

# Nonlinear Modal Analysis for Contact Problems

ICTAM MONTREAL 2016

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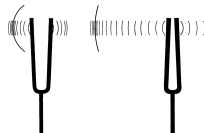
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March 10, 2016



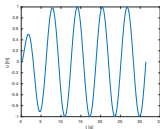
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# What is a mode of a dynamic system?

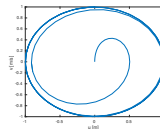


**Figure:** Rotor dynamics under periodic excitation.

**Figure:** Tuning fork sympathy



**Figure:** Displacement trajectory indicates periodicity



**Figure:** Phase plane. The trajectory merges into a closed orbit



## Modal analysis for linear systems

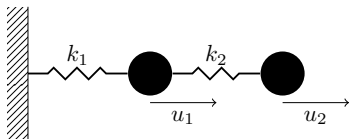


Figure: Linear mass-spring system

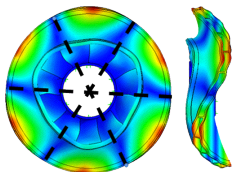


Figure: The amplitude field of standing waves in a shell

Linear modal analysis using finite element method

$$\begin{cases} \ddot{u}_1 = -k_1 u_1 + k_2(u_2 - u_1) \\ \ddot{u}_2 = -k_2(u_2 - u_1) \end{cases} \quad (1)$$

Given  $k_1 = k_2 = 1$

$$\begin{pmatrix} \ddot{u}_1 \\ \ddot{u}_2 \end{pmatrix} + \begin{bmatrix} 2 & -1 \\ -1 & 1 \end{bmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad (2)$$

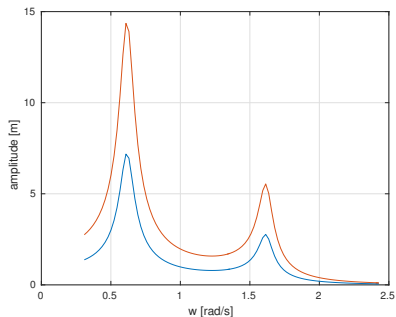
Get analytical solutions by Laplace transform

$$(s^2 \mathbf{I} + \mathbf{K}) \mathbf{u} = \mathbf{0} \quad (3)$$

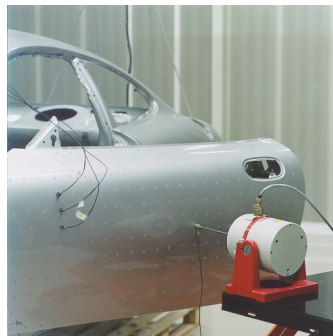
Solve the linear eigenvalue problem by plugging in  $s = iw_n$ , yielding the natural frequency where resonance happens

$$w_n = \sqrt{\text{eig}(\mathbf{K})} = 0.618, 1.618 \quad (4)$$

# Superposition principle of linear system



**Figure:** Amplitude - harmonic excitation frequency



**Figure:** Frequency response test rig based on superposition principle.

Superposition principle of linear systems

# Smooth nonlinear system

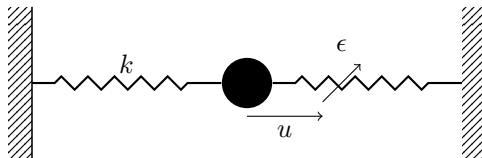


Figure: Duffing oscillator due to hardening effect

$$\ddot{u} + \delta \dot{u} + (k + \epsilon u^2)u = f \cos(\omega t) \quad (5)$$

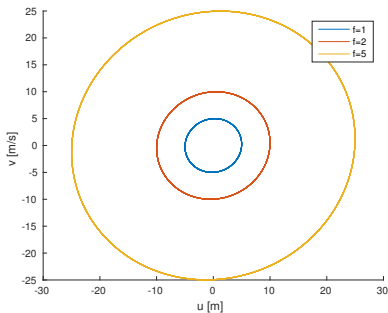
$$w_n = \sqrt{\text{eig}(k + \epsilon u^2)} = ? \quad (6)$$

The natural frequency CANNOT be calculated by solving the eigenvalue problem due to the nonlinearity.

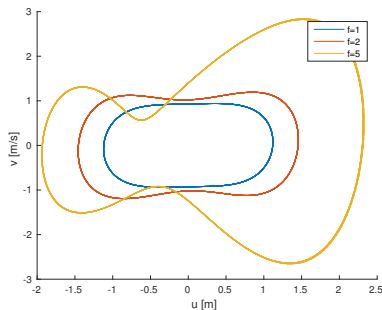
## Mode of nonlinear system



The steady state solution is calculated by time-stepping method e.g. Newmark scheme. ode45 in Matlab.



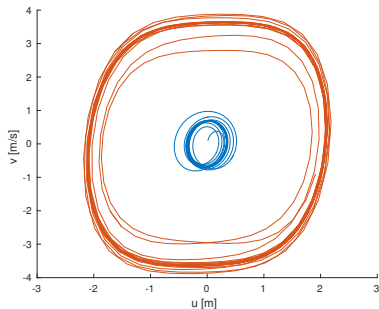
**Figure:** Closed orbit of  $\ddot{u} + 0.2\dot{u} + u = f \cos(t)$  in phase plane



**Figure:** Closed orbit of  $\ddot{u} + 0.2\dot{u} + u + u^3 = f \cos(t)$  in phase plane

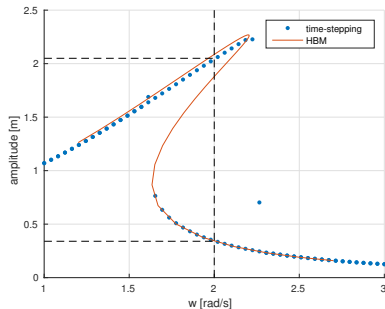


# Frequency response and bifurcations



**Figure:** At  $w = 2 \text{ rad/s}$ , there are two steady orbits.

The trajectories converge to two distinct periodic orbits.



**Figure:** Frequency response of  $\ddot{u} + 0.2\dot{u} + u + u^3 = \cos(wt)$ .

The harmonic balance method can be used for solving unstable solutions.



## Harmonic balance method for nonlinear system

For example, for second-order nonlinear dynamic equation

$$f(\ddot{x}, x, t) = 0 \quad (7)$$

Approximate the periodic solution in the truncated Fourier series

$$x(t) \approx \sum_{i=-M}^M e^{i\omega t} \bar{x}_i = \phi(t) \bar{\mathbf{x}} \quad (8)$$

Plug it into the system equation, and use Galerkin method to preserve the equilibrium of the equation in the truncated base space.

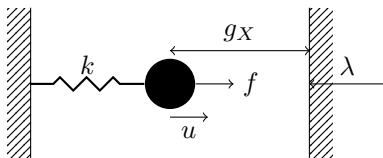
$$\mathbf{F}(\bar{\mathbf{x}}) = \int_0^T \phi^\top(t) f(\ddot{\phi}(t) \bar{\mathbf{x}}, \phi(t) \bar{\mathbf{x}}, t) dt = \mathbf{0} \quad (9)$$

Time and differential term is not included in the nonlinear equation which can be solved by Newton iteration method.

$$\bar{\mathbf{x}} \leftarrow \bar{\mathbf{x}} - (\nabla \mathbf{F}(\bar{\mathbf{x}}))^{-1} \mathbf{F}(\bar{\mathbf{x}}) \quad (10)$$



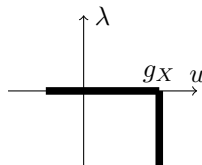
# Contact condition



**Figure:** Contact problem of a mass-spring system.  $g_x$  is the initial gap.  $\lambda$  is the contact force

$$\ddot{u} + ku = \lambda(u) + f \quad (11)$$

$$\begin{cases} u - g_x \leq 0 \\ \lambda \leq 0 \\ (u - g_x)\lambda = 0 \end{cases} \quad (12)$$

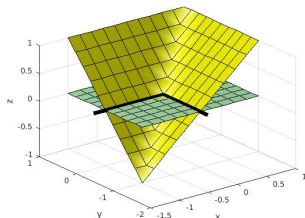


**Figure:** The contact force as a non-differentiable function of the gap.

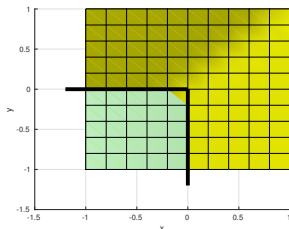
$\nabla \lambda(u)$  does not exist at  $u = g_x$ . Newton method does not work!



# Auxiliary surface and Semismooth Newton method



**Figure:** Semismooth surface  
 $C(u - g_x, \lambda) = \lambda + \max\{0, c(u - g_x) - \lambda\} = 0$ .



**Figure:** The intersection (—) of the surface  $C(u - g_x, \lambda)$  and the plane  $z = 0$  is the non-differentiable function  $\lambda(u)$ .

Attributes	Smooth Newton method	Semismooth Newton method
Function $f(x)$	smooth	semi-smooth
Iteration	$\Delta x = -(\nabla f(x))^{-1} F(x)$	$\Delta x = -(\partial f(x))^{-1} f(x)$
Jacobian matrix	differential $\nabla f(x)$	sub-differential $\partial f(x)$
Convergence speed	Q-quadratic	Q-linear

## Harmonic balance method for contact problem



The reformulated system equation of a contact problem

$$\begin{cases} \mathcal{R}(u, \lambda) = \ddot{u} + u - \lambda - \cos(wt) = 0 \\ \mathcal{C}(u, \lambda) = \lambda + \max\{0, c(u - g_x) - \lambda\} = 0 \end{cases} \quad (13)$$

Plugging in with the approximated solutions

$$\begin{cases} u(t) \approx \sum_{i=1}^M \phi_i(t) \bar{u}_i = \phi(t) \bar{\mathbf{u}} \\ \lambda(t) \approx \sum_{i=1}^M \phi_i(t) \bar{\lambda}_i = \phi(t) \bar{\boldsymbol{\lambda}} \end{cases} \quad (14)$$

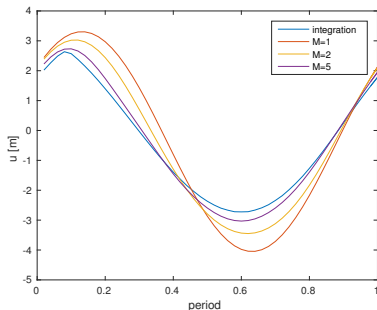
yields nonlinear equations w.r.t.  $\bar{\mathbf{u}}$ ,  $\bar{\boldsymbol{\lambda}}$  and  $w$

$$\begin{cases} \int_0^T \phi(t)^\top \mathcal{R}(\phi(t) \bar{\mathbf{u}}, \phi(t) \bar{\boldsymbol{\lambda}}) dt = 0 \\ \int_0^T \phi(t)^\top \mathcal{C}(\phi(t) \bar{\mathbf{u}}, \phi(t) \bar{\boldsymbol{\lambda}}) dt = 0 \end{cases} \quad (15)$$

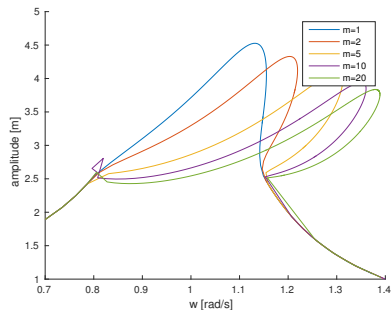
The second nonlinear equation is semismooth which can be solved by semismooth Newton method.



# Compare of time-stepping method and harmonic balance method.



**Figure:** Compare of solutions of time-stepping method and HBM at  $w = 1$  rad/s.



**Figure:** Energy-frequency solved by harmonic balance method with different number of harmonics.

$$\begin{cases} \ddot{u} + 0.2\dot{u} + u = \lambda + \cos(wt) \\ C\{u - 2.5, \lambda\} = 0 \end{cases} \quad (16)$$

## Conclusion



- ▶ **Linear mode** can be solved by solving eigenvalue problem.
- ▶ **Smooth nonlinear mode** can be solved by time-stepping method and harmonic balance method.
- ▶ **Contact system** is non-differentiable. We used the auxiliary equation to reformulate it as an augmented semismooth system before applying the semismooth Newton method.
- ▶ The **nonlinear mode** of the reformulated contact system can be solved by the time-stepping method or the harmonic balance method.