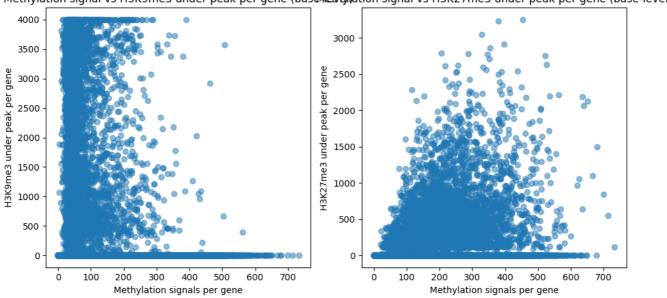
# Results

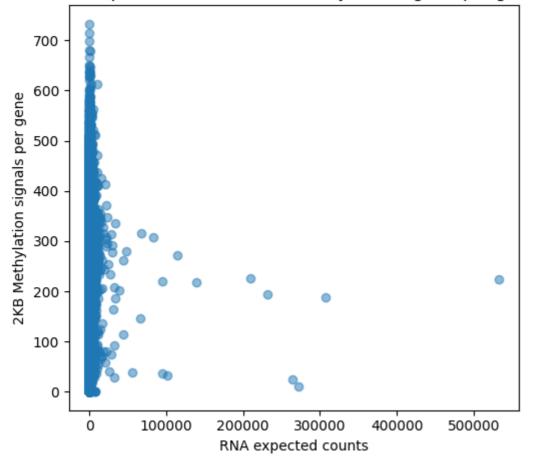
# **Exploratory Analysis**

- Plotting expected counts, DNAm and histone peaks against one another
- Adjusted gene annotations to +-2KB around TSS

Methylation signal vs H3K9me3 under peak per gene (base/let/hyllation signal vs H3K27me3 under peak per gene (base-level)



# RNA expected counts vs 2KB Methylation signals per gene



# Initial modelling

Utilising a 58780, 4000, 3 matrix created from the data

- 58780 genes
- 4000 nucleotides per gene (+- 2KB around TSS)
- 3 modification types (DNAm, K9, K27)

This 3D matrix is then converted into 58780 1-dimensional 12000 shape arrays (to be fed to ML models as single instances)

• 12000 is derived by concatenating the three 4000 long modification data into a single row of 12000

Stage 1: Use regression (tree-based) models to predict expected count (using mean squared error)

| Model Type                  | MSE            | RMSE |
|-----------------------------|----------------|------|
| Random Forest               | 6106315.026    |      |
| XGBoost                     | 8576452.711    |      |
| Gradient Boosting Regressor | 6577097.108    |      |
| LightGBM Regressor          | 5931371.431    |      |
| CatBoost Regressor          | 6610849.514    |      |
| Support Vector Regressor    | 5542019.612    |      |
| AdaBoost Regressor          | 1527278184.653 |      |

#### Comments:

- SVR saw best performance (potentially due to high-dimensional data)
- Followed by LightGBM (good for large datasets)
- Followed by Random Forest

Stage 2: Use classification (tree-based) models to predict 0 expected count or non-0 expected count (using precision, recall and F1)

| Model Type               | Accuracy | Precision | Recall | F1     |
|--------------------------|----------|-----------|--------|--------|
| Random Forest Classifier | 0.7509   | 0.6692    | 0.6569 | 0.6630 |
| XGBoost                  | 0.7574   | 0.7261    | 0.5616 | 0.6333 |
| LightGBM                 | 0.7666   | 0.7317    | 0.5912 | 0.6540 |
| Support Vector Machine   | 0.7726   | 0.7798    | 0.5442 | 0.6411 |

## Comments:

 More balanced prediciton of positive and negative classes for RF, followed by LightGBM with the second highest F1 score

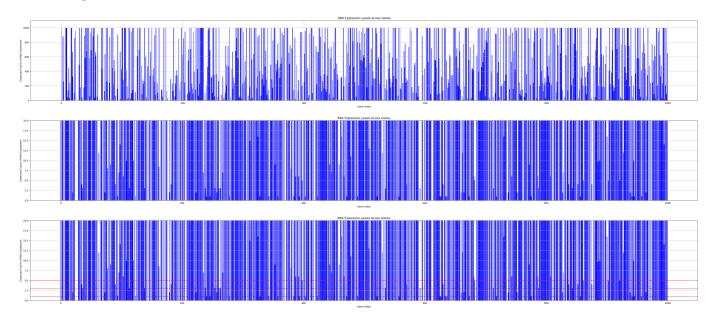
• SVM saw the highest accuracy, with decent ability to predict positive class (non-silent genes) but poorer ability to predict negative class

- The same applies for XGBoost and LightGBM (although LightGBM is slightly better at predicting the negative class)
- potentially an ensemble model could see more accurate results?

## Next steps:

- no need to standardise counts for regressor (would be more relevant if comparing between genes making the assumption that measurement of noise is fair across the dataset)
- Would be helpful to see plot of expression against genome (expected count on one axis)
- Would be good to try a different threshold (slightly higher than 0 use above plot to see where peaks are will ultimately be an arbitrary choice but we assume that some thresholds would be better than others)

# Plotting expression (to select a threshold for classification)



- selected 1000 genes and plotted expected counts against the index of that gene (and altered the ceiling to allow for a closer look at the lower level expression without altering the appearance of the visualisation, such as through the use of log)
- Will try thresholds 1, 3, and 5 and compare performance on the above default classification models

# Comparison of thresholds

Threshold: 1

| Model Type               | Accuracy | Precision | Recall | F1     |
|--------------------------|----------|-----------|--------|--------|
| Random Forest Classifier | 0.7815   | 0.6905    | 0.6229 | 0.6549 |
| XGBoost                  | 0.7774   | 0.7165    | 0.5482 | 0.6212 |
| LightGBM                 | 0.7908   | 0.7297    | 0.5904 | 0.6527 |
| Support Vector Machine   | 0.7928   | 0.7617    | 0.5496 | 0.6385 |

#### Threshold: 3

| Model Type               | Accuracy | Precision | Recall | F1     |
|--------------------------|----------|-----------|--------|--------|
| Random Forest Classifier | 0.8053   | 0.7232    | 0.5498 | 0.6247 |
| XGBoost                  | 0.8015   | 0.7117    | 0.5492 | 0.6200 |
| LightGBM                 | 0.8095   | 0.7215    | 0.5763 | 0.6408 |
| Support Vector Machine   | 0.8119   | 0.7400    | 0.5579 | 0.6362 |

### Threshold: 5

| Model Type               | Accuracy | Precision | Recall | F1     |
|--------------------------|----------|-----------|--------|--------|
| Random Forest Classifier | 0.8037   | 0.7203    | 0.4767 | 0.5737 |
| XGBoost                  | 0.8093   | 0.7077    | 0.5313 | 0.6069 |
| LightGBM                 | 0.8187   | 0.7171    | 0.5712 | 0.6359 |
| Support Vector Machine   | 0.8214   | 0.7307    | 0.5629 | 0.6359 |

#### Notes:

- Generally, it seems that increasing the threshold assists the model in predicting the positive class (non-silent) and makes it harder to predict the negative class (silent genes). Whilst accuracy and precision increase, recall decreases. Using the F1 harmonic mean may be more useful as a indicator of success considering our goals of classifying silent genes (as opposed to accuracy).
- Max F1 reached = RF classifier with a threshold of 0 (0.66)
- As we increase the threshold, we see a drop across all models in recall and F1, however, the drop for the LightGBM model is more slight than the others
- SVM performs best in classifying positive class but poorly in classifying negative class

It should be noted that the size of the classes is unbalanced with a greater number of silent genes than non-silent. The genes with low expression counts are close to our threshold and difficult to classify. To explore the impact of this, we will undersample the silent (negative) class.

## Class sizes:

| Threshold | Silent (negative class) | Non-silent (positive class) |
|-----------|-------------------------|-----------------------------|
| 0         | 36642                   | 22138                       |
| 1         | 39025                   | 19755                       |
| 3         | 41265                   | 17515                       |
| 5         | 42364                   | 16416                       |

Ensemble Model (SVM + LGBM):

 Was curious to see the impact of combining such models (SVM best at classifying positive class, LGBM most stable when classifying LGBM)

- Ensemble model combining lightgbm and SVM (with soft voting)
- threshold = 0
- Unbalanced classes
- Based on the below results, the combination of these models didn't provide a significant increase to F1 (as compared to the standalone LGBM or SVM F1 values)

| Accuracy | Precision | Recall | F1     |
|----------|-----------|--------|--------|
| 0.7734   | 0.7567    | 0.5787 | 0.6558 |

# Repeating models with balanced classes

Due to the imbalance between silent and non-silent genes, we will repeat the classification task using a threshold of 0 and undersampling the silent class. Both classes are now the same sized (matched to the size of the smallest class = 22138 & 22138)

| Model Type               | Accuracy | Precision | Recall | F1     |
|--------------------------|----------|-----------|--------|--------|
| Random Forest Classifier | 0.7185   | 0.7164    | 0.7260 | 0.7211 |
| XGBoost                  | 0.7243   | 0.7617    | 0.6550 | 0.7043 |
| LightGBM                 | 0.7293   | 0.7524    | 0.6858 | 0.7176 |
| Support Vector Machine   | 0.7377   | 0.8029    | 0.6320 | 0.7072 |

### Notes:

- F1 scores have increased considerably (around 5% each)
- Accuracy has decreased but was likely inflated previously due to the imbalance of class sizes
- Precision and recall have both increased
- Using the same number of rows for silenced and unsilenced
- SVM best for precision but still not great at classifying silent genes

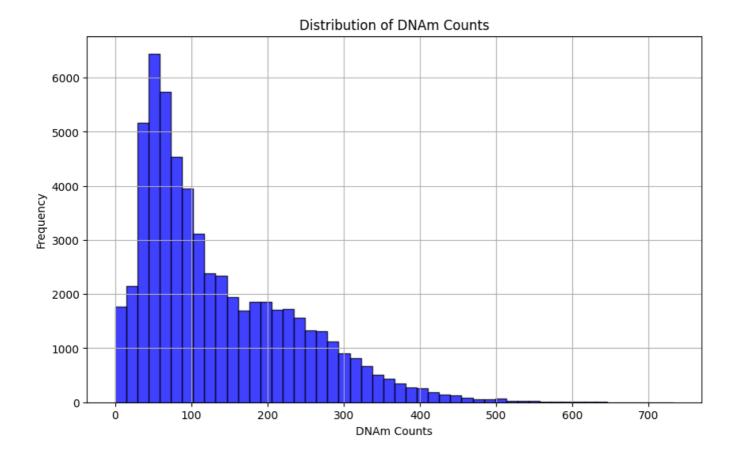
# Modelling with DNAm as output

To explore the interplay between histone modifications, expression and DNA methylation, we will model using expression and histone modifications as our input and DNA methylation as our output. To begin with more simple and interpretable modelling, we will start with similar ML models.

NOTE: predicting DNAm is not as straightforward as expression (with a count value that can be classified as silent or non-silent). To begin, I attempted a multioutput model (predicting binary output for all 4000 positions) but am unsure whether this is appropriate. Regardless, I will be meeting with HPC team to assist with running a script using distributed computation.

For a simpler approach, we predict the count of methylated bases (x/4000).

The distribution of the DNAm counts is shown below:

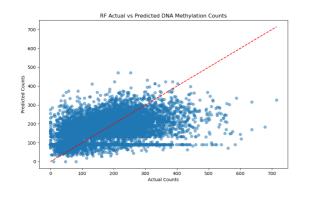


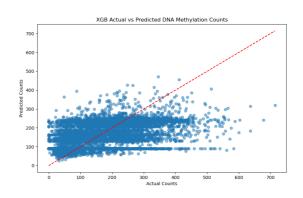
# Regression Results

| Model         | MAE     | MSE       | R^2    |
|---------------|---------|-----------|--------|
| Random Forest | 62.3022 | 7097.7616 | 0.3122 |
| XGBoost       | 60.4960 | 6689.7580 | 0.3518 |
| LightGBM      | 60.1549 | 6581.1190 | 0.3623 |
| SVM           | 68.9159 | 9374.4317 | 0.0916 |

To assist in the interpretation of these results, actual vs predicted graphs are provided below:

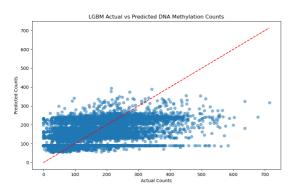
## Random Forest XGBoost

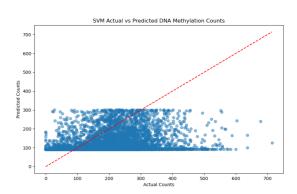




LightGBM SVM

LightGBM SVM





### Notes:

- LightGBM sees lowest error
- · Somewhat difficult to interpret error
- Distribution of the DNAm counts is skewed should I be considering transforming count by taking the log?
- Based on actual vs predicted plots, seems to sit consistently around 100 300 for predicted values. Could this be improved with transformations?

# Exploring the use of neural networks

Simple (single) fully connected layer

Using a single fully connected linear layer and cross entropy loss. Using:

- 0 threshold
- Linear layer
- Balanced and unbalanced class (as listed)
- Criterion = nn.CrossEntropyLoss()
- Optimizer = optim.Adam(model.parameters(), Ir=0.001)
- Epochs = 10
- batch norm for regularisation (only in stated table)

## Balanced classes

| Accuracy | Precision | Recall | F1     |
|----------|-----------|--------|--------|
| 0.5854   | 0.5528    | 0.9050 | 0.6863 |

## Unbalanced classes

| Accuracy | Precision | Recall | F1     |
|----------|-----------|--------|--------|
| 0.6857   | 0.5798    | 0.5720 | 0.5759 |

| Accuracy | Precision | Recall | F1     |
|----------|-----------|--------|--------|
| 0.7245   | 0.7622    | 0.6547 | 0.7044 |

### Notes:

- Achieving very high recall with balanced classes (great at classifying silent genes but poorer performance classifying non-silent genes)
  - o Opposite effect of using the simpler ML models
- Including batch normalisation balances precision and recall (+ highest F1 score)
- F1 score is comparable to the balanced simpler ML models (RF, LGBM, XGB, SVM) and is only utilitising a single linear layer
  - o Promising results considering its simplicity