

PY 502, Computational Physics, Fall 2018
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Numerical diagonalization studies of quantum spin chains

Introduction to computational studies of spin chains

Using basis states incorporating conservation laws (symmetries)

- magnetization conservation, momentum states, parity, spin inversion
- discussion without group theory
 - only basic quantum mechanics and common sense needed

Lanczos diagonalization (ground state, low excitations)

How to characterize different kinds of ground states

- critical ground state of the Heisenberg chain
- quantum phase transition to a valence-bond solid in a J_1 - J_2 chain

Quantum spins

Spin magnitude S ; basis states $|S^z_1, S^z_2, \dots, S^z_N\rangle$, $S^z_i = -S, \dots, S-1, S$

Commutation relations:

$$[S^x_i, S^y_i] = i\hbar S^z_i \quad (\text{we set } \hbar = 1)$$

$$[S^x_i, S^y_j] = [S^x_i, S^z_j] = \dots = [S^z_i, S^z_j] = 0 \quad (i \neq j)$$

Ladder (raising and lowering) operators:

$$S^+_i = S^x_i + iS^y_i, \quad S^-_i = S^x_i - iS^y_i$$

$$S^+_i |S^z_i\rangle = \sqrt{S(S+1) - S^z_i(S^z_i + 1)} |S^z_i + 1\rangle,$$

$$S^-_i |S^z_i\rangle = \sqrt{S(S+1) - S^z_i(S^z_i - 1)} |S^z_i - 1\rangle,$$

Spin (individual) squared operator: $S^2_i |S^z_i\rangle = S(S+1) |S^z_i\rangle$

S=1/2 spins; very simple rules

$$|S^z_i = +\frac{1}{2}\rangle = |\uparrow_i\rangle, \quad |S^z_i = -\frac{1}{2}\rangle = |\downarrow_i\rangle$$

$$S^z_i |\uparrow_i\rangle = +\frac{1}{2} |\uparrow_i\rangle \quad S^-_i |\uparrow_i\rangle = |\downarrow_i\rangle \quad S^+_i |\uparrow_i\rangle = 0$$

$$S^z_i |\downarrow_i\rangle = -\frac{1}{2} |\downarrow_i\rangle \quad S^+_i |\downarrow_i\rangle = |\uparrow_i\rangle \quad S^-_i |\downarrow_i\rangle = 0$$

Quantum spin models

Ising, XY, Heisenberg hamiltonians

- the spins always have three (x,y,z) components
- interactions may contain 1 (Ising), 2 (XY), or 3 (Heisenberg) components

$$H = \sum_{\langle ij \rangle} J_{ij} S_i^z S_j^z = \frac{1}{4} \sum_{\langle ij \rangle} J_{ij} \sigma_i \sigma_j \quad \textbf{(Ising)}$$

$$H = \sum_{\langle ij \rangle} J_{ij} [S_i^x S_j^x + S_i^y S_j^y] = \frac{1}{2} \sum_{\langle ij \rangle} J_{ij} [S_i^+ S_j^- + S_i^- S_j^+] \quad \textbf{(XY)}$$

$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j = \sum_{\langle ij \rangle} J_{ij} [S_i^z S_j^z + \frac{1}{2} (S_i^+ S_j^- + S_i^- S_j^+)] \quad \textbf{(Heisenberg)}$$

Quantum statistical mechanics

$$\langle Q \rangle = \frac{1}{Z} \text{Tr} \left\{ Q e^{-H/T} \right\} \quad Z = \text{Tr} \left\{ e^{-H/T} \right\} = \sum_{n=0}^{M-1} e^{-E_n/T}$$

Large size M of the Hilbert space; **M=2^N** for S=1/2

- difficult problem to find the eigenstates and energies
- we are also interested in the ground state (T→0)
 - for classical systems the ground state is often trivial

Why study quantum spin systems?

Solid-state physics

- localized electronic spins in Mott insulators (e.g., high-Tc cuprates)
- large variety of lattices, interactions, physical properties
- search for “exotic” quantum states in such systems (e.g., spin liquid)

Ultracold atoms (in optical lattices)

- some spin hamiltonians can be engineered (ongoing efforts)
- some bosonic systems very similar to spins (e.g., “hard-core” bosons)

Quantum information theory / quantum computing

- possible physical realizations of quantum computers using interacting spins
- many concepts developed using spins (e.g., entanglement)
- quantum annealing

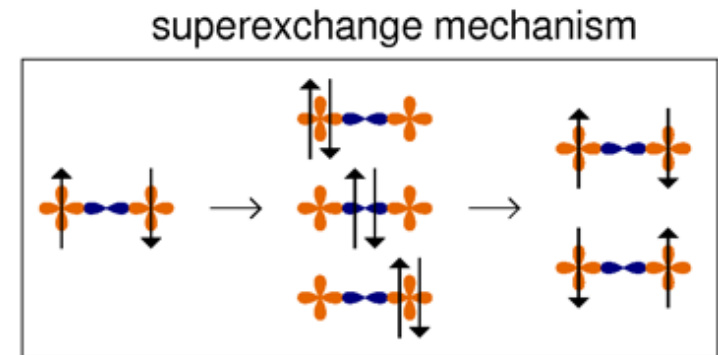
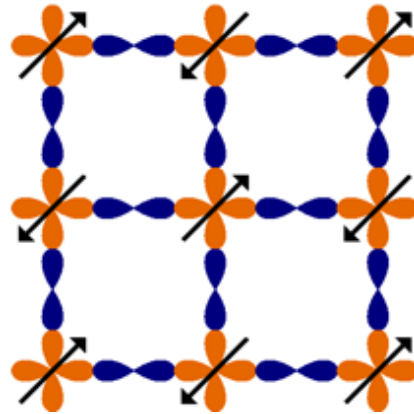
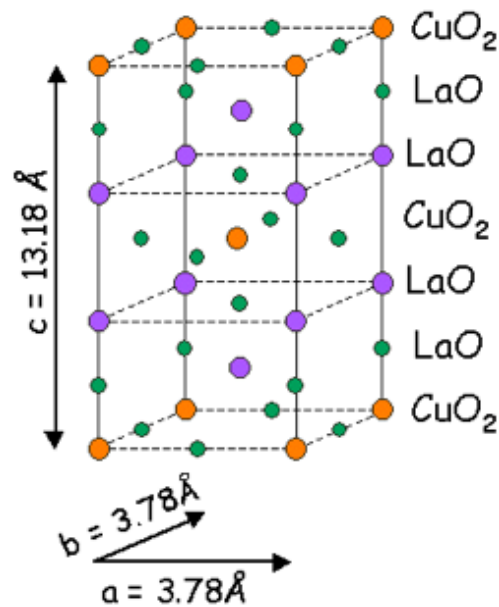
Generic quantum many-body physics

- testing grounds for collective quantum behavior, quantum phase transitions
- identify “Ising models” of quantum many-body physics

Particle physics / field theory / quantum gravity

- some quantum-spin phenomena have parallels in high-energy physics
 - e.g., spinon confinement-deconfinement transition
- spin foams, string nets: models to describe “emergence” of space-time and elementary particles

Prototypical Mott insulator; high-Tc cuprates (antiferromagnets)

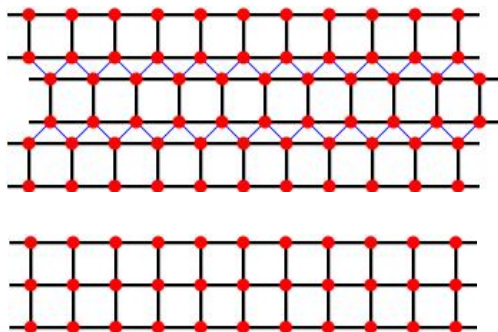


- CuO_2 planes, localized spins on Cu sites
- Lowest-order spin model: $S=1/2$ Heisenberg
 - Super-exchange coupling, $J \approx 1500 \text{ K}$

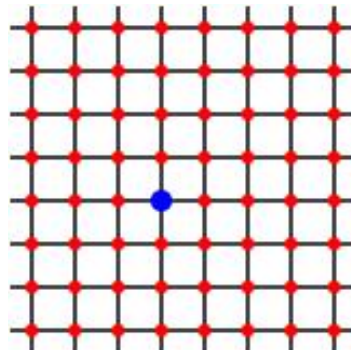
$$H = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

Many other quasi-1D and quasi-2D cuprates

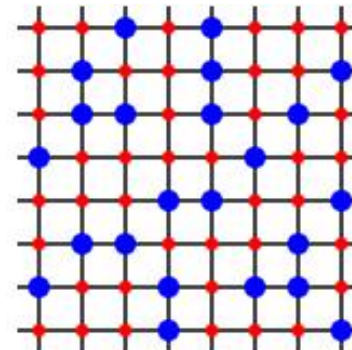
- chains, ladders, impurities and dilution, frustrated interactions, ...



Ladder systems
- even/odd effects



non-magnetic impurities/dilution
- dilution-driven phase transition



- Cu ($S = 1/2$)
- Zn ($S = 0$)

The antiferromagnetic (Néel) state and quantum fluctuations

The ground state of the Heisenberg model (bipartite 2D or 3D lattice)

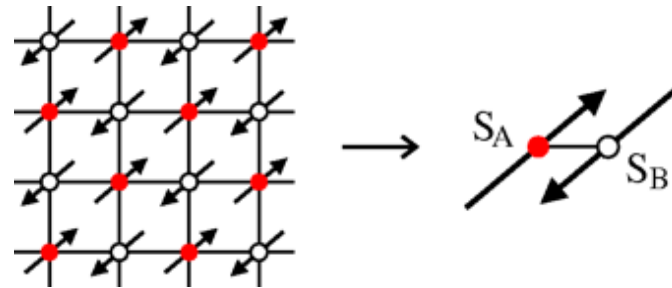
$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j = J \sum_{\langle ij \rangle} [S_i^z S_j^z + \frac{1}{2}(S_i^+ S_j^- + S_i^- S_j^+)]$$

Does the long-range “staggered” order survive quantum fluctuations?

- order parameter: staggered (sublattice) magnetization; $[H, m_s] \neq 0$

$$\vec{m}_s = \frac{1}{N} \sum_{i=1}^N \phi_i \vec{S}_i, \quad \phi_i = (-1)^{x_i + y_i} \quad (2D \text{ square lattice})$$

$$\vec{m}_s = \frac{1}{N} (\vec{S}_A - \vec{S}_B)$$



If there is order ($m_s > 0$), the direction of the vector is fixed ($N \rightarrow \infty$)

- conventionally this is taken as the z direction

$$\langle m_s \rangle = \frac{1}{N} \sum_{i=1}^N \phi_i \langle S_i^z \rangle = |\langle S_i^z \rangle|$$

- For $S \rightarrow \infty$ (classical limit) $\langle m_s \rangle \rightarrow S$
- what happens for small S (especially $S=1/2$)?

Numerical diagonalization of the hamiltonian

To find the ground state (maybe excitations, $T>0$ properties) of the Heisenberg $S=1/2$ chain

$$\begin{aligned} H &= J \sum_{i=1}^N \mathbf{S}_i \cdot \mathbf{S}_{i+1} = J \sum_{i=1}^N [S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + S_i^z S_{i+1}^z], \\ &= J \sum_{i=1}^N [S_i^z S_{i+1}^z + \frac{1}{2} (S_i^+ S_{i+1}^- + S_i^- S_{i+1}^+)] \end{aligned}$$

Simplest way computationally; enumerate the states

- construct the hamiltonian matrix using **bit-representation** of integers

$$|0\rangle = |\downarrow, \downarrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 000)$$

$$|1\rangle = |\uparrow, \downarrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 001) \quad H_{ab} = \langle b | H | a \rangle$$

$$|2\rangle = |\downarrow, \uparrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 010) \quad a, b \in \{0, 1, \dots, 2^N - 1\}$$

$$|3\rangle = |\uparrow, \uparrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 011)$$

bit representation perfect for $S=1/2$ systems

- use >1 bit/spin for $S>1/2$, or integer vector
- construct H by examining/flipping bits

spin-state manipulations with bit operations

Let **a[i]** refer to the **i:th** bit of an **integer a**

- In Fortran 90 the bit-level function **ieor(a,2**i)** can be used to flip bit i of a
- bits i and j can be flipped using **ieor(a,2**i+2**j)**

				j	i			
a								
$2^i + 2^j$								
$\text{ieor}(a, 2^i + 2^j)$								

Other Fortran 90 functions

ishftc(a,-1,N)

- shifts N bits to the “left”

btest(a,b)

- checks (T or F) bit b of a

ibset(a,b), ibclr(a,b)

- sets to 1 or 1 bit b of a

Translations and reflections of states

r		T^r		T^r_P
0	27	0 0 0 1 1 0 1 1	216	1 1 0 1 1 0 0 0
1	54	0 0 1 1 0 1 1 0	177	1 0 1 1 0 0 0 1
2	108	0 1 1 0 1 1 0 0	99	0 1 1 0 0 0 1 1
3	216	1 1 0 1 1 0 0 0	198	1 1 0 0 0 1 1 0
4	177	1 0 1 1 0 0 0 1	141	1 0 0 0 1 1 0 1
5	99	0 1 1 0 0 0 1 1	27	0 0 0 1 1 0 1 1
6	198	1 1 0 0 0 1 1 0	54	0 0 1 1 0 1 1 0
7	141	1 0 0 0 1 1 0 1	108	0 1 1 0 1 1 0 0