

# Efficient State Estimation of Discrete-Timed Automata

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**International Conference on Formal Engineering Methods**

December 4, 2024

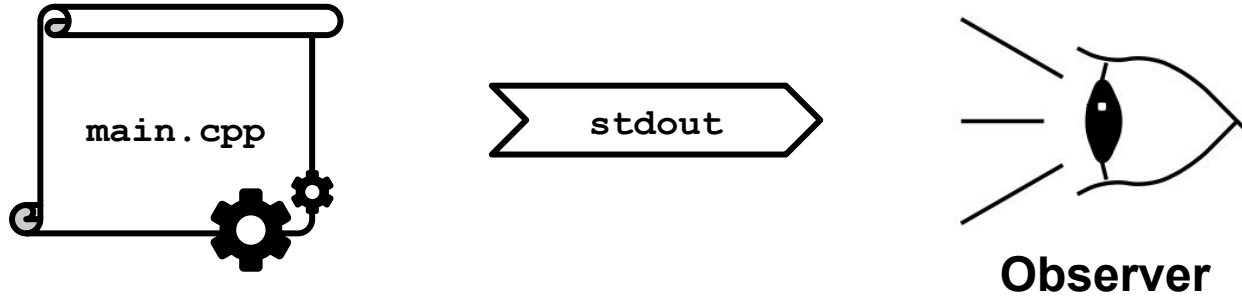
Julian Klein, Paul Kogel, Sabine Glesner

SOFTWARE AND  
EMBEDDED SYSTEMS  
ENGINEERING



# Introduction: State Estimation

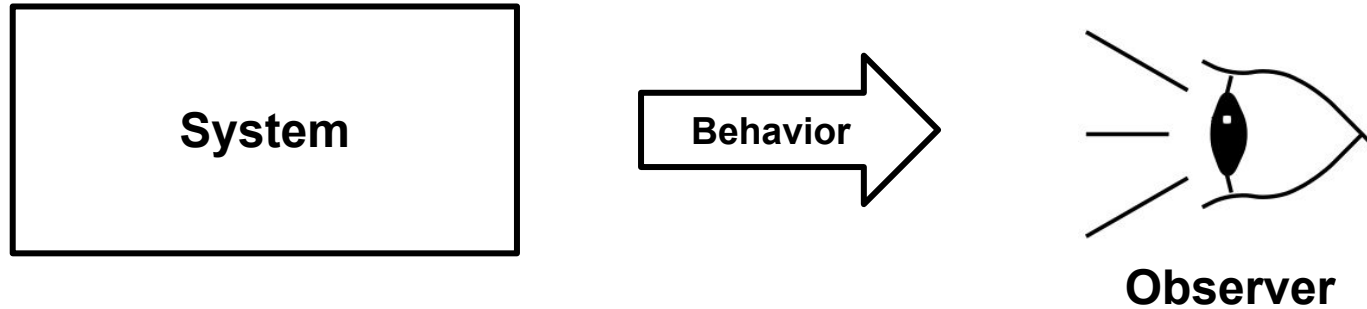
- **State estimation:** which state could currently be active?



# Introduction: State Estimation

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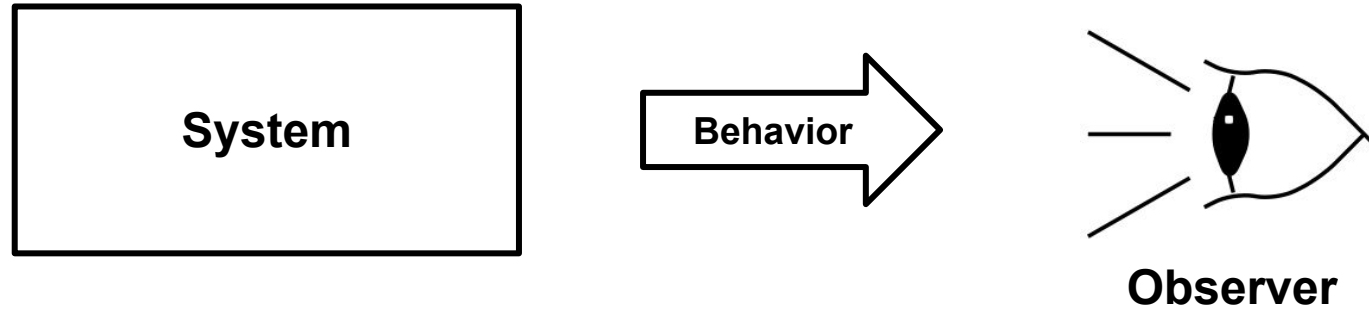
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# Introduction: State Estimation

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- **State estimation:** which state could currently be active?



- **Applications** in safety, security, fault diagnosis, ...
  - **Timed models** required to model real-world systems
- State estimation for discrete-**timed automata** (TA)

# Literature

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**Continuous time model:** state estimation is undecidable (**Baier et al. 2009**)

**Discrete time model:** existing approaches consider

- Weighted automata (**Lai et al. 2020, Li et al. 2021**)
- Automata over monoids (**Zhang 2022**)
- Max-plus automata (**Lai et al. 2019**)
- Tick automata (**Klein et al. 2024**)

} **Finite automata (FA)**

# Literature

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} **Finite automata (FA)**

## Problem

- Discrete states always considered **individually**
  - State estimation generally **scales exponential** with the number of states
- **Limited scalability** for realistic systems

# Problem Statement

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## Goal:

**Efficient state estimation method for (discrete) timed automata**

## Key idea:

- Group states in equivalence classes (when possible)
- Evaluate only one representative state for each class

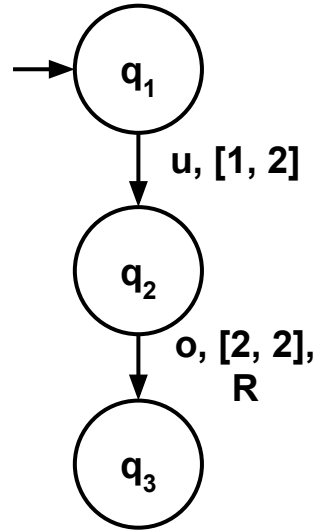
# Background



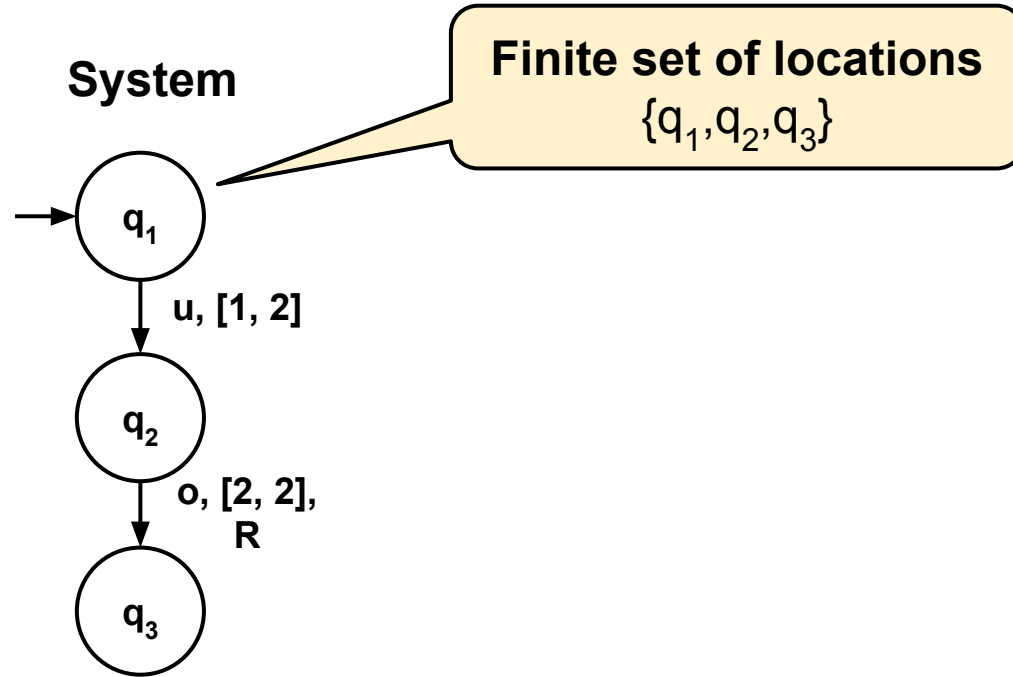
# Timed Automata

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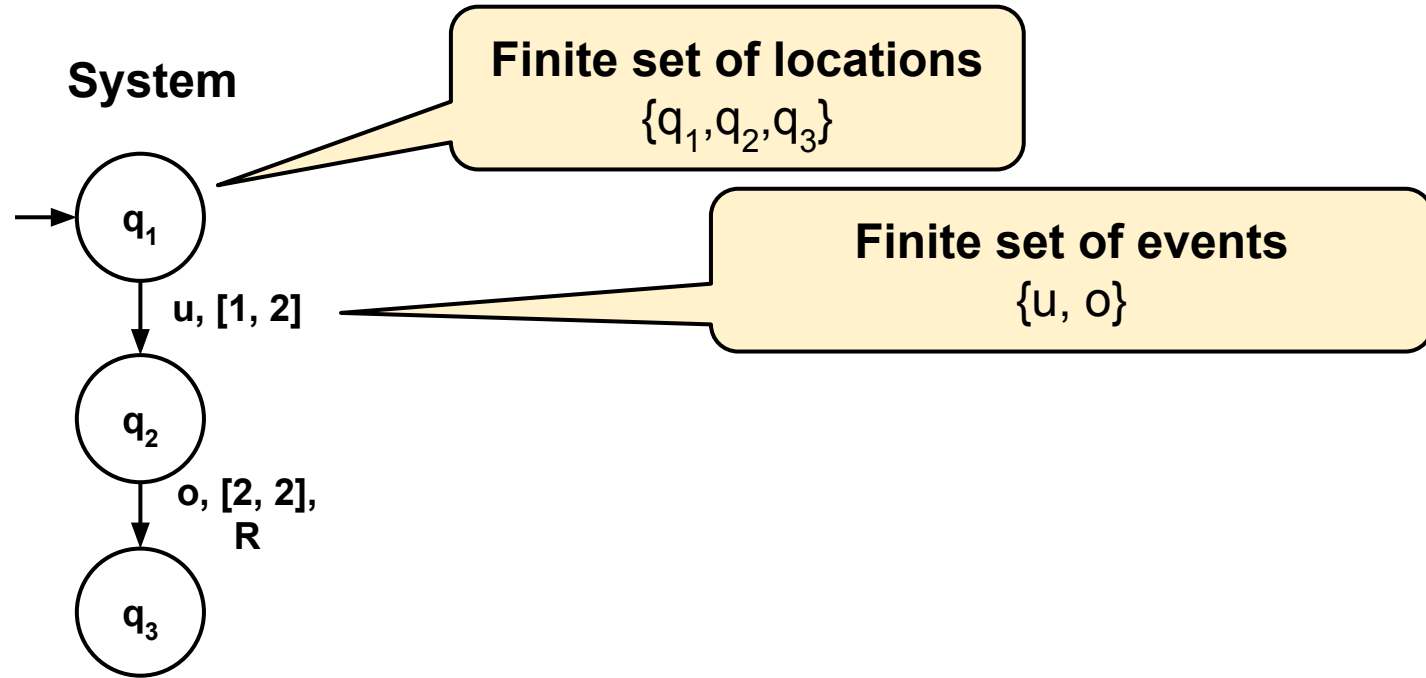
System



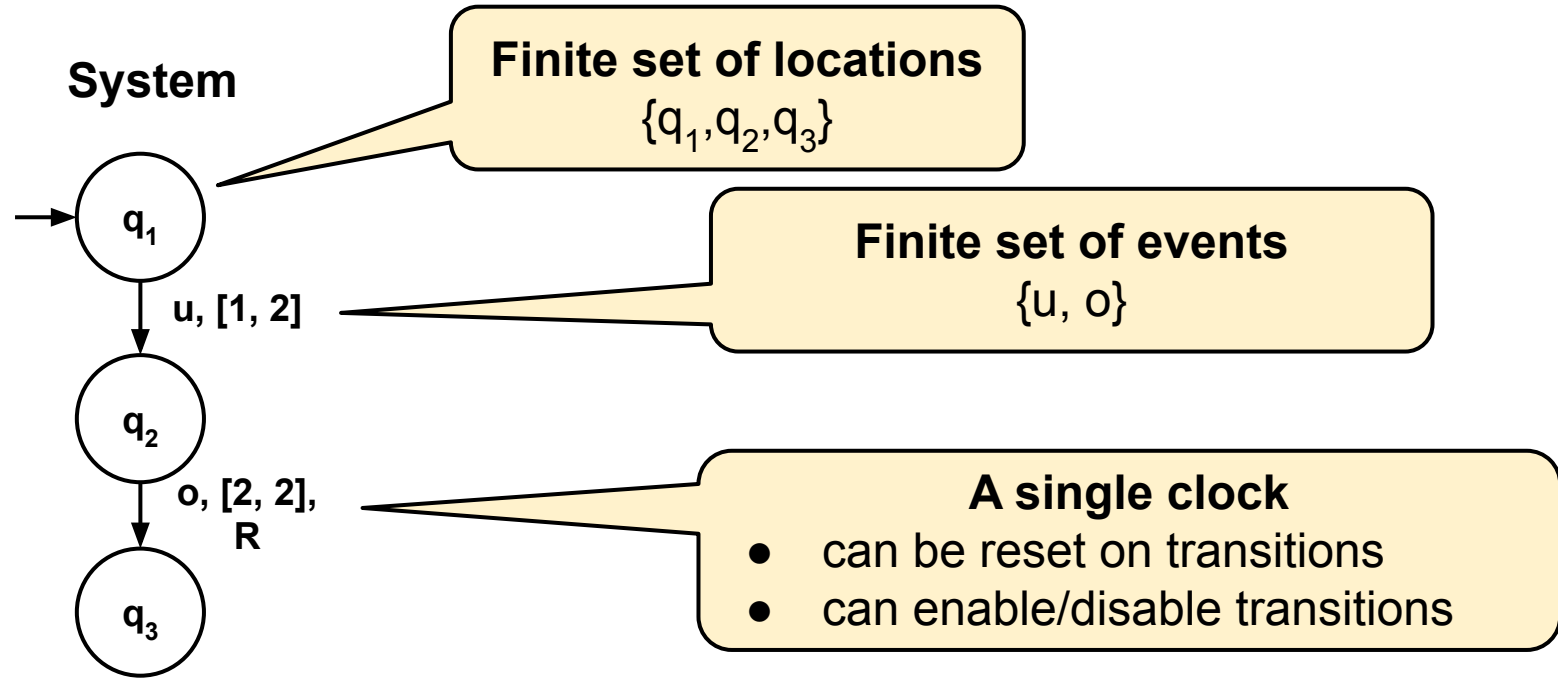
# Timed Automata



# Timed Automata



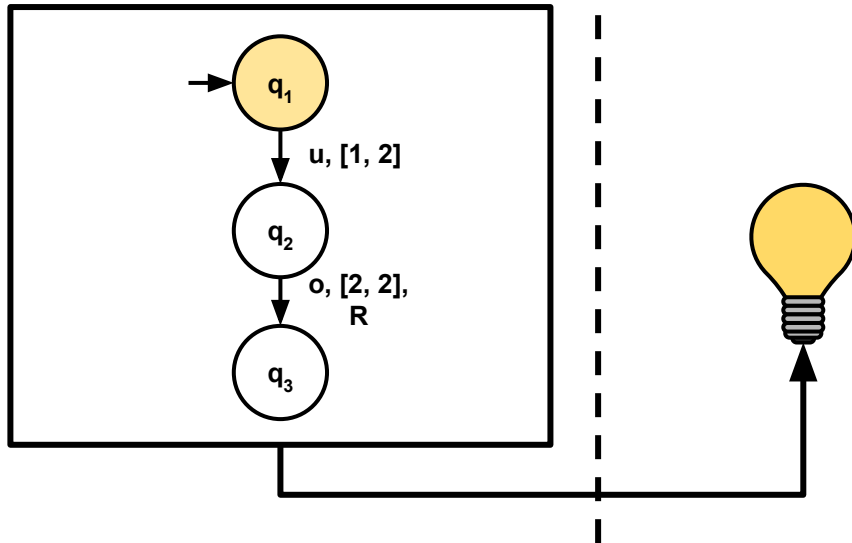
# Timed Automata



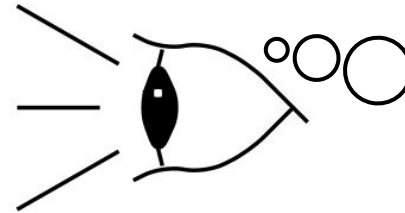
# (Online) State Estimation

- Assume **u** is **unobservable** and **o** is **observable**

**System**

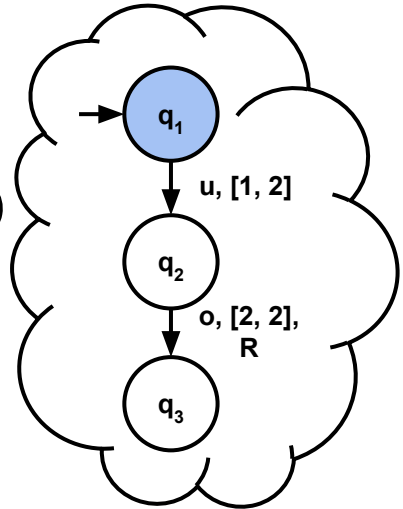


**Observer**



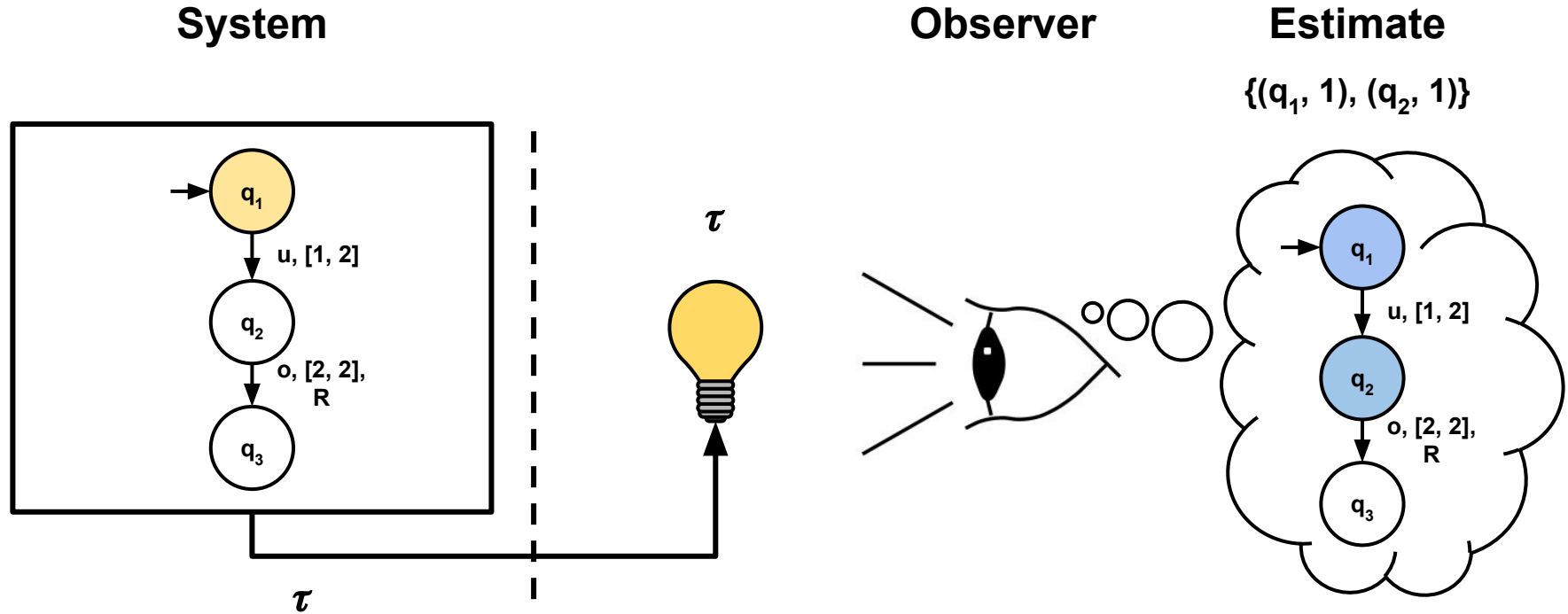
**Estimate**

$\{(q_1, 0)\}$



# (Online) State Estimation

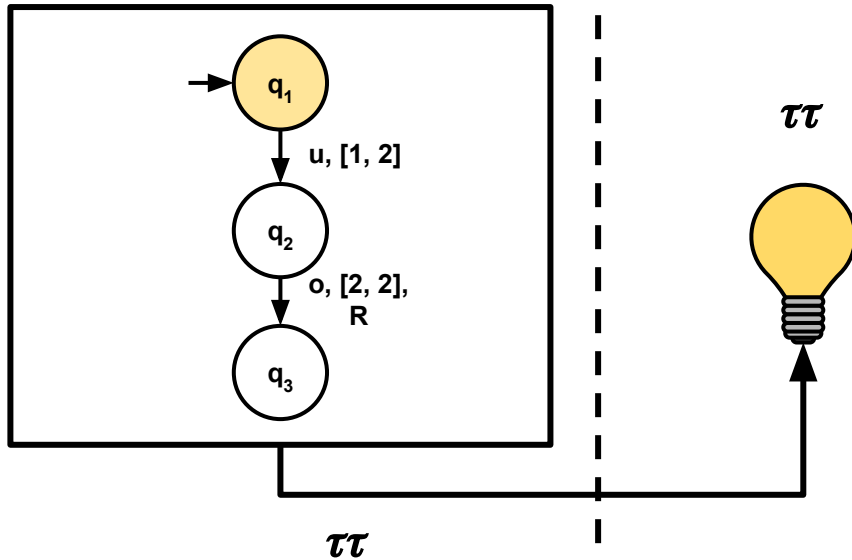
- Assume  $\mathbf{u}$  is unobservable and  $\mathbf{o}$  is observable



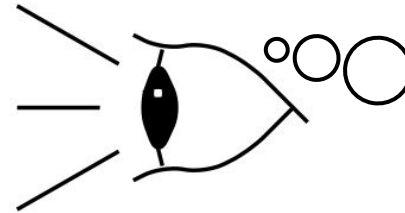
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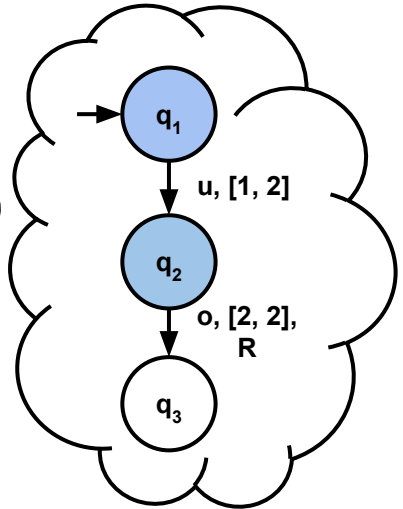


Observer



Estimate

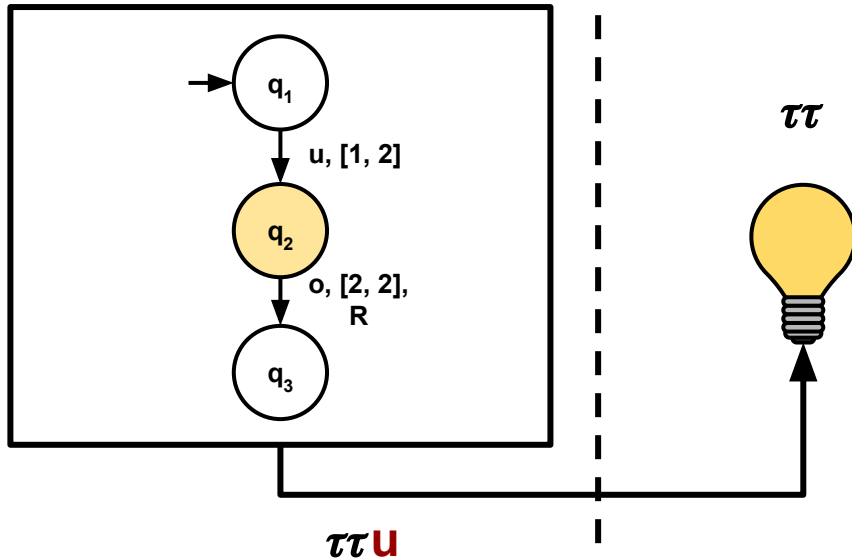
$\{(q_1, 2), (q_2, 2)\}$



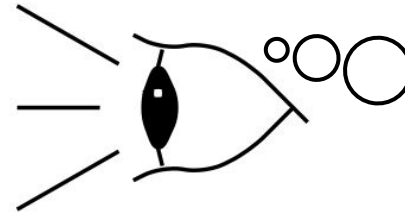
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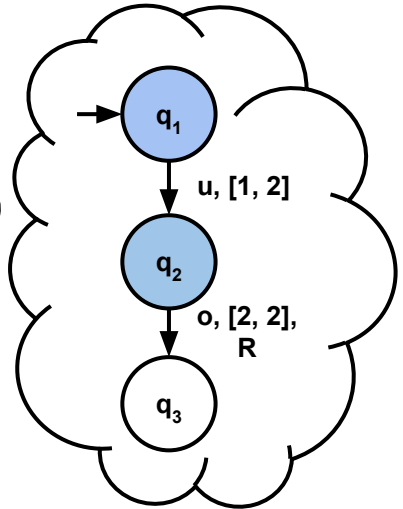


Observer



Estimate

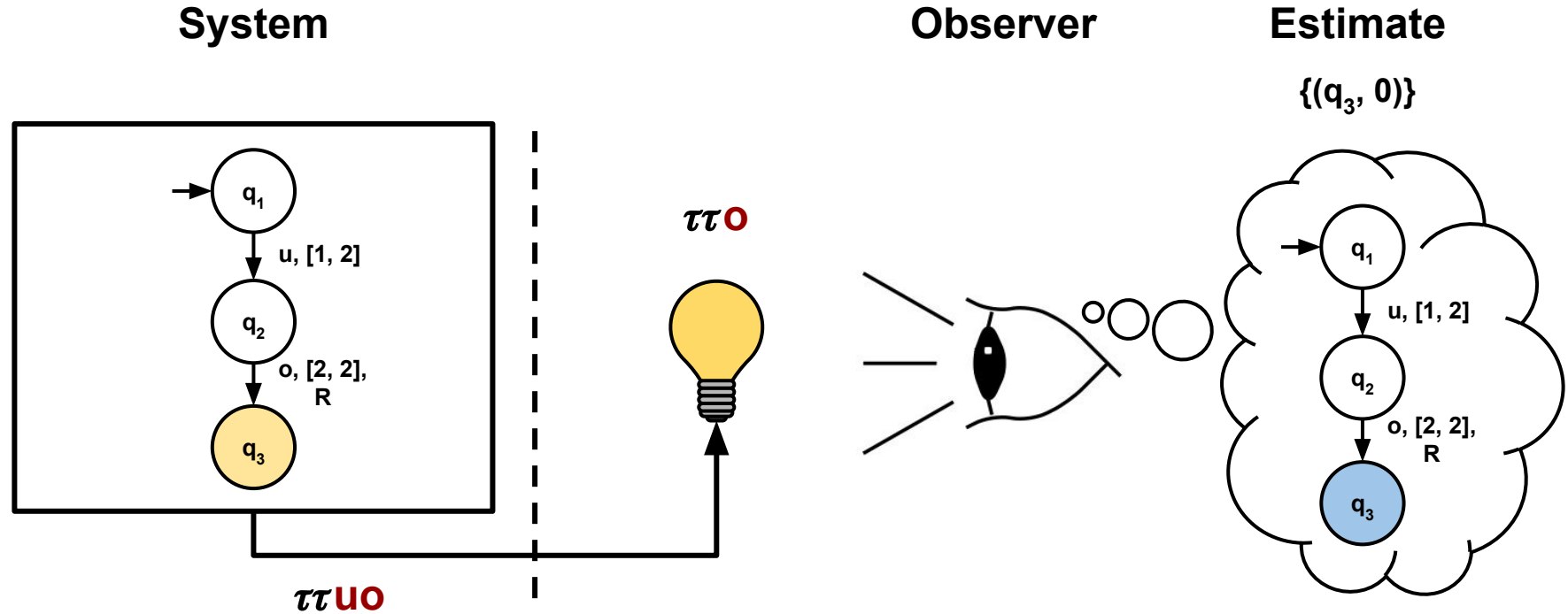
$\{(q_1, 2), (q_2, 2)\}$





# (Online) State Estimation

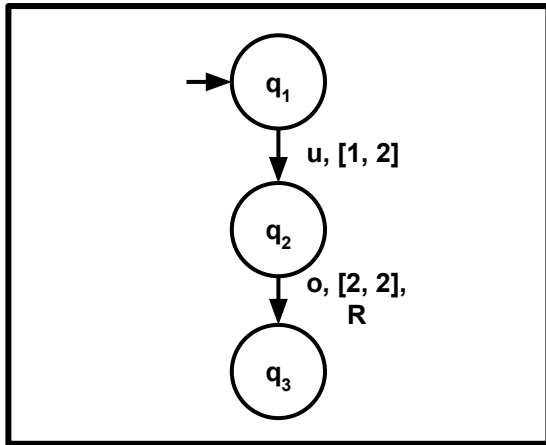
- Assume  $\mathbf{u}$  is unobservable and  $\mathbf{o}$  is observable



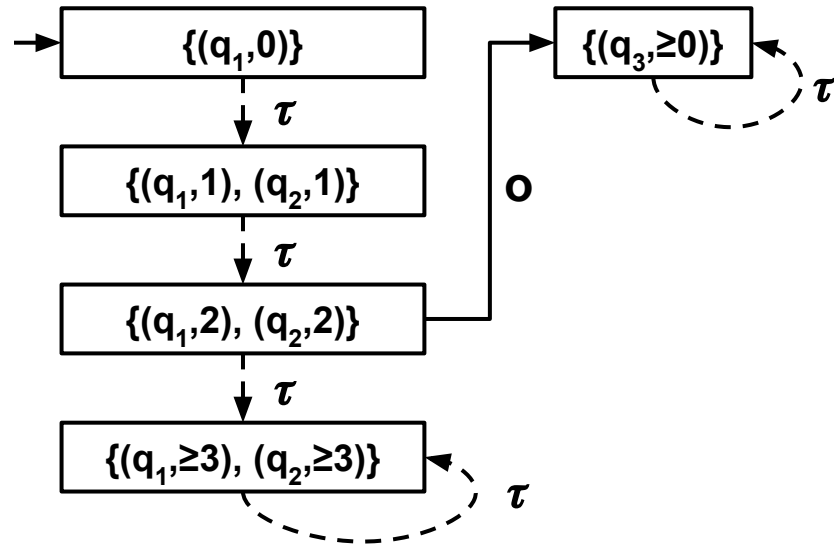
# (Offline) State Estimation

- Assume **u** is **unobservable** and **o** is **observable**

System



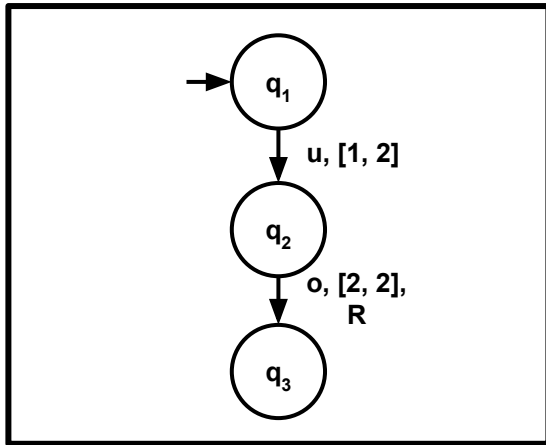
Estimator



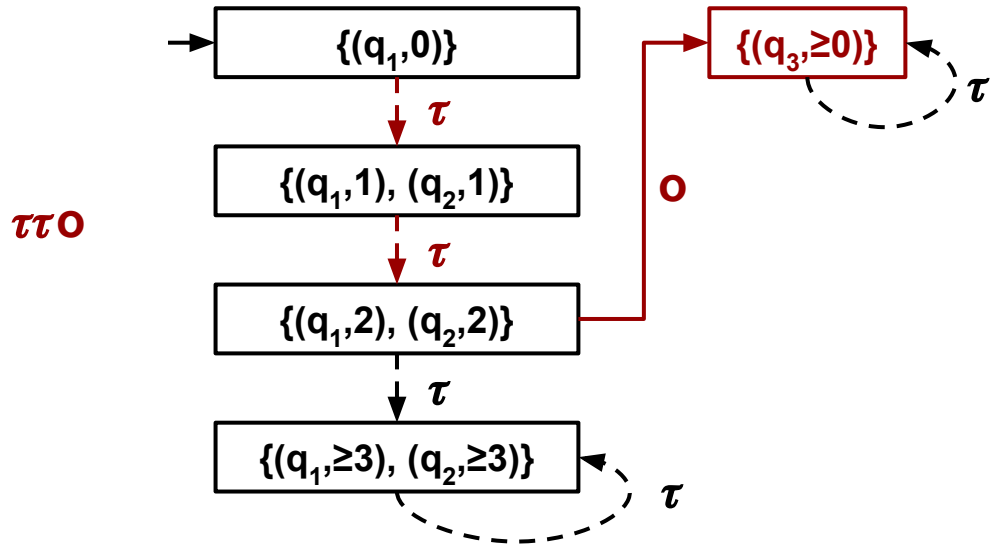
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- Assume **u** is **unobservable** and **o** is **observable**

System



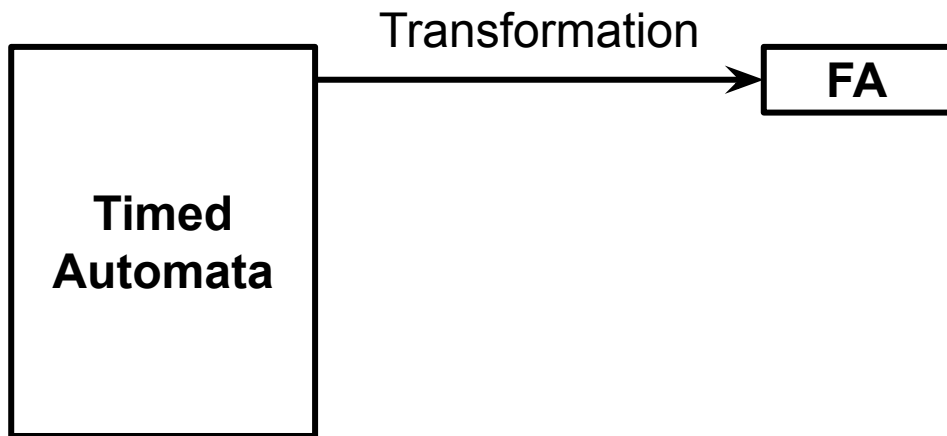
Estimator



# FA-Based State Estimation

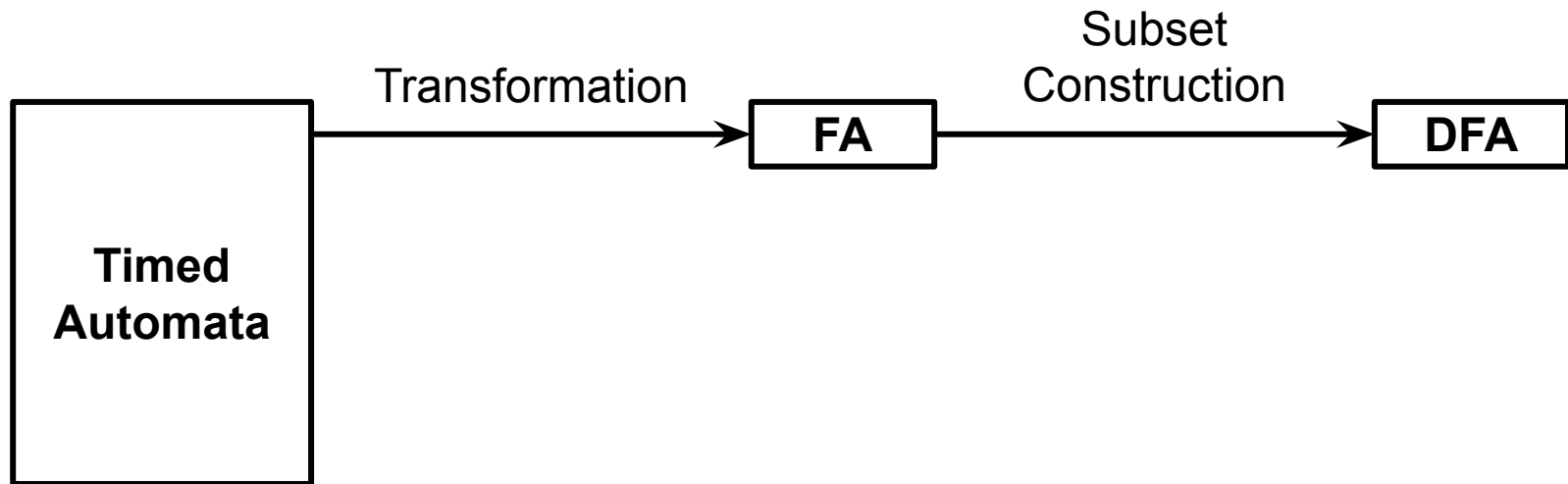
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- ... allows a **transformation** from TA to FA (**Gruber et al. 2005, Klein et al. 2024**)



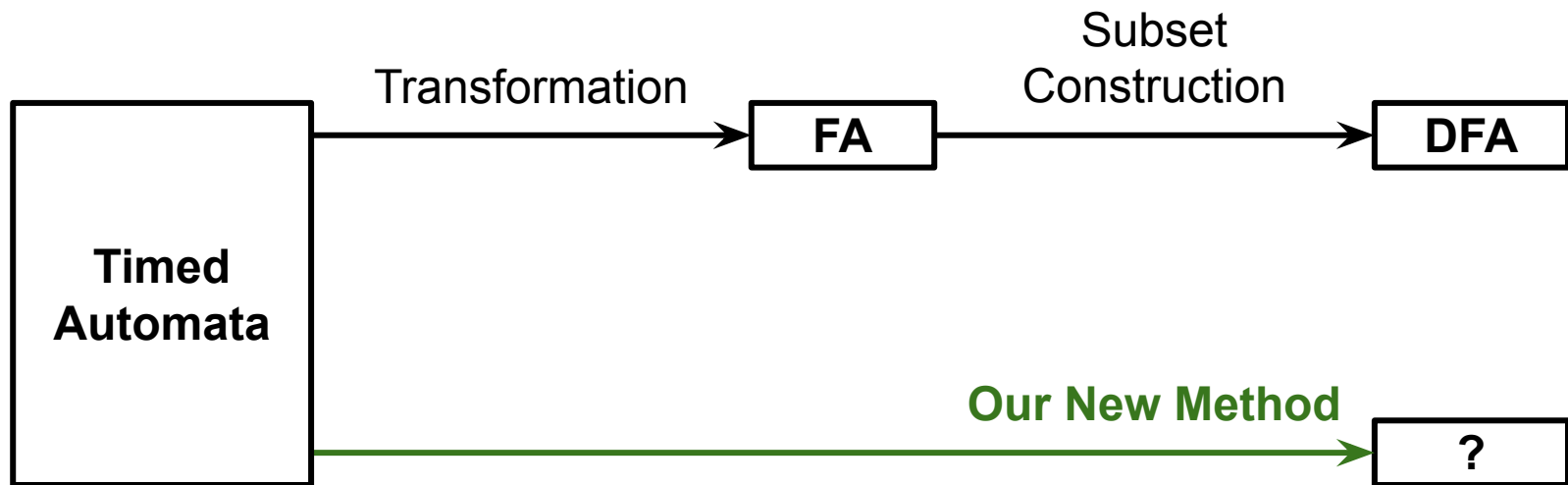
# FA-Based State Estimation

- ... allows a **transformation** from TA to FA (**Gruber et al. 2005, Klein et al. 2024**)  
→ enables standard state estimation methods like subset construction (**Noord 2000**)



# FA-Based State Estimation

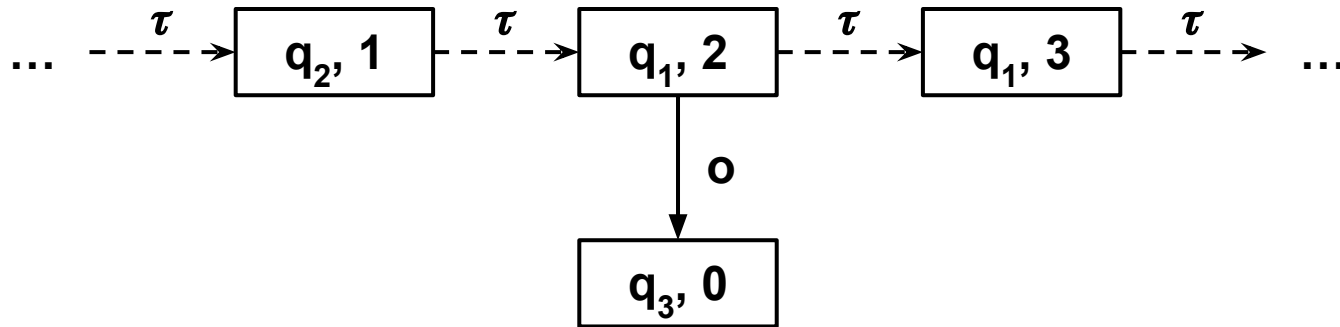
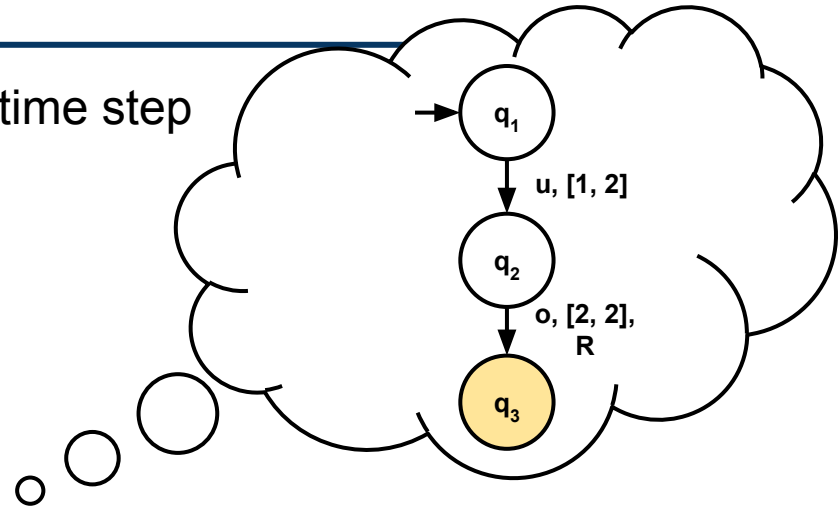
- ... allows a **transformation** from TA to FA (**Gruber et al. 2005, Klein et al. 2024**)  
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# Our New Method

# Stepwise State Estimation

- **Possible solution:** evaluate **every single** time step
- **Problem:** inefficient...

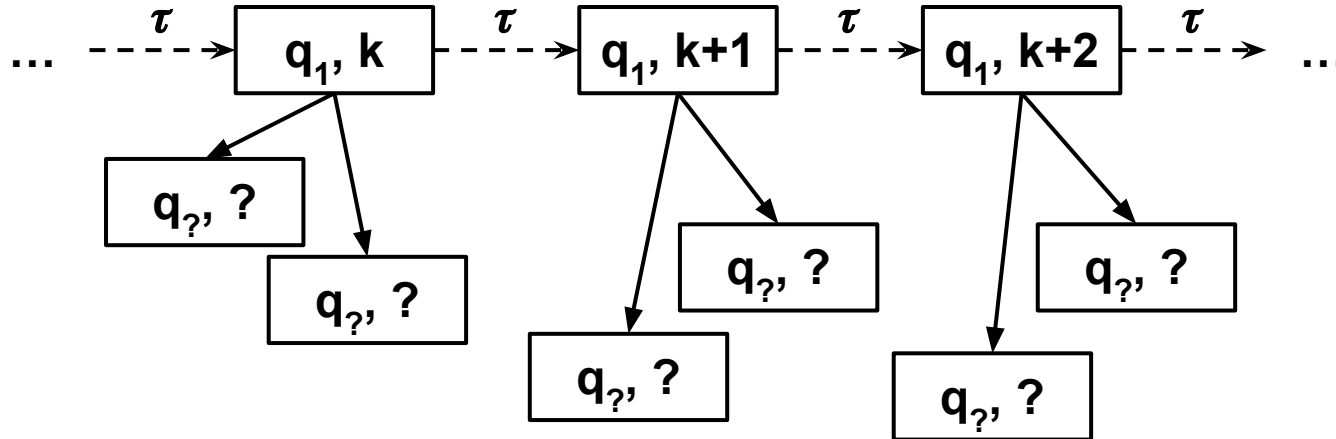




# Stepwise State Estimation

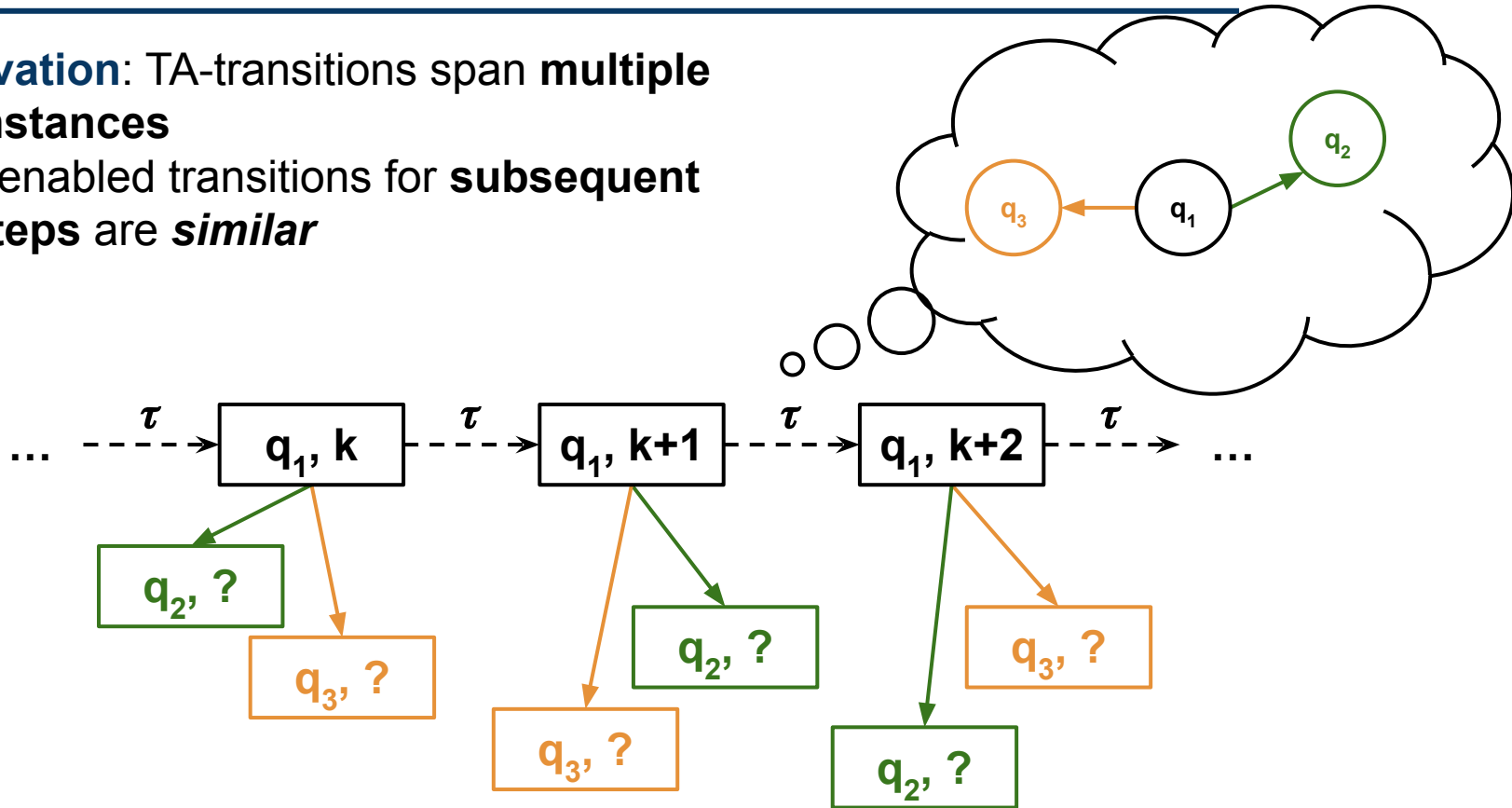
- **Possible solution:** evaluate **every single** time step
- **Problem:** inefficient...

Collect **all** possible **target** states for **every** state for **every** time step...



# Threshold Estimation

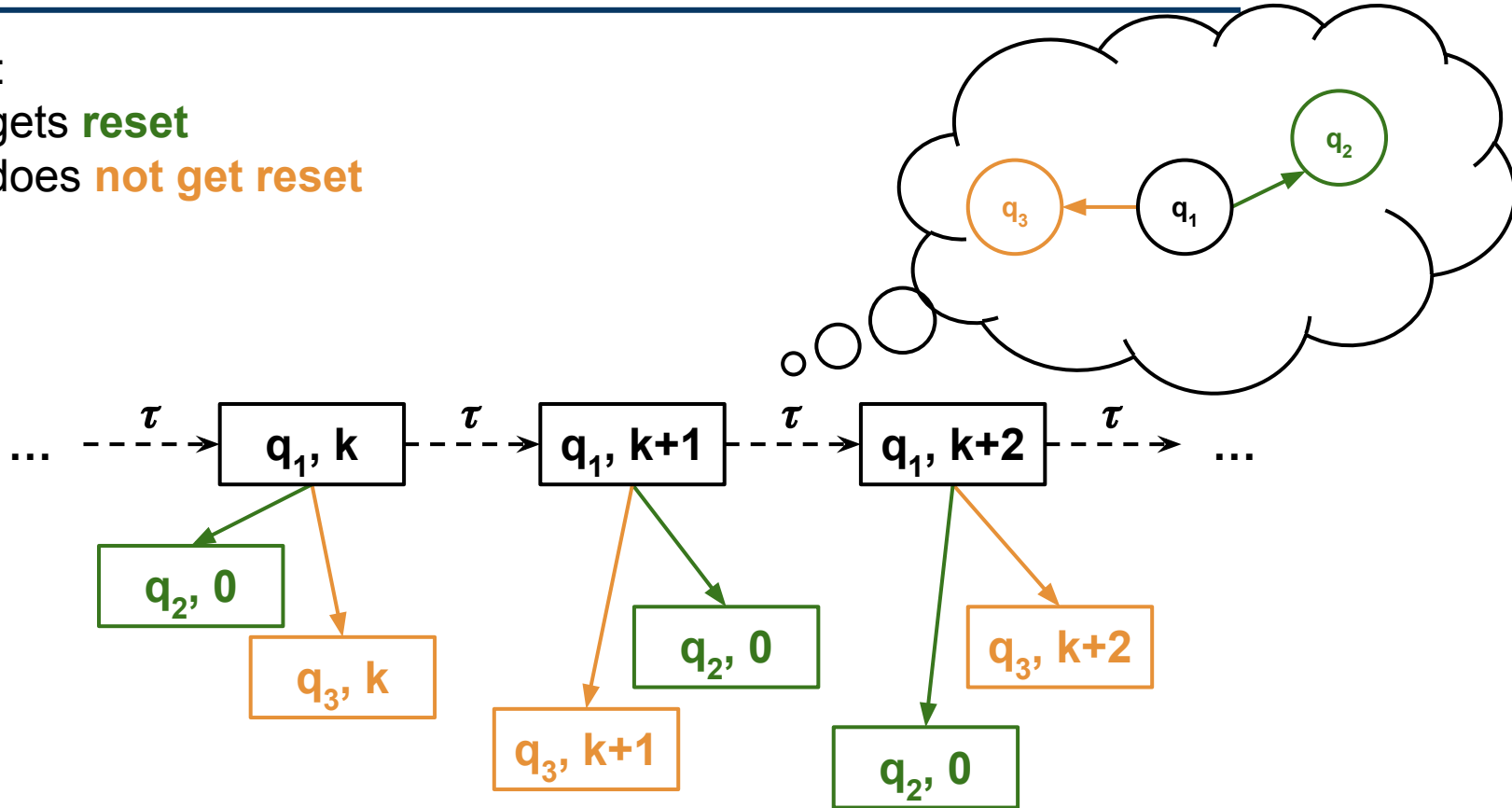
- **Observation**: TA-transitions span **multiple time instances**
- **Hope**: enabled transitions for **subsequent time steps** are *similar*



# Threshold Estimation

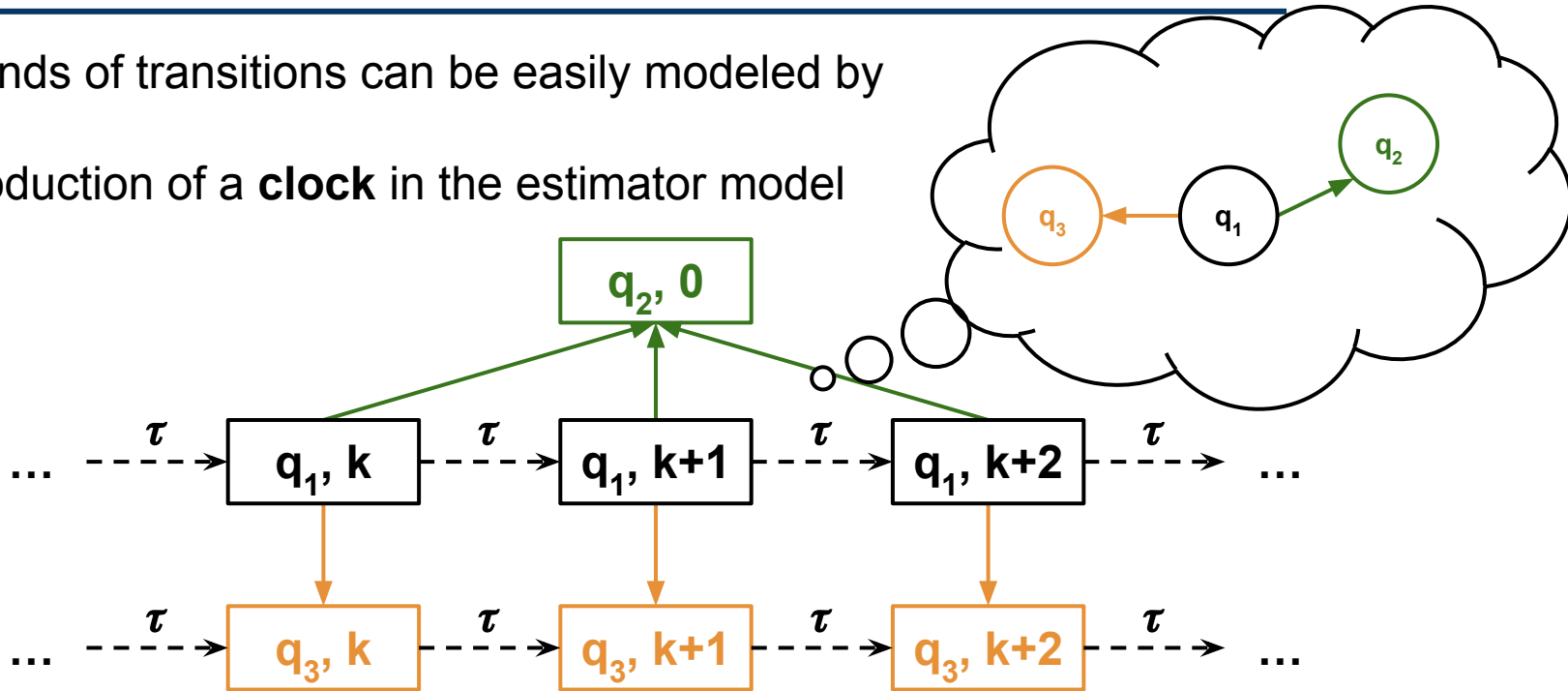
## Two cases:

- Clock gets **reset**
- Clock does **not get reset**



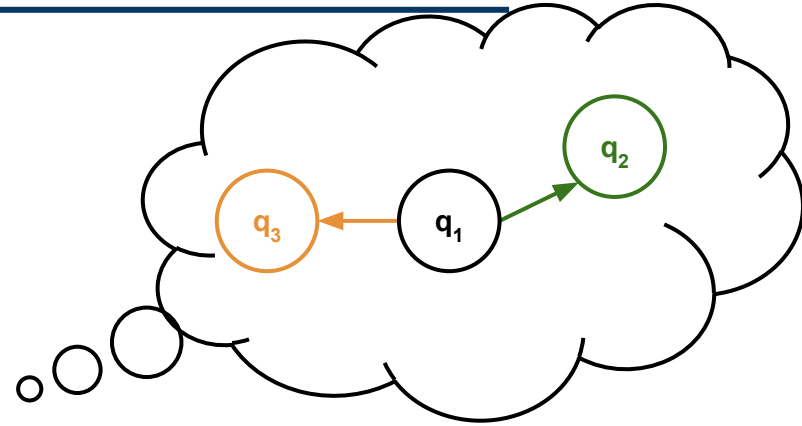
# Threshold Estimation

- Both kinds of transitions can be easily modeled by a clock
- Reintroduction of a **clock** in the estimator model



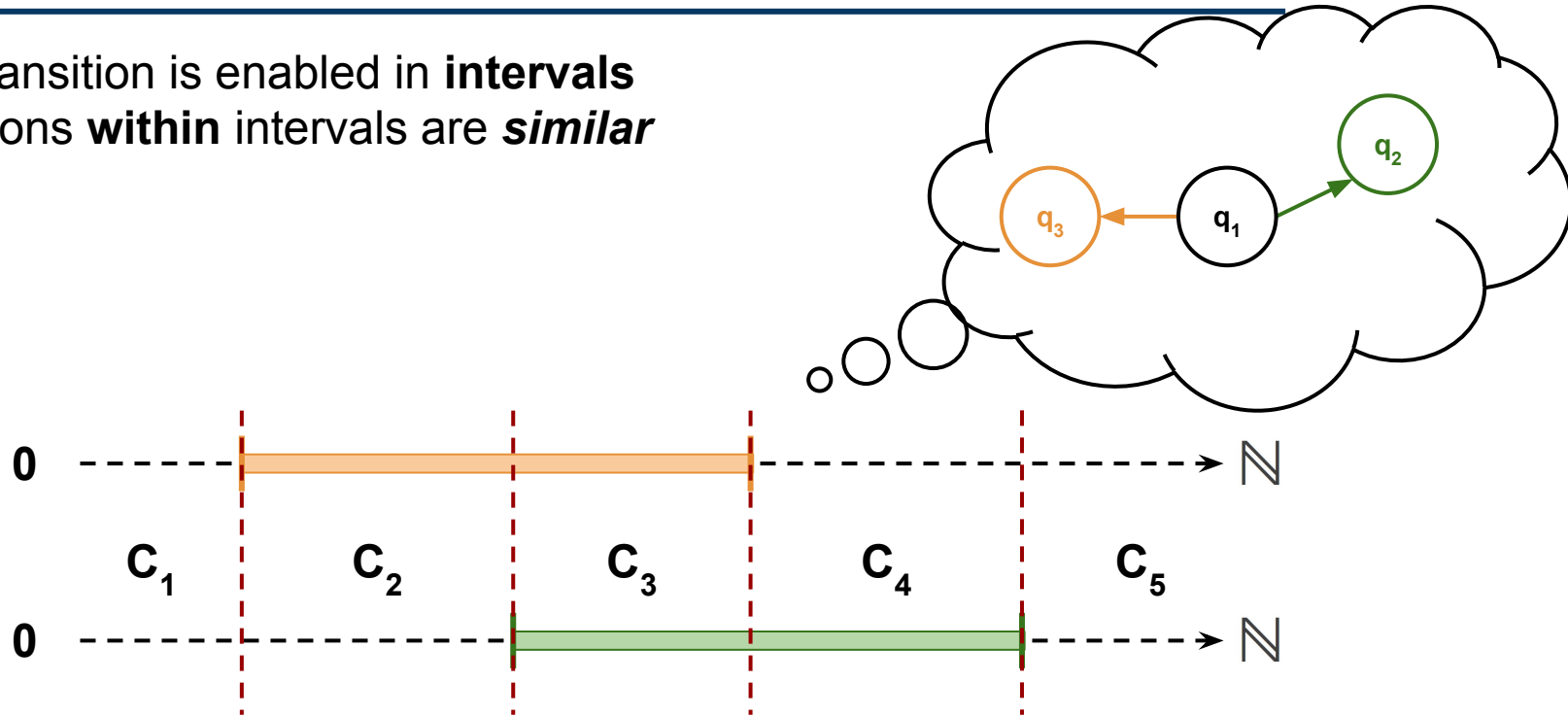
# Threshold Values

- Each transition is enabled in **intervals**
- Transitions **within** intervals are *similar*



# Threshold Values

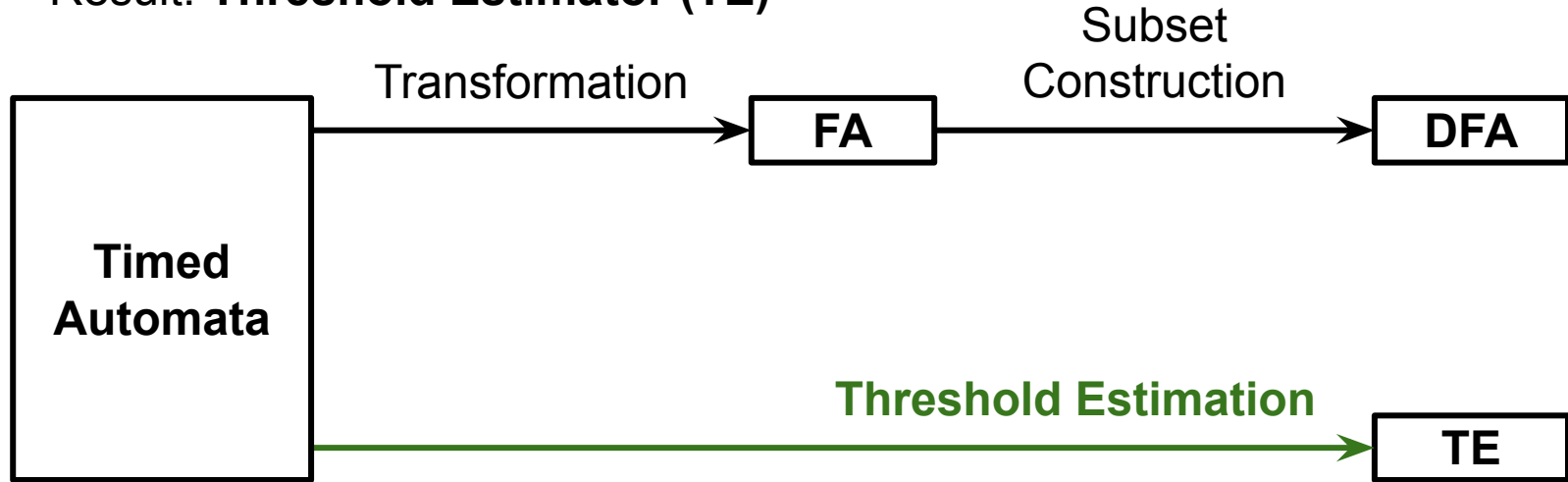
- Each transition is enabled in **intervals**
- Transitions **within** intervals are *similar*



# Threshold Estimators

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- Compute **threshold values** for each location
  - Use threshold values in an **adapted subset construction**
- Result: **Threshold Estimator (TE)**

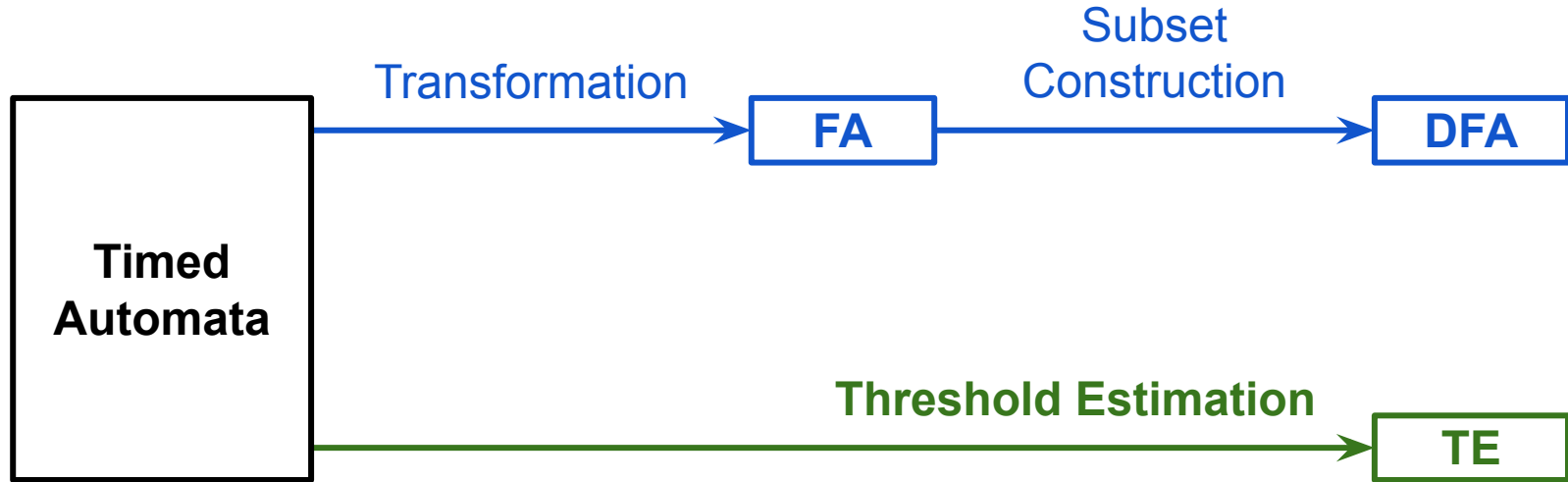


# Evaluation



# Evaluation: Test Setup

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# Evaluation: Case Studies

- Prototype implementation publicly available<sup>1</sup>
- Evaluation on 11 case studies from the literature

System	AKM	TCP	SCTP	PC	CAS	SCHED	OVEN	HVAC	WSN	WSNET	MED
Number of locations	4	11	41	8	8	23	89	11	63	25	8
Number of transitions	18	19	155	24	17	28	179	41	185	50	9
Largest constant	2500	240	1000	10	27000	15	5000	2000	$3 \cdot 10^5$	30	10
Source	Vaandrager et al. 2023	Postel et al. 1981	Stewart 2007	Aichernig et al. 2020	Aichernig et al 2013	An et al. 2021	Kogel et al. 2023	Taylor et al. 2021	Kogel et al. 2023	Klein et al. 2024	Klein et al. 2024

<sup>1</sup> <https://gitlab.com/julianklein/threshold-estimation>

# Evaluation: Computation Time Results

- **Threshold estimation** outperforms **FA-based estimation** on most systems

System	Threshold Estimation	FA-based Estimation	Improvement
AKM	42.57ms	43.83ms	2.86%
TCP	16.25ms	16.36ms	0.65%
SCTP	0.66s	1.76ms	62.11%
PC	0.22ms	3.27ms	92.99%
CAS	4.50s	6.43s	29.96%
SCHED	0.34ms	4.29ms	91.98%
OVEN	1.77ms	1.51s	99.88%
HVAC	6.25s	36.92s	83.06%
WSN	11.94s	-	-
WSNET	7.81ms	10.57ms	26.08%
MED	0.25ms	0.32ms	22.55%

# Conclusion

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## Summary

- **Efficient** state estimation method for discrete-timed automata
- Key idea: only evaluate threshold values
- Significant **reduction in computation** time compared to traditional FA-based methods

## Future Work

- Adapt **state-based opacity** to our discrete-time setting
- **Unified opacity verification method** based on TE

# References (1/2)

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- **[3]** Zhang, K.: Detectability of labeled weighted automata over monoids. Discrete Event Dynamic Systems (2022). <https://doi.org/10.1007/s10626-022-00362-8>
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- **[7]** Noord, G.v.: Treatment of epsilon moves in subset construction. Computational Linguistics (2000). <https://doi.org/10.1162/089120100561638>
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- **[12]** Aichernig, B.K., Lorber, F., Ničković, D.: Time for mutants — model-based mutation testing with timed automata. In: Veanes, M., Viganò, L. (eds.) Tests and Proofs. pp. 20–38. Springer Berlin Heidelberg, Berlin, Heidelberg (2013)
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- **[15]** Taylor, J.T., Taylor, W.T.: Patterns in the Machine. Springer (2021)
- **[16]** Baier, C., Bertrand, N., Bouyer, P., & Brihaye, T. When are timed automata determinizable?. In Automata, Languages and Programming. Springer (2009). [https://doi.org/10.1007/978-3-642-02930-1\\_4](https://doi.org/10.1007/978-3-642-02930-1_4)













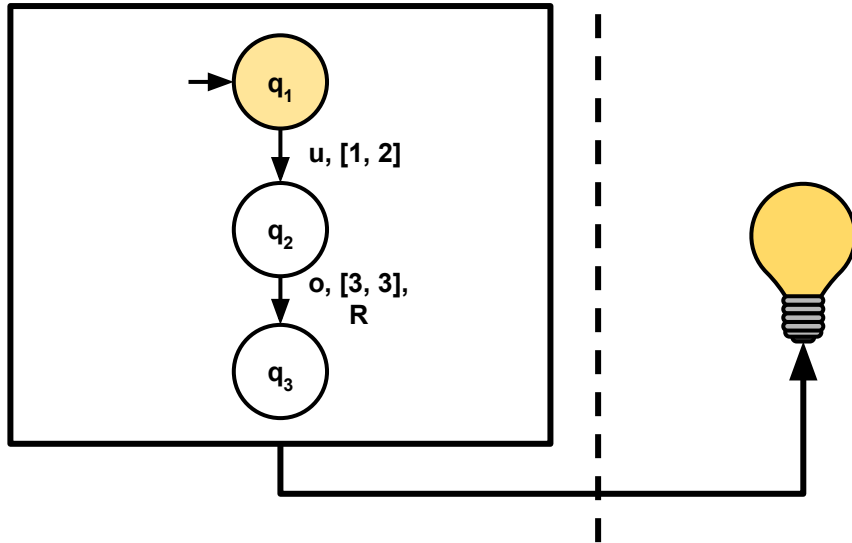
# Region Abstraction

- Threshold estimation outperforms FA-based estimation on most systems

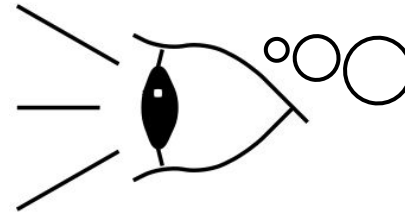
System	Threshold Estimation	FA-based Estimation	Improvement
AKM	18.51s	32.53s	43.11%
TCP	0.18s	0.29s	38.13%
SCTP	21.62s	-	-
PC	0.21ms	3.43ms	93.73%
CAS	-	-	-
SCHED	0.30ms	4.12ms	92.71%
OVEN	1.09ms	11.51s	99.99%
HVAC	16.70s	-	-
WSN	-	-	-
WSNET	13.05ms	28.16ms	53.65%
MED	0.85ms	3.68ms	76.81%

# State Estimation

System

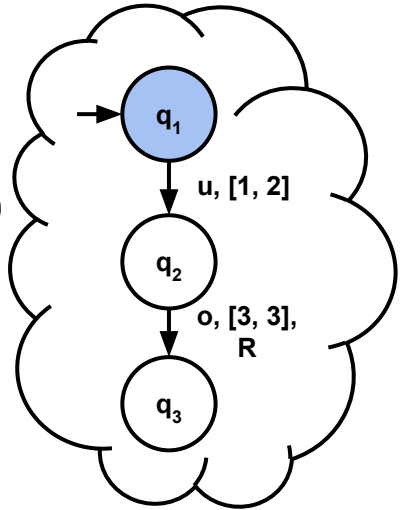


Observer



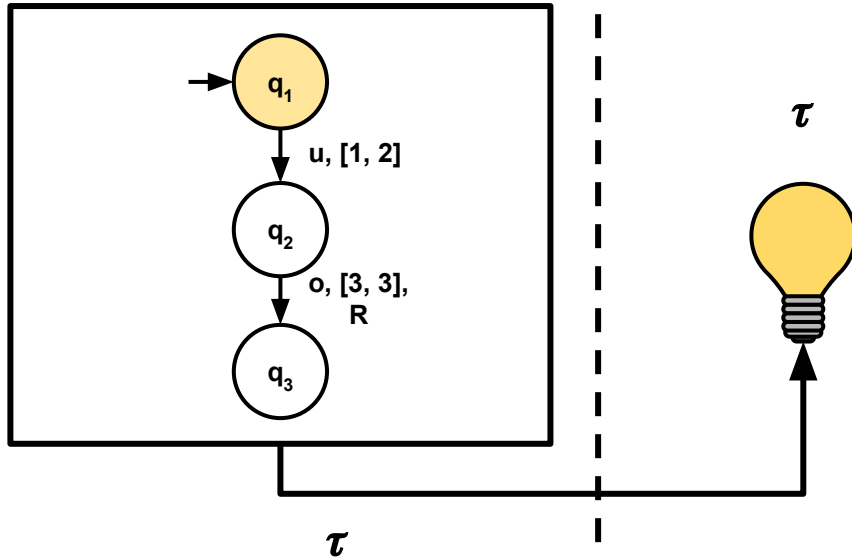
Estimate

$\{(q_1, 0)\}$

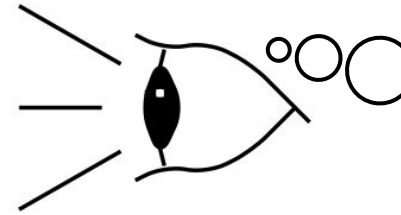


# State Estimation

System

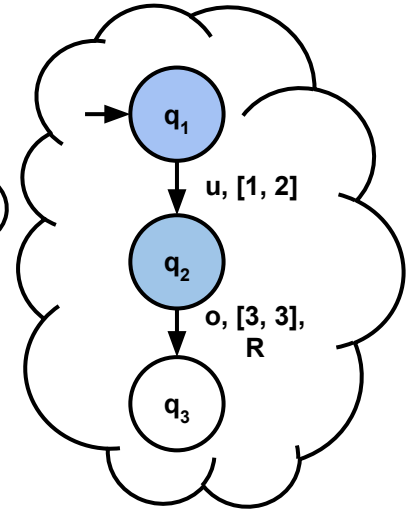


Observer



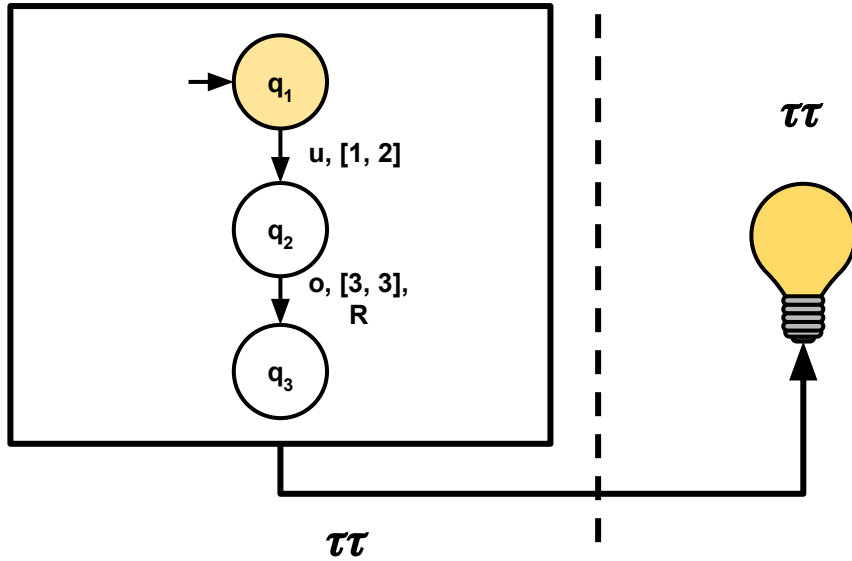
Estimate

$\{(q_1, 1), (q_2, 1)\}$

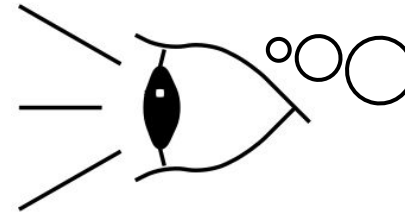


# State Estimation

System

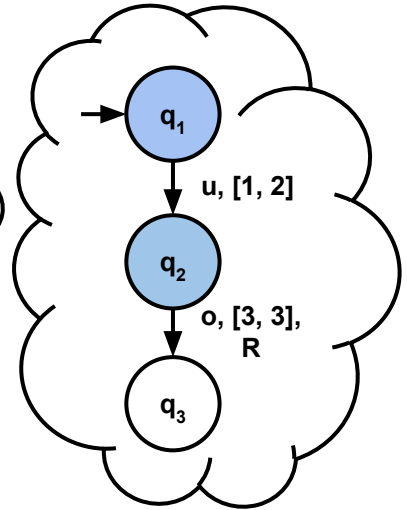


Observer



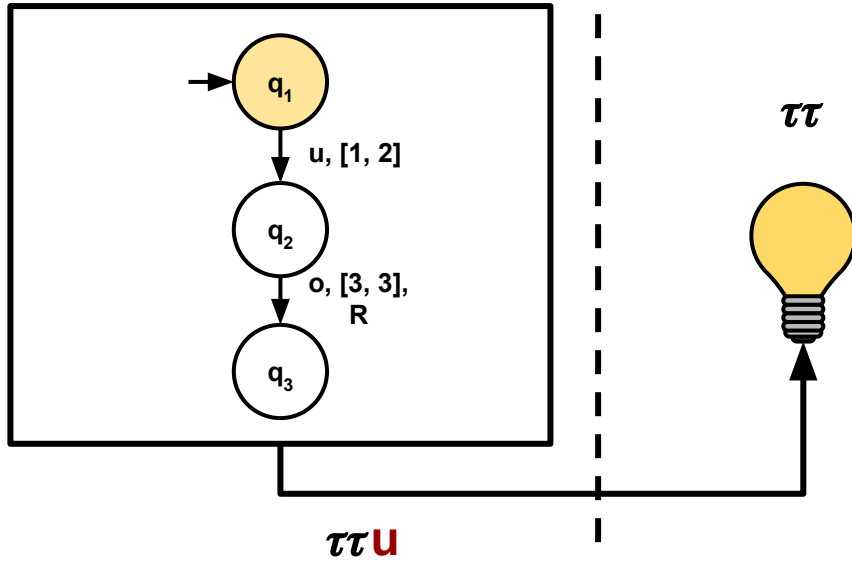
Estimate

$\{(q_1, 2), (q_2, 2)\}$

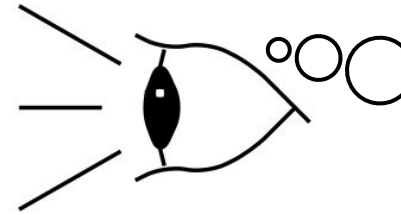


# State Estimation

System

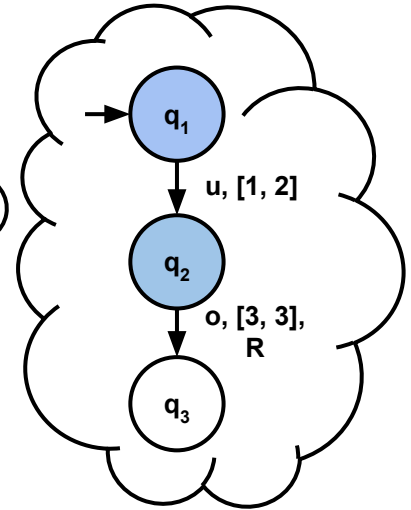


Observer



Estimate

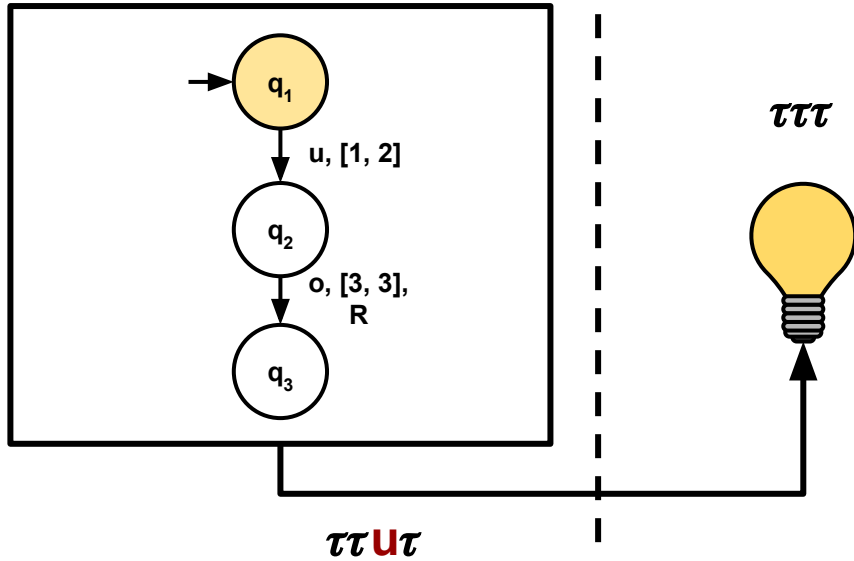
$\{(q_1, 2), (q_2, 2)\}$



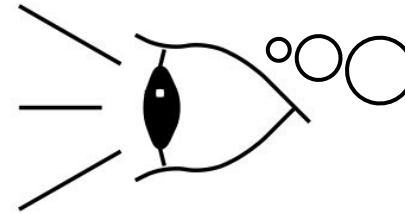


# State Estimation

System

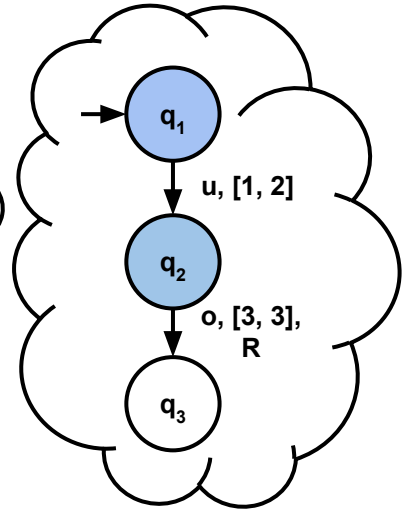


Observer



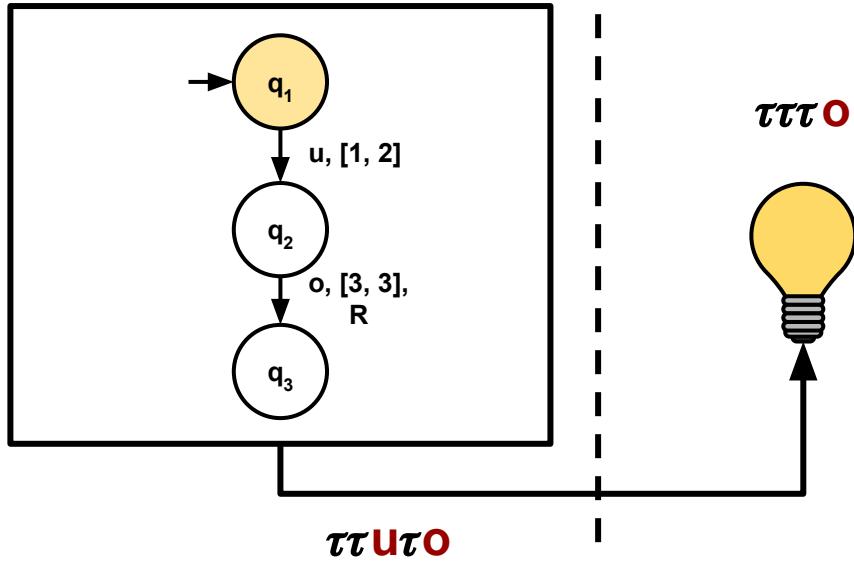
Estimate

$\{(q_1, 3), (q_2, 3)\}$

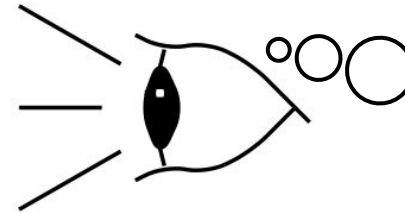


# State Estimation

System

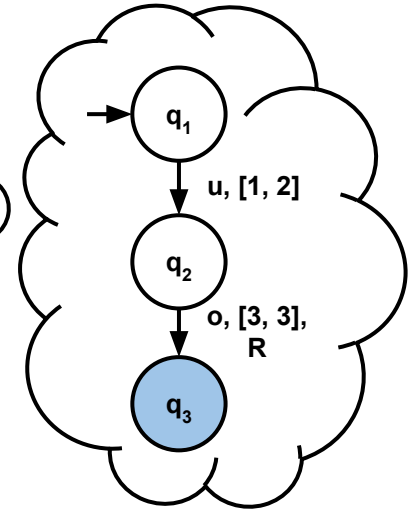


Observer

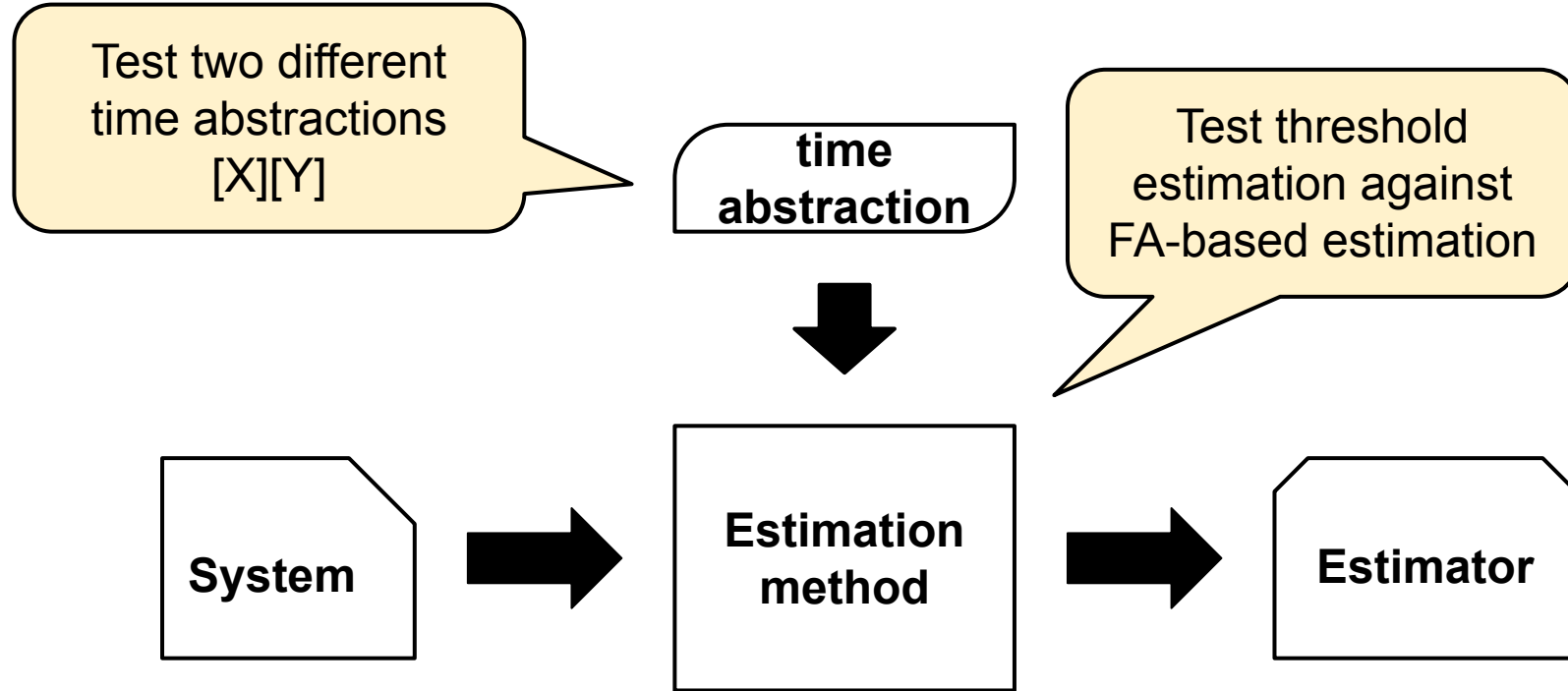


Estimate

$\{(q_3, 0)\}$



# Test Setup



0-10	0-10
11-20	11-20
21-30	21-30
31-40	31-40
41-50	41-50
51-60	51-60
61-70	61-70
71-80	71-80
81-90	81-90
91-100	91-100