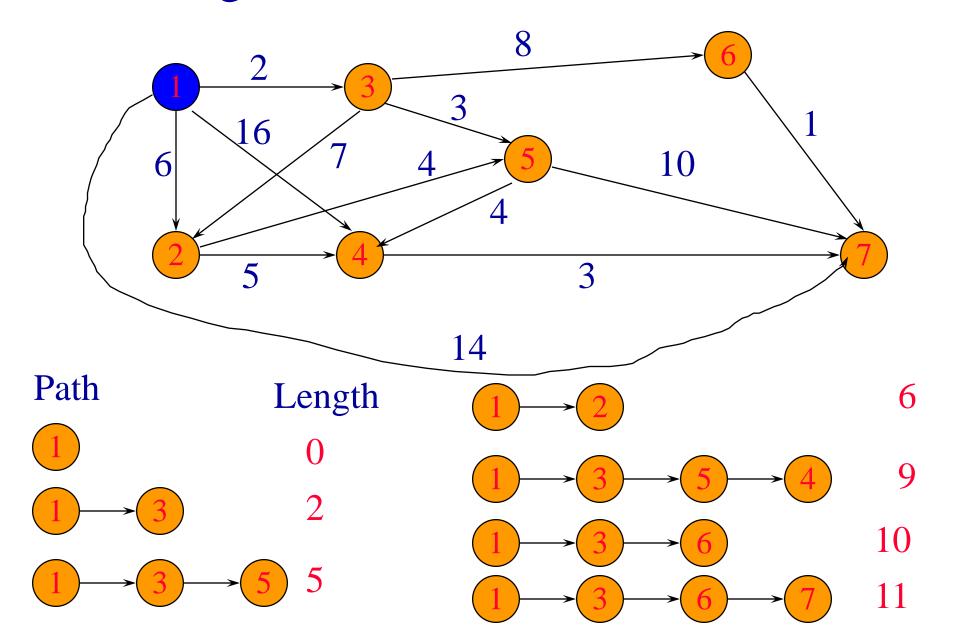
Path length is 12.

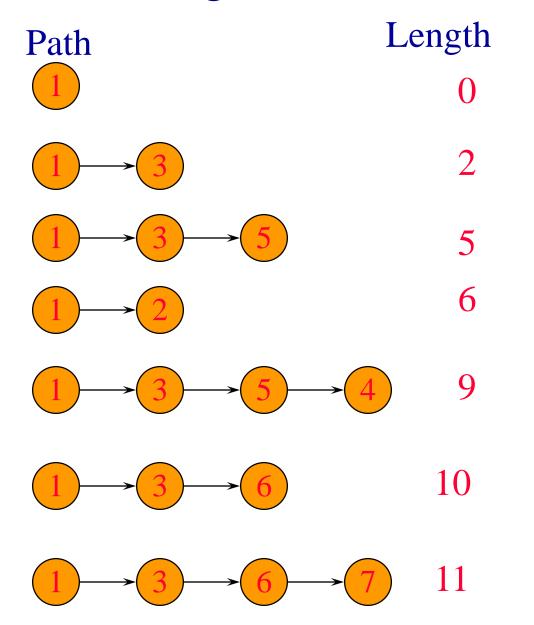
Not shortest path. Algorithm doesn't work!

Need to generate up to n (n is number of vertices) paths (including path from source to itself).

#### Dijkstra's method:

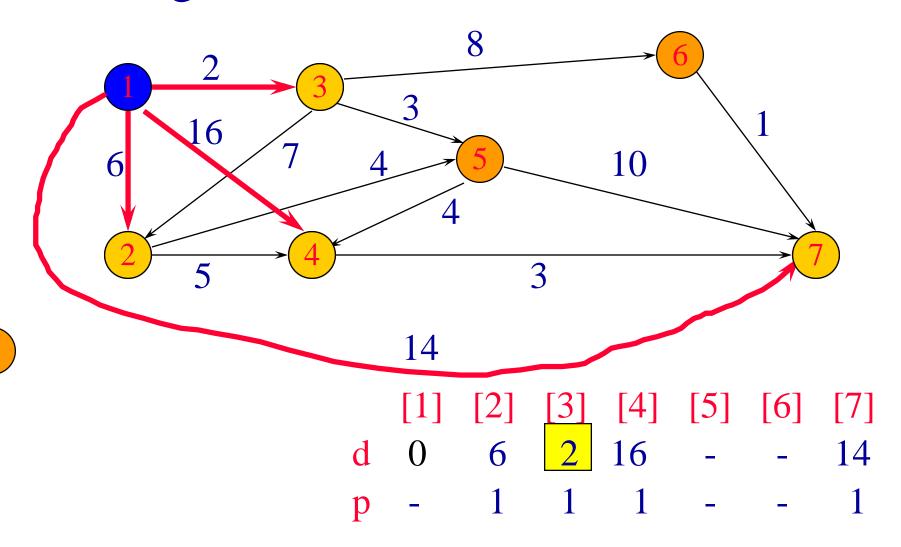
- Construct these up to n paths in order of increasing length.
- Assume edge costs (lengths) are  $\geq 0$ .
- So, no path has length < 0.
- First shortest path is from the source vertex to itself. The length of this path is 0.

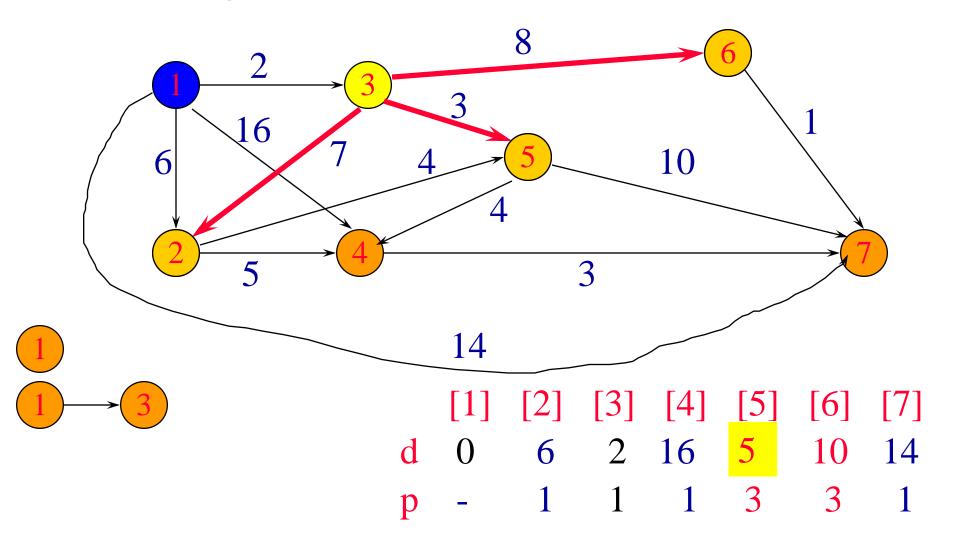


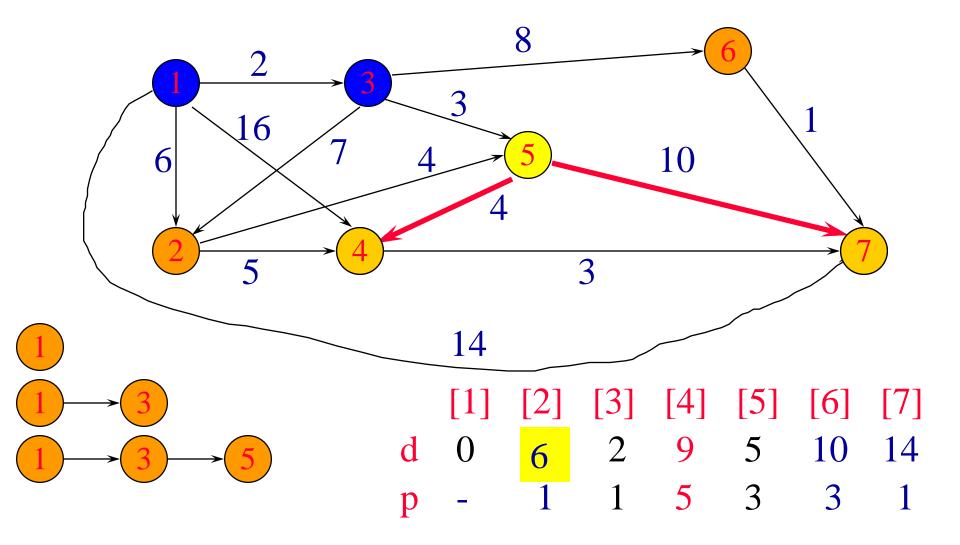


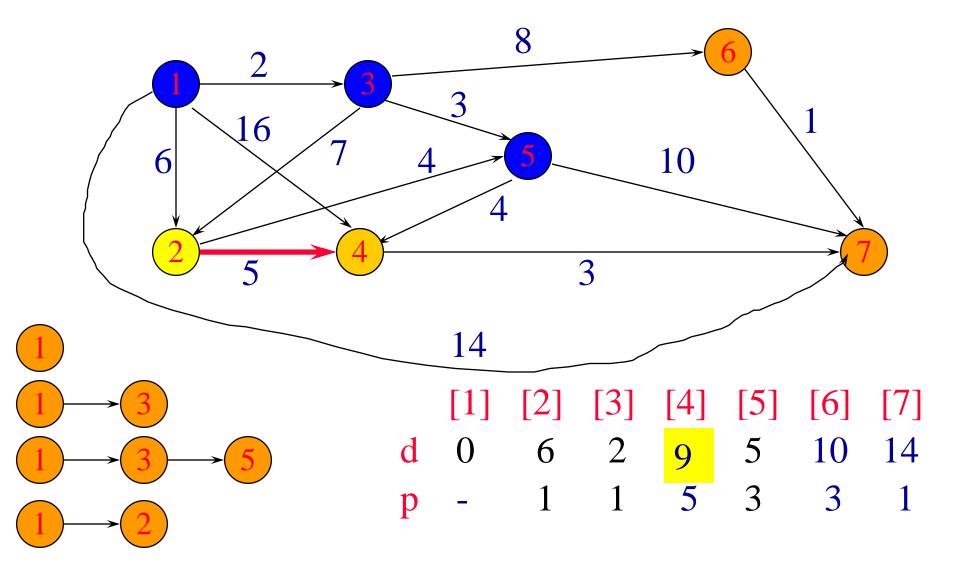
- Each path (other than first) is a one edge extension of a previous path.
- •Next shortest path is the shortest one edge extension of an already generated shortest path.

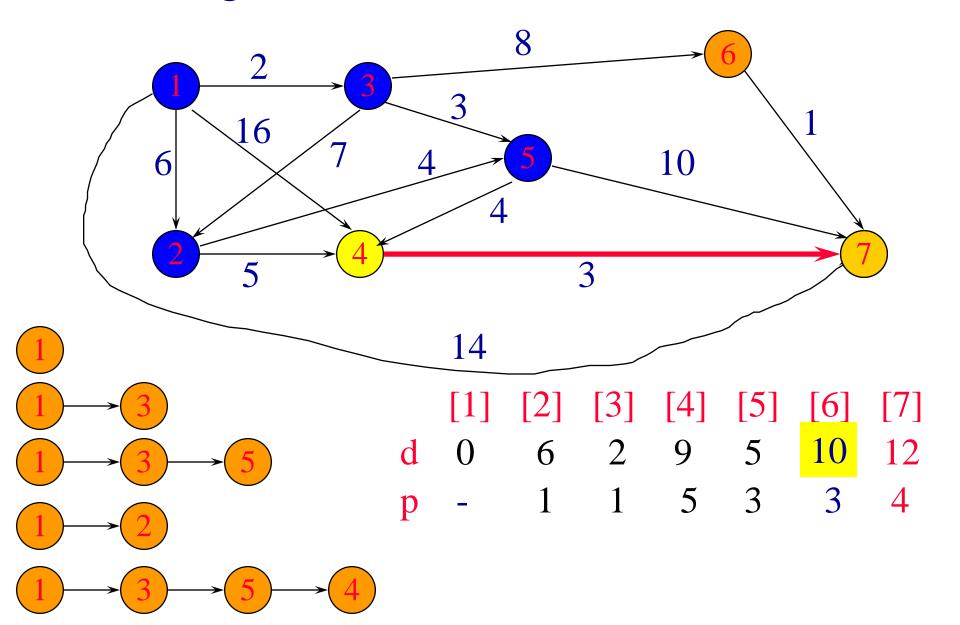
- Let d[i] be the length of a shortest one edge extension of an already generated shortest path, the one edge extension ends at vertex i.
- The next shortest path is to an as yet unreached vertex for which the d[] value is least.
- Let p[i] be the vertex just before vertex i on the shortest one edge extension to i.

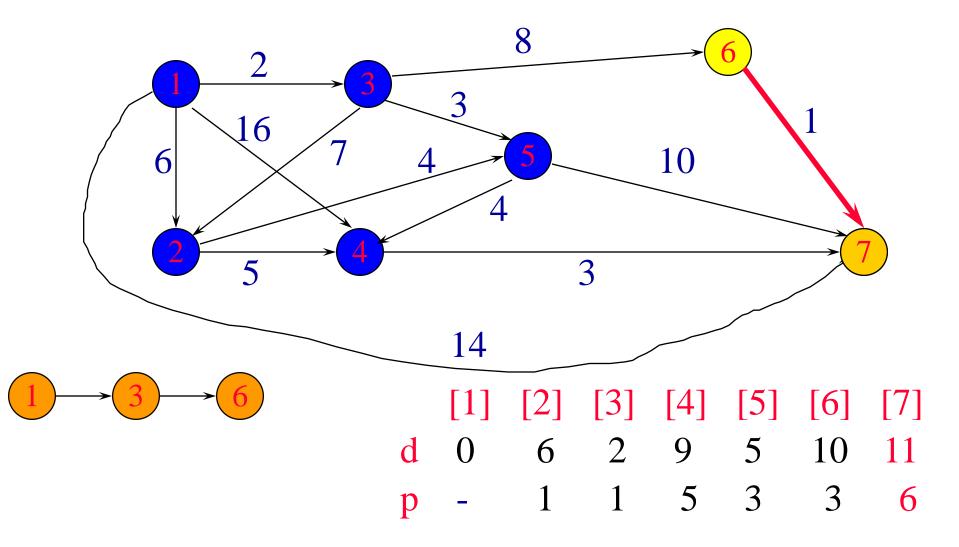


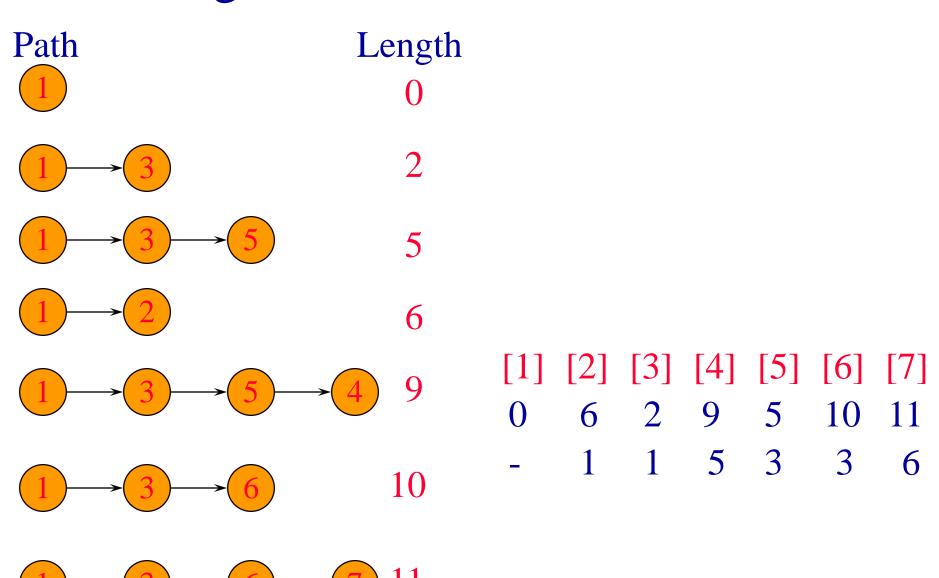












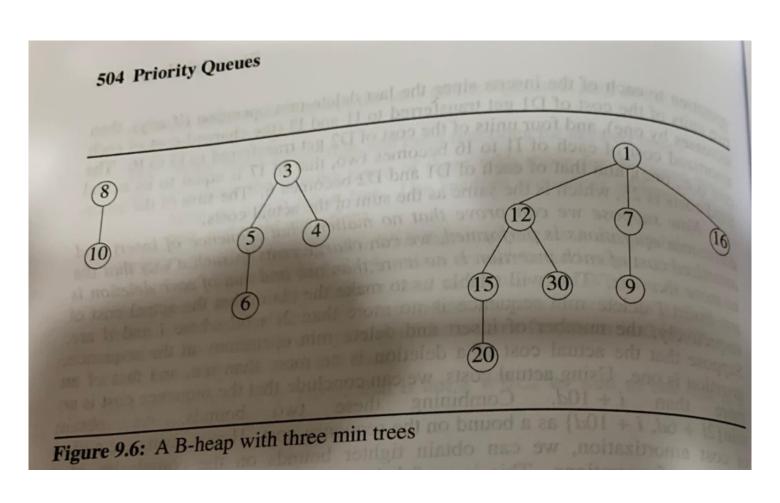
#### Single Source Single Destination

Terminate single source all destinations algorithm as soon as shortest path to desired vertex has been generated.

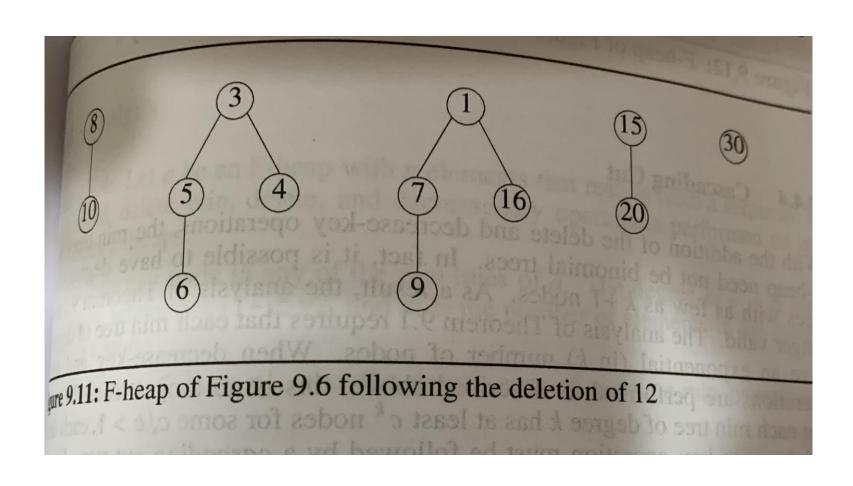
#### Fibonacci Heaps

- Def: There are two varietiese of Fibonacci heaps: min and max. A min Fibonacci heap is a collection of min trees; a max Fibonacci heap is a collection of max trees.
- Here considered is min Fibonacci heap, and named as F\_heaps.
- An F-heap is a data structure that support the seven operations: getMin, Insert, DeleteMin, Meld, Delete, and DecreaseKey, where the last two are defined as follows:
  - Delete: Delete the element in a specified node. We refer too this delete operation as arbitrary delete.
  - DecreaseKey: Decrease the key/priority of a specified node by a given positive amount.

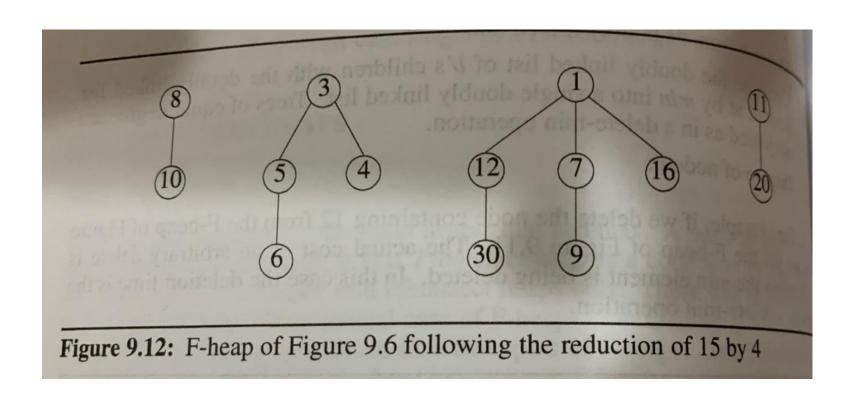
- When an F-heap, is used, the Delete operation takes O(log n) amortized time and the DecreaseKey takes O(1) anotized time.
- During implementation, there are at least five pointers inside each node: parent, child, childCut, leftlink and rightlink, one datum representing the number of its children, and one datum associated with a key. The children nodes of a node can be connected by a double linked list according to liftlink and rightlink correspondingly.



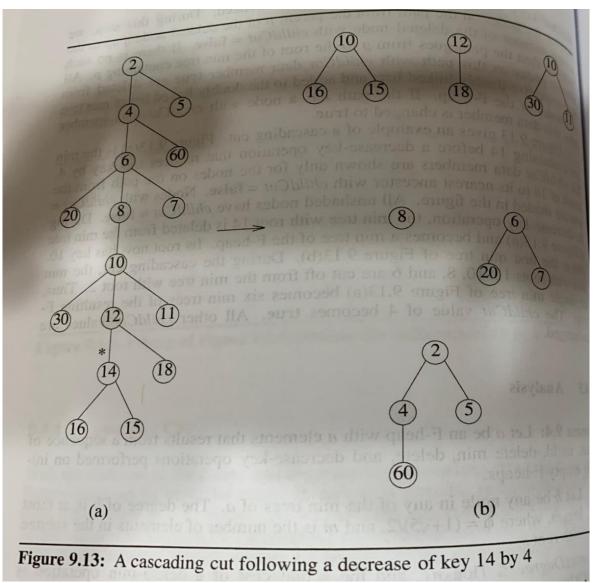
- Deletion from an F-Heap
- To delete an arbitrary node b from a F-heap, we do the following:
  - 1. If min=b, then do a delete-min; otherwise do Steps 2, 3, and 4 below.
  - 2. Delete b from its doubly linked list.
  - 3. Combine the doubly linked list of b's children with the doubly linked list pointed at by min into a single double linked list. Trees of equal degree are not jointed as a delete-min operation.
  - 4. Dispose of node b.



- Decrease Key
- To decrease the key in node b we do the following:
  - 1. Reduce the key in *b*.
  - 2. If b is not a min tree root and its key is smaller than that in its parent, then delete b from its doubly linked list and insert it into the doubly linked list of min tree nodes
  - 3. Change min to point to be if the key in b is smaller than that in min.



- Cascading Cut
- To decrease the key in node b we do the following:
  - 1. Reduce the key in b.
  - 2. If b is not a min tree root and its key is smaller than that in its parent, then delete b from its doubly linked list and insert it into the doubly linked list of min tree nodes
  - 3. Change min to point to be if the key in b is smaller than that in min.



#### Analysis:

- Lemma 1: Let *a* be an F-heap with *n* elements that results from *a* sequence of insert, meld, delete min, delete, and decrease-key operations performed on initial empty F-heaps.
  - 1. Let b be any node in any of the min trees of a. The degree of b is at most  $\log_{\phi} m$ , where  $\phi = (1 + \sqrt{5})/2$ , and m is the number of elements in the subtree with root b.
  - 2.  $\max \text{Degree} \leq \lfloor \log_{\phi} n \rfloor$ , and the actual cost of a delete-min operation is  $O(\log n + s)$
- Theorem 2: If a sequence of *n* insert, meld, delete min, delete, and decrease-key operations is performed on an initially empty F-heap, then we can amortize costs such that the amortized time complexity of each insert, meld, and decrease-key operation is O(1) and that of each delete min and delete operation is O(log *n*). The total time complexity of the entire sequence is the sum of the amortized complexities of the individual operations in the sequence.

#### 9.3 Dijkstra's Algorithm

#### Data Structures for Dijkstra's Algorithm

- The described single source all destinations algorithm is known as Dijkstra's algorithm.
- Implement d[] and p[] as 1D arrays.
- Keep a linear list L of reachable vertices to which shortest path is yet to be generated.
- Select and remove vertex v in L that has smallest d[] value.
- Update d[] and p[] values of vertices adjacent to
   v.

## Complexity



- O(n) to select next destination vertex.
- O(out-degree) to update d[] and p[] values when adjacency lists are used.
- O(n) to update d[] and p[] values when adjacency matrix is used.
- Selection and update done once for each vertex to which a shortest path is found.
- Total time is  $O(n^2 + e) = O(n^2)$ .

## Complexity



- When a min heap of d[] values is used in place of the linear list L of reachable vertices, total time is O((n+e) log n), because O(n) remove min operations and O(e) change key (d[] value) operations are done.
- When e is  $O(n^2)$ , using a min heap is worse than using a linear list.
- When a Fibonacci heap is used, the total time is  $O(n \log n + e)$ .

# Single-Source All-Destinations Shortest Paths With General Weights

- Directed weighted graph.
- Edges may have negative cost.
- No cycle whose cost is < 0.
- Find a shortest path from a given source vertex s to each of the n vertices of the digraph.

## Single-Source All-Destinations Shortest Paths With General Weights

• Dijkstra's O(n²) single-source greedy algorithm doesn't work when there are negative-cost edges.

#### Bellman-Ford Algorithm

- Single-source all-destinations shortest paths in digraphs with negative-cost edges.
- Uses dynamic programming.
- Runs in  $O(n^3)$  time when adjacency matrices are used.
- Runs in O(ne) time when adjacency lists are used.

#### Strategy



- To construct a shortest path from the source to vertex v, decide on the max number of edges on the path and on the vertex that comes just before v.
- Since the digraph has no cycle whose length is < 0, we may limit ourselves to the discovery of cycle-free (acyclic) shortest paths.
- A path that has no cycle has at most n-1 edges.

#### Cost Function d



- Let d(v,k) (dist<sup>k</sup>[v]) be the length of a shortest path from the source vertex to vertex v under the constraint that the path has at most k edges.
- d(v,n-1) is the length of a shortest unconstrained path from the source vertex to vertex v.
- We want to determine d(v,n-1) for every vertex v.

#### Value Of d(\*,0)

• d(v,0) is the length of a shortest path from the source vertex to vertex v under the constraint that the path has at most 0 edges.

S

- d(s,0) = 0.
- d(v,0) = infinity for v != s.

#### Recurrence For d(\*,k), k > 0

- d(v,k) is the length of a shortest path from the source vertex to vertex v under the constraint that the path has at most k edges.
- If this constrained shortest path goes through no more than k-1 edges, then d(v,k) = d(v,k-1).

#### Recurrence For d(\*,k), k > 0

• If this constrained shortest path goes through k edges, then let w be the vertex just before v on this shortest path (note that w may be s).



- We see that the path from the source to w must be a shortest path from the source vertex to vertex w under the constraint that this path has at most k-1 edges.
- d(v,k) = d(w,k-1) + length of edge (w,v).

#### Recurrence For d(\*,k), k > 0

• d(v,k) = d(w,k-1) + length of edge (w,v).

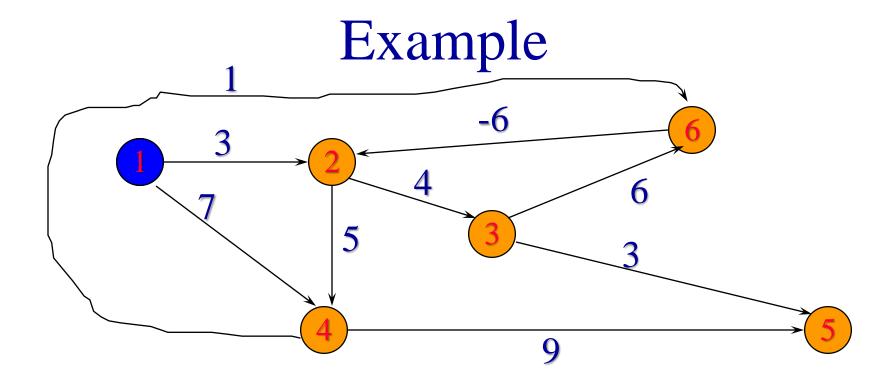


- We do not know what w is.
- We can assert
  - $d(v,k) = min\{d(w,k-1) + length of edge(w,v)\}$ , where the min is taken over all w such that (w,v) is an edge of the digraph.
- Combining the two cases considered yields:
  - $d(v,k) = min\{d(v,k-1),$  $min\{d(w,k-1) + length of edge(w,v)\}\}$

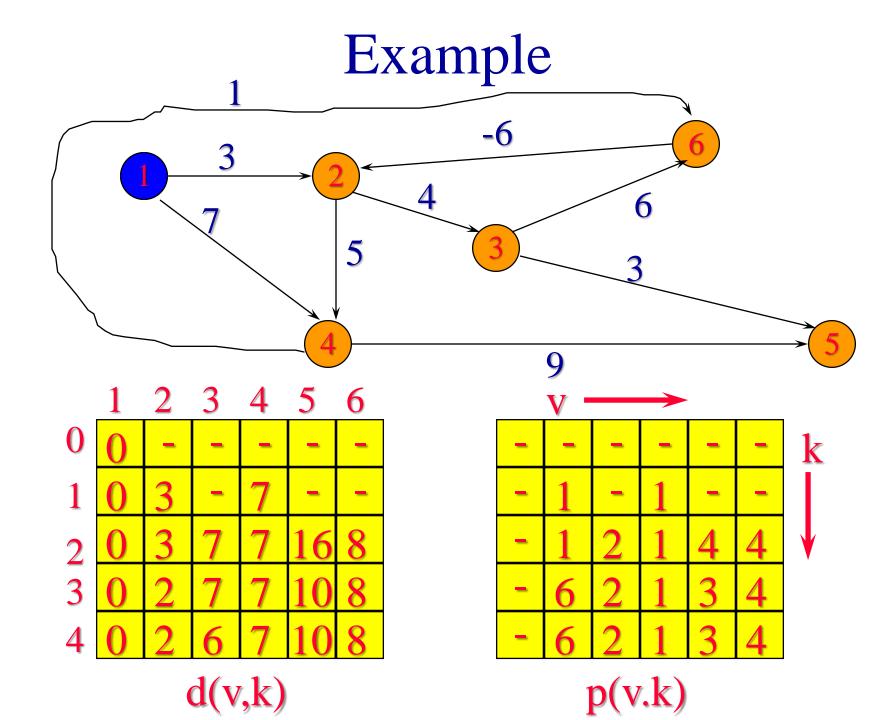
#### Pseudocode To Compute d(\*,\*)

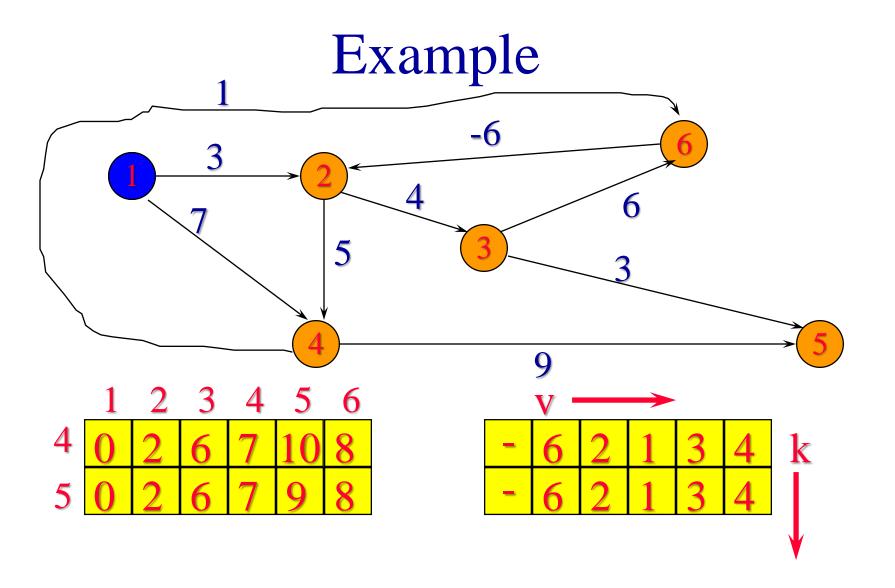
```
// initialize d(*,0)
d(s,0) = 0;
d(v,0) = infinity, v != s;
// compute d(*,k), 0 < k < n
for (int k = 1; k < n; k++)
   d(v,k) = d(v,k-1), 1 \le v \le n;
    for (each edge (u,v))
       d(v,k) = min\{d(v,k), d(u,k-1) + cost(u,v)\}
```

- Let p(v,k) be the vertex just before vertex v on the shortest path for d(v,k).
- p(v,0) is undefined.
- Used to construct shortest paths.



Source vertex is 1.

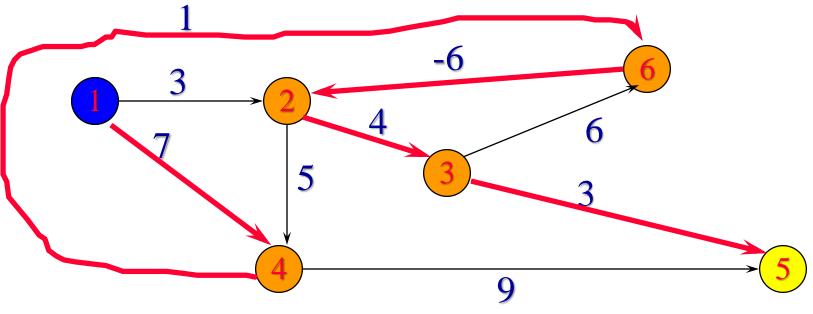


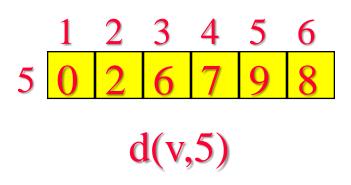


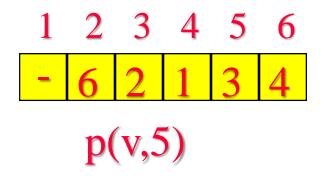
d(v,k)

p(v.k)

#### Shortest Path From 1 To 5







#### **Observations**

- d(v,k) = min{d(v,k-1),
   min{d(w,k-1) + length of edge (w,v)}}
- d(s,k) = 0 for all k.
- If d(v,k) = d(v,k-1) for all v, then d(v,j) = d(v,k-1), for all j >= k-1 and all v.
- If we stop computing as soon as we have a d(\*,k) that is identical to d(\*,k-1) the run time becomes
  - $O(n^3)$  when adjacency matrix is used.
  - O(ne) when adjacency lists are used.

#### **Observations**

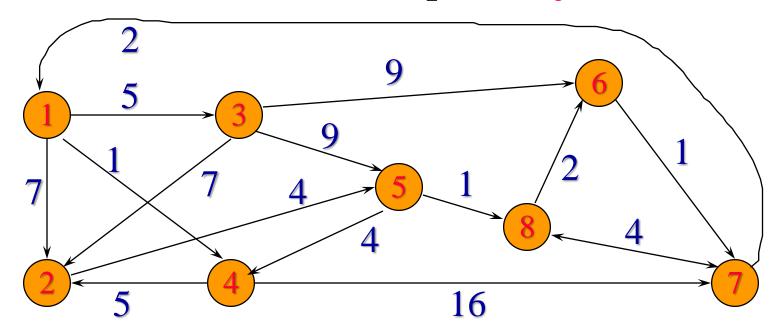
The computation may be done in-place.

```
d(v) = min\{d(v), min\{d(w) + length of edge (w,v)\}\}
instead of
d(v,k) = min\{d(v,k-1),
min\{d(w,k-1) + length of edge (w,v)\}\}
```

- Following iteration k,  $d(v,k+1) \le d(v) \le d(v,k)$
- On termination d(v) = d(v,n-1).
- Space requirement becomes O(n) for d(\*) and p(\*).

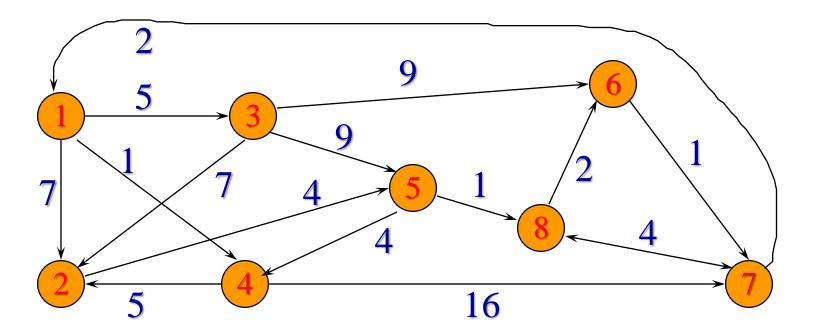
#### All-Pairs Shortest Paths

• Given an n-vertex directed weighted graph, find a shortest path from vertex i to vertex j for each of the n<sup>2</sup> vertex pairs (i,j).

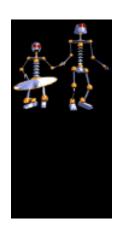


# Dijkstra's Single Source Algorithm

• Use Dijkstra's algorithm n times, once with each of the n vertices as the source vertex.



#### Performance

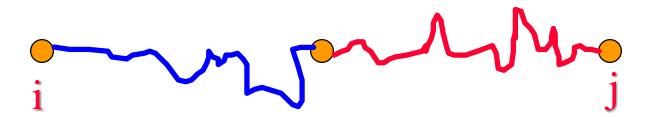


- Time complexity is  $O(n^3)$  time.
- Works only when no edge has a cost < 0.

# (\*\* 9.4 Floyd's Algorithm \*\*)

- Time complexity is  $\Theta(n^3)$  time.
- Works so long as there is no cycle whose length is < 0.
- When there is a cycle whose length is < 0, some shortest paths aren't finite.
  - If vertex 1 is on a cycle whose length is -2, each time you go around this cycle once you get a 1 to 1 path that is 2 units shorter than the previous one.
- Simpler to code, smaller overheads.

# Decision Sequence



- First decide the highest intermediate vertex (i.e., largest vertex number) on the shortest path from i to j.
- If the shortest path is i, 2, 6, 3, 8, 5, 7, j the first decision is that vertex 8 is an intermediate vertex on the shortest path and no intermediate vertex is larger than 8.
- Then decide the highest intermediate vertex on the path from i to 8, and so on.

# A Triple Moreovery A Triple i

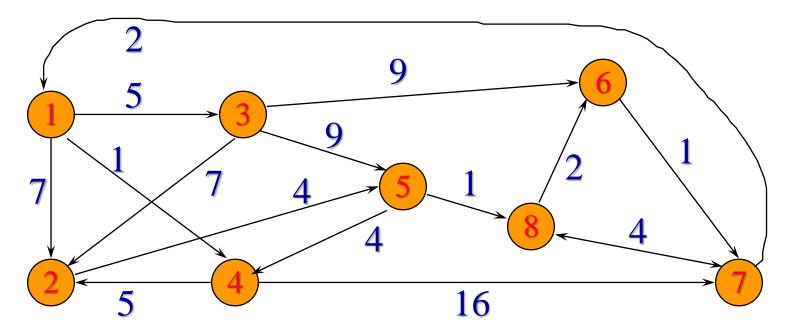
- (i,j,k) denotes the problem of finding the shortest path from vertex i to vertex j that has no intermediate vertex larger than k.
- (i,j,n) denotes the problem of finding the shortest path from vertex i to vertex j (with no restrictions on intermediate vertices).

# 

• Let c(i,j,k) be the length of a shortest path from vertex i to vertex j that has no intermediate vertex larger than k.

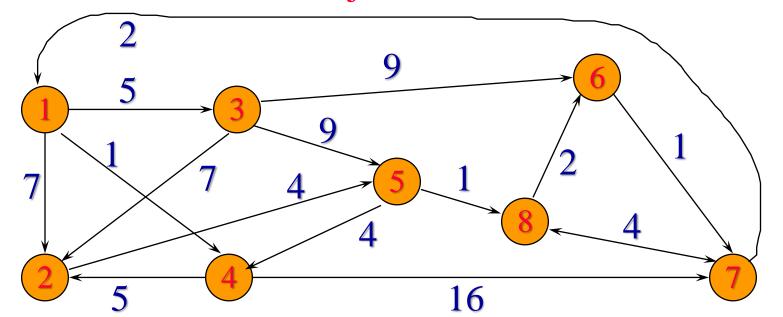
#### c(i,j,n)

- c(i,j,n) is the length of a shortest path from vertex i to vertex j that has no intermediate vertex larger than n.
- No vertex is larger than n.
- Therefore, c(i,j,n) is the length of a shortest path from vertex i to vertex j.

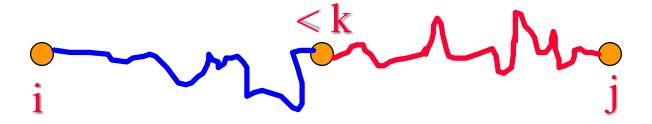


## c(i,j,0)

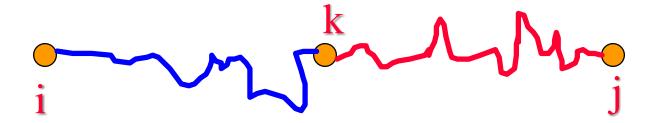
- c(i,j,0) is the length of a shortest path from vertex i to vertex j that has no intermediate vertex larger than 0.
  - Every vertex is larger than 0.
  - Therefore, c(i,j,0) is the length of a single-edge path from vertex i to vertex j.



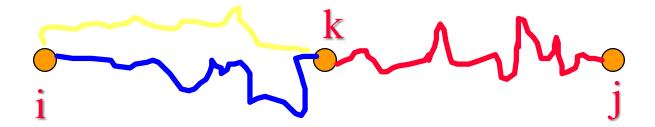
- The shortest path from vertex i to vertex j that has no intermediate vertex larger than k may or may not go through vertex k.
- If this shortest path does not go through vertex k, the largest permissible intermediate vertex is k-1. So the path length is c(i,j,k-1).



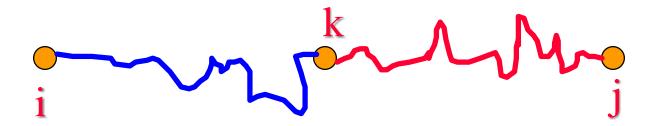
Shortest path goes through vertex k.



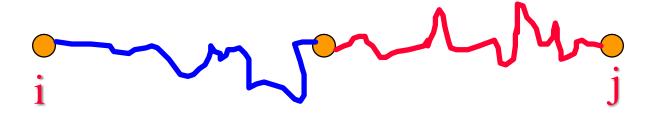
- We may assume that vertex k is not repeated because no cycle has negative length.
- Largest permissible intermediate vertex on i to k and k to j paths is k-1.



- i to k path must be a shortest i to k path that goes through no vertex larger than k-1.
- If not, replace current i to k path with a shorter i
  to k path to get an even shorter i to j path.



- Similarly, k to j path must be a shortest k to j path that goes through no vertex larger than k-1.
- Therefore, length of i to k path is c(i,k,k-1), and length of k to j path is c(k,j,k-1).
- So, c(i,j,k) = c(i,k,k-1) + c(k,j,k-1).



- Combining the two equations for c(i,j,k), we get  $c(i,j,k) = min\{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}.$
- We may compute the c(i,j,k)s in the order k = 1, 2, 3, ..., n.

# Floyd's Shortest Paths Algorithm

```
for (int k = 1; k <= n; k++)

for (int i = 1; i <= n; i++)

for (int j = 1; j <= n; j++)

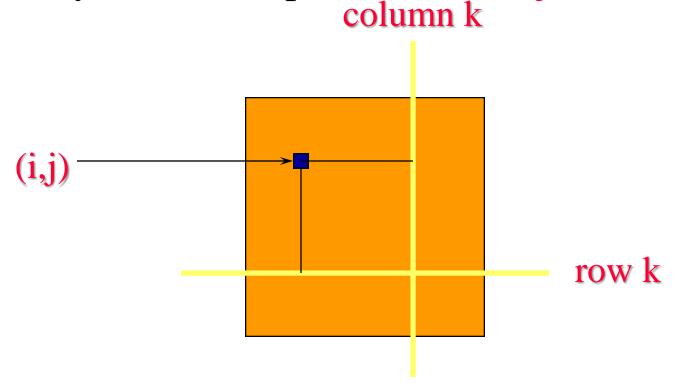
c(i,j,k) = min\{c(i,j,k-1),
c(i,k,k-1) + c(k,j,k-1)\};
```

- Time complexity is  $O(n^3)$ .
- More precisely  $\Theta(n^3)$ .
- $\Theta(n^3)$  space is needed for c(\*,\*,\*).



#### **Space Reduction**

- $c(i,j,k) = min\{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}$
- When neither i nor j equals k, c(i,j,k-1) is used only in the computation of c(i,j,k).



• So c(i,j,k) can overwrite c(i,j,k-1).

#### **Space Reduction**

- $c(i,j,k) = min\{c(i,j,k-1), c(i,k,k-1) + c(k,j,k-1)\}$
- When i equals k, c(i,j,k-1) equals c(i,j,k).
  - $c(k,j,k) = min\{c(k,j,k-1), c(k,k,k-1) + c(k,j,k-1)\}$ =  $min\{c(k,j,k-1), 0 + c(k,j,k-1)\}$ = c(k,j,k-1)
- So, when i equals k, c(i,j,k) can overwrite c(i,j,k-1).
- Similarly when j equals k, c(i,j,k) can overwrite c(i,j,k-1).
- So, in all cases c(i,j,k) can overwrite c(i,j,k-1).

## Floyd's Shortest Paths Algorithm

```
for (int k = 1; k <= n; k++)

for (int i = 1; i <= n; i++)

for (int j = 1; j <= n; j++)

c(i,j) = min{c(i,j), c(i,k) + c(k,j)};
```

- Initially, c(i,j) = c(i,j,0).
- Upon termination, c(i,j) = c(i,j,n).
- Time complexity is  $\Theta(n^3)$ .
- $\Theta(n^2)$  space is needed for c(\*,\*).



#### **Building The Shortest Paths**

- Let kay(i,j) be the largest vertex on the shortest path from i to j.
- Initially, kay(i,j) = 0 (shortest path has no intermediate vertex).

```
for (int k = 1; k <= n; k++)

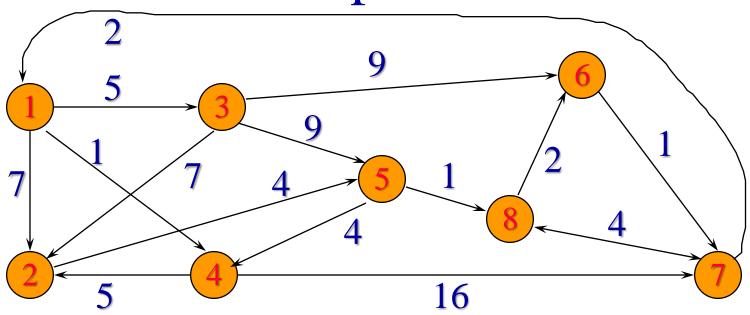
for (int i = 1; i <= n; i++)

for (int j = 1; j <= n; j++)

if (c(i,j) > c(i,k) + c(k,j))

\{kay(i,j) = k; c(i,j) = c(i,k) + c(k,j);\}
```

#### Example



#### **Initial Cost Matrix**

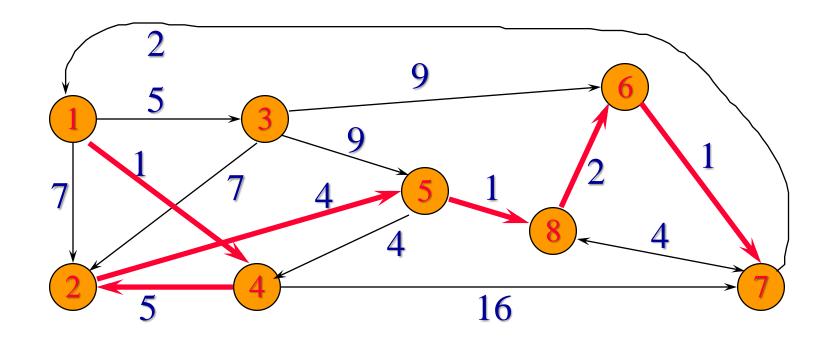
$$c(*,*) = c(*,*,0)$$

## Final Cost Matrix c(\*,\*) = c(\*,\*,n)

```
0 6 5 1 10 13 14 11
10 0 15 8 4 7 8 5
12 7 0 13 9 9 10 10
15 5 20 0 9 12 13 10
6 9 11 4 0 3 4 1
3 9 8 4 13 0 1 5
2 8 7 3 12 6 0 4
5 11 10 6 15 2 3 0
```

#### kay Matrix

#### **Shortest Path**



Shortest path from 1 to 7. Path length is 14.

- The path is 1 4 2 5 8 6 7.
- kay(1,7) = 8

- $kay(1,8) \equiv 5$  $1 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$
- kay(1,5) = 4

$$1 \longrightarrow 4 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$$

• The path is 1 4 2 5 8 6 7.

$$1 \longrightarrow 4 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$$

• kay(1,4) = 0

$$14 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$$

• kay(4,5) = 2

$$14 \longrightarrow 2 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$$

- kay(4,2) = 0
- $142 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$

• The path is 1 4 2 5 8 6 7.

$$142 \longrightarrow 5 \longrightarrow 8 \longrightarrow 7$$

• kay(2,5) = 0

$$1425 \longrightarrow 8 \longrightarrow 7$$

• kay(5,8) = 0

$$14258 \longrightarrow 7$$

• kay(8,7) = 6

$$14258 \longrightarrow 6 \longrightarrow 7$$

```
04004885
80850885
70050065
80802885
84800880
77777007
04114800
77777060
```

• The path is 1 4 2 5 8 6 7.

$$14258 \longrightarrow 6 \longrightarrow 7$$

- kay(8,6) = 01 4 2 5 8 6  $\longrightarrow$  7
- kay(6,7) = 01 4 2 5 8 6 7

#### Output A Shortest Path

```
void outputPath(int i, int j)
{// does not output first vertex (i) on path
 if (i == j) return;
 if (kay[i][j] == 0) // no intermediate vertices on path
   cout << i << " ";
 else {// kay[i][j] is an intermediate vertex on the path
          outputPath(i, kay[i][j]);
          outputPath(kay[i][j], j);
```

# Time Complexity Of outputPath



O(number of vertices on shortest path)