

Higher-probability content is more projective than lower-probability content

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Abstract

abstract

1 Introduction

JT: We need an introduction that talks about how speakers and listeners are sensitive to prior probabilities assigned to events in using and interpreting language. Events differ in how likely they are: for instance, if Sam is a broke college student, then the event of Sam buying a coffee is more likely than Sam buying a BMW. Subjective prior probabilities assigned to events, has been shown to affect interpretation in ways captured by models of language use that treat interpretation as Bayesian reasoning about an observed utterance (see, e.g., Franke & Jäger, 2016; Goodman & Frank, 2016).

- (1) a. Jane knows that Sam has a new hat.
- b. Jane thinks that Sam has a new hat.

In making utterances, speakers present themselves as committed to the truth of some utterance content and as not committed to the truth of other utterance content.

- (2) a. Mary's daughter stopped biting her nails.
- b. Has Mary's daughter stopped biting her nails?

projective content: content that a speaker presents themselves as committed to
stop nails 0.79

Has Mary's daughter stopped biting her nails?

Mary's daughter has been biting her nails

stop play 0.89

Did Jack stop playing outside with the kids?

Jack was playing outside with the kids

- does the prior probability of content influence its projectivity?

We investigate this question based on content at the center of research on projection:

- factive predicates: projective CC, but there's variability
- optionally factive predicates: projective, but less so than with factive predicates
- non-factive: CC taken to be non-projective, but suggestive evidence to the contrary and perhaps with higher probability content, we can get some speaker commitment?

In making utterances, speakers present themselves as committed to the truth of some utterance content and as not committed to the truth of other utterance content. For example, if a speaker utters the polar question in (3), they may be committed to the truth of the content of the complement, that Sam has a new hat, but they are likely not committed to the main clause content, that Jane knows of Sam’s new hat; rather, this is the content they are asking about.

(3) Does Jane know that Sam has a new hat?

One cue that listeners rely on in identifying which content speakers present themselves as committed to are the expressions uttered. If, for example, a speaker utters the variant of (3) with *think*, given in (4), then the speaker is typically committed neither to the content of the complement nor to the main clause content.

(4) Does Jane think that Sam has a new hat?

The inference to the truth of the content of the complement has long been taken to categorize clause-embedding predicates: the inference is typically taken to arise with factive predicates, like *know*, but not with non-factive predicates, like *think* (Kiparsky and Kiparsky 1970, Karttunen 1971, i.a.). However, Tonhauser, Beaver, and Degen 2018 recently showed that there is variability among factive predicates in the inference to the truth of the content of the complement. One source of variability was the factive predicate: for instance, the inference was less likely to arise with *reveal* than with *discover*; for both of these predicates it was less likely than with *know*. Another source of variability was the lexical content of the clausal complement, that is, whether content of the complement was ‘Sam has a new hat’, as in (3), or ‘Sam has a new BMW’. The authors hypothesized that the inference to the truth of the content of the complement may depend on its prior subjective probability, such that the inference to the truth of the content of the complement is more likely to arise the higher its prior probability. This paper provides support for this hypothesis in an experimental investigation of the contents of the complements of 20 English clause-embedding predicates for which the prior probability was systematically manipulated.

We argue that this finding provides support for constraint-based projection analyses that derive the projectivity of utterance content from the integration of multiple cues, including prior CC probability and the meanings of clause-embedding predicates.

The 20 predicates we investigated are listed in (5) with the categories they are typically taken to fall into: factive predicates in (5a), non-factive predicates in (5b) and optionally factive predicates in (5c). Specifically, the 5 factive predicates in (5a) include the cognitive predicate *know*, the change-of-states predicates *discover* and *reveal*, the sensory predicate *see*, and the emotive predicate *be annoyed*. The 6 non-factive predicates in (5b) include 4 ‘non-veridical non-factive’ predicates *pretend*, *suggest*, *say* and *think*, whose CC is typically taken to be neither presupposed nor entailed, as well as 2 ‘veridical non-factive’ predicates *be right* and *demonstrate*, whose CC is typically taken to be entailed but not presupposed.² The 9 optionally factive predicates in (5c) include *acknowledge*, *admit* and *announce*, which Kiparsky and Kiparsky 1970 listed as optionally factive, as well as *confirm*, *inform*, *confess*, *establish*, *hear* and *prove*.³

(5) 20 clause-embedding predicates

- a. factive: *be annoyed*, *discover*, *know*, *reveal*, *see*
- b. optionally factive: *acknowledge*, *admit*, *announce*, *confess*, *confirm*, *establish*, *hear*, *inform*, *prove*
- c. non-factive: *pretend*, *suggest*, *say*, *think*, *be right*, *demonstrate*

Prior probability was manipulated by presenting a content with two facts: one that makes content have higher probability and one that makes the content have a lower probability.

We hypothesized that the prior probability of the content of the clause would be relatively high (though not at ceiling) with one fact (referred to as the content’s ‘higher probability fact’) and relatively low (though not at floor) with the other (referred to as the content’s ‘lower probability fact’): for instance, in (9a), we hypothesized that the prior probability of Julian dancing salsa is higher if Julian is Cuban than if Julian is German.

¹The experiments, data and R code for generating the figures and analyses of the experiments reported on in this paper are available at [redacted for review].

²Anand and Hacquard (2014) assumed that *demonstrate* is veridical, in contrast to Anand et al. ms. This latter work also takes *reveal* to be non-factive, in contrast to, for instance, Egré 2008, Wyse 2010 or Tonhauser et al. 2018.

³Different categories have been assumed for these 6 predicates. For *confirm* and *inform*, Anand and Hacquard (2014) took them to be optionally factive, but recall from above that Schlenker (2010) took *inform* to be factive. For *prove*, White and Rawlins (2018) suggested that it is optionally factive, but Anand and Hacquard (2014) took it to be non-veridical and non-factive. For *confess*, Swanson (2012) took it to be factive, Karttunen (2016) only took it to commit the speaker to the subject of the attitude being committed to the CC, and Wyse (2010) listed it under the non-factive predicates. For *establish*, Swanson (2012) took it to be non-factive, but Wyse (2010) listed it under the factive predicates. Finally, we also included *hear* in this class: even though it is often considered a factive sensory predicate (e.g., Beaver 2010, Anand and Hacquard 2014), it has been observed that *hear* also has a non-factive reportative evidential sense (see, e.g., Anderson 1986, Simons 2007), especially when it is combined with complements that describe events that cannot be auditorily perceived, as is the case in our experiments.

The influence of prior probability on projection has been investigated in Lorson 2018 and Mahler 2020. Lorson (2018) investigated the influence of listeners' prior beliefs on the projection of the pre-state content of English *stop*: for instance, the pre-state content of the stimuli in (6) is that James has worked as a plumber, in (6a), and that Linda has worked as a plumber, in (6b).

- (6) a. Did James stop working as a plumber?
- b. Did Linda stop working as a plumber?

Building on Boyce et al. 2018, gender stereotypes were used to manipulate listeners' prior beliefs: for instance, because listeners assign a higher prior probability to a man being a plumber than to a woman being a plumber, the hypothesis was that the pre-state content of (6a) was more projective than that of (6b). Lorson (2018) did not find empirical support for this hypothesis.

Mahler (2020)

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Stevens et al. 2017: "We also observed that the influence of prosody on the projectivity of the preadjacent was heterogeneous across items. This observation suggests enriching the model with information about listeners' prior expectations about the preadjacent, e.g., about how likely somebody is to smile given that they didn't smile cheerfully." (p.1149)

2 Experiment 1: Projection and within-participant prior probability

Two blocks: projection block, prior block. Collected certainty ratings and likelihood ratings.

Participants 300 participants with U.S. IP addresses and at least 99% of previous HITs approved were recruited on Amazon’s Mechanical Turk platform (ages: xx-xx, median: xx; xx female, xx male). They were paid \$1.80 for participating.

Materials In the projection block, the 20 clauses were realized as the complement clauses of the 20 clause-embedding predicates, for a total of 400 predicate/clause combinations. These predicate/clause combinations were realized as polar questions, as shown in the sample target stimuli in (7), in which Carol’s polar questions realize the predicate *know* and the complement clause *Julian dances salsa*. Each polar question was presented with one of the two facts of the clause: the clause *Julian dances salsa* is presented in (7a) with the higher probability fact and in (7b) with the lower probability fact. For the full set of 800 predicate/clause/fact combinations see Appendix A.

- (7) a. **Fact (which Carol knows):** Julian is Cuban.
Carol: Does Sandra know that Julian dances salsa?
b. **Fact (which Carol knows):** Julian is German.
Carol: Does Sandra know that Julian dances salsa?

To assess whether participants were attending to the task, the projection block included 6 control stimuli, whose main clause content does not project; the same 6 control stimuli were presented to all participants. For example, in the control stimulus in (8), the speaker is not committed to the main clause content, that Zack is coming to the meeting tomorrow. The control stimuli were presented with a fact that we hypothesized did not influence the prior probability of the content. For the full set of control stimuli see Appendix A.

- (8) **Fact (which Margaret knows):** Zack is a member of the golf club.
Margaret: Is Zack coming to the meeting tomorrow?

In the prior block, the 20 clauses were realized as polar questions and paired with the higher or the lower probability fact; for the full set of 40 target stimuli see Appendix A. As shown in the sample target stimuli in (9), the facts were identified by ‘**Fact:**’.

- (9) a. **Fact:** Julian is Cuban.
How likely is it that Julian dances salsa?
b. **Fact:** Julian is German.
How likely is it that Julian dances salsa?

The prior block included 6 filler stimuli, which were formed from the same 6 main clauses as the control stimuli in the projection block; the same 6 filler stimuli were presented to all participants. For example, the filler stimulus in (10) is formed from the main clause content of the control stimulus in (8). We expected participants to give mid-range likelihood ratings to these contents, but did not use these stimuli to assess participants’ attention to the task.

- (10) **Fact:** Zack is a member of the golf club.
How likely is it that Zack is coming to the meeting tomorrow?

For each participant, a random combination of the 20 clauses with a fact was generated, for a total of 20 clause/fact combinations. In the projection block, participants gave certainty ratings for the contents

of these 20 clauses given the facts; in the prior block, participants gave likelihood ratings for the contents of these 20 clauses given the facts. In the projection block, the 20 clauses were randomly realized as the complements of the 20 clause-embedding predicates, so that each participant gave a certainty rating for the content of the complement of each predicate. Participants saw a total of 52 stimuli: 20 target stimuli in each block, 6 control stimuli in the projection block and 6 filler stimuli in the prior block. Block order and within-block trial order were randomized.

Procedure In the projection block, participants were told to imagine that they are at a party and that, on walking into the kitchen, they overhear somebody ask somebody else a question. Participants were asked to rate whether the speaker was certain of the content of the complement, taking into consideration the fact that was presented. They gave their responses on a slider marked ‘no’ at one end (coded as 0) and ‘yes’ at the other (coded as 1), as shown in Figure 1a. In the prior block, participants were told to read facts and to assess the likelihood of events, given those facts. They gave their responses on a slider marked ‘impossible’ at one end (coded as 0) and ‘definitely’ at the other (coded as 1), as shown in the sample trial in Figure 1b

(a) Projection block
(b) Prior block

Figure 1: Sample trials in Exp. 1

After completing the experiment, participants filled out a short, optional survey about their age, their gender, their native language(s) and, if English is their native language, whether they are a speaker of American English (as opposed to, e.g., Australian or Indian English). To encourage them to respond truthfully, participants were told that they would be paid no matter what answers they gave in the survey.

Data exclusion Prior to analysis, the data from xx participants who did not self-identify as native speakers of American English were excluded. To assess whether the remaining xx participants attended to the task, we inspected their responses to the control stimuli in the projection block. The response means of xx participants were more than 2 standard deviations above the group mean. We excluded the data from these participants, leaving data from xx participants (ages xx-xx; median: xx; xx female, xx male).

2.1 Results

Figure 2 shows the mean certainty ratings for the target stimuli by predicate and by the prior probability of the content of the complement; the mean certainty rating for the non-projective main clause controls are also included. As shown, mean certainty ratings were higher when the content had a higher prior probability than when it had a lower prior probability, as hypothesized by Tonhauser et al. (2018). This finding suggests that prior content probability influences projection. Furthermore, the effect of prior content probability on projectivity was present across all 20 clause-embedding predicates.

Figure 2: Mean certainty ratings by predicate and prior probability of the content of the complement. Error bars indicate 95% bootstrapped confidence intervals.

2.2 Discussion

Possible that participants' responses on either block primed their responses on the other block, so we also conducted experiment where prior probability ratings were collected from a different population.

3 Experiment 2: Prior probability

The prior probability of the content of the 20 clauses given two facts each was assessed by a different set of participants than those of Exp. 1.

3.1 Methods

Participants 95 participants with U.S. IP addresses and at least 99% of previous HITs approved were recruited on Amazon's Mechanical Turk platform (ages: 21-75, median: 33; 45 female, 50 male). They were paid 55 cents for participating. **JT: None of them participated in Exp. 1.**

Materials The 40 target stimuli were identical to the target stimuli of the prior block of Exp. 1. For each participant, a random combination of 20 clause/fact combinations was generated, for 20 target stimuli. Each participant also saw the two control stimuli in (8), which were included to assess whether participants were attending to the task: we expected participants to rate the prior probability of the content in (8a) as high and that of the content in (8b) as low. Trial order of the 22 stimuli was random.

- (11) a. **Fact:** Barry lives in Germany.
How likely is it that Barry lives in Europe?
- b. **Fact:** Tammy is a rabbit.
How likely is it that Tammy speaks Italian and Greek?

Procedure The procedure was identical to the procedure of the prior block of Exp. 1. After completing the experiment, participants filled out the same optional survey as in Exp. 1.

Data exclusion Prior to analysis, the data from 8 participants who did not self-identify as native speakers of American English were excluded. To assess whether the remaining 87 participants attended to the task, we inspected their responses to the 2 control stimuli. The response means of 12 participants were more than 2 standard deviations below the group mean of the control in (8a) or more than 2 standard deviations above the group mean of the control in (8b). We excluded the data from these participants, leaving data from 75 participants (ages 21-75; median: 35; 34 female, 41 male).

3.2 Results and discussion

Figure 3 plots the mean likelihood ratings for the 20 contents by fact: for this new set of participants, too, the mean likelihood rating of the content of each of the 20 clauses is higher with the higher probability fact than with the lower probability fact.

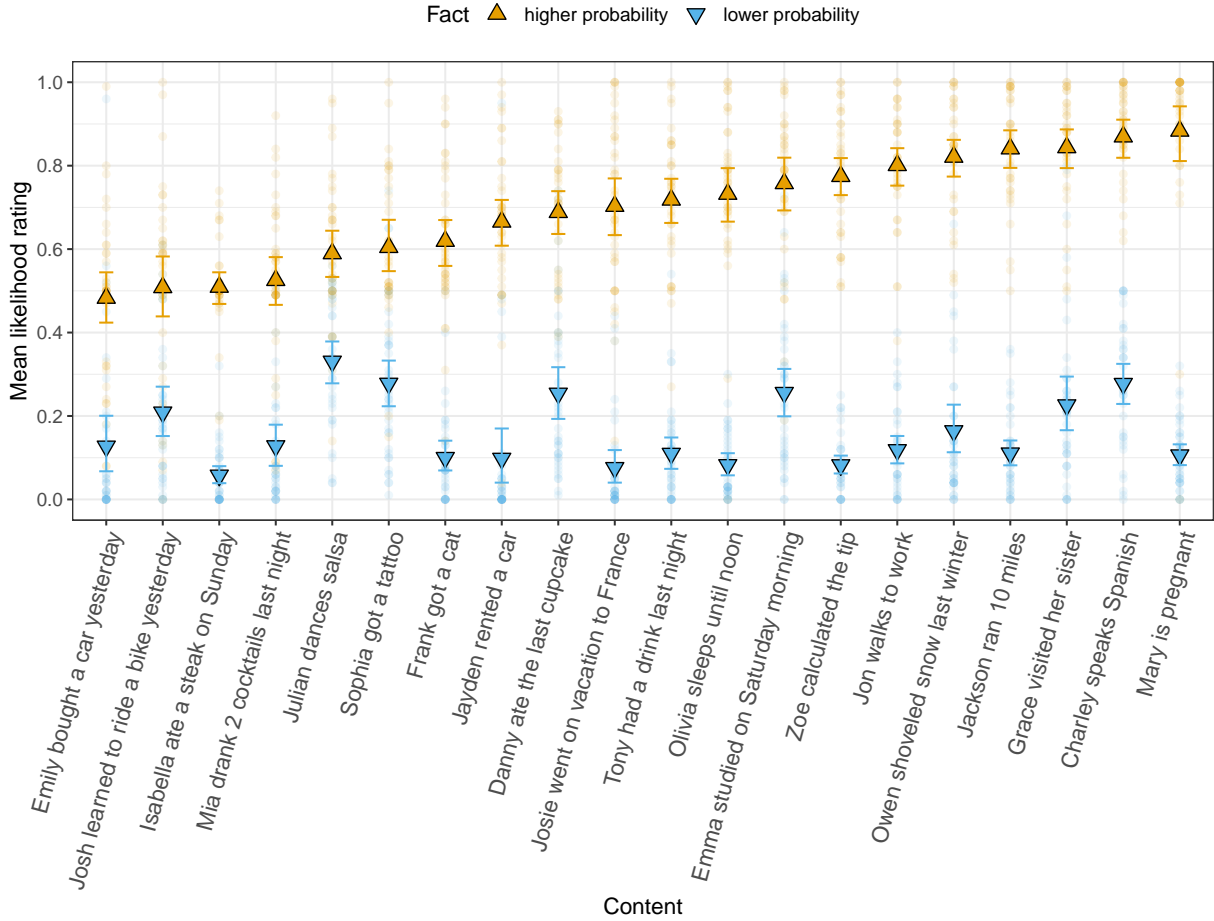


Figure 3: Mean likelihood ratings by content and fact in norming study. Error bars indicate 95% bootstrapped confidence intervals. Light dots indicate participants' responses.

JT: Report model that predicts projection of Exp. 1 projection data from the new prior probability means.

4 Discussion

as well as for the main clause stimuli (abbreviated 'MC'), in increasing order from left to right. The mean certainty ratings are largely consistent with impressionistic judgments reported in the literature. First, the ratings for main clause content are lowest overall, as expected for non-projective content. Second, the ratings for factive predicates are among the highest overall, suggesting comparatively high projectivity of the CC. Third, the mean certainty ratings of many optionally factive predicates are lower than those of many factive predicates and higher than those of main clauses as well as of non-veridical non-factives. However, Figure ?? also shows that the CCs of the 5 predicates assumed to be factive are not categorically more projective than the CCs of the optionally factive predicates, contrary to what is expected under the first definition of factive predicates. Specifically, the CCs of the optionally factive predicates *acknowledge*, *hear* and *inform* are at least as projective as the CCs of *reveal* or *discover*. This finding suggests that projectivity alone does not categorically distinguish factive predicates from optionally factive and non-factive ones.

These results support Tonhauser et al.'s (2018) hypothesis that prior content probability influences

projectivity. The finding that the CC of many non-factive predicates is at least weakly projective, even with low prior probability CCs, confirms intuitions reported in, e.g., Schlenker 2010, Anand and Hacquard 2014 and Spector and Egré 2015. These findings motivate the development of projection analyses that derive the influence of prior content probability and make predictions for the CCs of a broad range of both factive and non-factive predicates.

Current projection analyses, while limited to the CCs of factive predicates (e.g., Heim 1983, van der Sandt 1992, Abrusán 2011, Simons et al. 2010, Beaver et al. 2017), are compatible with the finding that prior content probability influences projectivity. Heim 1983, for instance, assumes default global accommodation when a presupposition is not entailed by the common ground (CG) when the trigger is uttered. This default is overridden when the presupposition is inconsistent with the CG. If we can assume that Julian dancing salsa is more likely to be consistent with the CG when Julian is from Cuba than when he is from Germany, Heim 1983 predicts that the presupposition that Julian dances salsa is more projective when it has a higher prior probability.

As shown in Fig. ??, the CCs of several non-factives, including *inform*, *hear*, *acknowledge* and *admit*, are at least as projective as that of factive *reveal*. This finding challenges the long-standing assumption that the CCs of factives are more projective than those of non-factives. We suggest that this motivates constraint-based analyses that derive the projectivity of utterance content from the integration of multiple cues, including prior CC probability, the meanings of clause-embedding predicates, at-issueness (Tonhauser et al. 2018), and information structure (Tonhauser 2016).

discussion: measure prior and projection at participant-level (as we do in next work)

5 Conclusions

A Experiment 1 target and control stimuli

The target stimuli of the projection block of Exp. 1 consisted of 800 combinations of a predicate, a complement clause and a fact relative to which the content of the complement clause had a higher or lower probability. The following list gives the 20 clauses that served as complement clauses together with the two facts that the clause was paired with: first the lower probability fact, then the higher probability one. The target stimuli of the prior block of Exp. 1 consisted of the 40 combinations of a clause and a fact.

1. Mary is pregnant (Mary is a middle school student / Mary is taking a prenatal yoga class)
2. Josie went on vacation to France (Josie doesn't have a passport / Josie loves France)
3. Emma studied on Saturday morning (Emma is in first grade / Emma is in law school)
4. Olivia sleeps until noon (Olivia has two small children / Olivia works the third shift)
5. Sophia got a tattoo (Sophia is a high end fashion model / Sophia is a hipster)
6. Mia drank 2 cocktails last night (Mia is a nun / Mia is a college student)
7. Isabella ate a steak on Sunday (Isabella is a vegetarian / Isabella is from Argentina)
8. Emily bought a car yesterday (Emily never has any money / Emily has been saving for a year)
9. Grace visited her sister (Grace hates her sister / Grace loves her sister)
10. Zoe calculated the tip (Zoe is 5 years old / Zoe is a math major)
11. Danny ate the last cupcake (Danny is a diabetic / Danny loves cake)
12. Frank got a cat (Frank is allergic to cats / Frank has always wanted a pet)
13. Jackson ran 10 miles (Jackson is obese / Jackson is training for a marathon)
14. Jayden rented a car (Jayden doesn't have a driver's license / Jayden's car is in the shop)

15. Tony had a drink last night (Tony has been sober for 20 years / Tony really likes to party with his friends)
16. Josh learned to ride a bike yesterday (Josh is a 75-year old man / Josh is a 5-year old boy)
17. Owen shoveled snow last winter (Owen lives in New Orleans / Owen lives in Chicago)
18. Julian dances salsa (Julian is German / Julian is Cuban)
19. Jon walks to work (Jon lives 10 miles away from work / Jon lives 2 blocks away from work)
20. Charley speaks Spanish (Charley lives in Korea / Charley lives in Mexico)

In the target stimuli of the projection block of Exp. 1, eventive predicates, like *discover* and *hear*, were realized in the past tense and stative predicates, like *know* and *be annoyed*, were realized in the present tense. The direct object of *inform* was realized by the proper name *Sam*. The subject of the clause-embedding predicate and the speaker of the target stimuli were realized by a proper name.

The following list gives the six control stimuli of the projection block of Exp. 1:

1. **Fact:** Zack is a member of the golf club. Is Zack coming to the meeting tomorrow?
2. **Fact:** Mary visited her aunt on Sunday. Is Mary's aunt sick?
3. **Fact:** Todd goes to the gym 3 times a week. Did Todd play football in high school?
4. **Fact:** Vanessa won a prize at school. Is Vanessa good at math?
5. **Fact:** Trish sent Madison a card. Did Madison have a baby?
6. **Fact:** Hendrick just bought a car. Was Hendrick's car expensive?

B Projection experiment

B.1 Methods

Participants 300 participants with U.S. IP addresses and at least 99% of previous HITs approved were recruited on Amazon's Mechanical Turk platform (ages: 21-72, median: 36; 145 female, 154 male, 1 undeclared). They were paid 85 cents for participating. **JT: None of them participated in Exp. 1 or Exp. 2.**

Materials and procedure The materials and procedure of this experiment were identical to those of the projection block of Exp. 1.

Data exclusion Prior to analysis, the data from 23 participants who did not self-identify as native speakers of American English were excluded. To assess whether the remaining 277 participants attended to the task, we inspected their responses to the 6 control stimuli. There were 11 participants whose response means were more than 2 standard deviations above the group mean. We excluded the data from these participants, too, leaving data from 266 participants (ages 21-72; median: 36; 129 female, 136 male, 1 undeclared).

B.2 Results

Figure A1 shows the mean certainty ratings for the target stimuli by predicate and by the prior probability of the content of the complement; the mean certainty rating for the non-projective main clause controls are also included. As shown, mean certainty ratings were higher when the content had a higher prior probability than when it had a lower prior probability, as hypothesized by Tonhauser et al. (2018). This finding suggests that prior content probability influences projection. Furthermore, the effect of prior content probability on projectivity was present across all 20 clause-embedding predicates.

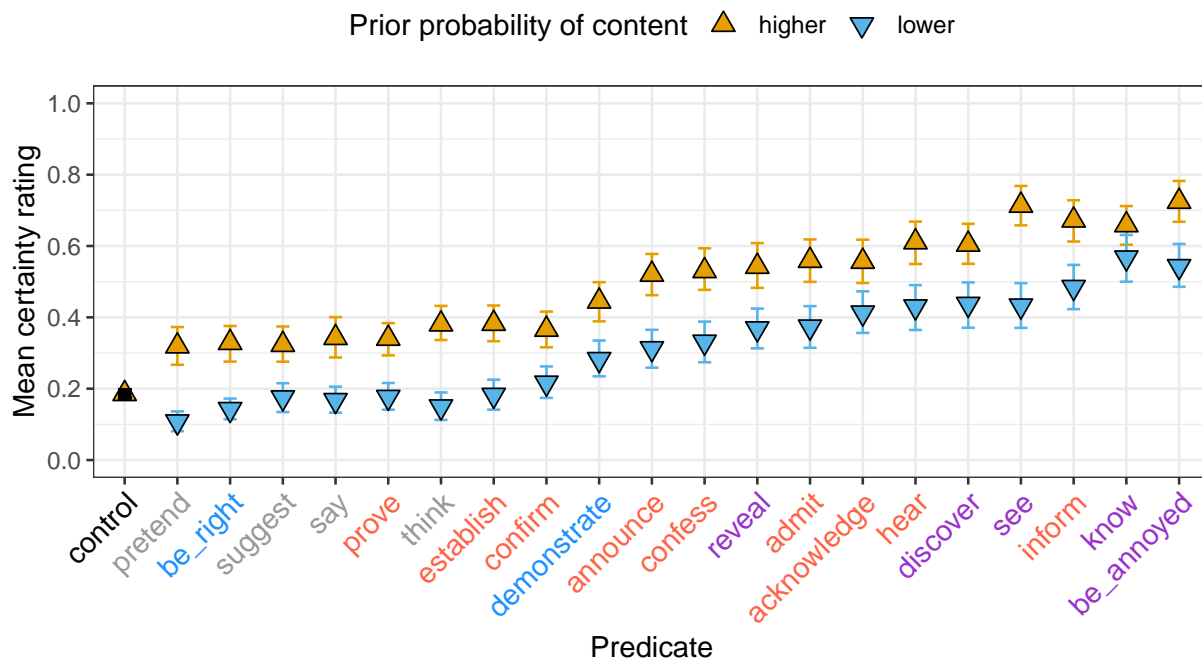


Figure A1: Mean certainty ratings by predicate and prior probability of the content of the complement. Error bars indicate 95% bootstrapped confidence intervals.

[JT: report analyses here: prior from Exp1/projection from this experiment](#)

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