

Test ideals, Cohen-Macaulay modules, and singularities of commutative rings

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Goals

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- 2 Describe new perspectives on how to do this
- 3 Give some theoretical extensions of this perspective to closure operations, interior operations, test ideals, cores, and hulls
- 4 Give examples of computations of test ideals of maximal Cohen-Macaulay modules.

Definition (Hochster 1975)

Let (R, m) be a Noetherian local ring. An R -module B (not necessarily finitely-generated, is a (balanced) big Cohen-Macaulay module if $mB \neq B$ and every system of parameters on R is a regular sequence on B .

Big Cohen-Macaulay modules and algebras, a brief history

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They were first studied by Hochster in the context of proving the homological conjectures, a family of related conjectures many of which were proved in the last case in the last year or two, following André's proof of the existence of big Cohen-Macaulay algebras in the mixed characteristic case.

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Ma-Schwede 2018: Used big Cohen-Macaulay algebras to define analogues of F-regular and F-rational singularities, using a big Cohen-Macaulay version of a test ideal for pairs.

Big Cohen-Macaulay module and algebra closures

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If B is a big Cohen-Macaulay algebra, it is sufficient to show that $1 \otimes x \in \text{im}(B \otimes_R N \rightarrow B \otimes_R M)$.

This is based on ideas used by Hochster and Huneke. What's new is that in my thesis I studied this as an example of a closure operation, a more general object that includes examples like tight closure, integral closure, Frobenius closure, plus closure, solid closure, and others.

Definition

A closure operation on a class of R -modules \mathcal{M} is a map that takes each pair $N \subseteq M$ of modules in \mathcal{M} to a new submodule N_M^{cl} of M such that:

- ① $N \subseteq N_M^{\text{cl}}$,
- ② $(N_M^{\text{cl}})_M^{\text{cl}} = N_M^{\text{cl}}$,
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Basic example:

Trivial closure: $N_M^{\text{cl}} = N$ for all $N \subseteq M \in \mathcal{M}$

Module closures

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Tight closure, integral closure, Frobenius closure, and solid closure are all variants on module closures. They all use a family of modules or algebras instead of one, or an extra multiplier.

Properties of big Cohen-Macaulay module closures

While module closures in general are useful, big Cohen-Macaulay module closures have a number of special properties.

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Theorem (RG 2016, 2019)

Let R be a complete local domain.

- 1 *R is regular if and only if cl_B is trivial for all big Cohen-Macaulay modules B .*
- 2 *If R has characteristic $p > 0$, R is weakly F -regular if and only if cl_B is trivial for all big Cohen-Macaulay algebras B .*

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These results establish the connection between big Cohen-Macaulay modules and algebras and singularities of the ring. But closure operations are only one of the tools used to study singularities.

Test ideals

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Let cl be a closure operation on a class of R -modules \mathcal{M} . The cl -test ideal of R is

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This is technically a single invariant of the ring, but is definitely still too complicated to compute. For tight closure, we have a number of different ways to represent the test ideal, some of which make it more possible to compute.

Test ideals of module closures

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Theorem (Pérez-RG 2019)

Let R be a Noetherian local ring B an R -module. If R is complete or B is finitely-generated, then the cl_B -test ideal of R agrees with the trace ideal $\text{tr}_B(R)$, which is defined as:

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When B is finitely-generated, we can compute $\text{tr}_B(R)$ using Macaulay2 (for specific examples). In particular, we can do this for finitely-generated Cohen-Macaulay modules, known as maximal Cohen-Macaulay modules.

Trace ideals

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Lindo-Pande 2018: Every ideal is a trace ideal if and only if the ring is Artinian Gorenstein.

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- ③ *If $\tau_{\text{cl}_B}(R) = R$ for some big Cohen-Macaulay module B , then R is Cohen-Macaulay.*

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- ③ *If $\tau_{\text{cl}_B}(R) = R$ for some big Cohen-Macaulay module B , then R is Cohen-Macaulay.*
- ④ *If B is a finitely-generated Cohen-Macaulay module, then $V(\tau_{\text{cl}_B}(R))$ is contained in the singular locus of R .*

Main result continued:

Theorem (Part 2)

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- 3 If R has countably many indecomposable finitely-generated Cohen-Macaulay modules, then $\tau_{\text{cl}_B}(R)$ may not be m -primary for all finitely-generated Cohen-Macaulay modules B .

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- 1 Study properties of test/trace ideals to get a better grasp on how they work and what results using tight closure, etc. we can prove in more generality
- 2 Compute examples of finitely-generated Cohen-Macaulay test ideals—now doable in Macaulay2—to study their connection to singularities

Definition

An interior operation int on \mathcal{M} is a map that takes each R -module $M \in \mathcal{M}$ to a submodule $\text{int}(M) \subseteq M$ such that

- ① $\text{int}(\text{int}(M)) = \text{int}(M)$
- ② If $L \subseteq M$, then $\text{int}(L) \subseteq \text{int}(M)$.

Closure-interior Duality: Interior Operations

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- ② Tight interior (Epstein-Schwede 2014)
- ③ Module trace:

$$\text{tr}_B(M) = \sum_{f \in \text{Hom}_R(B, M)} f(B).$$

Dual of a closure operation

Let R be a complete local Noetherian ring.

Let cl be a closure operation on \mathcal{M} . Let $\alpha(M)$ denote 0_M^{cl} . We define a dual to α on all modules $M \in \mathcal{M}^\vee$ as follows:

$$\alpha^\vee(M) = \left(\frac{M^\vee}{\alpha(M^\vee)} \right)^\vee.$$

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Given an interior operation int on \mathcal{M}^\vee , we define the dual of int on all modules $M \in \mathcal{M}$ to be

$$\text{int}^\vee(M) = \left(\frac{M^\vee}{\text{int}(M^\vee)} \right)^\vee.$$

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Proposition

Let R be a complete Noetherian local ring. If cl is a residual closure operation on \mathcal{M} and $\alpha(M) := 0_M^{\text{cl}}$, then $\alpha^\smile(M)$ is an interior operation on \mathcal{M}^\vee .

If int is an interior operation on \mathcal{M}^\vee , then $\text{int}^\smile(M) = 0_M^{\text{cl}}$ for a unique residual closure operation cl on \mathcal{M} .

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If int is an interior operation on \mathcal{M}^\vee , then $\text{int}^\sim(M) = 0_M^{\text{cl}}$ for a unique residual closure operation cl on \mathcal{M} .

Further, $\alpha^{\sim\sim}(M) = \alpha(M)$ for all $M \in \mathcal{M}$, and $\text{int}^{\sim\sim}(M) = \text{int}(M)$ for all $M \in \mathcal{M}^\vee$.

Closures are dual to their test ideals

Theorem (Epstein–RG 2019)

Let R be a complete Noetherian local ring, let cl be a closure operation on the set of Artinian R -modules \mathcal{A} , and let $\alpha(M) = 0_M^{cl}$ for all $M \in \mathcal{A}$. Then

$$\alpha^\smile(R) = \text{Ann}_R(\alpha(E)) = \bigcap_{M \in \mathcal{A}^\vee} \text{Ann}(\alpha(M)).$$

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In the case that cl is residual, this implies the dual of cl , when applied to R , is actually the cl -test ideal of R .

Module closures are dual to trace modules

Another consequence of the theorem is the following:

Corollary (Epstein–RG 2019)

Let R be a complete Noetherian local ring. Let B be an R -module and set $\alpha(M) := 0_M^{\text{cl}_B}$. Then $\alpha^\vee(M) = \text{tr}_B(M)$.

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This is similar to the result stated earlier that the test ideal of a module closure equals the trace ideal. Here, we require the ring to be complete, but in Epstein–RG 2019 this result is a product of the general closure-interior duality, rather than something specific to module closures.

Other directions for closure-interior duality

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- 5 Dual of localization. (Surprise: it's colocalization!)

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- 6 Dual of core

Core-Hull Duality: Definition of core

We use this framework to generalize the study of the core of an ideal and to prove that its dual, the hull of an ideal, exists.

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Definition

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This was originally defined for integral closure, then for tight closure.

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Definition

Let (R, cl) be a Noetherian local ring and cl a closure operation on the class of finitely-generated R -modules. We say that cl is a Nakayama closure if for $L \subseteq M \subseteq N \in$ satisfying $L \subseteq M \subseteq (L + M)_N^{\text{cl}}$ implies that $L_N^{\text{cl}} = M_N^{\text{cl}}$.

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If cl is any closure operation satisfying the Nakayama property, then the cl core will exist.

Definition

Let (R, \mathfrak{m}) be a Noetherian local ring and cl a closure operation on the class of finitely-generated R -modules. We say that cl is a Nakayama closure if for $L \subseteq M \subseteq N \in$ satisfying $L \subseteq M \subseteq (L + M)_{\mathfrak{m}}^{\text{cl}}$ implies that $L_N^{\text{cl}} = M_N^{\text{cl}}$.

Examples of Nakayama closures: tight closure, integral closure, Frobenius closure

Definition (Epstein-RG-Vassilev 2020)

Let int be an interior operation on the class of Artinian R -modules \mathcal{M} , where (R, m) is a Noetherian local ring. We say that int is Nakayama if whenever $A \subseteq B$ are Artinian modules such that

$$\text{int}(A :_B m) \subseteq A,$$

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Proposition

Let int be the dual of cl . Then cl is a Nakayama closure if and only if int is a Nakayama interior.

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More examples: Duals of all Nakayama closures, such as tight interior, integral interior, Frobenius interior.

Definition (Vassilev 2020)

Let int be an interior operation defined on the class of artinian R -modules \mathcal{M} . If $M \supseteq N$ are elements of \mathcal{M} , the int -hull of a submodule M with respect to N is

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Theorem (Epstein-RG-Vassilev 2020)

Let R be a complete Noetherian local ring, $A \subseteq B$ Artinian R -modules, and int a Nakayama interior defined on Artinian R -modules. Then the int -hull of A in B exists, and is dual to the cl -core of $(B/A)^\vee$ in B^\vee , where cl is the closure operation dual to int .

And now for something completely different: Examples

Recall the following result from earlier:

Theorem (Pérez-RG 2019)

Let R be a Noetherian local ring.

- ❶ *If R is a Cohen-Macaulay ring with canonical module ω , then R is Gorenstein if and only if $\tau_{\text{cl}_\omega}(R) = R$.*
- ❷ *If R has only finitely many indecomposable finitely-generated Cohen-Macaulay modules, then τ_{cl_B} is m -primary for all finitely-generated Cohen-Macaulay modules B .*
- ❸ *If R has countably many indecomposable finitely-generated Cohen-Macaulay modules, then $\tau_{\text{cl}_B}(R)$ may not be m -primary for all finitely-generated Cohen-Macaulay modules B .*
- ❹ *Let B be an R -module. If R is complete or B is finitely-generated, then the cl_B -test ideal of R agrees with the trace ideal $\text{tr}_B(R)$.*

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MCM-test ideal

Our goal is to compute the following test ideal:

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Let $MCM(R)$ denote the set of all maximal Cohen-Macaulay module R -modules, i.e. the finitely-generated Cohen-Macaulay R -modules. The maximal Cohen-Macaulay module test ideal of R is

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Plus, for nice enough rings, it turns out that every big Cohen-Macaulay module has a direct summand that is a finitely-generated Cohen-Macaulay module, and that is enough to imply that $\tau_{sing}(R) = \tau_{MCM}(R)$.

Example (Benali-Pothagoni-RG 2020)

If $R = k[[x^d, x^{d-1}y, \dots, xy^{d-1}, y^d]]$ where k is a field, then $\tau_{\text{MCM}}(R) = m$. Furthermore, $\tau_M(R) = m$ for all non-free indecomposable maximal Cohen-Macaulay modules M .

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Maximal Cohen-Macaulay modules (viewed as submodules of $S = k[[x, y]]$):

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In fact, setting $M_i = x^iR + x^{i-1}yR + \dots + y^iR$, $\text{Hom}_R(M_i, R)$ is generated by the following maps:

$$f_j(u) = x^{d-i-j}y^j u, \quad 0 \leq j \leq d-i.$$

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- ⑤ (E_8) Let $R = k[[x, y, z]]/(z^2 + x^3 + y^5)$. Then $\tau_{MCM}(R) = (x, y^2, z)$.

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Let k be a field of characteristic not equal to 2, 3, or 5.

- 1 (Whitney umbrella) Let $R = k[[x, y, z]]/(x^2y + z^2)$, where k is a field of some arbitrary characteristic. Then $\tau_{MCM}(R) = (x^2, z)$.

Countable Cohen-Macaulay type

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Notice in some of the non-domain examples, $\tau_{MCM}(R) = 0$, confirming that the domain hypothesis is needed for the results from Pérez-RG.

Theorem (Benali-Pothagani-RG 2020)

Suppose $R = k[[x, y, z]]/(z^2 + g(x, y))$ and φ is a matrix over $k[[x, y]]$ such that $(\overline{zid_n - \varphi}, zid_n + \varphi)$ is a matrix factorization of $z^2 + g(x, y)$. If $M = \overline{\text{coker}(zid_n - \varphi)}$, then the trace ideal of M is generated by the entries of $\overline{zid_n + \varphi}$.