

# Optimal General Simplification of Scalar Fields on Surfaces

Julien Tierny, David Günther, and Valerio Pascucci

**Abstract** We present a new combinatorial algorithm for the optimal general topological simplification of scalar fields on surfaces. Given a piecewise linear (PL) scalar field  $f$ , our algorithm generates a simplified PL field  $g$  that provably admits critical points only from a constrained subset of the singularities of  $f$  while minimizing the distance  $\|f - g\|_\infty$  for data-fitting purpose. In contrast to previous algorithms, our approach is oblivious to the strategy used for selecting features of interest and allows critical points to be removed arbitrarily and additionally minimizes the distance  $\|f - g\|_\infty$  in the PL setting. Experiments show the generality of the algorithm as well as its time-efficiency, and demonstrate in practice the minimization of  $\|f - g\|_\infty$ .

## 1 Introduction

As scientific data-sets become more intricate and larger in size, advanced data analysis algorithms are needed for their efficient visualization. For scalar field visualization, topological analysis techniques have shown to be practical solutions in various contexts by enabling the concise and complete capture of the structure of the input data into high-level *topological abstractions* such as contour trees [8, 9, 6], Reeb graphs [22, 25], or Morse-Smale complexes [18, 17]. Moreover, important advances

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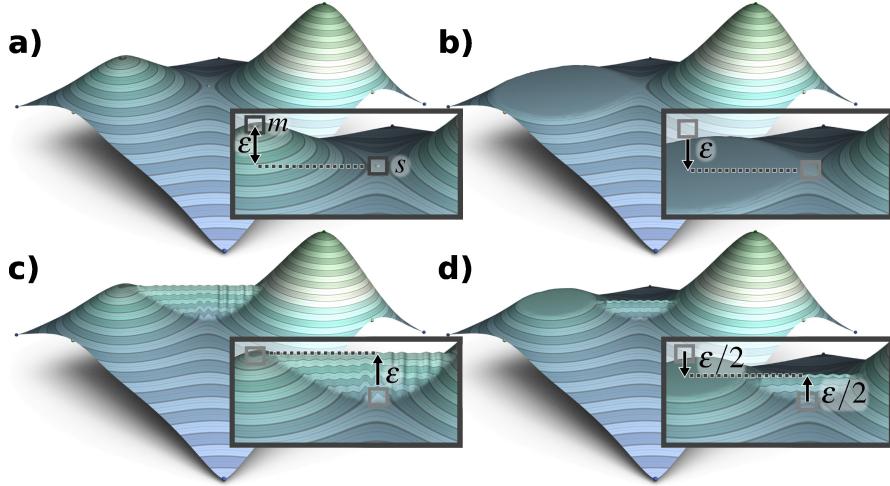
have been made regarding the analysis of topological noise with the formalism of topological persistence [12], which enabled their multi-resolution representations and consequent progressive data explorations. However, the notion of feature is application-dependent. Using persistence to prioritize topological cancellations can be inappropriate for selecting features of interest in many scenarios (depending on the characteristics of the noise). For this reason, users often employ ad-hoc feature identification strategies that combine several criteria to determine which topological cancellations should be considered signal or noise [9]. While established simplification schemes produce multi-resolution representations of the topological abstractions, they do not focus on generating an actual simplification of the underlying scalar field. However, simplifying the field before any analysis can be beneficial in a number of applications [26].

In this paper, we present a new combinatorial algorithm which generalizes and extends previous work on topological simplification of scalar fields [4, 26]. Given a scalar field  $f$ , our algorithm generates a simplified function  $g$  that provably admits only critical points from a constrained subset of the singularities of  $f$  while strictly minimizing  $\|f - g\|_\infty$  for data-fitting purposes. Bauer et al. [4] presented such an optimal algorithm in the discrete Morse theory setting for the special case of persistence-driven simplifications. In this paper, we generalize this work to piecewise linear (PL) scalar fields, which are commonly used in visualization software. In contrast to prior work [13, 3, 4], the proposed simplification scheme works with an arbitrary – not necessarily persistence-based – selection of singularities while still minimizing  $\|f - g\|_\infty$ . We illustrate this in several experiments which also show empirically the optimality of the proposed algorithm.

### 1.1 Related work

The direct simplification of scalar fields given topological constraints is a subject that has only recently received attention. Existing techniques can be classified into two (complementary) categories.

**Numerical approaches** aim at approximating a desired solution by solving partial differential equations, where a subset of the input singularities are used as topological constraints while smoothness constraints are often used to enforce geometrical quality. The first work in this direction was presented by Bremer et al. [5], where simplified Morse-Smale complexes are used to guide an iterative and localized simplification of the field based on Laplacian smoothing. In the context of geometry processing, approaches have been presented for the computation of smooth Morse functions with a minimal number of critical points [21, 16]. Patanè et al. [23] presented a general framework for the topology-driven simplification of scalar fields based on a combination of least-squares approximation and Tikhonov regularization. Weinkauf et al. [27] improved the work by Bremer et al. [5] with bi-Laplacian optimization resulting in smoother ( $C^1$ ) output fields.



**Fig. 1** Removing a maximum-saddle pair  $(m, s)$  from a scalar field  $f$  such that  $|f(m) - f(s)| = \epsilon$  (a). A strategy based on *flattening* [26] (b) will lower  $m$  down to the level of  $s$ , yielding  $\|f - g\|_\infty = \epsilon$ . A strategy based on *bridging* [13] (c) will lift  $s$  up to the level of  $m$ , yielding  $\|f - g\|_\infty = \epsilon$ . A strategy based on a combination of the two [4] (d) will lower  $m$  halfway down to the level of  $s$  while lifting  $s$  halfway up to the level of  $m$ , yielding a minimized infinity norm  $\|f - g\|_\infty = \epsilon/2$ .

However, one of the biggest challenge of these approaches is the numerical instability in the optimization process. This may create additional critical points in the output preventing it from strictly conforming to the input constraints. Additionally, the overall optimization process might be computationally expensive resulting in extensive running times.

**Combinatorial approaches** aim at providing a solution with provable correctness that is not prone to numerical instabilities. In a sense, they can be complementary to numerical techniques by fixing possible numerical issues as a post-process.

Edelsbrunner et al. introduced the notion of  $\epsilon$ -simplification [13]. Given a target error bound  $\epsilon$ , the goal of their algorithm is to produce an output field everywhere at most  $\epsilon$ -distant from the input such that all the remaining pairs of critical points have persistence greater than  $\epsilon$ . Their algorithm can be seen as an extension of early work on digital terrain [24, 1] or isosurface processing [7], where the Contour Tree [8] was used to drive a flattening procedure achieving similar bounds. Attali et al. [3] and Bauer et al. [4] presented independently a similar approach for  $\epsilon$ -simplification computation. By locally reversing the gradient paths in the field, the authors show that multiple persistence pairs can be cancelled with only one procedure.

However, these approaches admit several limitations. Their input is a filtration [12] or a discrete Morse function [15]. Since many visualization software require a PL function, the output needs to be converted into the PL setting requiring a subdivision of the input mesh (one new vertex per edge and per face). However, such a subdivision might increase the size of the mesh by an order of magnitude which is

not acceptable in many applications. Also, they focus on the special case where the critical points are selected according to topological persistence. On the other hand, Tierny and Pascucci [26] presented a simple and fast algorithm which directly operates on PL functions enabling an arbitrary selection of critical points for removal. However, this approach does not explicitly minimize the norm  $\|f - g\|_\infty$ .

## 1.2 Contributions

As illustrated in Figure 1, several strategies can be employed to remove a hill from a terrain (i.e. to remove a critical point pair from a function). In the context of persistence-driven simplification, tight upper bounds for the distance  $\|f - g\|_\infty$  have been shown [10]. The algorithm by Bauer et al. [4] achieves these bounds in the discrete Morse theory setting. In this paper, we make the following new contributions:

- An algorithm that achieves these bounds for the PL setting;
- An algorithm that minimizes the distance  $\|f - g\|_\infty$  in the case of general simplifications (where the critical points to remove are selected arbitrarily).

## 2 Preliminaries

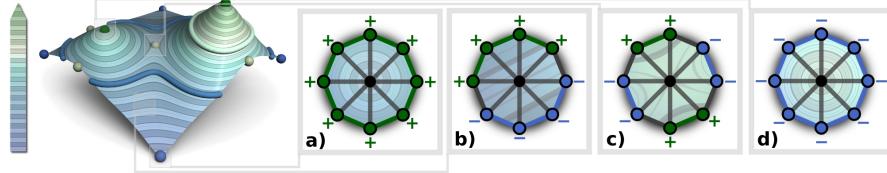
This section briefly describes our formal setting and presents preliminary results. An introduction to Morse theory can be found in [19].

### 2.1 Background

The input to our algorithm is a piecewise linear (PL) scalar field  $f : \mathcal{S} \rightarrow \mathbb{R}$  defined on an orientable PL 2-manifold  $\mathcal{S}$ . It has value on the vertices of  $\mathcal{S}$  and is linearly interpolated on the simplices of higher dimension. Critical points of PL functions can be classified with simple and inexpensive operations (Fig. 2). The *star*  $St(v)$  of a simplex  $v$  is the set of simplices  $\sigma$  that contain  $v$  as a face. The *link*  $Lk(v)$  of a simplex  $v$  is the set of simplices in the closure of the star of  $v$  that are not also in the star:  $Lk(v) = \overline{St(v)} - St(v)$ . The *lower link*  $Lk^-(v)$  of  $v$  is the subset of  $Lk(v)$  containing only simplices with all their vertices lower in function value than  $v$ :  $Lk^-(v) = \{\sigma \in Lk(v) \mid \forall u \in \sigma : f(u) < f(v)\}$ . The *upper link*  $Lk^+(v)$  is defined by:  $Lk^+(v) = \{\sigma \in Lk(v) \mid \forall u \in \sigma : f(u) > f(v)\}$ .

**Definition 1 (Critical Point).** A vertex  $v$  of  $\mathcal{S}$  is *regular* if and only if both  $Lk^-(v)$  and  $Lk^+(v)$  are simply connected, otherwise  $v$  is a critical point of  $f$ .

If  $Lk^-(v)$  is empty,  $v$  is a minimum. Otherwise, if  $Lk^+(v)$  is empty,  $v$  is a maximum. If  $v$  is neither regular nor a minimum nor a maximum, it is a saddle.



**Fig. 2** Scalar field on a terrain (left). A level set is shown in blue; a contour is shown in white. Vertices can be classified according to the connectivity of their lower (blue) and upper links (green). From left to right: a minimum (a), a regular vertex (b), a saddle (c), a maximum (d).

A sufficient condition for this classification is that all the vertices of  $\mathcal{S}$  admit distinct  $f$  values, which can be obtained easily with symbolic perturbation [14]. To simplify the discussion, we assume that all of the saddles of  $f$  are simple ( $f$  is then a *Morse* function [19]), and  $\mathcal{S}$  is processed on a per connected component basis.

The relation between the critical points of the function can be mostly understood through the notions of *Split Tree* and *Join Tree* [8], which respectively describe the evolution of the connected components of the sur- and sub-level sets. Given an isovalue  $i \in \mathbb{R}$ , the *sub-level set*  $L^-(i)$  is defined as the pre-image of the open interval  $(-\infty, i]$  onto  $\mathcal{S}$  through  $f: L^-(i) = \{p \in \mathcal{S} \mid f(p) \leq i\}$ . Symmetrically, the *sur-level set*  $L^+(i)$  is defined by  $L^+(i) = \{p \in \mathcal{S} \mid f(p) \geq i\}$ .

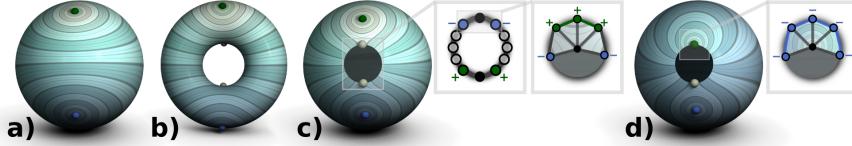
The Split Tree  $\mathcal{T}_+$  of  $f$  is a 1-dimensional simplicial complex obtained by contracting each connected component of the sur-level set to a point. By continuity, two vertices  $v_a$  and  $v_b$  of  $\mathcal{S}$  with  $f(v_a) < f(v_b)$  are mapped to adjacent vertices in  $\mathcal{T}_+$  if and only if for each  $v_c \in \mathcal{S}$  there holds  $f(v_c) \in (f(v_a), f(v_b))$ :

- the connected component of  $L^+(f(v_a))$  which contains  $v_a$  also contains  $v_b$ ;
- the connected component of  $L^+(f(v_c))$  which contains  $v_c$  does not contain  $v_b$ .

By construction, a bijective map  $\phi_+: \mathcal{S} \rightarrow \mathcal{T}_+$  exists between the vertices of  $\mathcal{S}$  and those of  $\mathcal{T}_+$ . Hence, for conciseness, we will use the same notation for a vertex either in  $\mathcal{S}$  or in  $\mathcal{T}_+$ . Maxima of  $f$  as well as its global minimum are mapped in  $\mathcal{T}_+$  to valence-1 vertices, while saddles where  $k$  connected components of sur-level sets merge are mapped to valence- $(k+1)$  vertices. All the other vertices are mapped to valence-2 vertices. A *super-arc*  $(v_a, v_b)$  [8] is a directed connected path in  $\mathcal{T}_+$  from  $v_a$  to  $v_b$  with  $f(v_a) > f(v_b)$  such that  $v_a$  and  $v_b$  are the only non-valence-2 vertices of the path. The Join Tree  $\mathcal{T}_-$  is defined symmetrically by considering the sub-level sets of  $f$ .

## 2.2 General simplification of scalar fields on surfaces

**Definition 2 (General Topological Simplification).** Given a field  $f: \mathcal{S} \rightarrow \mathbb{R}$  with its set of critical points  $\mathcal{C}_f$ , we call a *general simplification* of  $f$  a scalar field  $g: \mathcal{S} \rightarrow \mathbb{R}$  such that the critical points of  $g$  form a sub-set of  $\mathcal{C}_f: \mathcal{C}_g \subseteq \mathcal{C}_f$ .



**Fig. 3** Non removable critical points: (a) A global minimum and a global maximum have to be maintained for the field not to be constant. (b) 2  $g_{\mathcal{S}}$  saddles cannot be removed. (c),(d) Each boundary component has 2 non-removable global *stratified* extrema, which turn into non-removable saddles (c) or (possibly) *exchangeable* extrema (d).

In other words, a general simplification consists in constructing a close variant of the input field  $f$  from which a set of critical points has been removed. We call it *optimal* if it additionally minimizes the infinity norm  $\|f - g\|_{\infty}$ .

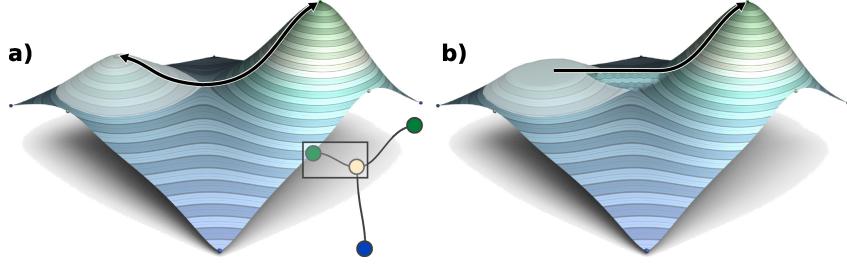
As described by Tierny and Pascucci [26], critical points can only be removed in extrema-saddle pairs. Hence, the removal of the saddles of  $f$  is completely dependent on the removal of its extrema. Note that there are also critical points that can not be removed due to the topology of  $\mathcal{S}$  (summarized in Fig. 3). We call them *non-removable* critical points.

### 3 Algorithm

In this section, we present our new algorithm for the computation of optimal general simplifications. Given some input constraints  $\mathcal{C}_g^0$  and  $\mathcal{C}_g^2$ , i.e., the minima and the maxima of  $g$ , our algorithm reconstructs a function  $g$  which satisfies these topological constraints and minimizes  $\|f - g\|_{\infty}$ . Saddles are implicitly removed by our algorithm due to their dependence on the minima and maxima removal.

To guarantee that the input field admits distinct values on each vertex, symbolic perturbation is used. In addition to its scalar value, each vertex  $v$  is associated with an integer *offset*  $\mathcal{O}(v)$  initially set to the actual offset of the vertex in memory. When comparing two vertices (e.g., critical point classification), their order is disambiguated by their offset  $\mathcal{O}$  if these share the same scalar value. Our new algorithm modifies the scalar values of the vertices (Sec. 3.1 to 3.3), while the algorithm by Tierny and Pascucci [26] is used in a final pass to update the offsets.

In the following sub-sections, we describe the case  $\mathcal{C}_g^0 = \mathcal{C}_f^0$  (only maxima are removed). The removal of the minima is a symmetrical process. We begin with the simple case of the pairwise critical point removal before we go into more complex and general scenarios addressing the removal of multiple critical points. The overall algorithm is summarized at the end of Sec. 3.3.



**Fig. 4** (a) The set of flattening vertices  $\mathcal{F}$  is identified from  $\mathcal{T}_+$  (transparent white). The set of bridging vertices  $\mathcal{B}$  is identified through discrete integral line integration (black curve). (b) The function values of each vertex of  $\mathcal{F}$  and  $\mathcal{B}$  is updated to produce the simplified function.

### 3.1 Optimal pairwise removal

Let  $C_g^2$  be equal to  $C_f^2 \setminus \{m\}$  where  $m$  is a maximum to remove. As discussed in [26],  $m$  can only be removed in pair with a saddle  $s$  where the number of connected components in the sur-level set changes (i.e. a valence-3 vertex in  $\mathcal{T}_+$ ). Moreover, a necessary condition for a critical point pair to be cancelled is the existence of a gradient path linking them [20]. In the PL setting, these are connected PL 1-manifolds called *integral lines* [11]. Thus,  $m$  can only be removed with a valence-3 vertex  $s \in \mathcal{T}_+$  that admits a forward integral line ending in  $m$ . Let  $S(m)$  be the set of all saddles satisfying these requirements. Since integral lines are connected,  $m$  must belong to the connected components of  $L^+(f(s))$  which also contains  $s \in S(m)$ . In  $\mathcal{T}_+$ , the saddles of  $S(m)$  are the valence-3 vertices on the connected path from  $m$  down to the global minimum  $M$  of  $f$ .

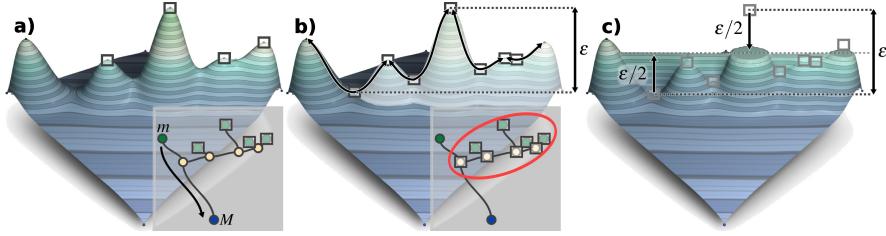
To cancel a pair  $(m, s)$ , one needs to assign a unique target value  $t$  to  $m$  and  $s$ . Since  $m$  is the only extremum to remove and  $m$  and  $s$  are the extremities of a monotonic integral line, we have:

$$\|f - g\|_\infty = \max(|f(m) - t|, |f(s) - t|) \quad (1)$$

The optimal value  $t^*$  which minimizes (1) is  $f(m) - |f(m) - f(s)|/2$ . Hence, we need to find the saddle  $s^* \in S(m)$  that minimizes  $|f(m) - f(s)|$ . Since the saddles of  $S(m)$  lay on a connected path from  $m$  to  $M$  in  $\mathcal{T}_+$ , the optimal saddle  $s^*$  is the extremity of the only super-arc containing  $m$ <sup>1</sup>.

Let  $\mathcal{F}$  be the set of vertices of  $\mathcal{S}$  mapped by  $\phi_+$  to the *super-arc*  $(m, s^*)$ . Let  $\mathcal{B}$  be the forward integral lines emanating from  $s^*$ . The pair  $(m, s^*)$  can then be removed by setting them to the value  $t^*$  such that no new critical point is introduced. This can be guaranteed by enforcing monotonicity on  $\{\mathcal{F} \cup \mathcal{B}\}$ : Our algorithm assigns the target value  $t^*$  to any vertex of  $\mathcal{F}$  which is higher than  $t^*$  and to any vertex of  $\mathcal{B}$  which is lower than  $t^*$  (see Fig. 4). Thus, given only one maximum to remove, our algorithm produces an optimal general simplification  $g$ .

<sup>1</sup> Note that the extremity  $s$  of the super-arc  $(m, s)$  admits a forward integral line ending in  $m$ .



**Fig. 5** Optimal simplification of a sub-tree  $T_k$  of the split tree  $\mathcal{T}_+$ . (a) A set of maxima corresponding to the leaves of a connected sub-tree  $T_k$  is selected. (b) The optimal set of saddles to remove can be identified with a simple traversal of  $\mathcal{T}_+$ . Cancelling the critical points of  $T_k$  requires to process a function interval of  $\varepsilon = |f(m^*) - f(s^*)|$ , where  $m^*$  and  $s^*$  are respectively the highest maximum and the lowest saddle of  $T_k$ . The set of candidate vertices  $\mathcal{F}$  for flattening is directly identified from  $T_k$ . The set of candidate vertices for bridging  $\mathcal{B}$  is identified by discrete integral lines emanating from the saddles of  $T_k$ . (c) Updating the function values of  $\mathcal{F}$  and  $\mathcal{B}$  yields an infinity norm of  $\varepsilon/2$ : by lifting  $s^*$  up by  $\varepsilon/2$  and by lowering  $m^*$  down by  $\varepsilon/2$ .

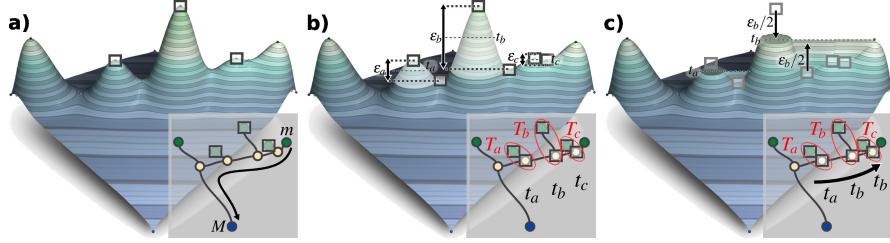
### 3.2 Optimal sub-tree removal

We call a *sub-tree*  $T_k$  of  $\mathcal{T}_+$  a maximally connected sub-set of  $\mathcal{T}_+$  such that: it contains (a)  $k$  maxima of  $f$  to remove and (b)  $k$  valence-3 vertices of  $\mathcal{T}_+$ , and that (c) for all the valence-3 vertices  $s_i$  of  $T_k$  except the lowest, there exists no maximum  $m$  to maintain such that  $s_i$  belongs to the connected path on  $\mathcal{T}_+$  from  $m$  down to  $M$  (Fig. 5(a)). The optimal simplification of a sub-tree is a generalization of the previous case. By using the pairing strategy described in the previous sub-section, one can process the maxima of  $T_k$  in arbitrary order. The maxima are paired with valence-3 vertices of  $\mathcal{T}_+$  and the corresponding super-arcs are removed. The resulting paired saddles will always be valence-3 vertices of  $T_k$  irrespectively of the order in which the maxima are processed.

Let  $\mathcal{F}$  be the pre-image of  $\phi_+$  restricted to the super-arcs of  $T_k$ . Let  $\mathcal{B}$  be the forward integral lines emanating from the valence-3 vertices of  $T_k$ . By construction  $\{\mathcal{F} \cup \mathcal{B}\}$  is a connected component, see Fig. 5(b), from which we aim to remove all the critical points. Similar as in the previous sub-section, these can be cancelled by assigning them a common target value  $t^*$  while enforcing monotonicity on  $\{\mathcal{F} \cup \mathcal{B}\}$  (no new critical point should be added).

For a given target value  $t$ , we have  $\|f - g\|_\infty = \max(|f(m^*) - t|, |f(s^*) - t|)$  with  $m^*$  and  $s^*$  being the highest maximum and the lowest saddle in  $T_k$ , respectively. The target value  $t^*$  which minimizes  $\|f - g\|_\infty$  is then  $t^* = f(s^*) + |f(m^*) - f(s^*)|/2$ . Thus, our algorithm assigns the target value  $t^*$  to any vertex of  $\mathcal{F}$  which is higher than  $t^*$  and to any vertex of  $\mathcal{B}$  which is lower than  $t^*$ .

All the sub-trees  $T_k$  of  $\mathcal{T}_+$  can be identified with one breadth-first search traversal of  $\mathcal{T}_+$  seeded at the maxima to remove, in order of decreasing  $f$  value. In this traversal, only the maxima to remove and the valence-3 vertices are admissible. Two connected components (seeded at the maxima to remove) can merge if there exists a super-arc between them. A connected components stops its growth if its number



**Fig. 6** Optimal simplification of a sequence of sub-trees. While each sub-tree  $T_i$  can be individually simplified at its optimal target value  $t_i$  (b), monotonicity has to be enforced by selecting for each sub-tree the maximum value among its own target value and its adjacent parent's (c).

of maxima to remove equals the number of its valence-3 vertices. At the end of the traversal, each remaining component forms a maximally connected sub-tree  $T_k$ .

### 3.3 Optimal sub-tree sequence removal

In this sub-section, we finally describe the optimal simplification of a sequence of sub-trees (corresponding to the most general case, where maxima can be selected for removal arbitrarily).

For a given set of maxima to remove (Fig. 6(a)), the corresponding maximally connected sub-trees can be identified with the algorithm described in the previous sub-section. Moreover, it is possible to compute their individual optimal target values  $\{t_k\}$  that creates optimal simplifications of the sub-trees  $\{T_k\}$ . To guarantee the monotonicity of the function, special care needs to be given to sub-trees that are adjacent to each other but separated by a super-arc, see Fig. 6(b).

Let  $T_0$  and  $T_1$  be two sub-trees such that  $s_0$  and  $s_1$  are the lowest valence-3 vertices of  $T_0$  and  $T_1$ , respectively. Additionally, let  $s_0$  and  $s_1$  be connected by a super-arc  $(s_1, s_0)$  with  $f(s_1) > f(s_0)$ . Since  $T_0$  and  $T_1$  are adjacent yet distinct maximally connected sub-trees, there exists at least one maximum  $m$  to preserve with  $f(m) > f(s_1) > f(s_0)$  such that  $s_0$  and  $s_1$  both belong to the directed connected path from  $m$  down to the global minimum  $M$  of  $f$ , see Fig. 6. Hence, in contrast to the previous sub-section, monotonicity should additionally be enforced on the connected path on  $\mathcal{T}_+$  from  $m$  down to  $M$ .

Let  $\mathcal{F}$  be the pre-image through  $\phi_+$  of the super-arcs of  $T_0$  and  $T_1$  and  $\mathcal{B}$  the forward integral lines emanating from the valence-3 vertices of  $T_0$  and  $T_1$ . Since  $T_0$  and  $T_1$  are adjacent,  $\{\mathcal{F} \cup \mathcal{B}\}$  is again a connected component on which  $g$  has to be monotonically increasing. Two cases can occur:

1.  $t_0 < t_1$ : Simplifying the sub-trees  $T_0$  and  $T_1$  at their individual optimal target values  $t_0$  and  $t_1$  yields a monotonically increasing function on  $\{\mathcal{F} \cup \mathcal{B}\}$   
(An example is given in Fig. 6(b) for the case  $T_0 = T_a$  and  $T_1 = T_b$ );

2.  $t_0 > t_1$ : Simplifying the sub-trees  $T_0$  and  $T_1$  at  $t_0$  and  $t_1$  would yield a decreasing function, and hence introduce a new critical point on  $\{\mathcal{F} \cup \mathcal{B}\}$ . (An example is given in Fig. 6(b) for the case  $T_0 = T_b$  and  $T_1 = T_c$ ). In this case, forcing  $T_1$  to use  $t_0$  as a target value will correctly enforce monotonicity while not changing the distance  $\|f - g\|_\infty$ <sup>2</sup>, see Fig. 6(c).

Hence, the optimal target value needs to be propagated along adjacent sub-trees to enforce monotonicity. To do so,  $\mathcal{T}_+$  is traversed by a breadth-first search with increasing  $f$  value. When traversing a vertex  $s_1$ , which is the lowest valence-3 vertex of a sub-tree  $T_1$ , the algorithm checks for the existence of a super-arc  $(s_1, s_0)$  such that  $s_0$  is the lowest valence-3 vertex of a sub-tree  $T_0$ . The optimal target value  $t_1$  is updated to enforce monotonicity:  $t_1 \leftarrow \max(t_0, t_1)$ . Note that this monotonicity enforcement among the target values does not change  $\|f - g\|_\infty$ . Hence, an optimal simplification is guaranteed. In particular,  $\|f - g\|_\infty$  will be equal to  $|f(m^*) - f(s^*)|/2$  with  $s^*$  and  $m^*$  being the lowest valence-3 and the highest valence-1 vertex of the sub-tree  $T^*$  which maximizes  $|f(m^*) - f(s^*)|$ . In case of persistence-guided simplification, the simplified function  $g$  achieves the upper bound  $\|f - g\|_\infty = \varepsilon/2$  with  $\varepsilon = |f(m^*) - f(s^*)|$ .

In conclusion, the overall algorithm for optimal simplification can be summarized as follows:

1. Identifying the sub-trees to remove (Sec. 3.2);
2. Enforcing monotonicity on the target values of each sub-tree (Sec. 3.3);
3. Cancelling each sub-tree to its target value with a combination of flattening and bridging (Sec. 3.1 and 3.2).
4. Running the algorithm by Tierny and Pascucci [26] as a post-process pass to disambiguate flat plateaus. This last pass is a crucial step which is mandatory to guarantee the topological correctness in the PL sense of the output.

The optimal simplification of the function given some minima to remove is obtained in a completely symmetric fashion by considering the Join Tree  $\mathcal{T}_-$ .

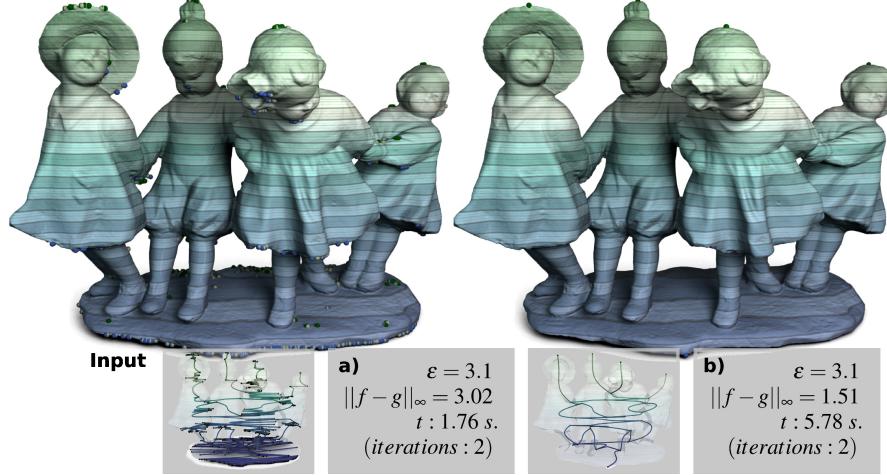
## 4 Results and Discussion

In this section, we present results of our algorithm obtained with a C++ implementation on a computer with an i7 CPU (2.93GHz). In the following, the function range of  $f$  exactly spans the interval  $[0, 100]$  for all data-sets.

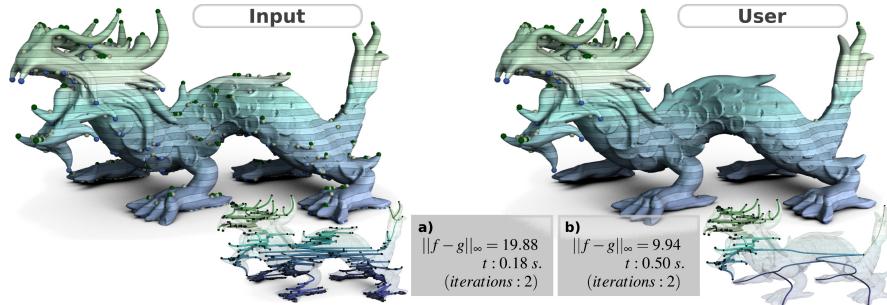
**Computational complexity.** The construction of the Split and Join Trees takes  $O(n \log(n)) + (n+e)\alpha(n+e)$  steps [8], where  $n$  and  $e$  are respectively the number of vertices and edges in  $\mathcal{S}$  and  $\alpha()$  is an exponentially decreasing function (inverse of the Ackermann function). The different tree traversals required to identify the sub-trees to remove and to propagate the target values take at most  $O(n \log(n))$  steps.

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<sup>2</sup> Since  $f(s_0) < f(s_1)$  and  $t_0 > t_1$ , then  $|f(s_0) - g(s_0)| = t_0 - f(s_0) > t_1 - f(s_1) = |f(s_1) - g(s_1)|$ . Thus, if  $T_0$  and  $T_1$  are the only sub-trees,  $\|f - g\|_\infty = t_0 - f(s_0)$ .



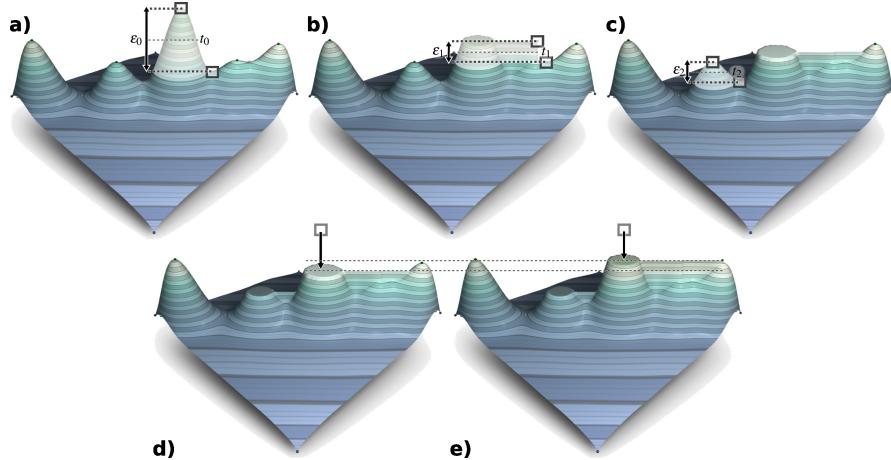
**Fig. 7** Comparison of the simplifications obtained with the algorithm proposed in [26] (a),  $\|f - g\|_\infty \leq \varepsilon$ ) and our new algorithm (b),  $\|f - g\|_\infty \leq \varepsilon/2$ ). Critical points are removed based on topological persistence (the persistence threshold is  $\varepsilon$ ). The topology of the fields is summarized with the inset Reeb graph for illustration purpose (input surface: 725k vertices).



**Fig. 8** User driven simplification. The statistics of the simplification are shown in the grey frames for the flattening-only algorithm [26] (a) and for our new algorithm (b). The topology of the fields is summarized with the inset Reeb graph for illustration purpose (input surface: 75k vertices).

Updating the function values of the vertices for flattening and bridging takes linear time. The algorithm by Tierny and Pascucci [26] employed to post-process the offset values has been shown to achieve  $O(n \log(n))$  performances in practice. Thus, the overall time-complexity of our algorithm is  $O(n \log(n))$ .

**Persistence driven simplification** is well understood in terms of infinity norm [10, 4]. We start our infinity norm evaluation with this setting to verify that our algorithm meets these expectations. As shown in Fig. 7, our algorithm improves the infinity norm in comparison with an algorithm solely based on flattening [26]. Given a persistence threshold  $\varepsilon$ , the output  $g$  generated by our algorithm satisfies



**Fig. 9** An arbitrary sequence of pairwise optimal simplifications (a-d) does *not* necessarily produce an *optimal* simplification. In this example, the global maximum is moved lower (d) than it would have been with our global algorithm (e). This results in a higher distance with regard to the infinity norm (d):  $\|f - g\|_\infty = 34.83$ , e):  $\|f - g\|_\infty = 26.02$ .

$\|f - g\|_\infty = \varepsilon^*/2$  if the most persistent pair selected for removal has persistence  $\varepsilon^* \leq \varepsilon$ .

**General simplification** aims for arbitrary critical point removal. Fig. 8 shows an example where the user interactively selected extrema to remove. Even in this general setting, our algorithm improved  $\|f - g\|_\infty$  by a factor of two compared to [26].

**Empirical optimality** of our algorithm is illustrated in the last part of the experimental section. We provide practical evidence for the minimization of  $\|f - g\|_\infty$ . As shown in Sec. 3.1 and in Fig. 1, an extremum-saddle pair can be removed optimally in a localized fashion. Hence, a general simplification can be achieved through a sequence of optimal pairwise removals for a given list of extrema to remove. However, such a general simplification is *not* necessarily optimal as shown in Fig. 9. The

	Terrain Fig. 6	Children Fig. 7	Dragon Fig. 8
Global algorithm	26.02	1.51	9.94
Pairwise sequences - Minimum	26.02	1.51	9.94
Pairwise sequences - Average	30.57	1.55	13.20
Pairwise sequences - Maximum	34.83	1.58	17.04

**Table 1** Distance  $\|f - g\|_\infty$  obtained with our algorithm (top) and with sequences of optimal pairwise simplifications (bottom 3) on several data-sets for a given constrained topology. For simplifications based on pairwise sequences (bottom 3), the order of simplification of the critical point pairs is defined randomly (100 runs per data-sets).

distance  $\|f - g\|_\infty$  is even depending on the order of extrema-saddle pair removals. To explore this space of optimal pairwise removal sequences in a Monte-Carlo fashion, we computed 100 sequences of pairwise removals ordered randomly for several data-sets (Figs. 6, 7, 8) with given sets of extrema to remove.

Table 1 shows the minimum, average, and maximum distances for each of the examples. The minimum distance  $\|f - g\|_\infty$  obtained with this Monte-Carlo strategy was never smaller than the distance obtained by our global algorithm. This illustrates that there exists no sequence of optimal pairwise removals that results in a smaller distance  $\|f - g\|_\infty$  than our algorithm. This shows empirically its optimality.

**Limitations.** Although our algorithm achieves the same time complexity as the flattening algorithm [26], our new algorithm is more computationally expensive, in practice (Figs. 7 and 8). This is due the use of the flattening algorithm in the final pass and the necessity of the Join and Split tree computations (which take longer than the flattening algorithm in practice).

In the general case, our algorithm may change the value of the maintained critical points after simplification. For instance, if the lowest minimum of  $\mathcal{C}_g^0$  is initially higher than the highest maximum of  $\mathcal{C}_g^2$ , the algorithm will change their values to satisfy the topological constraints.

Finally, our algorithm provides strong guarantees on the topology of the output and on  $\|f - g\|_\infty$  at the expense of geometrical smoothness.

## 5 Conclusion

In this paper, we have presented a new combinatorial algorithm for the optimal general simplification of piecewise linear scalar fields on surfaces. It improves over state-of-the art techniques by minimizing the norm  $\|f - g\|_\infty$  in the PL setting and in the case of general simplifications (where critical points can be removed arbitrarily). Experiments showed the generality of the algorithm as well as its time efficiency, and demonstrated in practice the minimization of  $\|f - g\|_\infty$ .

Such an algorithm can be useful to speed up topology analysis algorithms or to fix numerical instabilities occurring in the solve of numerical problems on surfaces (gradient field integration, scale-space computations, PDEs, etc.). Moreover, our algorithm provides a better data fitting than the flattening algorithm [26] since it minimizes  $\|f - g\|_\infty$ .

A natural direction for future work is the extension of this approach to volumetric data-sets. However, this problem is NP-hard as recently shown by Attali et al. [2]. This indicates that the design of a practical algorithm with strong topological guarantees is challenging.

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