

# Fast Coalgebraic Bisimilarity Minimization

(POPL'23)

Jules Jacobs

Radboud University

Thorsten Wißmann

Radboud University

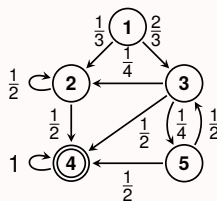
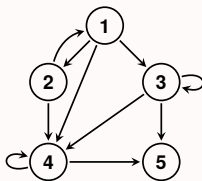
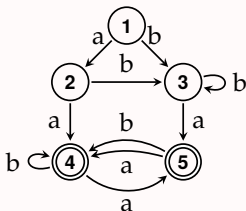
→

Friedrich-Alexander-Universität

Erlangen-Nürnberg

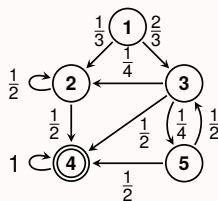
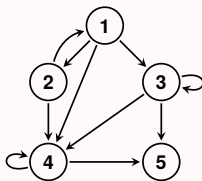
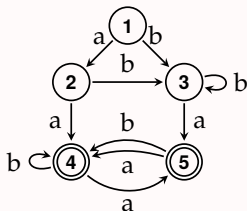
# The Automaton Zoo

Deterministic finite automata, tree automata, (labeled) transition systems, weighted and probabilistic automata, Markov decision processes, ...



# The Automaton Zoo

Deterministic finite automata, tree automata, (labeled) transition systems, weighted and probabilistic automata, Markov decision processes, ...

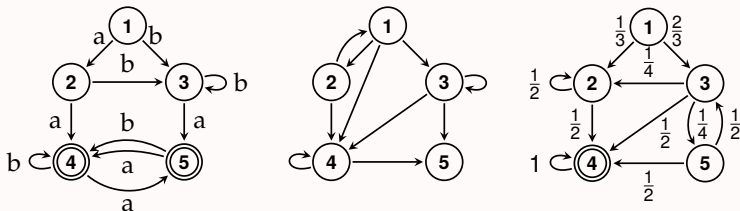


## Automaton Minimization

Find and merge behaviorally equivalent states

# The Automaton Zoo

Deterministic finite automata, tree automata, (labeled) transition systems, weighted and probabilistic automata, Markov decision processes, ...



## Automaton Minimization

Find and merge behaviorally equivalent states

## Coalgebraic Bisimilarity Minimization

Algorithms that work for a general class of  $F$ -automata

## Our contribution

a **fast** and **general** algorithm for minimizing automata

## Our contribution

a **fast** and **general** algorithm for minimizing automata

- ▶ *General*: works for any computable coalgebra
- ▶ *Decent asymptotic complexity*:  $O(\phi_F \cdot m \log n)$
- ▶ *Fast in practice*: no penalty for generality
- ▶ *Low memory usage*: important for large automata

# Examples of Coalgebraic Automata

Automaton type	Equivalence	Functor $F(X)$
DFA	Language Equivalence	$2 \times A^X$
Transition Systems	Strong Bisimilarity	$\mathcal{P}(X)$
LTS	Strong Bisimilarity	$\mathcal{P}(A \times X)$
Weighted Systems	Weighted Bisimilarity	$M^{(X)}$
Markov Chain	Probabilistic Bisimilarity	$A \times \mathcal{D}(X)$
MDP	Probabilistic Bisimilarity	$\mathcal{P}(\mathcal{D}(X))$
Weighted Tree Automata	Backwards Bisimilarity	$M^{(\Sigma X)}$
Monotone Neigh. Frames	Monotone Bisimilarity	$\mathcal{N}(X)$
$\vdots$	$\vdots$	$\vdots$

**Automaton types compose:**  $F \circ G, F + G, F \times G, \dots$

DFA	Transition system	Markov chain
$F(X) = \{F, T\} \times X \times X$	$F(X) = \mathcal{P}_f(X)$	$F(X) = \{F, T\} \times \mathcal{D}(X)$
$1 \mapsto (F, 2, 3)$ $2 \mapsto (F, 4, 3)$ $3 \mapsto (F, 5, 3)$ $4 \mapsto (T, 5, 4)$ $5 \mapsto (T, 4, 4)$	$1 \mapsto \{2, 3, 4\}$ $2 \mapsto \{1, 4\}$ $3 \mapsto \{3, 4, 5\}$ $4 \mapsto \{4, 5\}$ $5 \mapsto \{\}$	$1 \mapsto (F, \{2: \frac{1}{3}, 3: \frac{2}{3}\})$ $2 \mapsto (F, \{2: \frac{1}{2}, 4: \frac{1}{2}\})$ $3 \mapsto (F, \{2: \frac{1}{4}, 4: \frac{1}{2}, 5: \frac{1}{4}\})$ $4 \mapsto (T, \{4: 1\})$ $5 \mapsto (F, \{3: \frac{1}{2}, 4: \frac{1}{2}\})$



DFA	Transition system	Markov chain
$F(X) = \{F, T\} \times X \times X$	$F(X) = \mathcal{P}_f(X)$	$F(X) = \{F, T\} \times \mathcal{D}(X)$
$1 \mapsto (F, 2, 3)$ $2 \mapsto (F, 4, 3)$ $3 \mapsto (F, 5, 3)$ $4 \mapsto (T, 5, 4)$ $5 \mapsto (T, 4, 4)$	$1 \mapsto \{2, 3, 4\}$ $2 \mapsto \{1, 4\}$ $3 \mapsto \{3, 4, 5\}$ $4 \mapsto \{4, 5\}$ $5 \mapsto \{\}$	$1 \mapsto (F, \{2: \frac{1}{3}, 3: \frac{2}{3}\})$ $2 \mapsto (F, \{2: \frac{1}{2}, 4: \frac{1}{2}\})$ $3 \mapsto (F, \{2: \frac{1}{4}, 4: \frac{1}{2}, 5: \frac{1}{4}\})$ $4 \mapsto (T, \{4: 1\})$ $5 \mapsto (F, \{3: \frac{1}{2}, 4: \frac{1}{2}\})$
$2 \equiv 3, 4 \equiv 5$	$1 \equiv 2, 3 \equiv 4$	$2 \equiv 3 \equiv 5$

# What is coalgebraic bisimilarity minimization?

The input:

- ▶ a functor  $F(X)$  – describes automaton type
- ▶ a coalgebra  $t : C \rightarrow F(C)$  – the automaton

# What is coalgebraic bisimilarity minimization?

The input:

- ▶ a functor  $F(X)$  – describes automaton type
- ▶ a coalgebra  $t : C \rightarrow F(C)$  – the automaton

The output:

- ▶ a partition  $p : C \rightarrow C'$ 
  - the equivalence classes of bisimilar states
- ▶ s.t.  $p(x) = p(y) \implies Fp(t(x)) = Fp(t(y))$
- ▶  $|C'|$  as small as possible

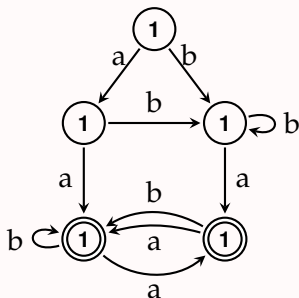
# Sketch of our algorithm

1. Assume all states are equivalent
2. Split equivalence classes by *signature* (*normalised* outgoing transitions)
3. Iterate until convergence

## Key points

- ▶ Only recompute signatures of *changed* states
- ▶ Do not loop over *unchanged* states

# Our algorithm: Minimizing a DFA



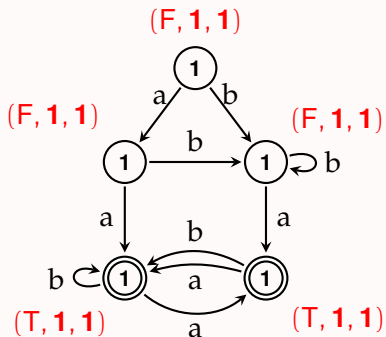
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



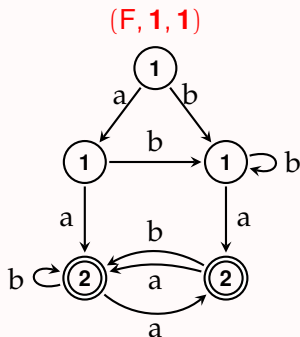
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



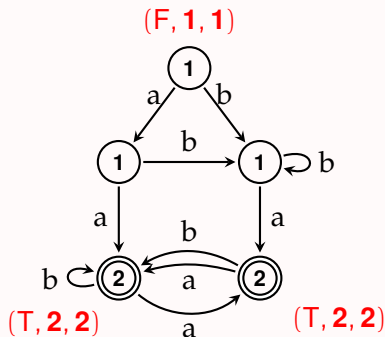
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



## Algorithm

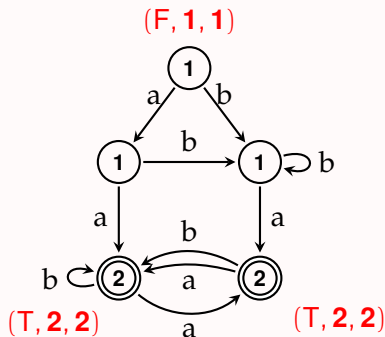
Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.



# Our algorithm: Minimizing a DFA



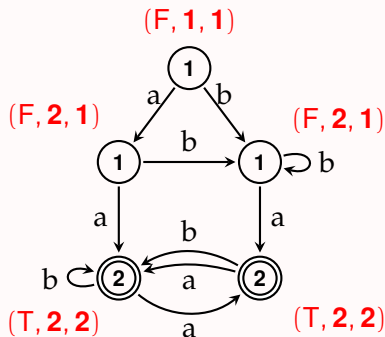
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



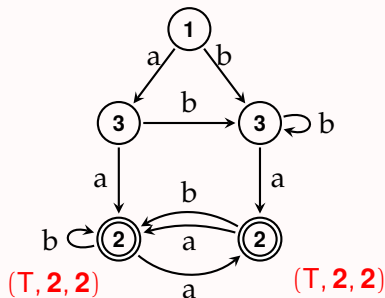
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



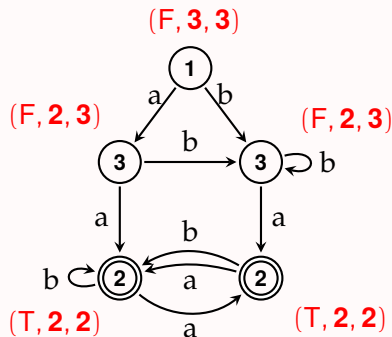
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



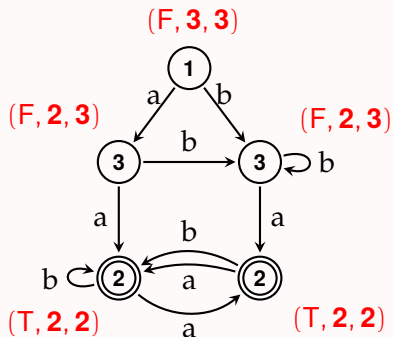
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a DFA



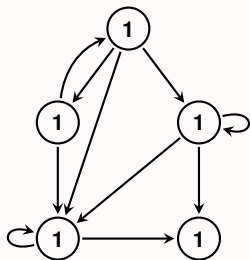
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



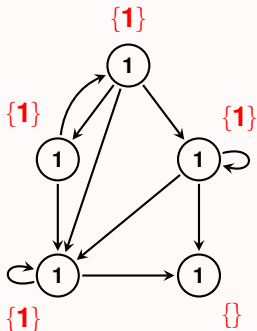
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



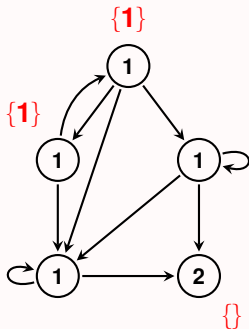
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



## Algorithm

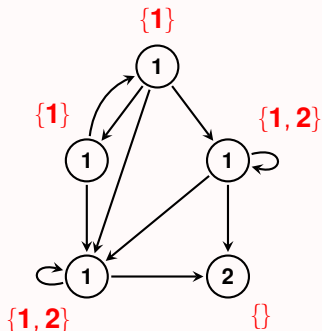
Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.



# Our algorithm: Minimizing a transition system



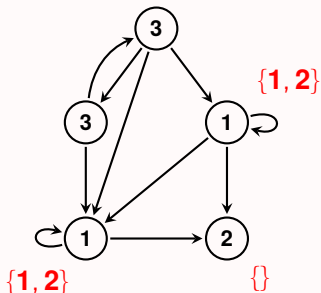
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



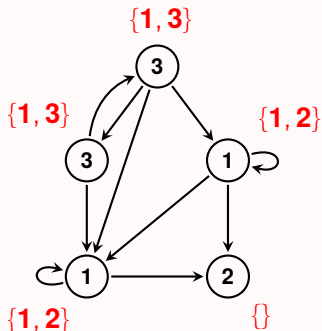
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



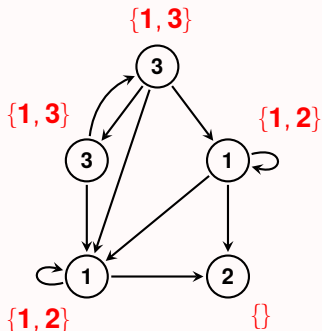
## Algorithm

Set all the state numbers to 1.

Iterate until all states have signatures:

1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# Our algorithm: Minimizing a transition system



## Algorithm

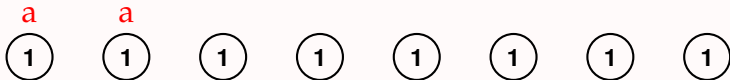
Set all the state numbers to 1.

Iterate until all states have signatures:

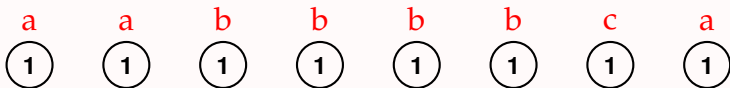
1. Pick equivalence class & compute missing signatures.
2. Assign new state numbers & remove invalid signatures.

# The general picture

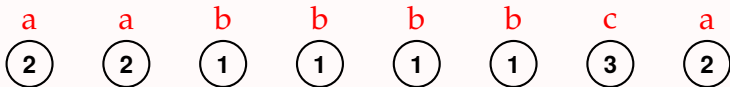
1. *Pick equivalence class with missing signatures:*



2. *Compute missing signatures:*



3. *Assign new state numbers:*



4. *Remove invalid signatures from predecessors*

## What we need from the automaton

- ▶ Set of states  $\mathcal{C}$
- ▶ Predecessors of each state  $\text{pred} : \mathcal{C} \rightarrow \mathcal{P}(\mathcal{C})$
- ▶ **Procedure to (re)compute signatures**  
 $\text{sig} : (\mathcal{C} \rightarrow \mathbb{N}) \rightarrow (\mathcal{C} \rightarrow F(\mathbb{N}))$

## What we need from the automaton

- ▶ Set of states  $\mathcal{C}$
- ▶ Predecessors of each state  $\text{pred} : \mathcal{C} \rightarrow \mathcal{P}(\mathcal{C})$
- ▶ **Procedure to (re)compute signatures**  
 $\text{sig} : (\mathcal{C} \rightarrow \mathbb{N}) \rightarrow (\mathcal{C} \rightarrow F(\mathbb{N}))$

**Complexity:**  $O(n^2)$  signature computations

## What we need from the automaton

- ▶ Set of states  $C$
- ▶ Predecessors of each state  $\text{pred} : C \rightarrow \mathcal{P}(C)$
- ▶ **Procedure to (re)compute signatures**  
 $\text{sig} : (C \rightarrow \mathbb{N}) \rightarrow (C \rightarrow F(\mathbb{N}))$

**Complexity:**  $O(n^2)$  signature computations

**Key:** *re-use old state number for  
largest new equivalence class*

**Invalidates fewer signatures**

**Complexity:**  $O(m \log n)$  signature computations



# Hopcroft's trick

State	1	2	3	4	5	6	7	8	9
<i>Iteration 1</i>	1	1	1	1	1	1	1	1	1

# Hopcroft's trick

State	1	2	3	4	5	6	7	8	9
<i>Iteration 1</i>	1	1	1	1	1	1	1	1	1
<i>Iteration 2</i>	2	3	3	3	1	1	1	1	1

# Hopcroft's trick

State	1	2	3	4	5	6	7	8	9
<i>Iteration 1</i>	1	1	1	1	1	1	1	1	1
<i>Iteration 2</i>	2	3	3	3	1	1	1	1	1
<i>Iteration 3</i>	2	3	3	3	1	1	4	4	5

# Hopcroft's trick

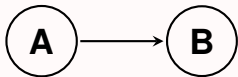
State	1	2	3	4	5	6	7	8	9
<i>Iteration 1</i>	1	1	1	1	1	1	1	1	1
<i>Iteration 2</i>	2	3	3	3	1	1	1	1	1
<i>Iteration 3</i>	2	3	3	3	1	1	4	4	5
<i>Iteration 4</i>	2	3	3	6	1	1	4	4	5

# Hopcroft's trick

State	1	2	3	4	5	6	7	8	9
<i>Iteration 1</i>	1	1	1	1	1	1	1	1	1
<i>Iteration 2</i>	2	3	3	3	1	1	1	1	1
<i>Iteration 3</i>	2	3	3	3	1	1	4	4	5
<i>Iteration 4</i>	2	3	3	6	1	1	4	4	5
<i>Iteration 5</i>	2	3	3	6	1	7	4	4	5

*Each state's number changes  $O(\log n)$  times!*

# Why $O(m \log n)$ signature recomputations?



An edge  $\mathbf{A} \rightarrow \mathbf{B}$  may cause a signature recomputation of  $\mathbf{A}$  when  $\mathbf{B}$ 's state number changes.

**Time complexity:**  $O(\Phi_F \cdot m \log n)$

**Key ingredient:** *never touch unchanged states*

# Time complexity: $O(\Phi_F \cdot m \log n)$

Key ingredient: *never touch unchanged states*

- $n$ -way partition refinement data structure
- also tracks invalid signatures
- uses radix sort & bucket sort for  $n$ -way split

Algorithm 5 Refinable partition data structure with  $n$ -way split

```

procedure MARKDIRTY( $s$ )
    ▷ Determine the block data
     $B := \text{block\_of}[s]$ 
     $j := \text{state2loc}[s]$ 
     $(\text{start}, \text{mid}, \text{end}) := \text{blocks}[B]$ 
    ▷ Do nothing if already dirty
    if  $\text{mid} \leq j$  then return
    ▷ Add to worklist if first dirty state
    if  $\text{mid} = \text{end}$  then  $\text{worklist.add}(B)$ 
    ▷ Swap  $s$  with the last clean state
     $s' := \text{loc2state}[\text{mid} - 1]$ 
     $\text{state2loc}[s'] := j$ 
     $\text{state2loc}[s] := \text{mid}$ 
     $\text{loc2state}[j] := s'$ 
     $\text{loc2state}[\text{mid}] := s$ 
    ▷ Move marker to make  $s$  dirty
     $\text{blocks}[B].\text{mid} := 1$ 

    block B
    clean dirty states  $B_B$ 
     $s_1 \ s_2 \ s_3 \ s_4 \ s_5 \ s_6 \ s_7 \ s_8 \ s_9 \ s_{10}$ 
    start mid end
    MARKDIRTY( $s_6$ )
     $s_1 \ s_2 \ s_4 \ s_6 \ s_5 \ s_3 \ s_8 \ s_7 \ s_9 \ s_{10}$ 
    SPLIT( $B[1, 2, 1, 0, 0, 1]$ )
     $s_1 \ s_2 \ s_4 \ s_7 \ s_8 \ s_3 \ s_6 \ s_5 \ s_9 \ s_{10}$ 

procedure SPLIT( $B, A: B_B \rightarrow \mathbb{N}$ )
    ▷ Cumulative counts of sub-block sizes
     $(\text{start}, \text{mid}, \text{end}) := \text{blocks}[B]$ 
     $D[0.. \max_i A[i] + 1] := 0$ 
     $D[0] := \text{mid} - \text{start}$ 
    for  $j \in B_B$  do
         $D[A[j]] += 1$ 
     $i_{\max} := \text{argmax}_i D[i]$ 
    for  $i \in 1..D[i_{\max}]$  do
         $D[i] += D[i - 1]$ 
    ▷  $D[i]$  is the number of states by  $A$ -value
     $\text{dirty} := \text{copy}(\text{loc2state}[\text{mid}.. \text{end}])$ 
    for  $i \in \text{reverse}(0..A)$  do
         $D[A[i]] -= 1$ 
         $j := \text{start} + D[A[i]]$ 
         $\text{loc2state}[j] := \text{dirty}[i]$ 
         $\text{state2loc}[\text{loc2state}[j]] := j$ 
     $D[0] := \text{mid} - \text{start}$ 
    ▷ Create blocks and assign IDs
     $D.\text{add}(\text{end} - \text{start})$ 
     $\text{old\_block\_count} := |\text{blocks}|$ 
    for  $i \in 0..D[0] - 1$  do
         $j_0 := \text{start} + D[i]$ 
         $j_1 := \text{start} + D[i + 1]$ 
        if  $i = i_{\max}$  then
             $\text{blocks}[B] := (j_0, j_1, j_1)$ 
        else
             $\text{blocks.add}(j_0, j_1, j_1)$ 
             $\text{idx} := |\text{blocks}| - 1$ 
             $\text{block\_of}[\text{loc2state}[j_0..j_1]] := \text{idx}$ 
    return  $\text{old\_block\_count}..|\text{blocks}|$ 
    
```

Algorithm 6 Optimized Partition Refinement for all Set functions

```

procedure PARTREFSETFUN( $C, \text{sig}, \text{pred}$ )
    ▷ i.e. for the implementation of  $\epsilon: C \rightarrow FC$ 
    Create a new refinable partition structure  $p: C \rightarrow \mathbb{N}$ 
    Init  $p$  to have one block of all states, and all states marked dirty.
    while there is a block  $B$  with a dirty state do
        Compute the arrays
         $(\text{sig}_{B_0}: B_B \rightarrow 2^*) := (x \mapsto \text{sig}(x, p))$ 
         $(\text{sig}_{B_1}: B_{B_1} \rightarrow 2^*) := (x \mapsto \text{sig}(x, p))$ 
        Compute signatures,
        in total  $O(m \log n)$  calls
        to the coalgebra
         $A: B_B \rightarrow \mathbb{N} := \text{RENUMBER}'(\text{sig}_{B_0}, \text{sig}_{B_1})$ 
        Split  $B$  according to
        signatures in  $O(|B_B|)$ 
         $\tilde{B}_{\text{new}} := \text{SPLIT}(B, A)$ 
        for every  $B' \in \tilde{B}_{\text{new}}$  and  $s \in B'$  do
            for every  $s' \in \text{pred}(s)$  do
                MARKDIRTY( $s'$ )
            Mark dirty all states with
            a successor in a new block
            in total time  $O(m \log n)$ 
        return the partition  $p$ 

    procedure RENUMBER( $p: B_B \rightarrow 2^*, q: B_{B_1} \rightarrow 2^*$ )
         $(A: B_B \rightarrow \mathbb{N}) := \text{RENUMBER}(p)$ 
        if there are  $d \in B_{B_0}, c \in B_{B_1}$  with  $p(d) = q(c)$  then
            Swap the values 0 and  $A(d)$  in the array  $A$ .
            Ensure that  $A(d) = 0$  for all dirty
            states  $d$  that have the same
            signature as the clean states.
        return  $A$ 
    
```

⇒ signature recomputations dominate



# Comparison

	<i>CoPaR</i>	<i>DCPR</i>	<i>mCRL2</i>	<i>Boa</i>
<b>Complexity</b>	$O(m \log n)$	$O(\phi_F n^2)$	$O(m \log n)$	$O(\phi_F m \log n)$
<b>Generality</b>	Zippable	Coalg	LTS+	Coalg
<b>Language</b>	Haskell	Haskell	C++	Rust

benchmark		time (s)			memory (MB)	
type	n	<i>CoPaR</i>	<i>DCPR</i>	<i>Boa</i>	<i>DCPR</i>	<i>Boa</i>
fms	1639440	232	84	1.12	514 $\times$ 32	196
	4459455	–	406	4.47	1690 $\times$ 32	582
wlan	607727	105	855	0.28	147 $\times$ 32	42
	1632799	–	2960	0.79	379 $\times$ 32	93
wta(W)	152107	566	79	0.74	642 $\times$ 32	83
	944250	–	675	11.96	6786 $\times$ 32	1228
wta(Z)	156913	438	82	0.48	677 $\times$ 32	92
	1007990	–	645	16.75	5644 $\times$ 32	1325
wta(2)	154863	449	160	0.81	621 $\times$ 32	79
	1300000	–	1377	23.35	7092 $\times$ 32	1647

# Comparison

	<i>CoPaR</i>	<i>DCPR</i>	<i>mCRL2</i>	<i>Boa</i>
<b>Complexity</b>	$O(m \log n)$	$O(\phi_F n^2)$	$O(m \log n)$	$O(\phi_F m \log n)$
<b>Generality</b>	Zippable	Coalg	LTS+	Coalg
<b>Language</b>	Haskell	Haskell	C++	Rust

# What is the cost of generality?

benchmark		time (s)		memory (MB)	
type	n	<i>mCRL2</i>	<i>Boa</i>	<i>mCRL2</i>	<i>Boa</i>
cwi	2416632	13.9	1.4	1780	249
	7838608	214.2	15.8	5777	814
	33949609	282.2	31.5	16615	2776
vasy	6020550	33.8	3.1	2124	520
	11026932	51.6	6.1	2768	619
	12323703	56.9	7.0	3103	734

For *mCRL2*, we pick its best algorithm and self-reported time.  
For *Boa*, we report wall-clock time.

We are  $O(\phi_F \cdot m \log n)$  rather than  $O(m \log n)$ , so

**Why is it fast?**

We are  $O(\phi_F \cdot m \log n)$  rather than  $O(m \log n)$ , so

## Why is it fast?

- ▶ Read 1 byte from memory:  $\approx 200$  cycles

We are  $O(\phi_F \cdot m \log n)$  rather than  $O(m \log n)$ , so

## Why is it fast?

- ▶ Read 1 byte from memory:  $\approx 200$  cycles
- ▶ Read next 63 bytes from memory:  $\approx 1$  cycle
- ▶ Computation (arithmetic, bit ops):  $\approx 1$  cycle

We are  $O(\phi_F \cdot m \log n)$  rather than  $O(m \log n)$ , so

## Why is it fast?

- ▶ Read 1 byte from memory:  $\approx 200$  cycles
- ▶ Read next 63 bytes from memory:  $\approx 1$  cycle
- ▶ Computation (arithmetic, bit ops):  $\approx 1$  cycle

$\phi_F$  *is cheap!*

Don't incrementalize, just recompute:

- ▶ Saves memory
- ▶ Saves random reads
- ▶ Saves iterations\*



# Conclusion

Minimization can be **simple, generic, and fast**

# Conclusion

Minimization can be **simple**, **generic**, and **fast**

## Future

- ▶ Other notions of equivalence (*e.g.*, branching)
- ▶ Specialization by monomorphisation
- ▶ Integration into Storm (with Sebastian Junges)
- ▶ Minimize *your* automata!