

# Prior, probability, projectivity\*

immediate

November 22, 2017

## Abstract

abstract

## 1 Introduction

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## 2 Norming studies

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### 2.1 Event probability norming study

#### 2.1.1 Methods

**Participants.** 95 participants with U.S. IP addresses and at least 99% of previous HITs approved were recruited on Amazon’s Mechanical Turk platform (ages: 21-75; median: 33; 45 female, 50 male). They were paid 55 cents for participating in the experiment.

**Materials.** The prior probability of 20 events described by English sentences were explored in this experiment. Each of the 20 event descriptions was paired with two facts about the world, described by English sentences and labeled ‘Fact:’, for a total of 40 fact/event pairs. Each event was hypothesized to be relatively more likely given one of the two facts than given the other fact that the event was paired with. Two fact/event pairs are given in (1) for the event described by the English sentence *Mary is pregnant*: this event was hypothesized to be more likely given the fact described in (1ai) than given the fact described in (1bi). See Appendix A for the other 19 event descriptions and the fact descriptions that the event descriptions were paired with.<sup>2</sup>

- (1) a. i. Fact: Mary is taking a prenatal yoga class
- ii. Mary is pregnant
- b. i. Fact: Mary is a middle school student

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<sup>1</sup>The data and R code for generating the figures and analyses of the experiments reported on in this paper are available at <https://github.com/judith-tonhauser/projective-probability>.

<sup>2</sup>Need to say that we use ‘event’ for events and states, rather than ‘eventuality’.

- ii. Mary is pregnant

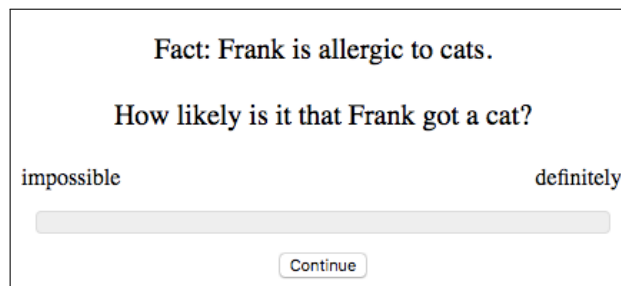
**fact/event pairs were chosen so that neither at ceiling nor at floor. We need to avoid eventualities being too likely or too unlikely, given their two facts, because a) if they are too likely, then people might argue that the facts of the world entail the content of the clause describing the eventuality, and b) if they are too unlikely, then participants might give wonky projectivity ratings.**

The experiment also included two control stimuli, which were used to assess whether participants were attending to the task. Like the target stimuli, both control stimuli consisted of a sentence describing a fact about the world and a sentence describing an event, as shown in (2) and (3). The two control stimuli differ in the probability of the event given the fact about the world: whereas the event of Barry living in Europe has a probability of 1, given the fact that Barry lives in Germany, the event of Tammy speaking Italian and Greek has a probability of 0, given that Tammy is a rabbit.

- (2)
  - i. Fact: Barry lives in Germany
  - ii. Barry lives in Europe
- (3)
  - i. Fact: Tammy is a rabbit
  - ii. Tammy speaks Italian and Greek

The 40 target stimuli were distributed across two lists of 20 target stimuli each so that each list contained 20 unique event descriptions and each list included 10 fact/event pairs for which the event was hypothesized to be likely and 10 fact/event pairs for which the event was hypothesized to be less likely. The two control stimuli were added to each list, for a total of 22 stimuli per list.

**Procedure.** Participants were randomly assigned to a list. They were told that they would read a fact about the world and were asked to assess how likely a particular event was, given the fact. The 22 stimuli were presented in random order to each participant. On each trial, participants read the fact and the corresponding response question, which was formed from *How likely is it that...*? with the sentence describing the event realizing the embedded clause of the question. Participants gave their response on a slider marked ‘impossible’ at one end (coded as 0) and ‘definitely’ at the other (coded as 1), as shown in Fig. 1.



Fact: Frank is allergic to cats.

How likely is it that Frank got a cat?

impossible definitely

Continue

Figure 1: A sample trial in the event probability study

After completing the experiment, participants filled out a short optional survey about their age, their gender, their native language(s) and, if English is their native language, whether they are a speaker of American English (as opposed to, e.g., Australian or Indian English). To encourage them to respond truthfully, participants were told that they would be paid no matter what answers they gave in the survey.

**Data exclusion.** Prior to analysis, the data from 8 participants who did not self-identify as native speakers of American English were excluded. For the remaining 87 participants, we inspected their responses to the

two control stimuli (the group means were .86 for (2) and .03 for (3)). 19 participants gave responses lower than .8 to (2) or responses higher than .2 to (3), suggesting that these participants did not attend to the task or interpreted the task differently. The data from these 19 participants were also excluded, leaving data from 68 participants (ages 21-75; median: 36; 31 female, 37 male).

## 2.1.2 Results and discussion

As expected, likeliness ratings of events were influenced by facts about the world: overall, the mean likeliness rating for events presented with facts that make the event more likely was .7 (sd = .21) and the mean likeliness rating for events presented with facts that make the event less likely was .16 (sd = .17). Figure 2 shows the likeliness rating for the 20 events given facts that make the events more likely (brown dots) and facts that make the events less likely (blue dots). Mean likeliness ratings for each of the 40 fact/event pairs are shown as black dots. Error bars indicate 95% confidence intervals.

For each event, the likeliness of the event is higher given the fact that makes the event more likely than given the fact that makes the event less likely. At the same time, the likeliness of none of the events given a particular fact is at ceiling or at floor. We have thus succeeded in identifying suitable fact/fact/event triples for our study of how projectivity is influenced by event probability.

## 2.2 Veridicality norming study

### 2.2.1 Methods

**Participants.** 300 participants with U.S. IP addresses and at least 99% of previous HITs approved were recruited on Amazon's Mechanical Turk platform (ages: 19-73, median: 33; 127 female, 169 male, 2 other, 2 didn't provide information). They were paid 75 cents for participating in the experiment. **2 Turkers didn't their age info – do we need to note this?**

**Materials.** The veridicality of 20 predicates was explored in this experiment: *be annoyed, know, discover, reveal, see, establish, pretend, think, suggest, prove, demonstrate, say, hear, confess, inform, announce, acknowledge, admit, confirm* and *be right*.

#### **include info on why these were included**

These 20 predicates were combined with a proper name subject, one of the 20 predicates and a complement clause that lexicalized one of the 20 events explored in the prior probability norming study described in section 2.1, for a total of 400 sentences.<sup>3</sup> The target stimuli consisted of one of the sentences together with a *but I know that*-clause that denied the truth of the complement clause, as shown in the sample stimuli in (4). The speaker of the target stimuli was realized by a proper name. The names that realized the speakers, the subjects and entities in the complement clauses were all unique. The gender of the subject of the target predicate was always distinct from the gender of the subject of the complement clause, so that the pronoun in the *but I know that*-clause had unambiguous reference.

- (4) a. **Christopher:** Melissa knows that Danny ate the last cupcake, but I know that he didn't.  
b. **Paul:** Anna announced that Jayden rented a car, but I know that he didn't.

The experiment also included eight control stimuli, which were used to assess whether participants were attending to the task. Like the target stimuli, both control stimuli consisted of a sentence describing a fact about the world and a sentence describing an event, as shown in (2) and (3). The two control stimuli differ in the probability of the event given the fact about the world: whereas the event of Barry living in

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<sup>3</sup>past tense, present tense, inform Sam

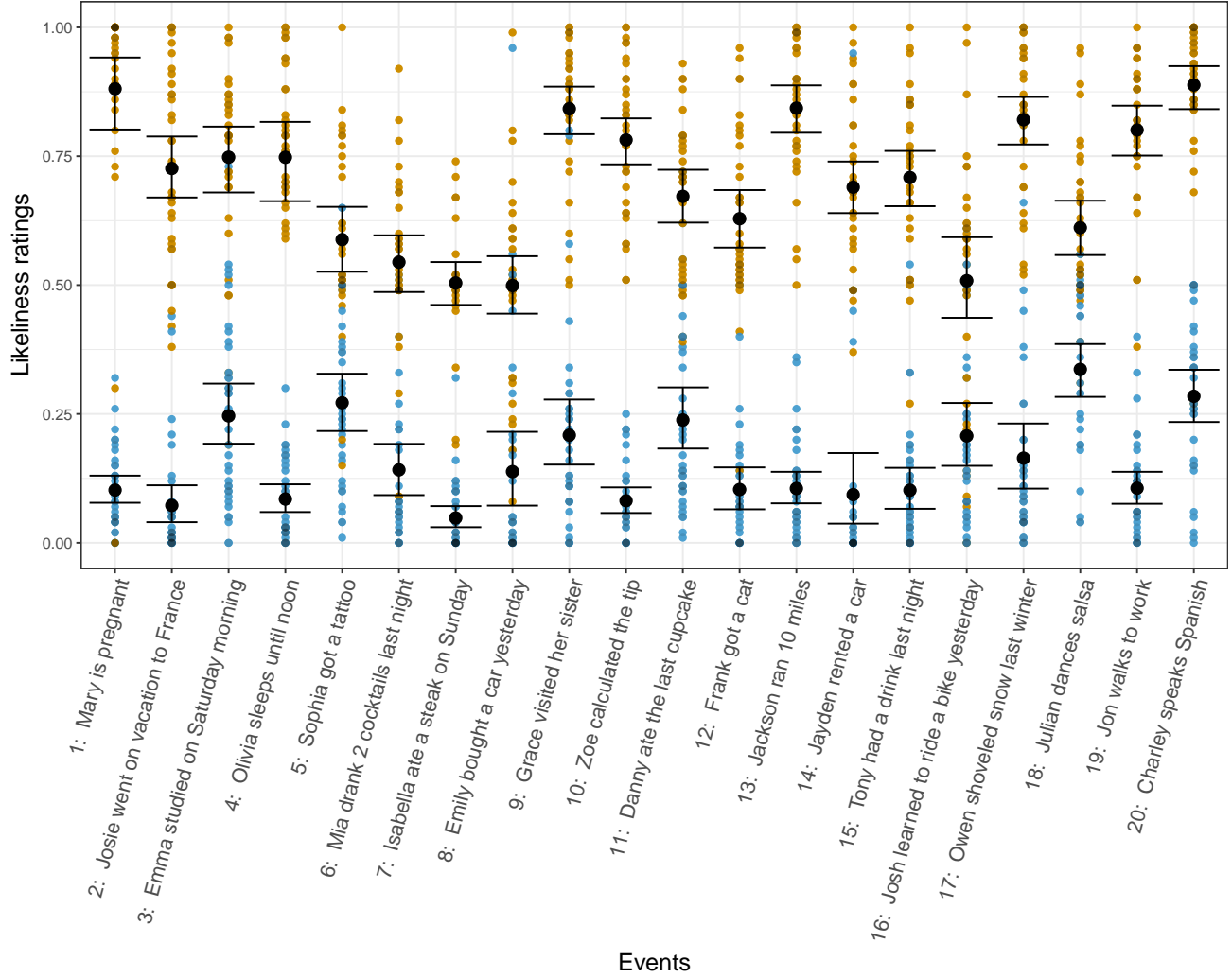


Figure 2: Likelihood ratings for 20 events given facts about the world that make the events more likely (brown dots) and facts about the world that make the events less likely (blue dots); darker dots indicate overlapping ratings. Mean likelihood ratings for the 40 fact/event pairs are given by black dots. Error bars indicate 95% confidence intervals.

Europe has a probability of 1, given the fact that Barry lives in Germany, the event of Tammy speaking Italian and Greek has a probability of 0, given that Tammy is a rabbit.

- (5) a. Zack believes that I'm married, but I'm actually single.
- b. Tara wants me to cook for her and I'm a terrific cook.
- c. Frederick is both smarter and taller than I am.
- d. Vanessa is really good at math, but I'm not.
- (6) a. Dana has never smoked in her life and she stopped smoking recently.
- b. Hendrick's car is completely red and his car is not red.
- c. Madison laughed loudly and she didn't laugh.
- d. Sebastian lives in the USA and has never been to the USA.

Each participant saw a random set of 28 stimuli. Each set contained a stimulus for each of the 20 predicates (each instantiated by a unique complement clause) and the same 8 control stimuli. Trial order were randomized.

**Procedure.** Participants were told that they would read utterances made by a speaker and were asked to assess whether the speaker's utterance is contradictory. On each trial participants read the utterance and then gave their response on a slider marked 'definitely no' at one end and 'definitely yes' at the other, as shown in Fig. 3.

Figure 3: A sample trial in the veridicality norming study

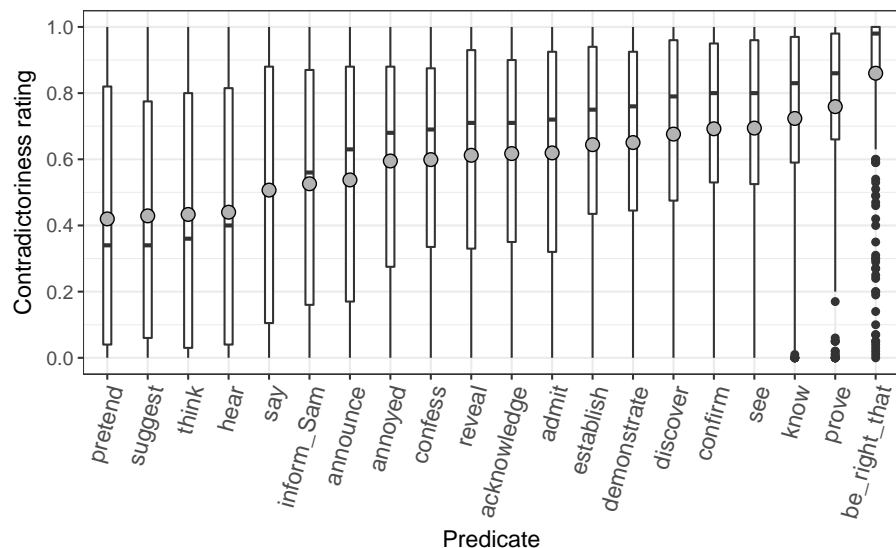
After completing the experiment, participants filled out a short optional survey about their age, their gender, their native language(s) and, if English is their native language, whether they are a speaker of American English (as opposed to, e.g., Australian or Indian English). To encourage them to respond truthfully, participants were told that they would be paid no matter what answers they gave in the survey.

**Data exclusion.** Prior to analysis, the data from 14 participants who did not self-identify as native speakers of American English were excluded. For the remaining 286 participants, we inspected their responses to the 8 control stimuli: for the 4 contradictory stimuli we expected high responses and for the 4 non-contradictory stimuli we expected low responses. The response means of 11 participants were more than 3 standard deviations above the group means for the contradictory or non-contradictory control items (the group means were .92 for the contradictory items and .15 for the non-contradictory items).<sup>4</sup> Closer inspection revealed

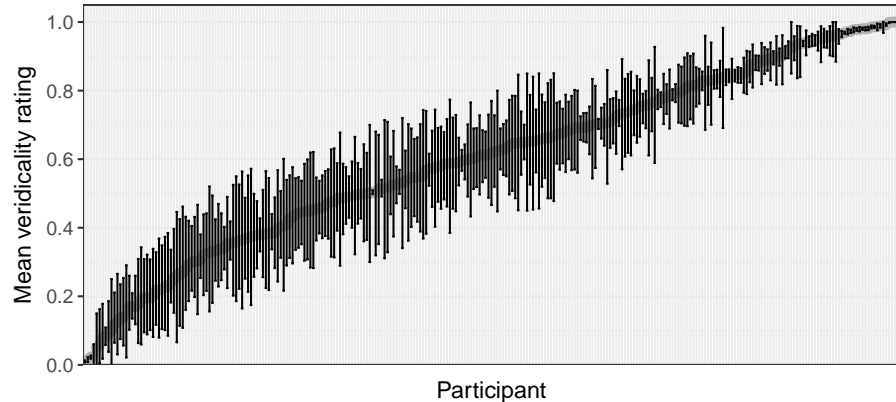
<sup>4</sup>The response mean for one of the non-contradictory control stimuli, (5a) *Zack believes that I'm married, but I'm actually single*, was higher, at .36, than for the other three non-contradictory control stimuli (.06, .07 and .09, respectively). If this control stimulus was not considered, an additional 4 participants would be excluded based on their responses to the non-contradictory control stimuli. **SAY WHETHER ANYTHING IN ANALYSIS CHANGES IF WE DO SO.**

that these participants' responses to the control stimuli were systematically higher than the group means, suggesting that these participants did not attend to the task or interpreted the task differently. The data from these 11 participants were also excluded, leaving data from 275 participants (ages 19-73; median: 35; 119 female, 153 male, 2 other, 1 didn't provide information).

## 2.2.2 Results and discussion



(a) Boxplot of veridicality ratings by predicate, collapsing across complement clauses. Grey dots indicate means and notches indicate medians.



(b) Boxplot of veridicality ratings by participant, collapsing across predicates and complement clauses. Grey dots indicate means and error bars indicate bootstrapped 95% confidence intervals.

Figure 4: Veridicality by predicate (top panel) and by participant (bottom panel)

Pairwise comparison

	<i>pretend</i>	<i>suggest</i>	<i>think</i>	<i>hear</i>	<i>say</i>	<i>inform</i>	<i>announce</i>	<i>annoyed</i>	<i>confess</i>	<i>reveal</i>	<i>acknowledge</i>	<i>admit</i>	<i>establish</i>	<i>demonstrate</i>	<i>discover</i>	<i>confirm</i>	<i>see</i>	<i>know</i>	<i>prove</i>	<i>be-right</i>
pretend	—	ns	ns	ns	.001	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
suggest	ns	—	ns	ns	.007	.0001	***	***	***	***	***	***	***	***	***	***	***	***	***	***
think	ns	ns	—	ns	.02	.0002	***	***	***	***	***	***	***	***	***	***	***	***	***	***
hear				—	.06	.001	.0001	***	***	***	***	***	***	***	***	***	***	***	***	***
say					—	ns	ns	.0008	.0003	***	***	***	***	***	***	***	***	***	***	***
inform						—														
announce																				
annoyed																				
confess																				
reveal																				
acknowledge																				
admit																				
establish																				
demonstrate																				
discover																				
confirm																				
see																				
know																				
prove																				
be-right																				

## 2.3 Interim summary

## 3 Projection experiment

## 4 Conclusions

### A Materials used in Exp 1

The 20 event descriptions used in the event probability pretest; facts about the world that make the event more (H) or less likely (L) are given in parentheses.

1. Mary is pregnant (Mary is a middle school student / Mary is taking a prenatal yoga class)
2. Josie went on vacation to France (Josie doesn't have a passport / Josie loves France)
3. Emma studied on Saturday morning (Emma is in first grade / Emma is in law school)
4. Olivia sleeps until noon (Olivia has two small children / Olivia works the third shift)
5. Sophia got a tattoo (Sophia is a high end fashion model / Sophia is a hipster)
6. Mia drank 2 cocktails last night (Mia is a nun / Mia is a college student)
7. Isabella ate a steak on Sunday (Isabella is a vegetarian / Isabella is from Argentina)
8. Emily bought a car yesterday (Emily never has any money / Emily has been saving for a year)
9. Grace visited her sister (Grace hates her sister / Grace loves her sister)
10. Zoe calculated the tip (Zoe is 5 years old / Zoe is a math major)
11. Danny ate the last cupcake (Danny is a diabetic / Danny loves cake)
12. Frank got a cat (Frank is allergic to cats / Frank has always wanted a pet)
13. Jackson ran 10 miles (Jackson is obese / Jackson is training for a marathon)
14. Jayden rented a car (Jayden doesn't have a driver's license / Jayden's car is in the shop)
15. Tony had a drink last night (Tony has been sober for 20 years / Tony really likes to party with his friends)
16. Josh learned to ride a bike yesterday (Josh is a 75-year old man / Josh is a 5-year old boy)
17. Owen shoveled snow last winter (Owen lives in New Orleans / Owen lives in Chicago)
18. Julian dances salsa (Julian is German / Julian is Cuban)

19. Jon walks to work (Jon lives 10 miles away from work / Jon lives 2 blocks away from work)
20. Charley speaks Spanish (Charley lives in Korea / Charley lives in Mexico)



## **B Materials used in Exp 2**

## **C Materials used in Exp 3**

### **References**

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