

## 1.1 LINEAR TOPOLOGIES

Fix a ground field  $k$ . From now on, a vector space will always mean a  $k$ -vector space.

**Definition 1.1.** A **linear topology** on a vector space  $V$  is a separated (Hausdorff) topology invariant under translations that admits an open local base around zero of vector subspaces. A vector space equipped with a linear topology will be referred as **linearly topologized**.

If we endow  $k$  with the discrete topology then  $V$  will become a topological vector space. From now on, endow  $k$  with the discrete topology. Linear topologies behave nicely under basic topological operations.

**Proposition 1.2.** *Let  $V$  be a linearly topologized vector space. Then*

- (a) *Any vector subspace of  $V$  is linearly topologized under its subspace topology.*
- (b) *If  $W \subseteq V$  is a closed vector subspace then  $V/W$  is linearly topologized under its quotient topology.*
- (c) *If  $\{V_\alpha\}_\alpha$  is a collection of linearly topologized vector spaces its product  $\prod_\alpha V_\alpha$  and its direct sum  $\bigoplus_\alpha V_\alpha$  is linearly topologized under its product topology.*
- (d) *If  $W$  is a vector subspace of  $V$ , then its topological closure  $\overline{W}$  also is a vector subspace of  $V$ .*

*Proof.* Since intersection of vector subspaces is a vector subspace, (a) follows intersecting the fundamental system of neighborhoods in  $V$  by the vector subspace. For (b), let  $\pi: V \rightarrow V/W$  be the quotient map. Since  $\pi$  is open and surjective the image of a local base is a local base; moreover, the image of a vector subspace under  $\pi$  is a vector subspace. In addition, since finally, for (c) let  $\{U_{\alpha,\beta}\}_\beta$  be a local base of zero in  $V_\alpha$  of vector subspaces, the products  $U_{\alpha_1,\beta_1} \times \dots \times U_{\alpha_n,\beta_n} \times \prod_\gamma V_\gamma$ , where  $\gamma$  ranges over  $\alpha \neq \alpha_1, \dots, \alpha_n$ , for any set  $\{(\alpha_1, \beta_1, \dots, \alpha_n, \beta_n)\}$  form a fundamental system of neighborhoods around zero in  $\prod_\alpha V_\alpha$  of open vector subspaces. Note that since  $\bigoplus_\alpha V_\alpha \subseteq \prod_\alpha V_\alpha$  is a vector subspace (c) follows from (a). Finally, for (d), suppose  $x, y \in \overline{W}$ , then, for every open vector subspace  $U$ ,  $(x + U) \cap W \neq \emptyset$  and  $(y + U) \cap W \neq \emptyset$ , therefore for every  $\alpha, \beta \in k$  we have  $(\alpha x + U) \cap W \neq \emptyset$  and  $(\beta y + U) \cap W \neq \emptyset$ . Hence,  $(\alpha x + \beta y + U) \cap W \neq \emptyset$  for every open vector subspace  $U$  and every pair  $\alpha, \beta \in k$ . It follows (d).  $\square$

*Remark 1.3.* Using an argument similar to the previous proposition one can check that in the category  $\text{LinTop}_k$  of linearly topologized vector spaces limits and colimits indexed by small categories exist.

Finite dimensional vector spaces are meaningless for linear topologies.

**Proposition 1.4.** *A finite dimensional linearly topologized vector space  $V$  is discrete.*

*Proof.* Let  $U$  be an open vector subspace and  $0 \neq x \in U$ , since  $V$  is separated and linearly topologized there exists an open vector subspace  $U_x$  such that  $x \notin U_x$  then  $\dim U_x \cap U < \dim U$ , since  $V$  is finite dimensional this process can be repeated only a finite amount of times; that is  $\{0\}$  is open. It follows that  $V$  is discrete.  $\square$

### Commensurability

We introduce a partial order in the set of vector subspaces of a vector space  $V$ .

**Definition 1.5.** For vector subspaces  $A$  and  $B$  of a vector space  $V$  we say that  $A \prec B$  if the quotient  $A/(A \cap B) \cong (A+B)/B$  is finite dimensional (or equivalently  $A \subseteq B+W$  for some finite dimensional  $W$ ). In addition, we say that  $A$  and  $B$  are **commensurable** (denoted  $A \sim B$ ) if  $A \prec B$  and  $B \prec A$ .

Observe that  $A \sim B$  if and only if  $(A+B)/(A \cap B) \cong A/(A \cap B) \oplus B/(A \cap B)$  is finite dimensional. We will constantly refer to a vector space  $V$  being finite dimensional as  $V \sim 0$ .

**Proposition 1.6.** Let  $V$  be a vector spaces and  $A, B$  and  $L$  be vector subspaces, then:

(a) If  $A \sim B$  and  $B \sim L$  then

$$(A+B+L)/(A \cap B \cap L) \sim 0$$

(b) If  $A \prec B$  and  $B \prec L$  then  $A \prec L$ . Moreover, commensurability is an equivalence relation.

*Proof.* Consider the following exact sequences

$$0 \rightarrow (A \cap B)/(A \cap B \cap L) \rightarrow B/(B \cap L),$$

and,

$$0 \rightarrow (A \cap B)/(A \cap B \cap L) \rightarrow (A+B)/(A \cap B \cap L) \rightarrow (A+B)/(A \cap B) \rightarrow 0$$

induced by inclusions. The first inclusion plus the fact that  $B \sim L$  imply that  $(A \cap B)/(A \cap B \cap L)$  is finite dimensional. Now, since  $A \sim B$  it follows that  $(A+B)/(A \cap B)$  is finite dimensional. Hence, the second exact sequence concludes that  $(A+B)/(A \cap B \cap L)$  is finite dimensional. A symmetrical argument shows that  $(B+L)/(A \cap B \cap L) \sim 0$ . These prove (a). For (b), the inclusion

$$0 \rightarrow (A+L)/(A \cap L) \rightarrow (A+B+L)/(A \cap B \cap L)$$

plus (a) implies transitivity.  $\square$

Now, we state and prove some useful properties on the relation  $\prec$ .

**Lemma 1.7.** (a) If  $A \subseteq B$  then  $A \prec B$ .

(b) If  $A \prec B$  then  $f(A) \prec f(B)$  for any  $k$ -linear map  $f$

(c) It holds that

$$\sum_{i=1}^m A_i \prec \bigcap_{j=1}^n B_j \iff A_i \prec B_j \text{ for all } i \text{ and } j.$$

*Proof.* First, (a) is immediate from the definition of  $\prec$ . Second, for (b) the map  $f$  factors as

$$A/(A \cap B) \rightarrow f(A)/(f(A) \cap f(B)) \rightarrow 0$$

Finally, for (c), if  $\sum_{i=1}^m A_i \prec \bigcap_{j=1}^n B_j$  holds then by (a) above, for all  $i$  and  $j$  we have

$$A_i \prec \sum_{i=1}^m A_i \prec \bigcap_{j=1}^n B_j \prec B_j$$

On the other hand, if  $A_i \prec B_j$  for all  $i$  and  $j$  then there exists finite dimensional subspaces  $W_{ij}$  such that  $A_i \subseteq B_j + W_{ij}$  for all  $i$  and  $j$ . Therefore,

$$\sum_{i=1}^m A_i \subseteq \bigcap_{j=1}^n B_j + \sum_{i=1}^m \sum_{j=1}^n W_{ij}.$$

□

Next, we consider another useful lemma.

**Lemma 1.8.** *Let  $A, B, A', B'$  be vector subspaces of a vector space  $V$  and suppose that  $A \sim A'$  and  $B \sim B'$ . Then  $A + B \sim A' + B'$  and  $A \cap B \sim A' \cap B'$ .*

*Proof.* The following exact sequence

$$\begin{aligned} 0 \rightarrow (A + A' + B + B')/(A \cap A') \cap (B \cap B') \rightarrow \\ (A + A')/(A \cap A') \oplus (B + B')/(B \cap B') \rightarrow \\ (A + A' + B + B')/(A \cap A' + (B \cap B')) \rightarrow 0 \end{aligned}$$

plus  $A \sim A'$  and  $B \sim B'$  imply that both spaces

$$\begin{aligned} (A + A' + B + B')/(A \cap A') \cap (B \cap B') \quad \text{and,} \\ (A + A' + B + B')/((A \cap A') + (B \cap B')) \end{aligned}$$

are finite dimensional. Since,  $(A + A' + B + B')/(A \cap A') \cap (B \cap B')$  is a quotient of the second space and  $((A \cap A') + (B \cap B'))/((A \cap A') \cap (B \cap B'))$  is a subspace of the first space we can conclude  $A + B \sim A' + B'$  and  $A \cap B \sim A' \cap B'$ . □

If we consider the set of equivalence classes  $\mathcal{L}(V)$  of  $\sim$  on a vector space  $V$  then  $\prec$  is a partial order on it and by Lemma 1.8 above  $\mathcal{L}(V)$  inherits operations  $\cap$  and  $+$ .

*Linear compactness*

**Definition 1.9.** Let  $V$  be a linearly topologized vector space. A closed subset  $L \subseteq V$  is **linearly compact** (respectively **linearly cocompact**) if for every open vector subspace  $U$  we have  $L \prec U$  (respectively  $V/(L + U) \sim 0$ ).

Linear compactness behaves just as compactness if one uses the correct words.

**Proposition 1.10.** *Let  $V$  be a linearly compact vector space, then*

- (a) *If  $A \subseteq V$  is a vector subspace such that for every open vector subspace  $U$  of  $V$  it holds  $A \prec U$  then  $\overline{A}$  is linearly compact.*
- (b) *If  $f: V \rightarrow W$  is a continuous linear homomorphism then  $\overline{f(V)}$  is linearly compact.*

(c) If  $V$  is discrete then  $V \sim 0$ .

(d) Every closed vector subspace of  $V$  is linearly compact.

(e) (Tychonov) If  $\{V_\alpha\}_\alpha$  is a collection of linearly compact vector spaces then its product  $\prod_\alpha V_\alpha$  and its direct sum  $\bigoplus_\alpha V_\alpha$  are linearly compact.

*Proof.* Let  $U$  be any open vector subspace of  $V$ , then  $A + U$  is closed, that is  $A + U = \overline{A + U} \supseteq \overline{A} + U \supseteq A + U$ , thus,  $\overline{A} + U = A + U$ . Since,  $(A + U)/U \sim 0$  then  $(\overline{A} + U)/U \sim 0$ . We get (a).

For (b), since  $f$  is a continuous linear map  $V \prec f^{-1}(U)$  for all  $U$  open vector subspace of  $W$ , hence by Lemma 1.7  $f(V) \prec U$  for all open vector subspaces  $U$  of  $W$ . By the previous observation and (a) we get (b). If  $V$  is discrete, then  $\{0\}$  is an open vector subspace of  $E$ , thus  $V \prec U$ , we get (c).

For (d), if  $A \subseteq V$  is a closed vector subspace, and  $V \prec U$  for all open vector subspaces  $U$  by Lemma 1.7 we get  $A \prec U$ .

Finally, for (d), it is enough proving for open vector subspaces  $U = \prod_\beta U_\beta \times \prod_\gamma V_\gamma$  where  $\beta$  ranges over a finite set,  $\gamma$  ranges over  $\alpha \neq \beta$  and  $U_\beta$  is an open vector subspace of  $V_\beta$ . Then, the quotient

$$\prod_\alpha V_\alpha / U \cong \prod_\beta V_\beta / U_\beta$$

where  $\cong$  is a topological and algebraic isomorphism. Since  $V_\alpha$  is linearly compact for all  $\alpha$  and  $\beta$  ranges over a finite set we conclude that  $\prod_\alpha V_\alpha / U$  is finite dimensional; therefore,  $\prod_\alpha V_\alpha$  is linearly compact. The proof is analogous for  $\bigoplus_\alpha V_\alpha$ .  $\square$

### Completion

**Definition 1.11.** If  $V$  be a linearly topologized vector space, recall that  $V$  is said to be **complete** if

$$V \cong \varprojlim_{U \in \text{Op}(V)} V/U$$

where  $\text{Op}(V)$  runs through all open vector subspaces of  $V$ . In particular, this implies that for every base  $\mathcal{U}$  of zero made from open vector subspaces of  $V$  we have

$$V \cong \varprojlim_{U \in \mathcal{U}} V/U$$

## 1.2 TATE SPACES

### Lattices

**Definition 1.12.** If  $V$  is a linearly topologized vector space we say that a **c-lattice** is an open linearly compact subspace of  $V$ , *dually* a discrete linearly cocompact subspace is a **d-lattice**.

First, we prove that existence of a c-lattice in a linearly topologized vector space is equivalent to existence of a d-lattice.

**Proposition 1.13.** A linearly topologized vector space  $V$  has a c-lattice if and only if it has a d-lattice.

*Proof.* Suppose  $L$  is a c-lattice in  $V$ , choose any direct complement  $D$  of  $L$ , that is,  $V = L \oplus D$ . Since  $L$  is open, then  $D$  is discrete as  $D \cap L = 0$ , thus  $0$  is open in  $D$ . Moreover,  $D$  is closed as it is the fiber of  $0$  under the projection  $V \rightarrow L$ . Finally, we check that  $D$  is linearly cocompact: let  $U$  be any open vector subspace of  $V$ , the composition  $L \hookrightarrow V \twoheadrightarrow V/(D + U)$  induces a surjection

$$L/(L \cap U) \twoheadrightarrow V/(D + U)$$

thus, since  $\dim L/(L \cap U) < \infty$  we conclude  $\dim V/(D + U) < \infty$ .

Now, suppose  $D$  is a d-lattice, again, choose  $L$  a direct complement for  $D$ . Analogous as the proof for  $D$  being discrete and closed in the previous paragraph it follows the one for  $L$  being open. We just check that  $L$  is linearly compact. Let  $U$  be any open vector subspace, the composition  $V \twoheadrightarrow L \twoheadrightarrow L/(L \cap U)$  induces a surjection

$$V/(D + (L \cap U)) \twoheadrightarrow L/(L \cap U)$$

since both  $L$  and  $U$  are open, also  $L \cap U$ , thus  $\dim V/(D + (L \cap U)) < \infty$ . It follows,  $\dim L/(L \cap U) < \infty$  and  $L$  linearly compact.  $\square$

*Remark 1.14.* Note that in the proof of [Proposition 1.13](#) it is not strictly necessary to choose a direct complement, one can choose a direct complement up to finite dimension; that is,  $L + D \sim V$  and  $L \cap D \sim 0$ .

We now give a characterization of lattices in terms of  $\prec$ .

**Proposition 1.15.** *A linearly compact subspace is a c-lattice if and only if it is maximal among the set of linearly compact sets ordered by  $\prec$ .*

*Proof.* **this one needs some thinking**  $\square$

**Proposition 1.16.** *If  $V$  admits a c-lattice, then the set of c-lattices constitutes a base of zero of mutually commensurable vector subspaces.*

*Proof.* By the previous proposition all c-lattices must be commensurable. Moreover, if  $U$  is any open vector subspace and  $L$  is a c-lattice we claim that  $L \cap U$  is a c-lattice. Indeed, let  $U'$  be any open vector subspace, then  $L \cap U \prec L \prec U'$ . In addition, since  $L$  and  $U$  are open,  $L \cap U$  is open. Thus  $L \cap U \subseteq U$  is a c-lattice, this proves the statement.  $\square$

We're now ready to introduce the definition of a Tate space.

**Definition 1.17.** A **Tate space**  $V$  is a complete linearly topologized vector space that admits a c-lattice. By the previous proposition and the observation in [Definition 1.11](#) we get

$$V \cong \varprojlim_{L \in \mathcal{L}(V)} V/L$$

where  $\mathcal{L}(V)$  runs through all c-lattices of  $V$ .

**Example 1.18.** We give some examples of Tate spaces.

- (a) Any vector space endowed with the discrete topology is a Tate space.
- (b) If  $\{V_\alpha\}_\alpha$  is any pro-system of finite dimensional vector spaces (thus, each one endowed with the discrete topology by [Proposition 1.4](#)), let  $V$  be their inverse limit endowed with the inverse limit topology. We claim that this is a linearly compact space. Indeed, if we realize  $V$

as a subspace of the product  $\prod_{\alpha} V_{\alpha}$ , then basic open vector subspaces are just restriction of finite coordinates. Hence, the quotient of  $V$  by any basic open vector subspace is a finite product of  $V_{\alpha}$ , since all  $V_{\alpha}$  are finite dimensional we conclude that  $V$  is linearly compact and therefore a Tate space.

- (c) Let  $V = k((t))$  with the topology generated by letting  $t^n k[[t]]$  for  $n \in \mathbb{Z}$  be a system of neighborhoods of zero. Then,  $V = k[[t]] \oplus tk[t^{-1}]$  where  $k[[t]]$  is the completion of  $k[x]$  in the  $\langle x \rangle$ -adic topology, hence by the previous item linearly compact and, since it is open a c-lattice. By [Proposition 1.13](#)  $tk[t^{-1}]$  is a d-lattice. Therefore,  $V$  is a Tate space that is not linearly compact nor discrete.

### Duality

If  $V$  is a Tate space we consider the following topology on the dual space  $V^*$  (where by dual space we mean topological dual). Open vector subspaces are given by

$$L^{\perp} = \{\phi \in E^* : \phi|_L = 0\}$$

where  $L$  is a linearly compact subspace. Equivalently, one can define open vector subspaces in  $E^*$  to be  $D^*$  where  $D$  a direct complement of a linearly compact vector subspace  $L$  in  $E$  (in this case  $D^* \hookrightarrow E^*$  using the decomposition  $L \oplus D$ ).

First, we prove that the word *dually* in [Definition 1.9](#) actually makes sense.

**Lemma 1.19.** *Duality interchanges discrete and linearly compact spaces.*

*Proof.* If  $L$  is a linearly compact vector space, then  $L^{\perp}$  is open in  $L^*$ , thus  $L^*$  is discrete. If  $D$  is discrete, then  $D \cong k^{\oplus \Lambda}$  for some  $\Lambda$  and endowing  $k^{\oplus \Lambda}$  with the discrete topology the previous isomorphism is a homeomorphism too. Moreover, since  $D$  is discrete every linear functional is continuous. Using [Remark 1.3](#) and the well known identity (where maps are isomorphisms in  $\text{LinTop}_k$ )

$$(k^{\oplus \Lambda})^* = \text{Hom}_k(k^{\oplus \Lambda}, k) \cong \prod_{\Lambda} \text{Hom}_k(k, k) \cong \prod_{\Lambda} k$$

we get the desired result by Tychonov's theorem in [Proposition 1.10](#).  $\square$

*Remark 1.20.* Note that in the proof of the previous lemma the dual space of a discrete space is complete, as it is an arbitrary product of  $k$ .

**Proposition 1.21.** *If  $V$  is a Tate space then  $V^*$  with the topology previously introduced is also a Tate space.*

*Proof.* If we decompose  $V = L \oplus D$  where  $L$  is a c-lattice and  $D$  a d-lattice then  $V^* \cong L^* \oplus D^*$  and by [Lemma 1.19](#)  $L^*$  is discrete and  $D^*$  is linearly compact. Observe that  $D^*$  is open in  $V^*$  since it is the kernel of the projection  $V^* \rightarrow V^*/L^{\perp}$  and  $V^*/L^{\perp}$  is discrete by the description of our topology in the dual  $V^*$ . Since  $L^*$  is discrete, then it is complete. Moreover, by the previous remark,  $D^*$  is complete, hence  $V^*$  is complete too.  $\square$

We're ready to prove the analog of Pontryagin's duality for locally compact groups in our context.

**Theorem 1.22.** *For a Tate space  $V$  the canonical map  $V \rightarrow V^{**}$  is an isomorphism.*

*Proof.* It is enough to prove it for complete linearly compact spaces and discrete spaces, as every Tate space can be decomposed into a direct sum of a c-lattice and a d-lattice. First, we do it for discrete spaces. Suppose  $D$  is a discrete vector space. Then, the canonical map

$$\text{ev}: D \rightarrow D^{**}$$

is open and continuous because  $D$  and  $D^{**}$  are both discrete by Lemma 1.19. Moreover, it is injective, because for every nonzero  $v \in D$  there exists a linear continuous functional  $\phi \in D^*$  such that  $\phi(v) \neq 0$ . Finally, we prove surjectivity. Let  $\psi \in D^{**}$ . Since  $\ker \psi$  is open it contains a basic open vector subspace  $A^\perp$  such that  $A \subseteq D$  is a linearly compact subspace. Therefore, since  $D^*$  is linearly compact then  $D^* \sim A^\perp$ , that is, the quotient  $D^*/A^\perp$  is finite dimensional. Recall that the inclusion  $\iota: A \rightarrow D$  induces an isomorphism  $D^*/A^\perp \rightarrow A^*$  which is a homeomorphism since both spaces are discrete. We can factor  $\psi$  such as the following diagram is commutative

$$\begin{array}{ccc} D^* & \xrightarrow{\psi} & k \\ \downarrow & \nearrow \bar{\psi} & \\ D^*/A^\perp & & \\ \cong \downarrow & \nearrow \bar{\psi} & \\ A^* & & \end{array}$$

However,  $A^*$  is finite dimensional, therefore, there exists some  $a \in A$  such that  $\bar{\psi} = \text{ev}_a$  as maps from  $A^* \rightarrow k$ . Moreover, since  $A^\perp \subseteq \ker \psi$  we conclude that  $\psi = \text{ev}_a$  as maps  $D^* \rightarrow k$ . This implies surjectivity. Thus  $D \rightarrow D^{**}$  is an isomorphism of topological vector spaces.

Now, suppose  $L$  is a complete linearly compact space. We check first that the map

$$\text{ev}: L \rightarrow L^{**}$$

is continuous. Let  $A^\perp$  be an open vector subspace in  $L^{**}$  where  $A \subseteq L^*$  is a linearly compact subspace. By Lemma 1.19  $L^*$  is discrete, hence  $A$  is finite dimensional. Suppose  $A = \text{span}(\phi_1, \dots, \phi_n)$  for some  $\phi_1, \dots, \phi_n \in A$ . Then,  $\text{ev}^{-1}(A^\perp) = \ker \phi_1 \cap \dots \cap \ker \phi_n$  which is open in  $L$ . Now, we check that  $\text{ev}$  is injective. Let  $v \in L$  be a nonzero vector. Choose a decomposition of  $L = U \oplus F$  where  $U$  is open and  $F$  is the span of  $v$  (this can be done because  $L$  is linearly compact). Define  $\phi$  a linear functional to be zero in  $U$  and  $\phi(v) \neq 0$ . Since  $U$  is open and  $F$  discrete then  $\phi$  is continuous. This implies injectivity of  $\text{ev}$ . Now we check that  $\text{ev}$  is surjective. Since  $L$  is complete

$$L \cong \varprojlim_{U \in \mathcal{U}} L/U$$

where  $\mathcal{U}$  runs over open vector subspaces of  $U$ . Let  $\psi: L^* \rightarrow k$  be a continuous linear functional. By pulling back  $\pi_U: L \rightarrow L/U$  we get an injection  $\pi_U^*: (L/U)^* \hookrightarrow L^*$  for every  $U \in \mathcal{U}$ . Since  $L$  is linearly compact, then  $L/U$  is finite dimensional, thus, there exists some  $v_U \in L$  such that  $\psi \circ \pi_U^* = \text{ev}_{v_U}$  where  $\text{ev}: L/U \rightarrow (L/U)^{**}$ . In particular, observe that if  $U, U' \in \mathcal{U}$  and

$U' \subseteq U$  we get an induced injection  $(L/U)^* \hookrightarrow (L/U')^*$  such that the following diagram

$$\begin{array}{ccc}
 & L^* & \xrightarrow{\psi} k \\
 & \uparrow & \nearrow \text{ev}_{v_{U'}} \\
 & (L/U')^* & \\
 & \uparrow & \nearrow \text{ev}_{v_U} \\
 & (L/U)^* &
 \end{array}$$

commutes. Note that this implies that  $(v_U)_{U \in \mathcal{U}}$  is a Cauchy net and by completeness of  $V$  it follows that it is convergent. Therefore, there exists some  $v \in L$  limit of  $(v_U)_{U \in \mathcal{U}}$ . We claim that  $\psi = \text{ev}_v$ . Let  $\phi \in L^*$ . Then,  $\ker \phi$  is open and since  $L$  is linearly compact then  $\ker \phi \sim L$ . Hence the quotient  $L / \ker \phi$  is discrete and the factor  $\bar{\phi}$  such that the following diagram

$$\begin{array}{ccc}
 L & \xrightarrow{\phi} & k \\
 \pi_{\ker \phi} \downarrow & \nearrow \bar{\phi} & \\
 L / \ker \phi & & 
 \end{array}$$

commutes is continuous. In other words, the image of  $\bar{\phi}$  under the inclusion  $(L / \ker \phi)^* \hookrightarrow L^*$  is  $\phi$ . Thus,  $\psi(\phi) = \text{ev}_{v_{\ker \phi}}(\bar{\phi})$  and by convergence  $\psi(\phi) = \text{ev}_v(\phi)$ . This implies surjectivity of  $\text{ev}: L \rightarrow L^{**}$ . To conclude, we prove that  $\text{ev}$  is open.  $\square$

### Morphisms

A **morphism** of Tate spaces is a continuous linear homomorphism between Tate spaces.

**Definition 1.23.** A morphism  $f: A \rightarrow B$  of Tate spaces is said to be **linearly compact** if the closure of  $fA$  is linearly compact in  $B$ . Dually, it is **discrete** if  $\ker f$  is open in  $A$ .

**Proposition 1.24.** A morphism  $f: A \rightarrow B$  of Tate spaces is linearly compact if and only if  $f^*$  is discrete.

*Proof.* If its linearly compact then  $A$   $\square$



## TRACE AND RESIDUE

## 2.1 FINITEPOTENT MAPS AND THEIR TRACE

Let  $k$  be a fixed ground field and  $V$  a vector space over  $k$ . In this section we will expand the notion of trace of a linear endomorphism to include certain operators even when  $V$  is infinite dimensional.

*Finitepotent maps*

**Definition 2.1.** We will say a linear map  $f: V \rightarrow V$  is **finitepotent** if

$$\dim f^n(V) < \infty$$

for sufficiently large  $n$ .

We characterize finitepotent maps as follows.

**Lemma 2.2.** *A linear map  $f: V \rightarrow V$  is finitepotent if and only if there exists a subspace  $W \subseteq V$  such that*

- (i)  $\dim f(W) < \infty$ ,
- (ii)  $f(W) \subseteq W$ ,
- (iii) *the induced map  $\bar{f}: V/W \rightarrow V/W$  is nilpotent.*

*Proof.* If  $f$  is finitepotent choose  $W = f^n(V)$  for sufficiently large  $n$ . The first condition follows from definition. Also,  $f(W) = f^{n+1}(V) \subseteq f^n(V) = W$ . In addition,  $\bar{f}^n = 0$ . On the other hand, if such  $W$  exists, note that condition (ii) assures that  $\bar{f}$  is well defined. Moreover, as  $\bar{f}$  is nilpotent,  $f^n V \subseteq W$  for sufficiently large  $n$  and by condition (i) above  $\dim f^n(V) < \infty$ .  $\square$

*Trace*

If  $f$  is a finitepotent map and  $W$  is as above,  $\text{tr}_V(f) \in k$  may be defined as  $\text{tr}_W(f)$  where  $\text{tr}_W(f)$  is the ordinary trace of  $f$  viewed as a endomorphism of  $W$ . First, we will check that this definition does not depend on the choice of  $W$ . Suppose  $W_1, W_2 \subseteq V$  suffice the properties on [Lemma 2.2](#), then  $W = W_1 + W_2$  suffices them too. Hence, as the induced maps on  $W/W_1$  and  $W/W_2$  are nilpotent, they have have zero ordinary trace and since

$$\begin{aligned}\text{tr}_W(f) &= \text{tr}_{W_1}(f) + \text{tr}_{W/W_1}(f) \\ \text{tr}_W(f) &= \text{tr}_{W_2}(f) + \text{tr}_{W/W_2}(f),\end{aligned}$$

we obtain  $\text{tr}_{W_1}(f) = \text{tr}_{W_2}(f)$ , our desired result.

This definition extends some of the properties of the ordinary trace.

**Lemma 2.3.** (a) *If  $\dim V < \infty$ , any endomorphism  $f$  is finitepotent and  $\text{tr}_V(f)$  coincides with the ordinary trace.*

(b) *If  $f$  is nilpotent, then it is finitepotent and  $\text{tr}_V(f) = 0$ .*

(c) If  $f$  is finitepotent and  $U$  is a subspace such that  $fU \subseteq U$  then the induced maps on  $U$  and  $V/U$  are finitepotent and satisfy

$$\mathrm{tr}_V(f) = \mathrm{tr}_U(f) + \mathrm{tr}_{V/U}(f)$$

*Proof.* Both (a) and (b) are immediate. For (c) if  $W$  suffices the properties in [Lemma 2.2](#) for  $f$  then  $W \cap U$  and  $(W + U)/U$  suffice them for the induced maps, that is, they're finitepotent. Since  $W/(W \cap U) \cong W + U/U$ , the diagram

$$\begin{array}{ccc} W/(W \cap U) & \xrightarrow{\cong} & (W + U)/U \\ \downarrow f & & \downarrow f \\ W/(W \cap U) & \xrightarrow{\cong} & (W + U)/U \end{array}$$

commutes and trace is invariant under conjugation, we get  $\mathrm{tr}_{W/(W \cap U)}(f) = \mathrm{tr}_{(W+U)/U}(f)$ . Hence

$$\mathrm{tr}_V(f) = \mathrm{tr}_W(f) = \mathrm{tr}_{W \cap U}(f) + \mathrm{tr}_{(W+U)/U}(f) = \mathrm{tr}_U(f) + \mathrm{tr}_{V/U}(f) \quad \square$$

**Definition 2.4.** A subspace  $F$  of  $\mathrm{End}_k(V)$  is said to be a **finitepotent subspace** if there exists an  $n$  such that for any family of  $n$  elements  $f_1, \dots, f_n \in F$ , the space  $f_1 f_2 \cdots f_n V$  is finite dimensional.

The following is the natural linearity property for  $\mathrm{tr}$ .

**Proposition 2.5.** If  $F$  is a finitepotent subspace then  $\mathrm{tr}_V: F \rightarrow k$  is  $k$ -linear

*Proof.* It is enough to prove it in the case that  $F$  is finite dimensional. Let  $W = F^n V$  for  $n$  as in the definition of finitepotent subspace, thus  $\dim W < \infty$ . Hence, for all  $f \in F$ ,  $W$  suffices the conditions in [Lemma 2.2](#). It follows that  $\mathrm{tr}_V(f) = \mathrm{tr}_W(f)$  which is linear.  $\square$

add note in "general" linearity of trace when .bib is ready

**Proposition 2.6.** If  $f, g \in \mathrm{End}_k(V)$  and  $fg$  is finitepotent then  $gf$  is also finitepotent and

$$\mathrm{tr}_V(fg) = \mathrm{tr}_V(gf).$$

*Proof.* Since  $fg$  is finitepotent let  $W = (fg)^n V$  for sufficiently large  $n$  has finite dimension. On the other hand,  $(gf)^{n+1} V = g(fg)^n f(V) \subseteq g(W)$ , therefore,  $gf$  is also finitepotent. Let  $W' = (gf)^n V$ , then  $g(W') \subseteq W$  and  $f(W) \subseteq W'$ . Thus,

$$\dim W' \leq \dim g(W) \leq \dim W \quad \text{and} \quad \dim W \leq \dim f(W) \leq \dim W',$$

which implies that  $W \cong W'$  and that  $g$  and  $f$  induce mutually inverse isomorphism between  $W$  and  $W'$ . Moreover, the diagram

$$\begin{array}{ccc} W & \xrightarrow{fg} & W \\ \downarrow g & & \downarrow g \\ W' & \xrightarrow{gf} & W' \end{array}$$

commutes. We conclude  $\mathrm{tr}_W(fg) = \mathrm{tr}_{W'}(gf)$  and it follows  $\mathrm{tr}_V(fg) = \mathrm{tr}_V(gf)$ .  $\square$