

# COMP111: Artificial Intelligence

## Introduction to Tree Search Problems

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# Content

- ▶ Introduce **problem solving through tree search**;
- ▶ Show how such problems can be stated as **search graphs**;
- ▶ Show how the **search tree** is generated from the search graph;
- ▶ Introduce main **blind** (also called **uninformed**) tree search algorithms:
  - ▶ breadth first-search (BFS);
  - ▶ depth first-search (DFS).
- ▶ Introduce main performance measures for tree search algorithms and analyse BFS and DFS.

# Solving Problems by Searching

- ▶ Consider an agent who has a **goal** and a set of **actions** he can perform to reach the goal. (In particular, the agent is goal-based agent).
- ▶ The goal is the state of affairs the agent wants to bring about.
- ▶ The difficulty is deciding **the order** in which the actions are carried out.
- ▶ The agent thus wants to compute a **sequence of actions** which leads to the goal and maybe satisfies additional optimality conditions (such as being as short as possible).
- ▶ The process of describing the **goal**, the relevant **states** of the world, the possible **actions**, and the **optimality criterion** is called **problem formulation**.
- ▶ Once the problem has been formulated, looking for a sequence of actions that lead to a goal state and is optimal is called **search**.

## Four Examples

- ▶ 8-Puzzle
- ▶ Holiday in Romania
- ▶ Vacuum cleaner world
- ▶ The 8 Queens Problem

## Example 1: 8-Puzzle

7	2	4
5		6
8	3	1

Start State

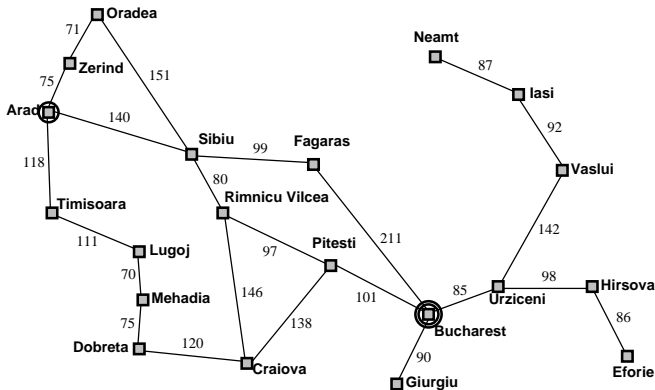
1	2	3
4	5	6
7	8	

Goal State

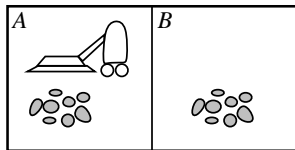
The 8-puzzle is a sliding puzzle that consists of a frame of numbered square tiles in random order with one tile missing. The object of the puzzle is to place the tiles in order by making sliding moves that use the empty space.

## Example 2: Holiday in Romania

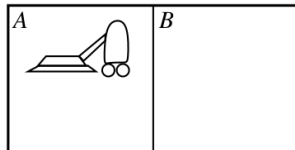
On holiday in Romania; currently in Arad.  
Drive as quickly as possible to Bucharest.



## Example 3: Vacuum cleaner world



Possible Start

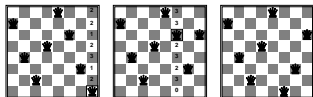


Possible Goal

The vacuum cleaner world is composed of

- ▶ two rooms
- ▶ each room might contain dirt
- ▶ a vacuum cleaner located in one of the rooms
  - ▶ vacuum actions: move left/right, suck up the dirt, do nothing
- ▶ the goal is to clean all rooms

## Example 4: The 8 Queens Problem



- ▶ This is a problem from chess.
- ▶ Place 8 queens on chess board such that no queen attacks any other.

(A queen attacks any piece in the same row, column or diagonal.)



## Problem Formulation: the Search Graph

- ▶ First determine the set  $S$  of possible states of the problem.
- ▶ Then the search graph for our problem is given by:
  - ▶ the set  $S$  of states;
  - ▶ a **start state**  $s_{\text{start}}$  from  $S$ , the starting point;
  - ▶ a set  $S_{\text{goal}}$  of states from  $S$ , the **goal states**;
  - ▶ a set  $A$  of **actions** that can be performed and that take the agent from one state in  $S$  to another state in  $S$ ; we often do not give a name to the actions but just say which states are reachable from a state by a single action.
  - ▶ a **cost function** that states how expensive an action is. If all actions are equally expensive, we set  $\text{cost}(a) = 1$  for all actions  $a$ .
- ▶ A **solution** to the search problem is a sequence of actions such that when performed in the start state  $s_{\text{start}}$ , the agent reaches a goal state in  $S_{\text{goal}}$ . A solution is **optimal** if there is no less expensive solution.

We determine the search graph for our four problems.

## Example 1: 8-Puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

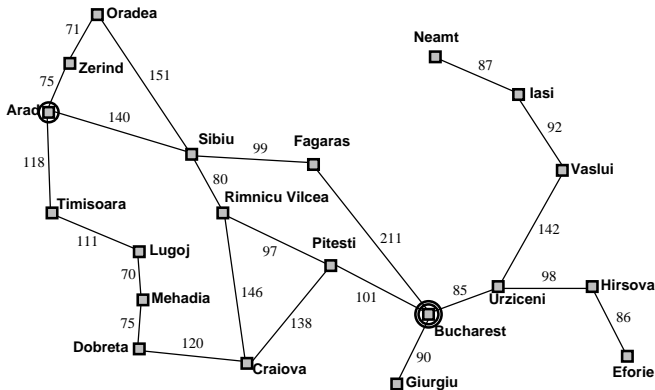
- ▶ States  $S$ : every possibility of having the  $3 \times 3$  grid filled with numbers 1–8 and a blank.
- ▶ Start state  $s_{\text{start}}$ : a single state. For example, the one shown on the left.
- ▶ Set  $S_{\text{goal}}$  of goal states: the state shown on the right.
- ▶ Four actions: move the tile to left of empty square to right; etc..
- ▶ Cost function: all actions have the same cost. (We set cost=1 for each action.) We want a shortest sequence of actions that leads from  $s_{\text{start}}$  to the goal.

## Exercise

- ▶ When drawing a search graph, we often abstract from the actions. Instead, we just draw **arrows** from any state  $s$  to the states that can be reached by a single action. They are called the **successor states** of  $s$ .
- ▶ Draw a partial picture of the search graph of the 8-puzzle by listing the successor states of the start state from the previous slide and the predecessor states of the goal state. (We call a state  $s'$  is a **predecessor** of a state  $s$  if  $s$  is a successor of  $s'$ ).

# Holiday in Romania

On holiday in Romania; currently in Arad.  
Drive as quickly as possible to Bucharest.

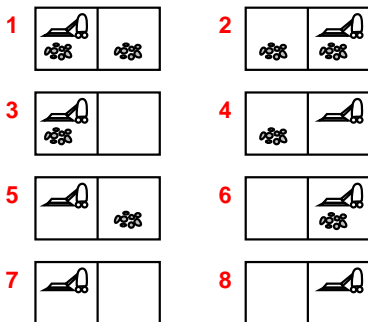


# Search Graph for holiday in Romania

- ▶ Set  $S$  of states: being in a city from the map of Romania: in Arad, in Zerind, in Oradea, etc
- ▶ Start state  $s_{\text{start}}$ : in Arad;
- ▶ Set  $S_{\text{goal}}$  of goal states: in Bucharest;
- ▶ Actions: drive from  $a$  to  $b$  for neighbouring cities  $a$  and  $b$ , for example drive from Arad to Zerind. Note that there is no single action that takes you from a city to a non-neighbouring city (for example, from Arad to Oradea);
- ▶ Cost function: km you drive.

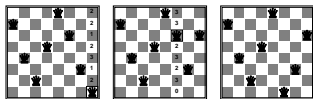
In this case, the map from the previous slide is already a representation of the search graph!

## Example 3: Vacuum cleaner world



- ▶ Set  $S$  of states: the 8 situations depicted in figure;
- ▶ Start state  $s_{\text{start}}$ : any state;
- ▶ Set  $S_{\text{goal}}$  of goal states: states 7 and 8;
- ▶ Actions: move left/right, suck up the dirt, do nothing;
- ▶ Cost function: assume all actions equally expensive (cost=1).

## Example 4: 8 Queens Problem



- Place 8 queens on chess board so that no queen can be taken by another.

(A queen attacks any piece in the same row, column or diagonal.)

# Search graph for 8 Queens Problem

- ▶ Set  $S$  of states: any arrangement of 0 to 8 queens on the board;
- ▶ Start state  $s_{\text{start}}$ : empty chess board;
- ▶ Set  $S_{\text{goal}}$  of goal states: 8 queens on chess board such that no queen attacks another;
- ▶ Actions: add a queen to an empty square;
- ▶ Cost function: none needed.



## Some comments on defining search graph: Abstraction

- ▶ Real world is absurdly complex: state space must be *abstracted* for problem solving
- ▶ Abstract state = set of real states
- ▶ Abstract action = complex combination of real actions  
    “Arad  $\rightarrow$  Zerind”  
    represents a complex set of possible routes, detours, rest stops, etc.
- ▶ For guaranteed realisability, *any* real state “in Arad” must get to real state “in Zerind”
- ▶ Abstract solution = set of real paths that are solutions in the real world

Abstraction should be “easier” than the original problem!

## Size of the search graph

In the toy examples given above the search space is still rather small. In real search problems the state space is huge:

Problem	States	Brute-Force Search (10 million states/sec)
8 Puzzle	$10^6$	0.01 seconds
15 Puzzle	$10^{13}$	6 days
Rubik's Cube	$10^{18}$	68, 000 years
24 Puzzle	$10^{26}$	12 billion years
Checkers	$10^{40}$	
Chess	$10^{120}$	

In what follows we assume we can't store the whole search graph explicitly. It is **imaginary**.

# Search

We assume

- ▶ the **start state**  $s_{\text{start}}$  is given,
- ▶ a description of the actions is given in the following form: if in state  $s$ , then states  $s_1, \dots, s_n$  can be reached by an action (they are called **successor states** of  $s$ ),
- ▶ a description of the **goal states** is given.

Search is the systematic exploration of the **search tree** consisting of all possible **paths of states** starting with the start state using the actions available until a path to a goal state is reached.

Note that the whole resulting search tree is **imaginary**. Typically it cannot be stored.

# Search Tree for holiday in Romania

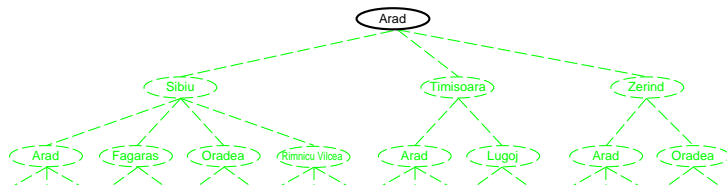
The paths starting in Arad are:

- ▶ Arad
- ▶ Arad, Sibiu and Arad, Timisoara and Arad, Zerind
- ▶ Arad, Sibiu, Arad and Arad, Sibiu, Figaras and so on.

Thus we have

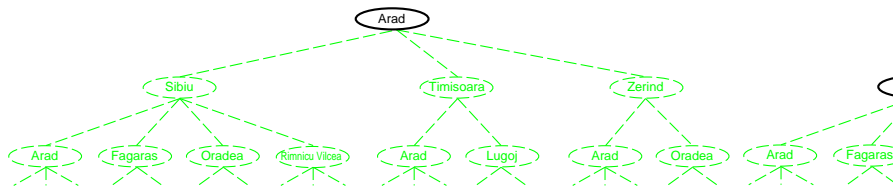
- ▶ a single path of length 0 (just Arad),
- ▶ three paths of length 1,
- ▶ eight paths of length 2,
- ▶ and so on

The set of all paths can be depicted as a tree with root Arad:



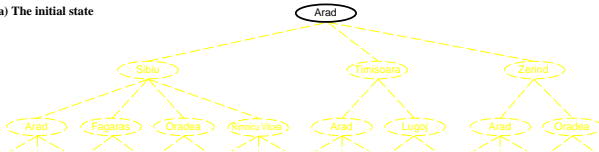
# Search Algorithm

- ▶ When describing search algorithms state how the search tree is explored by the **search algorithm**.
- ▶ The root of the tree is the **start state**  $s_{\text{start}}$
- ▶ Applying actions, we generate longer paths by adding successor states to a path (also called **expanding** a path/state)

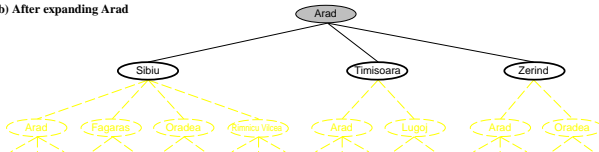


# The Search Tree

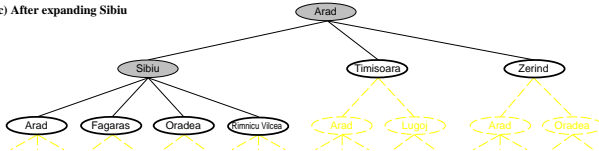
(a) The initial state



(b) After expanding Arad



(c) After expanding Sibiu



# Pseudocode

In this module we give algorithms (such as search algorithms) in **pseudocode**, informal high-level descriptions of algorithms that are intended for human reading rather than machine reading.

Algorithms in pseudocode often abstract from implementation details and leave certain steps (or subroutines) unspecified.

We now give a generic algorithm for search in pseudocode that, in fact, leaves a very important step unspecified.

# Generic Algorithm for Search

```
1: Input: a start state  $s_0$ 
2:         for each state  $s$  the successors of  $s$ 
3:         a test  $\text{goal}(s)$  checking whether  $s$  is a goal state
4:
5: Set  $\text{frontier} := \{s_0\}$ 
6: while frontier is not empty do
7:     select and remove from frontier a path  $s_0 \dots s_k$ 
8:     if  $\text{goal}(s_k)$  then
9:         return  $s_0 \dots s_k$  (and terminate)
10:    else for every successor  $s$  of  $s_k$  add  $s_0 \dots s_k s$  to frontier
11:    end if
12: end while
```



## Generic Algorithm for Search: comments

- ▶ The input are a start state  $s_0$ , a method to produce the successor states of a state (expand a state), and a method to check whether a state is a goal state.
- ▶ The algorithm maintains a set called **frontier** containing paths. In the beginning it only contains the path  $s_0$ . The frontier is also called **agenda**.
- ▶ As long as **frontier** is not empty, it **selects and removes** a path from **frontier**. We also say that this path is **expanded**.
- ▶ It terminates and returns the path if its final state is a goal state.
- ▶ Otherwise it adds to **frontier** all paths obtained by expanding (adding a successor to) the removed path.
- ▶ It then repeats the same steps by selecting and removing a path from the **updated frontier**.

# Generic Tree Search Algorithm

The generic tree search algorithm leaves the following steps open:

- ▶ Which path  $s_0 \dots s_k$  do we select and remove from the frontier? We also call this:
  - ▶ which path  $s_0 \dots s_k$  do we expand or select for expansion?
  - ▶ Or simply: which state  $s_k$  do we expand? (Note: sometimes not precise enough.)
- ▶ Given  $s_0 \dots s_k$ , in which order do we add the expansions  $s_0 \dots s_k s$  to the frontier?

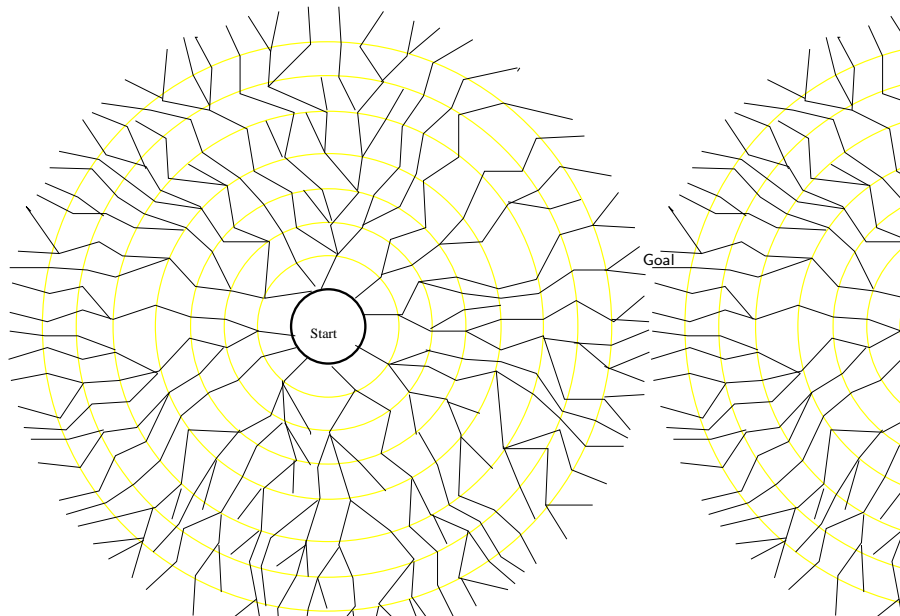
We do not specify the order in which we add  $s_0 \dots s_k s$  (often called **don't care non-determinism**), but we address the problem which path we select (expand) and remove from the frontier.

- ▶ Two obvious strategies:
  - ▶ breadth first search, BFS, (always select the path first added to frontier);
  - ▶ depth first search, DFS, (always select the path last added to frontier).

## Breadth First Search (BFS)

- ▶ Start by selecting (expanding) start state — gives tree of depth 1.
- ▶ Then select (expand) **all** paths that resulted from previous step — gives tree of depth 2.
- ▶ Then select (expand) **all** paths that resulted from previous step — gives tree of depth 3.
- ▶ and so on.
- ▶ In general: select (expand) all paths of depth  $n$  before depth  $n + 1$ .

## Example: BFS in a Maze



# Breadth First Search

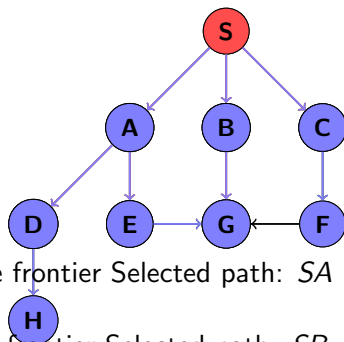
For the **select** step always select a path that was **first added** to the frontier:

- 1: **Input:** a start state  $s_0$
- 2:       for each state  $s$  the successors of  $s$
- 3:       a test  $\text{goal}(s)$  checking whether  $s$  is a goal state
- 4:
- 5: Set  $\text{frontier} := \{s_0\}$
- 6: **while** frontier is not empty **do**
- 7:     select and remove from frontier the path  $s_0 \dots s_k$  that was
- 8:     **first** added to frontier
- 9:     **if**  $\text{goal}(s_k)$  **then**
- 10:         return  $s_0 \dots s_k$  (and terminate)
- 11:     **else** for every successor  $s$  of  $s_k$  add  $s_0 \dots s_k s$  to frontier
- 12:     **end if**
- 13: **end while**

# Breadth-First Example

## Reaching G from S

Exp. paths	Frontier
	{S}
S not goal	{SA, SB, SC}
SA not goal	{SB, SC, SAD, SAE}
SB not goal	{SC, SAD, SAE, SBG}
SC not goal	{SAD, SAE, SBG, SCF}
SAD not goal	{SAE, SBG, SCF, SADH}
SAE not goal	{SBG, SCF, SADH, SAEFG}
SBG goal	



Selected path: S

Is the last state in  $S_{goal}$ ? No

Expand S: add SA, SB, and SC to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SA: add SAD and SAE to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SB: add SBG to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SC: add SCF to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SAD: add SADH to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SAE: add SAEFG to the frontier

Is the last state in  $S_{goal}$ ? No

Expand SBG: add SBG to the frontier

Is the last state in  $S_{goal}$ ? No

Selected path: SA

Selected path: SB

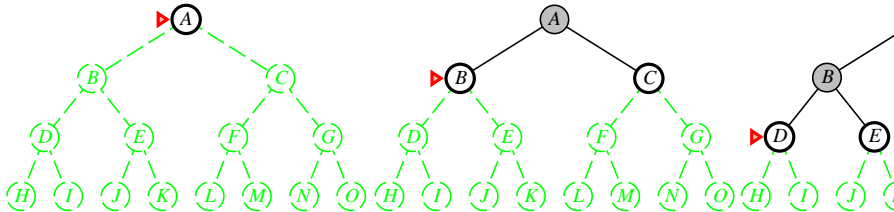
Selected path: SC

Selected path: SAD

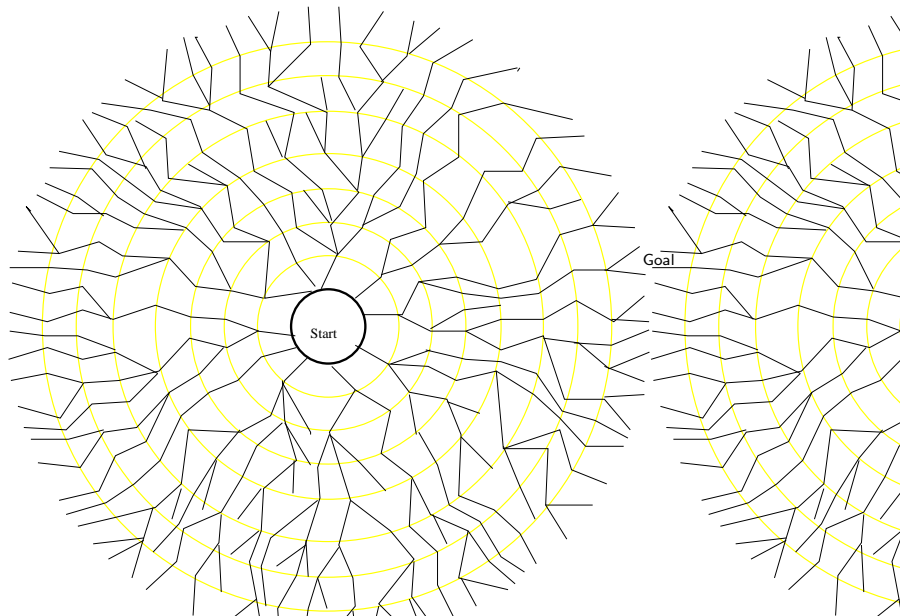
Selected path: SAE

# Depth First Search (DFS)

- ▶ Start by selecting start state.
- ▶ Select one of the paths resulting from 1st step.
- ▶ Select one of the paths resulting from 2nd step.
- ▶ Select one of the paths resulting from 3rd step. And so on.
- ▶ Always select longest (also called deepest) path.
- ▶ Follow one “branch” of search tree.



## Example: DFS in a Maze





# Depth First Search

In the **select** step always select a path that was **last added** to the frontier:

- 1: **Input:** a start state  $s_0$
- 2:       for each state  $s$  the successors of  $s$
- 3:       a test  $\text{goal}(s)$  checking whether  $s$  is a goal state
- 4:
- 5: Set  $\text{frontier} := \{s_0\}$
- 6: **while** frontier is not empty **do**
- 7:     select and remove from frontier the path  $s_0 \dots s_k$  that was
- 8:     **last** added to frontier
- 9:     **if**  $\text{goal}(s_k)$  **then**
- 10:         return  $s_0 \dots s_k$  (and terminate)
- 11:     **else** for every successor  $s$  of  $s_k$  add  $s_0 \dots s_k s$  to frontier
- 12:     **end if**
- 13: **end while**

# Depth-First Example

Reaching  $G$  from  $S$

Exp. paths	Frontier
	$\{S\}$
$S$ not goal	$\{SA, SB, SC\}$
$SA$ not goal	$\{SB, SC, SAD, SAE\}$
$SAD$ not goal	$\{SB, SC, SAE, SADH\}$
$SADH$ not goal	$\{SB, SC, SAE\}$
$SAE$ not goal	$\{SB, SC, SAEG\}$
$SAEG$ goal	$\{SB, SC\}$

Selected path:  $S$   
 Is the last state in  $S_{goal}$ ? No

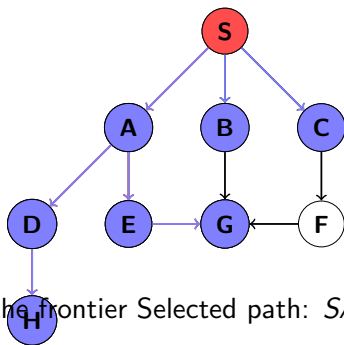
Expand  $S$ : add  $SA, SB$ , and  $SC$  to the frontier  
 Selected path:  $SA$   
 Is the last state in  $S_{goal}$ ? No

Expand  $SA$ : add  $SAD$  and  $SAE$  to the frontier  
 Selected path:  $SAD$   
 Is the last state in  $S_{goal}$ ? No

Expand  $SAD$ : add  $SADH$  to the frontier  
 Selected path:  $SADH$   
 Is the last state in  $S_{goal}$ ? No

Expand  $SADH$ : nothing to add  
 Selected path:  $SAE$   
 Is the last state in  $S_{goal}$ ? No

Expand  $SAE$ : add  $SAEG$  to the frontier  
 Selected path:  $SAEG$



# Properties of Search Strategies

- ▶ **Completeness**: does the algorithm always find a solution if one exists?

YES for BFS and NO for DFS (consider cycles in the search graph)

- ▶ **Optimality**: does the algorithm always find a shortest path (path of lowest cost)?

YES for BFS and NO for DFS

- ▶ **Time complexity**: what is the number of paths generated?  
No answer yet: let's discuss how to compute this.

- ▶ **Space complexity**: what is the maximum number of paths in memory (in frontier)?  
No answer yet: let's discuss how to compute this.

# Properties of Search Strategies

- ▶ Time and space complexity are measured in terms of:
  - ▶  $b$ —**maximum branching factor** of the search tree: this is the maximal number of successor states of a state in the state space.
  - ▶  $d$ —**depth of the optimal solution**: this is the length of the shortest path from the start state to a goal state.
  - ▶  $m$ —**maximum depth of the state space**: this is the length of the longest path in the state space (may be  $\infty$ )

## Example 1: 8-Puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

- ▶ the maximum branching factor is  $b = 4$ .
- ▶ for certain start states the length of the shortest solution path to a goal state is  $d = 31$ .
- ▶ the length of the longest path in the state space is  $m = \infty$ .

In fact, for the following two start states the minimal solution paths have length 31.

876

8 6

41

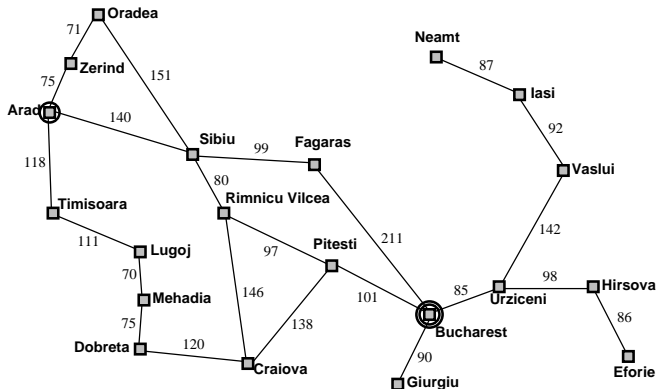
547

253

231

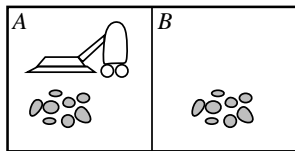
## Example 2: Holiday in Romania

Drive as quickly as possible from Arad to Bucharest.

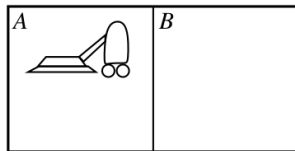


- ▶ the maximum branching factor is  $b = 4$ .
- ▶ for start state Arad and goal state Bucharest the length of the shortest path is  $d = 3$ .
- ▶ the length of the longest path in the state space is  $m = \infty$ .

## Example 3: Vacuum cleaner world



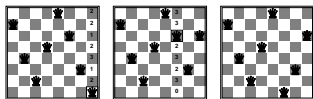
Possible Start



Possible Goal

- ▶ the maximum branching factor is  $b = 2$ .
- ▶ for some start states the length of the shortest path to a goal state is  $d = 3$ .
- ▶ the length of the longest path in the state space is  $m = \infty$ .

## Example 4: The 8 Queens Problem



- ▶ This is a problem from chess.
- ▶ Place 8 queens on chess board such that no queen attacks any other.

(A queen attacks any piece in the same row, column or diagonal.)

- ▶ the maximum branching factor is  $b = 8 \times 8$ .
- ▶ the length of all paths from the start state to a goal state is  $d = 8$ .
- ▶ the length of all paths from the start state is at most 8. Thus  $m = 8$ .



# Properties of Breadth First Search

- Facts.** (1) The number of paths of length  $d$  in a search tree with maximum branching factor  $b$  is at most  $b^d$ .
- (2) The number of paths of length at most  $d$  in a search tree with maximum branching factor  $b$  is at most  $1 + b + b^2 + \dots + b^d$ .

- ▶ Time complexity. If the shortest path to a goal state has length  $d$ , then in the worst case BFS will look at

$$1 + b + b^2 + \dots + b^d$$

paths before reaching a goal state (**exponential**).

- ▶ Space complexity. If the shortest path to a goal state has length  $d$ , then in the worst case the frontier can contain  $b^d$  paths and so the memory requirement is  $b^d$  (**exponential**).
- ▶ Advantage of BFS: it is complete (guaranteed to reach a solution if one exists).
- ▶ Advantage of BFS: it is optimal (always finds the shortest solution).

# Complexity

Depth	paths	Time
0	1	1 msec
1	11	.01 sec
2	111	.1 sec
4	11,111	11 secs
6	$10^6$	18 mins
8	$10^8$	31 hours
10	$10^{10}$	128 days
12	$10^{12}$	35 years

Combinatorial explosion!

Time for breadth first search, assuming a branching factor of 10 and approximately 1000 states are expanded per second.

## Properties of Depth First Search (DFS)

- ▶ Not complete: DFS is not guaranteed to find a solution.
- ▶ Not optimal: Solution found is not guaranteed to be the shortest path.
- ▶ Time complexity: If the length of the longest path from the start state is  $m$ , then in the worst case DFS will look at

$$1 + b + b^2 + \dots + b^m$$

paths before reaching a goal state (**exponential**). Note that  $m$  can be infinite, in which case in the worst case it does not terminate.

- ▶ Space complexity: If the length of the longest path starting at a goal state is  $m$ , then in the worst case the frontier can contain

$$b \times m$$

paths. If  $m$  is infinite, then the space requirement is infinite in the worst case. But if DFS finds a path to the goal state, then memory requirement is **much less** than for BFS.

# Basic Search Strategies

- ▶ BFS is complete but expensive.
- ▶ DFS is cheap in space complexity but incomplete
- ▶ Can't we do better than this?

# Next Lectures

Search strategies:

- ▶ *Blind* search strategies  
no additional information about states beyond that provided in the problem definition
  - ▶ Breadth First Search
  - ▶ Depth First Search
  - ▶ ...
- ▶ *Heuristic* search strategies  
Know whether one non-goal state is “more promising” than another
  - ▶ greedy search
  - ▶ A\* search