

**ECE 396 JWB Spring, 2017**

**Term Project Report --Chord Recognition**

**Using Maximum Likelihood Note Estimation**

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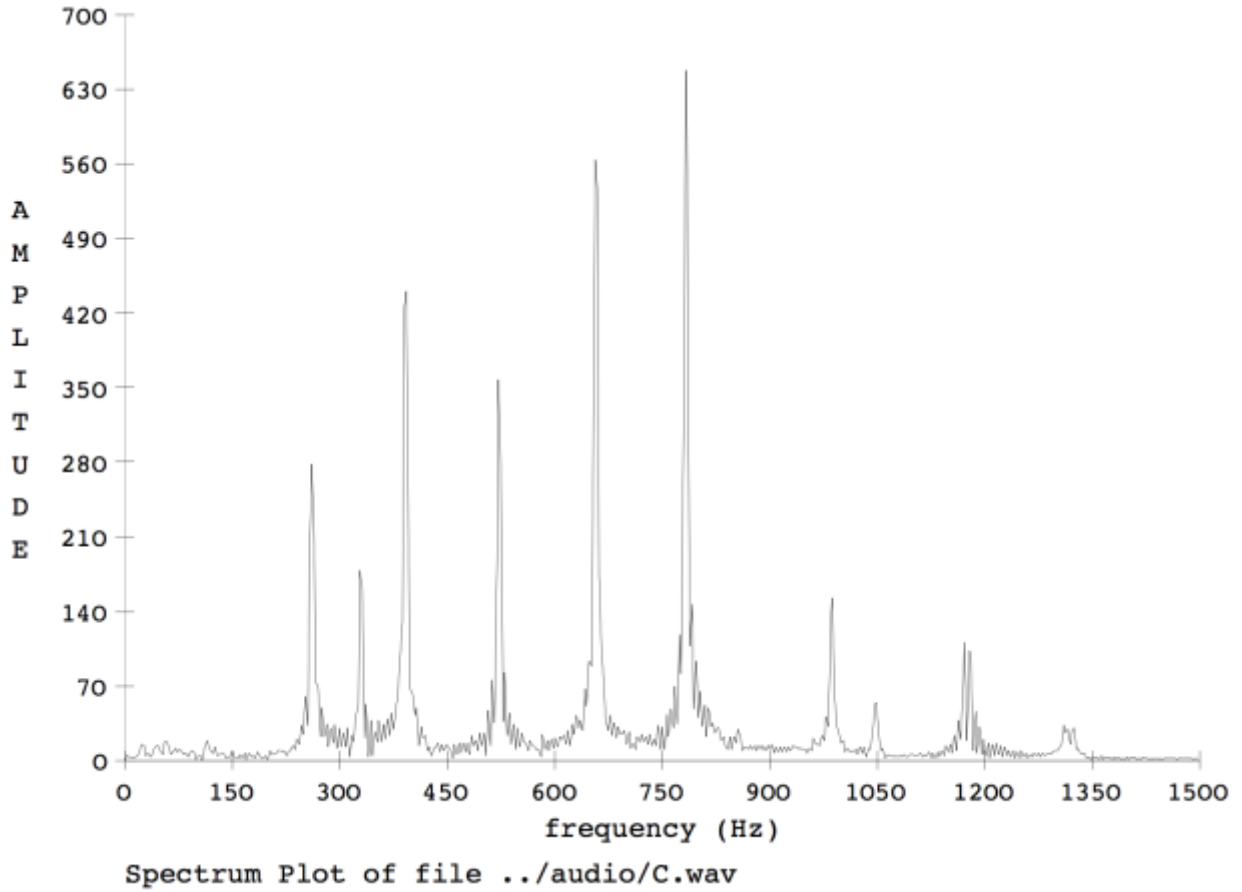
- **Introduction**

This project is to process input audio files and recognize one of 12 major chords, i.e., C, C#, ... B. The whole project is mainly based on the FFT and the Maximum Likelihood methods, to find the three most likely notes in the input audio. Based on these notes, a decision is made about whether the file contains a **valid chord** and if so which chord it is. Piano triad chords were used to test the algorithm. The algorithm was implemented as the C program chordrecog which ran under Unix.

- **Method**

1. FFT

Fast Fourier transform algorithm (FFT) [1], was used to compute the discrete Fourier transform of an audio sample sequence. This method converts a signal from its original time domain to a representation in the frequency domain. This provides a means for measuring the magnitude of each frequency in the audio file. A typical chord spectrum is shown as Figure 1. 8192( $2^{13}$ ) samples were used as the input to the FFT, which resulted in 8192 frequency bins.



**Figure 1: Output FFT Magnitude Spectrum**

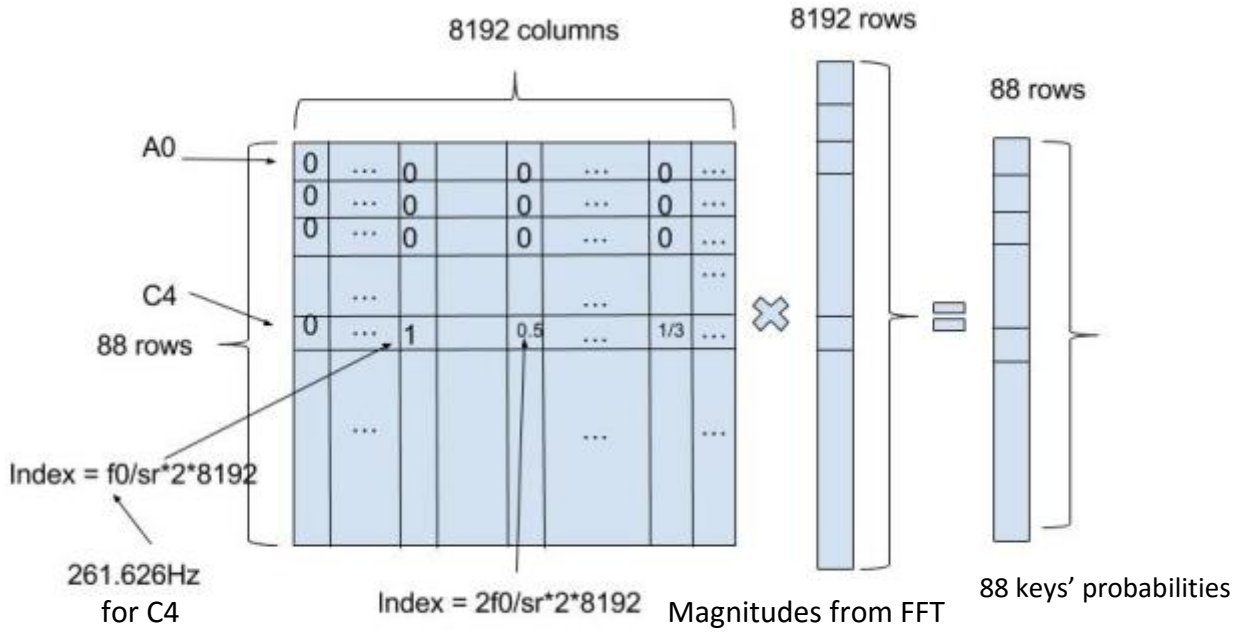
## 2. Maximum Likelihood Method

Maximum Likelihood [2] is a method for estimating the parameters of a statistical model given multiple observations, by finding the parameter values that maximize the likelihood of making the observations given certain parameters. In this project, I used a matrix whose size was 88x8192, containing the frequency information for the 88 keys of a piano. Each row represents the corresponding key in a piano. For example, row 1 means A0 in a piano. 8192 represents the number of bins in the signal frequency spectrum.

For each row, each column corresponds to the estimated magnitude of the frequencies contained in one note. An equation to compute the column index corresponding to each spectral frequency is given by

$$index = \left(\frac{f}{sr}\right) \times 2 \times 8192 \text{ (sr is the sample rate).} \quad [1]$$

Every note is the combination of several harmonic frequencies, including  $f_0$  (fundamental frequency),  $2*f_0$ ,  $3*f_0$ ... The weight for each  $n$ th harmonic of  $f_0$  was chosen assumed to be  $1/n$ . These weights are assigned into their corresponding cells of the matrix, according to their frequency indices. The remaining cells are set to zero.



**Figure 2: Schematic Diagram**

The inner product of the 88x8192 matrix and the 8192x1 FFT column vector yields an 88x1 vector, which gives the estimated probability of each note to become a note contained in the input audio.

In this project, the matrix is very sparse. Therefore, I did not in fact create a matrix but instead for each harmonic of each piano note directly computed the column index and retrieved the corresponding spectral magnitude which was then multiplied by its corresponding weight. Figure 3 shows the code I used in the project to generate the weighted harmonic spectral values.

```

for(i=0;i<row;i++){
    f0=fre[i];
    amp[i]=0;
    n=1;
    while(f0<.5*sr){
        amp[i]+=(1./n)*z[(int)(f0/sr*2*col)];
        f0+=fre[i];
        n++;
    }
}

```

**Figure 3: Maximum Likelihood code**

fre is an array storing the 88 fundamental frequencies of the 88 keys, row is 88, z is the 8192x1 FFT column vector, and amp is the array of output vector array, which gives the estimated probability of each note occurring in the audio input.

### 3. Comparison

After obtaining the 88x1 vector z, the first thing is to find the three highest values in the vector, because a triad chord consists of 3 notes. After getting the corresponding indices, three most likely notes in the audio are easily found.

After determining the three notes, the next goal is to check whether the three notes match one of the chords in the collection of 12 major chords. As shown in Fig 4, where the notes match those in a C major chord, it is assumed that the input audio is in fact a C major chord.

```

note:E4 probability:1.000000
note:C5 probability:0.839892
note:G4 probability:0.403658
This is C chord

```

**Figure 4: Output of Cmajor.wav**

Sometimes, because of surrounding noises, the result may not be perfect. For example, the output could be as shown Figure 5, where only two of the three notes in a C chord are detected.

```
note:G5 probability:1.000000
note:E5 probability:0.870592
note:G4 probability:0.651155
This is C chord
```

**Figure 5: Output of C.wav**

But because all three notes are contained in the C major chord, we still assume it's a C major chord. For Figure 6, there are only two notes that match the C chord, which means this may or may not be a C chord.

```
note:E4 probability:1.000000
note:C4 probability:0.984503
note:F#4 probability:0.918432
This might be C chord or chord can't be recognized
```

**Figure 6: Output of nonchord1.wav**

The last situation is that the input audio cannot be a chord, as shown in Figure 7. In this case, no two of the notes are in the same chord.

```
note:D4 probability:1.000000
note:C#4 probability:0.953634
note:C4 probability:0.910691
Chord can't be recognized!
```

**Figure 7: Output of nonchord.wav**

- **Results**

First, I used the Apple app GarageBand to generate 12 major chords. Then I recorded 12 major chords from a piano in the Music Building. The results for all of these sound file input were 100% correct.

Afterwards, I generated some three random note triads as the inputs. Typical results are as shown in Figure 6 and Figure 7. These results satisfy my expectation.

- **Conclusion**

In a word, I am satisfied with the results obtained. But there are many improvements that could be made. First, I could increase my database so that my program could recognize more than 12 chords. Second, there are many chords which are not made of 3 notes. I did not consider this situation. To make the program more practical, there are many more things I would need to accomplish.

- **Code**

Database

```
const float fre[88] = {27.5, 29.135, 30.868, 32.703, 34.648, 36.700, 38.891, 41.203, 43.654, 46.249, 48.999, 51.913,
55.0, 58.27, 61.735, 65.406, 69.296, 73.416, 77.782, 82.407, 87.307, 92.499, 97.999, 103.826, 110.0, 116.541,
123.471, 130.813, 138.591, 146.832, 155.563, 164.814, 174.614, 184.997, 195.999, 207.652, 220.0, 233.082,
246.942, 261.626, 277.183, 293.665, 311.127, 329.628, 349.228, 369.994, 391.995, 415.305, 440.0, 466.164,
493.883, 523.251, 554.365, 587.33, 622.254, 659.255, 698.456, 739.989, 783.991, 830.689, 880.0, 932.328,
987.767, 1046.502, 1108.731, 1174.659, 1244.508, 1318.51, 1396.913, 1479.978, 1567.982, 1661.219, 1760.0,
1864.655, 1975.533, 2093.005, 2217.461, 2349.318, 2489.016, 2637.02, 2793.826, 2959.955, 3135.963, 3322.430,
3520.0, 3729.31, 3951.066, 4186.009};

const char *note[88]={"A#0", "A#0#", "B#0", "C#1", "C#1#", "D#1", "D#1#", "E#1", "F#1", "F#1#", "G#1", "G#1#", "A#1", "A#1#", "B#1", "C#2",
"C#2#", "D#2", "D#2#", "E#2", "F#2", "F#2#", "G#2", "G#2#", "A#2", "A#2#", "B#2", "C#3", "C#3#", "D#3", "D#3#", "E#3", "F#3", "F#3#",
"G#3", "G#3#", "A#3", "A#3#", "B#3", "C#4", "C#4#", "D#4", "D#4#", "E#4", "F#4", "F#4#", "G#4", "G#4#", "A#4", "A#4#", "B#4", "C#5",
"C#5#", "D#5", "D#5#", "E#5", "F#5", "F#5#", "G#5", "G#5#", "A#5", "A#5#", "B#5", "C#6", "C#6#", "D#6", "D#6#", "E#6", "F#6", "F#6#",
"G#6", "G#6#", "A#6", "A#6#", "B#6", "C#7", "C#7#", "D#7", "D#7#", "E#7", "F#7", "F#7#", "G#7", "G#7#", "A#7", "A#7#", "B#7", "C#8"};
char *nonchord = {"!"};
char *chordname[12]={"C", "C#", "D", "Eb", "E", "F", "F#", "G", "Ab", "A", "Bb", "B"};
const char *chordnote[12][3]={{{"C", "E", "G"}, {"C#", "F", "G#"}, {"A", "D", "F#"}, {"A#", "D#", "G"}, {"B", "E", "G#"},
{"F", "A", "C"}, {"F#", "A#", "C#"}, {"G", "B", "D"}, {"G#", "C", "D#"},
{"A", "C#", "E"}, {"A#", "D", "F"}, {"B", "D#", "F#"}};
const int majorchord[12][3]={{1,5,8}, {2,6,9}, {3,7,10}, {4,8,11}, {5,9,12}, {1,6,10}, {2,7,11}, {3,8,12}, {1,4,9}, {2,5,10}, {3,6,11}, {4,7,12}};
```

Comparison

```

int temp;
if(noteindex[0]>noteindex[1]){
    temp=noteindex[0];
    noteindex[0]=noteindex[1];
    noteindex[1]=temp;
}
if(noteindex[0]>noteindex[2]){
    temp=noteindex[0];
    noteindex[0]=noteindex[2];
    noteindex[2]=temp;
}
if(noteindex[1]>noteindex[2]){
    temp=noteindex[1];
    noteindex[1]=noteindex[2];
    noteindex[2]=temp;
}

for(i=0;i<3;i++){
    noteindex[i]++;
}

int prob[3];
int minindex,min=100;
for(i=0;i<12;i++){
    for(j=0;j<3;j++){
        prob[j]=0;
        prob[j]+=min(min(abs(noteindex[j]-majorchord[i][0]),abs(noteindex[j]-majorchord[i][1]),abs(noteindex[j]-majorchord[i][2])));
    }
    if(prob[0]==0&&prob[1]==0&&prob[2]==0){
        *chord= chordname[i];
        return 0;
    }
    else if(prob[0]==0&&prob[1]==0&&(prob[2]<min)){
        min=prob[2];
        minindex=i;
    }
    else if(prob[0]==0&&prob[2]==0&&(prob[1]<min)){
        min=prob[1];
        minindex=i;
    }
    else if(prob[1]==0&&prob[2]==0&&(prob[0]<min)){
        min=prob[1];
        minindex=i;
    }
}
if(min==100){
    *chord=nonchord;
    return 1;
}
*chord = chordname[minindex];
return 1;

```

## - References

1. "Fast Fourier Transform." *Wikipedia*. Wikimedia Foundation, 01 May 2017. Web. 01 May 2017.
2. "Maximum Likelihood Estimation." *Wikipedia*. Wikimedia Foundation, 30 Apr. 2017. Web. 01 May 2017.
3. Peeling, Paul, et al. "Poisson Point Process Modeling for Polyphonic Music Transcription." *Journal of the Acoustical Society of America*, vol. 121, no. 4, Apr. 2007, pp. EL168-EL175. EBSCOhost, doi:10.1121/1.2716156.