## Journal of the

# ENGINEERING MECHANICS DIVISION

Proceedings of the American Society of Civil Engineers

#### FINITE DYNAMIC MODEL FOR INFINITE MEDIA

By John Lysmer, M. ASCE, and Roger L. Kuhlemeyer, A. M. ASCE

### INTRODUCTION

The rational approach to analytical studies of sonic flaw detection methods, blast effects, foundation vibrations, and numerous other dynamic problems is to consider them as wave propagation problems in an infinite solid. This approach has two major advantages: (1) The radiation of energy from the excited zone to the far field is properly accounted for; and (2) the concept of infinity is mathematically convenient when the aim is to find closed form analytical solutions for simple homogeneous systems.

For most of the complicated geometrics encountered in practice it is not possible to find closed form solutions and, therefore, it is necessary to resort to numerical methods of the finite difference or discrete mass type. With these methods only a finite number of nodal points can be considered; thus the numerical methods are not directly applicable to infinite systems. A general method through which an infinite system may be approximated by a finite system with a special viscous boundary condition is described herein. The resulting model may be analyzed by ordinary numerical methods but is handled with particular ease by the finite element method.

Examples utilizing the finite model for analysis of steady state foundation vibration problems are presented herein. The results clearly demonstrate: (1) The near equivalence between an infinite half space and the proposed finite model; and (2) the power of the method to analyze previously unsolvable problems.

Note.—Discussion open until January 1, 1970. To extend the closing date one month, a written request must be filed with the Executive Secretary, ASCE. This paper is part of the copyrighted Journal of the Engineering Mechanics Division, Proceedings of the American Society of Civil Engineers, Vol. 95, No. EM4, August, 1969. Manuscript was submitted for review for possible publication on August 1, 1968.

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## PROPOSED MODEL

Fig. 1 shows a typical example of an infinite system. Consider an imaginary convex boundary enclosing all sources of disturbance and all irregular geometrical features. Propagation of energy will occur only from the interior to the exterior region and all energy arriving at the boundary will pass into the exterior region. The effect of the exterior region on the interior region is therefore identical to that of an energy absorbing or nonreflecting boundary. This observation leads directly to the idea of determining the dynamic response of the interior region from a finite model consisting of the interior region subjected to a boundary condition which ensures that all energy arriving at the boundary is absorbed. The writers have investigated different pos-

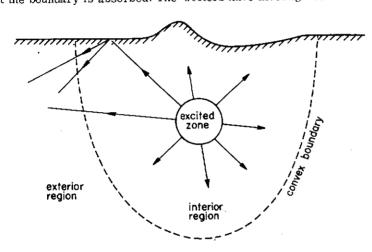


FIG. 1.-TYPICAL INFINITE SYSTEM

sibilities for expressing this boundary condition analytically and have found that the most promising way is to express it by the conditions

in which  $\sigma$  and  $\tau$  are the normal and shear stress, respectively;  $\dot{w}$  and  $\dot{u}$  are the normal and tangential velocities respectively;  $\rho$  is the mass density;  $V_S$ and  $V_P$  are the velocities of S-waves and P-waves, respectively; and a and bare dimensionless parameters. The proposed boundary condition corresponds to a situation in which the convex boundary is supported on infinitesimal dashpots oriented normal and tangential to the boundary.

# REFLECTION AT VISCOUS BOUNDARY

It is necessary to study the reflection of elastic waves at the viscous boundary defined in Eqs. 1 and 2 in order to determine appropriate parameters a and b. The phenomena may be studied by a technique similar to the

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one used by Ewing, Jardetzky, and Press (2)<sup>3</sup> for the reflection and refraction of elastic waves at an interface between two media.

Incident P-Wave.—The situation for the case of an incident P-wave is shown in Fig. 2. The x-axis represents the viscous boundary and the elastic medium is located in the lower half plane. The incident wave generates two reflected waves, an S-wave which travels with the velocity

$$V_S = \sqrt{\frac{G}{\rho}}$$
 .....(3)

and, a P-wave which propagates with the velocity

$$V_P = \frac{1}{s} V_S \qquad (4)$$

In the previous equations G is the shear modulus and s is an elastic constant defined by

$$s^2 = \frac{1 - 2 \,\mu}{2 \,(1 - \mu)} \quad . \tag{5}$$

in which  $\mu$  is Poisson's ratio.

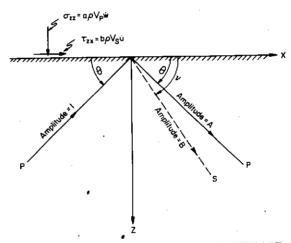


FIG. 2.—INCIDENT P-WAVE AT VISCOUS BOUNDARY

The directions of the incident and reflected waves are related through Snell's Law

$$\cos \nu = s \cdot \cos \theta \quad . \tag{6}$$

and the velocity of the wave front along the 
$$x$$
-axis is

The horizontal and vertical displacements may be written in terms of displacement potentials  $\phi$  and  $\psi$  as

ınd have found

. . . . . . (1)

. . . . . . (2)

7;  $\dot{w}$  and  $\dot{u}$  are 35 density;  $V_S$  y; and a and b 1 corresponds tesimal dash-

t the viscous riate paramsimilar to the

<sup>&</sup>lt;sup>3</sup>Numerals in parentheses refer to corresponding items in the Appendix I.—References.

Substitution of equations

(1 - 2)

 $= 2 s^2$ 

(b cos

 $= s^2 \sin$ 

from which

incident angle

in which  $\phi=\phi(x,z,t)$  and  $\psi=\psi(x,z,t)$  represent the displacements due to P-waves and S-waves, respectively. Since the wave fronts of the three waves in Fig. 2 must travel along the x-axis at same velocity c, it can be shown that the displacement potentials for harmonic waves of frequency  $\omega$  must have the form

$$\phi = \exp\left[i \underbrace{k(c t + z \tan \theta - x)}\right] + A \exp\left[i \underbrace{k(c t - z \tan \theta - x)}\right]$$
 (10)

and 
$$\psi = B \exp \left[i k(c t - z \tan \nu - x)\right]$$
 .....(11)

in which k is the wave number defined by

reflected S-wave

$$k = \frac{\omega}{c} \quad \dots \quad (12)$$

and A and B are the unknown amplitudes of the reflected waves. These ampli-

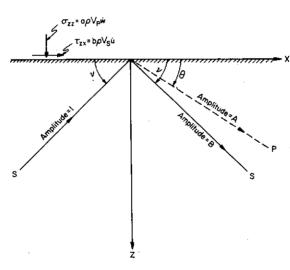


FIG. 3.—INCIDENT S-WAVE AT VISCOUS BOUNDARY

tudes may be determined by the stress boundary conditions defined in Eqs. 1 and 2 which may be expressed in terms of displacement potentials as follows:

$$\frac{1-2s^2}{s^2} \nabla^2 \phi + 2\left(\frac{\partial^2 \phi}{\partial z^2} + \frac{\partial^2 \psi}{\partial x \partial z}\right) = \frac{a}{s V_S} \left(\frac{\partial \dot{\phi}}{\partial z} + \frac{\partial \dot{\psi}}{\partial x}\right) \dots \dots \dots (13)$$

Incident solved in a case but Eo

-1. —

 $\psi =$ 

Thus the incident  $S_1$  ( $s^2 \sin 2\theta$ )

(-co

A special critical ar

. . . . (9)

Substitution of Eqs. 10 and 11 into Eqs. 13 and 14 yields the two linear equations

$$(1 - 2 s^2 \cos^2 \theta + a \sin \theta) A + (\sin 2\nu + a \cos \theta) B$$
  
= 2 s^2 \cos^2 \theta - 1 + a \sin \theta \dots \dot

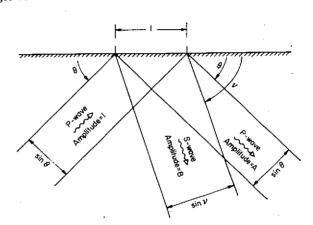
$$\theta - x$$
] (10)

-wave

. . . . . . . (11)

from which the amplitudes A and B may be determined as functions of the incident angle  $\theta$ .





s. These ampli-

х

FIG. 4.-INCIDENT P-WAVE

Incident S-Wave. - The case of an incident S-wave shown in Fig. 3 may be solved in a similar fashion, Eqs. 6 to 9 and Eqs. 12 to 14 are valid for this case but Eqs. 10 and 11 must be replaced by

reflected P-wave
$$\frac{1}{2} = \exp \left[ i h(c, t + z, \tan y - x) \right] + R \exp \left[ i h(c, t - z, \tan y - x) \right]$$
(18)

$$\psi = \exp \left[ i \, k(c \, t + z \, \tan \nu - x) \right] + B \, \exp \left[ i \, k(c \, t - z \, \tan \nu - x) \right]$$
incident S-wave

Thus the linear equations applicable to define A and B for the case of an incident S-wave are as follows:

fined in Eqs. 1 als as follows:

. . . (13)

$$(s^2 \sin 2\theta + b \cos \nu) A + (\cos 2\nu - b \sin \nu) B = -\cos 2\nu - b \sin \nu$$
 (19)

$$(-\cos 2\nu + a \sin \theta) A + (\sin 2\nu + a \cos \theta) B = \sin 2\nu - a \cos \theta$$
 (20)

A special case occurs when the incident angle  $\nu$  becomes smaller than the critical angle  $\nu_{cx}$  defined by

$$\cos \nu_{cr} = s$$
 .....

In this case Eq. 6 yields

$$\cos \theta = \frac{\cos \nu}{s} > 1$$
 ..... (22)

which, upon substitution in Eqs. 19 and 20, leads to complex solutions  $A = A_1 + i\,A_2$  and  $B = B_1 + i\,B_2$  for the amplitudes of the two reflected waves. The physical significance of the complex amplitudes is that a reflected P-wave does not exist and, instead, a wave type similar to a Rayleigh wave appears. This wave, which will be called the boundary wave, travels along the boundary with an amplitude which decreases exponentially with the distance from the boundary.

Energy Considerations.—The purpose of the present investigation is to study the ability of the viscous boundary to absorb impinging elastic waves.

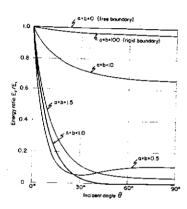


FIG. 5.—ENERGY RATIO FOR INCIDENT P-WAVE

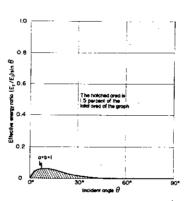


FIG. 6.—EFFECTIVE ENERGY RATIO FOR INCIDENT P-WAVE

A good measure for this ability is the energy ratio defined as the ratio between the transmitted energy of the reflected waves and the transmitted energy of the incident wave. This ratio can be computed from the wave amplitudes A and B by considering the energy flow to and from a unit area of the boundary. The situation for an incident P-wave is shown in Fig. 4. By elementary wave theory it can be shown that the energy transmitted per unit of time through a unit area of the wave front of an S-wave with amplitude B is

$$W_S = \frac{1}{2} \rho V_S \omega^2 B^2 \dots (24)$$

The similar expression for a P-wave with amplitude A is

$$W_P = \frac{1}{2 s} \rho V_S \omega^2 A^2 \dots (25)$$

The area of the wave front for the incoming P-wave in Fig. 4 is  $\sin \theta$  and the

amplitude is uni

$$E_i = \frac{1}{2 s}$$

Similarly, the re

$$E_r = \frac{1}{2 s}$$

and the energy

$$\frac{E_{\gamma}}{E_i} = A^2$$

For a given changle  $\theta$  and Poi Poisson's ratio ratio give simi

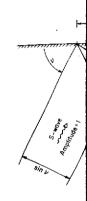


FIG.

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absorbing P-1
The result

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. . . . . . (21)

amplitude is unity. Consequently the incident energy is

$$E_i = \frac{1}{2s} \rho \ V_S \ \omega^2 \sin \theta \ \dots \tag{26}$$

. . . . . . . (22)

ex solutions A = reflected waves, at a reflected P-ayleigh wave aptravels along the with the distance

vestigation is to

Similarly, the reflected energy is

$$E_{\gamma} = \frac{1}{2 s} \rho \ V_S \ \omega^2 A^2 \sin \theta + \frac{1}{2} \rho \ V_S \ \omega^2 B^2 \sin \nu \dots (27)$$

and the energy ratio becomes

$$\frac{E_{\gamma}}{E_{i}} = A^{2} + s \frac{\sin \nu}{\sin \theta} B^{2} \qquad (28)$$

For a given choice of a and b the energy ratio depends only on the incident angle  $\theta$  and Poisson's ratio  $\mu$ . The variation of the energy ratio with  $\theta$  for Poisson's ratio equal to 0.25 is shown in Fig. 5. Other values of Poisson's ratio give similar results. A unit energy ratio corresponds to perfect reflec-



NERGY P-WAVE

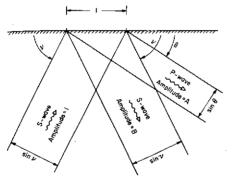


FIG. 7.—INCIDENT S-WAVE

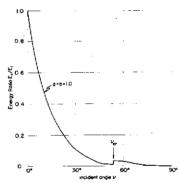


FIG. 8.—ENERGY RATIO FOR INCIDENT S-WAVE

as the ratio bethe transmitted n the wave amunit area of the Fig. 4. By eleitted per unit of nplitude B is

. . . . . . (24)

. . . . . (25)

s sin  $\theta$  and the

tion while a zero energy ratio corresponds to complete absorption. From Fig. 5 it appears that the viscous boundary corresponding to the choice a=b=1 gives maximum absorption. The absorption cannot be made perfect over the whole range of incident angles by any choice of a and b. Fig. 5 shows that nearly perfect absorption is obtained in the range  $\theta>30^\circ$  for a=b=1 while some reflection occurs at smaller angles. Fig. 4 shows that the energy arriving at the unit area of the boundary is proportional to the width  $\sin\theta$  of the wave front. Thus only a small part of the wave energy impinging on a given area will arrive under a small angle, and the average of the product  $(E_{\gamma}/E_i)\sin\theta$  is a good measure of the overall ability of the boundary to absorb energy. The product, herein designated the effective energy ratio, is plotted in Fig. 6. The ratio between the area under the curve and the total area of the graph represents the average of reflected to incident energy. Thus Fig. 6 indicates that the viscous boundary defined by a=b=1 is 98.5% effective in absorbing P-waves.

The results for incident S-waves are somewhat similar. The wave diagram

shown in Fig. 7 and Eqs. 24 and 25 give the following counterparts to Eqs. 28  $\,$ 

For the special

vary as shown

$$E_i = \frac{1}{2} \rho V_S \omega^2 \sin \nu \qquad (29)$$

$$E_r = \frac{1}{2} \rho \ V_S \ \omega^2 \sin \nu \cdot B^2 + \frac{1}{2 s} \rho \ V_S \ \omega^2 \sin \theta A^2 \dots (30)$$

and the energy ratio becomes

$$\frac{E_{\mathcal{X}}}{E_i} = B^2 + \frac{1}{s} \frac{\sin \theta}{\sin \nu} A^2 \quad \text{for } \nu \ge \nu_{c_{\mathcal{X}}} \quad \dots \quad (31)$$

This equation is valid only in the region  $\nu > \nu_{cr}$ . For the subcritical region Eq. 30 must be replaced by

$$E_r = \frac{1}{2} \rho \ V_S \ \omega^2 \sin \nu \left( B_1^2 + B_2^2 \right) \dots$$
 (32)

Eq. 32 does not include energy carried by the boundary wave because this wave transmits no energy to or from the boundary. The energy ratio becomes

The variation of the energy ratio with the angle of incidence for the case a=b=1, and  $\mu=0.25$  is shown in Fig. 8. The curve is similar to Fig. 5 determined for the incident P-wave, and it may be shown that the viscous boundary defined by a=b=1 is 95% effective in absorbing S-waves. It is important to note that the boundary wave has not been accounted for in complete detail, and some irregularities must be expected due to the existence of this wave. A more detailed study has shown that the relative effect of the boundary wave decreases with increasing frequency and increasing length of the boundary.

In summary it has been shown that the viscous boundary defined by

$$\sigma = \rho \ V_P \dot{w} \quad \dots \qquad (34)$$

and 
$$\tau = \rho \ V_S \ \dot{u} \ \dots$$
 (35)

is a nearly perfect absorber of harmonic elastic waves. Because the absorption characteristics are independent of frequency, the boundary can absorb both harmonic and nonharmonic waves. The viscous boundary defined by Eqs. 34 and 35 will be referred to as the standard viscous boundary.

Rayleigh Wave Absorption.—For steady state problems it is possible to design a viscous boundary that can completely absorb Rayleigh waves. The required boundary is similar to the standard viscous boundary defined in Eqs. 1 and 2 except that the parameters a and b vary with the distance from the free surface.

Consider a Rayleigh wave travelling with velocity  $V_R$  in the positive x-direction along the surface of the half space shown in Fig. 9. As shown by Ewing, Jardetzky and Press (2), the displacements are

$$u = f(kz) \sin(\omega t - kx) \dots (36)$$

$$w = g(kz) \cos (\omega t - kx) \dots (37)$$

in which the wave number k is defined by

-0.2 0

The value of

pressed as a

 $\mu = 1/4$  they

f(kz)

FIG. 10

 $V_R = \cdot$ 

rparts to Eqs. 26

. . . . . . . (29)

For the special case of a homogeneous half space the functions f(kz) and g(kz) vary as shown in Fig. 10(a). The velocity of the Rayleigh wave may be ex-

. . . . . . . (30)

ubcritical region

ive because this gy ratio becomes

. . . . (33)

for the case a = to Fig. 5 deterviscous boundary It is important to uplete detail, and of this wave. A e boundary wave the boundary. efined by

use the absorplary can absorb defined by Eqs.

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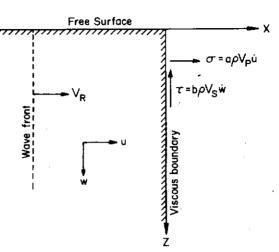


FIG. 9.—RAYLEIGH WAVE ABSORPTION

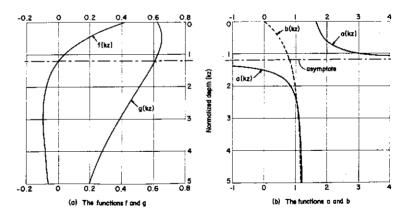


FIG. 10.—RAYLEIGH WAVE IN HOMOGENEOUS HALF SPACE ( $\mu = 1/4$ )

the positive x- 9. As shown by

pressed as a fraction of 
$$V_S$$
 by  $V_R = rac{V_S}{n} \ldots \ldots \ldots \ldots \ldots \ldots \ldots$ 

. . . (37)

The value of  $\eta$  and the functions f(kz) and g(kz) vary with Poisson's ratio. For  $\mu$  = 1/4 they are  $\eta$  = 1.08766 and

$$f(kz) = D \left[ \exp(-0.8475 \, kz) - 0.5773 \, \exp(-0.3933 \, kz) \right] \dots \dots \dots (40)$$

 $g(kz) = D \left[ -0.8475 \exp(-0.8475 kz) + 1.4679 \exp(-0.3933 kz) \right] \dots (4i) \text{ force; } t = \text{time;}$ 

in which D is a constant.

The compressive stress on a vertical plane is

$$\sigma = -(\lambda + 2 G) \frac{\partial u}{\partial x} - \lambda \frac{\partial w}{\partial z} - \dots$$
 (42) The dimensionle

in which  $\lambda = 2 G \mu/(1 - 2 \mu)$  is Lame's constant. Substitution of Eqs. 36 and 37 yields

in which the notation g'(kz) indicates the differentiation, dg/d(kz). Similarly the shear stress on a vertical plane is

$$\tau = -k G[f'(kz) + g(kz)] \sin(\omega t - kx) \dots (44)$$

The particle velocities are by simple differentiation of Eqs. 36 and 37

$$\dot{u} = \omega f(kz) \cos (\omega t - kx) \dots (45)$$

$$\dot{w} = -\omega g(kz) \sin(\omega t - kx) \dots (46)$$

Perfect energy absorption will be obtained if Egs. 1 and 2 are satisfied identically. The required values of a and b may therefore be found by simple substitution of Eqs. 43 to 46 into Eqs. 1 and 2. The result is

$$a(kz) = \frac{1}{\rho V_P} \frac{\sigma}{u} = \frac{\eta}{s} \left[ 1 - (1 - 2 s^2) \frac{g'(kz)}{f(kz)} \right] \dots (47)$$

$$b(kz) = \frac{1}{\rho V_S} \frac{\tau}{w} = \eta \left[ 1 + \frac{f'(kz)}{g(kz)} \right] \dots (48)$$

The variation of a and b with kz is shown in Fig. 10(b), Recognizing that the physical meaning of the variable kz is  $2 \pi \times depth/wavelength$  it can be seen that at depths greater than one-half wave length the parameters a and b approach constant values. At the depth where the horizontal displacement vanishes the parameter a goes to infinity which agrees with the physical fact that an infinitely viscous dashpot is required to fix a point,

## NUMERICAL METHOD

The proposed method has been applied to the foundation vibration problem defined in Fig. 11. The system is axisymmetrical about a vertical axis and consists of a weightless, perfectly rigid, frictionless, circular footing resting on a homogeneous elastic half space. The footing is excited by a vertical harmonic force in the axis of symmetry. This problem has the advantage that an independent solution is available [see Lysmer and Richart (3)] which may be used to check the accuracy of the solution obtained by the method proposed herein.

The vertical displacement  $\delta$  of the footing is

$$\delta = \frac{P_a}{K} F e^{i\omega t} \dots (49)$$

in which  $P_o$  and  $\omega$  = the amplitude and frequency, respectively, of the exciting

 $K = \frac{4 G r}{1 - 1}$ 

displacement fur less frequency r

$$a_o = \frac{\omega \, \gamma_o}{V_S}$$

The variation of The variation of

> A finite mo with the stand Fig. 13(a). Th analytical me ment system

Finite Eler nique of nume will be presen

 $[M]\{ii\}$ 

in which  $\{u\}$  a

(41) force; t = time; and K = the static spring constant. It may be shown that

... (42) The dimensionless complex quantity  $F = F_1 + iF_2$ , herein designated the displacement function, is a function of Poisson's ratio  $\mu$  and the dimensionless frequency ratio  $a_o$  defined by n of Eqs. 36 and

$$a_o = \frac{\omega r_o}{V_S} \quad \dots \tag{51}$$

The variation of  $F_1$  and  $F_2$  with  $a_o$  is given in Fig. 12 for the case  $\mu=1/3$ . The variation of  $F_1$  and  $F_2$  with  $\mu$  is insignificant. l(kz). Similarily

. . . . . . . . (44)

. . . . . . . . (45)

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. . . . . . . (46) e satisfied iden-. I by simple sub-

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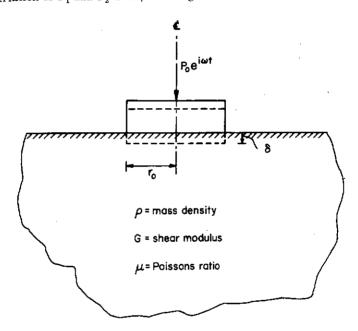


FIG. 11.-FOUNDATION VIBRATION PROBLEM

A finite model of the infinite system was formed by replacing the far field with the standard viscous boundary defined in Eqs. 34 and 35 and is shown in Fig. 13(a). The response of the resulting finite system cannot be found by analytical methods but may be approximated by the response of a finite element system of the type shown in Fig. 13(b).

Finite Element Method. - The finite element method is an established technique of numerical analysis and only details germane to the problem at hand will be presented herein. The equation of motion for the system in Fig. 13(b) is

$$[M]\{\vec{u}\} + [C]\{\hat{u}\} + [K]\{u\} = \{P\}\dots$$
 (52)

in which  $\{u\}$  and  $\{P\}$  are the displacement vector and the exciting force vector,

pration problem ertical axis and footing resting d by a vertical advantage that : (3)] which may ethod proposed

. . . . . . (49)

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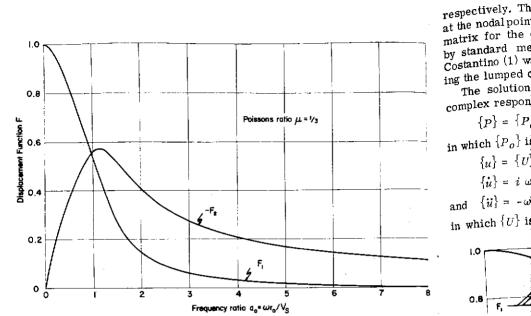


FIG. 12.—DISPLACEMENT FUNCTION FOR RIGID CIRCULAR FOOTING ON ELASTIC HALF SPACE (3)

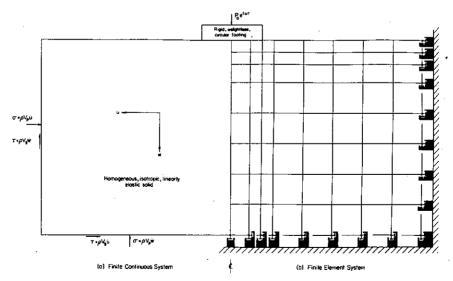


FIG. 13.—FINITE MODELS FOR FOOTING ON HALF SPACE



0.2

The solution

 $\{P\} = \{P,$ 

 $\{u\} = \{U\}$ 

independent  $[ar{K}]$  { U

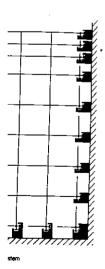
Eas. 53, 54a

in which [K]

 $\lceil \overline{K} \rceil =$ 

Eq. 55 cons be solved :

TING ON ELASTIC



ACE

respectively. The mass matrix [M] is diagonal because all masses are lumped at the nodal points. The stiffness matrix [K] is identical to the static stiffness matrix for the case where all nodal points are free and may be determined by standard methods. The linear strain rectangular element described by Costantino (1) was used. The damping matrix [C] is a diagonal matrix containing the lumped coefficients for the dashpots on the viscous boundary.

The solution to the steady state problem may be found by the method of

complex response. The force vector is

$$\{P\} = \{P_o\} e^{i\omega t}$$
in which  $\{P_o\}$  is a constant vector. The response is
$$\{u\} = \{U\} e^{i\omega t}$$

$$\{u\} = i \omega \{U\} e^{i\omega t}$$
(54a)

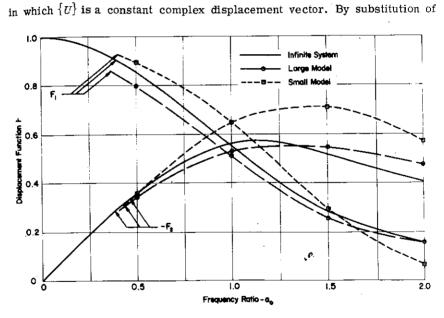


FIG. 14.—RESULTS WITH STANDARD VISCOUS BOUNDARY

Eqs. 53, 54a, 54b, and 54c into Eq. 52 the equation of motion becomes time independent and takes the form

$$[\overline{K}] \{U\} = \{P_o\} \qquad ... \qquad .$$

Eq. 55 constitutes a system of linear equations in complex variables and may be solved for the unknown complex displacements  $\{U\}$  by standard methods.

The displacement vector  $\{U\}$  contains the footing displacement  $\delta$ ; thus, Eqs. all dimensions of 49 and 50 can be used to compute the real and imaginary parts of the displace. ment function  $F = F_1 + i F_2$  for comparison with the solution for the infinite ber of nodal point system.

Choice of Finite Element Mesh. - The accuracy of the finite element method depends on the ratio obtained by dividing the length of the side of the largest element by the minimum wavelength of elastic waves propagating in the sys-

TABLE 1.—COMPARISON OF DISPLACEMENT FUNCTIONS FOR SMOOTH AND ROUGH FOOTINGS

a <sub>0</sub> (1)	$F_1$		F <sub>2</sub>	
	Smooth footing (2)	Rough footing (3)	Smooth footing (4)	Rough footing (5)
0.5	0.8312	0.8089	-0.3688	-0.3533
1.0	0.5593	0.5604	-0.5473	-0.5185
1.5	0.3115	0.3327	-0.5442	-0.5332

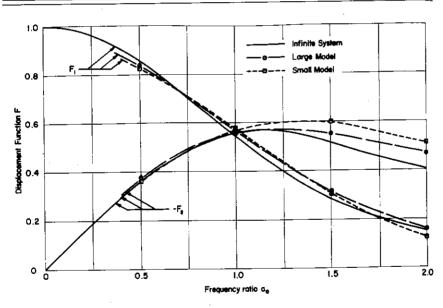


FIG. 15.—RESULTS WITH RAYLEIGH WAVE BOUNDARY

tem. For accurate results this ratio should be smaller than approximately 1/12. The accuracy depends on the distance from the excited zone to the viscous boundary due to imperfect wave absorption. The error decreases with an increasing ratio between this distance and the minimum wavelength.

The model must be accurate within the operating frequency range for a given problem. From the preceding remarks it is clear that an increase in frequency: (1) Requires a decrease in element size; and (2) allows the over-

each other; there criterion.

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icy range for a an increase in llows the overall dimensions of the model to be reduced. These two effects tend to cancel each other; therefore, the accuracy is primarily a function of the total number of nodal points provided the element size is maintained within the subject criterion.

#### NUMERICAL RESULTS

Numerical results were obtained with two basic models: (1) A small model with 238 nodal points and a distance to the viscous boundary of  $3/4 \times$  the wavelength of Rayleigh waves; and (2) a large model with 682 nodal points and a distance to the boundary of  $1-1/2 \times$  the wavelength of Rayleigh waves. All calculations were carried out on a CDC 6400 computer. The total processing time required to obtain a value for F at one frequency was 2 min for the small model and 8 min for the large model.

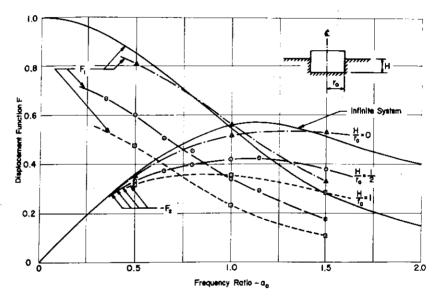


FIG. 16.—RESULTS FOR ROUGH, EMBEDDED FOOTINGS

Comparison with Existing Solution.—Fig. 14 shows a comparison between the displacement function for the infinite system and values obtained utilizing the standard viscous boundary. Good agreement was found when the large model was used while only fair agreement was found for the values obtained by the small model.

A detailed study of the displacement fields obtained with the standard viscous boundary condition revealed minor irregularities caused by a reflected surface wave. The existence of this wave was to be expected because Miller and Pursey (4) have shown that 67% of the energy radiating from a vertically vibrating footing is transmitted in the form of a Rayleigh wave and this type of wave cannot be completely absorbed by the standard viscous boundary. In an attempt to improve the accuracy, the standard viscous boundary on the

vertical face of the model in Fig. 13 was replaced by the Rayleigh wave absorbing boundary defined in Eqs. 47 and 48. The results of calculations base on this model are shown in Fig. 15, and indicates a significant gain in accuracy over the results obtained with the standard viscous boundary. It is proposed, therefore, that the Rayleigh wave boundary be used for all steady state half space problems. The Rayleigh wave boundary cannot be used for transient problems since it is frequency dependent.

Rough Versus Frictionless Footings.—The displacement function shown  $i_t$  Fig. 12 was derived on the assumption of a frictionless surface between  $th_t$  footing and the half space. Because most footings are poured directly on  $th_t$  ground it is of interest to study the effect of the introduction of a rough interface. This is easily accomplished by the finite element method and Table 1 shows the small difference between the displacement functions for a rough

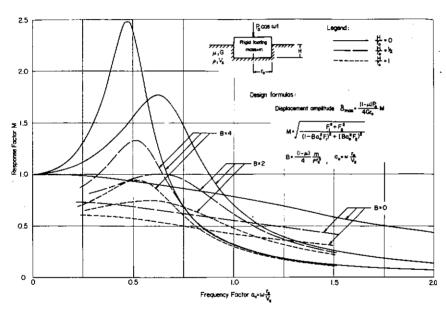


FIG. 17.—STEADY STATE SPECTRA FOR EMBEDDED FOOTINGS

and a frictionless footing. The large model with the Rayleigh wave boundary was used in all computations.

Embedded Foundations.—A more complicated case occurs when a rough rigid footing is embedded in the half space. This problem is easily solved by the proposed method due to the great flexibility of the finite element method. Computed displacement functions for two ratios of foundation depth to footing radius are shown in Fig. 16. In both cases the displacement functions are defined in Eqs. 49 and 50. Therefore, the difference between the curves for the embedded footings and the footing on the surface represents the error resulting from applying the theory for footings on the surface to embedded footings. The large model and the Rayleigh wave boundary condition were used in all computations.

It is convenient form of responsions shown by Lysmen

$$\delta = \frac{P_a}{K} \ \overline{1}$$

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$$B=\frac{1-4}{4}$$

and K is defined The displace

$$\delta_{\text{max}} = \frac{r}{I}$$

in which M = th

$$M = \left| \frac{1}{1} \right|$$

Design curves sented in Fig. 1

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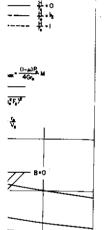
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It is convenient for design usage to present the preceding results in the form of response curves reflecting the effect of the mass of the footing. As shown by Lysmer and Richart (3) the displacement of a footing with mass m is

$$\delta = \frac{P_o}{K} \frac{F}{1 - B a_o^2 F} e^{i\omega t} \dots (57)$$

in which B = the mass ratio defined by

$$B = \frac{1 - \mu}{4} \cdot \frac{m}{\rho r_o^3} \quad . \tag{58}$$

and K is defined in Eq. 50.

The displacement amplitude is

$$\delta_{\max} = \frac{P_o}{K} M \dots (59)$$

in which M = the response factor, expressed as

$$M = \left| \frac{F}{1 - B a_o^2 F} \right| = \sqrt{\frac{F_1^2 + F_2^2}{(1 - B a_o^2 F_1)^2 + (B a_o^2 F_2)^2}} \quad . \quad . \quad . \quad (60)$$

Design curves based on values of  $F_1$  and  $F_2$  obtained from Fig. 16 are presented in Fig. 17.

### CONCLUSIONS

A numerical method for the analysis of dynamic problems involving infinite continuous systems has been presented. Results obtained by the proposed method show excellent agreement with available theoretical results for an axisymmetrical foundation vibration problem. Two previously unsolvable problems analyzed by the new method were: (1) The effect of friction between a vibrating footing and its foundation; and (2) the effect of embedment of a vibrating footing into the subsoil. The latter effect is quite pronounced and a set of response curves for design use are presented.

The analytical method described has applications far beyond the simple cases presented herein. It may be applied to any infinite system for which all disturbances and irregular geometrical features are limited to a small region of an otherwise homogeneous and linearly elastic space. The irregular zone need not be linear and the exciting forces need not be harmonic; they should have a vanishing time average, however, because the viscous boundary cannot support a static load. Problems involving nonlinearities and transient loads obviously cannot be handled by the method of complex vectors used in this paper but they may be solved by step-by-step procedures.

Only elastic solids have been considered in this paper, but with some modification, it should be possible to adapt the proposed method for the analysis of the near field around antennae and acoustic generators.

#### ACKNOWLEDGMENTS

The National Science Foundation provided the financial support for this investigation through the Research Initiation Grant No. NSF-GK-1467 to the

University of California at Berkeley. The writers are grateful for this sun, port. All computations were performed on the CDC 6400 digital computer at the University of California at Berkeley.

## APPENDIX I.-REFERENCES

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#### APPENDIX II.—NOTATION

The following symbols are used in this paper:

```
A = \text{amplitude of reflected P-wave (see Fig. 2)};
```

 $A_1, A_2$  = real and imaginary parts of A;

a = dimensionless viscous coefficient related to normal stress (see Eq. 1);

 $a_0$  = frequency ratio (see Eq. 51);

 $B = \text{amplitude of reflected S-wave (see Fig. 2), or mass ratio (see$ Eq. 58);

 $B_1, B_2$  = real and imaginary parts of B;

b = dimensionless viscous coefficient related to shear stresses (see Eq. 2); [C] = damping matrix (see Eq. 52);

c =velocity of wave front along boundary (see Eq. 7);

D = arbitrary constant (see Eq. 40);

 $E_i$  = energy of incident waves (see Eq. 26);  $E_r$  = energy of reflected waves (see Eq. 27);

e = 2.718... = base of natural logarithm;

F = displacement function (see Eq. 49);

 $F_1$ ,  $F_2$  = real and imaginary parts of F;

f(kz) = amplitude function for the horizontal component of Rayleigh wave (see Eq. 36);

G = shear modulus;

g(kz) = amplitude function for the vertical component of Rayleigh wave (see Eq. 37);

H = depth of embedment (see Fig. 17);

K

[K]

 $\lceil M \rceil$ 

 $\{P\}$ 

 $\{U\}$ 

u, u $\{u\}, \{\dot{u}\}, \{\ddot{u}\}$ 

=  $W_{P} =$  $W_{S}$ 

 $w, \dot{w}$ 

δ  $\delta_{
m max}$ 

> η д

λ μ

 $v_{c r}$ 

π ρ σ

Τ

```
i = imaginary unit;
eful for this sup.
                               K = \text{spring constant (see Eq. 50)};
igital computer at
                              [K] = stiffness matrix (see Eq. 52);
                              [\bar{K}] = modified complex stiffness matrix (see Eq. 56);
                               k = wave number (see Eqs. 12 and 38);
                              M = \text{response factor (see Eq. 60)};
                             [M] = \text{mass matrix (see Eq. 52)};
                              m = \text{mass of footing (see Fig. 17)};
                             {P} = force vector, time dependent (see Eq. 52);
                              P_{\alpha} = amplitude of harmonic force on footing (see Fig. 11);
ournal of the Engineer.
                           \{P_n\} = force vector, time independent (see Eq. 53);
, 1967, pp. 153-176.
                              r_a = radius of footing (see Fig. 11);
ia, McGraw-Hill, New
                               s = \text{ratio} between the velocities of S-waves and P-waves (see Eq. 5);
                                t = time;
ical Loading," Journal
                             \{U\} = displacement vector, time independent (see Eq. 54);
VII, Proc. Paper 4592
                            u, \dot{u} = \text{displacement} and velocity in x-direction;
tic Waves in a Semi.
                    \{u\}, \{\dot{u}\}, \{\ddot{u}\} = \text{displacement}, \text{ velocity and acceleration vector (see Eq. 52)};
955, pp. 55-59.
                              V_P = velocity of P-waves (see Eq. 4);
                             V_R = velocity of Rayleigh waves (see Eq. 39);
                              V_S = velocity of S-waves (see Eq. 3);
                             W_P = intensity of P-waves (see Eq. 25);
                             W_S = intensity of S-waves (see Eq. 24);
                            w, \dot{w} = \text{displacement} and velocity in z-direction;
                               x = \text{horizontal coordinate};
                               z = vertical coordinate;
                             \delta = complex vertical displacement of footing (see Eq. 49);
                           \delta_{\text{max}} = absolute value of \delta = amplitude (see Eq. 59);
                                 = ratio between velocities of S-waves and Rayleigh waves (see
to normal stress
                                     Eq. 39);
                               \theta = angle between P-wave ray and boundary (see Fig. 2);
                               \lambda = \text{Lame's constant (see Eq. 42)};
                               \mu = Poisson's ratio;
r mass ratio (see
                               \nu = angle between S-wave ray and boundary (see Fig. 3);
                                 = critical value of \nu (see Eq. 21);
                               \pi = 3.1416\ldots;
shear stresses
                                 = mass density;
                               ø
                                 = normal stress:
1. 7);
                               \tau = shear stress;
                                 = wave potential for P-waves (see Eq. 8);
                               \psi = wave potential for S-waves (see Eq. 9); and
```

 $\omega$  = circular frequency.

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