

NYCU Introduction to Machine Learning, Homework 1

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Part. 1, Coding (50%):

For this coding assignment, you are required to implement the Decision Tree and Adaboost algorithms using only NumPy. After that, train your model on the provided dataset and evaluate the performance on the testing data.

(30%) Decision Tree

Requirements:

- Implement **gini index** and **entropy** for measuring the best split of the data.
- Implement the decision tree classifier ([CART, Classification and Regression Trees](#)) with the following two arguments:
- **criterion**: The function to measure the quality of a split of the data. Your model should support "gini" and "entropy".
- **max_depth**: The maximum depth of the tree. If max_depth=None, then nodes are expanded until all leaves are pure. max_depth=1 equals to splitting data once.

Tips:

- Your model should produce the same results when rebuilt with the same arguments, and there is no need to prune the trees.
- You can use the recursive method to build the nodes.

Criteria:

1. (5%) Compute the gini index and the entropy of the array [0, 1, 0, 0, 0, 0, 1, 1, 0, 0, 1].

```
Part 1: Decision Tree
gini of [0, 1, 0, 0, 0, 0, 1, 1, 0, 0, 1]: 0.4628099173553717
entropy of [0, 1, 0, 0, 0, 0, 1, 1, 0, 0, 1]: 0.94566030460064
```

2. (10%) Show the accuracy score of the testing data using criterion="gini" and max_depth=7.
Your accuracy score should be higher than 0.7.

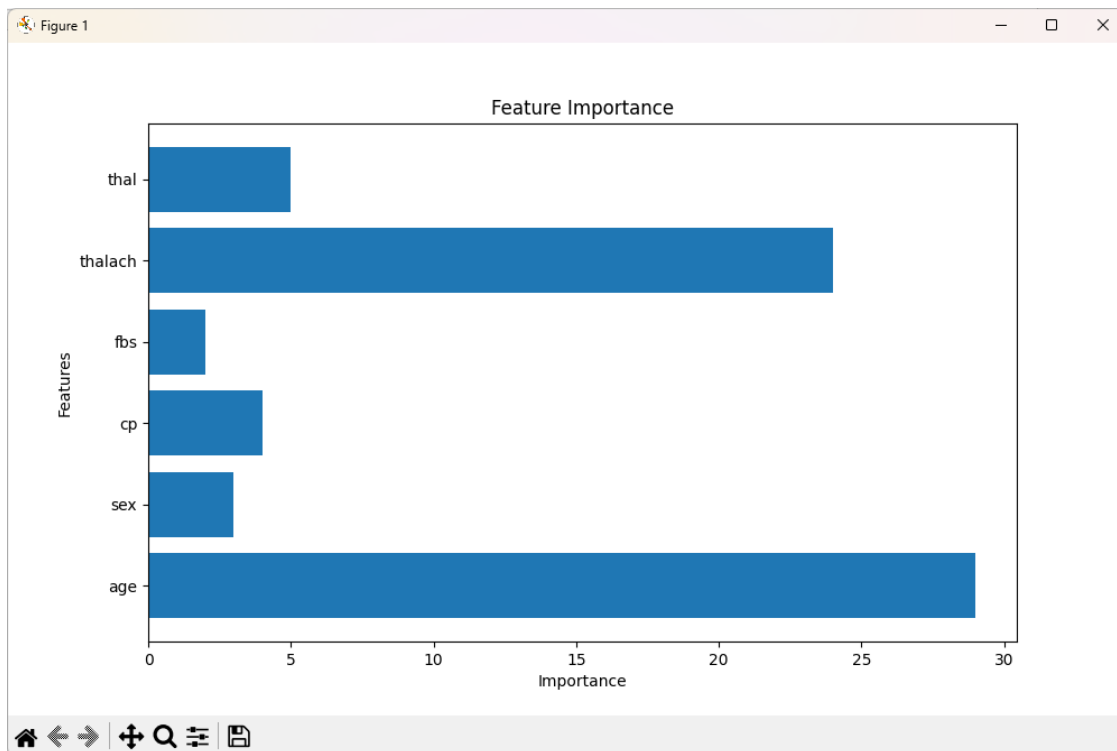
```
Accuracy (gini with max_depth=7): 0.7049180327868853
```

3. (10%) Show the accuracy score of the testing data using criterion="entropy" and max_depth=7. Your accuracy score should be higher than 0.7.

```
Accuracy (entropy with max_depth=7): 0.7213114754098361
```

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4. (5%) Train your model using `criterion="gini"`, `max_depth=15`. Plot the [feature importance](#) of your decision tree model by simply counting the number of times each feature is used to split the data. Your answer should look like the plot below:



(20%) Adaboost

Requirements:

- Implement the Adaboost algorithm by using the decision tree classifier (`max_depth=1`) you just implemented as the weak classifier.
- The Adaboost model should include the following two arguments:
- **criterion**: The function to measure the quality of a split of the data. Your model should support "gini" and "entropy".
- **n_estimators**: The total number of weak classifiers.

Tips:

- You can set any random seed to make your result reproducible.

Criteria:

5. (20%) Tune the arguments of AdaBoost to achieve higher accuracy than your Decision Trees.

```
np.random.seed(121)
ada = AdaBoost(criterion='gini', n_estimators=2)
```

Part 2: AdaBoost
Accuracy: 0.8032786885245902

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Part. 2, Questions (50%):

1. (10%) True or False. If your answer is false, please explain.
 - a. (5%) In an iteration of AdaBoost, the weights of misclassified examples are increased by adding the same additive factor to emphasize their importance in subsequent iterations.
False. Not adding the factor but using multiplication to calculate the weights.
 - b. (5%) AdaBoost can use various classification methods as its weak classifiers, such as linear classifiers, decision trees, etc.
True.

2. (10%) How does the number of weak classifiers in AdaBoost influence the model's performance? Please discuss the potential impact on overfitting, underfitting, computational cost, memory for saving the model, and other relevant factors when the number of weak classifiers is too small or too large.

Too few weak classifiers:

underfitting: training not enough time to get the better performance.

limited expressiveness: have not enough expressive power to represent the underlying distribution patterns and complexities in the data.

low computational cost and memory usage

Too many weak classifiers:

overfitting: the model may memorize noise by accident, leading to poor performance, but adaboost barely underfits.

high computational cost and memory usage: the cost and usage will increase along with the number of weak classifiers.

3. (15%) A student claims to have a brilliant idea to make random forests more powerful: since random forests prefer trees which are diverse, i.e., not strongly correlated, the student proposes setting $m = 1$, where m is the number of random features used in each node of each decision tree. The student claims that this will improve accuracy while reducing variance. Do you agree with the student's claims? Clearly explain your answer.

Not agree.

Using 1-feature trees feels like it's too simplistic. The trees are too simple, and the model might not capture the complexity of the underlying data, leading to a poor performance.

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4. (15%) The formula on the left is the forward process of a standard neural network while the formula on the right is the forward process of a modified model with a specific technique.

a. (5%) According to the two formulas, describe what is the main difference between the two models and what is the technique applied to the model on the right side.

In the left side, the output z will obtain from the former output y times the weight w , and plus the bias b . In the left side, apply a dropout layer, y needs to time the r before times with w , and r comes from Bernoulli distribution to get 0 or 1, which means whether using this neuron or not.

b. (10%) This technique was used to deal with overfitting and has many different explanations; according to what you learned from the lecture, try to explain it with respect to the ensemble method.

In this technique, for each iteration, we stop some neurons in the neural network, just like we can get a different structure neural network. Last, we get several kinds of structure of neural network, and we can get the results like the ensemble method.

$$\begin{aligned}z^{(l+1)} &= w^{(l+1)}y^l + b^{(l+1)} \\ y^{(l+1)} &= f(z^{(l+1)})\end{aligned}$$

$$\begin{aligned}r^l &= \text{Bernoulli}(p) \\ \tilde{y}^l &= r^l y^l \\ z^{(l+1)} &= w^{(l+1)}\tilde{y}^l + b^{(l+1)} \\ y^{(l+1)} &= f(z^{(l+1)})\end{aligned}$$