



# Battery Health Management System on BeagleBone Black

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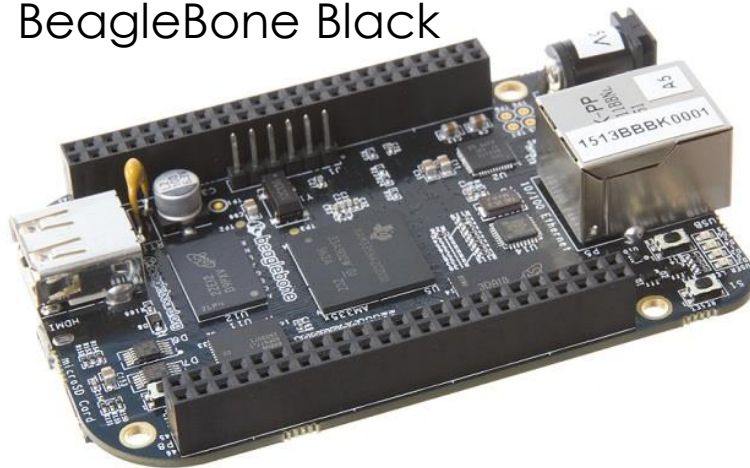
May 5, 2016

# Battery Health Management (BHM)

- ▶ The BHM program uses an Unscented Kalman Filter (UKF) to estimate remaining battery charge over time. Battery current and voltage are inputs to the UKF.
- ▶ The BHM program is compiled from C source code and executed on the Linux based BeagleBone Black (BBB) computer.
- ▶ A Linduino (Arduino-compatible) drives the DC1894 analog-to-digital battery stack monitor and sends current and voltage to the BeagleBone Black.
- ▶ The DC1894 reads voltages from a battery of interest.
- ▶ A current sensor will be used to measure battery current.

# Battery Health Management (BHM)

BeagleBone Black



String over USB serial

Linduino



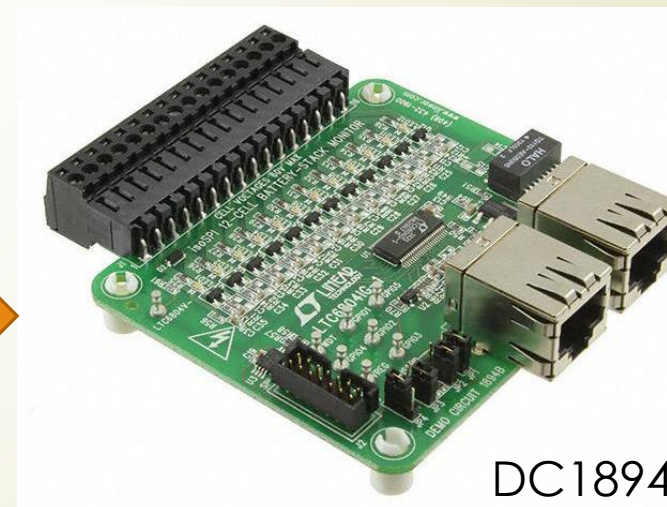
Battery  
Voltage



Battery  
Current



Battery



DC1894

# Battery Model

("Battery Charge Depletion Prediction on an Electric Aircraft")

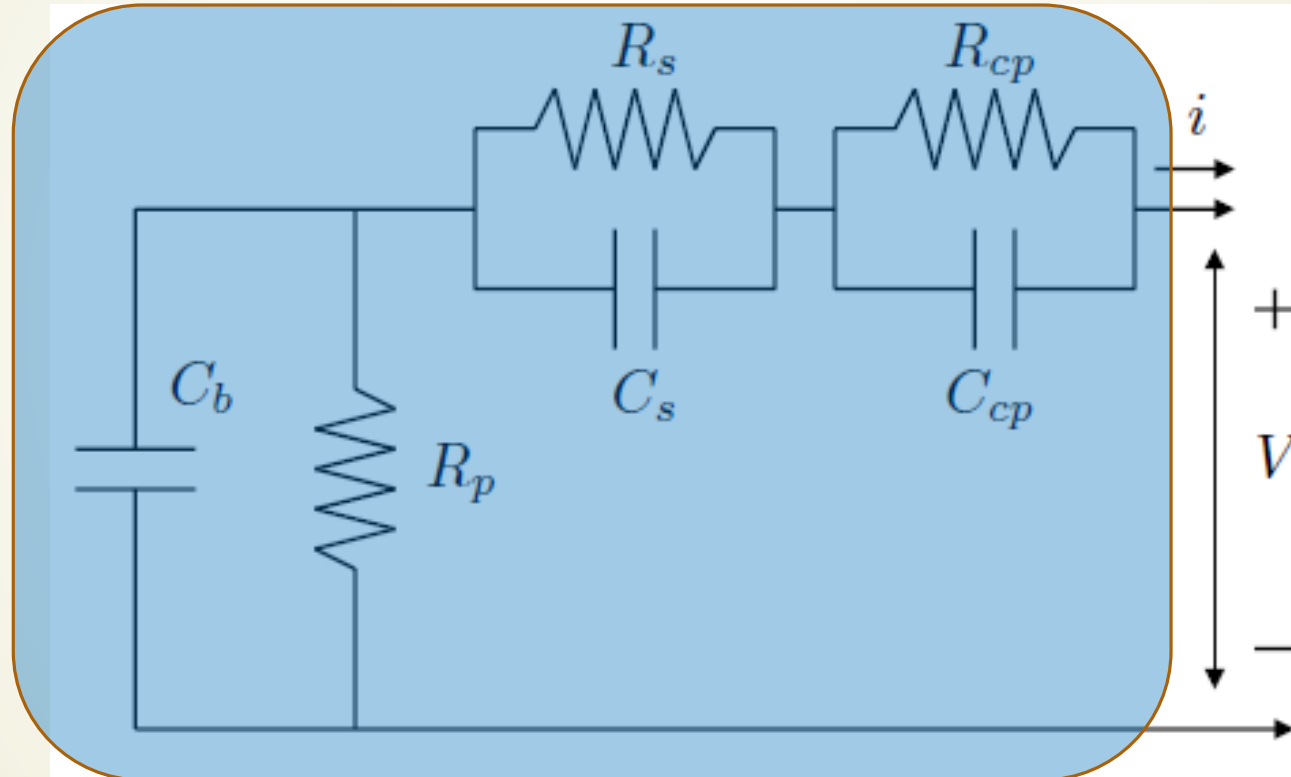


Figure 9. Equivalent circuit battery model

# UKF Function in Matlab to be converted to C

UKF\_bhm3/UKF\_bhm3.m

```
function [ukfout] = UKF_bhm3(i, Param ,z_ukf, state_ukf, w_ukf, est_ukf, P, Q,R,dt,ndim,zdim)
```

## Inputs:

- ▶  $i$  = battery current
- ▶ Param = struct of battery model constants
- ▶  $z_{ukf}$  = vector of measurements
- ▶ state\_ukf = column 1 contains old model states, column 2 contains new states
- ▶  $w_{ukf}$  = noise vector
- ▶ est\_ukf = column 1 has old state estimates, column 2 has new estimates
- ▶  $P$  = state estimate covariance matrix
- ▶  $Q$  = process model noise covariance matrix, assumed constant
- ▶  $R$  = measurement noise covariance matrix, assumed constant
- ▶  $dt$  = time interval between measurements
- ▶  $ndim$  = length of state vector
- ▶  $zdim$  = length of measurement vector



## UKF Function in Matlab to be converted to C

```
function [ukfout] = UKF_bhm3(i, Param ,z_ukf, state_ukf, w_ukf, est_ukf, P, Q,R,dt,ndim,zdim)
```

Outputs:

- ukfout is a struct
  - ukfout.P = updated estimate covariance;
  - ukfout.state\_ukf = forecasted model states
  - ukfout.est\_ukf = forecasted estimates
  - ukfout.SOC = state of charge

# System Dynamics in Matlab

```

qmax = Param.qmax;
Cmax = Param.Cmax;
Ccb0 = Param.Ccb0;
Ccb1 = Param.Ccb1;
Ccb2 = Param.Ccb2;
Ccb3 = Param.Ccb3;
Rs = Param.Rs;
Cs = Param.Cs;
Rcp0 = Param.Rcp0;
Rcp1 = Param.Rcp1;
Rcp2 = Param.Rcp2;
Ccp0 = Param.Ccp0;
Ccp1 = Param.Ccp1;
Ccp2 = Param.Ccp2;
Rp = Param.Rp; %From Ed Hogge BHM Checkcase

```

Table 1. Parameter values used in equivalent circuit model

Parameter	Value	Parameter	Value
$q_{max}$	$2.88 \times 10^4 \text{ C}$	$C_s$	89.3 F
$C_{max}$	$2.85 \times 10^4 \text{ C}$	$R_{cp0}$	$1.60 \times 10^{-3} \Omega$
$C_{Cb0}$	19.4 F	$R_{cp1}$	8.45
$C_{Cb1}$	1576 F	$R_{cp2}$	-61.9
$C_{Cb2}$	41.7 F	$C_{cp0}$	2689 F
$C_{Cb3}$	-203 F	$C_{cp1}$	-2285 F
$R_s$	$2.77 \times 10^{-2}$	$C_{cp2}$	-0.73 F

# System Dynamics in Matlab

```

SOC = 1 - (qmax-qb)/Cmax;
Cb = Ccb0 + Ccb1*SOC+Ccb2*SOC^2+Ccb3*SOC^3;
Ccp = Ccp0 + Ccp1*exp(Ccp2*SOC);
Rcp = Rcp0 + Rcp1*exp(Rcp2*SOC);
%qhat = qmax - (qmax*0.99 - Cmax)*(1-SOC);
%Vb = qhat/Cb; %Diverges. Ed Hogge BHM Checkcase
Vb = qb/Cb; %Works with this! Only diverges at very end.
Vcs = qcs/Cs; %Ed Hogge BHM Checkcase
Vcp = qcp/Ccp; %Ed Hogge BHM Checkcase
Vp = Vb - Vcp - Vcs; %Ed Hogge BHM Checkcase
ip = Vp/Rp; %Ed Hogge BHM Checkcase
ib = ip + i; %Ed Hogge BHM Checkcase
icp = ib - Vcp/Rcp; %Ed Hogge BHM Checkcase
qbdot = -ib; %Ed Hogge BHM Checkcase
qcpdot = icp; %Ed Hogge BHM Checkcase
qcsnew = ib*Rs*Cs; %Ed Hogge BHM Checkcase

```

$$SOC = 1 - \frac{q_{max} - q_b}{C_{max}} \quad (1)$$

$$C_b = C_{Cb0} + C_{Cb1} \cdot SOC + C_{Cb2} \cdot SOC^2 + C_{Cb3} \cdot SOC^3 \quad (2)$$

$$C_{cp} = C_{cp0} + C_{cp1} \cdot \exp(C_{cp2}(SOC)) \quad (3)$$

$$R_{cp} = R_{cp0} + R_{cp1} \cdot \exp(R_{cp2}(SOC)) \quad (4)$$

$$\mathbf{y}^B = \mathbf{V}_p = \begin{bmatrix} \frac{1}{C_b} & \frac{1}{C_{cp}} & \frac{1}{C_s} \end{bmatrix} \cdot \mathbf{x}^B \quad (7)$$

$$\mathbf{x}^B = \begin{bmatrix} q_b & q_{cp} & q_{Cs} \end{bmatrix}^T$$

$$\dot{\mathbf{x}}^B:$$



# System Dynamics in Matlab

UKF\_bhm3/UKF\_bhm3.m

Validation of dynamics as compared to results in “Battery Charge Depletion Prediction on an Electric Aircraft”

% Model state vector

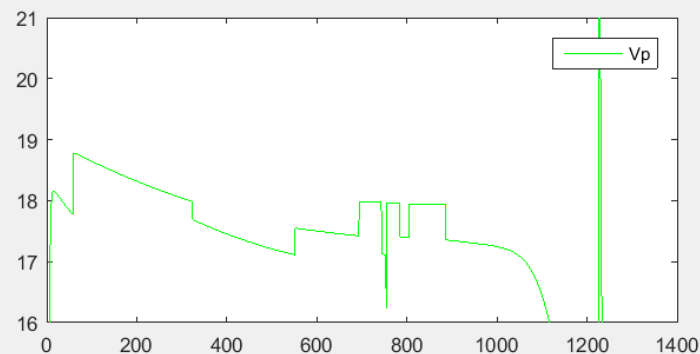
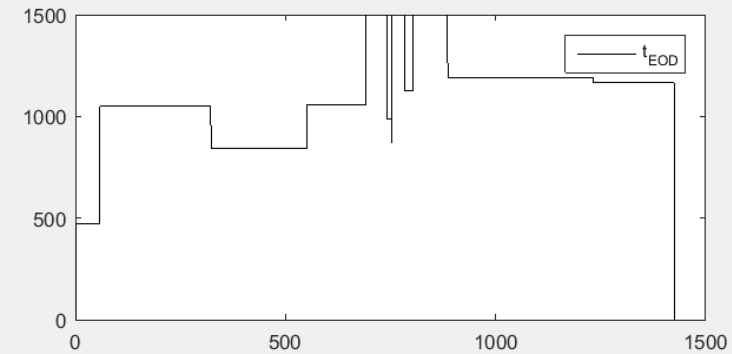
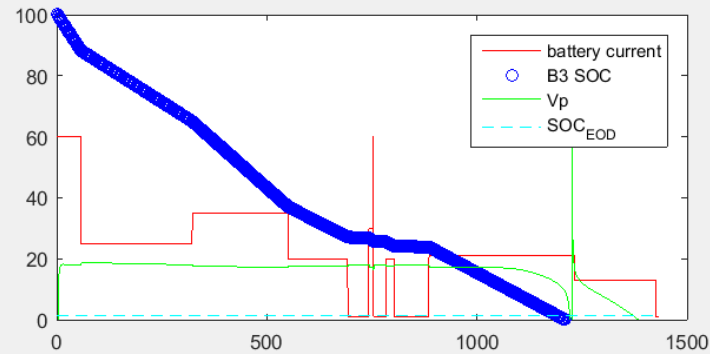
x = [ qb + qbdot\*dt;

qcp + qcpcdot\*dt;

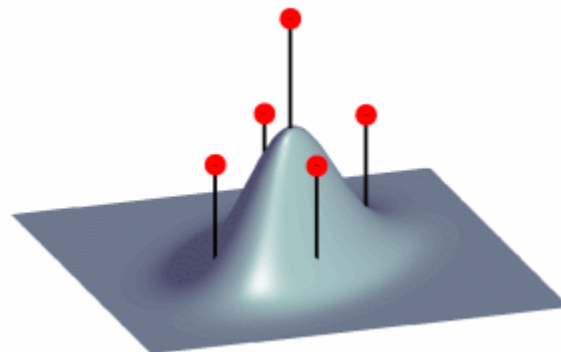
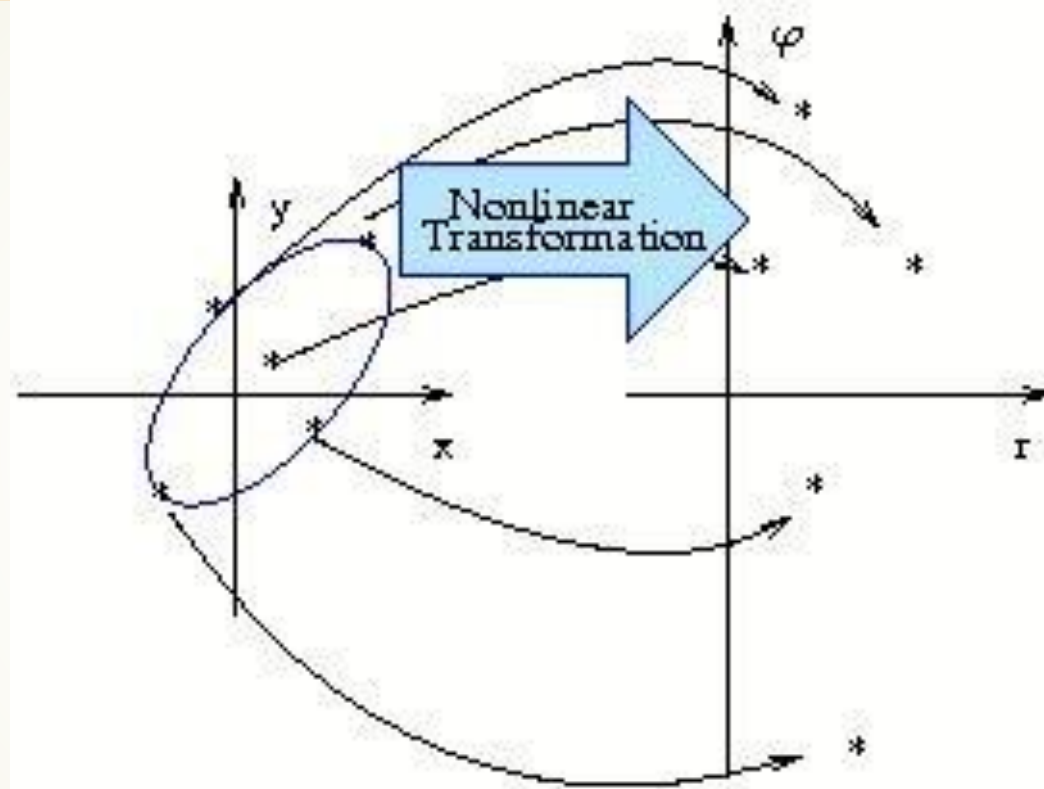
qcsnew]; %Ed Hogge BHM Checkcase

$$\mathbf{x}^B = \begin{bmatrix} q_b & q_{cp} & q_{Cs} \end{bmatrix}^T \quad (5)$$

$$\dot{\mathbf{x}}^B = \begin{bmatrix} -\frac{1}{C_b R_p} & -\frac{1}{C_{cp} R_p} & \frac{1}{C_s R_p} \\ \frac{1}{C_b R_p} & -\frac{1}{C_{cp} R_p R_{cp}} & \frac{1}{C_s R_p} \\ \frac{1}{C_b R_p} & \frac{1}{C_{cp} R_p} & \frac{1}{C_s R_p} \end{bmatrix} \mathbf{x}^B + \begin{bmatrix} i \\ i \\ i \end{bmatrix} + \xi \quad (6)$$



# Unscented Kalman Filter



# Unscented Kalman Filter

```

%% Selection of Sigma Points %%%%%%%%%%
W(1) = 0.99;                %Sigma point array begins
                             %at 1 and not 0

SQRTM_P = real(sqrtm( 2*P/(1-W(1)) )); %Square root
of matrix is converted to real type

Chi(:,1) = xa; % xa is old state estimate feed back from
algorithm

for k = 2:ndim+1            %Generate sigma points with
                             %sqrt. Increase indices by 1 since arrays don't start from
                             %0

    Chi(:,k) = xa + SQRTM_P(:,k-1);
    W(k) = (1-W(1))/(2*2);
    Chi(:,ndim+k) = xa - SQRTM_P(:,k-1);
    W(ndim+k) = (1-W(1))/(2*2);

end

```

$$\begin{array}{ll}
 \mathbf{x}_0 &= \bar{\mathbf{x}} & W_0 &= \kappa/(n + \kappa) \\
 \mathbf{x}_i &= \bar{\mathbf{x}} + \left( \sqrt{(n + \kappa)\mathbf{P}_{xx}} \right)_i & W_i &= 1/2(n + \kappa) \\
 \mathbf{x}_{i+n} &= \bar{\mathbf{x}} - \left( \sqrt{(n + \kappa)\mathbf{P}_{xx}} \right)_i & W_{i+n} &= 1/2(n + \kappa)
 \end{array}$$

# Unscented Kalman Filter

```

%% Model Forecast Step %%%%%%%%%%%%%%%
% Propagate Sigma Points through non-linear transform
for k = 1:2*ndim+1      %Forecast sigma points
    ip = Vp/Rp;
    ib = ip + i;
    Chi_qcsnew = ib*Rs*Cs;  %%Consider finding qcsdot
    ChiF(:,k) = [ Chi(1,k) + qbdot*dt;  %Symbol for sigma pt is chi
        Chi(2,k) + qcpdot*dt;
        Chi_qcsnew];      %%%% Not sure about this!!!
end
%% Compute the mean and covariance of forecast
for k = 1:2*ndim+1      %Calculate mean of sigma points
    ChiF_mean = ChiF_mean + W(k)*ChiF(:,k);
end
for k = 1:2*ndim+1      %Calculate covariance matrix of sigma pts
    PX = PX + W(k)*(ChiF(:,k) - ChiF_mean)*(ChiF(:,k) - ChiF_mean)';
end
PX = PX+Q;

```

$$\mathcal{Y}_i = f[\mathcal{X}_i]$$

$$\mathbf{x}^B = \begin{bmatrix} q_b & q_{cp} & q_{Cs} \end{bmatrix}^T$$

$$\dot{\mathbf{x}}^B:$$

$$\bar{\mathbf{y}} = \sum_{i=0}^{2n} W_i \mathcal{Y}_i$$

$$\mathbf{P}_{yy} = \sum_{i=0}^{2n} W_i \{\mathcal{Y}_i - \bar{\mathbf{y}}\} \{\mathcal{Y}_i - \bar{\mathbf{y}}\}^T$$

## Unscented Kalman Filter – Converted to C code (UKF\_bhm3.c)

```

%% Propagate the sigma points through the observation model
ZF = [1/Cb -1/Ccp -1/Param.Cs]*ChiF; % Expected measurement
for k = 1:2*ndim+1 %Calculate mean of expected measurements
    ZF_mean = ZF_mean + W(k)*ZF(:,k);
end
for k = 1:2*ndim+1 %Covar of expected measurements
    PZ = PZ + W(k)*(ZF(:,k) - ZF_mean)*(ZF(:,k) - ZF_mean)';
end
PZ = PZ+R;
%% Compute the cross covariance between XF and Zf
for k = 1:2*ndim+1 %Cross covariance of sigma points and expected
measurements
    PXZ = PXZ + W(k)*(ChiF(:,k) - ChiF_mean)*(ZF(:,k) - ZF_mean)';
end
%% Data Assimilation Step %%%%%%%%%%%%%%
K = PXZ*PZ^(-1);
xa = ChiF_mean + K*(z_ukf - ZF_mean);
P = PX - K*PZ*K';
est_ukf(:,2) = xa;
ukfout = struct('P',P,'state_ukf',state_ukf,'est_ukf',est_ukf,'SOC',SOC);

```

$$\mathbf{y}^B = \mathbf{V}_p = \begin{bmatrix} \frac{1}{C_b} & \frac{1}{C_{cp}} & \frac{1}{C_s} \end{bmatrix} \cdot \mathbf{x}^B \quad (7)$$

$$\hat{\mathbf{z}}(k+1|k) = \sum_{i=1}^{2n^a} W_i \mathbf{z}_i(k+1|k)$$

$$\mathbf{P}_{\nu\nu}(k+1|k) = \mathbf{R}(k+1) + \sum_{i=0}^{2n^a} W_i \{ \mathbf{z}_i(k|k-1) - \hat{\mathbf{z}}(k+1|k) \} \{ \mathbf{z}_i(k|k-1) - \hat{\mathbf{z}}(k+1|k) \}^T$$

$$\mathbf{P}_{xz}(k+1|k) = \sum_{i=0}^{2n^a} W_i \{ \mathbf{x}_i(k|k-1) - \hat{\mathbf{x}}(k+1|k) \} \{ \mathbf{z}_i(k|k-1) - \hat{\mathbf{z}}(k+1|k) \}^T$$



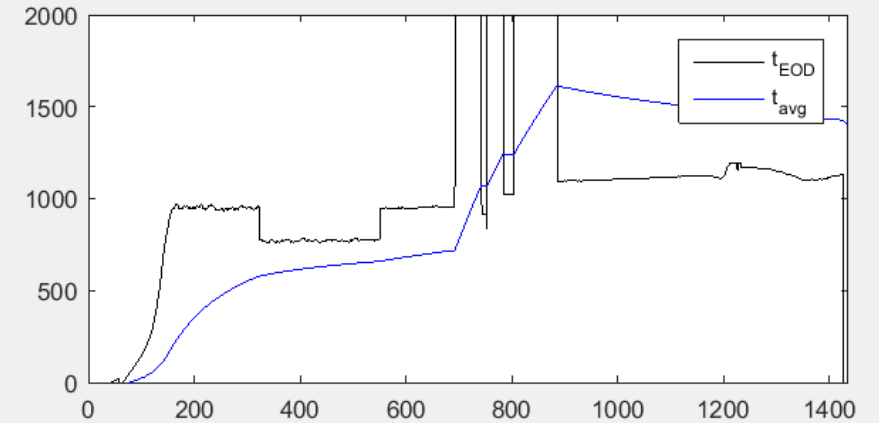
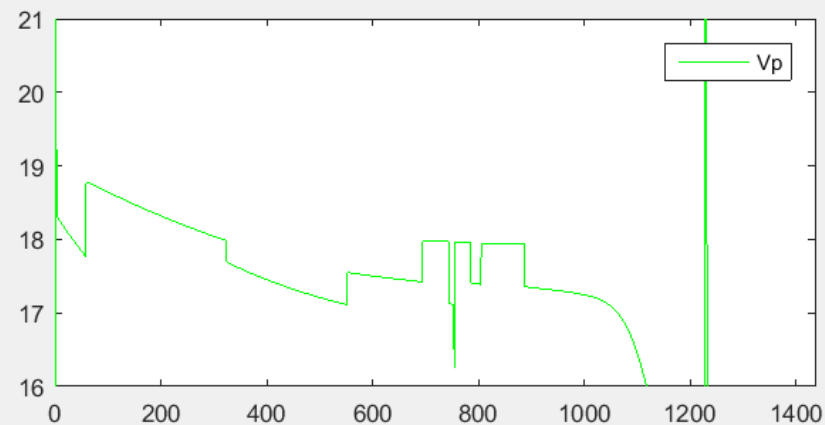
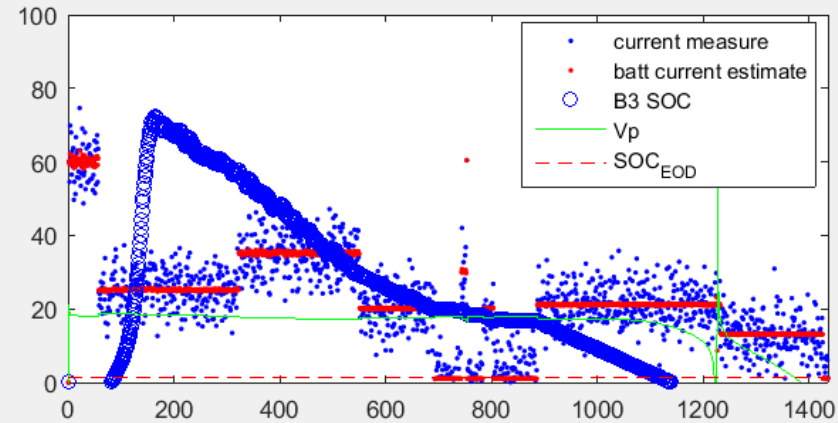
## BeagleBone Black Output on SD Card

```
time ,      dt,      i,      V,      SOC,      t_EOD
0.384020, 0.384020, 0.002000, 0.200000, 1.000000, -326215.066870
...
34.808678, 0.378297, 59.000000, 19.544800, 0.932649, 3.702595
35.186949, 0.378271, 59.000000, 19.544600, 0.931866, 4.232829
...
112.751668, 0.378590, 59.000000, 19.544901, 0.778342, 398.632246
113.129971, 0.378303, 0.002000, 19.544600, 0.777559, 8396619.992
113.508321, 0.378350, 59.000000, 19.544701, 0.777559, 398.940899
...
191.829817, 0.378352, 59.000000, 19.544701, 0.623250, 416.592375
192.208053, 0.378236, 59.000000, 19.544701, 0.622467, 416.606263
192.586373, 0.378320, 59.000000, 19.545000, 0.621684, 416.620196
192.964917, 0.378
```

# Future Work: UKF and EOD refinement

/UKF\_bhm4/UKF\_bhm3.m

Not yet implemented in C on BeagleBone Black



# Future work: UKF weights tuning

```

%%% Model Forecast Step
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% Propagate Sigma Points through non-linear transform

...
for k = 1:2*ndim+1      %Forecast sigma points
    ip = Vp/Rp;
    ib = ip + i;

    Chi_qcsnew = ib*Rs*Cs;  %%%Consider finding qcsdot
    ChiF(:,k) = [ Chi(1,k) + qbdot*dt;  %Symbol for sigma pt is chi
        Chi(2,k) + qcpdot*dt;
        Chi_qcsnew];      %%% Not sure about this!!!
end

%%% Compute the mean and covariance of forecast
for k = 1:2*ndim+1      %Calculate mean of sigma points
    ChiF_mean = ChiF_mean + W(k)*ChiF(:,k);
end

for k = 1:2*ndim+1      %Calculate covariance matrix of sigma pts
    PX = PX + W(k)*(ChiF(:,k) - ChiF_mean)*(ChiF(:,k) - ChiF_mean)';
end

PX = PX+Q;

```

From “Optimal Estimation of Dynamics Systems”  
2<sup>nd</sup> by Crassidis

$$W_0^{\text{mean}} = \frac{\lambda}{L + \lambda} \quad (3.259a)$$

$$W_0^{\text{cov}} = \frac{\lambda}{L + \lambda} + (1 - \alpha^2 + \beta) \quad (3.259b)$$

$$W_i^{\text{mean}} = W_i^{\text{cov}} = \frac{1}{2(L + \lambda)}, \quad i = 1, 2, \dots, 2L \quad (3.259c)$$



Thank you!