



## Computational Syntax, Dependency Parsing

Koldo Gojenola. HAP/LAP.





#### Bibliography

- Natural Language Processing (forthcoming, MIT Press). Jacob Eisenstein. https://github.com/jacobeisenstein/gt-nlp-class/blob/master/notes/eisenstein-nlp-notes.pdf
- Natural Language Processing with Python. NLTK Book. Chapter 8. Analyzing Sentence Structure. http://www.nltk.org/book/ch08.html
- Dependency Parsing (Synthesis Lectures on Human Language Technologies), 2009 by Sandra Kubler, Ryan McDonald, Joakim Nivre. Morgan & Claypool Publishers



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- Dependency Parsing
  - Representation
  - Dependency analyzers
  - Universal Dependencies (UD)



Representation





- Representation
- Analyzers
  - Knowledge-based
  - Data-driven (statistical methods)





- Representation
- Analyzers
  - Knowledge-based
  - Data-driven (statistical methods)
- Treebanks



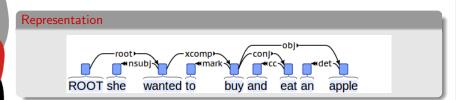


- Representation
- Analyzers
  - Knowledge-based
  - Data-driven (statistical methods)
- Treebanks
- Publicly available dependency analyzers



## Dependency Parsing Representation





- An arc from i (head) to j (dependent)
- Special arc to the head of the sentence: root



Representation



## Projectivity

• Projective tree: no crossing links



Erasmus Mundus

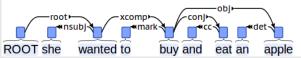
## Dependency Parsing Representation

HAP



#### **Projectivity**

• Projective tree: no crossing links



Non-projective trees:

Lucia ate a pizza yesterday which was vegetarian



Dependency analyzers



#### Analyzers: data-driven

#### Procedure:

- A treebank for training
- Obtain a model using machine learning
- Apply the model to new sentences



Dependency analyzers



### Analyzers: data-driven

#### Procedure:

- A treebank for training
- Obtain a model using machine learning
- Apply the model to new sentences

### Parsing:



# HAP

### Analyzers: data-driven

#### Procedure:

Dependency analyzers

- A treebank for training
- Obtain a model using machine learning
- Apply the model to new sentences

#### Parsing:

- Graph-based methods
- Transition-based methods
- Combinations: hybrid systems
- Algorithms:
  - Chu-Liu-Edmonds algorithm (projective and non-projective trees)
  - CKY and Eisner's algorithms (projective trees)



Dependency analyzers



### Graph-based dependency analyzers

#### Basic idea:

• A sentence is represented as a graph



Dependency analyzers



#### Graph-based dependency analyzers

#### Basic idea:

- A sentence is represented as a graph
- Learning: how to calculate scores for arcs



Dependency analyzers



### Graph-based dependency analyzers

#### Basic idea:

- A sentence is represented as a graph
- Learning: how to calculate scores for arcs
- Parsing: find the graph (dependency tree) with the best score



Dependency analyzers



#### Graph-based dependency analyzers

#### Basic idea:

- A sentence is represented as a graph
- Learning: how to calculate scores for arcs
- Parsing: find the graph (dependency tree) with the best score

#### Features:

- Global learning
- Exhaustive search (all options)



Dependency analyzers



## Graph-based dependency analyzers

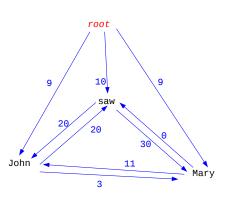
Sentence: John saw Mary

Dependency analyzers



## Graph-based dependency analyzers

Sentence: John saw Mary



## Dependency Parsing Dependency analyzers





Main ideas:

• Score for a tree: sum over all arcs (first order, arc factored)



Dependency analyzers



## MST: Maximum Spanning Tree

#### Main ideas:

- Score for a tree: sum over all arcs (first order, arc factored)
- Find the tree with maximum score (containing all words)



Dependency analyzers



## MST: Maximum Spanning Tree

#### Main ideas:

- Score for a tree: sum over all arcs (first order, arc factored)
- Find the tree with maximum score (containing all words)
- Use machine learning to calculate the arc weights (e.g. MIRA algorithm)



## Categories (POS)



## Second order, third order dependency parsing (Eisenstein 2019)

First order  $\overbrace{h\ m}$ Second order  $\overbrace{h\ s\ m}$   $\overbrace{g\ h\ m}$ Third order  $\overbrace{g\ h\ s\ m}$   $\overbrace{h\ t\ s\ m}$ 

Figure 11.6: Feature templates for higher-order dependency parsing



Dependency analyzers



### Transition-based dependency analyzers

#### Main idea:

 Define a transition-based system, to construct a dependency tree from a sentence



Dependency analyzers



#### Transition-based dependency analyzers

#### Main idea:

- Define a transition-based system, to construct a dependency tree from a sentence
- Learning: knowing a history of transitions, decide which one is the next transition



Dependency analyzers

HAP

#### Transition-based dependency analyzers

#### Main idea:

- Define a transition-based system, to construct a dependency tree from a sentence
- Learning: knowing a history of transitions, decide which one is the next transition
- Analysis: given a model, construct the best transition-sequence



Dependency analyzers



#### Transition-based dependency analyzers

#### Main idea:

- Define a transition-based system, to construct a dependency tree from a sentence
- Learning: knowing a history of transitions, decide which one is the next transition
- Analysis: given a model, construct the best transition-sequence

#### Main features:

- Local learning
- Greedy search



Dependency analyzers



## Transition-based dependency analyzers: shift-reduce deterministic algorithm

#### Two data-structures:

• A stack, with analyzed elements



## Dependency Parsing Dependency analyzers





#### Two data-structures:

- A stack, with analyzed elements
- Sequence of remaining input words



Dependency analyzers



## Transition-based dependency analyzers: shift-reduce deterministic algorithm

#### Two data-structures:

- A stack, with analyzed elements
- Sequence of remaining input words
- 4 transitions:
  - Shift



Dependency analyzers



## Transition-based dependency analyzers: shift-reduce deterministic algorithm

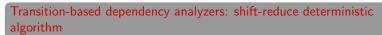
#### Two data-structures:

- A stack, with analyzed elements
- Sequence of remaining input words
- 4 transitions:
  - Shift
  - Left-arc (dependency)



Dependency analyzers

HAP



#### Two data-structures:

- A stack, with analyzed elements
- Sequence of remaining input words

#### 4 transitions:

- Shift
- Left-arc (dependency)
- Right-arc (dependency)
- Reduce



Dependency analyzers



## Transition-based dependency analyzers: arc standard transition system

#### 3 transitions:

• Shift: move the first item from the input buffer on to the top of the stack



Dependency analyzers



#### Transition-based dependency analyzers: arc standard transition system

#### 3 transitions:

- Shift: move the first item from the input buffer on to the top of the stack
- ARC-LEFT: create a new left-facing arc of type r between the item on the top of the stack and the first item in the input buffer. The head of this arc is j, which remains at the front of the input buffer. The arc  $j \to (r)$  i is added to A

## Dependency Parsing Dependency analyzers



### Transition-based dependency analyzers: arc standard transition system

#### 3 transitions:

- Shift: move the first item from the input buffer on to the top of the stack
- ARC-LEFT: create a new left-facing arc of type r between the item on the top of the stack and the first item in the input buffer. The head of this arc is j, which remains at the front of the input buffer. The arc  $j \rightarrow (r)$  i is added to A
- ARC-RIGHT: creates a new right-facing arc of type r between the item on the top of the stack and the first item in the input buffer. The head of this arc is i, which is "popped" from the stack and pushed to the front of the input buffer. The arc  $j \to (r)$  i is added to A



### Arc-standard transition system



### Example of arc-standard dependency parsing (Eisenstein 2019)

	σ	β	action	arc added to ${\cal A}$
1.	[ROOT]	they like bagels with lox	SHIFT	
2.	[ROOT, they]	like bagels with lox	ARC-LEFT	$(they \leftarrow like)$
3.	[ROOT]	like bagels with lox	SHIFT	
4.	[ROOT, like]	bagels with lox	SHIFT	
5.	[ROOT, like, bagels]	with lox	SHIFT	
6.	[ROOT, like, bagels, with]	lox	ARC-LEFT	$(with \leftarrow lox)$
7.	[ROOT, like, bagels]	lox	ARC-RIGHT	$(bagels \rightarrow lox)$
8.	[Root, like]	bagels	ARC-RIGHT	$(like \rightarrow bagels)$
9.	[ROOT]	like	ARC-RIGHT	$(ROOT \rightarrow like)$
10.	[ROOT]	Ø	Done	

Table 11.2: Arc-standard derivation of the unlabeled dependency parse for the input *they like bagels with lox*.



Dependency analyzers



### Transition-based dependency analyzers: arc eager transition system

- 4 transitions:
  - Shift: as before



Dependency analyzers



## Transition-based dependency analyzers: arc eager transition system

- 4 transitions:
  - Shift: as before
  - ARC-LEFT: as before



Dependency analyzers



#### Transition-based dependency analyzers: arc eager transition system

#### 4 transitions:

- Shift: as before
- ARC-LEFT: as before
- ARC-RIGHT: right dependents can be attached before all of their dependents have been found. Rather than removing the modifier from both the buffer and stack, the ARC-RIGHT action pushes the modifier on to the stack, on top of the head.
- A new REDUCE action is introduced, which can remove elements from the stack if they already have a parent in A



#### Arc-standard transition system



#### Example of arc-standard dependency parsing (Eisenstein 2019)

	σ	β	action	arc added to $\ensuremath{\mathcal{A}}$
1.	[ROOT]	they like bagels with lox	SHIFT	
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3.	[ROOT]	like bagels with lox	ARC-RIGHT	$(ROOT \rightarrow like)$
4.	[ROOT, like]	bagels with lox	ARC-RIGHT	$(like \rightarrow bagels)$
5.	[ROOT, like, bagels]	with lox	SHIFT	
6.	[ROOT, like, bagels, with]	lox	ARC-LEFT	$(with \leftarrow lox)$
7.	[ROOT, like, bagels]	lox	ARC-RIGHT	$(bagels \rightarrow lox)$
8.	[ROOT, like, bagels, lox]	Ø	REDUCE	
9.	[ROOT, like, bagels]	Ø	REDUCE	
10.	[ROOT, like]	Ø	REDUCE	
11.	[ROOT]	Ø	Done	

Table 11.3: Arc-eager derivation of the unlabeled dependency parse for the input *they like bagels with lox*.



Dependency analyzers



#### **Treebanks**

• Constituency-based:



Dependency analyzers



#### **Treebanks**

- Constituency-based:
  - Penn Treebank (English)
  - Bulgarian: BulTreebank
  - Chinese: Penn Chinese Treebank, Sinica Treebank
  - German: TIGER/NEGRA, TuBa-D/Z
  - Spanish: Cast3LB
  - and many more



Dependency analyzers



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Dependency analyzers



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  - Spanish: Cast3LB
  - and many more
- Dependency-based
  - Arabic: Prague Arabic Dependency Treebank
  - Czech: Prague Dependency Treebank
  - Danish: Danish Dependency Treebank
  - Portuguese: Bosque: Floresta sinta(c)tica
  - Slovene: Slovene Dependency Treebank
  - Turkish: METU-Sabanci Turkish Treebank



Dependency analyzers



### Dependency analyzers:

• Graph-based: MST (Ryan McDonald et al.)



Dependency analyzers



#### Dependency analyzers:

- Graph-based: MST (Ryan McDonald et al.)
- Transition-based: MaltParser (Joakim Nivre et al.)



Dependency analyzers



#### Dependency analyzers:

- Graph-based: MST (Ryan McDonald et al.)
- Transition-based: MaltParser (Joakim Nivre et al.)
- Hybrid systems: Mate (Bernd Bohnet) and ZPar (Yue Zhang)
- And many more (neural parsers, ...)



Dependency analyzers



```
Annotated example
```

```
# text = These dogs like children.

ID wordform lemma POS features head deprel offset

1 These these DET Num = Plur|PronType = Dem 2 det Range = 0:5

2 dogs dog NOUN Num = Plur 4 nsubj Range = 6:10

3 eat eat VERB M = Ind|T = Pres|VForm = Fin 0 root Range = <math>11:14

4 meat meat NOUN Num = Sing 3 obj Range = 15:19

5 . PUNCT 3 punct Range = 19:20
```

# Dependency Parsing Universal Dependencies (UD)







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### Universal Dependencies (UD). Introduction

• Universal Dependencies: standard model to annotate treebanks of different languages



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- From 2008, there have been several steps (Stanford Dependencies, Clear, Google UD, Stanford UD, Hamlet).





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- EACL-2014: start of the project.





#### Universal Dependencies (UD). Introduction

- Universal Dependencies: standard model to annotate treebanks of different languages
- From 2008, there have been several steps (Stanford Dependencies, Clear, Google UD, Stanford UD, Hamlet).
- EACL-2014: start of the project.
- Members: Joakim Nivre, Dan Zeman, Christopher Manning, Filip Ginter, ...
- Shared tasks: 2017 and 2018
- Guidelines: http://universaldependencies.org/guidelines.html



# Dependency Parsing Universal Dependencies (UD)



#### Languages

100 languages

Amharic, Ancient Greek, Arabic, Armenian, Basque, Bulgarian, Catalan, Chinese, Croatian, Czech, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hebrew, Hindi, Hungarian, Indonesian, Irish, Italian, Japanese, Kazakh, Korean, Latin, Norwegian, Persian, Polish, Portuguese, Romanian, Slovenian, Spanish, Swedish, Tamil, Turkish.

 Some of them were directly annotated based on UDs, some others were semiautomatically converted from already existing treebanks



# Dependency Parsing Universal Dependencies (UD)



#### Main ideas

- Do not annotate the same thing in different ways
- Do not make different things look the same
- Heads are semantic elements: (content words).





#### 3 levels of annotation

- Tokenization
   Lexicalist view: Words = elements separated by whitespace.
   Multiword expressions are separated into words
- Morphology
   Categories and morphological features are annotated using Interset as a model
- Syntax
   Heads: semantic elements (content words)



### Categories (POS)





These tags mark the core part-of-speech categories. To distinguish additional lexical and grammatical properties of words, use the  $\underline{\text{universal features}}.$ 

Open class words	Closed class words	Other
ADJ	ADP	PUNCT
ADV	AUX	SYM
<u>INTJ</u>	CONJ	X
NOUN	DET	
PROPN	NUM	
VERB	PART	
	PRON	
	<u>SCONJ</u>	

#### Alphabetical listing

- ADJ : adjective
- ADP: adposition
- ADV: adverb
- AUX: auxiliary verb
- . CONJ: coordinating conjunction
- DET: determiner
- <u>DE1</u>: determiner
   <u>INTJ</u>: interjection
- NOUN : noun
- NUM: numeral
- PART: particle
- PRON: pronoun
- PROPN: proper noun
- PUNCT: punctuation
- SCONJ: subordinating conjunction
- <u>SCONJ</u>: subordinating conjunction
   <u>SYM</u>: symbol
- VERB: verb
- X: other



#### Features



#### Universal features

For core part-of-speech categories, see the <u>universal POS tags</u>. The features listed here distinguish additional lexical and grammatical properties of words, not covered by the POS tags.

Lexical	Inflectional features	
features		
	Nominal*	Verbal*
PronType	Gender	VerbForm
NumType	Animacy	Mood
Poss	<u>NounClass</u>	<u>Tense</u>
Reflex	Number	Aspect
Foreign	Case	<u>Voice</u>
Abbr	Definite	Evident
	Degree	Polarity
		Person
		<u>Polite</u>
		Clusivity

Index: A abbreviation, abessive, ablative, absolute superlative, absolutive, accusative, active, additive, adessive, admirative, adverbial participle, affirmative, allative, animate, antipassive, aori: article, aspect, associative, **B** bantu noun class, benefactive, **C** cardinal, case, causative case, causative voice, clusivity, collective noun, collective numeral, collective pronominal, comitative, common gender, comparative case, comparative degree, complex definiteness, conditional, conjunctive, considerative, construct state, converb, count plural, counting form, D dative, definite, definiteness, degree of comparison, delative, demonstrative, desiderative, destinative, direct case, direct voice, directional allative, distributive case, distributive numeral, dual, E elative, elevated referent, emphatic, equative case, equative degree, ergative, essive, evidentiality, exclamative, exclusive, F factive, feminine, finite verb, first person, firsthand, foreign word, formal, fourth person fraction, frequentative, future, G gender, genitive, gerund, gerundive, greater paucal, greater plura H habitual, human, humbled speaker, I illative, imperative, imperfect tense, imperfective aspect, inanimate, inclusive, indefinite, indefinite pronominal, indicative, inessive, infinitive, informal, injunctive, instructive, instrumental, interrogative, inverse number, inverse voice, iterative, I jussiv L lative, locative, M masculine, masdar, mass noun, middle voice, modality, mood, motivative, multiplicative numeral, N narrative, necessitative, negative polarity, negative pronominal, neuter, nominative, non-finite verb, non-firsthand, non-human, non-specific indefinite, noun class, numbe numeral type, O oblique case, optative, ordinal, P participle, partitive, passive, past, past perfect, paucal, perfective aspect, perlative, person, personal, pluperfect, plural, plurale tantum, polarity, politeness, positive degree, positive polarity, possessive, potential, present, preterite, progressive, prolative, pronominal type, prospective, purposive case, purposive mood, Q quantifier, quantitativ plural, quotative, R range numeral, reciprocal pronominal, reciprocal voice, reduced definiteness, reflexive, register, relative, S second person, set numeral, singular, singulare tantum, specific indefinite subjunctive sublative superessive superlative supine T temporal tense terminal



#### Dependencies



The upper part of the table follows the main organizing principles of the UD taxonomy such that *rows* correspond to functional categrelation to the head (core arguments of clausal predicates, non-core dependents of clausal predicates, and dependents of nominals) columns correspond to structural categories of the dependent (nominals, clauses, modifier words, function words). The lower part of lists relations that are not dependency relations in the narrow sense.

	Nominals	Clauses	ModIfier words	Function Words
Core arguments	nsubj obj iobj	csubj ccomp xcomp		
Non-core dependents	obl vocative expl dislocated	advcl	advmod* discourse	aux cop mark
Nominal dependents	nmod appos nummod	acl	amod	det clf case
Coordination	MWE	Loose	Special	Other
conj cc	fixed flat compound	<u>list</u> parataxis	orphan goeswith reparandum	punct root dep



#### **Dependencies**

#### Alphabetical listing

- acl: clausal modifier of noun (adjectival clause)
- · advcl: adverbial clause modifier
- advmod: adverbial modifier
- · amod: adjectival modifier · appos: appositional modifier
- aux: auxiliary
- · case: case marking
- cc: coordinating conjunction
- · ccomp: clausal complement
- clf: classifier
- · compound: compound
- · conj: conjunct
- cop: copula
- · csubj : clausal subject
- · dep: unspecified dependency
- · det: determiner
- · discourse: discourse element
- dislocated: dislocated elements
- expl: expletive
- fixed: fixed multiword expression
- · flat: flat multiword expression
- · goeswith: goes with
- iobj: indirect object
- list:list mark: marker
- nmod: nominal modifier
- nsubj: nominal subject
- · nummod: numeric modifier
- obj: object
- obl: oblique nominal
- orphan: orphan
- parataxis: parataxis
- punct: punctuation
- reparandum: overridden disfluency
- root: root





### Categories (POS)



#### Example of annotation (I) (Eisenstein 2019)

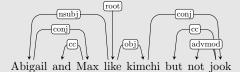


Figure 11.2: In the Universal Dependencies annotation system, the left-most item of a coordination is the head.



### Categories (POS)



# Example of annotation (II) (Eisenstein 2019)

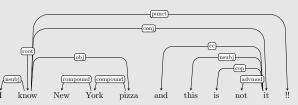


Figure 11.3: A labeled dependency parse from the English UD Treebank (reviews-3613-0006)















#### **UD Treebanks**

▶         ■ Arabic         282K         ⑥         -         o°         ✓         ○○○○         □           ▶         ■ Basque         121K         ○○○         ✓         ○○○○○         □	
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Portuguese 212K ⊕ - ot ✓ □	<b>3</b>
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#### Lots of tools for working with UD

- UDAPI
  - Libraries for various UD and CoNLL-U-related operations in several programming languages
     Java, Perl, Python
  - License: GPL, Perl
  - Homepage: http://udapi.github.io/
- UDPipe
  - Trainable pipeline for tokenization, tagging, lemmatization and parsing of CoNLL-U files
  - License: MPL 2.0 (open source)
  - Homepage: Homepage: http://ufal.mff.cuni.cz/udpipe
     Example: echo "Podemos suspendió a los ediles afines a Carmena por temor a un "efecto contagio"" | ./udpipe tokenize tag parse ../../models/udpipe-ud-2.0-170801/spanish-ancora-ud-2.0-170801.udpipe
  - On-line service: http://lindat.mff.cuni.cz/services/udpipe/ Example: 'Alemania y Francia comienzan a exigir protecciones médicas en lugares cerrados y Feijóo lo sugiere en España. La escasez de producción y el precio son los principales obstáculos.
- Lots of works to do, both from linguistics and informatics





#### Tools for inspection, modification of dependency trees

- Lots of tools available for parsing and for dealing with dependency syntax
- Analysis: lots of downloable and trainable state of the art parsers
- Tools: Google n-gram corpus viewer, grew, ...
   Syntax-based relations: https://books.google.com/ngrams
   E.g: drink=>\*\_NOUN
- Applications:
  - Question answering:

What percentage of the nation's cheese does Wisconsin produce? The corpus contains this sentence:

In Wisconsin, where farmers produce 28% of the nation's cheese, ... In the dependency tree, produce and Wisconsin are linked by an edge

- Relation extraction:
  - (MELVILLE, MOBY-DICK) (TOLSTOY, WAR AND PEACE)

(MARQUÉZ, 100 YEARS OF SOLITUDE) (SHAKESPEARE, A MIDSUMMER NIGHT'S DREAM)

 Sentiment analysis: There is no reason at all to believe the polluters will suddenly become reasonable



# Dependency Parsing Universal Dependencies (UD)



#### Grew: https://grew.fr/grs/parsing//

- Rule-based Dependency analyzer for French
- Analysis: allows the specification of patterns for search taking any UD corpus as input
- Graph rewriting: allows the modification, deletion and creation of new arcs:
- Online (search) and downloadable (command-line)





#### Grew pattern-based search: http://match.grew.fr/

- Rules are used to define dependency structures
- The system allows to specify lemmas, wordforms, parts of speech, dependency relations, ...

```
• Example: Who owns what?
pattern {
    VERB - [nsubj] - > SUBJ; % VERB dominates a subject
    VERB - [obj] - > OBJ; % VERB dominates an object
    VERB [lemma = "own"];
}
```



# Dependency Parsing Universal Dependencies (UD)



# Assignment 2: Grew pattern-based search exercise: http://match.grew.fr/

Define rules to detect the following elements:

- Who write what:
  - (UD\_English EWT@2.9) Darin Fisher wrote this response on January 25, 2005.
  - $(UD\_English-GUM@2.9)$  Montalvo is holding a copy of the book Blown for Good critical of Scientology, written by Marc Headley.
- Who find what: (UD\_English - Atis@2.9) could you find me the cheapest fare from boston to san francisco
- Think of a pattern(s) of your interest, write rules to detect it and present the examples found.
- Hint: to write the search patterns, it will be necessary to first draw the dependency trees using udpipe: http://lindat.mff.cuni.cz/services/udpipe/
- To collect results, you can use the "Export" option (select the "pivot" or element around which the given patterns will be arranged)