

**COMP 3711 – Design and Analysis of Algorithms**  
**2022 Fall Semester – Written Assignment Solution # 2**

**Solution 1:** [10 points]

For  $i < j$  set

$$X_{i,j} = \begin{cases} 1 & \text{if } A[i] > A[j], \\ 0 & \text{otherwise.} \end{cases}$$

Now set  $X = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}$  to be the total number of inversions.

For any fixed  $i, j$ , exactly half of the set of  $n!$  permutations have  $A[i] > A[j]$ , so

$$E(X_{ij}) = Pr(X_{ij} = 1) = \frac{1}{2}.$$

Thus

$$\begin{aligned} E(X) &= E\left(\sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}\right) \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n E(X_{ij}) \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{1}{2} \\ &= C_n^2 \cdot \frac{1}{2} = \frac{n(n-1)}{2} \cdot \frac{1}{2} = \frac{n(n-1)}{4}. \end{aligned}$$

**Solution 2:** [10 points]

*Proof.* We prove that RANDOM-SAMPLE( $m, n$ ) returns a subset of  $[1, n]$  of size  $m$  drawn uniformly at random by proving that for each possible subset  $\{a_1, a_2, \dots, a_m\}$ , the probability of returning  $\{a_1, a_2, \dots, a_m\}$  is

$$Pr(\text{RANDOM-SAMPLE}(m, n) = \{a_1, a_2, \dots, a_m\}) = \frac{1}{C_n^m} = \frac{m! \cdot (n-m)!}{n!}.$$

We will prove this by induction.

When  $m = 1$ , RANDOM-SAMPLE( $1, n$ ) calls RANDOM( $1, n$ ) to draw an integer uniformly from  $[1, n]$ , then for any  $a \in [1, n]$ ,  $Pr(\text{RANDOM-SAMPLE}(1, n) = \{a\}) = \frac{1}{n} = \frac{(n-1)!}{n!}$ .

When  $1 < m \leq n$ , RANDOM-SAMPLE( $m, n$ ) first calls RANDOM-SAMPLE( $m-1, n-1$ ) to return a subset of  $[1, n-1]$  of size  $m-1$ , suppose it is  $\{a_1, \dots, a_{m-1}\}$ . For any such subset  $\{a_1, \dots, a_{m-1}\}$ ,  $Pr(\text{RANDOM-SAMPLE}(m-1, n-1) = \{a_1, \dots, a_{m-1}\}) = \frac{(m-1)! \cdot (n-m)!}{(n-1)!}$ .

Then RANDOM-SAMPLE( $m, n$ ) calls RANDOM( $1, n$ ) to draw an integer uniformly from  $[1, n]$ . There are two cases:

- If RANDOM( $1, n$ )  $\in \{a_1, \dots, a_{m-1}\}$ , then the algorithm appends  $n$  to the returning subset.
- If RANDOM( $1, n$ )  $\notin \{a_1, \dots, a_{m-1}\}$ , then the algorithm appends  $i$  to the returning subset.

Therefore, for any possible subset  $\{a_1, \dots, a_m\}$  of  $[1, n]$ :

- If  $n \in \{a_1, \dots, a_m\}$ , without loss of generality, suppose  $a_m = n$ , then

$$\begin{aligned}
& Pr(\text{RANDOM-SAMPLE}(m, n) = \{a_1, \dots, a_m\}) \\
&= Pr(\text{RANDOM-SAMPLE}(m-1, n-1) = \{a_1, \dots, a_{m-1}\}) \cdot Pr(\text{RANDOM}(1, n) \in \{a_1, \dots, a_{m-1}\}) \\
&+ Pr(\text{RANDOM-SAMPLE}(m-1, n-1) = \{a_1, \dots, a_{m-1}\}) \cdot Pr(\text{RANDOM}(1, n) = n) \\
&= \frac{(m-1)! \cdot (n-m)!}{(n-1)!} \cdot \frac{m-1}{n} + \frac{(m-1)! \cdot (n-m)!}{(n-1)!} \cdot \frac{1}{n} = \frac{m! \cdot (n-m)!}{n!}.
\end{aligned}$$

- If  $n \notin \{a_1, \dots, a_m\}$ , then

$$\begin{aligned}
& Pr(\text{RANDOM-SAMPLE}(m, n) = \{a_1, \dots, a_m\}) \\
&= m \cdot Pr(\text{RANDOM-SAMPLE}(m-1, n-1) = \{a_1, \dots, a_{j-1}, a_{j+1}, \dots, a_m\}) \cdot Pr(\text{RANDOM}(1, n) = \{a_j\}) \\
&= m \cdot \frac{(m-1)! \cdot (n-m)!}{(n-1)!} \cdot \frac{1}{n} = \frac{m! \cdot (n-m)!}{n!}.
\end{aligned}$$

Thus, we prove that for each possible subset  $\{a_1, a_2, \dots, a_m\}$ , the probability of returning  $\{a_1, a_2, \dots, a_m\}$  is

$$Pr(\text{RANDOM-SAMPLE}(m, n) = \{a_1, a_2, \dots, a_m\}) = \frac{m! \cdot (n-m)!}{n!}.$$

□

**Solution 3:** [10 points]

- (a) In the binary tree, the indices of the elements of A at level  $j$  are  $[2^j, \dots, 2^{j+1} - 1]$ . Since  $h = L - l(i)$ , the indices that have height  $h$  are  $[2^{L-h}, \dots, 2^{L-h+1} - 1]$ . The number of the elements that have height  $h$  is  $2^{L-h+1} - 1 - 2^{L-h} + 1 = 2^{L-h}$ .
- (b) **Update-Subtree**( $A, i$ ): given that the subtrees rooted at the children of  $A[i]$  are already binary min-heaps, update the subtree rooted at  $A[i]$  so that this subtree becomes a binary min-heap.

**Update-Subtree**( $A, i$ ):

**begin**

$l = A[2i]; r = A[2i + 1];$

**while**  $A[i] > \min(l, r)$  and  $i < 2^{\log_2(n+1)-1}$  **do**

    // if  $A[i]$  larger than a child and  $A[i]$  is not a leaf node, swap with min child

**if**  $l < r$  **then**

        Swap  $A[i]$  with  $A[2i]; i = 2i;$

**else**

        Swap  $A[i]$  with  $A[2i + 1]; i = 2i + 1;$

$l = A[2i]; r = A[2i + 1];$

**end**

After each swap, the min-heap property is satisfied for all nodes except the node containing  $A[i]$  (with respect to its children). So when the while loop ends, either  $A[i]$  is smaller than both children, or it reaches the level  $L$ , the subtree becomes a binary min-heap.

Time complexity =  $O(\text{height of } A[i])$ .

- (c) **Build-Binary-Min-Heap**( $A, i$ ):
- if**  $i \geq 2^{\log_2(n+1)-1}$  **then** //  $A[i]$  and  $A[n]$  are in the same level

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    return
  Build-Binary-Min-Heap( $A, 2i$ )
  Build-Binary-Min-Heap( $A, 2i + 1$ )
  Update-Subtree( $A, i$ )
  First call: Build-Binary-Min-Heap( $A, 1$ )

```

**Correctness:** For all leaf nodes, that are  $A[i]$  where  $i \geq 2^{\log_2(n+1)-1}$ , the subtree rooted at  $A[i]$  is a binary min-heap with only one node.

For other node  $A[i]$ , the algorithm builds the binary min-heaps rooted at the children of  $A[i]$ , then updates the subtree rooted at  $A[i]$  so that it becomes a binary min-heap.

Thus the algorithm recursively builds a binary min-heap for  $A[1 \dots n]$ .

**Running time:**  $T(1) = 1$ ,  $T(n) = 2T(n/2) + O(\log_2 n)$ .

By master theorem,  $c = \log_2 2 = 1$ ,  $f(n) = O(\log_2 n) = O(n)$ , so  $T(n) = O(n)$ .

**Solution 4:** [10 points]

For a group of time intervals, we call the group accessible if the intersection of all these time intervals is non-empty, which means these intervals can be hit by a single time instant in their intersection. A partition of  $\{I_1, \dots, I_n\}$  is optimal if each part of the partition is accessible and we cannot merge any two parts to get an accessible group. The underlying idea of the algorithm is simple, i.e., find the optimal partition.

First, we sort all time intervals in  $\mathcal{I} = \{I_1, \dots, I_n\}$  according to their finishing times. Second, scan from the first time interval. Once we find an integer  $j$  such that  $\{I_1, \dots, I_j\}$  is accessible and  $\{I_1, \dots, I_{j+1}\}$  is not accessible, we maintain  $\{I_1, \dots, I_j\}$  as an accessible group and start a new accessible group construction from  $I_{j+1}$ . The pseudocode is as follows.

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Sort  $\mathcal{I} = \{I_1, \dots, I_n\}$  according to finishing times
 $R \leftarrow \emptyset$  //  $R$  stores the maximal accessible groups
 $G \leftarrow \emptyset$ 
for  $i \leftarrow 1$  to  $n$  do
  if  $G \cup \{I_i\}$  is accessible then
     $G \leftarrow G \cup \{I_i\}$ 
  else
     $R \leftarrow R \cup \{G\}$ 
     $G \leftarrow \{I_i\}$ 
return  $R$ 

```

Since each element  $G_j \in R$  is accessible, we can construct a set  $\{t_1, \dots, t_{|R|}\}$  of time instances such that  $t_j$  hits all time intervals in  $G_j$ , where  $|R|$  is the cardinality of  $R$ . For  $j \in [1, \dots, |R|]$ , we identify  $t_j$  as the latest time instance that hits all time intervals in  $G_j$ . Finally,  $\{t_1, \dots, t_{|R|}\}$  is returned as the solution.

**Correctness:** We assume that the smallest set of time instances that hit all intervals in  $\mathcal{I}$  is  $O = \{o_1, o_2, \dots, o_k\}$ , where  $o_1 < o_2 < \dots < o_k$ . Then  $k \leq |R|$ .

If  $o_i = t_i$  for  $1 \leq i \leq k$ , then  $k = |R|$ . Otherwise  $G_{k+1}$  cannot be hit by  $O$  since  $G_{k+1}$  cannot be hit by  $\{t_1, \dots, t_k\}$ . Therefore, in this case, the greedy solution is the optimal solution.

If there exists  $r$  such that  $o_r \neq t_r$ , we look at the first such  $r$  where the two sets of time instances differ, i.e.,  $t_i = o_i$  for  $1 \leq i \leq r - 1$  and  $t_r \neq o_r$ .

We now modify  $O$  by replacing  $o_r$  with  $t_r$ .

Suppose  $G_r = \{I_p, \dots, I_q\}$ . According to our greedy algorithm, the following properties are satisfied:

- $\bigcap_{p \leq i \leq q} I_i \neq \emptyset$ .

- $\bigcap_{p \leq i \leq q+1} I_i = \emptyset$ .

Suppose  $\{o_1, \dots, o_r\}$  hits  $\{I_1, \dots, I_m\}$  and cannot hit  $I_{m+1}$ . Since the maximum group of intervals that  $\{t_1, \dots, t_{r-1}\}$  (which is  $\{o_1, \dots, o_{r-1}\}$ ) can hit is  $\{I_1, \dots, I_{p-1}\}$ , so  $o_r$  must hit  $\{I_p, \dots, I_m\}$ . According to our greedy algorithm, we have  $q \geq m$ . Otherwise the intersection of  $\{I_p, \dots, I_m\}$  is empty.

That means  $\{o_1, \dots, o_{r-1}, t_r\}$  also hits  $\{I_1, \dots, I_m\}$ . So after the modification, the new  $O$  is still an optimal solution. Therefore,  $O$ 's first index that differs from  $\{t_1, \dots, t_{|R|}\}$  is now at least  $r + 1$ .

Repeating this process will eventually convert  $O$  into  $\{t_1, \dots, t_{|R|}\}$ . At the end,  $O = \{t_1, \dots, t_{|R|}\}$ . So the greedy algorithm is optimal.

**Running time:** To judge whether  $G \cup \{I_i\}$  is accessible and to get  $t_j$  cost  $O(i)$  since we need to compute the intersection of all intervals in  $G$  and  $I_i$ . So the algorithm costs  $O(n^2)$  running time.

**Solution 5:** [10 points]

(a) The completion time of  $A_{\sigma(j)} = \sum_{i=1}^j t_{\sigma(i)}$

(b) Let  $\sigma$  be the permutation where  $t_{\sigma(1)} < t_{\sigma(2)} < \dots < t_{\sigma(n)}$ . Assume the optimal permutation that minimizes the total completion time is  $\sigma'$ .

We find the largest value  $r$  such that  $\sigma(i) = \sigma'(i)$  for  $1 \leq i \leq r$  and  $\sigma(r+1) \neq \sigma'(r+1)$ . The completion time of  $A_{\sigma(r+1)} = \sum_{i=1}^{r+1} t_{\sigma(i)}$  is less than the completion time of  $A_{\sigma'(r+1)}$  since  $t_{\sigma(r+1)} < t_{\sigma'(r+1)}$ .

Suppose  $\sigma'(q) = \sigma(r+1)$  for some  $q > r+1$ . Then we create  $\sigma^*$  from  $\sigma'$  by swapping  $\sigma'(r+1)$  and  $\sigma'(q)$ .

(The total completion time of  $\sigma'$ ) - (the total completion time of  $\sigma^*$ ) =  $(t_{\sigma'(r+1)} - t_{\sigma(r+1)}) \cdot (q - r - 1) > 0$ . That is,  $\sigma^*$  has less total completion time than the optimal permutation  $\sigma'$ .

The contradiction shows that  $\sigma$  is the optimal permutation that minimizes the total completion time. Actually repeating the above process will eventually convert  $\sigma'$  into  $\sigma$ .