

# A Bayesian Adaptive Smoothing and Thresholding Approach for Activation Detection in Single-Subject fMRI

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- A% activation percentage. 13, 29, 48, 51, 52, 54
- AST Adaptive Smoothing and Thresholding. 11, 13
- BOLD Blood Oxygenation Level-Dependent. 8, 16, 34, 38
- CNR Contrast-to-Noise Ratio. 38, 41–43, 48
- fMRI Functional Magnetic Resonance Imaging. 7, 13, 18, 32, 38, 54
- FPR False Positive Rate. 48, 51, 52
- JI Jaccard Index. 23, 48–52
- SNR Signal-to-Noise Ratio. 38, 41–43, 48
- TN Truncated Normal. 21, 25



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# What is fMRI?

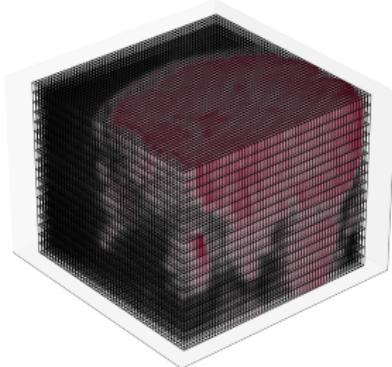


Figure 1: Voxels in fMRI Image

- Functional Magnetic Resonance Imaging (fMRI) is a non-invasive technique that takes a series of MRI captures in a certain period of time to analyze the behavior of the studied body part in the elapsed time, normally, the body part is stimulated to study its effect [1].
- In fMRI, the brain image is divided into 3D unit volumes called voxels, typically, more than  $10^5$  per image.



# BOLD

- The most common technique to generate images is the Blood Oxygenation Level-Dependent (BOLD).
- BOLD measures the local changes in deoxyhemoglobin concentration in the brain.
- The change in oxygenation produces different local magnetic fields.



# Hemodynamic Response Function

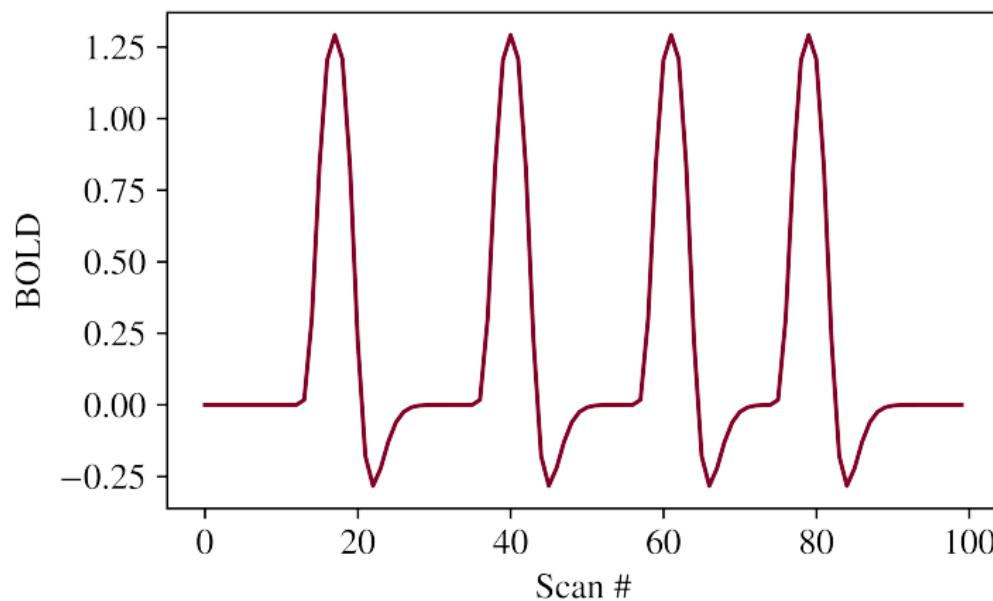


Figure 2: Glover Hemodynamic Response Function



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## Previous Works

- Identification of brain regions involved in language processing, memory, and decision-making [2, 3, 4].
- Identification of brain regions that are activated in response to specific stimuli or task [5, 6, 7].
- Methods used include time-series analysis, statistical parametric mapping, multivariate pattern classification, Bayesian modeling, among others [8, 9, 10, 11].
- Adaptive Smoothing and Thresholding (AST) method is also used by several researchers [12, 13, 14, 15].



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# Objectives

- Perform Bayesian time-series analysis to obtain a posterior probability map of an fMRI image for a single-subject situation.
- Develop an AST method that inputs the probability posterior map and finds the possible activated voxels.
- Study the proposed algorithm in different simulation frameworks. Study the results in terms of similarity, rate of false positives, and activation percentage (A%).
- Finally, apply the algorithm to a real dataset.



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# Time Series Model

- Each voxel has a time series associated to it.
- Let  $\mathbf{y}_i$  be the response variable of the  $i$ th voxel,  $\mathbf{X}$  be the design matrix of the study containing the expected BOLD change and  $\boldsymbol{\beta}_i$  be the coefficient that contains the stimulus, then:

$$\mathbf{y}_i \sim N(\mathbf{X}\boldsymbol{\beta}_i, \Sigma)$$

- Note that  $\Sigma$  can have any structure, however, if we let  $\Sigma = \sigma^2 \mathbf{I}$ , the independent model is obtained:

$$\mathbf{y}_i | \boldsymbol{\beta}_i, \sigma, \mathbf{X} \sim N\left(\mathbf{X}\boldsymbol{\beta}_i, \sigma^2 \mathbf{I}\right)$$



# Bayesian Approach

- Using a noninformative prior distribution:

$$\pi(\beta_i, \sigma) \propto \frac{1}{\sigma^2}$$

- Using the Bayes' Rule and the ordinary least squares solution to a linear problem  $\hat{\beta}_i = (\mathbf{X}^T \mathbf{X})^{-1} \mathbf{X}^T \mathbf{y}_i$ , the conditional posterior of  $\beta_i$ , given  $\sigma$  is then:

$$\pi(\beta_i | \sigma, \mathbf{y}_i) \sim N\left(\hat{\beta}_i, (\mathbf{X}^T \mathbf{X})^{-1} \sigma^2\right).$$



## Posterior Probability Maps

- Now, for each voxel,  $i$ , in the region of interest of our study, calculate the posterior probability that the coefficient associated with the stimulus,  $t$ , is not zero, which is roughly estimated using:

$$P(\beta_{i,t} > 0 | \mathbf{y}_i, \mathbf{X}).$$

- Let  $\mathbb{P} = \{P(\beta_{i,t} > 0 | \mathbf{y}_i, \mathbf{X})\}_{i=[1,v]}$  represent a Posterior Probability Map, where  $v$  is the number of voxels in the region of interest of an fMRI experiment.



## Posterior Probability Map

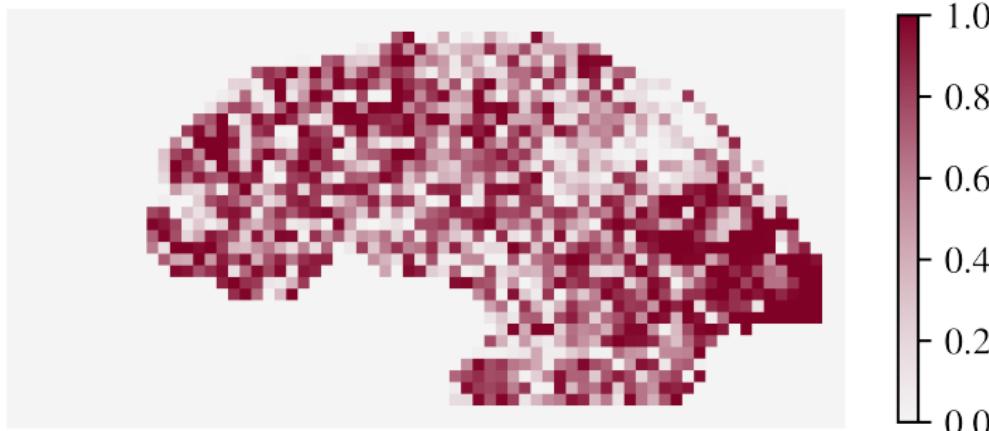


Figure 3: Example of a Posterior Probability Map



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## Relevant Distributions

- We will study  $\mathbb{P}$  as a Truncated Normal (TN) Distribution in the interval  $[0, 1]$ .
- $TN(0, 1)$  is in the domain of maximal attraction of the limiting distribution  $G$ , a Gumbel distribution.
  - Therefore,  $TN^\nu(a_\nu x + b_\nu) \rightarrow G(x)$ .
  - For  $a_\nu = [\nu\psi(b_\nu)]^{-1}$  and  $b_\nu = \Psi^{-1}(1 - 1/\nu)$ .
  - Where  $\psi$  and  $\Psi$  are the PDF and CDF of the  $TN$ , respectively.



# Gaussian Kernel Smoothing

- Convolution of the image with:

- Gaussian function in 2D:  $G(x, y) = \frac{1}{2\pi\sigma_s^2} \exp\left(-\frac{x^2+y^2}{2\sigma_s^2}\right)$ .
- This can be extended to 3D.

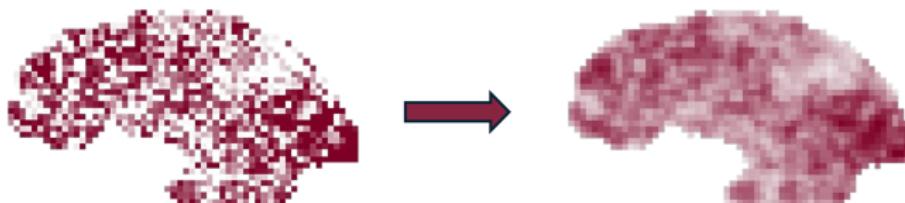
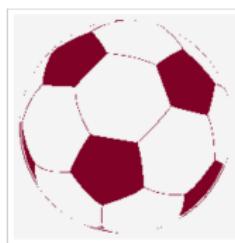


Figure 4: Example of the Smoothing Process in Posterior Probability Map

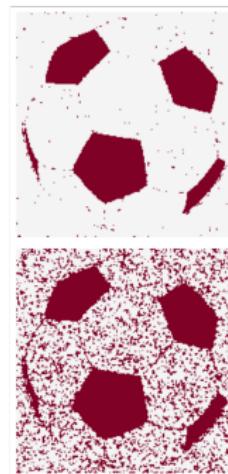
# Jaccard Index (JI)

- Used to calculate image similarity:

- $$J(A, B) = \frac{|A \cap B|}{|A \cup B|}$$



Original Activation  
Map



JI: 0.9256

JI: 0.4849

Figure 5: Example of Similarity Measurement Using JI

# bFAST Algorithm

## ■ Initialization

$$\mathbb{P}^{(0)} = \mathbb{P}$$

■  $\zeta_i \equiv 0 \forall i$ , where  $\zeta_i$  is 1 when voxel  $i$  is activated and 0 if not.

$$\zeta_i^{(0)} \equiv \zeta_i$$

■  $v_0 = v$ , where  $v_k$  is the number of voxels for which  $\zeta_i^{(k)} = 0$ .



# bFAST Algorithm

- For  $k = 1, 2, \dots$ , iterate as follows:
  - *Smoothing.* Smooth  $\mathbb{P}^{(k-1)}$  using a Gaussian Kernel to obtain  $\mathbb{P}^{(k)}$ . Let  $\sigma_s = 0.65 + 100(k - 1)$ .
  - *Thresholding.* This consists of three steps:
    - Estimate  $\mathbb{P}^{(k-1)}$  as a TN.
    - Calculate  $a_v$  and  $b_v$ .
    - Calculate the probability threshold,  $\eta = a_v \nu_{0.01} + b_v$ , with  $\nu_{0.01}$  be the upper-tail 0.01-value of the standard Gumbel Distribution.
  - *Activation:* Set  $\zeta_i^{(k)} = 1$  if  $\zeta_i^{(k-1)} = 0$  and the value of the  $i$ th voxel of  $\mathbb{P}^{(k)}$  is greater than  $\eta$ . Calculate  $v_k = \sum_{i=1}^V \zeta_i^{(k)}$ .



# bFAST Algorithm

## ■ Termination

- Declare no activation and terminate if  $\zeta^{(1)} \equiv 0$ .
- If  $J(\zeta^{(k)}, \zeta^{(k-1)}) \geq J(\zeta^{(k+1)}, \zeta^{(k)})$ , the algorithm terminates and the final activation map is  $\zeta^{(k)}$ .
- The maximum number of iterations is default to  $k = 10$ .



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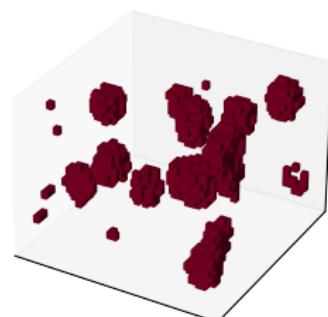
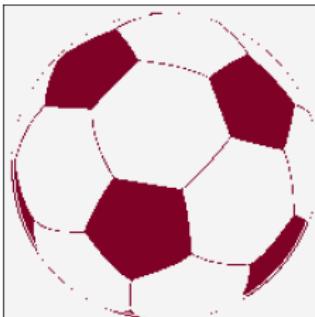


# True Maps Summary

Table 1: Details of True Maps Considered. In both maps, dark voxels are active and light voxels are inactive.

| Name       | 2D               | 3D                       |
|------------|------------------|--------------------------|
| Dimensions | $200 \times 200$ | $40 \times 40 \times 25$ |
| Voxels     | 40000            | 40000                    |
| A%         | 19.9375          | 3.9525                   |

Map



## 3D Map Creation

- Step 1. Create a random  $40 \times 40$  grid of numbers between 0 and 1. The ones that are greater than 0.99 take a value of 1, the rest are 0.
- Step 2. For each of those ones, select a random integer between 1 and 25 to be the depth of the cluster in the 3D grid.
- Step 3. At each of the cluster centers, generate a ball of radius 5. For each voxel inside that ball, randomly mark as active only the 67% of them.
- Step 4. Identify inactive voxels in each cluster that are surrounded by at least 4 active voxels. If so, mark it as active.



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# Design Matrix

- $X$  will consist of 2 columns and one row per scan.
- The second column corresponds to the constant regressor.
- The first column contains the Glover Hemodynamic Response Function given the following event description:

Table 2: Event Description of Simulated fMRI Experiment

| Parameter                 | Value           |
|---------------------------|-----------------|
| Number of Scans           | 100             |
| Time Between Scans        | 2 seconds       |
| Number of Stimulus        | 4               |
| Duration of Each Stimulus | 10 seconds      |
| Time Between Stimulus     | 18 - 25 seconds |



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# BOLD

- BOLD response without noise is computed depending on the voxel activation status,  $\zeta_i$ , on the true map. See Table 3.

Table 3: Parameter Selection Based on Activation Status

| Activation Status | Parameter Values          |
|-------------------|---------------------------|
| $\zeta_i = 0$     | $\beta_i^* = (0, 100)^T$  |
| $\zeta_i = 1$     | $\beta_i^* = (75, 100)^T$ |

- BOLD response,  $\mathbf{y}_i$ , for each voxel  $i$  depends on the BOLD response without noise,  $\mathbf{X}\beta_i^*$ , and the noise generated using an ARMA Model,  $\epsilon$ :

$$\mathbf{y}_i = \mathbf{X}\beta_i^* + \epsilon.$$



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## Noise with ARMA

- The noise ( $\epsilon$ ) is a vector of mean  $\mu = 0$  and variance  $\sigma^2 = 25^2$  with a baseline structure equivalent to:

$$ARMA_{\epsilon} (\{p_1, p_2, \dots\}, \{q_1, q_2, \dots\}).$$

- $p = |\{p_1, p_2, \dots\}|$  and  $q = |\{q_1, q_2, \dots\}|$  are related to the order of the corresponding ARMA model, where  $p_a$  and  $q_b$  represent the coefficients of such models.
- $p, q \in [0, 1, 2, 3]$  were chosen to study the model under different noise scenarios. The values of  $p_a$ , and  $q_b$  were chosen arbitrarily as parameters. See Table 4.



# Noise with ARMA

Table 4: Parameter Selection Related to  $\epsilon$

|     |   | $p$                                                       |                                                                       |                                                                                     |                                                                                                   |
|-----|---|-----------------------------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
|     |   | 0                                                         | 1                                                                     | 2                                                                                   | 3                                                                                                 |
|     |   | $\{ \}$ , $\{ \}$                                         | $\{ \frac{1}{2} \}$ , $\{ \}$                                         | $\{ \frac{1}{2}, \frac{3}{10} \}$ , $\{ \}$                                         | $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ , $\{ \}$                                         |
| $q$ | 0 | $\{ \}$ , $\{ \}$                                         | $\{ \frac{1}{2} \}$ , $\{ \}$                                         | $\{ \frac{1}{2}, \frac{3}{10} \}$ , $\{ \}$                                         | $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ , $\{ \}$                                         |
|     | 1 | $\{ \}$ , $\{ \frac{1}{2} \}$                             | $\{ \frac{1}{2} \}$ , $\{ \frac{1}{2} \}$                             | $\{ \frac{1}{2}, \frac{3}{10} \}$ , $\{ \frac{1}{2} \}$                             | $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ , $\{ \frac{1}{2} \}$                             |
| 2   | 0 | $\{ \}$ , $\{ \frac{1}{2}, \frac{3}{10} \}$               | $\{ \frac{1}{2} \}$ , $\{ \frac{1}{2}, \frac{3}{10} \}$               | $\{ \frac{1}{2}, \frac{3}{10} \}$ , $\{ \frac{1}{2}, \frac{3}{10} \}$               | $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ , $\{ \frac{1}{2}, \frac{3}{10} \}$               |
|     | 3 | $\{ \}$ , $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ | $\{ \frac{1}{2} \}$ , $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ | $\{ \frac{1}{2}, \frac{3}{10} \}$ , $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ | $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ , $\{ \frac{1}{2}, \frac{3}{10}, \frac{1}{10} \}$ |



# SNR and CNR

- Signal-to-Noise Ratio (SNR) represents how strong the signal is with respect to the noise.
  - Generally, the Signal-to-Noise Ratio (SNR) value of an fMRI experiment is around 4.
- Contrast-to-Noise Ratio (CNR) represents how significant is the BOLD change in activation regions with respect to the noise.
  - Generally, the Contrast-to-Noise Ratio (CNR) value of an fMRI experiment is around 3.



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# Noise in Simulations

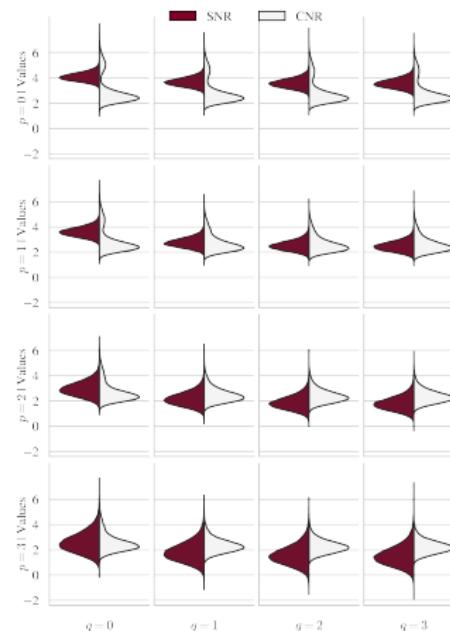


Figure 6: Numerical Distribution of the Voxel-Wise SNR and CNR Values of 2D Map

# Noise in Simulations

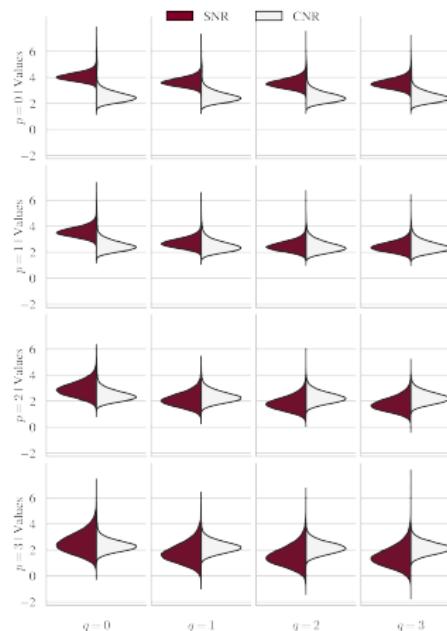


Figure 7: Numerical Distribution of the Voxel-Wise SNR and CNR Values of 3D Map

# Noise in Simulations

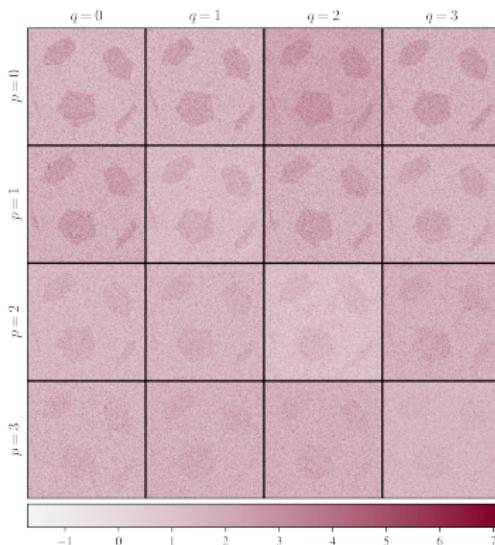


Figure 8: Spatial Distribution of the SNR Values in 2D Map

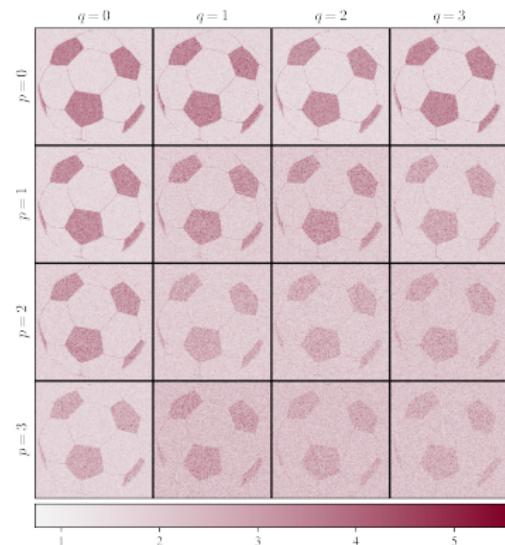


Figure 9: Spatial Distribution of the CNR Values in 2D Map



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# Example of bFAST Iterations

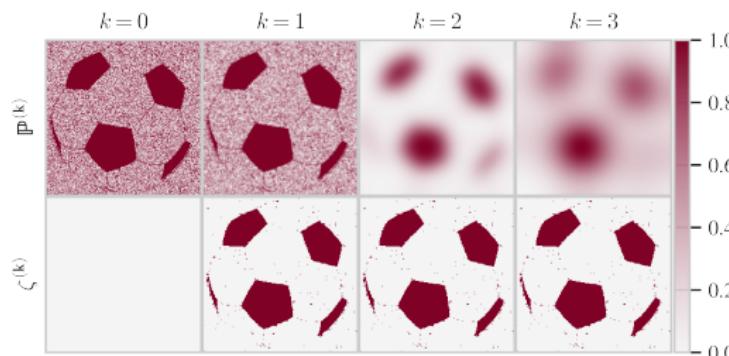


Figure 10: Example in 2D Map for  $p, q = 0$

# Example of bFAST Iterations

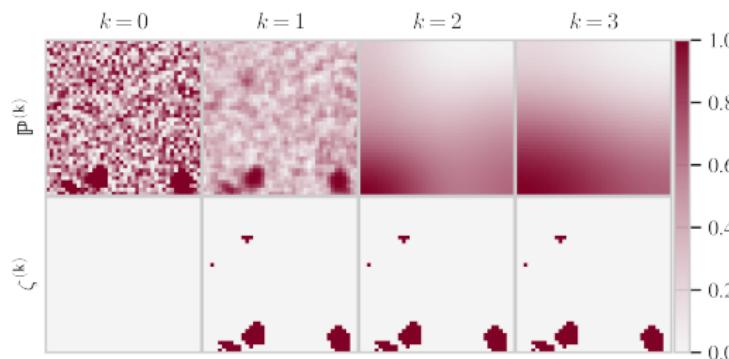


Figure 11: Example in  $z = 20$  Plane of 3D Map for  $p, q = 0$



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# Performance Metrics

- The performance of the bFAST algorithm was evaluated by comparing the final activation map with the true activation map using:
  - Jaccard Index (JI): Similarity between the two maps.
  - False Positive Rate (FPR): Ratio of the voxels marked as activated that are not really active and the total number of inactive voxels.
  - A%: Percentage of active voxels between both maps.
- Performance was evaluated in each of the noise scenarios considered and an average value for the SNR and CNR is also presented.



# Performance Metrics

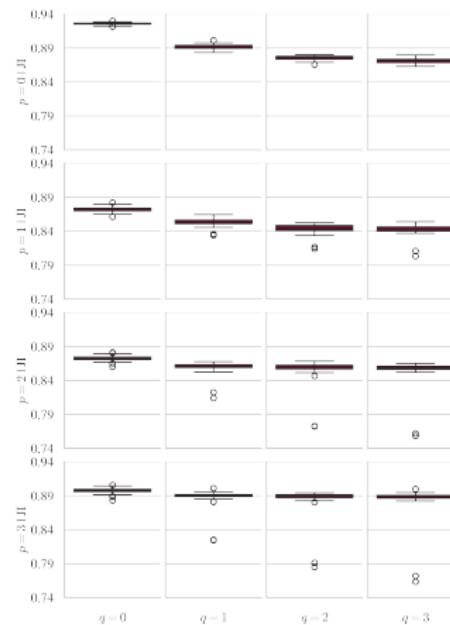


Figure 12: Jaccard Index in 2D Map

# Performance Metrics

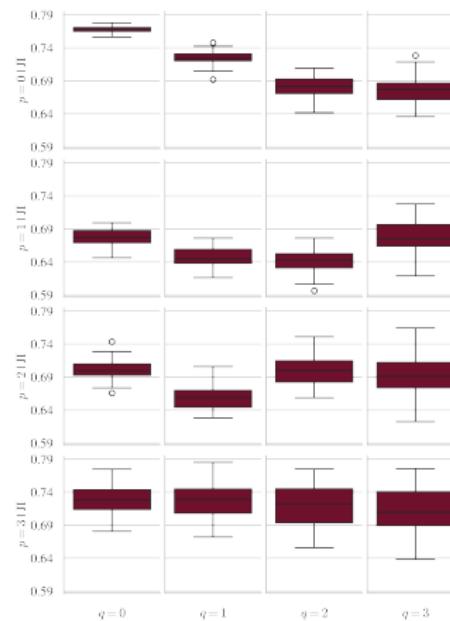


Figure 13: Jaccard Index in 3D Map

# Performance Metrics

**Table 5: Performance Metrics Summary in 2D Case**

| P | Q | SNR    | CNR    | JI     | FPR    | A%     |
|---|---|--------|--------|--------|--------|--------|
| 0 | 0 | 4.0841 | 3.0344 | 0.9256 | 0.0078 | 19.663 |
|   | 1 | 3.6815 | 2.9342 | 0.8925 | 0.0180 | 20.515 |
|   | 2 | 3.5788 | 2.9035 | 0.8754 | 0.0227 | 20.858 |
|   | 3 | 3.5688 | 2.8960 | 0.8695 | 0.0244 | 20.995 |
| 1 | 0 | 3.5988 | 2.9042 | 0.8794 | 0.0222 | 20.870 |
|   | 1 | 2.7538 | 2.6993 | 0.8509 | 0.0299 | 21.398 |
|   | 2 | 2.5159 | 2.6191 | 0.8488 | 0.0308 | 21.475 |
|   | 3 | 2.4691 | 2.6007 | 0.8533 | 0.0292 | 21.350 |
| 2 | 0 | 2.9564 | 2.7050 | 0.8685 | 0.0240 | 20.908 |
|   | 1 | 2.1686 | 2.4761 | 0.8648 | 0.0267 | 21.228 |
|   | 2 | 1.8753 | 2.3861 | 0.8578 | 0.0284 | 21.333 |
|   | 3 | 1.8050 | 2.3646 | 0.8581 | 0.0283 | 21.313 |
| 3 | 0 | 2.5794 | 2.5607 | 0.8932 | 0.0174 | 20.445 |
|   | 1 | 1.8416 | 2.3420 | 0.8882 | 0.0185 | 20.513 |
|   | 2 | 1.5895 | 2.2576 | 0.8837 | 0.0200 | 20.638 |
|   | 3 | 1.5260 | 2.2323 | 0.8793 | 0.0213 | 20.740 |



# Performance Metrics

**Table 6: Performance Metrics Summary in 2D Case**

| P | Q | SNR    | CNR    | JI     | FPR    | A%     |
|---|---|--------|--------|--------|--------|--------|
| 0 | 0 | 4.0430 | 2.6156 | 0.7677 | 0.0046 | 3.8075 |
|   | 1 | 3.6401 | 2.5873 | 0.7249 | 0.0068 | 3.9950 |
|   | 2 | 3.5348 | 2.5699 | 0.6796 | 0.0086 | 4.0675 |
|   | 3 | 3.5233 | 2.5660 | 0.6767 | 0.0082 | 3.9950 |
| 1 | 0 | 3.5553 | 2.5687 | 0.6741 | 0.0092 | 4.1500 |
|   | 1 | 2.7134 | 2.4836 | 0.6468 | 0.0108 | 4.2650 |
|   | 2 | 2.4711 | 2.4406 | 0.6410 | 0.0116 | 4.3550 |
|   | 3 | 2.4303 | 2.4320 | 0.6757 | 0.0099 | 4.2625 |
| 2 | 0 | 2.9143 | 2.4583 | 0.6979 | 0.0083 | 4.1125 |
|   | 1 | 2.1205 | 2.3332 | 0.6566 | 0.0108 | 4.3100 |
|   | 2 | 1.8440 | 2.2791 | 0.6909 | 0.0079 | 4.0075 |
|   | 3 | 1.7735 | 2.2584 | 0.6859 | 0.0081 | 4.0300 |
| 3 | 0 | 2.5407 | 2.3554 | 0.7297 | 0.0065 | 3.9650 |
|   | 1 | 1.8073 | 2.2290 | 0.7194 | 0.0067 | 3.9525 |
|   | 2 | 1.5582 | 2.1729 | 0.7167 | 0.0074 | 4.0600 |
|   | 3 | 1.5004 | 2.1515 | 0.7092 | 0.0066 | 3.8925 |



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## bFAST in Real Data

- Theory of Mind fMRI experiment by Moran (2012) [16].
- 48 participants, most of them in their 20's and some other in their 70's.
- Gradient-echo echo-planar pulse sequence on a 3T Tim Trio MRI scanner
- Stimulus are false belief question, false belief story, false photo question, and false photo story.
- Data has dimensions  $72 \times 72 \times 36$  of 2 mm isotropic voxels.
- 40078 Voxels in the Region of Interest
- bFAST identified 1766 active voxels (4.41 A%) on the false belief question stimulus in the try 1 of subject 1.



## bFAST in Real Data

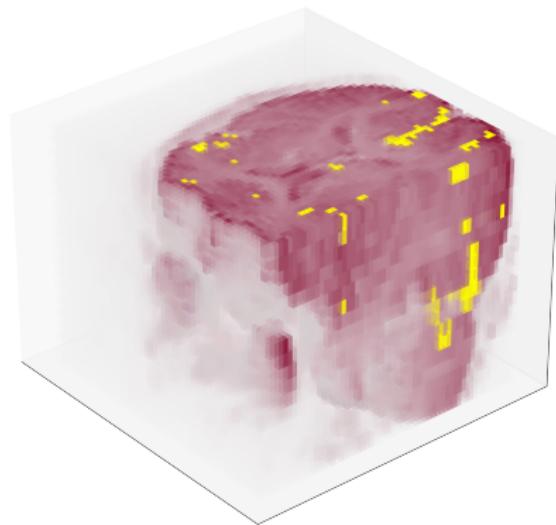


Figure 14: Activation Regions Identified by bFAST

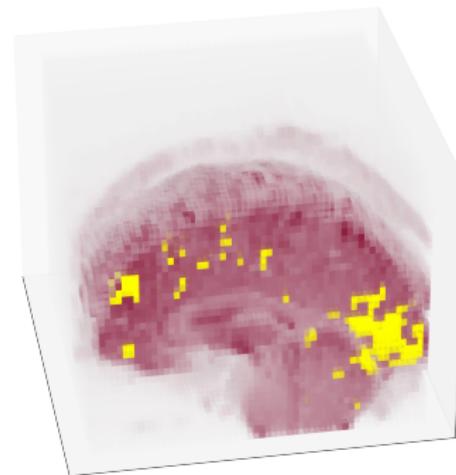


Figure 15: Activation Regions Identified by bFAST

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