

Incompleteness, the universal algorithm, and arithmetic potentialism

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Talk Math With Your Friends
2020 September 17



A very accurate and nuanced early history of the foundations of computation



Find an algorithm to solve the
*Entscheidungsproblem**.



No.

* (Given a logical formula determine whether it is true in all structures.)

In a bit more detail

- The strategy to show an algorithm solves the *Entscheidungsproblem* is straightforward: exhibit the algorithm and check it does what you want.
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- Alonzo Church (1936), Alan Turing (1936), and others gave formalizations, which turn out to be equivalent.
- And since then there has been an explosion in equivalent characterizations, e.g. (an idealized version of) your favorite programming language.
- An advantage to giving a talk in 2020 is that computers are so ubiquitous I don't need to give you the formal definition of a **Turing machine** (TM).

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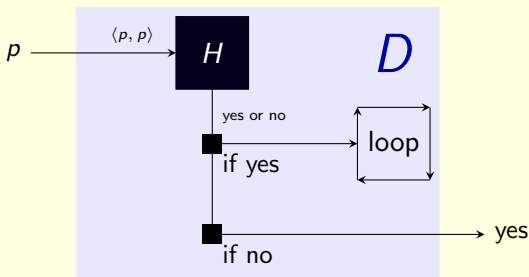
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- **Hard part!** Turing showed that TMs are powerful enough to do computations involving other TMs. Indeed, he showed there is a **universal machine** which can simulate any TM.
- **Other hard part!** Turing's conceptual analysis to argue that his formalization correctly captures the intuitive notion of computability.
- **Easy part!** Do a diagonalization argument.

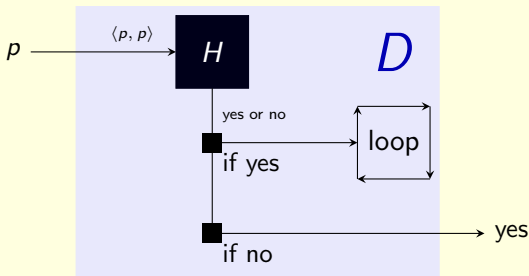
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Now ask: what happens when D is input to D ?
Then it halts iff it doesn't. ⚡

From computability theory to proof theory

Let's talk about another kind of undecidability, in terms of what you can prove instead of what you can compute.

A very accurate and nuanced history of the incompleteness theorems



Find axioms that decide all questions
of natural number arithmetic.



No.

The incompleteness theorems

Peano arithmetic (PA) axiomatizes natural number arithmetic: axioms of discretely ordered semirings + induction axioms.

Theorem (Gödel's first and second incompleteness theorems)

- 1 *No computably axiomatizable extension of PA is complete. There must be an arithmetic statement it neither proves nor disproves.*
- 2 *PA can neither prove nor disprove the consistency of PA.*

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 - ② *PA can neither prove nor disprove the consistency of PA.*
- **Hard part!** (Arithmetization) Gödel showed that logical formulae can be coded as natural numbers, so statements about logic and proof can be coded as statements about natural numbers.
 - **Easy part!** (Self-reference) Do a diagonalization argument.

- Gödel's beta lemma states that arbitrary finite sequences can be coded as a single number, and this is provable within PA.
- Any finite mathematical object can be coded in arithmetic—e.g. logical formulae, Turing machines.
- So statements like “PA does not prove $0 = 1$ ” or “such and such Turing machine halts” can be cast as statements in arithmetic.

Arithmetization

0 substituted for $[s]_0$, and φ with $[s]_0 + 1$ substituted for $[s]_0$. Now for the gory details. We define the relation $\text{PA}(x)$, expressing that x is the Gödel-number of a Peano axiom by the formula

$$x = n_1 \vee \cdots \vee x = n_{15} \vee \left(\begin{array}{l} \text{Form}(y) \wedge \text{len}(s) = n \wedge \\ \forall i < \text{len}(n) \text{ Free}(y, [s]_i) \wedge \forall j \leq y (\text{Free}(y, j) \rightarrow \exists k \leq s [s]_k = j) \wedge \\ \exists t \subseteq s \exists u, w \left(\begin{array}{l} \text{len}(t) = \text{len}(s) - 1 \wedge \forall i < \text{len}(t) [t]_i = [s]_{i+1} \wedge \\ u = \text{Sub}(y, [s]_0, \ulcorner 0 \urcorner) \wedge w = \text{Sub}(y, [s]_0, \ulcorner [s]_0 + 1 \urcorner) \wedge \\ x = \ulcorner (\forall t (u \wedge (\forall [s]_0 (y \rightarrow w) \rightarrow \forall [s]_0 y)) \urcorner) \end{array} \right) \end{array} \right).$$

(Taken with permission from Victoria Gitman's lecture notes for Mathematical Logic, Spring 2013.)

Self-reference



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- The **Gödel fixed-point lemma** states that a form of self-reference is possible for logical formulae.

(Formally: for any formula $\varphi(x)$ there's a sentence σ so that σ is PA-provably equivalent to $\varphi(\sigma)$.)

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A fun application: programming languages admit **quines**—programs that output their own source code.

```
;; Quine in Common Lisp
((lambda (x) (list x (list 'quote x))))
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```

Incompleteness and Turing machines

The incompleteness theorems can be recast as saying that whether certain Turing machines halt is undecidable.

A TM p :

- Look at all length 1 proofs from the first 1 axiom of PA.
- Then look at all length 2 proofs from the first 2 axioms of PA.
- \vdots
- If at any point you see a proof that ends with $0 = 1$, halt and output affirmatively.

Whether p halts is independent of PA.

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Can also formulate in terms of what a TM enumerates.

- Do the same search through PA-proofs.
- But for each proof output the theorem then keep running.

Does this TM ever output $0 = 1$? PA does not prove one way or the other.

If you liked Gödel's incompleteness theorems, you'll love his completeness theorem

Theorem (Gödel's Completeness Theorem)

- 1 *A set of axioms T is consistent if and only if there is a structure T .*
- 2 *φ is true in every structure satisfying T if and only if φ is a theorem of T .*

(Clarification: this is for axioms in first-order logic.)

- This lets us move from talking about proofs, consistency, etc. to talking about structures. A lot of mathematicians—e.g. myself—find the latter perspective easier to think about!
- The incompleteness theorem plus the completeness theorem together imply there must be non-isomorphic structures satisfying the axioms of arithmetic.

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What could these even look like???

Nonstandard models of arithmetic

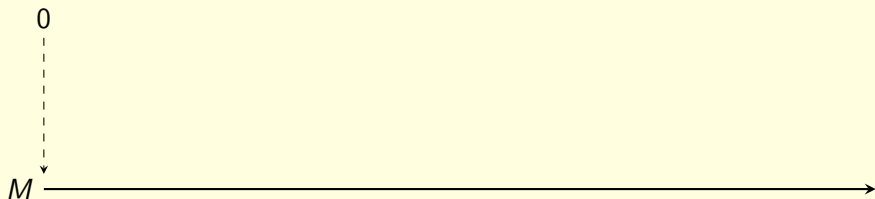
A **model of (Peano) arithmetic** is a discretely ordered semiring whose **definable** subsets are **inductive**.

M 

- $X \subseteq M$ is **definable** if you can express $x \in X$ just by quantifying over the elements of M and using the ring operations and order of M .
- $X \subseteq M$ is **inductive** if $0 \in X$ and $a \in X \Rightarrow a + 1 \in X$ implies $X = M$.

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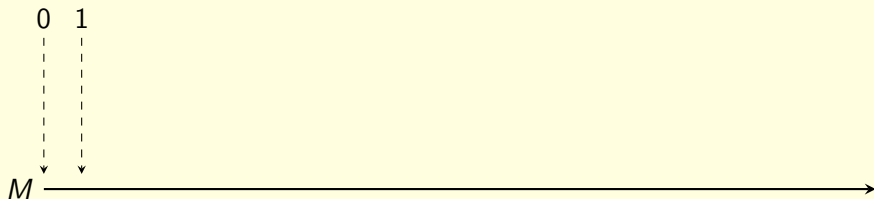
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M has a least element 0 because the set $\{x \in M : x \geq 0\}$ is inductive.

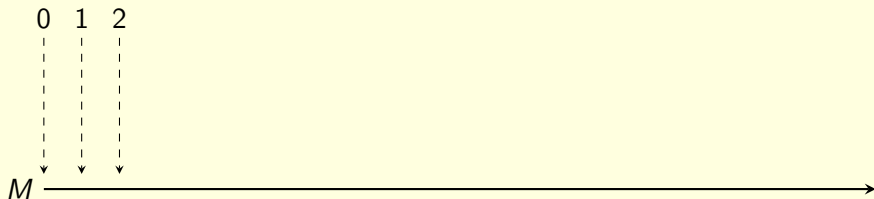
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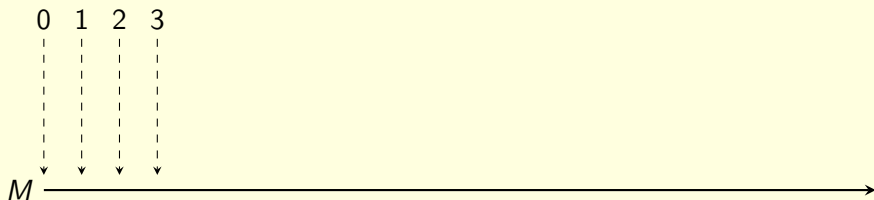
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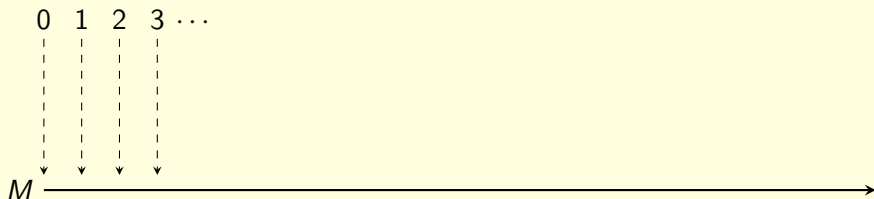
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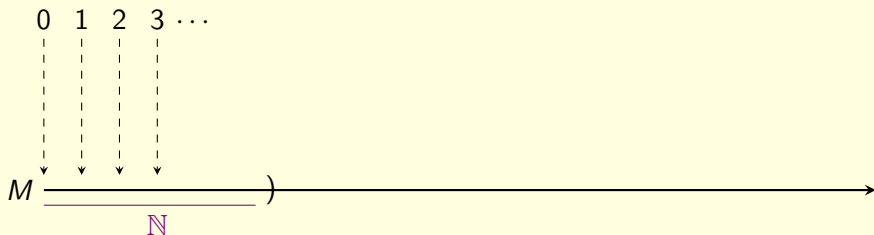
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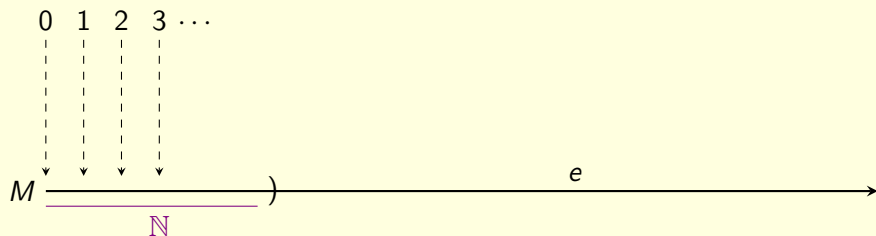
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\mathbb{N} embeds as an initial segment on any model of arithmetic.

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If $e \in M \setminus \mathbb{N}$ then $e > n$ for all $n \in \mathbb{N}$.

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All non-zero elements have a predecessor because

$$\{0\} \cup \{a \in M : a \text{ has a predecessor}\}$$

satisfies the induction hypotheses.

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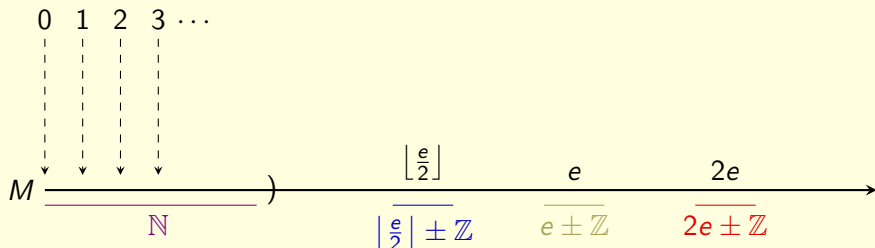
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$e + n < e + e = 2e$ for all $n \in \mathbb{N}$.

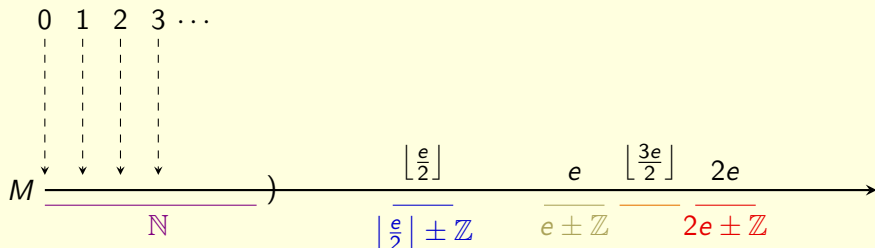
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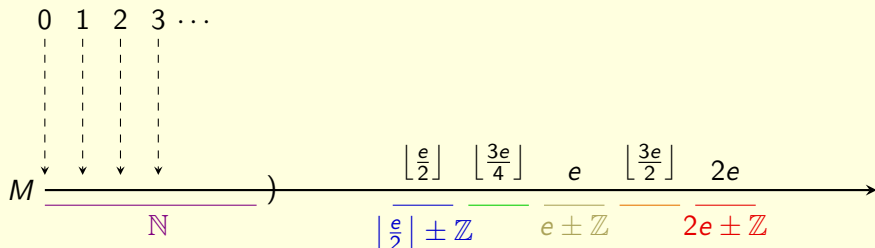
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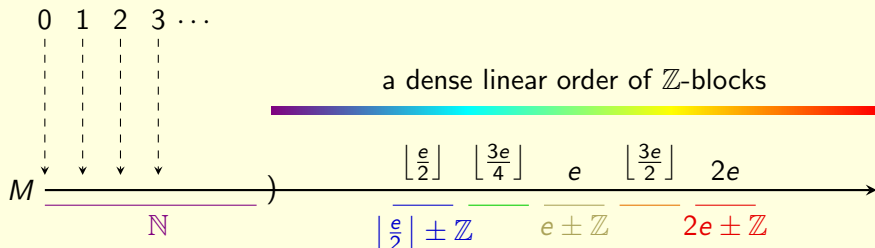
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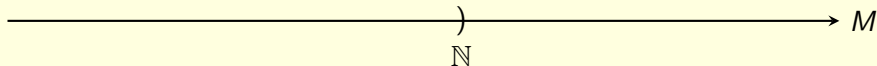
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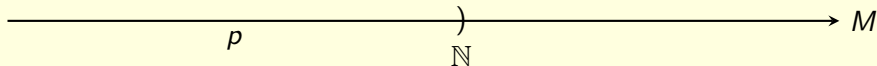
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- **Open Question** (Harvey Friedman): \mathbb{N} has the property that if a model of arithmetic is order-isomorphic to it then they are fully isomorphic. Does any other model of arithmetic have this property?
- (Stanley Tennenbaum) If M is nonstandard then neither the $+$ nor \times of M is a computable function.

Turing machines in a nonstandard world



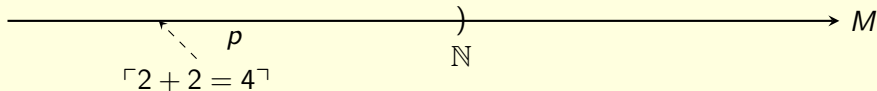
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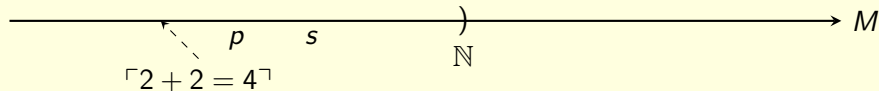
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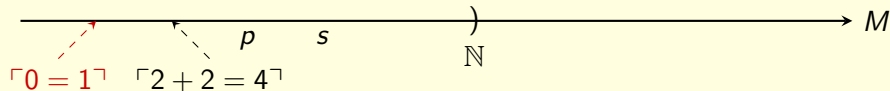
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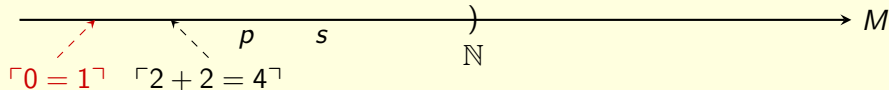
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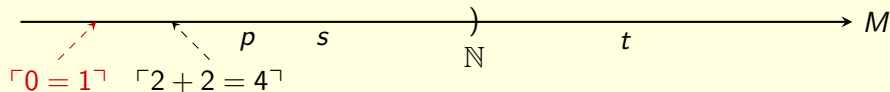
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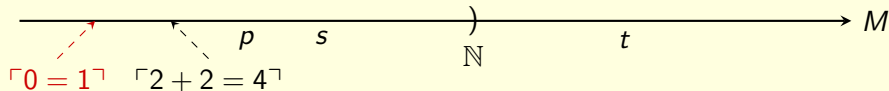
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- Then there is a computation log t witnessing that p outputs $\ulcorner 0 = 1 \urcorner$. But t must be nonstandard!
- By moving to a larger world we made p output more numbers.

The absoluteness of computability

In summary:

- The statement “the TM p outputs n for some input” is **upward absolute**—if it’s true it stays true if we **end-extend** to a larger model.

(Logicians call this sort of statement a Σ_1 statement. By the MRDP theorem, these are the statements equivalent to one whose only quantifiers are a block of \exists s.)

- But the statement “the TM p does **not** output n for some input” is not upward absolute. (It is **downward absolute** though.)

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By Gödel’s completeness theorem plus the last slide, Peano arithmetic proves every true (i.e. in \mathbb{N}) statement of this form.

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Both the first and second incompleteness theorems are about statements of this form.

Let's make a bet

- You'll think of a secret password (a finite sequence of natural numbers), and I'll try to guess it.
- But I'll tell you in advance the process I'll use to guess it, namely a specific a Turing machine.
- So you can use that info, if you like.
- If I successfully guess your number, you owe me a job with tenure :)

* (Adapted from a thought experiment in “A potential subtlety concerning the distinction between determinism and nondeterminism”, W. Hugh Woodin, 2011.)

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- **The trick:** I'll wait and wait and wait for a very long time into the right nonstandard world, in which my TM will output your password.

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Woodin's universal algorithm, first form

Theorem (Woodin)

There is a Turing machine p with the following properties.

- 1 *p provably enumerates a finite set.*
- 2 *Running p inside \mathbb{N} never produces any output, i.e. it enumerates the empty set.*
- 3 *But, for any finite sequence s of natural numbers there is a nonstandard model of arithmetic M so that running p in M enumerates exactly s .*

Woodin's algorithm

(This construction for Woodin's theorem is due to Joel David Hamkins.)

The Turing machine p :

- p searches through the proofs of Peano arithmetic, looking at the theorems they prove.
- p is looking for a theorem of the form “ p does **not** enumerate the sequence s ”, for s some nonempty sequence of numbers.
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Claim: Run in \mathbb{N} , p outputs the empty sequence.

Otherwise p outputs some s . So Peano arithmetic proves this true Σ_1 statement. But by the definition of p , this also means that Peano arithmetic proves that p does not output s . This would mean that Peano arithmetic is inconsistent. But it's not.

Checking the extension property

Definition (The Turing machine p)

- p searches through the proofs of Peano arithmetic, looking for a theorem of the form “ p does **not** enumerate the sequence s ”, for s some nonempty sequence of numbers.
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Otherwise “ p does not output s ” is a theorem of Peano arithmetic. But then running p in \mathbb{N} would output a nonempty sequence. We just saw that is not the case.

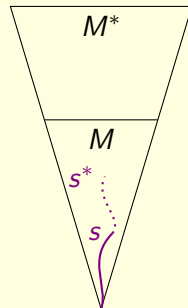
So by Gödel’s completeness theorem we can find a model of arithmetic in which p outputs s . □

Woodin's universal algorithm, general form

Theorem (Woodin)

There is a Turing machine p with the following properties.

- 1 *p provably enumerates a finite set.*
- 2 *Running p inside \mathbb{N} never produces any output, i.e. it enumerates the empty set.*
- 3 *Suppose M a nonstandard model of arithmetic in which p enumerates s and that s^* is a sequence in M which extends s . Then we can further end-extend M to a larger model of arithmetic M^* in which p enumerates s^* .*

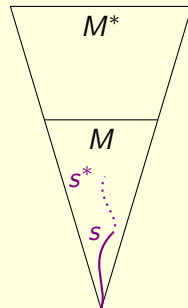


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Proof idea: Do a similar argument, but internally to M . Need some more technical lemmata to check that the argument can be [arithmetized](#).

What about the fourth pillar of mathematical logic?

Traditionally mathematical logic has been divided into four pillars: computability theory, proof theory, model theory, and set theory.*

So far in this talk we've seen the first three of these. What about the fourth?

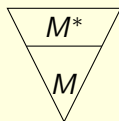
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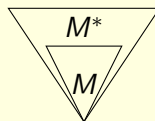
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Analogous to Woodin's universal algorithm in arithmetic there are results in set theory, where there's more than one sensible notion of extension to consider. In set theory: Hamkins and Woodin construct a universal sequence for [rank-extensions](#), and Hamkins and Williams construct a universal sequence for [end-extensions](#).



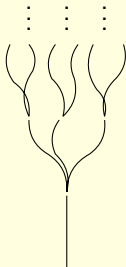
rank-extension



end-extension

* (Recent work e.g. in [categorical logic undermines this taxonomy](#).)

Arithmetic potentialism



- Imagine climbing through the tree of nonstandard models of arithmetic, continually end-extending.
- This **potentialist system** gives a nonstandard twist on Aristotle's notion of the **potential infinite**.
- There is a natural interpretation in **modal logic**—extend ordinary logic by adding two new operators
 - $\Box\varphi$ means φ is **necessarily true**—true in all extensions.
 - $\Diamond\varphi$ means φ is **possibly true**—true in some extension.
- (Hamkins) Can use Woodin's universal algorithm to calculate which modal assertions are valid (true in any world under any substitution of variables).
- (Hamkins) There are models of arithmetic which satisfy the **maximality principle**—if $\Diamond\Box\varphi$ then φ .

Thank you for listening!