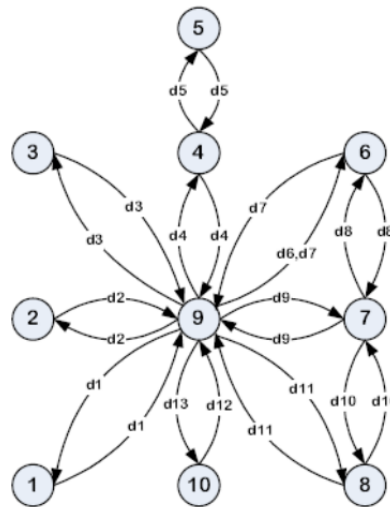
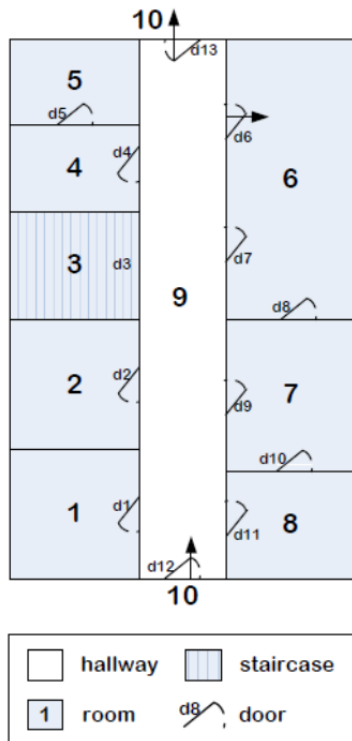


# Lek10 - Elementary Graph Algorithms

Graphs, DAG, BFS, DFS, Topological sort.

## Graphs

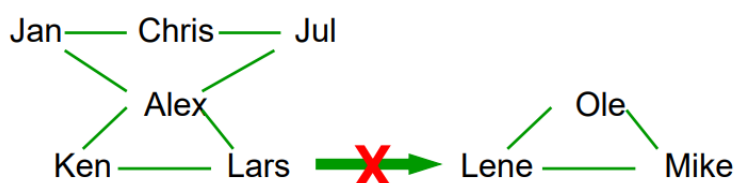
- Applications with graphs
  - Road network: road intersections-> vertices, roads -> edges.
    - What is the shortest path from AAU to Aalborg airport?
  - Web: web pages->vertices, hyperlinks -> edges.
    - Which page is the most important page?
- Indoor space: rooms -> vertices, doors -> edges



6

## Social network

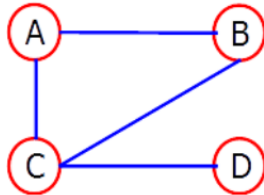
- Friends in Facebook
  - Jan-Chris; Chris-Jul; Ken-Alex; Ole-Mike; Lene-Ole; Lene-Mike; Alex-Jan; Alex-Jul; Alex-Lars; Ken-Lars;
- Are Lars and Lene friends in Facebook?
- We can answer it by representing friendship in a graph



- The answer is NO, because they are not connected in their friendship graph.

## Definitions

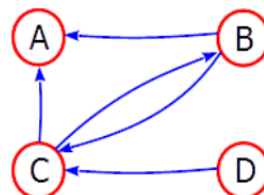
- A graph  $G = (V, E)$  is composed of:
  - $V$ : the set of **vertices**.
  - $E$ : the set of **edges**.
- An **edge**  $e$  connects two vertices.
  - Edge:  $e = (v_i, v_j)$ , where  $v_i, v_j \in V$ , means that edge  $e$  connects from  $v_i$  to  $v_j$ .



Undirected graph:

$V = \{A, B, C, D\}$

$E = \{(A, B), (B, A), (A, C), (C, A), (C, D), (D, C), (C, B), (B, C)\}$



Directed graph:

$V = \{A, B, C, D\}$

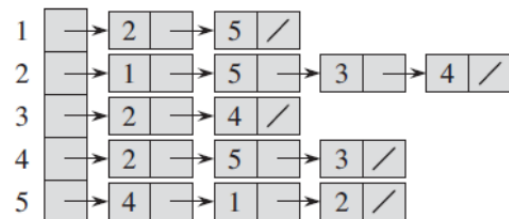
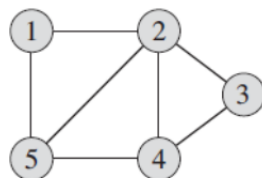
$E = \{(B, A), (C, A), (C, B), (B, C), (D, C), (C, D)\}$

9

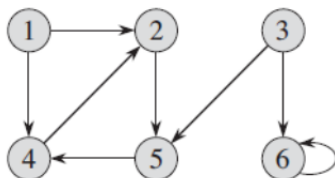
## Adjacency list representation

- The adjacency list representation of a graph  $G = (V, E)$  consists of an array of  $|V|$  lists, one for each vertex in  $V$ .
  - The adjacency list of a vertex  $v$  contains all vertices  $u$  such that  $(v, u) \in E$ .

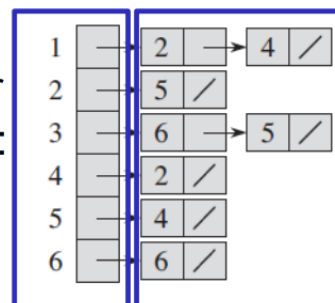
Undirected graph



Directed graph



Space for the array:  $\Theta(|V|)$



Space for the linked lists:  $\Theta(|E|)$

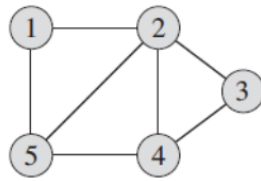
Total space:  $\Theta(|V| + |E|)$

## Adjacency matrix

- Matrix  $A$  with entries for all pairs of vertices.
- In other words, matrix  $A$  is with size  $|V| * |V|$ .

- If there is an edge  $(v_i, v_j)$ ,  $A[i, j]=1$ .
- Otherwise,  $A[i, j]=0$ .

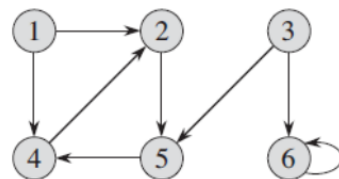
Undirected graph



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

Symmetric

Directed graph



	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

Space:  
 $\Theta(|V|^2)$

12

## How to choose a representation

- Two representations:
  - A collection of adjacency lists
  - An adjacency matrix
- When we have a sparse graph, adjacency list representation provides a compact way.
  - Sparse graph:  $|E|$  is much less than  $|V| * |V|$ .
  - Space of adjacency list:  $\Theta(|V| + |E|)$ .
- When we have a dense graph, we may prefer to use adjacency matrix representation.
  - Dense graph:  $|E|$  is close to  $|V| * |V|$ .
  - Space of adjacency matrix:  $\Theta(|V|^2)$ .

Dense = vertices are connected to most other nodes. Sparse = vertices are only connected to a small part of the total nodes (ex: social network).

## Searching a graph

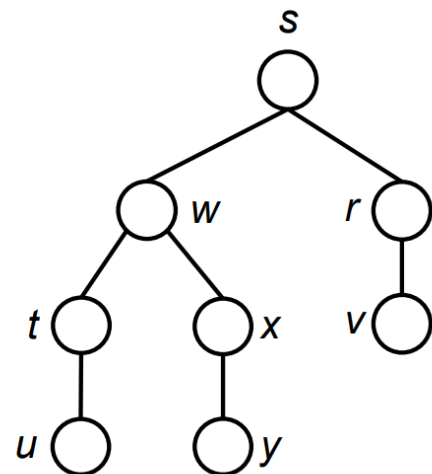
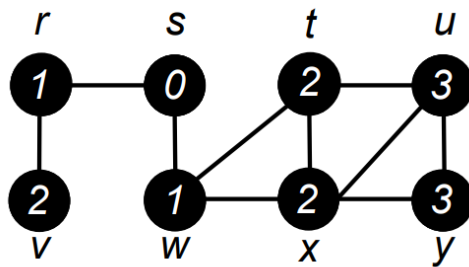
- Searching a graph means systematically following its edges so as to visit its vertices.
  - Can discover the structure of a graph.
  - Many algorithms begin by searching their input graph to obtain the structure information.
  - Searching a graph lies at the heart of the field of graph algorithms.
- Two search algorithms
  - Breadth-first search
  - Depth-first search

## Breadth-first search (BFS)

- Input
  - A graph  $G=(V, E)$  and a source vertex  $s$
- Aim

- Systematically discovers **every** vertex that is reachable from **s**.
- Output
  - The distance from **s** to each reachable vertex.
    - Distance = the smallest number of edges (unweighted graph)
  - A breadth-first tree with root **s** that contains all reachable vertices.
- What does BFS mean?
  - It discovers all vertices at distance **k** from **s** before discovering any vertices at distance **k+1**.

## Intuition of BFS



A vertex has a color attribute:

- White: it is unexplored.
- Gray: it has been explored but not all of its adjacent vertices have been explored .
- Black: it has been explored and all of its adjacent vertices have been explored as well.

explore one level at a time.

## BFS Algorithm

- Before showing the algorithm, we need to define the following attributes to a vertex.
- Color attribute:
  - White: it is unexplored.
  - Gray: it has been explored but not all of its adjacent vertices have been explored.
  - Black: it has been explored and all of its adjacent vertices have been explored as well.
- A vertex has a distance attribute:
  - The distance to the source **s**.
- A vertex has a parent attribute:
  - It records the vertex that is its parent in the breadth-first tree.

BFS( $G, s$ )

```

01 for each vertex  $a \in G.V()$ 
02    $a.setcolor(white)$ 
03    $a.setd(\infty)$ 
04    $a.setparent(NIL)$ 
05  $s.setcolor(gray)$ 
06  $s.setd(0)$ 
07  $Q.init()$ 
08  $Q.enqueue(s)$ 
09 while not  $Q.isEmpty()$ 
10    $a \leftarrow Q.dequeue()$ 
11   for each  $b \in a.adjacent()$  do
12     if  $b.color() = white$  then
13        $b.setcolor(gray)$ 
14        $b.setd(a.d() + 1)$ 
15        $b.setparent(a)$ 
16        $Q.enqueue(b)$ 
17    $a.setcolor(black)$ 

```

$\Theta(|V|)$

Initialize all vertices

Constant time

$O(|E|)$

Each vertex  $a$ :

- De-(en-)queued **at most** once: constant time  $O(1)$  (total  $O(|V|)$ )
- Its adjacency list is scanned, and the for loop executes:
  - $|a.adjacent()|$  times,
  - $\Theta(|a.adjacent()|)$ .

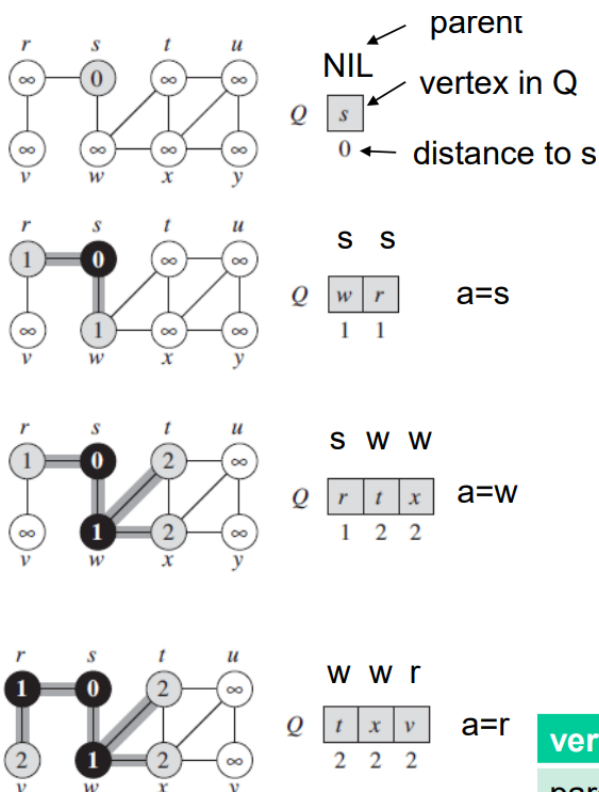
In total,  $O(|V|+|E|)$ .

Edges among all vertices in  $V$ :

$$\sum_{a \in V} |a.adjacent()| = |E|$$

26

## A running example



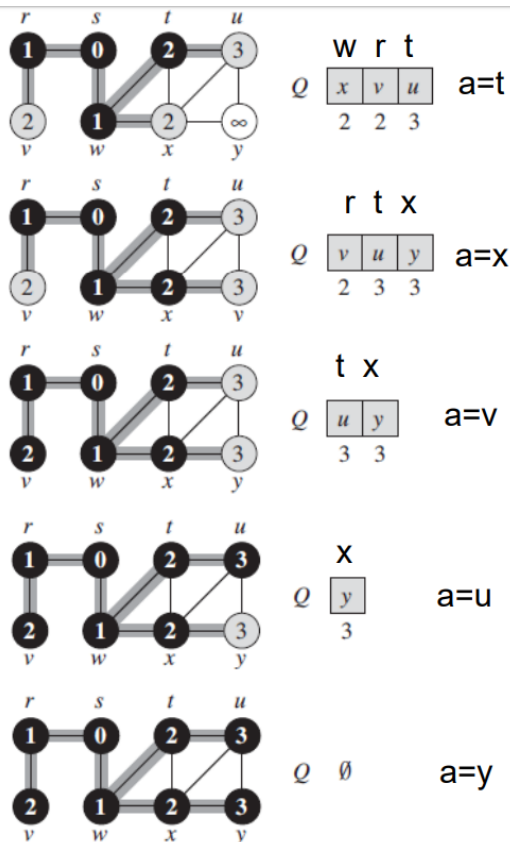
BFS( $G, s$ )

```

01 for each vertex  $a \in G.V()$ 
02    $a.setcolor(white)$ 
03    $a.setd(\infty)$ 
04    $a.setparent(NIL)$ 
05  $s.setcolor(gray)$ 
06  $s.setd(0)$ 
07  $Q.init()$ 
08  $Q.enqueue(s)$ 
09 while not  $Q.isEmpty()$ 
10    $a \leftarrow Q.dequeue()$ 
11   for each  $b \in a.adjacent()$  do
12     if  $b.color() = white$  then
13        $b.setcolor(gray)$ 
14        $b.setd(a.d() + 1)$ 
15        $b.setparent(a)$ 
16        $Q.enqueue(b)$ 
17    $a.setcolor(black)$ 

```

vertex	r	s	t	u	v	w	x	y
parent	s	Nil	w		r	s	w	



```

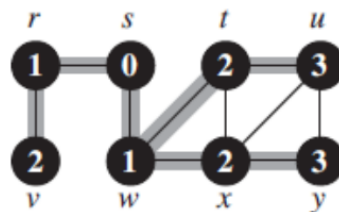
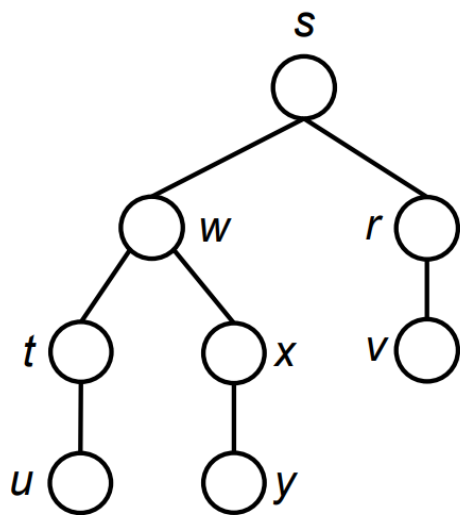
BFS( $G, s$ )
01 for each vertex  $a \in G.V()$ 
02    $a.setcolor(white)$ 
03    $a.setd(\infty)$ 
04    $a.setparent(NIL)$ 
05  $s.setcolor(gray)$ 
06  $s.setd(0)$ 
07  $Q.init()$ 
08  $Q.enqueue(s)$ 
09 while not  $Q.isEmpty()$ 
10    $a \leftarrow Q.dequeue()$ 
11   for each  $b \in a.adjacent()$  do
12     if  $b.color() = white$  then
13        $b.setcolor(gray)$ 
14        $b.setd(a.d() + 1)$ 
15        $b.setparent(a)$ 
16        $Q.enqueue(b)$ 
17    $a.setcolor(black)$ 

```

vertex	r	s	t	u	v	w	x	y
parent	s	Nil	w	t	r	s	w	x

22

- A breadth-first tree
  - Consists of vertices reachable from  $s$ .
  - Contains a unique simple path from  $s$  to a vertex  $v$ , that is also the shortest path from  $s$  to  $v$ .

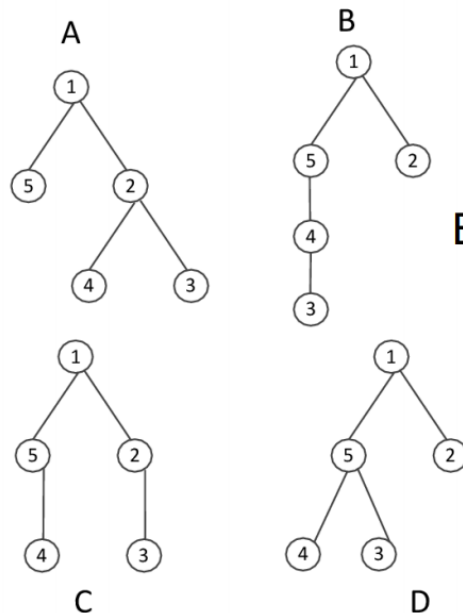
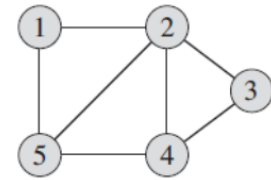


vertex	r	s	t	u	v	w	x	y
parent	s	NIL	w	t	r	s	w	x

## Mini quiz

- Is the breadth-first tree unique? Does the breadth-first tree depend on the order in which the neighbor vertices of a given vertex are visited?

- Consider the graph. Which of the following trees cannot be BFS trees?



B and D cannot be BFS trees

## BFS Summary

- BFS discovers all vertices that are reachable from a given source vertex  $s$ .
- BFS computes the shortest distance to all reachable vertices from  $s$ .
- BFS computes a breadth-first tree that contains all reachable vertices from  $s$ .
- For any vertex  $v$  reachable from  $s$ , the path in the breadthfirst tree from  $s$  to  $v$  is a shortest path.

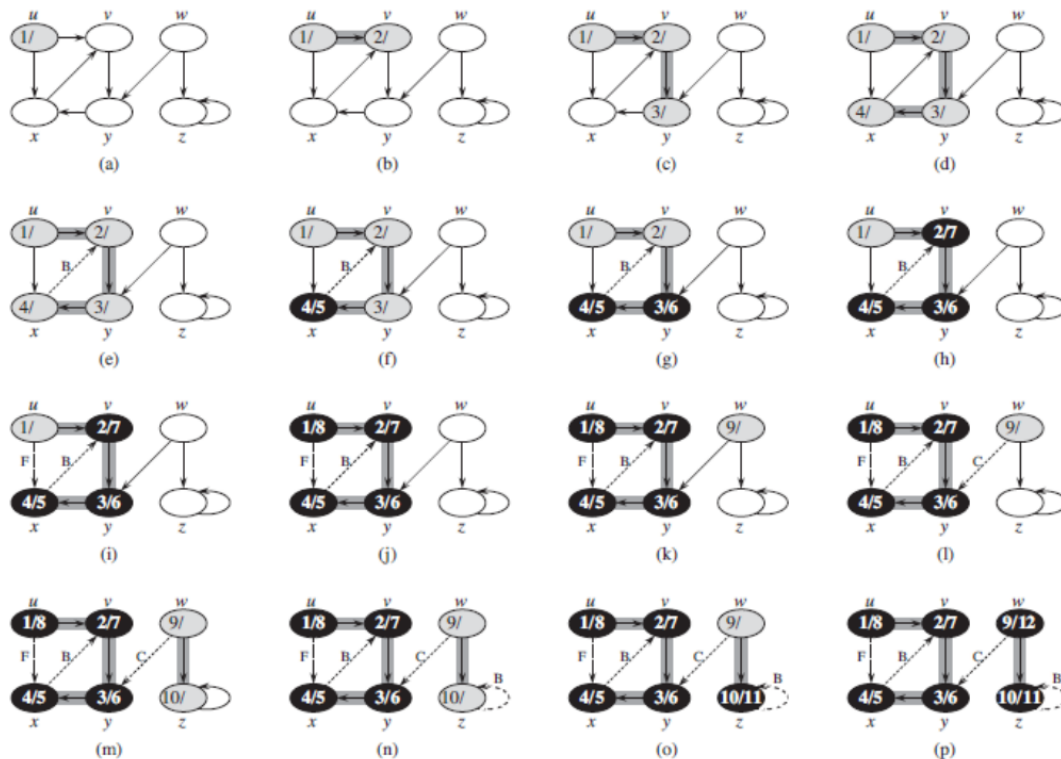
## Depth-first search (DFS)

- Input
  - A graph  $G=(V, E)$
- Aim
  - Systematically visit **every** vertex in  $V$ .
- Output
  - A depth-first forest that is composed of several depth-first trees.
- What does DFS mean?
  - It search "deeper" in the graph whenever possible.

## DFS algorithm - 1

- A vertex has a color attribute:
  - White: unexplored.
  - Gray: it has been explored, but not all of its adjacent vertices have been explored.
  - Black: it has been explored, and all of its adjacent vertices have been explored as well.
- A vertex has a timestamp:
  - $v.d$ : discovery time, i.e., when  $v$  is first explored;
  - $v.f$ : finishing time, i.e., when  $v$  finishes examining  $v$ 's adjacency list;

- A vertex has a parent attribute:
  - It records the vertex that is its parent in the depth-first tree.



Depth-first forest:  $u \rightarrow v \rightarrow y \rightarrow x$  og  $w \rightarrow z$

**DFS (G)**

```

01 for each vertex  $u \in G.V()$ 
02    $u.setcolor(white)$ 
03    $u.setparent(NIL)$ 
04 time  $\leftarrow 0$ 
05 for each vertex  $u \in G.V()$ 
06   if  $u.color() = white$  then DFS-Visit( $u$ )

```

**DFS-Visit( $u$ )**

```

01  $u.setcolor(gray)$ 
02 time  $\leftarrow$  time + 1
03  $u.setd(time)$ 
04 for each  $v \in u.adjacent()$ 
05   if  $v.color() = white$  then
06      $v.setparent(u)$ 
07     DFS-Visit( $v$ )
08  $u.setcolor(black)$ 
09 time  $\leftarrow$  time + 1
10  $u.setf(time)$ 

```

Initialize all vertices:  
 $\Theta(|V|)$

DFS-Visit is called **exactly once** for each vertex, when it is white:  
 $\Theta(|V|)$

For each vertex  $u$ , the loop executes  
-  $|u.adjacent()|$  times.

$$\sum_{u \in V} |u.adjacent()| = |E|$$

Thus,  $\Theta(|V| + |E|)$

## BFS vs. DFS

BFS:



- Search from one source.
- Only visit the vertices that are reachable from the source.
- BFS tree.
- Often serves to find shortest paths and shortest path distances.
- $O(|V| + |E|)$

DFS:

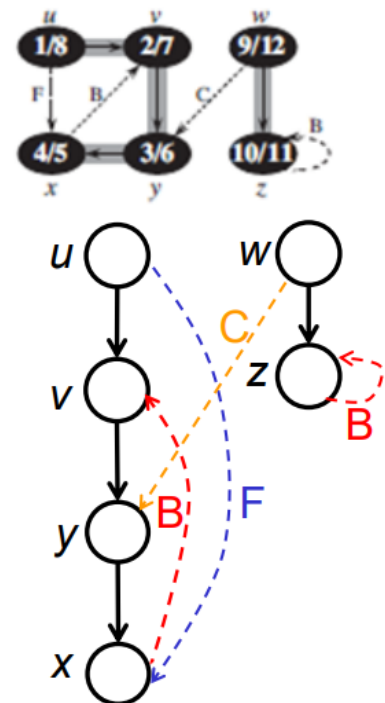
- May search from multiple sources.
- Visit every vertex.
- DFS forest.
- Often as a subroutine in another algorithm, e.g.,
  - Classifying edges (we will see it shortly).
  - Topological sort (we will see it shortly).
  - Strongly connected components (next lecture).
- $O(|V| + |E|)$

### Edge Classification based on DFS

- We can classify edges in a graph into 4 categories based on DFS forest.

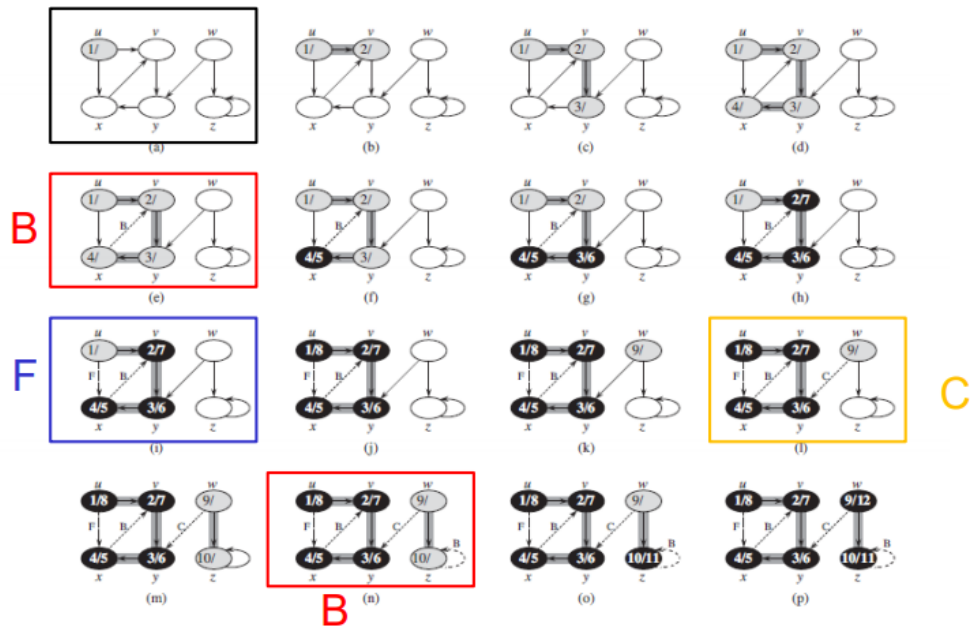
- Definition:

- Tree edges:
  - ◆ edges that are in the DFS forest
  - ◆  $(u,v), (v,y), (y,x), (w,z)$
- Non tree edges
  - ◆ Back edges
    - From descendant to ancestor in a DFS tree.
      - $(x, v)$
    - Self loops
      - $(z, z)$
  - ◆ Forward edges
    - From ancestor to descendant in a DFS tree
      - $(u,x)$
  - ◆ Cross edges
    - Remaining edges, between trees or subtrees
      - $(w, y)$



38

- When exploring an edge  $(x, y)$ ,  $y$ 's color tells something:
  - If  $y$  is white – visit  $x$ , then  $y$ , edge  $(x, y)$  is a tree edge.
  - If  $y$  is gray – visit  $y$ , later  $x$ , then  $y$  again, edge  $(x, y)$  is a back edge.
  - If  $y$  is black, edge  $(x, y)$  is a forward or cross edge.



39

## DAG: Directed Acyclic Graph

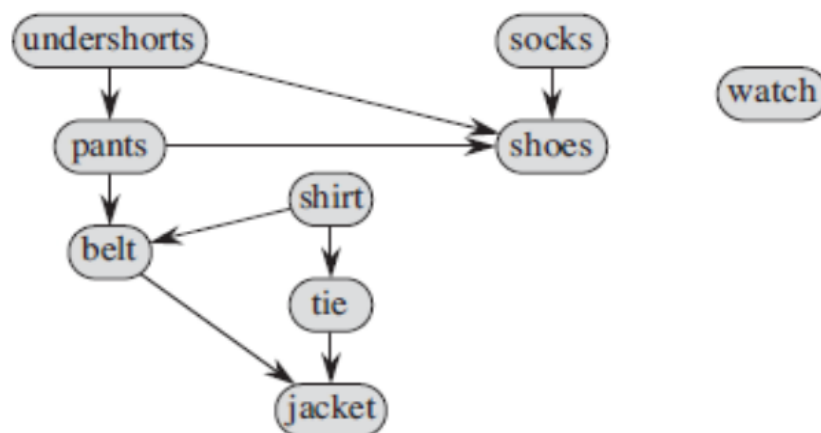
- A DAG is a directed graph with no cycles.



- Applications:
  - Indicate precedence relationship: an edge  $e=(a, b)$  from  $a$  to  $b$  means that event  $a$  must happen before event  $b$ .

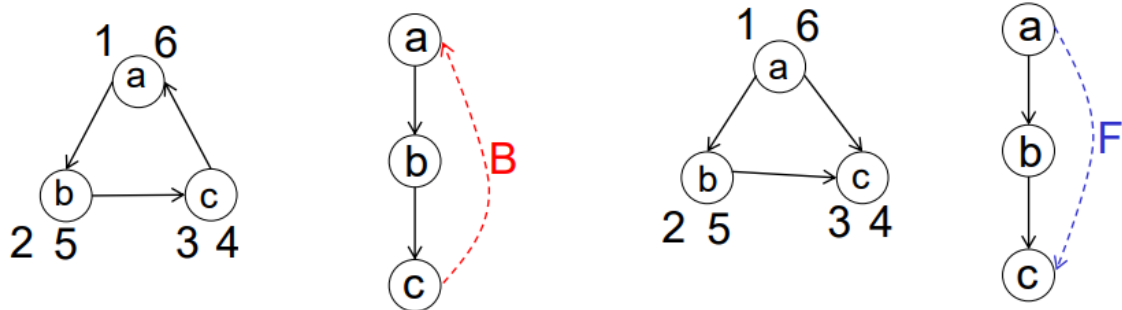
## DAG: Example

- Indication of precedence:
  - Some events must happen before some other events
- Example: professor gets dressed in the morning.
  - The professor must put certain garments before others (e.g., socks before shoes).



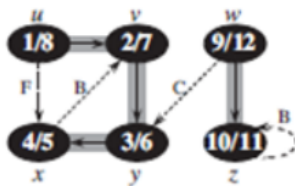
## How to check DAG

- A directed graph is acyclic if and only if the graph has no back edges.



No, (c,a) is a back edge

Yes, no back edges.



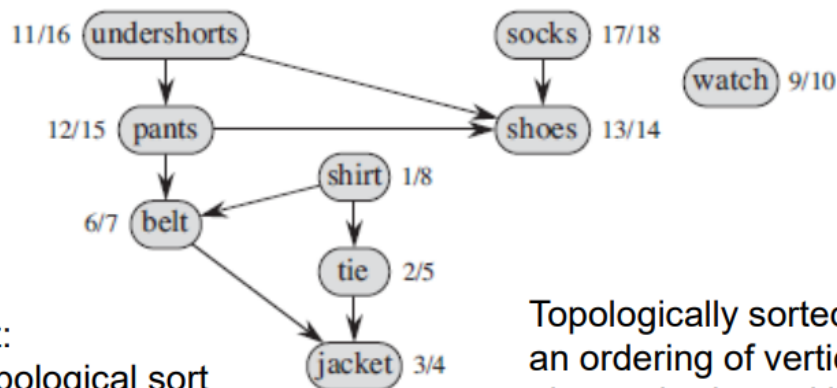
No, (x,v) and (z, z) are back edges.

## Topological sort

- Input:
  - DAG  $G = (V, E)$
- Aim:
  - Introduce a linear ordering of all its vertices, such that for any edge  $(u,v)$  in the DAG, event  $u$  appears before event  $v$  in the ordering.
- Output:
  - Topologically sorted DAG, i.e., a linked list of vertices, showing an order.

- Algorithm:** **TOPOLOGICAL-SORT( $G$ )**
  - 1 call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
  - 2 as each vertex is finished, insert it onto the front of a linked list
  - 3 return the linked list of vertices
  - Intuition: reversely sort vertices according to the finishing times obtained from a DFS.
    - ◆ If  $v.f < u.f$ ,  $\textcircled{u} \rightarrow \textcircled{v}$
    - ◆ event  $u$  happens before event  $u$ .

## Topological sort example



Mini quiz:  
Is this topological sort unique? Is there other valid topological sort for the same vertices?

Topologically sorted DAG is an ordering of vertices along a horizontal line such that all directed edges go from left to right.



## Run Time of Topological Sort

Algorithm:

**TOPOLOGICAL-SORT( $G$ )**

- 1 call  $\text{DFS}(G)$  to compute finishing times  $v.f$  for each vertex  $v$
- 2 as each vertex is finished, insert it onto the front of a linked list
- 3 return the linked list of vertices

- Run-time:
  - DFS takes  $\Theta(|V| + |E|)$
  - It takes constant time  $\Theta(1)$  to insert a vertex onto the front of a linked list.
    - In total,  $|V|$  vertices. Thus,  $\Theta(|V|)$ .
  - In total,  $\Theta(|V| + |E|)$ .

## Topological sort correctness

- Topological sort of a DAG  $G$ 
  - Produce a linear order of vertices in  $G$ , such that if an edge  $(u, v)$  exists in  $G$ , event  $u$  appears before event  $v$  in the ordering.



- Prove: Topological-Sort( $G$ ) produces a topological sort of  $G$ .
  - i.e., Topological-Sort( $G$ ) can produces an order that  $u$  appears before  $v$ .
  - Just need to prove, for any edge  $(u, v)$  in a DAG  $G$ , if we use a DFS to explore  $(u, v)$ , we must obtain  $u.f > v.f$ .
  - Since Topological-Sort( $G$ ) uses an reversed order to arrange vertices by their finishing time, as long as we have  $u.f > v.f$ , we can have the order that  $u$  appears before  $v$ .

- We just need to show that , if we use a DFS to explore edge  $(u,v)$  in a DAG  $G$ , we must obtain  $u.f > v.f$ .
- When explore  $(u, v)$  by a DFS, we distinguish three cases:
  - Case 1:  $v$  is white;
    - $v$  becomes a descendant of  $u$ , thus  $v$  will be finished before  $u$ , i.e.,  $u.f > v.f$ .
  - Case 2:  $v$  is gray;
    - $(u, v)$  is a back edge. However, DAG should not have a back edge. So this won't happen.
  - Case 3:  $v$  is black;
    - $v$  has already finished. Thus,  $u.f > v.f$ .