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Master Thesis

Master in Language Analysis and Processing

Grounding Language Models for Compositional and Spatial Reasoning

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Abstract

Humans are able to learn to understand and process the distribution of space, and one of the initial tasks of Artificial Intelligence has been to show machines the relationships between space and the objects that appear in it. Humans naturally combine vision and textual information to acquire spatial relationships among objects, and when reading a text, we are able to mentally depict the spatial relationships that may appear in it. Thus, the visual differences between images depicting "a person sits and a dog stands" and "a person stands and a dog sits" are obvious for humans, but still not clear for automatic systems. In this project, we propose to build grounded Neural Language models that are able to perform this kind of spatial reasoning. Neural Language models (LM) have shown impressive capabilities on many NLP tasks but, despite their success, they have been criticized for their lack of meaning. Vision-and-Language models (VLM), trained jointly on text and image or video data, have been offered as a response to such criticisms, but recent work has shown that these models struggle to ground spatial concepts properly. In the project we propose to build spatially-aware language models that ground spatial concepts in images. We propose to use a variety of methods that involve the creation of synthetic datasets specially focused on spatial reasoning capabilities, as well as the use of multi-task learning. We expect the new models to improve the state of the art in spatial reasoning. Code is released at <https://github.com/juletx/spatial-reasoning> and models are released at <https://huggingface.co/juletxara>.

Keywords: Artificial Intelligence, Deep Learning, Natural Language Processing, Computer Vision, Grounding, Visual Reasoning, Compositional Reasoning, Spatial Reasoning

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Most images that correspond to datasets and models are taken from the original papers. Diffusion images are from the HuggingFace Diffusers examples. Winoground images are a compilation of assets, including ©Getty Images/Natasha Breen, Maki Nakamura, Jessica Peterson, Kundanlall Sharma, lacaosa, Alberto Bogo, Vu Le, Toson Rueangsutsut, Nisian Hughes, Tanja Walter, Douglas Sacha, PBNJ Productions, Glow Images, 10'000 Hours, zoranm, Marlene Ford, Westend61.

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1 Introduction

This chapter is an introduction of the master thesis and includes background, objectives, research questions and contributions.

1.1 Background

This section includes information about grounding Language Models (1.1.1) and Vision Language Models (1.1.2).

1.1.1 Language Models

Neural Language Models (LM) have shown **impressive capabilities** on many Natural Language Processing (NLP) tasks [1, 2, 3]. LMs are pretrained on large corpora in order for them to learn universal language representations, which are beneficial for downstream NLP tasks and can avoid training a new model from scratch. The **pretrained models are fine-tuned in specific downstream tasks**, using annotated data that is orders of magnitude smaller than the text used in the pretraining phase. Following this transfer learning methodology, researchers have extended the state of the art on a wide array of tasks as measured by leaderboards on specific benchmarks for English [4, 1].

Despite the impressive results of LMs for different language-related tasks, many authors criticize them for their **lack of meaning** [5, 6]. In their opinion, language models trained exclusively on language are unable to learn meaning. Those authors suggest that **grounding is one of the key elements to bring human-like language understanding**. However, language grounding is a very broad area that covers a great diversity of techniques, modalities and concepts.

In this project, we will focus on **compositional and spatial reasoning**. Spatial reasoning consists on **grounding LMs with spatial concepts**. We choose spatial reasoning because it is one of the most fundamental capabilities for both humans and LMs. Such relations are crucial to how humans organize the mental space and make sense of the physical world, and therefore fundamental for a grounded theory of semantics [7]. However, spatial reasoning has been found to be **particularly challenging for current models** [8]. That is, spatial reasoning is much more challenging than capturing properties of individual entities.

1.1.2 Vision Language Models

Vision Language Models (VLM), which are trained jointly on text and image, have been proposed as a general solution to the lack of grounding in language models [9, 10, 11, 12]. Vision-language pre-training aims to improve performance of downstream vision and language tasks by **pretraining the model on many image-text pairs**. These pre-trained models can then be fine-tuned on each downstream task. VLMs have been fine-tuned in tasks that require grounding spatial concepts, such as VQA [13] or NLVR2 [14].

With the objective of **evaluating spatial relations**, a recent work provides new unified datasets [15]. As the objective of such work is to evaluate whether VLMs learn more spatial commonsense than LMs, the datasets are purely textual, so they do not provide any means to ground spatial concepts. Interestingly, authors find that VLMs, and more concretely text-to-image systems, perform much better than text-only LMs. Still, they show that VLMs **struggle to ground spatial concepts properly**.

Large generative **text-to-image diffusion models**, like DALLE-2 [11] and IMAGEN [12], are able to generate stunning images. They are known to possess some visual-reasoning skills [16]. However, a recent work [17] has shown that they **struggle to understand the composition of some concepts**, such as confusing the attributes and relations of different objects. They propose a new method, where an image is generated by composing a set of diffusion models, with each of them modeling a certain component of the image. Another work [18] proposes manipulating cross-attention representations to address three challenging phenomena in Stable Diffusion [19]: attribute leakage, interchanged attributes and missing objects.

There are several **works that try to ground language models to spatial relations**. For example, [20, 21] focus on the acquired commonsense knowledge of models about object scales, e.g. do they know that a person is bigger than an ant? However, they ask about generic object scale relations, without providing any context. Some other authors [22, 23] work on implicit and explicit spatial relations of objects, given some descriptive texts. The proposed benchmark datasets are designed for object bounding box generation.

1.2 Objectives

Despite the impressive performance of pretrained vision and language models (VLMs) on a wide variety of multimodal tasks, they remain poorly understood. One important question is to what extent such models are able to conduct unimodal and multimodal compositional reasoning and spatial reasoning. For example, the visual differences between images depicting "a person sits and a dog stands" and "a person stands and a dog sits" are clamorously obvious for humans, but still not clear for current state-of-the-art VLMs. To perform well on tasks where compositional and spatial reasoning is required, the models do not only need a proper encoding of text and images, but also to be able to **ground meaning across the two modalities** (spatial grounding).

Thus the main objective of the project is to **learn language models for spatial reasoning via the grounding of LMs with spatial concepts and relations**. One of the main goals of the project is to investigate ways to acquire grounded representation for spatial reasoning. In that sense, we will define suitable ways to incorporate spatial information into pre-trained vision and language models. Towards this goal, this project will focus on using the latest advances in deep-learning techniques, pre-trained LMs for effective zero and few-shot transfer learning.

We have defined the following specific objectives in the scope of spatial reasoning:

1. **Investigate the use of synthetic datasets to overcome the lack of annotated datasets for spatial grounding.** As to avoid the scarcity of multimodal datasets that explicitly describe spatial relations, we propose to automatically construct synthetic datasets on spatial relations and use them to train existing language models in a self-supervised way, with the final aid of obtaining spatially grounded language models. In particular, we propose two alternatives to produce the synthetic datasets:
 - a) **Explicit verbalization** of spatial relations in images. Given an image in an existing dataset, we propose to use an object detector to identify the entities in the images, as well as hand-designed verbalization templates to automatically generate textual descriptions of the spatial relations among them.
 - b) **Using large generative VLMs**, which are known to obey spatial relations as described in the text, to obtain realistic images with entities that are arranged following certain spatial relations.
2. **Investigate the use of multi-tasking and multi-sourcing to improve generalization properties.** In a multi-task training paradigm, the model is forced to learn more than one task

simultaneously, therefore improving its generalization capabilities. We will investigate multi-task settings to combine the verbalized dataset, the images produced by the generative VLMs, as well as traditional training data to obtain spatial-aware language models.

3. **Improve zero-shot and few-shot generalization of VLM models** to obtain effective models in small data regimes of the spatial reasoning domain without the necessity of explicitly annotating big quantities of spatial relations.
4. **Improve the state of the art in spatial reasoning.** Improve the state of the art in spatial reasoning. The final goal is to apply the findings learnt from previous objectives to improve the state-of-the-art in multiple datasets. We plan to evaluate our models at least on two vision and language datasets. The first one is the Winoground dataset [24], which presents a novel task for evaluating the ability of vision and language models to conduct visio-linguistic compositional reasoning. The second one is the VSR benchmark [25] for investigating VLMs capabilities in recognising 65 types of spatial relationships in natural text-image pairs.

1.3 Research Questions

Research Tasks (RT) and Questions (RQ) are based on the objectives from the previous section.

RT0. Prepare the research scenario. The initial task is related to **gathering corpora, exploring different datasets, Language Models (LM)** and **building a baseline prototype**. We have already identified some important datasets on spatial reasoning but we will check if there is any new appropriate dataset to evaluate our models. At the same time, we will examine and reimplement (if needed) state-of-the-art systems in order to further understand the task to be solved. This leads us to the following research questions: **RQ0.A) Are the available datasets appropriate to evaluate the spatial abilities of current LMs?** **RQ0.B) Which is the best pre-trained LMs for spatial reasoning?** We will conduct a quantitative and qualitative analysis of the existing text-only LMs and vision-language LMs in order to 1) measure the appropriateness of probing evaluations of the datasets and 2) explain the limitations of different types of pre-trained LMs.

RT2: Perform synthetic data generation using generative models to learn spatial grounding. We will focus on using large generative VLMs to construct high quality synthetic images that depict a fixed set of spatial relations. In that sense, we want to answer the following research questions. **RQ2.A) Which is the right way to make explicit the implicit information encoded in generative VLMs?** **RQ2.B) Can we improve the state-of-the-art of vision and language models in tasks that require spatial reasoning?**

RT3: Perform multi-task and multi-source learning in few-shot settings. In this task we will focus on finding ways of applying multi-task learning using multiple sources of information in order to force LMs to ground spatial relations into text without the necessity of explicitly annotating big quantities of spatial relations. This leads us to the following research questions: **RQ3.A) What kind of tasks and information sources are relevant to learn spatial information effectively?** **RQ3.B) What is the best way to combine the task in a multi-task setting?** **RQ3.C) Can we effectively minimize annotated data to obtain state-of-the-art results in tasks that require spatial reasoning?**

1.4 Contributions

1.5 Structure

2 Background

This chapter introduces the background knowledge used in this project’s development. This chapter includes two main sections: Multimodal Models and Visual Reasoning Datasets. Section 2.1 explains the types of models that are related to this work. Section 2.2 includes synthetic and natural visual reasoning datasets and the datasets that we chose for this work.

2.1 Multimodal Models

This section explains the types of models that are related to this work, Multimodal Transformers, Multimodal RNNs and Diffusion Models. Section 2.1.1 includes descriptions of the following **multimodal transformers**: OFA [26], BLIP [27], CLIP [28], OpenCLIP [29], FLAVA [30], LXMERT [10], UniT [31], UNITER [32], VILLA [33], VinVL [34], ViLT [35], VisualBERT [36] and ViLBERT [9]. Section 2.1.1 explains two types of **multimodal RNN** models: VSE++ [37] and VSRN [38]. Section 2.1.3 introduces **diffusion models** and explains Stable Diffusion [19], the diffusion model that we use in this work.

Overview. Table 2.1 provides a high-level overview of the Transformer and RNN models that are described in the next sections. This overview includes pretraining datasets, architecture, and attention mechanisms between the modalities. We omit datasets that were only used to train backbones. We exclude the language embedding from this table as every model uses a pretrained BERT tokenizer, except CLIP, VSE++, and VSRN. The pretraining datasets include COCO [39], Visual Genome (VG) [40], Conceptual Captions (CC) [41], SBU Captions [42], Flickr30k [43], VQA 2.0 [44], VCR [45], NLVR2 [46], SNLI-VE [47], QNLI [48], MNLI-mm [49], QQP [50], Localized Narratives (LN) [51], Wikipedia Image Text (WIT) [52], Conceptual Captions 12M (CC 12M) [53], Red Caps (RC) [54], YFCC100M [55], SST-2 [56], LAION-400M [57] and LAION-2B [58]. CLIP uses their own dataset for pretraining.

Model	Datasets	# Images, Captions	Architecture	Attention
VinVL [34]	VQA, GQA, VG-QA, COCO, Flickr30k, CC, SBU	1.89, 4.87	single-stream	merged
UNITER [32]	COCO, VG, CC, SBU	4.20, 9.58	single-stream	merged
VILLA [33]	COCO, VG, CC, SBU	4.20, 9.58	single-stream	merged
VisualBERT [36]	COCO, NLVR2	0.30, 0.52	single-stream	merged
ViLT [35]	COCO, VG, SBU, CC	4.10, 9.85	single-stream	merged
LXMERT [10]	COCO, VG	0.18, 9.18	dual-stream	modality-specific, co-attn, merged
ViLBERT [9]	CC	3.30, 3.30	dual-stream	modality-specific, co-attn, merged
UniT [31]	COCO, VG, VQAv2, SNLI-VE QNLI, MNLI-mm, QQP, SST-2	0.69, 1.91	dual-stream	modality-specific, merged
FLAVA <i>ITM</i> [30]	COCO, SBU, LN, CC, VG, WIT, CC 12M, RC, YFCC100M	70.00, 70.00	dual-stream	modality-specific, merged
FLAVA <i>ITC</i> [30]	COCO, SBU, LN, CC, VG, WIT, CC 12M, RC, YFCC100M	70.00, 70.00	dual-stream	modality-specific
CLIP [28]	—	400.00, 400.00	dual-stream	modality-specific
OpenCLIP [29]	LAION-2B	2320.00, 2320.00	dual-stream	modality-specific
OFA [26]	CC 12M, CC 3M, SBU, COCO, VG-Cap	20.00, 20.00	single-stream	modality-specific, merged
BLIP <i>ITM</i> 14M [27]	COCO, VG, SBU, CC, CC 12M	14.00, 15.00	dual-stream	modality-specific, merged
BLIP <i>ITC</i> 14M [27]	COCO, VG, SBU, CC, CC 12M	14.00, 15.00	dual-stream	modality-specific
BLIP <i>ITM</i> 129M [27]	COCO, VG, SBU, CC, CC 12M, LAION-400M	129.00, 130.00	dual-stream	modality-specific, merged
BLIP <i>ITC</i> 129M [27]	COCO, VG, SBU, CC, CC 12M, LAION-400M	129.00, 130.00	dual-stream	modality-specific
VSE++ <i>COCO</i> [37]	COCO	0.11, 0.57	dual-stream	—
VSE++ <i>Flickr30k</i> [37]	Flickr30k	0.03, 0.16	dual-stream	—
VSRN <i>COCO</i> [38]	COCO	0.11, 0.57	dual-stream	—
VSRN <i>Flickr30k</i> [38]	Flickr30k	0.03, 0.16	dual-stream	—

Table 2.1: A high-level overview of the differences between the models by the pretraining datasets, architecture, and attention mechanisms between the modalities.

2.1.1 Multimodal Transformers

Multimodal transformers are state-of-the-art in many vision-language tasks, and that includes spatial reasoning. Most of the models tested in Winoground [24] and VSR [25] are multimodal transformers.

Those transformers differ in embedding, architecture, pretraining objectives and cross-modal attention. First, we provide some examples of different types of transformers. Then, we describe every model that was used in previous and current experiments.

Embedding. Most models use a pretrained BERT tokenizer for text encoding. For image embedding, there are more different options. Some models use Faster R-CNN [59] to extract region features from images: VisualBERT, ViLBERT, LXMERT, UNITER, ViLLA [36, 9, 10, 32, 33]. Another common approach is to use Vision Transformer (ViT) [60], which is used by CLIP, FLAVA, and ViLT [28, 30, 35].

Architecture. Depending on their architecture, they can mainly be classified into two types: single-stream and dual-stream transformers. On the one hand, in **single-stream** transformers the image and text embeddings are concatenated and then jointly encoded. For instance, the following transformers are single-stream: UNITER, VILLA, VinVL, ViLT and VisualBERT. [32, 33, 34, 35, 36]. On the other hand, **dual-stream** transformers have two separate modality-specific encoders with optional cross-modality fusion. Some examples include: CLIP, FLAVA, UniT, LXMERT and ViLBERT [28, 30, 31, 10, 9].

Cross-Modal Attention. There are different types of multimodal attention as presented in [61]. In **modality-specific attention**, the language and visual input attend to their modality. Every dual-stream transformer that we mentioned uses this type of attention. In **merged attention**, the language and visual input attend to both themselves and the other modality. All single-stream models use merged attention, and some dual-stream transformers use it too. In **co-attention**, the language and visual input only attend to the other modality input. For example, dual-stream models LXMERT and ViLBERT use co-attention.

Pretraining Objectives. Vision-language transformers use a different pretraining objectives including **masked language modeling** (MLM), image-conditioned **language modeling** (LM), **image-text contrastive learning** (ITC), **image-text matching** (ITM). For Winoground, we are mainly interested in models that are trained with ITC or ITM objectives. For example, BLIP [27] is jointly pre-trained with three vision-language objectives: ITC, ITM and LM.

LXMERT. LXMERT [10] consists of three transformer encoders: object relationship encoder, a language encoder, and a cross-modality encoder (see Figure 2.1). The images are represented as a sequence of objects, whereas each sentence is a sequence of words. It combines self-attention and cross-attention layers to generate language, image, and cross-modality representations. The model is pre-trained with five pre-training tasks: masked language modelling, masked object prediction, cross-modality matching, and image question answering.

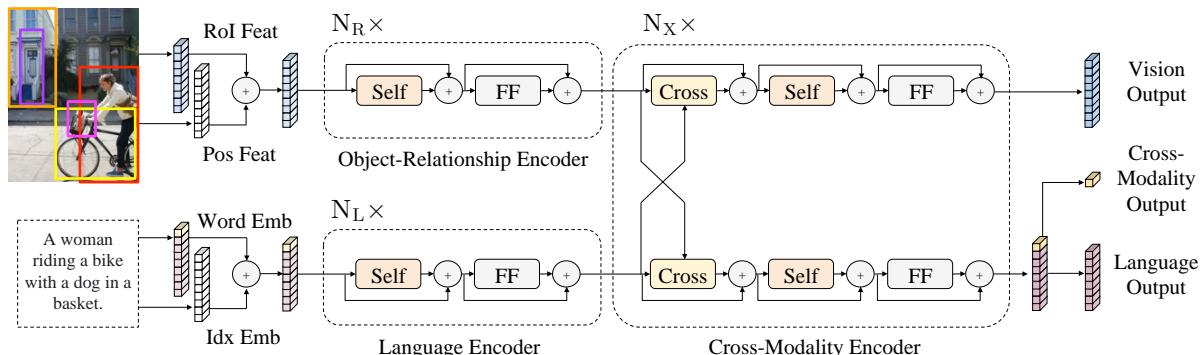


Figure 2.1: The LXMERT model for learning vision-and-language cross-modality representations.

VisualBERT. VisualBERT [36] aims to reuse transformer self-attention to align elements of the input text and regions in the input image (see Figure 2.2). Visual embeddings are constructed by summing visual feature representation, segment embedding and position embeddings. Visual feature representations

are obtained from a bounding region object detector. VisualBERT is trained using COCO using two objectives: masked language modelling (MLM) and sentence-image prediction task.

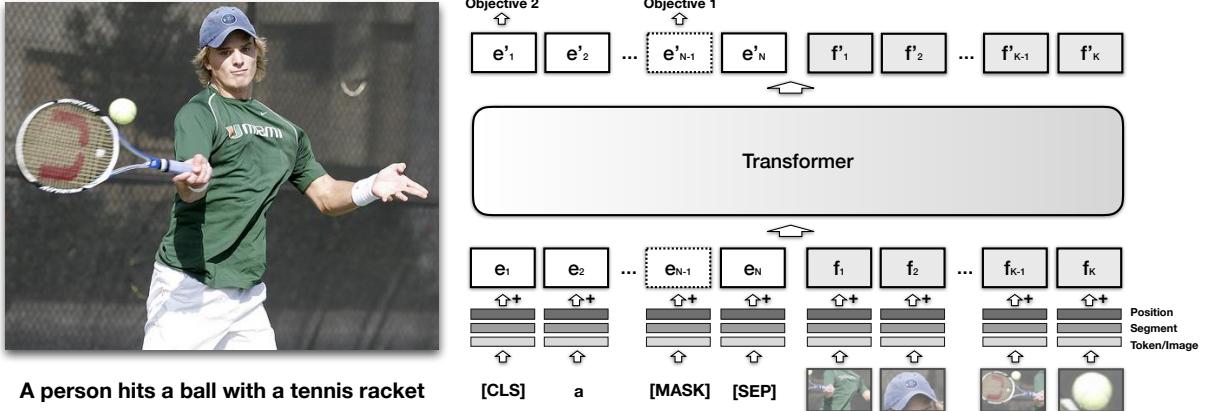


Figure 2.2: The architecture of VisualBERT combines image regions and language with a transformer.

UniT. UniT [31]

UNITER. UNITER [32] is a large-scale pre-trained model for joint multimodal embedding (see Figure 2.3). An Image Embedder is used to extract the visual features of each region and a Text Embedder to tokenize the input sentence. It is pre-trained using four image-text datasets: COCO, Visual Genome, Conceptual Captions, and SBU Captions. Four pretraining objectives were designed for this model: Masked Language Modeling (MLM), Masked Region Modeling (MRM), Image-Text Matching (ITM), and Word-Region Alignment (WRA).

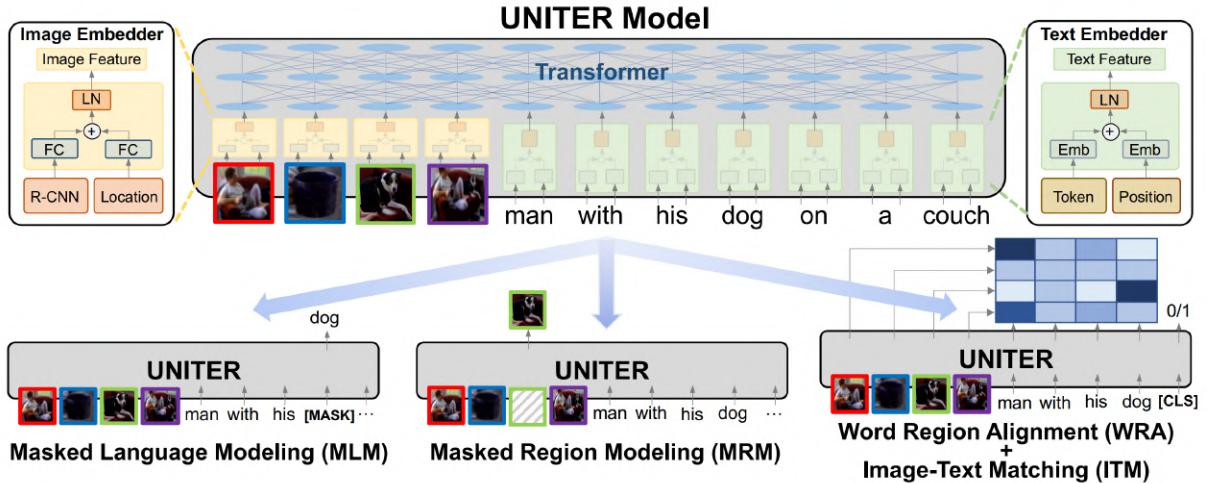


Figure 2.3: Overview of the UNITER model, consisting of an Image Embedder, a Text Embedder and a multi-layer Transformer

VILLA. VILLA [33]

VinVL. VinVL [34]

ViLBERT. ViLBERT [9] is a BERT-based model for learning task-agnostic joint representations of images and language (see Figure 2.4). ViLBERT extends the BERT architecture to a multi-modal model of two streams, which interact through co-attention transformer layers. ViLBERT is trained on the

Conceptual Captions dataset under two training tasks: multi-modal learning and multi-modal alignment prediction.

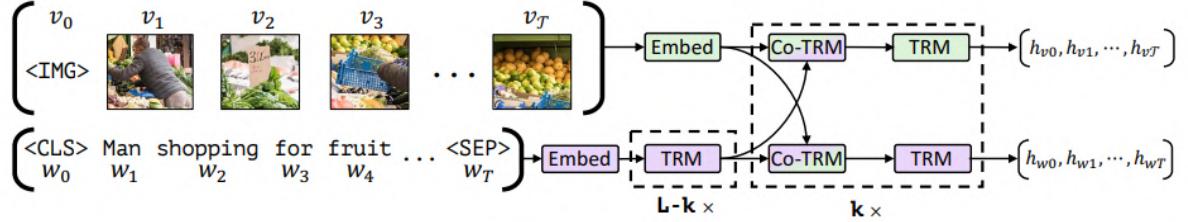


Figure 2.4: ViLBERT model consists of two parallel streams for visual (green) and linguistic (purple) processing that interact through co-attentional transformer layers.

ViLT. ViLT [35] is a minimal vision-and-language pre-training transformer model where the processing of visual inputs is simplified to the same way that text inputs are processed (see Figure 2.5). ViLT requires much less computation than previous VLMs and still gets good performance on downstream tasks. ViLT is pre-trained on the following objectives: image text matching (ITM), masked language modelling (MLM), and word patch alignment (WPA). It is fine-tuned on four downstream tasks: visual question answering (VQA2), visual reasoning (NLVR2) and image-text retrieval (COCO and Flickr30K).

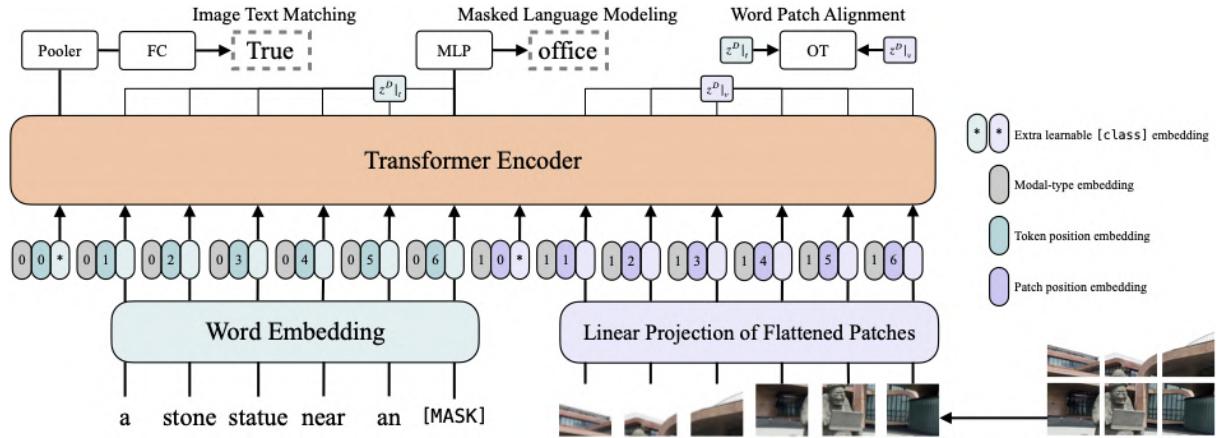


Figure 2.5: ViLT model overview.

FLAVA. FLAVA [30] is a language vision alignment model that learns representations from multimodal and unimodal data. The model consists of three transformers, an image encoder, a text encoder and a multimodal encoder (see Figure 2.6). During pretraining, masked image modelling (MIM) and mask language modelling (MLM), image-text contrastive (ITC), masked multimodal modelling (MMM), and image-text matching (ITM) objectives are used. Classification heads are applied to the outputs from the encoders for visual recognition, language understanding, and multimodal reasoning tasks.

CLIP. CLIP [28] models adopt two unimodal encoders to get image and text representations (see Figure 2.7). CLIP maximizes the similarity between positive image-text pairs, rendering strong unimodal representations. CLIP was trained by OpenAI on a closed dataset of 400M image-text pairs. CLIP variants use different visual backbones, including ViT-B/16, ViT-B/32, ViT-L/14, and ViT-L/14-336.

OpenCLIP. OpenCLIP [29] models follow the same architecture (see Figure 2.7), but are trained on LAION-2B, a subset of LAION-5B [58] with 2.32 billion English captions. There are different OpenCLIP variants depending on visual backbones: ViT-B/32, ViT-L/14, ViT-H/14, and ViT-g/14. The H/14 model

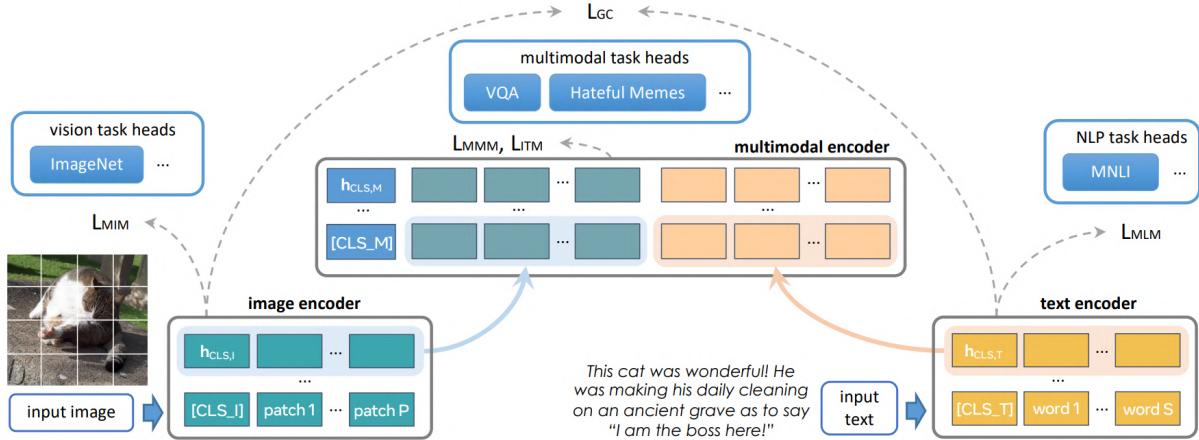


Figure 2.6: FLAVA model overview.

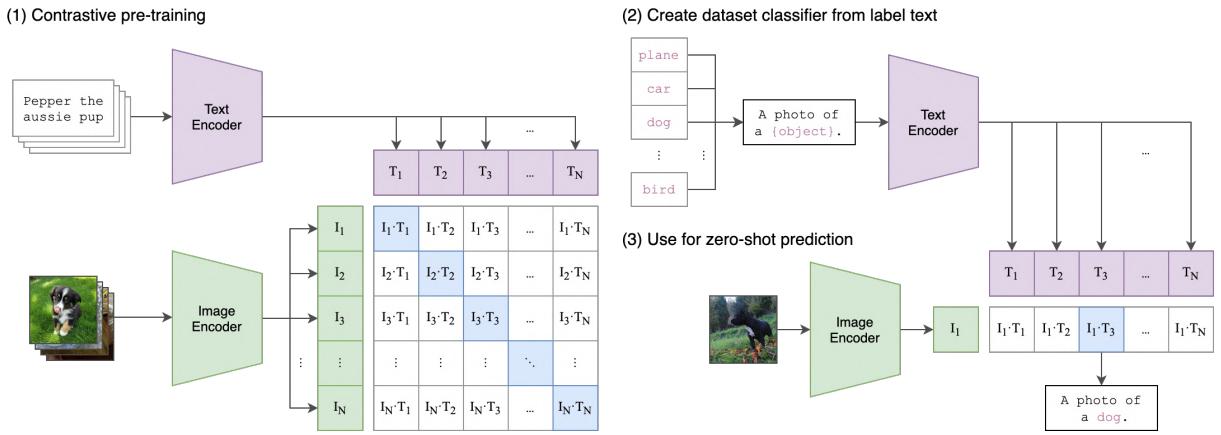


Figure 2.7: CLIP model architecture.

achieves 78.0% zero-shot top-1 accuracy on ImageNet and 73.4% on zero-shot image retrieval at Recall@5 on MS COCO. This makes it the best open-source CLIP model.

BLIP. BLIP [27] achieves state-of-the-art performance on five vision-language tasks: image-text retrieval, image captioning, visual question answering, visual reasoning, and visual dialogue. It employs a Vision Transformer (ViT) [60] as the image encoder and a BERT as the text encoder. BLIP proposes a mixture of encoder-decoder (MED), which can operate either as a unimodal image or text encoder, an image-grounded text encoder, or an image-grounded text decoder (see Figure 2.8). This enables both multimodal understanding and generation. Moreover, BLIP proposes dataset bootstrapping to improve the quality of the pretraining captions by removing noisy ones and generating new ones. BLIP is jointly pretrained with three objectives: language modeling (LM), image-text contrastive learning (ITC) and image-text matching (ITM). There are BLIP variants that use different vision transformers: ViT-B/16 and ViT-L/16. Fine-tuned checkpoints are also available for many downstream tasks.

OFA. OFA [26] is a sequence-to-sequence pretrained model that unifies modalities and tasks. It performs a lot of cross-modal and uni-modal tasks, including image generation, visual grounding, image captioning, image classification and language modelling (see Figure 2.9). In contrast with the recent VLMs that require large cross-modal datasets, OFA is pretrained on only 20M publicly available image-text pairs. Despite this, OFA achieves SOTA in various cross-modal tasks and competitive performance on uni-modal tasks.

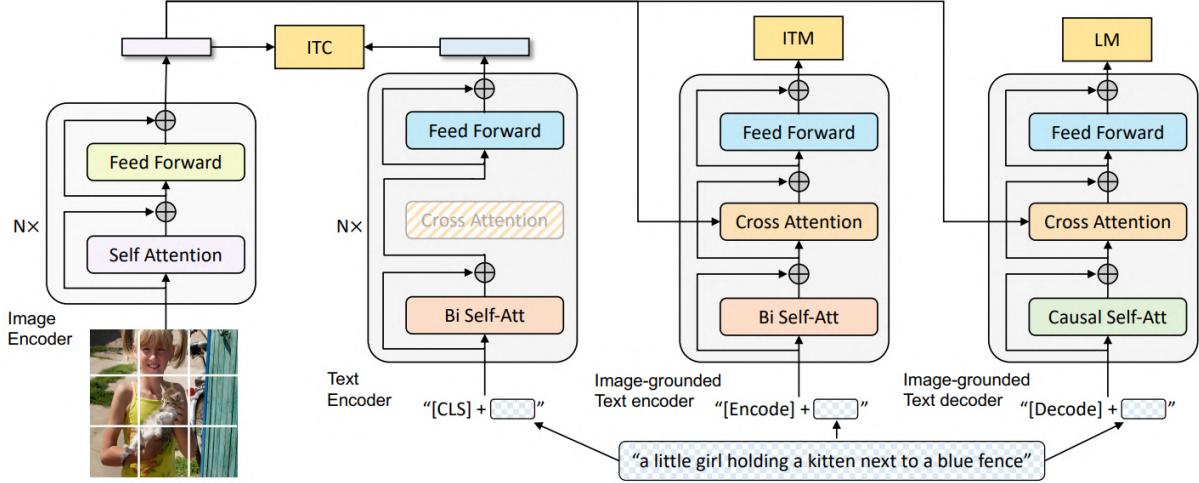


Figure 2.8: BLIP pre-training model architecture: a multimodal mixture of encoder-decoder (MED).

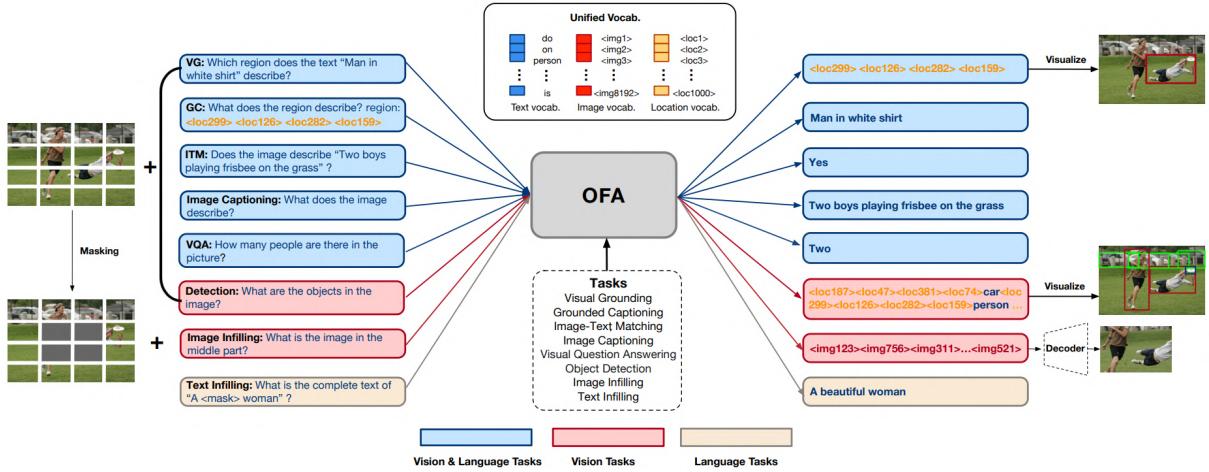


Figure 2.9: OFA pretraining tasks: visual grounding, grounded captioning, image-text matching, image captioning, VQA, object detection, image infilling and text infilling.

2.1.2 Multimodal RNNs

Multimodal RNNs where the SOTA approach for vision-language tasks before transformers. Two sequence-based models are included in Winoground [24] evaluation, VSE++ [37] and VSRN [38].

VSE++. VSE++ [37]

VSRN. VSRN [38]

2.1.3 Diffusion Models

Diffusion models are trained to denoise random gaussian noise step by step, to get a sample image. Neural networks are trained to predict a way to slightly denoise the picture in each step. As we can see in Figure 2.10, after a certain number of steps, a sample is obtained.

Diffusion models have obtained SOTA results on image generation. However, one downside of diffusion models is that the reverse denoising process is slow. In addition, these models consume a lot of memory because they work in pixel space. Therefore, it is challenging to train these models and also to use them for inference.

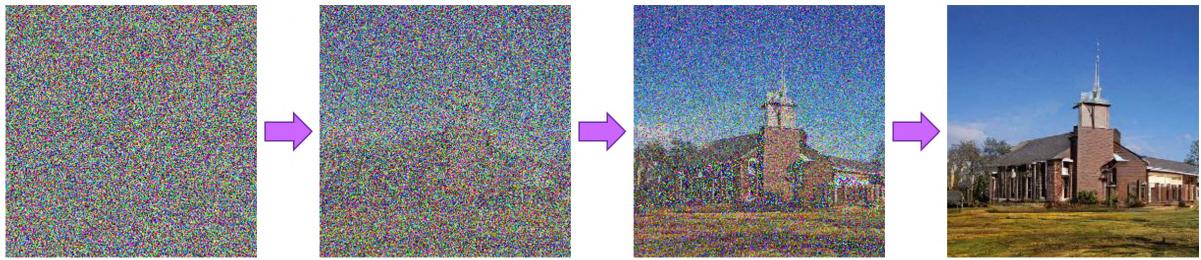


Figure 2.10: In the diffusion process random images are denoised in multiple steps to get a sample image.

Consequently, most of the recent diffusion models, e.g. DALLE-2 [11] and IMAGEN [12], are unfortunately not accessible to the community. The most popular exception is Stable Diffusion [19], which has been open sourced and can be used on a single GPU.

Stable Diffusion. Stable Diffusion is based on a type of diffusion model called Latent Diffusion [19]. Latent diffusion reduces the memory and compute complexity by applying the diffusion process over a lower dimensional latent space. There are three main components in latent diffusion: an autoencoder (VAE), a U-Net and a text-encoder (CLIP).

The autoencoder (VAE). The VAE [62] has two parts, an encoder and a decoder, as we can see in Figure 2.11. During latent diffusion training, the encoder maps the images to a latent space for the forward diffusion process, which applies more noise at each step. During inference, the decoder maps the latents generated by the reverse diffusion process back to the images. The encoder and decoder are trained jointly to minimize the reconstruction error.

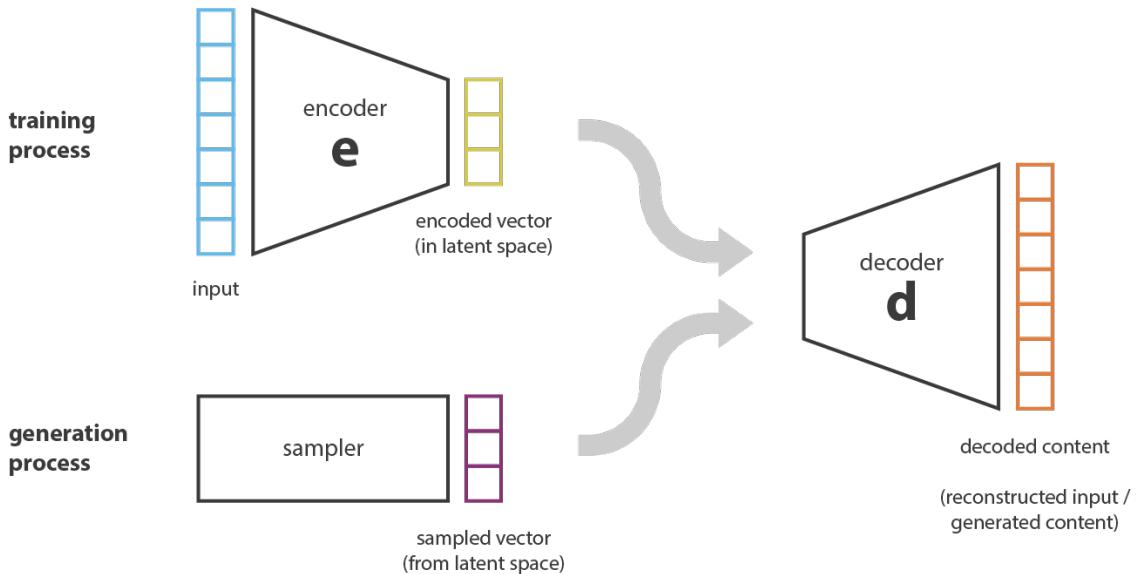


Figure 2.11: Variational Autoencoder (VAE) training and generation processes.

The U-Net. The U-Net [63] also has an encoder part and a decoder part, as shown in Figure 2.12. The encoder has several ResNet blocks which half the image size by 2. The decoder does the opposite process to upsample the image to the initial size. The U-Net outputs the noise residual which can be used to compute the denoised image representation. To prevent the U-Net from losing important information while downsampling, shortcut connections are usually added from the downsample path to the corresponding layers in the upsample path. Moreover, the output of the stable diffusion U-Net is conditioned on text-embeddings via cross-attention layers.

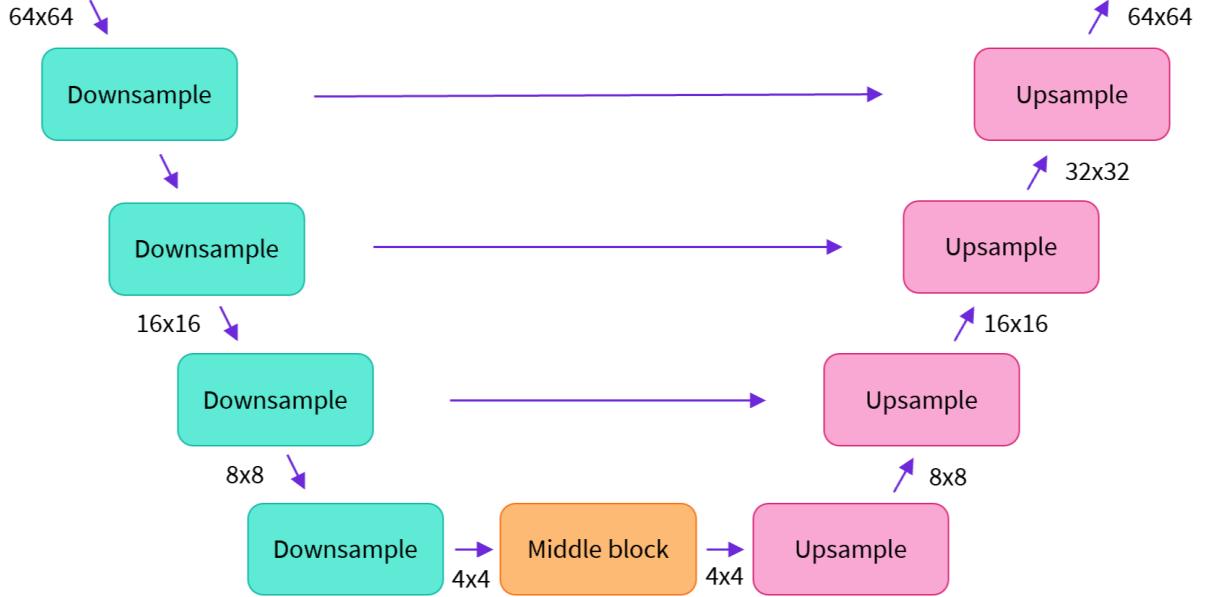


Figure 2.12: The architecture of the U-Net model.

The text-encoder (CLIP). The CLIP [28] text-encoder transforms the input prompt into an embedding for the U-Net. Stable Diffusion does not train the text-encoder during training and uses an already trained CLIP text encoder.

With the previous components we nearly have the full Stable Diffusion inference architecture Figure 2.13. The stable diffusion model takes a latent seed and a text prompt as input. The latent seed is used to generate initial random latents. The output of the U-Net is used to compute a denoised image representation with a scheduler algorithm. This process is repeated many to get better representations in each iteration. Finally, the latent image representation is decoded by the VAE decoder.

2.2 Visual Reasoning Datasets

This section includes information about visual reasoning datasets. Sections 2.2.1 and 2.2.2 introduce some of the existing Synthetic and Natural Visual Reasoning Datasets. Section 2.2.3 explains the two datasets that we have chosen for Compositional and Spatial Reasoning.

2.2.1 Synthetic Visual Reasoning Datasets

Multimodal training datasets with images and descriptions that include spatial relations tend to be small. Synthetic visual reasoning datasets have been proposed to overcome this problem. These datasets enable full control of dataset generation, easing spatial reasoning capability probing on VLMs. Some examples of synthetic datasets include SHAPES [64], CLEVR [65], NLVR [66] and SPARTQA [67].

SHAPES is a dataset of synthetic images designed to benchmark understanding of spatial and logical relations among multiple objects [64]. The dataset consists of complex yes or no questions about arrangements of colored shapes. Each image is a 3×3 grid of objects. Each object is characterized by shape (circle, square, triangle), colour (red, green, blue) and size (small, big). Figure 2.14 shows some example images and questions.

CLEVR was one of the pioneering works on testing **compositional language and elementary visual reasoning** [65]. However, it presents two major drawbacks: i) questions not only cover spatial grounding but some other concepts such as compositional language and attribute identification, and ii)

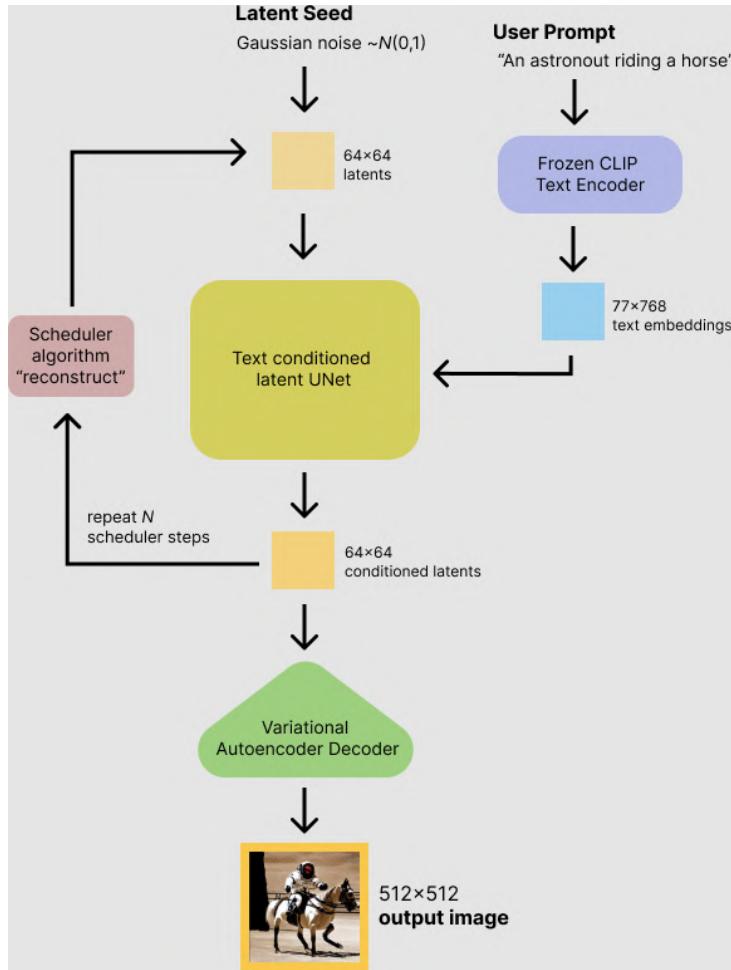


Figure 2.13: Stable Diffusion inference architecture.

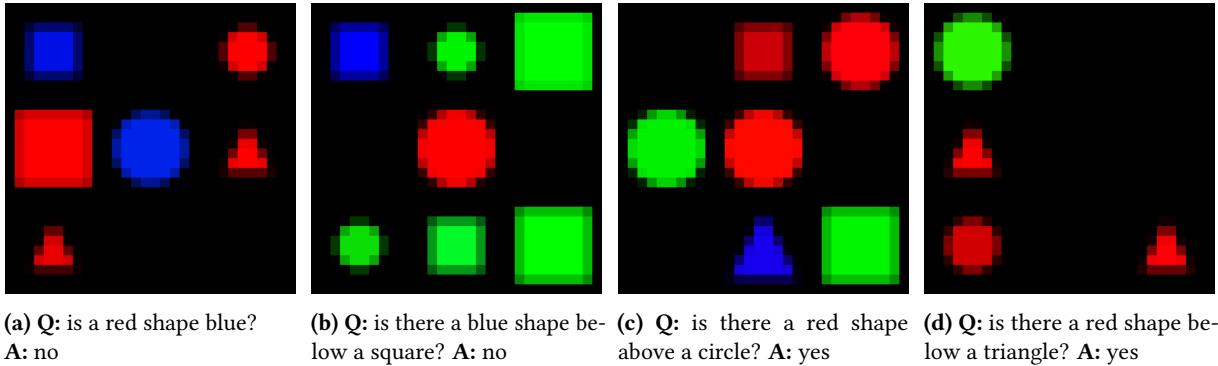


Figure 2.14: Example images, questions and answers from SHAPES.

spatial relations are limited to four, i.e. left, right, behind and in front. A sample image and questions are shown in Figure 2.15.

NLVR contains natural language sentences grounded in images [66]. The task is to determine whether a sentence is true about a visual input. The data was collected through crowdsourcing, and solving the task requires reasoning about sets of objects, comparisons, and spatial relations. Figure 2.16 shows two examples from NLVR.

SPARTQA provides a synthetic **question-answering** dataset that is specially focused on spatial reasoning capabilities [67]. SPARTQA is built on NLVR’s images containing more objects with richer spatial structures (Figure 2.17). Questions require deeper reasoning and have four types: *find relation*

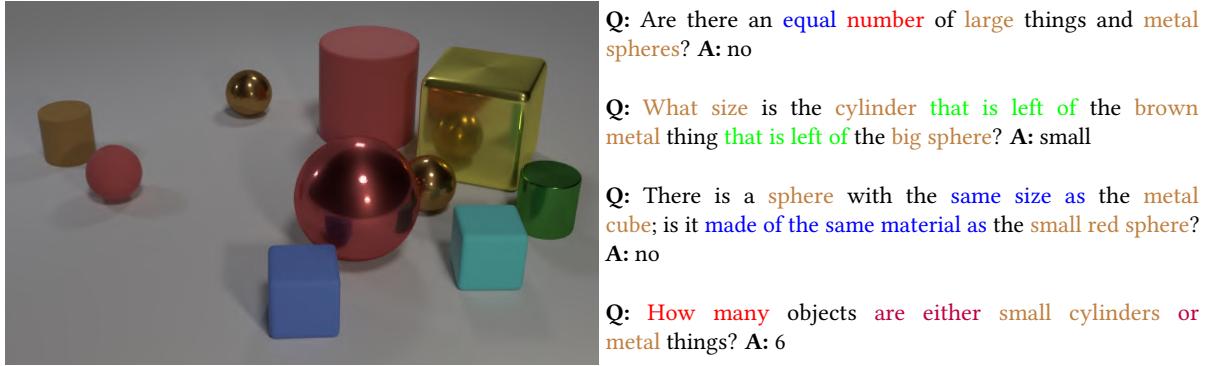


Figure 2.15: A sample image, questions and answers from CLEVR. Questions test aspects of visual reasoning such as attribute identification, counting, comparison, spatial relations, and logical operations.

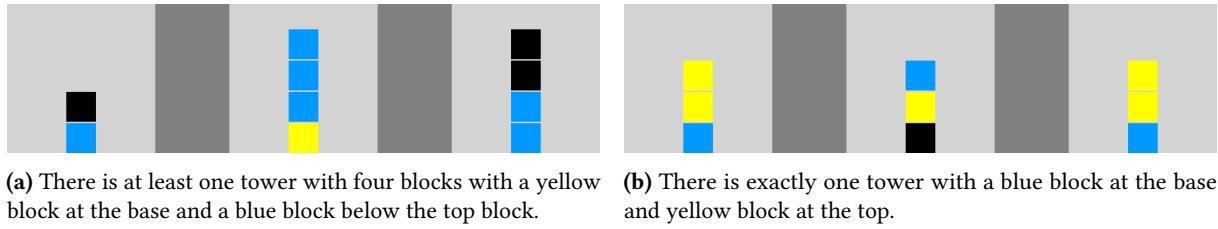


Figure 2.16: Example sentences and images from NLVR. Each image includes three boxes with different object types. The left sentence is true, while the right is false.

(FR), *find blocks* (FB), *choose object* (CO), and *yes/no* (YN), which allows for more fine-grained analysis of models' capabilities. However, it contains only text and no images, and therefore it does not provide any means to ground spatial concepts.

A very recent work proposes a method called **Pseudo-Q** to automatically create synthetic datasets that can be used to train visually grounded models [68]. Their method consists of leveraging an off-the-shelf object detector to identify visual objects from unlabeled images, and then creating language queries for these objects that are obtained in an unsupervised fashion with a pseudo-query generation module.

The major drawback of synthetic datasets is that they do not always accurately reflect the challenges of reasoning in the real world. Some aspects that are very important in the real world are not taken into account in synthetic images. For example, the orientations of objects, their context and the viewpoint can affect their spatial relation.

2.2.2 Natural Visual Reasoning Datasets

Many vision-language datasets with natural images also contain spatial relations. For example, NLVR2 [14], MS COCO [39], and VQA [13].

NLVR2 is a dataset for joint reasoning about natural language and images, with a focus on semantic diversity, compositionality, and visual reasoning challenges [14]. There are 9 prevalent linguistic challenges in NLVR2 among which are spatial relations. The examples in Figure 2.18 require addressing challenging semantic phenomena.

VQA [13] is a popular vision and language task. Given an image and a question about the image, the task is to provide an accurate answer. VQA is commonly used as a benchmark to evaluate VQA systems. Questions are generally open-ended but multiple choices are provided for some questions. Some examples are shown in Figure 2.19.

The problem with these datasets is that many different challenges are mixed. Sentences have complex lexical and syntactic information. This makes it hard to identify the exact challenges, preventing

STORY:

We have three blocks, A, B and C. Block B is to the right of block C and it is below block A. **Block A** has two black medium squares. Medium black square number one is below medium black square number two and a medium blue square. It is touching the bottom edge of this block. The medium blue square is below medium black square number two. **Block B** contains one medium black square. Block C contains one medium blue square and one medium black square. The medium blue square is below the medium black square.

QUESTIONS:

FB: Which block(s) has a medium thing that is below a black square? **A, B, C**

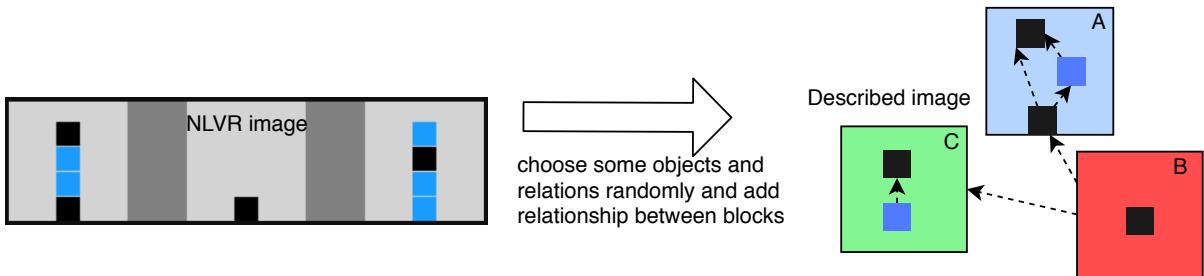
FB: Which block(s) doesn't have any blue square that is to the left of a medium square? **A, B**

FR: What is the relation between the medium black square which is in block C and the medium square that is below a medium black square that is touching the bottom edge of a block? **Left**

CO: Which object is above a medium black square? the medium black square which is in block C or medium black square number two? **medium black square number two**

YN: Is there a square that is below medium square number two above all medium black squares that are touching the bottom edge of a block? **Yes**

(a) An example story and corresponding questions and answers.



(b) An example NLVR image and the scene created in Figure 2.17a, where the blocks in the NLVR image are rearranged.

Figure 2.17: Example from SPARTQA. We can see an automatically generated story and corresponding questions and answers.



(a) The left image contains twice the number of dogs as the right image, and at least two dogs in total are standing.

(b) One image shows exactly two brown acorns in back-to-back caps on green foliage.

Figure 2.18: Two examples from NLVR2, where each caption is paired with two images. The first caption is True and the second one is False.

categorised analysis.

2.2.3 Compositional and Spatial Reasoning Datasets

To address the problem of mixed challenges, some datasets focus on a single challenge. For instance, **Winoground** [24] focuses on compositional reasoning and **Visual Spatial Reasoning (VSR)** [25] on spatial reasoning. They also contain tags, which enable an in-depth analysis of each visual reasoning challenge. We only provide a short description in this section, but we explain them in detail in the next chapters.

On the one hand, **Winoground** dataset [24] is focused on **evaluating visio-linguistic compositional reasoning** in VLMs. Each instance in the dataset is composed of two images and two captions. Both captions contain a completely identical set of words in a different order. The task is then to match



Figure 2.19: Example images, questions and answers from VQA.

them correctly, which requires the systems to properly deal with composition in natural language. Previous works have shown that language transformers have **difficulties in learning word order** [69, 70]. Winoground provides a means to test whether this is also true for multimodal models.

On the other hand, **Visual Spatial Reasoning (VSR)** [25], whose objective is to test spatial grounding capabilities by covering 65 different spatial relations over natural images collected from COCO [39]. Given an image, VSR provides a caption which describes a spatial relation between two of the objects that appear in the image. That relation can be real or fake, and that is what the model has to infer. Another advantage of this dataset is that it is annotated by humans. Given its features, we believe VSR is a **good candidate to evaluate spatial grounding in LMs**.

3 Zero-shot Winoground Experiments

This chapter describes the Winoground [24] dataset and explains the metrics used for evaluation. We also describe a series of experiments performed over the Winoground dataset using state-of-the-art vision and language models. The Winoground dataset does not contain a training split, and therefore the experiments are conducted in a zero-shot fashion, where the models are trained on different datasets, and tested on Winoground.

The original Winoground paper included zero-shot experiments with many pre-trained SOTA systems, and they concluded that, surprisingly, none of them does much better than chance [24]. From these experiments, the authors conclude that SOTA models are not as skilled at visio-linguistic compositional reasoning as we might have hoped.

In this work, we extended the previous experiments with new models that obtained better results than those reported in the original paper. In previous experiments, only pre-trained models are tested. We extend this by testing some models that are fine-tuned for specific tasks such as image-text retrieval and visual reasoning. We compare pre-trained versions with fine-tuned versions of the same models.

3.1 Winoground Dataset

The Winoground dataset [24] comprises 400 examples that probe different aspects of visio-linguistic compositional reasoning. Each example contains two images and two captions, the goal is to match them correctly. Both captions contain a completely identical set of words or morphemes in a different order. Figures Figures 3.1 to 3.3 show some examples. The dataset was created by expert annotators by designing captions and finding images on Getty Images. All examples are labeled with **linguistic tags** and some include **visual tags**. See Table 3.1 for linguistic and visual tag counts.

Category	Tag	Count
Linguistic _{swap-dep.}	Object	141
	Relation	233
	Both	26
Linguistic _{swap-indep.}	1 Main Pred	292
	2 Main Preds	108
Visual	Symbolic	41
	Series	31
	Pragmatics	24

Table 3.1: Linguistic and visual tag counts in the Winoground dataset. Every example has a linguistic tag; only examples that contain visual phenomena have visual tags.

On the one hand, there are 70 **linguistic tags** in total, which can be split into three groups: Object, Relation and Both. **Object** swaps consist in swapping noun phrases that refer to objects. **Relation** swaps reorder words that refer to objects such as verbs, adjectives, prepositions and adverbs. **Both** swaps involve changing both relations and objects. The annotators also tagged examples for **how many main predicates** were in the captions, which is independent of the swap type. See Figures 3.1 and 3.2 for examples of linguistic tags.

On the other hand, there are three non-mutually exclusive **visual reasoning tags**: Pragmatics, Series and Symbolic. **Pragmatics** tag includes images that need to be interpreted non-literally. **Series**



Figure 3.1: Examples from the Winoground dataset for the swap-dependent linguistic tags *Object*, *Relation* and *Relation* from left to right. They are additionally tagged with 1 main predicate.

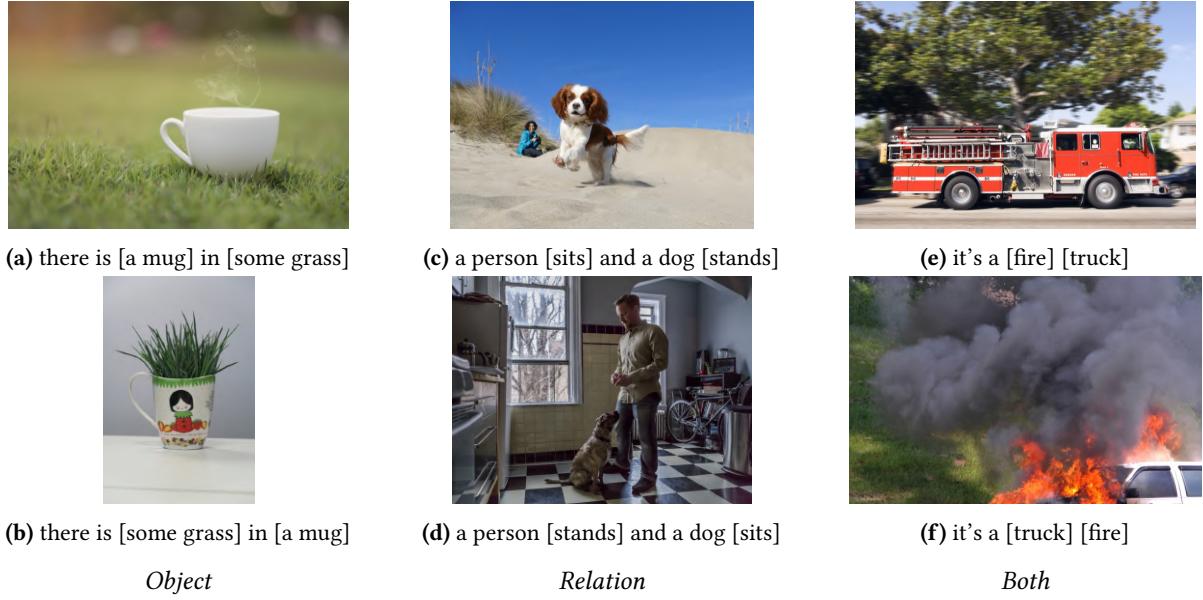


Figure 3.2: Examples from the Winoground dataset for the swap-dependent linguistic tags *Object*, *Relation* and *Both* from left to right. They are additionally tagged with 1, 2 and 1 main predicates from left to right.

tag contains examples where both images come from the same photo series. **Symbolic** tag represents that the images include a symbolic representation. Figure 3.3 shows examples of visual tags.

Winoground is a probing dataset so it prioritizes expert annotations over size. Therefore, there is no training split, all examples are used to evaluate models. The dataset has 400 examples, with 800 unique captions and images. These contain 1600 image-text pairs in total, with 800 correct and 800 incorrect pairings.



Figure 3.3: Examples from the Winoground dataset for the visual tags *Pragmatics*, *Series* and *Symbolic* from left to right. They are additionally tagged with the *Relation* tag, and 1, 2, and 1 main predicate from left to right.

3.2 Metrics

An example in Winoground is composed of two caption-image pairs: (C_0, I_0) and (C_1, I_1) . Metrics must measure models' abilities to match pairs correctly. We compute two types of metrics, **score** and **accuracy**.

Score. Performance on Winoground [24] is computed according to three different score metrics that evaluate different aspects of the models' visio-linguistic reasoning abilities.

The first metric is the **text score**, which measures whether a model can select the correct caption, given an image:

$$ts(C_0, I_0, C_1, I_1) = \begin{cases} 1 & \text{if } s(C_0, I_0) > s(C_1, I_0) \\ & \quad \text{and } s(C_1, I_1) > s(C_0, I_1) \\ 0 & \text{otherwise} \end{cases} \quad (3.1)$$

The second metric is the **image score**, which measures whether a model can select the correct image, given a caption:

$$is(C_0, I_0, C_1, I_1) = \begin{cases} 1 & \text{if } s(C_0, I_0) > s(C_0, I_1) \\ & \quad \text{and } s(C_1, I_1) > s(C_1, I_0) \\ 0 & \text{otherwise} \end{cases} \quad (3.2)$$

Our final metric **group score** combines the previous two, which measures if every combination for a given example is correct:

$$gs(C_0, I_0, C_1, I_1) = \begin{cases} 1 & \text{if } ts(C_0, I_0, C_1, I_1) \\ & \quad \text{and } is(C_0, I_0, C_1, I_1) \\ 0 & \text{otherwise} \end{cases} \quad (3.3)$$

Accuracy. We also add three additional accuracy metrics for additional information. These are similar to the previous ones, but the accuracy is 0.5 when one of the pairs is correct.

The **text accuracy** for an example is computed according to:

$$ta(C_0, I_0, C_1, I_1) = \begin{cases} 1 & \text{if } s(C_0, I_0) > s(C_1, I_0) \\ & \quad \text{and } s(C_1, I_1) > s(C_0, I_1) \\ 0.5 & \text{if } s(C_0, I_0) > s(C_1, I_0) \\ & \quad \text{xor } s(C_1, I_1) > s(C_0, I_1) \\ 0 & \text{otherwise} \end{cases} \quad (3.4)$$

The **image accuracy** for an example is calculated according to:

$$ia(C_0, I_0, C_1, I_1) = \begin{cases} 1 & \text{if } s(C_0, I_0) > s(C_0, I_1) \\ & \quad \text{and } s(C_1, I_1) > s(C_1, I_0) \\ 0.5 & \text{if } s(C_0, I_0) > s(C_0, I_1) \\ & \quad \text{xor } s(C_1, I_1) > s(C_1, I_0) \\ 0 & \text{otherwise} \end{cases} \quad (3.5)$$

The **group accuracy** in our framework is the mean of both accuracies:

$$ga(C_0, I_0, C_1, I_1) = (ta(C_0, I_0, C_1, I_1) + ia(C_0, I_0, C_1, I_1))/2 \quad (3.6)$$

3.3 Results

3.3.1 Compared To Humans

Baseline We show baseline results from previous experiments [24] in Table 3.2, which includes the following multimodal transformers: CLIP [28], FLAVA [30], LXMERT [10], UniT [31], UNITER [32], VILLA [33], VinVL [34], ViLT [35], VisualBERT [36] and ViLBERT [9]. Several configurations of two types of RNN-based models are also included: VSE++ [37] and VSRN [38].

Human performance was computed using crowd workers on the Amazon Mechanical Turk platform. This establishes a more conservative human baseline than the expert annotator’s perfect score [24]. Annotators are shown one image and one caption at a time and have to decide if they match. All 1600 combinations of images and captions are labelled by at least ten annotators. The image-caption score is computed as the ratio of annotators who say that they match.

Table 3.2 shows that there is a large **performance gap** between humans and models. On the one hand, **human performance** is high in all metrics, between 85% and 90% in **scores** and around 93% in **accuracy**. On the other hand, most models perform below random chance in all scores and slightly above random chance in accuracy.

First, only some models are above random chance in **text score**: UNITER, VILLA, VinVL, ViLT, FLAVA, and CLIP. The larger versions of UNITER and VILLA, and VinVL are the models that perform best, and there is still more than 50% difference with human performance.

Second, the performance on **image score** is even worse, where no model performs better than random chance. In contrast, text and image scores in humans are nearly the same. Even the best performing models (FLAVA_{ITM} and VinVL) have nearly 70% difference with the human score.

Last, **group score** is also below random chance for all models, while it is only a bit lower than other scores for humans. Similar to the image score, there is a 70% difference between the human score and the best models, which are again FLAVA_{ITM} and VinVL.

Model	Score			Accuracy		
	Text	Image	Group	Text	Image	Group
MTurk Human	89.50	88.50	85.50	93.75	93.88	93.81
Random Chance	25.00	25.00	16.67	50.00	50.00	50.00
VinVL	37.75	17.75	14.50	62.75	57.75	60.25
UNITER _{large}	38.00	14.00	10.50	63.25	55.75	59.50
UNITER _{base}	32.25	13.25	10.00	60.62	55.50	58.06
ViLLA _{large}	37.00	13.25	11.00	62.62	55.25	58.94
ViLLA _{base}	30.00	12.00	8.00	59.62	55.00	57.31
VisualBERT _{base}	15.50	2.50	1.50	50.50	49.88	50.19
ViLT (ViT-B/32)	34.75	14.00	9.25	60.50	55.38	57.94
LXMERT	19.25	7.00	4.00	52.12	51.88	52.00
ViLBERT _{base}	23.75	7.25	4.75	57.25	52.50	54.87
UniT _{ITM Finetuned}	19.50	6.25	4.00	50.25	50.75	50.50
FLAVA _{ITM}	32.25	20.50	14.25	62.75	59.13	60.94
FLAVA _{ITC}	25.25	13.50	9.00	59.25	55.12	57.19
CLIP (ViT-B/32)	30.75	10.50	8.00	60.38	53.25	56.81
VSE++ _{COCO} (ResNet)	22.75	8.00	4.00	51.38	50.88	51.12
VSE++ _{COCO} (VGG)	18.75	5.50	3.50	50.38	49.75	50.06
VSE++ _{Flickr30k} (ResNet)	20.00	5.00	2.75	51.50	50.25	50.88
VSE++ _{Flickr30k} (VGG)	19.75	6.25	4.50	52.75	51.00	51.88
VSRN _{COCO}	17.50	7.00	3.75	50.38	51.12	50.75
VSRN _{Flickr30k}	20.00	5.00	3.50	53.25	51.75	52.50

Table 3.2: Results on the Winoground dataset across the text, image and group score and accuracy metrics. Results above random chance in **bold**.

Ours We show our results in Table 3.3, which includes various configurations of the following multimodal transformers: OFA [26], BLIP [27], CLIP [28], FLAVA [30] and ViLT [35]. OFA and BLIP were not included in the previous experiments. The other models were already included but we test more configurations. For example, we test ViLT models that are finetuned on Flickr30k, COCO, NLVR2 and VSR. All the models except ViLT_{VSR} are already fine-tuned and publicly available.

In the baseline models, only pre-trained models are tested. We extend this by testing some models that are fine-tuned for specific tasks. Those tasks include image-text retrieval and visual reasoning. We compare pre-trained versions with fine-tuned versions of the same models. Our aim is to measure if scores improve by fine-tuning on related tasks.

Depending on the model and setting, the score for an image-text pair is calculated in a different way. For contrastive models, we use cosine similarity between image and text embeddings (CLIP). Other models use the softmaxed probability from the image-text-match classifier (ViLT). BLIP and FLAVA include both options, image-text contrastive (ITC) and image-text matching (ITM) scores. OFA is a generative model, so we have to use the probability of generating that the image and text match. For models fine-tuned on visual reasoning tasks, we take the probability of the True label as a score. Due to its generative nature, we decided to test OFA, hoping that it would have better spatial reasoning skills.

We test 6 different versions of **ViLT**. The first one is the pre-trained version, without finetuning. Two others are finetuned for retrieval on COCO and Flickr30k. The next one is finetuned for visual reasoning on NLVR2. The last two are finetuned on different splits of VSR. The best one is the one trained on NLVR2, which shows that finetuning on that task helps perform better on Winoground. VSR fine-tuning also increases scores, but not as much as NLVR2. Finetuning for retrieval is also helpful and improves the results of the pre-trained model. The score of the pre-trained model is lower than the

Model	Score			Accuracy		
	Text	Image	Group	Text	Image	Group
MTurk Human	89.50	88.50	85.50	93.75	93.88	93.81
Random Chance	25.00	25.00	16.67	50.00	50.00	50.00
ViLT (ViT-B/32)	27.50	8.75	6.00	56.88	53.12	55.00
ViLT _{COCO} (ViT-B/32)	32.75	13.50	11.25	61.88	56.00	58.94
ViLT _{Flickr30k} (ViT-B/32)	35.00	11.50	9.75	61.62	54.50	58.06
ViLT _{NLVR2} (ViT-B/32)	38.00	15.25	12.00	58.75	55.62	57.19
ViLT _{VSR} Random (ViT-B/32)	30.50	14.50	8.00	59.00	55.75	57.38
ViLT _{VSR} Zero-shot (ViT-B/32)	29.50	14.00	9.25	58.38	54.75	56.56
FLAVA _{ITM}	32.25	20.50	14.25	62.75	59.13	60.94
FLAVA _{ITC}	25.25	13.50	9.00	59.25	55.12	57.19
CLIP (ViT-B/32)	30.75	10.25	8.25	60.38	53.12	56.75
CLIP (ViT-B/16)	25.00	10.25	7.00	57.88	53.75	55.81
CLIP (ViT-L/14)	28.50	11.00	8.00	60.38	54.62	57.50
CLIP (ViT-L/14-336)	27.50	12.00	8.00	59.38	55.12	57.25
OFA _{Tiny}	20.50	8.00	3.75	53.50	52.00	52.75
OFA _{Base}	26.50	10.50	7.00	58.88	54.00	56.44
OFA _{Medium}	22.75	9.00	5.50	54.25	52.75	53.50
OFA _{Large}	26.00	8.75	5.75	58.38	52.88	55.62
OFA _{Huge}	36.25	15.50	13.50	64.38	56.62	60.50
BLIP _{ITM14M} (ViT-B/16)	39.25	19.00	15.00	65.88	58.25	62.06
BLIP _{ITC14M} (ViT-B/16)	32.25	13.75	10.50	62.25	56.50	59.38
BLIP _{ITM} (ViT-B/16)	40.50	20.50	16.50	66.25	59.00	62.62
BLIP _{ITC} (ViT-B/16)	29.75	14.50	9.50	59.88	56.12	58.00
BLIP _{ITM} (ViT-B/16) (CapFilt-L)	37.50	18.50	14.00	65.00	59.13	62.06
BLIP _{ITC} (ViT-B/16) (CapFilt-L)	31.50	10.50	8.50	61.38	53.62	57.50
BLIP _{ITM} (ViT-L/16)	42.50	18.25	15.50	66.88	57.25	62.06
BLIP _{ITC} (ViT-L/16)	33.25	12.00	9.00	61.75	55.00	58.38
BLIP _{ITMCOCO} (ViT-B/16)	48.00	24.50	20.00	69.88	61.25	65.56
BLIP _{ITCCOCO} (ViT-B/16)	37.75	15.75	12.75	65.00	56.88	60.94
BLIP _{ITMFlickr30k} (ViT-B/16)	46.25	24.25	21.25	69.25	60.62	64.94
BLIP _{ITCFlickr30k} (ViT-B/16)	38.25	15.00	12.25	65.38	56.12	60.75
BLIP _{ITMCOCO} (ViT-L/16)	46.75	24.00	20.50	68.88	61.00	64.94
BLIP _{ITCCOCO} (ViT-L/16)	37.75	13.75	10.50	64.88	55.75	60.31
BLIP _{ITMFlickr30k} (ViT-L/16)	45.00	24.75	20.50	68.62	60.50	64.56
BLIP _{ITCFlickr30k} (ViT-L/16)	36.00	16.25	13.50	63.38	56.75	60.06
BLIP _{NLVR2} (ViT-B/16)	40.25	25.00	18.50	64.62	61.62	63.12

Table 3.3: Results on the Winoground dataset across the text, image and group score and accuracy metrics. Results above random chance in **bold**.

baseline one.

For **FLAVA** and **CLIP** we manage to replicate baseline results. We also test 3 other OpenAI CLIP [28] models with different configurations and find that they all perform similar to the baseline configuration. Finally, we test some new **OpenCLIP** [29] models, that were trained on LAION-2B, a subset of LAION-5B [58] with English captions. These models perform slightly better than OpenAI CLIP models.

We test the 5 model sizes of **OFA**. Considering that this model gets state-of-the-art performance on many tasks, the performance is not very good. Even the biggest model is not better than the best baseline model. OFA is trained to generate "yes" or "no" when given an image and the text "Does the

image describe <caption>?". This might explain why it does not perform that well on retrieval and Winoground.

We test many configurations of **BLIP**, which include different training sizes, scoring, vision transformer sizes and finetuning datasets. ITM score is better than ITC score in all the cases. Even the 14M pretrained-only model is better than all the previously tested models. Finetuning for retrieval on COCO and Flickr30k improves the results even more, reaching nearly above random performance in text, image and group scores. The best scores are much better than baseline models, 10% in text score, 4% in image score and 7% in group score.

However, even the best model is still far from human performance in text, image and group scores. There is still a 40% gap in text scores, and 64% in image and group scores. If we look at accuracy metrics, the gap is reduced, but the difference is still very big. Image score remains much lower than text score for all the models.

3.3.2 Results By Linguistic Tag

Baseline Table 3.4 shows results from previous experiments [24] by linguistic tags. The highest **human performance for swap-dependent linguistic tags** is on **object**, followed by **relation** and then **both**. For the **swap-independent linguistic tags**, humans do better on examples with two main predicates, which tend to be longer and more complicated.

Models perform much worse in all tags, but they show the **opposite pattern**. They perform better on examples with simpler and shorter sentences which often have morpheme-level swaps. Examples with the **both** tag have some of the shortest and least compositional captions. Many models get better than random performance on this tag, and CLIP even reaches human performance on text score. Image score remains lower than text score for all tags and models.

Model	Object			Relation			Both			1 Main Pred			2 Main Preds		
	Text	Image	Group												
MTurk Human	92.20	90.78	88.65	89.27	90.56	86.70	76.92	57.69	57.69	87.33	85.62	82.53	95.37	96.30	93.52
VinVL	36.88	17.73	14.18	37.77	17.60	14.16	42.31	19.23	19.23	39.38	21.23	17.47	33.33	8.33	6.48
UNITER _{large}	39.01	12.77	9.93	36.05	14.16	9.87	50.00	19.23	19.23	40.07	16.44	13.36	32.41	7.41	2.78
UNITER _{base}	34.04	11.35	9.22	30.04	14.16	10.30	42.31	15.38	11.54	35.27	14.73	11.99	24.07	9.26	4.63
ViLLA _{large}	36.88	14.89	11.35	37.34	12.88	11.16	34.62	7.69	7.69	39.73	17.12	14.38	29.63	2.78	1.85
ViLLA _{base}	33.33	15.60	9.93	27.04	9.01	6.01	38.46	19.23	15.38	33.22	14.04	10.27	21.30	6.48	1.85
VisualBERT _{base}	19.15	2.13	0.71	12.88	2.15	1.72	19.23	7.69	3.85	16.44	2.74	1.71	12.96	1.85	0.93
ViLT (ViT-B/32)	31.91	15.60	9.22	36.91	11.59	8.15	30.77	26.92	19.23	35.27	17.12	11.64	33.33	5.56	2.78
LXMERT	22.70	9.22	6.38	17.60	5.58	2.58	15.38	7.69	3.85	19.18	8.56	5.14	19.44	2.78	0.93
ViLBERT _{base}	29.08	10.64	7.09	19.31	3.00	1.72	34.62	26.92	19.23	23.97	8.90	5.82	23.15	2.78	1.85
UniIT _{ITM} _{finetuned}	17.73	5.67	2.13	18.03	4.72	3.43	42.31	23.08	19.23	21.58	6.85	4.11	13.89	4.63	3.70
FLAVA _{ITM}	31.91	23.40	14.89	30.04	16.31	12.02	53.85	42.31	30.77	36.30	24.66	17.81	21.30	9.26	4.63
FLAVA _{ITC}	23.40	19.15	11.35	23.61	8.58	5.58	50.00	26.92	26.92	26.37	16.44	10.62	22.22	5.56	4.63
CLIP (ViT-B/32)	34.75	7.80	6.38	22.75	8.58	5.58	80.77	42.31	38.46	35.27	13.01	10.27	18.52	3.70	1.85
VSE++ _{COCO} (ResNet)	21.99	6.38	1.42	23.61	9.01	5.58	19.23	7.69	3.85	25.00	9.59	4.79	16.67	3.70	1.85
VSE++ _{COCO} (VGG)	17.73	2.13	2.13	18.45	7.30	3.86	26.92	7.69	7.69	18.49	4.79	2.74	19.44	7.41	5.56
VSE++ _{Flickr30k} (ResNet)	20.57	6.38	3.55	18.88	4.29	2.15	26.92	3.85	3.85	21.58	6.51	3.42	15.74	0.93	0.93
VSE++ _{Flickr30k} (VGG)	17.73	4.96	2.84	19.74	6.87	5.15	30.77	7.69	7.69	20.55	6.16	4.79	17.59	6.48	3.70
VSRN _{COCO}	15.60	4.96	2.13	18.88	7.73	4.72	15.38	11.54	3.85	17.12	7.19	3.77	18.52	6.48	3.70
VSRN _{Flickr30k}	16.31	4.96	2.13	21.03	4.29	3.86	30.77	11.54	7.69	20.89	5.82	3.77	17.59	2.78	2.78

Table 3.4: The results by linguistic tag. Results above chance are in **bold**.

Ours See Table 3.5

3.3.3 Results By Visual Tag

Baseline See Table 3.6

Ours See Table 3.7

3. ZERO-SHOT WINOGROUND EXPERIMENTS

Model	Object			Relation			Both			1 Main Pred			2 Main Preds		
	Text	Image	Group												
MTurk Human	92.20	90.78	88.65	89.27	90.56	86.70	76.92	57.69	57.69	87.33	85.62	82.53	95.37	96.30	93.52
ViLT (ViT-B/32)	29.08	10.64	4.96	26.18	7.73	6.44	30.77	7.69	7.69	30.14	10.62	7.53	20.37	3.70	1.85
ViLT _{COCO} (ViT-B/32)	33.33	15.60	12.77	30.90	10.73	9.01	46.15	26.92	23.08	36.64	15.75	14.04	22.22	7.41	3.70
ViLT _{Flickr30k} (ViT-B/32)	32.62	14.89	11.35	35.62	8.15	7.73	42.31	23.08	19.23	36.99	14.38	11.99	29.63	3.70	3.70
ViLT _{NLVR2} (ViT-B/32)	39.01	16.31	14.18	36.48	14.59	10.30	46.15	15.38	15.38	39.73	18.15	15.07	33.33	7.41	3.70
ViLT _{VSR} Random (ViT-B/32)	34.75	17.73	9.93	27.47	11.16	6.01	34.62	26.92	15.38	32.53	16.44	9.59	25.00	9.26	3.70
ViLT _{VSR} Zero-shot (ViT-B/32)	34.75	19.86	14.18	26.18	10.73	6.44	30.77	11.54	7.69	32.19	15.75	11.30	22.22	9.26	3.70
FLAVA _{ITM}	31.91	23.40	14.89	30.04	16.31	12.02	53.85	42.31	30.77	36.30	24.66	17.81	21.30	9.26	4.63
FLAVA _{ITC}	23.40	19.15	11.35	23.61	8.58	5.58	50.00	26.92	26.92	26.37	16.44	10.62	22.22	5.56	4.63
CLIP (ViT-B/32)	35.46	7.80	6.38	22.32	7.73	5.58	80.77	46.15	42.31	35.62	13.01	10.62	17.59	2.78	1.85
CLIP (ViT-B/16)	27.66	10.64	5.67	19.31	6.44	4.29	61.54	42.31	38.46	30.14	11.99	8.90	11.11	5.56	1.85
CLIP (ViT-L/14)	27.66	8.51	5.67	25.75	9.87	6.44	57.69	34.62	30.14	13.01	9.93	24.07	5.56	2.78	
CLIP (ViT-L/14-336)	32.62	12.77	9.22	21.03	8.15	4.29	57.69	42.31	34.62	30.48	14.04	10.62	19.44	6.48	0.93
OFA _{Tiny}	22.70	6.38	2.13	17.17	6.87	3.43	38.46	26.92	15.38	23.97	8.22	4.45	11.11	7.41	1.85
OFA _{Base}	25.53	14.18	7.09	24.46	6.87	5.15	50.00	23.08	23.08	28.77	12.67	8.56	20.37	4.63	2.78
OFA _{Medium}	19.86	7.80	4.26	22.32	7.73	4.72	42.31	26.92	19.23	24.32	10.96	6.85	18.52	3.70	1.85
OFA _{Large}	26.24	10.64	5.67	24.03	5.15	3.86	42.31	30.77	23.08	29.45	10.96	7.53	16.67	2.78	0.93
OFA _{Huge}	40.43	18.44	15.60	30.90	11.59	9.87	61.54	34.62	34.62	39.73	19.18	16.78	26.85	5.56	4.63
BLIP _{ITM14M} (ViT-B/16)	41.84	23.40	17.73	36.05	14.59	11.59	53.85	34.62	30.77	43.84	23.63	18.49	26.85	6.48	5.56
BLIP _{ITC14M} (ViT-B/16)	34.04	13.48	9.93	28.33	12.02	9.44	57.69	30.77	23.08	37.67	16.44	13.01	17.59	6.48	3.70
BLIP _{ITM} (ViT-B/16)	46.10	22.70	17.73	35.62	17.60	14.16	53.85	34.62	30.77	45.89	25.34	20.55	25.93	7.41	5.56
BLIP _{ITC} (ViT-B/16)	34.75	14.18	9.22	25.32	13.73	8.58	42.31	23.08	19.23	33.56	16.10	10.62	19.44	10.19	6.48
BLIP _{ITM} (ViT-B/16) (CapFilt-L)	39.01	19.86	12.77	34.76	15.88	12.45	53.85	34.62	34.62	41.10	22.60	17.12	27.78	7.41	5.56
BLIP _{ITC} (ViT-B/16) (CapFilt-L)	36.88	12.77	9.22	26.18	8.58	7.30	50.00	15.38	15.38	35.96	13.36	10.96	19.44	2.78	1.85
BLIP _{ITM} (ViT-L/16)	41.84	19.86	17.02	40.77	16.31	13.73	61.54	26.92	23.08	45.55	23.29	20.21	34.26	4.63	2.78
BLIP _{ITC} (ViT-L/16)	34.04	14.18	11.35	30.90	9.01	6.01	50.00	26.92	23.08	36.99	14.04	10.96	23.15	6.48	3.70
BLIP _{ITMCOCO} (ViT-B/16)	42.55	26.95	19.15	49.79	21.89	19.31	61.54	34.62	30.77	48.97	29.79	24.66	45.37	10.19	7.41
BLIP _{ITCCOCO} (ViT-B/16)	36.88	19.15	14.18	36.05	11.59	10.30	57.69	34.62	26.92	41.78	18.84	15.07	26.85	7.41	6.48
BLIP _{ITMFlickr30k} (ViT-B/16)	49.65	28.37	22.70	42.49	19.74	18.45	61.54	42.31	38.46	51.03	28.42	26.03	33.33	12.96	8.33
BLIP _{ITCFlickr30k} (ViT-B/16)	36.88	17.02	10.64	36.48	12.02	11.16	61.54	30.77	30.77	40.75	17.12	13.70	31.48	9.26	8.33
BLIP _{ITMCOCO} (ViT-L/16)	48.94	25.53	20.57	44.64	22.32	20.60	53.85	30.77	19.23	51.03	28.42	23.97	35.19	12.04	11.11
BLIP _{ITCCOCO} (ViT-L/16)	36.88	14.18	11.35	36.05	11.16	7.30	57.69	34.62	34.62	41.10	16.44	13.36	28.70	6.48	2.78
BLIP _{ITMFlickr30k} (ViT-L/16)	46.10	22.70	16.31	42.06	24.89	21.46	65.38	34.62	34.62	50.34	29.11	24.66	30.56	12.96	9.26
BLIP _{ITCFlickr30k} (ViT-L/16)	39.01	19.86	15.60	30.47	11.59	9.44	69.23	38.46	38.46	39.38	20.55	17.12	26.85	4.63	3.70
BLIP _{NLVR2} (ViT-B/16)	42.55	23.40	19.86	36.48	25.32	16.74	61.54	30.77	26.92	42.47	27.74	21.23	34.26	17.59	11.11

Table 3.5: The results by linguistic tag. Results above chance are in **bold**.

Model	Symbolic			Pragmatics			Same Image Series		
	Text	Image	Group	Text	Image	Group	Text	Image	Group
MTurk Human	96.43	92.86	92.86	58.82	41.18	41.18	95.65	91.30	91.30
VinVL	25.00	17.86	14.29	29.41	5.88	5.88	34.78	17.39	13.04
UNITER _{large}	39.29	28.57	17.86	35.29	0.00	0.00	4.35	8.70	0.00
UNITER _{base}	46.43	14.29	14.29	29.41	17.65	11.76	8.70	8.70	0.00
ViLLA _{large}	39.29	14.29	10.71	17.65	0.00	0.00	17.39	4.35	0.00
ViLLA _{base}	42.86	17.86	14.29	29.41	5.88	5.88	13.04	8.70	4.35
VisualBERT _{base}	28.57	0.00	0.00	5.88	0.00	0.00	13.04	0.00	0.00
ViLT (ViT-B/32)	28.57	17.86	10.71	35.29	0.00	0.00	26.09	0.00	0.00
LXMERT	28.57	3.57	3.57	17.65	5.88	0.00	8.70	4.35	0.00
ViLBERT _{base}	28.57	10.71	7.14	29.41	5.88	5.88	13.04	0.00	0.00
UniT _{ITMfinetuned}	14.29	10.71	7.14	17.65	5.88	5.88	21.74	4.35	4.35
FLAVA _{ITM}	25.00	28.57	17.86	17.65	29.41	11.76	17.39	8.70	0.00
FLAVA _{ITC}	17.86	10.71	10.71	11.76	23.53	5.88	17.39	4.35	4.35
CLIP (ViT-B/32)	39.29	3.57	3.57	35.29	5.88	5.88	8.70	0.00	0.00
VSE++ _{COCO} (ResNet)	32.14	10.71	10.71	23.53	11.76	0.00	13.04	4.35	4.35
VSE++ _{COCO} (VGG)	17.86	14.29	7.14	17.65	0.00	0.00	13.04	4.35	4.35
VSE++ _{Flickr30k} (ResNet)	21.43	3.57	0.00	23.53	0.00	0.00	17.39	4.35	0.00
VSE++ _{Flickr30k} (VGG)	28.57	10.71	10.71	11.76	0.00	0.00	13.04	4.35	0.00
VSRN _{COCO}	7.14	3.57	0.00	11.76	0.00	0.00	13.04	0.00	0.00
VSRN _{Flickr30k}	21.43	3.57	3.57	35.29	11.76	5.88	8.70	4.35	4.35

Table 3.6: The results by visual tag. Results above chance are in **bold**.

Model	Symbolic			Pragmatics			Same Image Series		
	Text	Image	Group	Text	Image	Group	Text	Image	Group
MTurk Human	96.43	92.86	92.86	58.82	41.18	41.18	95.65	91.30	91.30
ViLT (ViT-B/32)	21.43	7.14	3.57	17.65	5.88	5.88	17.39	8.70	4.35
ViLT _{COCO} (ViT-B/32)	21.43	10.71	10.71	29.41	17.65	5.88	21.74	8.70	4.35
ViLT _{Flickr30k} (ViT-B/32)	28.57	7.14	7.14	23.53	0.00	0.00	26.09	4.35	4.35
ViLT _{NLVR2} (ViT-B/32)	42.86	10.71	10.71	41.18	0.00	0.00	17.39	13.04	4.35
ViLT _{VSR} Random (ViT-B/32)	28.57	14.29	7.14	29.41	11.76	5.88	30.43	21.74	8.70
ViLT _{VSR} Zero-shot (ViT-B/32)	25.00	10.71	7.14	35.29	23.53	11.76	30.43	8.70	0.00
FLAVA _{ITM}	25.00	28.57	17.86	17.65	29.41	11.76	17.39	8.70	0.00
FLAVA _{ITC}	17.86	10.71	10.71	11.76	23.53	5.88	17.39	4.35	4.35
CLIP (ViT-B/32)	35.71	3.57	3.57	35.29	5.88	5.88	13.04	0.00	0.00
CLIP (ViT-B/16)	21.43	3.57	3.57	29.41	11.76	11.76	4.35	4.35	0.00
CLIP (ViT-L/14)	28.57	10.71	3.57	23.53	17.65	11.76	13.04	8.70	4.35
CLIP (ViT-L/14-336)	28.57	14.29	7.14	17.65	17.65	5.88	13.04	4.35	0.00
OFA _{Tiny}	21.43	7.14	7.14	11.76	17.65	0.00	21.74	8.70	0.00
OFA _{Base}	28.57	10.71	10.71	23.53	5.88	5.88	21.74	13.04	4.35
OFA _{Medium}	28.57	10.71	7.14	17.65	5.88	5.88	13.04	8.70	4.35
OFA _{Large}	28.57	14.29	10.71	29.41	0.00	0.00	13.04	0.00	0.00
OFA _{Huge}	39.29	14.29	14.29	11.76	11.76	5.88	17.39	4.35	4.35
BLIP _{ITM14M} (ViT-B/16)	46.43	17.86	17.86	35.29	11.76	11.76	17.39	4.35	0.00
BLIP _{ITC14M} (ViT-B/16)	32.14	14.29	10.71	29.41	0.00	0.00	13.04	0.00	0.00
BLIP _{ITM} (ViT-B/16)	50.00	17.86	17.86	29.41	5.88	5.88	13.04	4.35	0.00
BLIP _{ITC} (ViT-B/16)	39.29	10.71	7.14	5.88	11.76	0.00	4.35	8.70	0.00
BLIP _{ITM} (ViT-B/16) (CapFilt-L)	42.86	17.86	14.29	23.53	17.65	17.65	17.39	4.35	0.00
BLIP _{ITC} (ViT-B/16) (CapFilt-L)	42.86	0.00	0.00	17.65	0.00	0.00	4.35	0.00	0.00
BLIP _{ITM} (ViT-L/16)	53.57	25.00	25.00	29.41	5.88	0.00	26.09	4.35	0.00
BLIP _{ITC} (ViT-L/16)	39.29	17.86	14.29	41.18	11.76	11.76	8.70	4.35	4.35
BLIP _{ITMCOCO} (ViT-B/16)	53.57	17.86	17.86	58.82	17.65	17.65	39.13	8.70	0.00
BLIP _{ITCCOCO} (ViT-B/16)	25.00	10.71	7.14	35.29	5.88	5.88	17.39	8.70	4.35
BLIP _{ITMFlickr30k} (ViT-B/16)	53.57	21.43	21.43	35.29	11.76	11.76	26.09	4.35	4.35
BLIP _{ITCFlickr30k} (ViT-B/16)	35.71	10.71	10.71	23.53	17.65	11.76	17.39	4.35	0.00
BLIP _{ITMCOCO} (ViT-L/16)	39.29	35.71	25.00	58.82	23.53	17.65	26.09	4.35	0.00
BLIP _{ITCCOCO} (ViT-L/16)	46.43	14.29	14.29	17.65	5.88	5.88	13.04	0.00	0.00
BLIP _{ITMFlickr30k} (ViT-L/16)	39.29	28.57	25.00	47.06	11.76	5.88	30.43	8.70	4.35
BLIP _{ITCFlickr30k} (ViT-L/16)	39.29	14.29	14.29	47.06	5.88	5.88	21.74	13.04	13.04
BLIP _{NLVR2} (ViT-B/16)	57.14	21.43	10.71	41.18	5.88	5.88	21.74	17.39	4.35

Table 3.7: The results by visual tag. Results above chance are in **bold**.

4 More Winoground Experiments

Apart from zero-shot image-text matching, we perform more experiments on Winoground to gain more insight into the dataset and the tested models. These experiments include Text-to-Image Generation (4.1), Image Captioning (4.2) and Image Retrieval (4.3).

4.1 Text-to-Image Generation

With the aim of evaluating the compositional ability of diffusion models, we used the state-of-the-art Stable Diffusion model [19] to generate images 9 images for each Winoground caption. This results in a total of $800 * 9 = 7200$ images. We will have a look at a few examples of generated images to compare them with the original images. Only the first generated image is shown in the examples, which is not necessarily the best one.

In Figure 4.1, there are both correct and incorrect images. The first image in the first pair is correct, but the second one is wrong. The color of the dog is correct in the next image, but the color of the couch is mistaken. Finally, the last two images are wrong, food is missing in the first image and the shape is wrong in the second.

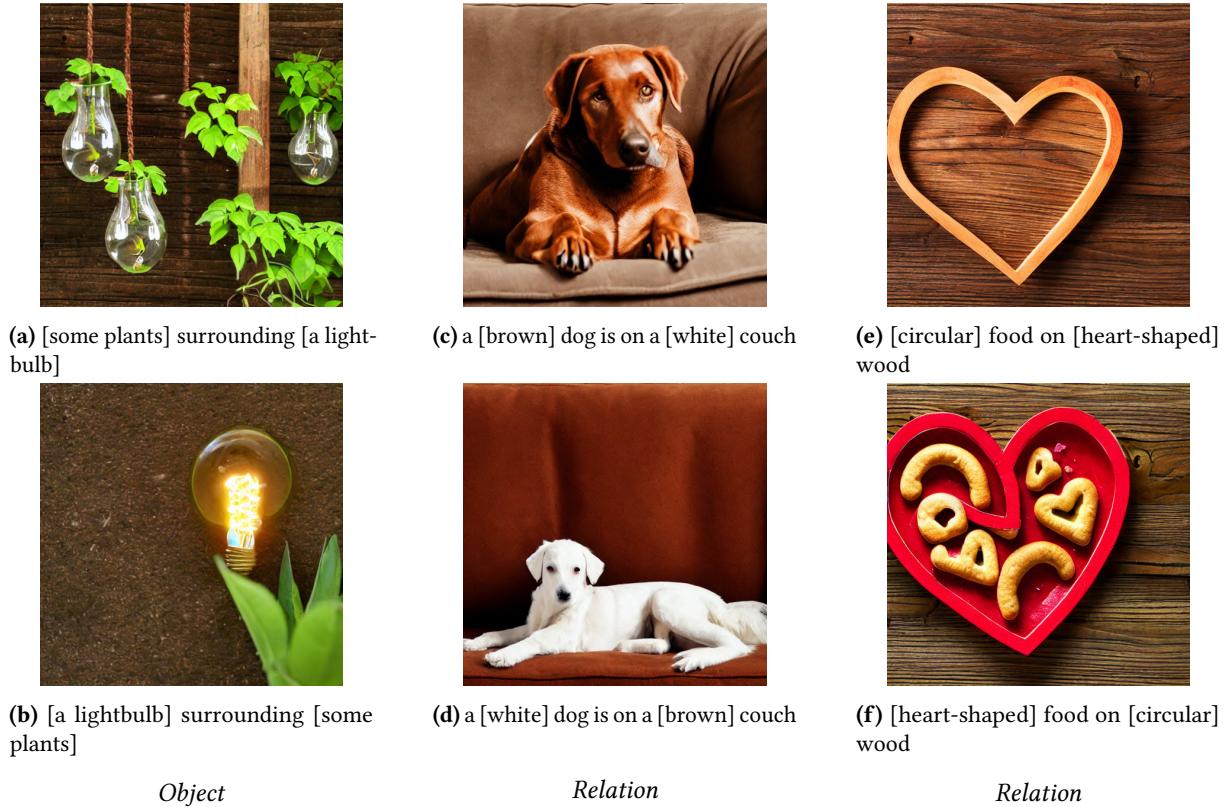


Figure 4.1: Stable Diffusion examples for the swap-dependent linguistic tags *Object*, *Relation* and *Relation* from left to right. The linguistic examples are additionally tagged with 1 main predicate.

In Figure 4.2, both images of the first pair correspond to the first caption. In the second pair, both images correspond to the second caption. The third pair is the only one that is completely correct.



(a) there is [a mug] in [some grass]



(b) there is [some grass] in [a mug]



(c) a person [sits] and a dog [stands]



(d) a person [stands] and a dog [sits]



(e) it's a [fire] [truck]



(f) it's a [truck] [fire]

Object

Relation

Both

Figure 4.2: Stable Diffusion examples for the swap-dependent linguistic tags *Object*, *Relation* and *Both* from left to right. The linguistic examples are additionally tagged with 1, 2 and 1 main predicates from left to right.

In Figure 4.3, both examples in the first image are wrong, there is no magnifying glass. In the second pair, only the person with the ponytail is shown. The last pair only has three windows, there is no people in either of them.

We used Label Studio [71] to annotate images generated by Stable Diffusion. As annotating all the images would take a very long time, we choose to annotate a subset of examples, and only one image per caption.

In each annotation there are two captions from Winoground and two images generated with Stable Diffusion. Each image is created from one caption but the order of the images is random. The annotators have to choose which text corresponds to each image: the first caption, the second caption, both or none. An screenshot of the annotation interface can be seen in Figure 4.4.

There were 6 annotators in total and each one annotated 50 examples, for a total of 300 annotated examples. Each example includes two images, for a total of 600 annotated images. There are 400 examples in total, so we decided that it is a big enough subset.

The statistics of the annotation task are shown in Table 4.1. The general conclusion is that Stable Diffusion is not good at this task. Most of the images do not match any of the captions, 351 out of 600. There are only 25 images that match both captions. The remaining images match one caption or the other (224), but there are many that match the incorrect caption (94). If we take into account image pairs, there are only a few correct ones, 23 out of 300.

Therefore, using a diffusion model for data augmentation might not be robust enough. It would require generating many images to get correct ones, and manual filtering to discard the wrong images. In Section 4.3, we will test another approach to obtain similar images. Instead of generating new images, they are retrieved from a huge dataset.



(a) the kid [with the magnifying glass] looks at them []



(b) the kid [] looks at them [with the magnifying glass]

Pragmatics



(c) the person with the ponytail [packs] stuff and other [buys] it



(d) the person with the ponytail [buys] stuff and other [packs] it

Series



(e) there are [three] people and [two] windows



(f) there are [two] people and [three] windows

Symbolic

Figure 4.3: Stable Diffusion examples for the visual tags *Pragmatics*, *Series* and *Symbolic* from left to right. The visual examples are additionally tagged with the *Relation* tag, and 1, 2, and 1 main predicates from left to right.

	Caption 0	Caption 1	Both	None	All
Caption 0	65	48	12	175	300
Caption 1	46	65	13	176	300
All	111	113	25	351	600

Table 4.1: Statistics of the annotations. Rows shows the caption used for generation and columns show the annotation choice.

4.2 Image Captioning

With the aim of obtaining more insight about the Winoground examples, we decided to test image captioning. We used OFA [26] and BLIP [27] models of different sizes to generate captions for all Winoground images. To run BLIP models, we used LAVIS: A Library for Language-Vision Intelligence [72]. We chose these models because they are SOTA in image captioning and we also use them in other evaluations. Our intention was to compare them with the real captions. We calculated BLEU scores for all models and we found out that they are very low (see Table 4.2). This indicates that the captions generated by these models are very far from the real captions.

One reason for this could be that the real Winoground captions are not typical captions. They are hand-crafted so that they contain the same words in a different order, and that conditions the captions. Another reason could be that these models are not good at describing these types of images that require compositional reasoning. As these models are not very good at matching Winoground images with captions.

Analysing the captions manually would be necessary to know how good they really are. We show some caption examples of the best performing model, BLIP large, so that we can compare them with the

4. MORE WINOGROUND EXPERIMENTS

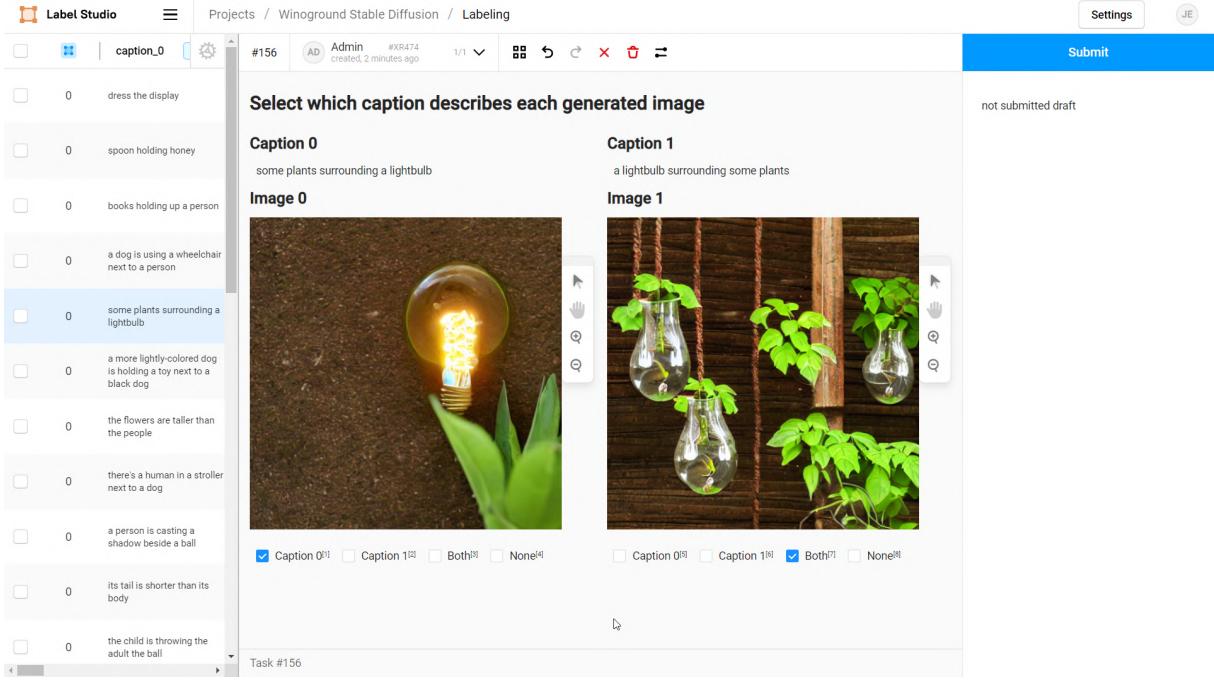


Figure 4.4: Label Studio annotation interface

Model	BLEU-1	BLEU-2	BLEU-3	BLEU-4
OFA _{Tiny}	14.40	5.76	2.50	1.30
OFA _{Base}	16.68	7.12	3.26	1.58
OFA _{Medium}	16.28	6.47	2.84	1.39
OFA _{Large}	15.10	6.45	3.03	1.53
OFA _{Huge}	15.73	6.94	3.06	1.35
BLIP (ViT-B/16)	17.80	8.10	3.96	2.01
BLIP (ViT-L/16)	17.96	8.31	4.36	2.50

Table 4.2: Image captioning BLEU scores of OFA and BLIP models.

original ones. The complete caption files for all the models can be found in the GitHub repository.

In Figure 4.5, all the captions are correct. They describe the images correctly. Some descriptions are more detailed than in the original captions, but some attributes are also missing. For example, the color of the couch and the shape of the cutting wood are not mentioned.

Every caption in Figure 4.6 is also correct. However, some important details that are present in the original captions are missing. In the second pair of images, the first caption does not mention the person, and the second one does not specify that the dog is sitting.

The first pair of captions in Figure 4.7 is wrong. In the first image, the young boy is the one holding the magnifying glass. The second one is completely wrong, they are not sitting at a table and the magnifying glass is not mentioned. Other examples are correct, but more generic than the original captions. They describe the images, without mentioning details such as the number of people and windows.

The general conclusion is that most captions are quite good. They are very different from the original ones, but they describe the images correctly. They provide extra information about the images to the models, that is not included in the original captions. They could be used to improve the results of the models by incorporating them in the evaluation process. For example, we could compare the original and generated captions and pair them by similarity.



Figure 4.5: Image Captioning examples from the Winoground dataset for the swap-dependent linguistic tags *Object*, *Relation* and *Relation* from left to right. They are additionally tagged with 1 main predicate.



Figure 4.6: Image Captioning examples from the Winoground dataset for the swap-dependent linguistic tags *Object*, *Relation* and *Both* from left to right. They are additionally tagged with 1, 2 and 1 main predicates from left to right.

It seems that these models are not that bad at describing images, but have more difficulties when pairing them with very similar captions. This suggests that text encoding might be their biggest limitation, and could be the main reason for their low performance on Winoground.



Figure 4.7: Image Captioning examples from the Winoground dataset for the visual tags *Pragmatics*, *Series* and *Symbolic* from left to right. They are additionally tagged with the *Relation* tag, and 1, 2, and 1 main predicates from left to right.

4.3 Image Retrieval

We used CLIP retrieval¹ to retrieve images from LAION-5B [58] dataset. We used Winoground captions and images to get similar images. For each caption and image, we compute its embeddings using CLIP ViT-L-14. Then the system uses a KNN algorithm to retrieve images that have similar embeddings. We can also compute the mean of caption and image embeddings to retrieve images that match both the image and the caption.

We used the python CLIP Client with the default parameters, which retrieves a maximum of 40 images with each query. It also retrieves the original caption of the image and a similarity score. The system also has an aesthetic score that can be used to retrieve better looking images. It also removes duplicate images and images that contain unsafe content and violence. Figure 4.8 shows an example search with the alternative retrieval interface².

We have selected a few examples of retrieved images to compare them with the original images. These images were retrieved using only the captions. Only the first generated image is shown in the examples, which is not necessarily the best one. The complete retrieval file can be found in the GitHub repository.

In Figure 4.9, the first pair of images is correct, both images match the captions. In the second pair, the color of the dog is correct, but the couch has a wrong color. In the third example, the first image has wrong shapes and the second image is wrong.

In Figure 4.10, the first pair is correct. The second pair is wrong, the same image is retrieved for both captions. The first image in the third example is correct, but the second one is incorrect.

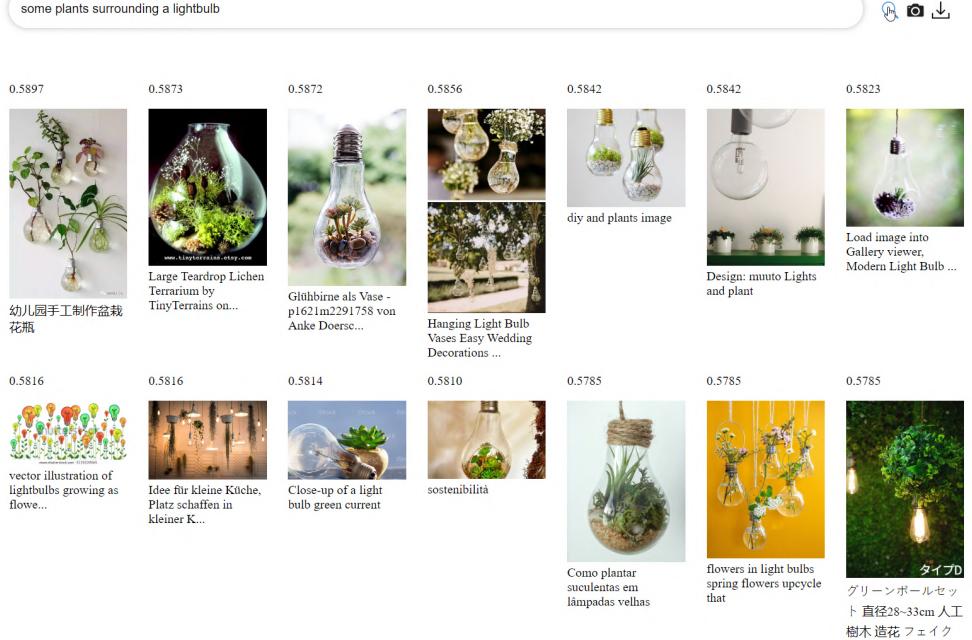
In Figure 4.11, the first example is wrong, the objects are present but the composition is not correct. In the second pair, some objects are missing in both images. The third pair is wrong, the same image is retrieved, which only contains two windows and no people.

¹<https://github.com/rom1504/clip-retrieval>

²<https://rom1504.github.io/clip-retrieval>

Backend url: <https://knno.de>
Index: laion5B

some plants surrounding a lightbulb



[Clip retrieval](#) works by converting the text query to a CLIP embedding, then using that embedding to query a knn index of clip image embeddings

Display captions Display full captions Display similarities Safe mode Remove violence Hide duplicate urls Hide (near) duplicate images Enable aesthetic scoring Aesthetic score: 9 Aesthetic weight: 0.5 Search over image Search with multilingual clip

This UI may contain results with nudity and is best used by adults. The images are under their own copyright.

Are you seeing near duplicates? KNN search are good at spotting those, especially so in large datasets.

Figure 4.8: CLIP Retrieval interface search example. Many of the images are wrong and correspond to the other caption.



(a) [some plants] surrounding [a lightbulb]

(c) a [brown] dog is on a [white] couch

(e) [circular] food on [heart-shaped] wood



(b) [a lightbulb] surrounding [some plants]

(d) a [white] dog is on a [brown] couch

(f) [heart-shaped] food on [circular] wood

Object

Relation

Relation

Figure 4.9: CLIP Retrieval examples for the swap-dependent linguistic tags *Object*, *Relation* and *Relation* from left to right. The linguistic examples are additionally tagged with 1 main predicate.

This system could be used to increase the size of our dataset. We could retrieve many similar images for our captions. We could also change the captions to retrieve images with different objects. Nevertheless, this would also require some filtering because there are many wrong images.

The number of retrieved images and the similarity score could also be used as a measure of how common an image is. If there are very few similar images in the dataset, that means that the caption or image is uncommon. However, the system might not be robust enough for this estimation.



(a) there is [a mug] in [some grass]



(b) there is [some grass] in [a mug]

Object



(c) a person [sits] and a dog [stands]



(d) a person [stands] and a dog [sits]

Relation



(e) it's a [fire] [truck]



(f) it's a [truck] [fire]

Both

Figure 4.10: CLIP Retrieval examples for the swap-dependent linguistic tags *Object*, *Relation* and *Both* from left to right. The linguistic examples are additionally tagged with 1, 2 and 1 main predicates from left to right.



(a) the kid [with the magnifying glass] looks at them []



(b) the kid [] looks at them [with the magnifying glass]

Pragmatics



(c) the person with the ponytail [packs] stuff and other [buys] it



(d) the person with the ponytail [buys] stuff and other [packs] it

Series



(e) there are [three] people and [two] windows



(f) there are [two] people and [three] windows

Symbolic

Figure 4.11: CLIP Retrieval examples for the visual tags *Pragmatics*, *Series* and *Symbolic* from left to right. The visual examples are additionally tagged with the *Relation* tag, and 1, 2, and 1 main predicates from left to right.

5 Visual Spatial Reasoning

This chapter describes the Visual Spatial Reasoning (VSR) [25] dataset and the splits that are used for evaluation. We also explain the experiments we performed and the results we obtained in VSR.

5.1 Dataset

The objective of VSR is to **test spatial grounding** capabilities by covering **65 spatial relations** over natural images from COCO. Given an image and a caption which describes a spatial relation between two of the objects, the model has to infer if the relation is true or false.

A **contrastive caption generation** approach was used in VSR to avoid choosing too many trivial relations. First, a pair of images that contain the same two concepts are selected from COCO. Second, an annotator had to choose a spatial relation that made the caption template correct for one image but incorrect for the other. Finally, every item is reviewed by at least two additional human annotators. If the agreement between annotators is not high enough, the data point is excluded.

To get a more high-level understanding of the relations, they are grouped in **meta categories** [73]: Adjacency, Directional, Orientation, Projective, Proximity, Topological and Unallocated (see Table 5.1). We show some examples to understand the differences between relation categories in Figures 5.1 and 5.2.

Category	Spatial Relations
Adjacency	Adjacent to, alongside, at the side of, at the right side of, at the left side of, attached to, at the back of, ahead of, against, at the edge of
Directional	Off, past, toward, down, deep down*, up*, away from, along, around, from*, into, to*, across, across from, through*, down from
Orientation	Facing, facing away from, parallel to, perpendicular to
Projective	On top of, beneath, beside, behind, left of, right of, under, in front of, below, above, over, in the middle of
Proximity	By, close to, near, far from, far away from
Topological	Connected to, detached from, has as a part, part of, contains, within, at, on, in, with, surrounding, among, consists of, out of, between, inside, outside, touching
Unallocated	Beyond, next to, opposite to, after*, among, enclosed by

Table 5.1: The available 71 spatial relations. 65 of them appear in the final dataset. Relations with * are not used.

In Figure 5.1 we show examples of Adjacency, Projective and Topological meta categories. **Adjacency** examples involve identifying what is ahead of the cow and which is the edge of the table. The **Projective** images are paired with the same caption, but have different labels. **Topological** examples require understanding what being inside and touching are.

In Figure 5.2 Adjacency, Projective and Orientation meta categories. The first **Adjacency** example is tricky, it requires knowing which is the right side of the bench. The second one is even more difficult because the cow both the cow appears in the car's side mirror. **Projective** examples involve knowing where is the front of the person and below the cat. **Orientation** examples require understanding the orientations of the hair drier and the fire hydrant.

5.2 Dataset Splits

The VSR dataset has two types of splits [25], random and zero-shot. The statistics of the two splits are shown in Table 5.2.



(a) Caption: *The person is ahead of the cow.* Label: True.



(c) Caption: *The cat is behind the laptop.* Label: True.



(e) Caption: *The cat is inside the toilet.* Label: False.



(b) Caption: *The pizza is at the edge of the dining table.* Label: True.



(d) Caption: *The cat is behind the laptop.* Label: False.



(f) Caption: *The person is touching the hair drier.* Label: True.

Adjacency

Projective

Topological

Figure 5.1: Examples from the VSR dataset for the relation meta categories *Adjacency*, *Projective* and *Topological* from left to right.



(a) Caption: *The potted plant is at the right side of the bench.* Label: True.



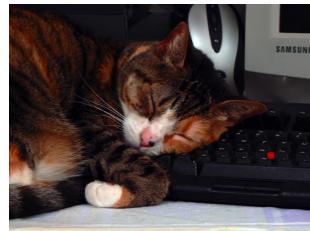
(c) Caption: *The bench is in front of the person.* Label: True.



(e) Caption: *The hair drier is facing away from the person.* Label: False.



(b) Caption: *The cow is at the back of the car.* Label: True.



(d) Caption: *The keyboard is below the cat.* Label: True.



(f) Caption: *The fire hydrant is facing away from the person.* Label: True.

Adjacency

Projective

Orientation

Figure 5.2: Examples from the VSR dataset for the relation meta categories *Adjacency*, *Projective* and *Orientation* from left to right.

Random split. The dataset is split randomly into train/dev/test with the ratio of 70%/10%/20%. All the validated data points are used in this split.

Zero-shot split. It is a concept zero-shot split where train/dev/test have no overlapping concepts. That is, each concept can only appear in one of the sets. This is done by randomly grouping concepts into three sets with the ratio of 50%/20%/30%. This is a more challenging setup because the model has to learn concepts and relations in a compositional way instead of remembering the co-occurrence of the two. Moreover, having less training data is a disadvantage for the models, since not all the data can be

split	train	dev	test	total
<i>random</i>	7,083	1,012	2,024	10,119
<i>zero-shot</i>	5,440	259	731	6,430

Table 5.2: Data statistics of the *random* and *zero-shot* splits.

used in this setting.

5.3 Metrics

The only metric used for evaluation is **accuracy**. Due to the fluctuations we observe, authors recommend always reporting the average performance of three runs to make sure the conclusion is reliable [25]. In general, models have larger standard deviations on the zero-shot split, probably because the zero-shot dev/test sets are smaller.

5.4 Models

We introduce baseline models and our models.

Baselines. VSR authors [25] test three popular VLMs: VisualBERT [36], LXMERT [10], and ViLT [35]. All three models are stacked Transformers [74] that take image and text pairs as input. The difference mainly lies in how or whether they encode position information of objects. Checkpoints are saved every 100 iterations and the best checkpoint on the dev set is used for testing. All models are run three times using three random seeds.

Ours. We first test the same baseline models. We also evaluate a ViLT model that has only been finetuned on NLVR2. We evaluate BLIP [27] trained on VSR and NLVR2.

5.5 Results

5.5.1 Compared To Humans

Baseline See Table 5.3. The gap between dev and tests becomes much greater on zero-shot split likely due to the smaller size of both dev and test sets.

model↓	random split		zero-shot split	
	dev	test	dev	test
human	95.4			
VisualBERT	59.2 \pm 0.9	57.4 \pm 0.9	57.4 \pm 2.2	54.0 \pm 1.3
LXMERT	73.8 \pm 1.2	72.5 \pm 1.4	69.2 \pm 1.0	63.2 \pm 1.7
ViLT	71.9 \pm 1.3	71.0 \pm 0.7	66.7 \pm 1.7	62.4 \pm 1.5

Table 5.3: Model performance on VSR. Results of both random and zero-shot splits, both validation and tests are listed.

Ours

5.5.2 Results By Relation

Baseline See Figure 5.3

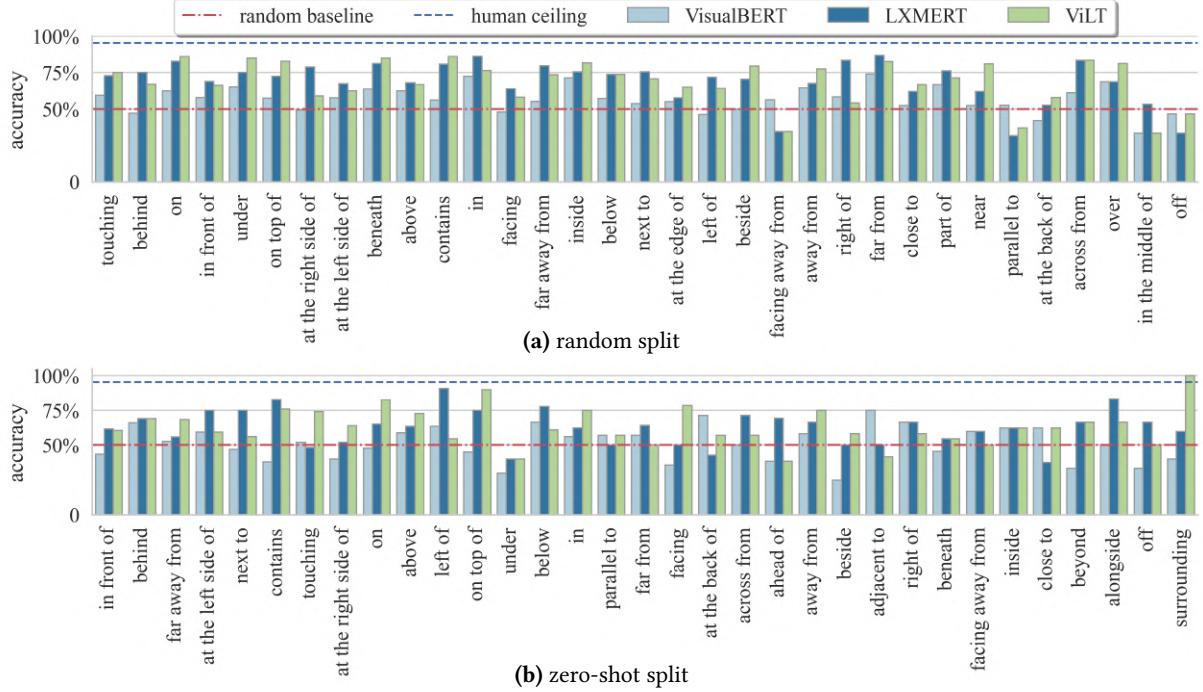


Figure 5.3: Performance by relation on the random (upper) and zero-shot (lower) split test sets. Relation order sorted by frequency (high to low from left to right). Only relations with more than 15 and 5 occurrences on the random and zero-shot tests respectively are shown.

Ours See Figure 5.4

See Table 5.4 and Table 5.5

5.5.3 Results By Relation Meta Category

Baseline See Figure 5.5

Ours See Figure 5.6

See Table 5.6 and Table 5.7

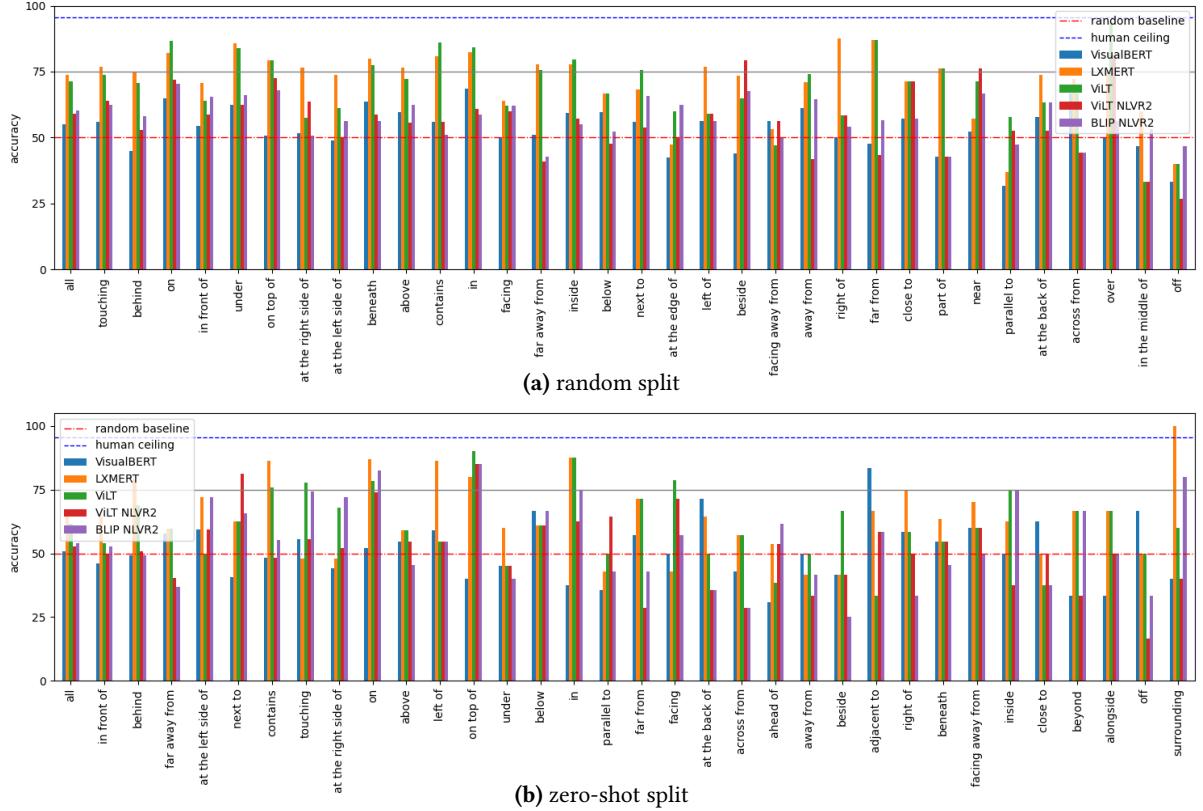


Figure 5.4: Performance by relation on the random (upper) and zero-shot (lower) split test sets. Relation order sorted by frequency (high to low from left to right). Only relations with more than 15 and 5 occurrences on the random and zero-shot tests respectively are shown.

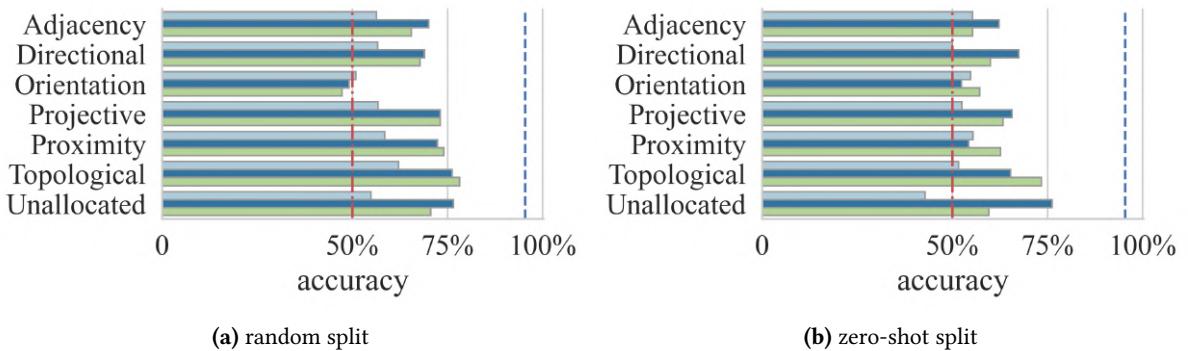


Figure 5.5: Performance by meta categories of relations, on the random (left) and zero-shot (right) split test sets. For legend information, see Figure 5.3.

relation	number	VisualBERT	LXMERT	ViLT	ViLT NLVR2	BLIP NLVR2
all	2024	55.1	73.9	71.2	59.1	60.1
touching	236	55.9	76.7	73.7	64.0	62.3
behind	136	44.9	75.0	70.6	52.9	58.1
on	128	64.8	82.0	86.7	71.9	70.3
in front of	116	54.3	70.7	63.8	58.6	65.5
under	112	62.5	85.7	83.9	62.5	66.1
on top of	87	50.6	79.3	79.3	72.4	67.8
at the right side of	85	51.8	76.5	57.6	63.5	50.6
at the left side of	80	48.8	73.8	61.3	50.0	56.2
beneath	80	63.7	80.0	77.5	58.8	56.2
above	72	59.7	76.4	72.2	55.6	62.5
contains	57	56.1	80.7	86.0	56.1	50.9
in	51	68.6	82.4	84.3	60.8	58.8
facing	50	50.0	64.0	62.0	60.0	62.0
far away from	49	51.0	77.6	75.5	40.8	42.9
inside	49	59.2	77.6	79.6	57.1	55.1
below	42	59.5	66.7	66.7	47.6	52.4
next to	41	56.1	68.3	75.6	53.7	65.9
at the edge of	40	42.5	47.5	60.0	50.0	62.5
left of	39	56.4	76.9	59.0	59.0	56.4
beside	34	44.1	73.5	64.7	79.4	67.6
facing away from	32	56.2	53.1	46.9	56.2	50.0
away from	31	61.3	71.0	74.2	41.9	64.5
right of	24	50.0	87.5	58.3	58.3	54.2
far from	23	47.8	87.0	87.0	43.5	56.5
close to	21	57.1	71.4	71.4	71.4	57.1
part of	21	42.9	76.2	76.2	42.9	42.9
near	21	52.4	57.1	71.4	76.2	66.7
parallel to	19	31.6	36.8	57.9	52.6	47.4
at the back of	19	57.9	73.7	63.2	52.6	63.2
across from	18	66.7	72.2	66.7	44.4	44.4
over	16	50.0	75.0	93.8	81.2	56.2
in the middle of	15	46.7	60.0	33.3	33.3	53.3
off	15	33.3	40.0	40.0	26.7	46.7

Table 5.4: Number and performance by relation on the random split test. Only relations with more than 15 occurrences are shown.

relation	number	VisualBERT	LXMERT	ViLT	ViLT NLVR2	BLIP NLVR2
all	731	50.8	65.5	61.6	52.8	53.9
in front of	76	46.1	64.5	53.9	50.0	52.6
behind	71	49.3	78.9	69.0	50.7	49.3
far away from	57	57.9	59.6	59.6	40.4	36.8
at the left side of	32	59.4	71.9	50.0	59.4	71.9
next to	32	40.6	62.5	62.5	81.2	65.6
contains	29	48.3	86.2	75.9	48.3	55.2
touching	27	55.6	48.1	77.8	55.6	74.1
at the right side of	25	44.0	48.0	68.0	52.0	72.0
on	23	52.2	87.0	78.3	73.9	82.6
above	22	54.5	59.1	59.1	54.5	45.5
left of	22	59.1	86.4	54.5	54.5	54.5
on top of	20	40.0	80.0	90.0	85.0	85.0
under	20	45.0	60.0	45.0	45.0	40.0
below	18	66.7	61.1	61.1	61.1	66.7
in	16	37.5	87.5	87.5	62.5	75.0
parallel to	14	35.7	42.9	50.0	64.3	42.9
far from	14	57.1	71.4	71.4	28.6	42.9
facing	14	50.0	42.9	78.6	71.4	57.1
at the back of	14	71.4	64.3	50.0	35.7	35.7
across from	14	42.9	57.1	57.1	28.6	28.6
ahead of	13	30.8	53.8	38.5	53.8	61.5
away from	12	50.0	41.7	50.0	33.3	41.7
beside	12	41.7	41.7	66.7	41.7	25.0
adjacent to	12	83.3	66.7	33.3	58.3	58.3
right of	12	58.3	75.0	58.3	50.0	33.3
beneath	11	54.5	63.6	54.5	54.5	45.5
facing away from	10	60.0	70.0	60.0	60.0	50.0
inside	8	50.0	62.5	75.0	37.5	75.0
close to	8	62.5	50.0	37.5	50.0	37.5
beyond	6	33.3	66.7	66.7	33.3	66.7
alongside	6	33.3	66.7	66.7	50.0	50.0
off	6	66.7	50.0	50.0	16.7	33.3
surrounding	5	40.0	100.0	60.0	40.0	80.0

Table 5.5: Number and performance by relation on the zero-shot split test. Only relations with more than 5 occurrences are shown.

category	number	VisualBERT	LXMERT	ViLT	ViLT NLVR2	BLIP NLVR2
All	2024	55.1	73.9	71.2	59.1	60.1
Adjacency	284	51.4	71.1	63.0	56.7	60.2
Directional	90	56.7	68.9	55.6	47.8	54.4
Orientation	112	50.9	55.4	54.5	55.4	56.2
Proximity	123	52.0	73.2	74.8	53.7	52.8
Projective	773	54.5	76.7	71.7	59.8	61.4
Topological	591	59.2	76.8	79.2	63.5	61.4
Unallocated	51	52.9	64.7	74.5	54.9	60.8

Table 5.6: Number and performance by relation meta category on the random split test.

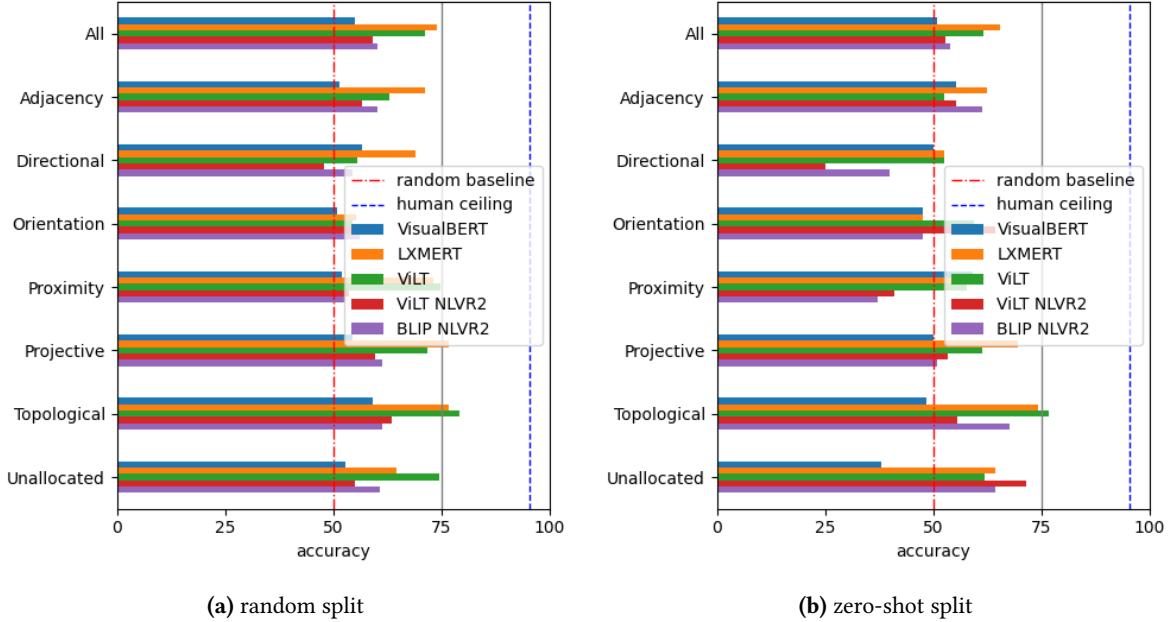


Figure 5.6: Performance by meta categories of relations, on the random (left) and zero-shot (right) split test sets. For legend information, see Figure 5.4.

category	number	VisualBERT	LXMERT	ViLT	ViLT NLVR2	BLIP NLVR2
All	731	50.8	65.5	61.6	52.8	53.9
Adjacency	114	55.3	62.3	52.6	55.3	61.4
Directional	40	50.0	52.5	52.5	25.0	40.0
Orientation	42	47.6	47.6	59.5	64.3	47.6
Proximity	83	59.0	59.0	57.8	41.0	37.3
Projective	286	50.0	69.6	61.2	53.5	51.0
Topological	124	48.4	74.2	76.6	55.6	67.7
Unallocated	42	38.1	64.3	61.9	71.4	64.3

Table 5.7: Number and performance by relation meta category on the zero-shot split test.

6 Conclusions

6.1 Future Work

6.1.1 Image-to-Image Generation

Stable Diffusion could be used to generate new images as a data augmentation technique. For example, multiple image variations can be generated from an input image, to get similar images that still match the original caption. We could also change the caption if we are interested in getting similar images with different object. In painting could also be used to change an specific part of the image.

Appendix

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