

1.1 LINEAR TOPOLOGIES

Fix a ground field k . From now on, a vector space will always mean a k -vector space.

Definition 1.1. A **linear topology** on a vector space E is a separated (Hausdorff) topology invariant under translations that admits an open local base around zero of vector subspaces. A vector space equipped with a linear topology will be referred as **linearly topologized**.

If we endow k with the discrete topology then E will become a topological vector space. From now on, endow k to have a discrete topology.

Linear topologies behave nicely under basic topological operations.

Proposition 1.2. *Let E be a linearly topologized vector space. Then*

- (a) *Any vector subspace of E is linearly topologized under its subspace topology.*
- (b) *If $F \subseteq E$ is a closed vector subspace then E/F is linearly topologized under its quotient topology.*
- (c) *If $\{E_\alpha\}_\alpha$ is a collection of linearly topologized vector spaces its product $\prod_\alpha E_\alpha$ and its direct sum $\bigoplus_\alpha E_\alpha$ is linearly topologized under its product topology.*

Proof. Since intersection of vector subspaces is a vector subspace, (a) follows intersecting the fundamental system of neighborhoods in E by the vector subspace. For (b), let $\pi: E \rightarrow E/F$ be the quotient map. Since π is open and surjective the image of a local base is a local base; moreover, the image of a vector subspace under π is a vector subspace, then (b) follows. Finally, for (c) let $\{U_{\alpha,\beta}\}_\beta$ be a local base of zero in E_α of vector subspaces, the products $U_{\alpha_1,\beta_1} \times \dots \times U_{\alpha_n,\beta_n} \times \prod_\gamma E_\gamma$, where γ ranges over $\alpha \neq \alpha_1, \dots, \alpha_n$, for any set $\{(\alpha_1, \beta_1, \dots, \alpha_n, \beta_n)\}$ form a fundamental system of neighborhoods around zero in $\prod_\alpha E_\alpha$ of open vector subspaces. Note that since $\bigoplus_\alpha E_\alpha \subseteq \prod_\alpha E_\alpha$ is a vector subspace (c) follows from (a). \square

Finite dimensional vector spaces are meaningless for linear topologies.

Proposition 1.3. *A finite dimensional linearly topologized vector space E is discrete.*

Proof. Let U be an open vector subspace and $0 \neq x \in U$, since E is separated and linearly topologized there exists an open vector subspace U_x such that $x \notin U_x$ then $\dim U_x \cap U < \dim U$, since E is finite dimensional this process can be repeated only a finite amount of times; that is $\{0\}$ is open. It follows that E is discrete. \square

Linear compactness

Definition 1.4. Let E be a linearly topologized vector space. A closed subset $C \subseteq E$ is **linearly compact** (respectively **linearly cocompact**) if for every open vector subspace U we have $\dim C/(C \cap U) < \infty$ (respectively $\dim E/(C + U) < \infty$).

Linear compactness behaves just as compactness if one uses the correct words.

Proposition 1.5. *Let E be a linearly compact vector space and F a linearly topologized vector space. Then*

- (a) *If $\varphi: E \rightarrow F$ is a continuous linear homomorphism then $\varphi(E)$ is linearly compact.*
- (b) *If E is discrete then E must be finite dimensional.*
- (c) *Every closed vector subspace of E is linearly compact.*
- (d) (Tychonov) *If $\{E_\alpha\}_\alpha$ is a collection of linearly compact vector spaces then its product $\prod_\alpha E_\alpha$ and its direct sum $\bigoplus_\alpha E_\alpha$ are linearly compact.*

Proof. Let $U \subseteq F$ be an open vector subspace, then since φ is linear a continuous $\varphi^{-1}(U)$ is an open vector subspace of E . Consider the surjective induced map

$$E/\varphi^{-1}(U) \twoheadrightarrow \varphi(E)/\varphi(E) \cap U$$

as E is linearly compact it follows that $\dim \varphi(E)/\varphi(E) \cap U < \infty$. We get (a). If E is discrete, then $\{0\}$ is an open vector subspace of E , (b) follows. For (c), let $G \subseteq E$ be a closed vector subspace and take any open vector subspace U of E , then the inclusion $G \hookrightarrow E$ induces

$$G/G \cap U \hookrightarrow E/U$$

where the latter is finite dimensional (E is linearly compact). Finally, for (d), it is enough proving for open vector subspaces $U = \prod_\beta U_\beta \times \prod_\gamma E_\gamma$ where β ranges over a finite set, γ ranges over $\alpha \neq \beta$ and U_β is an open vector subspace of E_β . Then, the quotient

$$\prod_\alpha E_\alpha/U \cong \prod_\beta E_\beta/U_\beta$$

where \cong is a topological and algebraic isomorphism. Since E_α is linearly compact for all α and β ranges over a finite set we conclude that $\prod_\alpha E_\alpha/U$ is finite dimensional; therefore, $\prod_\alpha E_\alpha$ is linearly compact. The proof is analogous for $\bigoplus_\alpha E_\alpha$. \square

Completeness

If E is linearly topologized it admits a fundamental system of neighborhoods consisting of open vector subspaces

$$E \supseteq U_0 \supseteq U_1 \supseteq \dots \supseteq U_\alpha \supseteq \dots$$

Definition 1.6. In the previous context, we say that E is **complete** if

$$E \cong \hat{E} := \varprojlim_\alpha E/U_\alpha$$

where \cong is an isomorphism of topological vector spaces.

1.2 TATE SPACES

Definition 1.7. Let E be a linearly topologized vector space. An open linearly compact subspace of E is called a **c-lattice** if it is open; dually, a **d-lattice** is a discrete linearly cocompact subspace of E . We say that E is a **Tate space** or **Tate vector space** if it contains a c-lattice.

Proposition 1.8. *A linearly topologized vector space E has a c-lattice if and only if it has a d-lattice.*

Proof. Suppose C is a c-lattice in E , choose any direct complement D of C , that is, $E = C \oplus D$. Since C is open, then D is discrete as $D \cap C = 0$, thus 0 is open in D . Moreover, D is closed as it is the fiber of 0 under the projection $E \rightarrow C$. Finally, we check that D is linearly cocompact: let U be any open vector subspace of E , the composition $C \hookrightarrow E \twoheadrightarrow E/(D+U)$ induces a surjection

$$C/(C \cap U) \twoheadrightarrow E/(D+U)$$

thus, since $\dim C/(C \cap U) < \infty$ we conclude $\dim E/(D+U) < \infty$.

Now, suppose D is a d-lattice, again, choose C a direct complement for D . Analogous as the proof for D being discrete and closed in the previous paragraph it follows the one for C being open and closed. We just check that C is linearly compact. Let U be any open vector subspace, the composition $E \twoheadrightarrow C \twoheadrightarrow C/(C \cap U)$ induces a surjection

$$E/(D+(C \cap U)) \twoheadrightarrow C/(C \cap U)$$

since both C and U are open, also $C \cap U$, thus $\dim E/(D+(C \cap U)) < \infty$. It follows, $\dim C/(C \cap U) < \infty$ and C linearly compact. \square

Remark 1.9. Note that in the proof of [Proposition 1.8](#) it is not strictly necessary to choose a direct complement, one can choose a direct complement up to finite dimension; that is, $C + D = E$ and $\dim C + D < \infty$. We used a direct complement to facilitate the proof.

Duality

If E is a Tate space we consider the following topology on the dual space E^* (where by dual space we mean topological dual). Open vector subspaces are given by

$$N(C) = \{\phi \in E^* : \phi|_C = 0\}$$

where C is linearly compact subspace. Equivalently, one can define open vector subspaces in E^* to be D^* where D a direct complement of a linearly compact vector subspace C in E (in this case $D^* \hookrightarrow E^*$ using the decomposition $C \oplus D$).

First, we prove that the word *dually* in [Definition 1.4](#) actually makes sense.

Proposition 1.10. *Duality interchanges discrete and linearly compact spaces.*

Proof.

TRACE AND RESIDUE

2.1 FINITEPOTENT MAPS

Let k be a fixed ground field and V a vector space over k . In this section we will expand the notion of trace of a linear endomorphism to include certain operators even when V is infinite dimensional.

Definition 2.1. We will say a linear map $\theta: V \rightarrow V$ is *finitepotent* if

$$\dim \theta^n V < \infty$$

for sufficiently large n .

We characterize finitepotent maps as follows

Proposition 2.2. *A linear map $\theta: V \rightarrow V$ is finitepotent if and only if there exists a subspace $W \subseteq V$ such that*

- (i) $\dim \theta W < \infty$,
- (ii) $\theta W \subseteq W$,
- (iii) *the induced map $\bar{\theta}: V/W \rightarrow V/W$ is nilpotent.*

Proof. If θ is finitepotent choose $W = \theta^n V$ for sufficiently large n . The first condition follows from definition. \square