

# Thesis

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# Chapter 1

## Maxwell's Equations

### 1.1 Minkowski space

The Minkowski space is  $\mathbb{R}^4$ , like a vector space, with the *Lorentz inner product*, which is the bilinear form defined by

$$\Lambda(x, y) = x^0 y^0 - x^1 y^1 - x^2 y^2 - x^3 y^3,$$

for  $x = (x^0, \dots, x^3), y = (y^0, \dots, y^3) \in \mathbb{R}^4$ . Physically,  $x^0$  denotes the time coordinate, and  $x^1, \dots, x^3$  denote the space ones. It is common in the physics literature to denote  $x$  by  $x^\mu$ , and it is called a *contravariant vector*.

*Remark.* We shall use the *Heaviside Lorentz units* where

$$\epsilon_0 = \mu_0 = c = 1,$$

which are the electric constant, magnetic constant and speed light, respectively.

The Lorentz form is defined by the matrix

$$\eta_{\alpha\beta} = \eta^{\alpha\beta} = \text{diag}(1, -1, -1, -1). \quad (1.1)$$

We employ the *Einstein's summation convention*: In any product of vector and tensor in which an index appears once as a subscript and once as a superscript, that index is to be summed from 0 to 3. For example

$$\Lambda(x, y) = \eta_{\alpha\beta} x^\alpha y^\beta. \quad (1.2)$$

Another convention we shall adopt is using the matrix  $g$  to raise or lower indices:

$$x_\mu = g^{\mu\nu} x^\nu, p^\mu = g_{\mu\nu} p_\nu.$$

We said  $x_\mu$  is a *covariant vector*.

Strictly speaking, vector whose component are denoted by subscripts should be constructed as elements of the dual space  $(\mathbb{R}^4)^*$ , and the map  $x^\alpha \mapsto x_\alpha$  is the isomorphism of  $\mathbb{R}^4$  with  $(\mathbb{R}^4)^*$  induced by the Lorentz form. Practically, the effect is to change the sign of the space components. Then, formula (1.2) can be written as

$$\Lambda(x, y) = x^\alpha y_\alpha = x_\alpha y^\alpha.$$

*Remark.* The Lorentz inner product of a vector  $x$  with itself is denoted by  $x^2$  and its Euclidean norm by  $|x|$ .

Vectors  $x$  such that  $x^2 > 0$ ,  $x^2 = 0$  or  $x^2 < 0$  are called *timelike*, *lightlike* or *spacelike*, respectively.

The notations for derivatives on  $\mathbb{R}^4$  is

$$\partial_\alpha = \frac{\partial}{\partial x^\alpha},$$

so that, with respect to space-time coordinates  $\mathbf{x}, t$

$$\partial_\alpha = (\partial_0, \dots, \partial_3) = (\partial_t, \nabla_{\mathbf{x}}), \partial^\beta = (\partial^0, \dots, \partial^3) = (\partial_t, -\nabla_{\mathbf{x}}), \quad (1.3)$$

and  $\partial^2$  is the wave operator or d'Alembertian:

$$\partial^2 = \partial_0^2 - \partial_1^2 - \partial_2^2 - \partial_3^2 = \partial_t^2 - \nabla_{\mathbf{x}}^2.$$

## 1.2 Covariant form of Maxwell's equations

Maxwell electromagnetic theory provides an important example of a gauge theory. The Maxwell equations in a classical form are given by:

$$\nabla \cdot B = 0 \quad (1.4)$$

$$\nabla \times E + \partial B / \partial t = 0 \quad (1.5)$$

$$\nabla \cdot E = \rho \quad (1.6)$$

$$\nabla \times B - \partial E / \partial t = J \quad (1.7)$$

where the electric field  $E$  and the magnetic field  $B$  are time dependent vector fields on some subset of  $\mathbb{R}^3$  and  $\rho$  and  $J$  are the charges and current densities respectively.

From (1.6) and (1.7), we obtain *the continuity equation*

$$\nabla \cdot J + \frac{\partial \rho}{\partial t} = 0. \quad (1.8)$$

From (1.4), there is a vector function  $A$ , called the vector potential, such that

$$B = \nabla \times A. \quad (1.9)$$

Substituting into (1.5), we obtain

$$\nabla \times \left( E + \frac{\partial A}{\partial t} \right) = 0, \quad (1.10)$$

and therefore, there is a scalar potential  $\Phi$ , such that

$$E = -\nabla \Phi - \frac{\partial A}{\partial t}. \quad (1.11)$$

Maxwell's equations can be written in *covariant form* by introducing

$$A^\alpha = (\Phi, A) = (A^0, \dots, A^3) \quad (1.12)$$

$$J^\alpha = (\rho, J) = (J^0, \dots, J^3). \quad (1.13)$$

Using this notation, we define

$$F^{\alpha\beta} = \partial^\alpha A^\beta - \partial^\beta A^\alpha, \quad (1.14)$$

and from (1.9) and (1.10), we obtain the *contravariant field tensor*

$$F^{\alpha\beta} = \begin{bmatrix} 0 & E_1 & E_2 & E_3 \\ -E_1 & 0 & B_3 & -B_2 \\ -E_2 & -B_3 & 0 & B_1 \\ -E_3 & B_2 & -B_1 & 0 \end{bmatrix}. \quad (1.15)$$

The components of the fields in equations (1.9) (1.11) can be identified as

$$E_i = F^{0i} \quad (1.16)$$

$$B_i = \frac{1}{2} \epsilon^{ijk} F^{jk}, \quad i, j, k = 1, 2, 3, \quad (1.17)$$

where

$$\epsilon^{ijk} = \begin{cases} 1 & (i, j, k) = (1, 2, 3), (3, 1, 2), (2, 3, 1), \\ -1 & (i, j, k) = (1, 3, 2), (3, 2, 1), (2, 1, 3), \\ 0 & \text{otherwise} \end{cases}$$

is the *Levi-Civita symbol*.

For the *covariant field tensor* defined by

$$F_{\alpha\beta} = \partial_\alpha A_\beta - \partial_\beta A_\alpha, \quad (1.18)$$

we obtain

$$F_{\alpha\beta} = \eta_{\alpha\gamma} \eta_{\beta\lambda} F^{\gamma\lambda} = \begin{bmatrix} 0 & -E_1 & -E_2 & -E_3 \\ E_1 & 0 & B_3 & -B_2 \\ E_2 & -B_3 & 0 & B_1 \\ E_3 & B_2 & -B_1 & 0 \end{bmatrix}. \quad (1.19)$$

The homogeneous Maxwell's equations (1.4) and (1.5) correspond to the Jacobi identities:

$$\partial^\gamma F^{\alpha\beta} + \partial^\alpha F^{\beta\gamma} + \partial^\beta F^{\gamma\alpha} = 0, \quad (1.20)$$

where  $\alpha, \beta, \gamma \in \{0, \dots, 3\}$ .

For example, if  $\gamma = 1, \alpha = 2, \beta = 3$  we have from (1.15) and (1.20),

$$\partial^1 F^{32} + \partial^2 F^{13} + \partial^3 F^{21} = -(\partial^1 B_1 + \dots + \partial^3 B_3) = -(\partial_1 B_1 + \dots + \partial_3 B_3) = 0, \quad (1.21)$$

which indeed corresponds to (1.4).

The inhomogeneous Maxwell's equations (1.7) and (1.6) can be written as

$$\partial_\beta F^{\alpha\beta} = J^\alpha. \quad (1.22)$$

For example, if  $\alpha = 0$ , we have from (1.15) and (1.22)

$$\partial_0 F^{00} + \dots + \partial_3 F^{03} = \partial_1 E_1 + \dots + \partial_3 E_3 = \rho, \quad (1.23)$$

which indeed corresponds to (1.7).

*Remark.* Maxwell's equations have been reduced to (1.20) and (1.22). The continuity equation in covariant form can be obtained from (1.22) by operating  $\partial_\mu$  on both sides. Then

$$\partial_\alpha J^\alpha = \partial_\alpha \partial_\beta F^{\alpha\beta} = 0, \quad (1.24)$$

since  $\partial_\alpha \partial_\beta$  is symmetric in  $\alpha$  and  $\beta$  while  $F^{\alpha\beta}$  is antisymmetric in  $\alpha$  and  $\beta$ . The expression (1.24) is *the conservation of electric charge* whose underlying symmetry is gauge invariance.

### 1.3 Gauge transformation

Equations (1.9) and (1.11) show that  $A$  determines  $B$ , as well as part of  $E$ . Notice that  $B$  is left invariant by the transformation

$$A \mapsto A' = A + \nabla\chi, \quad (1.25)$$

for any scalar function  $\chi$ . The invariance of  $E$  is accomplished by the transformation

$$\Phi \mapsto \Phi' = \Phi - \frac{\partial\chi}{\partial t}. \quad (1.26)$$

The transformations (1.25) and (1.26) are called *gauge transformations*, and the invariance of the fields under such transformations is called *gauge invariance*.

In the language of covariance, we see that  $A^\alpha = (\Phi, A)$  is not unique: the same electromagnetic field tensor  $F^{\alpha\beta}$  can be obtained from potential

$$A^\alpha = \left( \Phi - \frac{\partial\chi}{\partial t}, A + \nabla\chi \right). \quad (1.27)$$

Substituting (1.27) into (1.14) we obtain

$$\begin{aligned} F^{\alpha\beta} &= \partial^\alpha A^\beta - \partial^\beta A^\alpha + [\partial^\alpha, \partial^\beta] \chi \\ &= \partial^\alpha A^\beta - \partial^\beta A^\alpha + [\partial^\alpha, \partial^\beta] \chi \\ &= \partial^\alpha A^\beta - \partial^\beta A^\alpha, \end{aligned}$$

using the fact that  $[\partial^\alpha, \partial^\beta] = 0$ .

*Remark.* The transformation  $A^\alpha \mapsto A'^\alpha = A^\alpha + \partial^\alpha \chi$  is a gauge transformation.

### 1.4 The metric

**Definition 1.1.** A real vector space  $V$  is called a metric vector space if on  $V$ , a scalar product is defined as a map

$$g : V \times V \rightarrow \mathbb{R},$$

such that for all  $u, v \in V$  and  $\lambda \in \mathbb{R}$  the following properties are satisfied:

**(Bilinear)**  $g(\lambda u + v, w) = \lambda g(u, w) + g(v, w)$

**(Symmetric)**  $g(u, v) = g(v, u)$

**(Non-degenerate)**  $\forall u \in V, g(u, v) = 0 \iff v = 0$



If  $e_\alpha$  is the orthonormal basis in  $V$ , then

$$g(e_\alpha, e_\beta) = g_{\alpha\beta} = \pm\delta_{\alpha\beta} = \begin{cases} \pm 1 & \alpha = \beta \\ 0 & \alpha \neq \beta \end{cases} \quad (1.28)$$

The signature of the metric is defined by the number of  $+1$ 's and  $-1$ 's, usually denoted by  $(p, q)$ . The idea if a metric can be extended to the space  $\mathfrak{F}(M)$  of all vector fields and the space  $\Omega^1(M)$  of all 1-forms on a manifold  $M$ . On a smooth manifold  $M$ , a metric  $g$  assigns to each point  $p \in M$  a metric  $g_p$  on the tangent space  $T_p M$  in a smooth varying way

$$g_p : T_p M \times T_p M \rightarrow \mathbb{R},$$

which satisfies the above propierties with  $\lambda$  replaced by  $f \in C^\infty(M)$ , and  $g(u, v)$  is a funtion on  $M$  whose value at  $p$  is  $g_p(u_p, v_p)$ , where  $u, v \in \mathfrak{F}(M)$  and  $u_p, v_p \in T_p M$ .

If the signature of  $g$  is  $(n, 0)$ ,  $n$  being the dimension of  $M$ , we say that  $g$  is a Riemmanian metric, while if  $g$  has the signature of  $(n - 1, 1)$ , we say that  $g$  is Lorentzian. A manifold equipped with a metric will be called a semi-Riemmannian manifold denoted by  $(M, g)$ .

Setting  $\tilde{g}(u)(v) = g(u, v)$ , we obtain an isomorphism

$$\tilde{g} : T_p M \rightarrow T_p^* M, \quad (1.29)$$

which can be proved by using the non-degeneracy propierty and the fact that  $\dim T_p M = \dim T_p^* M$ .

Let  $\{\partial_\alpha\}$  be a basis of a vector field on an open neighbourhood  $U$  of a point in  $M$ , then, the components of the metric are given by

$$g_{\alpha\beta} = g(\partial_\alpha, \partial_\beta). \quad (1.30)$$

If the dimension of  $M$  es  $n$ , then  $g_{\alpha\beta}$  is an  $n \times n$  matrix. The non-degeneracy property shows that  $g_{\alpha\beta}$  is invertible and we shall denote the inverse by  $g^{\alpha\beta}$ , also  $g_{\alpha\gamma}g^{\alpha\beta} = \delta_\gamma^\beta$ . This leads to the raising and lowering of indices which is a process of converting vector fields to 1-forms. With the help of (1.29), one can easily convert between tangent vectors and contangent vectors.

**Example 1.1.** If  $u = u^\alpha \partial_\alpha$  is a vector field on a chart, then the corresponding 1-form can be calculated can be calculated as follows:

$$\begin{aligned} \tilde{g}(u)(v) &= g_{\alpha\beta} u^\alpha v^\beta \\ &= g_{\alpha\gamma} \delta_\beta^\gamma u^\alpha v^\beta \\ &= g_{\alpha\gamma} u^\alpha v^\beta dx^\gamma (\partial_\beta) \\ &= (g_{\alpha\gamma} u^\alpha dx^\gamma) v^\beta \partial_\beta = (u_\gamma dx^\gamma) v \end{aligned}$$

Therefore  $\tilde{u} = u_\gamma dx^\gamma$ , where  $u_\gamma = g_{\alpha\gamma} u^\alpha$ .

Conversely, if  $\xi = \xi_\beta dx^\beta$  is a 1-form on a chart, then the corresponding vector field can be calculated as follows:

$$\begin{aligned} \tilde{g}^{-1}(\xi)(\eta) &= g^{\beta\gamma} \xi_\beta \eta_\gamma \\ &= g^{\beta\alpha} \xi_\beta \eta_\gamma dx^\gamma (\partial_\alpha) \\ &= (\xi^\alpha \partial_\alpha) \eta, \end{aligned}$$

Therefore  $\tilde{g}^{-1}(\xi) = \xi^\alpha \partial_\alpha$ , where  $\xi^\alpha = g^{\beta\alpha} \xi_\beta$ .

Using the fact that we can switch from 1-form to vector fields and vice versa with the help of a metric, we define the inner product of the two 1-form  $\xi, \eta$  as

$$\langle \xi, \eta \rangle = g^{\beta\gamma} \xi_\beta \eta_\gamma. \quad (1.31)$$

if  $\theta^1 \wedge \cdots \wedge \theta^p$  and  $\sigma^1 \wedge \cdots \wedge \sigma^p$  are orthonormal basis of  $p$ -forms, then

$$\langle \theta^1 \wedge \cdots \wedge \theta^p, \sigma^1 \wedge \cdots \wedge \sigma^p \rangle = \det [g(\theta^\alpha, \sigma^\beta)], \quad (1.32)$$

we define

$$\langle \theta^\alpha, \theta^\beta \rangle = \begin{cases} \varepsilon_\beta = \pm 1, & \alpha = \beta \\ 0, & \alpha \neq \beta. \end{cases} \quad (1.33)$$

**Definition 1.2.** Let  $M$  be an  $n$ -dimensional manifold, we define the volume form on a chart  $(U_\alpha, \phi_\alpha)$  as

$$\mathfrak{V} = dx^1 \wedge \cdots \wedge dx^n;$$

if the manifold is a semi-Riemannian manifold we have,

$$\mathfrak{V} = \sqrt{|\det g_{\alpha\beta}|} dx^1 \wedge \cdots \wedge dx^n.$$

## 1.5 The Hodge Star Operator

**Definition 1.3.** The Hodge star operator is the unique linear map on a semi-Riemannian manifold from  $p$ -forms to  $(n-p)$ -forms defined by

$$\star : \Omega^p(M) \rightarrow \Omega^{(n-p)}(M),$$

such that for all  $\xi, \eta \in \Omega^p(M)$ ,

$$\xi \wedge \star \eta = \langle \xi, \eta \rangle \mathfrak{V}.$$

This is an isomorphism between  $p$ -forms and  $(n-p)$ -forms, and  $\star \eta$  is called the dual of  $\eta$ . Suppose that  $dx^1, \dots, dx^n$  are positively oriented orthonormal basis of 1-forms on some chart  $(U_\alpha, \phi_\alpha)$  on a manifold  $M$ .

Let  $1 \leq i_1 < \cdots < i_p \leq n$  be an ordered distinct increasing indices and let  $j_1 < \cdots < j_{n-p}$  be their complement in the set  $\{1, \dots, n\}$ . Then

$$dx^{i_1} \wedge \cdots \wedge dx^{i_p} \wedge dx^{j_1} \wedge \cdots \wedge dx^{j_{n-p}} = \text{sign}(I) dx^1 \wedge \cdots \wedge dx^n, \quad (1.34)$$

where,  $\text{sign}(I)$  is the sign of the permutation

$$\begin{pmatrix} i_1 & \cdots & i_p & j_1 & \cdots & j_{n-p} \\ 1 & \cdots & p & p+1 & \cdots & n \end{pmatrix}.$$

In other words, the wedge products of a  $p$ -forms and  $(n-p)$ -forms yield the volume form up to a sign.

*Remark.*  $\star 1 \in \Omega^n(M)$  is the volume form  $\mathfrak{V}$ . For a domain  $D \subset M$ ,  $\int_D \mathfrak{V}$  is the volume of  $D$ . In particular, if  $M$  is compact,  $\int_M \mathfrak{V}$  is called the volume of  $M$ .

We state the following proposition without proof:

**Proposition 1.1** ([4], 4.7). *The  $\star$ -operator of Hodge has the following properties. For any  $f, g \in C^\infty(M)$  and  $\xi, \eta \in \Omega^p(M)$  we have*

$$(i) \star(f\xi + g\eta) = f\star\xi + g\star\eta$$

$$(ii) \star\star\xi = (-1)^{p(n-p)}\xi$$

$$(iii) \xi \wedge \star\eta = \eta \wedge \star\xi$$

$$(iv) \star(\xi \wedge \star\eta) = \langle \xi, \eta \rangle$$

$$(v) \langle \star\xi, \star\eta \rangle = \langle \xi, \eta \rangle$$

Now let  $M$  be an oriented Riemannian manifold. For any  $X \in \mathfrak{F}(M)$ , let  $\xi_X$  be the 1-form corresponding to  $X$  by the isomorphism  $\mathfrak{F}(M) \cong \Omega^1(M)$ . We set

$$\nabla \cdot X = \star d \star \xi_X.$$

It is called the *divergence* of  $X$ . If  $M$  comes with opposite orientation,  $\star$  changes to  $-\star$ . Since  $\star$  appears twice in the definition of  $\nabla \cdot$ , it follows that  $\nabla \cdot X$  is defined independently of the choice of orientation.

Let  $I_n$  denote the set  $\{1, \dots, n\}$ .

**Example 1.2.** On the Euclidean space  $\mathbb{R}^n$ , to  $X = \sum_{k \in I_n} u^k \partial_k$ , there correspond  $\xi_X = \sum_{k \in I_n} u^k dx^k$ . Hence we get

$$\nabla \cdot X = \sum_{k \in I_n} \partial_k u^k \quad (1.35)$$

by direct computation. This is the original definition of divergence.

We state the following theorem without proof:

**Theorem 1.2** ([4], 4.9). *Let  $M$  be an oriented compact Riemannian manifold. If  $X$  is a vector field on  $M$ , then we have the equality*

$$\int_M \nabla \cdot X \, \mathfrak{V}_M = \int_{\partial M} \langle X, n \rangle \, \mathfrak{V}_{\partial M},$$

where  $n$  is the outward unit normal vector field on  $\partial M$ . In particular, if  $M$  is a closed manifold, then

$$\int_M \nabla \cdot X \, \mathfrak{V}_M = 0.$$

*Remark.* Let  $d : \Omega^\star(\mathbb{R}^3) \rightarrow \Omega^\star(\mathbb{R}^3)$  be the exterior derivative,  $f \in C^\infty(M)$  and  $A \in \Omega^1(\mathbb{R}^3)$ . Then

$$df = \sum_{k \in I_3} \partial_k f dx^k. \quad (1.36)$$

Thus, for  $dx = (dx^1, dx^2, dx^3)$ ,

$$df = \langle \nabla f, dx \rangle. \quad (1.37)$$

Let  $A = \sum_{k \in I_3} A_k dx^k$  be a 1-form in  $\mathbb{R}^3$ . Then

$$\begin{aligned} dA &= \sum_{n \in I_3} (\partial_{\sigma^n(1)} A_{\sigma^n(2)} - \partial_{\sigma^n(2)} A_{\sigma^n(1)}) dx^{\sigma^n(1)} \wedge dx^{\sigma^n(2)}, \\ \star dA &= \sum_{n \in I_3} (\partial_{\sigma^n(2)} A_{\sigma^n(3)} - \partial_{\sigma^n(3)} A_{\sigma^n(2)}) dx^{\sigma^n(1)}, \end{aligned} \quad (1.38)$$

where  $\sigma^n(\cdot) = (1 \ 2 \ 3)^n \in S_3$ . Therefore,

$$\star dA = \langle (\nabla \times A), dx \rangle. \quad (1.39)$$

In this way,  $d(df) = \nabla \times (\nabla f) = 0$  and  $d(dA) = \nabla \cdot (\nabla \times A) = 0$ .

## 1.6 The Homogeneous Maxwell's Equations

Instead of treating the magnetic field as a vector  $B = (B_1, B_2, B_3)$  we will treat it as a 2-form

$$B = \sum_{n \in I_3} B_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}. \quad (1.40)$$

Similary, instead of treating the electric field as a vector  $E = (E_1, E_2, E_3)$ , we will teat it as a 1-form

$$E = \sum_{k \in I_3} E_k dx^k. \quad (1.41)$$

Assume that  $M$  is a semi-Riemmanian Manifold equipped with Minkowski, i.e., as a 4-dimensional Lorentzian manifold or *spacetime*. Furthermore, we shall assume that the spacetime  $M$  can be split into 3-dimensional manifold  $S$ , “space”, with a Riemmanian metric and another space  $\mathbb{R}$  for time. Then,

$$M = \mathbb{R} \times S.$$

Let  $x^i$ ,  $i \in I_3$  denote local coordinates on an open subset  $U \subset S$ , and let  $x^0$  denote the coordinate on  $\mathbb{R}$ , then the local coordinates on  $\mathbb{R} \times U \subset M$  will be those given by  $x^\alpha = (x^0, \dots, x_3) = (t, x)$ . with the metric defined  $\eta_{\alpha\beta}$  by (1.1).

We can then combine the electric and magnetic fields into a unified electro-magnetic field  $F$ , which is a 2-form on  $\mathbb{R} \times U \subset M$  defined by

$$F = B + E \wedge dx^0. \quad (1.42)$$

In comonent form we have

$$F = \frac{1}{2} F_{\alpha\beta} dx^\alpha \wedge dx^\beta, \quad (1.43)$$

where  $F_{\alpha\beta}$  is given by (1.19).

Explicity, we have

$$F = \sum_{k \in I_3} E_k dx^k \wedge dx^0 + B = \sum_{n \in I_3} B_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}. \quad (1.44)$$

Taking the exterior derivative if (1.42) we obtain

$$dF = d(B + E \wedge dx^0) = dB + dE \wedge dx^0. \quad (1.45)$$

In general, for any differential form  $\eta$  on spacetime, we have

$$\eta = \eta_I dx^I, \quad (1.46)$$

where  $I$  range over  $I_n^p := \{A \subset I_n | \#A = p\}$ , and  $\eta_I$  is a function of spacetime.

Taking the exterior derivative of (1.46), we obtain

$$\begin{aligned} d\eta &= \partial_\alpha \eta_I dx^\alpha \wedge dx^I \\ &= \sum_{k \in I_3} \partial_k \eta_I dx^k \wedge dx^I + \partial_0 \eta_I dx^0 \wedge dx^I \\ &= d_s \eta_I + dx^0 \wedge \partial_0 \eta_I, \end{aligned}$$

Then,  $d = d_s + dx^0 \wedge \partial_0$ , where  $d_s$  is the exterior derivative of space and  $x^0 = t$ .

Since  $B, E$  are differential forms on a spacetime, we shall split the exterior derivative into spacelike part and timelike part. Using the identity above, we obtain the following form (1.45)

$$\begin{aligned} dF &= d_s B + dx^0 \wedge \partial_0 B + (d_s E + dx^0 \wedge \partial_0 E) \wedge dx^0 \\ &= d_s B + (d_s E + \partial_0 B) \wedge dx^0 + dx^0 \wedge dx^0 \wedge \partial_0 E \\ &= d_s B + (d_s E + \partial_0 B) \wedge dx^0. \end{aligned}$$

Now,  $dF = 0$  is the same as

$$d_s B = 0 \quad (1.47)$$

$$d_s E + \partial_0 B = 0. \quad (1.48)$$

The equations (1.47) and (1.48) are exactly the same as (1.4) and (1.5). Hence, the homogeneous Maxwell's equations correspond to the closed form  $dF = 0$  which is similar to the Jacobi identities (1.20).

## 1.7 Inhomogeneous Maxwell's Equations

Starting from (1.44) and using the relations:

$$\star(dx^{\sigma^n(1)} \wedge dx^0) = dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}, \quad n \in I_3. \quad (1.49)$$

we obtain:

$$\star F = \sum_{n \in I_3} E_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)} - \sum_{k \in I_3} B_k dx^k \wedge dx^0, \quad (1.50)$$

or

$$\star F = \frac{1}{2} (\star F)_{\alpha\beta} dx^\alpha \wedge dx^\beta \quad (1.51)$$

where

$$(\star F)_{\alpha\beta} = \begin{bmatrix} 0 & B_1 & B_2 & B_3 \\ -B_1 & 0 & E_3 & -E_2 \\ -B_2 & -E_3 & 0 & E_1 \\ -B_3 & E_2 & -E_1 & 0 \end{bmatrix}. \quad (1.52)$$

Thus, the effect in (1.19) of the dual operator on  $F$  amounts to the exchange

$$E_i \mapsto -B_i, \quad B_i \mapsto E_i, \quad i \in I_3.$$

Combining the charge density  $\rho$  and the current density  $J$  into a unified vector field on Minkowski spacetime, we obtain

$$\mathbf{J} = J^\alpha \partial_\alpha = \rho \partial_0 + J^1 \partial_1 + J^2 \partial_2 + J^3 \partial_3. \quad (1.53)$$

Using the result of example 1.1, with Minkowski metric (1.1), we obtain the 1-form

$$J = J_\beta dx^\beta = \sum_{k \in I_3} J^k dx^k - \rho dx^0, \quad (1.54)$$

where

$$J_\beta = \eta_{\alpha\beta} J^\alpha. \quad (1.55)$$

Let  $\star_s$  denote the Hodge star operator on space, using relations

$$dx^{\sigma^n(1)} = \star(dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}), \quad n \in I_3, \quad (1.56)$$

we can see that (1.50) is the same as

$$\star F = \star_s E - \star_s B \wedge dx^0 \quad (1.57)$$

which amounts to the exchange

$$E \mapsto -\star_s B, \quad B \mapsto \star_s E,$$

in (1.42), taking the exterior derivative of (1.57) and applying the Hodge star operator, we obtain

$$\star d \star F = -\star_s d_s \star_s E \wedge dx^0 - \partial_0 E + \star_s d_s \star_s B. \quad (1.58)$$

If we set  $\star d \star F = J$  and equate components, we obtain

$$\star_s d_s \star_s E = \rho \quad (1.59)$$

$$-\partial_0 E + \star_s d_s \star_s B = J^i dx^i, \quad i \in I_3, \quad (1.60)$$

which is exactly the inhomogeneous Maxwell's equations (1.6) and (1.7).

Thus, the Maxwell's equations have been rewritten as the following ones

$$dF = 0 \quad (1.61)$$

$$\star d \star F = J \quad (1.62)$$

The continuity equation in differential form will be derived from (1.62).

From prop. 1.1, we obtain  $\star^2 = 1$ . Applying  $d\star$ , to (1.62), we obtain

$$d \star J = dd \star F = 0. \quad (1.63)$$

Using the relations

$$\star dx^{\tilde{\sigma}^n(0)} + \sum_{n \in I_3} dx^{\tilde{\sigma}^n(1)} \wedge dx^{\tilde{\sigma}^n(2)} \wedge dx^{\tilde{\sigma}^n(3)} = 0, \quad (1.64)$$

where  $\tilde{\sigma}^n(\cdot) = (1 \ 2 \ 3 \ 0)^n \in S_4$ , we obtain, with  $J^0 = \rho$ ,

$$\star J = \sum_{n \in I_4} (-1)^n J^{\tilde{\sigma}^n(0)} dx^{\tilde{\sigma}^n(1)} \wedge dx^{\tilde{\sigma}^n(2)} \wedge dx^{\tilde{\sigma}^n(3)}. \quad (1.65)$$

Operating the exterior derivative on (1.65), we obtain

$$d \star J = \sum_{k \in I_4} \partial_k J^k dx^0 \wedge \dots \wedge dx^3. \quad (1.66)$$

Therefore  $d \star J = 0$  correspond to

$$\sum_{k \in I_4} \partial_k J^k = 0, \quad (1.67)$$

which is exactly the continuity equation (1.8).

## 1.8 The Vacuum Maxwell's Equations

In free space or *vacuum*, Maxwell's equations correspond to  $\rho, \mathbf{J} = 0$ , i.e.,  $J = 0$ , which amounts to the exchange

$$F \mapsto \star F.$$

We say that  $F \in \Omega^2(M)$  is *self-dual* if  $\star F = F$  and *anti-self-dual* if  $\star F = -F$ . In 3-dimensional Riemannian manifold, it was shown that  $\star^2 = 1$ . This implies that the Hodge star operator has eigenvalues  $\pm 1$ . Therefore, if we take  $F_{\pm} = \frac{1}{2}(F \pm \star F)$ , we can consider any  $F \in \Omega^2(M)$  as a sum of a self-dual and anti-self-dual:

$$F = F_+ + F_-,$$

where  $\star F = \pm F_{\pm}$ .

However, in the Lorentzian case  $\star^2 = -1$ , which implies that the eigenvalues are  $\pm i$ . If we consider complex-valued differential forms on  $M$ , it follows that, for any  $F \in \Omega^2(M)$ , we have

$$F = F_+ + F_-,$$

where  $\star F = \pm i F_{\pm}$ .

In both cases, if  $F$  is a self-dual or anti-self-dual 2-form satisfying

$$dF = 0, \quad (1.68)$$

automatically it satisfies

$$\star d \star F = 0. \quad (1.69)$$

Certainly,  $F$  is a complex-valued in the Lorentzian case, but we can always split the real and the imaginary parts and obtain a real solution using the fact that (vacuum) Maxwell's equations are linear, which correspond to either (1.68) or (1.69).





## Chapter 2

# Theory of Gauge Fields

### 2.1 Principal Fiber Bundles

Classical gauge theories are mathematically described using principal fiber bundles.

**Definition 2.1** ([5], 1.1.1). A principal fiber bundle  $\pi : P \rightarrow M$  with structure group  $G$  consists of smooth manifolds  $P, M$  and a Lie Group  $G$  together with a smooth surjective projection map  $\pi : P \rightarrow M$ , where the Lie group  $G$  has a free smooth right action on  $P$  and  $\pi^{-1}(\pi(p)) = \{pg : g \in G\}$ . If  $x \in M$ , then  $\pi^{-1}(x)$  is called the fiber above  $x$ .

Furthermore, we require that for each  $x \in M$  there exists an open set  $U$  containing  $x$  and a diffeomorphism  $T_U : \pi^{-1}(U) \rightarrow U \times G$  of the form  $\phi_U(p) = (\pi(p), s_U(p))$ , where  $s_U : P \rightarrow G$  has the property  $s_U(pg) = s_U(p)g$  for all  $g \in G, p \in \pi^{-1}(U)$ . The map  $T_U$  is called local trivialization (or, in physics language, a choice of gauge).

Let  $T_U : \pi^{-1}(U) \rightarrow U \times G$  and  $T_V : \pi^{-1}(V) \rightarrow V \times G$  be two local trivializations of a principal fiber bundle  $\pi : P \rightarrow M$ . The *transition function* from  $U$  to  $V$  is the map  $g_{UV} : U \cap V \rightarrow G$  defined, for  $x = \pi^{-1}(p) \in U \cap V$ , by  $g_{UV}(x) = s_V(p)s_U(p)^{-1}$ . This is independent of the choice of  $p \in \pi^{-1}(x)$ .

**Theorem 2.1** ([5], 1.1.5). A principal bundle  $\pi : P \rightarrow M$ , with structure group  $G$  is a trivial bundle if and only if it admits a global section  $M \rightarrow P$ .

*Proof.* Let  $\sigma : U \rightarrow P$  be a given local section. Then define the smooth map  $T_U : \pi^{-1}(U) \rightarrow U \times G$  by  $T_U(\sigma(x)g) = (\pi(p), g)$ . We verify that indeed  $T_U(\sigma(x)gh) = (\pi(p), gh)$  so that  $T_U$  is a local trivialization. Conversely, let  $T_U : \pi^{-1}(U) \rightarrow U \times G$  be a given local trivialization, then define a section  $\sigma : U \rightarrow \pi^{-1}(U)$  by  $\sigma(x) = \phi_u^{-1}(x, e)$ . This, we see that local sections correspond to a local trivializations. In particular, this implies that a global sections exists if and only if the bundle is trivial.  $\square$

**Example 2.1** (Square root). The map  $z \mapsto z^2$  in  $S^1$  induces a principal bundle  $\pi : S^1 \rightarrow S^1$  with structure group  $\mathbb{Z}^2$ . It is locally trivial since, locally, on the circle there always exists a smooth square root function. Since the total space is  $S^1$  and not  $S^1 \times \mathbb{Z}_2$  this bundle is not trivial. Hence there does not exist a continuous square root function on  $S^1$ .

**Example 2.2** (Hopf fibration). Identify  $\mathbb{R}^3$  with  $\mathbb{C} \times \mathbb{R}$  by  $(x_1, x_2, x_3) \mapsto (z = x_1 + ix_2, x = x_3)$  and  $\mathbb{R}^4$  with  $\mathbb{C}^2$  by identifying  $(x_1, \dots, x_4) \mapsto (z_1 = x_1 + ix_2, z_2 = x_3 + ix_4)$ . Then the unit sphere  $S^2$  in  $\mathbb{R}^3$  is identified with  $\{(z, x) \mid |z|^2 + |x|^2 = 1\}$  and  $S^3$  is identified with  $\{(z_1, z_2) \mid |z_1|^2 + |z_2|^2 = 1\}$ .

The Hopf fibration is defined by

$$p(z_1, z_2) = (2z_1 z_2^*, |z_1|^2 |z_2|^2).$$

Then  $p$  maps  $S^3$  onto  $S^2$  as can be checked:

$$4|z_1|^2 |z_2|^2 + (|z_1|^2 - |z_2|^2)^2 = (|z_1|^2 + |z_2|^2)^2 = 1.$$

It can be shown that  $p$  maps elements of  $S^3$  to the same point in  $S^2$  if and only if these points are the same up to a factor  $\lambda \in U(1)$ . The bundle is not global trivial, but for Hopf fibration it is enough to remove a single point  $m \in S^2$ , thus one can take  $U = S^2 - m$  as trivializing neighbourhoods, and any point in  $S^2$  has a neighbourhood of this form. Hence  $p : S^3 \rightarrow S^2$  is a principal  $U(1)$ -bundle.

## 2.2 Connections and gauge potential

**Definition 2.2** ([5], 1.2.1). A *connection* assigns to each  $p \in P$  a subspace  $H_p \subset T_p P$  such that

- (i)  $T_p P = V_p \oplus H_p$ , where  $V_p = \{X \in T_p P \mid \pi_*(X) = 0\}$ .
- (ii)  $R_{g*} H_p = H_p$ , where  $R_g$  is multiplication by the right
- (iii) For each  $p \in P$ , there exist a neighbourhood  $U$  and vector fields  $X_1, \dots, X_n$  on  $U$  such that  $H_p$  is spanned by  $X_1(p), \dots, X_n(p)$  for all  $p \in U$ .

We will also use the following equivalent definition:

**Definition 2.3** ([5], 1.2.2). A *connection* is a  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  satisfying the following conditions:

- (i) For the fundamental vector fields  $A^*(p) := \frac{d}{dt}(p \exp(tA))|_{t=0}$ ,

$$\forall p \in P, \omega_p(A^*) = A,$$

- (ii) For any  $g \in G$ ,

$$R_g^* \omega = \text{ad}_{g^{-1}} \omega,$$

where  $\text{ad}_{g^{-1}} \in \text{Aut}(\mathfrak{g})$  is the adjoint action of  $g$  on  $\mathfrak{g}$ . To be more precise, we require  $(R_{g*} \omega)(p) = \text{ad}_{g^{-1}} \omega_p$ , i. e.,  $\omega_{pg}(R_{g*} X_p) = \text{ad}_{g^{-1}}(\omega_p(X_p))$ , where  $\text{ad} : G \rightarrow GL(\mathfrak{g})$  denotes the adjoint action of  $G$  on  $\mathfrak{g}$ .

The equivalence of definitions 2.2 and 2.3 can be seen as follows: given a connection in the form of definition 2.2, one defines a  $\mathfrak{g}$ -valued 1-form  $\omega$  by  $\omega(A^*) = A$  and  $\omega_p(X_p) = 0$  for all  $X_p \in H_p$ .

Conversely, given a connection 1-form  $\omega$  as in definition 2.3 define  $H_p = \{X \in T_p P \mid \omega_p(X_p) = 0\}$ . Since the action of  $G$  is free, the map  $A \mapsto A_p^*$  is injective.

In order to see this, let  $A$  be such that  $A_p^* = 0$ , then

$$\begin{aligned} \frac{d}{dt}(p \exp(tA)) &= \frac{d}{ds}(p \exp((s+t)A))|_{s=0} \\ &= \frac{d}{ds}(p \exp(sA) \exp(tA))|_{s=0} \\ &= R_{\exp(tA)*} \frac{d}{ds}(p \exp(sA))|_{s=0} \\ &= R_{\exp(tA)*} A_p^* = 0. \end{aligned}$$

So one obtains a vector space isomorphism  $\mathfrak{g} = V_p$ . From part 1) of definition 2.3, it follows that  $H_p \oplus V_p = T_p P$ .

It can be shown that  $[A^*, B^*]_p = [A, B]_p^*$  for all  $A, B \in \mathfrak{g}$ , where  $[\cdot, \cdot]$  on vector fields is the usual Lie-bracket, i.e.,  $[X, Y] = \frac{d}{dt}(\phi_t^{-1} Y_{\phi_t(p)})|_{t=0}$  where  $\frac{d}{dt} \phi_t = X$  in a neighbourhood of  $p$ .

**Proposition 2.2.** *The vector fields on  $M$  can be identified with the  $G$ -invariant horizontal fields on  $P$ .*

*Proof.* The map  $\pi_*$  establish an isomorphism between  $H_p$  and  $T_{\pi p} M$ , so it indeeds identifies a subspace of  $T_p P$  with the tangent space of  $M$ . This allows us to lift vector fields on  $M$  to unique horizontal vector fields on  $P$ . This horizontal lift is  $G$ -invariant, i.e.,  $R_{g*} \tilde{X} = \tilde{X}$ , since  $R_{g*} H_p = H_{pg}$ . Conversely, every  $G$ -invariant vector field  $\tilde{X}$  is a lift of a vector field  $X = \pi_* \tilde{X}$  on  $M$ , which is well-defined since  $\tilde{X}$  is  $G$ -invariant and  $\pi$  is surjective.  $\square$

**Lemma 2.3.** *For a horizontal lift  $\tilde{X}$  of  $X$ , we have  $[A^*, \tilde{X}] = 0$ , for all  $A \in \mathfrak{g}$ .*

*Proof.* Use the formula  $[A^*, \tilde{X}] = \frac{d}{dt} \left( \phi_t^{-1} \tilde{X}_{\phi_t(p)} \right) |_{t=0}$ , where  $\phi_t(p) = p \exp(tA)$ , and the  $G$ -invariance of  $\tilde{X}$ , which implies  $\phi_{t*} \tilde{X} = \tilde{X}$ , for all  $t$ .  $\square$

*Remark.* By choosing a local trivialisation, i.e, a local section  $\sigma : U \rightarrow P$ , one can pull back  $\omega$  to a 1-form  $\omega_U = \sigma^* \omega$  on  $U \subset M$ . In the particular case that  $G$  is a matrix Lie group and two local sections  $\sigma : U \rightarrow P$  and  $\sigma' : V \rightarrow P$  are given such that  $U \cap V \neq \emptyset$ , then the transformation rule between  $\omega_U$  and  $\omega_V$  is given by

$$\omega_V = g_{uv}^{-1} dg_{UV} + g_{UV}^{-1} \omega_U g_{UV}. \quad (2.1)$$

(C.f. [[5]], definition 1.2.3 and theorem 1.2.5.)

Conversely, a collection of local  $\mathfrak{g}$ -valued 1-forms  $\{\omega_U\}$ , where  $\{U_i\}$  is a cover of  $M$  such that  $P$  is locally trivial on  $U_i$ , for all  $i$ , satisfying the transformation rule (2.1) glue together into a global  $\mathfrak{g}$ -valued 1-form  $\omega$  on  $P$  satisfying the conditions of definition 2.3.

The pullback  $\omega_U$  on  $M$  are known as gauge potentials in physics. The transformation property (2.1) is what physicists may recognize as the transformation rule of a *gauge potential* in gauge theories. A connection on  $P$  is therefore also known as a gauge potential. The choice of the local sections is called a choice of gauge.

**Definition 2.4.** A gauge theory on  $M$  with a gauge group  $G$  consists of a principal  $G$ -bundle  $\pi : P \rightarrow M$  endowed with a connection  $\omega$ .

## 2.3 Associated bundles and particles

Let  $P$  be a principal  $G$ -bundle and  $F$  a smooth manifold on which  $G$  acts smoothly from the left. Let  $P \times F$  be the direct product and identify two elements  $(p_1, f_1) \sim (p_2, f_2)$  if and only if  $(p_1 g, g^{-1} f_1) = (p_2, f_2)$  for some  $g \in G$ .

This action of  $G$  is free and transitive since the action of  $G$  on  $P$  is thus. Therefore, the quotient  $P \times_G F$  is a manifold and even a fiber bundle with fiber  $F$  under the projection map  $\pi(p, f) = \pi(p)$ .

**Definition 2.5.** The bundle  $P \times_G F$  is called an associated bundle of  $P$ .

It can be shown that local sections of  $P$  induce local trivialisations of  $P \times_G F$ . If  $F = V$  is a finite-dimensional real or complex vector space, then the fibers of  $P \times_G F$  inherit the same structure if we define

$$[(p, v_1)] + [(p, v_2)] = [p, v_1 + v_2], \quad \lambda[(p, v)] = [p, \lambda v],$$

with  $p \in P, v_1, v_2, v \in V, \lambda \in \mathbb{R}$  or  $\lambda \in \mathbb{C}$ .

We can introduce particle fields to a gauge theory by introducing an associated vector bundle of  $P$ . Sections of this associated bundles are interpreted as the particle fields.

**Example 2.3.** Let  $G = SU(2)$  and consider the fundamental representation  $\rho : SU(2) \rightarrow GL_2(\mathbb{C})$ . Then  $\Gamma(P \times_{SU(2)} \mathbb{C}^2)$  form a  $C^\infty(M)$ -module of a particle fields that are  $\mathbb{C}^2$ -valued locally.

If  $E$  is an associated vector bundle of  $P$  with fiber  $V$ , the  $C^\infty(M)$ -module of sections  $\Gamma^\infty(E)$  can be identified with  $V$ -valued  $G$ -equivariant functions on  $P$ .

**Lemma 2.4.** In general, if  $P$  is a  $G$ -principal fiber bundle and  $E = P \times_G V$  is an associated vector bundle, there is a natural isomorphism of

$$C^\infty(M)\text{-modules} \cong C^\infty(P)^G\text{-modules},$$

between  $\Gamma(M, E)$  and  $C^\infty(P, V)^G$ , where the last space is the space of all  $G$ -equivariant  $V$ -valued functions on  $P$ . A function  $f : P \rightarrow V$  is called  $G$ -equivariant if for all  $g \in G$  and  $p \in P$ ,  $f(pg) = g^{-1} \cdot f(p)$ .

*Proof.* Let  $f$  be such an equivariant function. Then  $s \in \Gamma(M, E)$  is defined by  $s(x) = [p, f(p)]$ , where  $\pi(p) = x$ . Since  $f$  is  $G$ -invariant this is well-defined:

$$[pg, f(pg)] = [pg, g^{-1} \cdot f(p)] = [p, f(p)],$$

so that  $s(x)$  is independent of the choice of  $p$  in the fiber of  $x$ .

Conversely, given  $s \in \Gamma(M, E)$ , a  $G$ -equivariant function  $f : P \rightarrow V$  is defined by  $s(x) = [p, f(p)]$ .  $\square$

*Remark.* • The isomorphism  $C^\infty(M) \cong C^\infty(P)^G$  is just a spacial case of this lemma, where  $G$  acts trivially on  $\mathbb{C}$ . Note that  $f \in C^\infty(M)$  can be identified with a  $G$ -invariant function  $\tilde{f}$  on  $P$  through  $\tilde{f} = f \circ \pi$ .

- If we want to check that the constructed  $f$  or  $s$  are smooth, we need the fact that the action of  $G$  on  $P \times V$  is proper and free. This implies that  $E = P \times_G V$  has a natural smooth structure with respect to the quotient topology (note that in the text we already used the fact that  $E$  is smooth).

Moreover, with this smooth structure the quotient map  $P \times V \rightarrow E$  is smooth and  $P \times V$  is a principal fiber bundle over  $E$ . Using the fact that locally the quotient map  $P \times V \rightarrow E$  has a smooth inverse (namely, a smooth local section from  $E$  to  $P \times V$ ) we can show that the defined  $s$  or  $f$  in the proof of the lemma are smooth if and only if the other is smooth.

This identification is very useful: to study sections of associated bundles, it is enough to consider equivariant functions on  $P$ . From now on, we will implicitly make this identification. Using this identification, we can carry over structures on  $P$  to similar structures on the associated bundles. One of these structures is the connection. It induces a covariant derivative on associated vector bundles.

**Definition 2.6** ([5], 3.1.2). Let  $\rho : G \rightarrow GL(V)$  be a finite-dimensional representation of  $G$ . Given a connection  $\omega$ , we can introduce the space  $\overline{\Omega}^k(P, V)$  of differential forms on  $P$  such that  $\phi(X_1, \dots, X_k) = 0$  if one of the  $X_i$  is a vertical vector field, and  $R_g^* \phi = g^{-1} \phi$ .

The *vertical condition* implies that we are actually considering forms on the manifold  $M$  and the equivariance condition means that we are considering sections of the associated bundle  $\Gamma(P \times_G V)$ .

**Proposition 2.5.** *Two connections  $\omega, \omega'$  differ by an element in  $\overline{\Omega}^1(P, \mathfrak{g})$ .*

*Proof.* It can be checked that  $(\omega - \omega')(X) = 0$  on vertical fields  $X = A^*$  by the first condition in def. 2.3. The property  $R_g^*(\omega - \omega') = \text{ad}_{g^{-1}}(\omega - \omega')$  follows from the second condition in the same definition.  $\square$

**Corolary 2.6.** *For a given  $\omega$  the space  $\overline{\Omega}^1(P, \mathfrak{g})$  is in one-to-one correspondence with the space of all connection 1-forms  $\mathcal{C}$  on  $P$ , through the assignment  $\tau \mapsto \tau + \omega$ .*

A connection on a principal bundle  $P$  naturally induces a connection on any associated bundle  $E = P \times_G V$ .

**Definition 2.7** ([5], 3.1.3). For a connection  $\omega$ , the covariant derivative on  $P \times_G V$  is defined by

$$D_\omega \phi = (d\phi)^H,$$

This is indeed a map  $\overline{\Omega}^\bullet(P, V) \rightarrow \overline{\Omega}^{\bullet+1}(P, V)$ , since

$$\begin{aligned} R_g^* D_\omega \phi &= R_g^* (d\phi)^H \\ &= (R_g^* d\phi)^H \\ &= (dR_g^* \phi)^H \\ &= (d(\text{ad}_{g^{-1}}) \phi)^H \\ &= \text{ad}_{g^{-1}} (d\phi)^H. \end{aligned}$$

If  $\mathfrak{g}$  acts on  $V$ , then we have an action of  $\tilde{\Omega}(P, \mathfrak{g})$  on  $\tilde{\Omega}(P, V)$  given by

$$(\phi \dot{\wedge} \tau)(X_1, \dots, X_{k+l}) = \sum_{\sigma \in S_{k+l}} (-1)^\sigma \phi(X_{\sigma(1)}, \dots, X_{\sigma(k)}) \cdot \tau(X_{\sigma(k+1)}, \dots, X_{\sigma(k+l)}),$$

where  $\phi \in \tilde{\Omega}(P, \mathfrak{g})$  and  $\tau \in \tilde{\Omega}(P, V)$ .

In this way, the set  $\Omega(P, \mathfrak{g}) = \Omega(P) \otimes \mathfrak{g}$  is a differential graded Lie-algebra, where  $\mathfrak{g}$  acts on itself in the adjoint representation. We will denote  $[\phi_1, \phi_2] = \phi_1 \dot{\wedge} \phi_2$ .

With a differential graded Lie-algebra we mean that the bracket  $[\cdot, \cdot]$  satisfies

- (i)  $[\phi_1, \phi_2] = -(-1)^{kl}[\phi_2, \phi_1]$
- (ii)  $(-1)^{mk} [[\phi_1, \phi_2], \phi_3] + (-1)^{lk} [[\phi_2, \phi_3], \phi_1] + (-1)^{lm} [[\phi_3, \phi_1], \phi_2] = 0$
- (iii)  $d[\phi_1, \phi_2] = [d\phi_1, \phi_2] + (-1)^k [\phi_1, d\phi_2]$ .

**Theorem 2.7** ([5], 3.1.5). *For  $\tau \in \tilde{\Omega}^k(P, V)$ ,  $\nabla_\omega \tau = d\tau + \omega \dot{\wedge} \tau$ .*

*Proof.* This can be proved point-wise, so if  $v_p \in T_p P$  is horizontal, we can assume that  $v_p = X_p$ , where  $X$  is a  $G$ -invariant horizontal vector field. Similarly, if  $v_p \in T_p$  is vertical, we can assume that  $v_p = A_p^*$  for some  $A \in \mathfrak{g}$ .

If all the fields  $X_1, \dots, X_k$  are horizontal, then  $(\omega \dot{\wedge} \tau)(X_1, \dots, X_k) = 0$  and  $X_i^H = X_i$ , so that both sides coincide.

If at least two vector fields of the  $X_1, \dots, X_{k+1}$  are vertical at the point  $p$  and are extended to fundamental vector fields, and the other vector are extended to  $G$ -invariant horizontal fields, the  $\nabla_\omega \tau = 0 = \omega \dot{\wedge} \tau$ , so we must show that  $d\tau = 0$ . We have

$$\begin{aligned} d\tau(X_1, \dots, X_{k+1}) &= \sum_{i=1}^{k+1} (-1)^{i+1} X_i(\tau(X_1, \dots, \hat{X}_i, \dots, X_{k+1})) \\ &\quad + \sum_{i < j} (-1)^{i+j} \tau([X_i, X_j], X_2, \dots, \hat{X}_i, \dots, \hat{X}_j, \dots, X_{k+1}), \end{aligned}$$

which is zero when at least two of the  $X_i$  are vertical, because  $[A^*, B^*] = [A, B]^*$ .

If precisely one of the  $X_i$  is vertical, say  $X_1$ , then  $[X_1, X_i] = 0$  for all  $i$  and one needs to show that

$$X_1(\tau(X_2, \dots, X_k)) + \omega(X_1) \cdot \tau(X_2, \dots, X_k) = 0,$$

which follows from  $(g_t = \exp(tA))$

$$\begin{aligned} X_1(\tau(X_2, \dots, X_k)) &= \frac{d}{dt} [\tau(R_{g_t} X_2, \dots, R_{g_t} X_{k+1})] \\ &= \frac{d}{dt} [g_t^{-1} \cdot \tau(X_2, \dots, X_k)] \\ &= -A \cdot \tau(X_2, \dots, X_{k+1}) \\ &= -\omega(X_1) \cdot \tau(X_2, \dots, X_{k+1}). \end{aligned}$$

The theorem now follows by linearity. □

**Corolary 2.8.** *If  $\tau \in \tilde{\Omega}^\bullet(P, \mathfrak{g})$ , then  $\nabla_\omega \tau = d\tau + [\omega, \tau]$ .*

**Proposition 2.9.** For  $\tau \in \overline{\Omega}^\bullet(P, V)$ ,  $\nabla_\omega^2 \tau = F_\omega \tau$ , where  $F_\omega = d\omega + \frac{1}{2}\omega \wedge \omega \in \overline{\Omega}^2(P, \mathfrak{g})$  is the curvature of  $\nabla_\omega$ .

*Proof.* One checks that

$$\begin{aligned} \nabla_\omega(d\tau + \omega \wedge \tau) &= d^2\tau + d\omega \wedge \tau - \omega \wedge d\tau + \omega \wedge d\tau + \omega \wedge (\omega \wedge \tau) \\ &= d\omega \wedge \tau + \frac{1}{2}(\omega \wedge \omega) \wedge \tau. \end{aligned}$$

It remains to check that  $F_\omega(X_1, X_2) = (d\omega + \frac{1}{2}[\omega, \omega])[X_1, X_2]$  vanishes when either  $X_1$  or  $X_2$  is vertical. This is again a point-wise calculation, so we assume that  $X_1 = A^*$ . Note that  $[\omega, \omega](X_1, X_2) = 2[\omega(X_1), \omega(X_2)] = 2[\omega(X_1), \omega(X_2)]$  so that

$$\begin{aligned} F_\omega(X_1, X_2) &= d\omega(X_1, X_2) + [\omega(X_1), \omega(X_2)] \\ &= X_1(\omega(X_2)) - X_2(\omega(X_1)) - \omega([X_1, X_2]) + [\omega(X_1), \omega(X_2)]. \end{aligned}$$

Since  $\omega(X_1) = A$  is constant, the second term vanishes. It remains to show that  $X_1(\omega(X_2)) - \omega([X_1, X_2]) + [\omega(X_1), \omega(X_2)] = 0$ . If  $X_2$  is  $G$ -invariant horizontal, all terms are zero. If  $X_2 = B^*$  for some  $B \in \mathfrak{g}$ , then  $X_1(\omega(X_2)) = 0$  and  $\omega([X_1, X_2]) = [\omega(X_1), \omega(X_2)]$  because  $[A^*, B^*] = [A, B]^*$ .  $\square$

**Proposition 2.10** (Bianchi identity).  $\nabla_\omega F_\omega = 0$ .

*Proof.* Note that

$$\begin{aligned} \nabla_\omega F_\omega &= dF_\omega + [F_\omega, \omega] \\ &= d^2\omega + \frac{1}{2}d([\omega, \omega]) + [\omega, d\omega] + [\omega, [\omega, \omega]] \\ &= \frac{1}{2}d([\omega, \omega]) + [d\omega, \omega] = 0, \end{aligned}$$

since  $d([\omega, \omega]) = [d\omega, \omega] - [\omega, d\omega] = -2[\omega, d\omega]$ . We also used the Jacobi identity to show that  $[\omega, [\omega, \omega]] = 0$ .  $\square$

## 2.4 Relation with physics

Let  $P$  be a principal  $G$ -bundle and assume for simplicity that  $G$  is a matrix Lie group, like  $SU(n)$ . Let  $\rho : G \rightarrow GL(V)$  be a finite-dimensional representation of  $G$ . Construct the associated bundle  $P \times_G V$ . Then a local section of  $P$  will induce a local trivialisation of  $P \times_G V$ .

Let  $s$  be a local sections of the associated bundle  $P \times_G V$ . On some local trivialisation  $(U, \sigma)$  of  $P$ , induced by a local section  $\sigma : U \rightarrow P$ , the section  $s$  can be considered as a  $V$ -valued function. This is the point of view most physicists take.

If we consider  $s$  as a  $G$ -equivariant function  $\bar{s} : P \rightarrow V$ , then on  $U \subset M$  the section  $s$  is realized as a  $V$ -valued function as  $\bar{s} \circ \sigma$ . In these local coordinates, the covariant derivative  $s \mapsto (ds)^H$  takes the form

$$\begin{aligned} (ds)^H \circ \sigma &= \sigma^*(ds + \omega \wedge s) \\ &= d\sigma^*s + \sigma^*\omega \wedge \sigma^*s \\ &= (d + \omega_U)\sigma^*s. \end{aligned}$$

That is, on local trivialisation, a connection  $\omega$  takes the form  $d + \omega_U$ , where  $\omega_U$  transforms according to the rule (2.1). This is the usual form of a connection one encounters in physics.

The statement that two connections differ by an element of  $\bar{\Omega}^1(P, \mathfrak{g})$  translates, on the level of vector bundles, to the one that two connections  $\nabla, \nabla'$  differ by an element of  $\Gamma(M, T^*M \otimes E)$ . The curvature  $F_\omega = d\omega + \frac{1}{2}\omega \wedge \omega$  lies in  $\bar{\Omega}^2(P, \mathfrak{g})$  and therefore corresponds to an element  $F \in \Gamma(T^*M \otimes T^*M \otimes E)$ , which is also known as the curvature connection  $\nabla$  on  $E$ .

The local form on  $M$  of the curvature  $F_\omega = d\omega + \frac{1}{2}[\omega, \omega]$  is  $F_u = d\omega_U + \frac{1}{2}[\omega_U, \omega_U]$ , the usual field strength tensor in field theory.

## 2.5 Gauge group and gauge algebra

The gauge group as the group of  $G$ -equivariant diffeomorphisms preserving the fibers  $GA(P) = \{f \in Diff(P) | f \text{ equivariant and } f \circ \pi = \pi\}$ . This group is isomorphic to  $C^\infty(P, G)^G$ , where  $G$  acts on itself in the adjoint representation, and  $f \in GA(P)$  is related to an element  $\tau \in C^\infty(M, G)^G$  by  $f(p) = p\tau(p)$ . We have isomorphisms  $GA(P) \cong C^\infty(M, G) \cong \Gamma(P \times_{Ad} G)$ .

The bundle  $Ad P = P \times_{Ad} G$  is called the adjoint bundle of  $P$  and it is obtained as an associated bundle of  $P$  by the adjoint action of  $G$  on itself. The fibers have the structure of a gauge since  $Ad g \in Aut G$ , for all  $g \in G$ . The group structure of the fibers naturally induces a group structure on the section  $\Gamma(M, Ad P)$ .

From now on we will work with the group  $C^\infty(M, G)^G$ .

The group  $C^\infty(M, G)^G$  acts on  $\Gamma(P \times_G V)$  as  $(f\phi)(p) = f(p)\phi(p)$  or more generally, on  $\bar{\Omega}^k(P, V)$  as  $(f \cdot \phi) = f(p) \cdot (X_1, \dots, X_k)$ . The covariant derivative transforms as  $\nabla_\omega \mapsto f\nabla_\omega f^{-1} := \nabla_\omega^f$ , so that  $f \cdot \nabla_\omega \phi = \nabla_\omega^f(f\phi)$ . This implies that the curvature transforms as  $F \mapsto fFf^{-1}$  and  $\omega$  as  $\omega \mapsto fdf^{-1} + f\omega f^{-1}$ . Locally,  $f$  can be considered as a change of local trivialization  $\sigma \mapsto f \circ \sigma$ .

Note that for any gauge transformation  $f$ , the 1-form  $f^*\omega$  is again a connection. Two connections on  $P$  are called equivalent if they are related by a gauge transformation as above.

**Definition 2.8.** The gauge algebra is the Lie algebra of infinitesimal gauge transformation  $\Gamma(M, ad P) \cong C^\infty(P, \mathfrak{g})^G$ , where  $G$  acts in the adjoint representation on  $\mathfrak{g}$ . Here  $ad P = P \times_{ad} \mathfrak{g}$ .

The Lie algebra structure on  $\Gamma(M, ad P)$  is given by

$$[H_1, H_2](p) = [H_1(p), H_2(p)].$$

Moreover, there is a map  $Exp : \Gamma(M, ad P) \rightarrow \Gamma(M, Ad P)$  given by

$$Exp(H)(p) = \exp(H(p)),$$

which is well-defined since

$$\begin{aligned} Exp(H)(pg) &= \exp H(pg) \\ &= \exp(ad_{g^{-1}} H(p)) \\ &= Ad_{g^{-1}} \exp(H(p)) \\ &= Ad_{g^{-1}}(Exp(H)(p)). \end{aligned}$$



The gauge algebra acts on  $\overline{\Omega}^k(P, V)$  as

$$(H \cdot \phi)(X_1, \dots, X_k) = H(p) \cdot \phi(X_1, \dots, X_k).$$

Equivalently, it can be defined by  $H \cdot \phi = \frac{d}{dt}(\text{Exp}(tH) \cdot \phi)|_{t=0}$ .

**Definition 2.9.** The action functional for the matrix Lie groups is given by

$$S(\omega) = \int_M \text{Tr } F_\omega \wedge \star F_\omega,$$

where  $\star$  denotes the Hodge star operator.

If one looks for a local extremum, one finds the Yang-Mills equation:

$$\nabla_\omega(\star F) = 0,$$

which is similar to the Bianchi identity  $\nabla_\omega F = 0$ , which is always satisfied. We will discuss the Yang-Mills equations in more detail in our next chapter.



## Chapter 3

# Yang-Mills Fields

### 3.1 Electromagnetic fields

A source-free electromagnetic field is the prototype of Yang-Mills fields. We will show that a source-free electromagnetic field is a gauge field with gauge group  $U(1)$ .

Let  $P(M^4, U(1))$  be a principal  $U(1)$ -principal bundle over the Minkowski space  $M^4$ . Any principal bundle over  $M^4$  is trivializable. We choose a fixed trivialization of  $P(M^4, U(1))$  and use it to write  $P(M^4, U(1))$ . The Lie algebra

$$\mathfrak{u}(1) = \{z \in \mathbb{C} | z = -\bar{z}\}$$

of  $U(1)$  may identified with  $i\mathbb{R}$ .

Thus a connection form on  $P$  may be written as  $i\omega$ ,  $\omega \in \Lambda^1(P)$ , by choosing  $i$  as the basis of the Lie algebra  $i\mathbb{R}$ . The gauge field can be written as  $i\Omega$ , where  $\Omega = d\omega \in \Lambda^2(P)$ . The Bianchi identity  $d\Omega = 0$  is an immediate consequence of this result.

The bundle  $\text{ad}(P)$  is also trivial and we have  $\text{ad}(P) = M^4 \times \mathfrak{u}(1)$ . Thus the gauge field  $F_\omega \in \Lambda^2(M^4, \text{ad}(P))$ , on the base  $M^4$ , can be written as  $iF$ ,  $F \in \Lambda^2(M^4)$ . Using the global gauge  $s : M^4 \rightarrow P$  defined by  $s(x) = (x, 1), \forall x \in M^4$ , we can pull the connection form  $i\omega$  on  $P$  to  $M^4$  to obtain the gauge potential  $iA = is^*\omega$ . Thus in this case, we have a global potential  $A \in \Lambda^1(M^4)$  and the corresponding gauge field  $F = dA$ . The Bianchi identity  $dF = 0$  for  $F$  follows from the exactness of the 2-form  $F$ .

The field equations  $\delta F = 0$ , for  $\delta = \star d \star$  are obtained as the Euler-Lagrange equations minimizing the action  $\int |F|^2$ , where  $|F|$  is the pseudo-norm induced by the Lorentz metric on  $M^4$  and the trivial inner product on the Lie algebra  $\mathfrak{u}(1)$ .

We note that the action represents the total energy of the electromagnetic field. The two equations

$$dF = 0, \quad \delta F = 0 \tag{3.1}$$

are the Maxwell's equations for a source-free electromagnetic field.

A gauge transformation  $f$  is a section of  $\text{Ad}(P) = M^4 \times U(1)$ . It is completely determined by the function  $\psi \in \mathcal{F}(M^4)$  such that

$$f(x) = \left(x, e^{i\psi(x)}\right) \in \text{Ad}(P), \forall x \in M^4.$$

If  $iB$  denotes the potential obtained by the action of the gauge transformation  $f$  on  $iA$ , then we have

$$iB = e^{-i\psi}(iA)e^{i\psi} + e^{-i\psi}de^{i\psi}, \text{ or } B = A + d\psi,$$

which is the classical formulation of the gauge transformation  $f$ .

The above considerations can be applied to any  $U(1)$ -bundle over an arbitrary pseudo-Riemannian manifold  $M$ . We now consider the Euclidean version of Maxwell's equations to bring out the relation of differential geometry, topology and analysis with electromagnetic theory as an example of gauge theory.

Let  $(M, g)$  be a compact, simply connected, oriented, Riemannian manifold of dimension 4 with volume form  $v_g$ . A connection  $\omega$  on  $P(M, U(1))$  is called a *Maxwell connection* or *potential* if it minimizes the *Maxwell action*  $\mathcal{A}_M(\omega)$  defined by

$$\mathcal{A}_M(\omega) = \frac{1}{8\pi^2} \int_M |F_\omega|_x^2 dv_g. \quad (3.2)$$

The corresponding Euler-Lagrange equations are

$$dF_\omega = 0, \quad \delta F_\omega = 0. \quad (3.3)$$

A solution of equations (3.3) is called a *Maxwell field* or a source-free electromagnetic field on  $M$ . We note that equations (3.3) are equivalent to the condition that  $F_\omega$  be harmonic. Thus a Maxwell connection is characterized by its curvature 2-form being harmonic.

Now from topology we know that

$$H^2(M, \mathbb{Z}) = [M, \mathbb{CP}^\infty],$$

where  $[M, \mathbb{CP}^\infty]$  is the set of homotopy classes of maps from  $M$  to  $\mathbb{CP}^\infty$ . But as discussed in appendix X,  $\mathbb{CP}^\infty$  is the classifying space for  $U(1)$ -bundles. Thus each element of  $[M, \mathbb{CP}^\infty]$  determines a principal  $U(1)$ -bundle over  $M$  by pulling back the universal  $U(1)$ -bundle  $S^\infty$  over  $\mathbb{CP}^\infty$ . Hence each element  $\alpha \in H^2(M, \mathbb{Z})$  corresponds to a unique isomorphism class of  $U(1)$ -bundles  $P_\alpha$  over  $M$ .

Moreover, the first Chern class of  $P_\alpha$  equals  $\alpha$ . Note that the natural embedding of  $\mathbb{Z}$  into  $\mathbb{R}$  induces an embedding of  $H^2(M, \mathbb{Z})$  into  $H^2(M, \mathbb{R})$ . Thus we can regard  $H^2(M, \mathbb{Z})$  as a subset of  $H^2(M, \mathbb{R})$ . Now  $H^2(M, \mathbb{R})$  can be identified with the second cohomology group of the deRham complex  $H_{DR}^2(M, \mathbb{R})$ , of the base manifold  $M$ . Thus an element

$$\alpha \in H^2(M, \mathbb{Z}) \subset H^2(M, \mathbb{R}) = H_{DR}^2(M, \mathbb{R})$$

corresponds to the class of a closed 2-form on  $M$ , which we also denote simply by  $\alpha$ . By applying Hodge theory we can identify  $H_{DR}^2(M, \mathbb{R})$  with the space of harmonic 2-forms with respect to the Hodge Laplacian  $\Delta_2 = d\delta + \delta d$  on forms. Thus there exists a unique harmonic 2-form  $\beta$  on  $M$  such that  $\alpha = [\beta]$ . It can be shown that  $\beta$  is the curvature (gauge field) of a gauge connection on the  $U(1)$ -bundle  $P_\alpha$  over  $M$ .

We note that  $\Delta\beta = 0$  is equivalent to the set of two equations  $d\beta = 0$ ,  $\delta\beta = 0$ . As shown above the harmonic form is a Maxwell field, i.e., a source free electromagnetic field. The above discussion proves the following theorem.

**Theorem 3.1.** *Let  $P(M, U(1))$  be a principal bundle over a compact, simply connected, oriented, Riemannian manifold  $M$ . Then the Maxwell field is the unique harmonic 2-form representing the Euler class of the first Chern class  $c_1(P)$ .*

Theorem 3.1 suggest where we should look for examples of source-free electromagnetic fields. Since every  $U(1)$ -bundle with connection is a pull-back of a suitable  $U(1)$ -universal bundle with universal connection, it is natural to examine this bundle first.

The Stiefel bundle  $V_{\mathbb{R}}(n+k, k)$  over the Grassmannian manifold  $G_{\mathbb{R}}(n+k, k)$  is  $k$ -classifying for  $SO(k)$ . In particular, for  $k = 2$ , we get  $V_{\mathbb{R}}(n+2, 2) = SO(n+2)/SO(n)$  and  $G_{\mathbb{R}}(n+2, 2) = SO(n+2)/(SO(n) \times SO(2))$ . Similarly,  $V_{\mathbb{C}}(n+1, 1) = U(n+1)/U(n) = S^{2n+1}$  and  $G_{\mathbb{C}}(n+1, 1) = U(n+1)/(U(n) \times U(1)) = \mathbb{CP}^n$ , which is the Hopf fibration.

Recall that the first Chern class classifies these principal  $U(1)$ -bundles and is an integral class. When applied to the base manifold  $\mathbb{CP}^1 \cong S^2$  this classification corresponds to the Dirac quantization condition for a monopole.

The natural (or universal) connection over these bundles satisfy source-free Maxwell's equations. We note that the pull-back of these universal connections do not, in general, satisfy Maxwell's equations. However we do get new solutions in the following situation.

**Example 3.1.** If  $M$  is an analytic submanifold of  $\mathbb{CP}^n$ , then the  $U(1)$ -bundle  $S^{2n+1}$ , pulled back by embedding  $i : M \hookrightarrow \mathbb{CP}^n$ , gives a connection on  $M$  whose curvature satisfies Maxwell's equations. For example, if  $M = \mathbb{CP}^1 = S^2$ , then for each positive integer  $n$ , we have the following embedding

$$f_n : \mathbb{CP}^1 \hookrightarrow \mathbb{CP}^n$$

given in homogeneous coordinates  $z_0, z_1$  on  $\mathbb{CP}^1$  by

$$f_n(z_0, z_1) = (z_0^n, c_1 z_0^{n-1} z_1, \dots, c_m z_0^{n-m} z_1^m, \dots, z_1^n)$$

where  $c_i = \binom{1}{2}^{1/2}$ .

The electromagnetic field on  $\mathbb{CP}^n$  is pulled back by  $f_n$  to give a field on  $\mathbb{CP}^1 = S^2$  which corresponds to a magnetic monopole of strength  $n/2$ . Moreover the corresponding principal  $U(1)$ -bundle is isomorphic to the lens space  $L(n, 1)$ .

## 3.2 Motion in an Electromagnetic Field

We have discussed above the geometric setting which characterizes source-free electromagnetic fields. On the other hand, the existence of an electromagnetic field has consequences for the geometry of the base space and this in turn affects the motion of test particles.

First recall that an electrostatic field  $E$  determines the differential of potential between two points by integration along a path joining them. It is therefore reasonable to think of  $E$  as a 1-form on  $\mathbb{R}^3$ . Maxwell's fundamental idea was to introduce a quantity, called electric displacement,  $D$  which has the property that its integral over a closed surface measures the charge enclosed by

the surface. One should thus think of  $D$  as a 2-form. In a uniform medium characterized by dielectric constant  $\epsilon$ , one usually writes the constitutive equations relating  $D$  and  $E$  as

$$D = \epsilon E.$$

However, our discussion indicates that this equation only makes sense if  $E$  is replaced by a 2-form. In fact, if we are given a metric on  $\mathbb{R}^3$ , there is a natural way to associate with  $E$  a 2-form, namely  $\star E$ , where  $\star$  is the Hodge operator. Then the above equation can be written in a mathematically precise form

$$D = \epsilon(\star E).$$

Conversely, requiring such a relation between  $D$  and  $E$ , i.e., specifying the operator  $\star : \Lambda^1(\mathbb{R}^3) \rightarrow \Lambda^2(\mathbb{R}^3)$ , is equivalent to giving a Euclidean metric on  $\mathbb{R}^3$ . Similarly, one can interpret *the magnetic tension* or *induction* as a 2-form  $B$  and Faraday's law then implies that  $B$  is closed, i.e.  $dB = 0$ . The law of motion of a charged test particle of charge  $e$ , moving in the presence of  $B$ , is obtained by a modification of the canonical symplectic form  $\omega$  on  $T^*\mathbb{R}^3$  by the pull-back of  $B$  by the canonical projection  $\pi : T^*\mathbb{R}^3 \rightarrow \mathbb{R}^3$ , i.e. by using the form

$$\omega_{e,B} = \omega + e\pi^*B.$$

The separate theories of electricity and electromagnetism were unified by Maxwell in his electromagnetic theory. To describe this theory for arbitrary fields, it is convenient to consider their formulation on the 4-dimensional Minkowski space-time  $M^4$ . We define the two 2-forms  $F$  and  $G$  by

$$F := B + E \wedge dt, \quad G := D - H \wedge dt,$$

where  $B$  and  $H$  are the magnetic tension and magnetic field respectively and  $E$  and  $D$  are the electric field and displacement respectively. If  $J$  denotes the current 3-form, then Maxwell's equations with source  $J$  are given by

$$\begin{aligned} dF &= 0 \\ dG &= 4\pi J. \end{aligned}$$

The two fields  $F$  and  $G$  are related by the constitutive equations which depend on the medium in which they are defined. In a uniform medium (in particular, in vacuum), we can write the constitutive equations as

$$G = (\epsilon/\mu)^{1/2} \star F.$$

If we choose the standard Minkowski metric, then we can write the source-free Maxwell's equations in a uniform medium as

$$\begin{aligned} dF &= 0 \\ d\star F &= 0. \end{aligned}$$

The second equation is equivalent to  $\delta F = 0$ ; we thus obtain the gauge field equations discussed earlier.

It is well known that Hamilton's equation of motion of a particle in classical mechanics can be given a geometrical formulation by using the phase space  $P$

of the particle. The phase space  $P$  is, at least locally, the cotangent space  $T^*Q$  of the configuration space  $Q$  of the particle. We now show that this formalism can be extended to the motion of a charged particle in an electromagnetic field and leads to the equations of motions with the Lorentz force. We choose the configuration space  $Q$  of the particle as the usual Minkowski space. The law of motion of a charged test particle with charge  $e$  is obtained by considering the symplectic form

$$\omega_{e,F} = \omega + e\pi^*F,$$

where  $\omega$  is the canonical symplectic form of  $T^*Q$  and  $\pi : T^*Q \rightarrow Q$  is the canonical projection.

The Hamiltonian is given by

$$\mathcal{H}(q, p) = \frac{1}{2m} g^{ij} p_i p_j,$$

where  $g_{ij}$  are the components of the Lorentz metric and  $p_i$  are the components of 4-momentum. In the usual system of coordinates we can write the metric and the Hamiltonian as

$$ds^2 = dq_0^2 - dq_1^2 - dq_2^2 - dq_3^2,$$

$$\mathcal{H}(q, p) = \frac{1}{2m} (p_0^2 - p_1^2 - p_2^2 - p_3^2).$$

The matrix of the symplectic form  $\omega_{e,F}$ , in local coordinates  $x^\alpha = (q^i, p_i)$  on  $T^*Q$ , can be written in block matrix form as

$$\omega_{e,F} = \begin{pmatrix} eF & -I \\ I & 0 \end{pmatrix}.$$

The Hamiltonian vector field is given by

$$X_{\mathcal{H}} = (d\mathcal{H})^\sharp = \frac{\partial \mathcal{H}}{\partial x^\alpha} \omega_{e,F}^{\alpha\beta} \partial_\beta,$$

where  $\omega_{e,F}^{\alpha\beta}$  are the elements of  $(\omega_{e,F})^{-1}$ . The corresponding Hamilton's equations are given by

$$\frac{dx^\alpha}{dt} = X_{\mathcal{H}}^\alpha = \omega_{e,F}^{\alpha\beta} \frac{\partial \mathcal{H}}{\partial x^\beta}$$

i.e.

$$\frac{dq^i}{dt} = \frac{\partial \mathcal{H}}{\partial p_i} \tag{3.4}$$

$$\frac{dp_i}{dt} = -\frac{\partial \mathcal{H}}{\partial q^i} + eF_{ij} \frac{\partial \mathcal{H}}{\partial p_j}. \tag{3.5}$$

Equation (3.5) implies that the 3-momentum  $p = (p_1, p_2, p_3)$  of the particle satisfies the equation

$$\frac{dp}{dt} = \frac{e}{2m} (E + p \times B), \tag{3.6}$$

where  $E$  and  $B$  are respectively the electric and magnetic fields. The equation (3.6) is the equation of motion of a charged particle in a electromagnetic field

subject to the Lorentz force. Now electromagnetic field is a gauge field with abelian group  $U(1)$ .

The orbits of contragredient action of  $U(1)$  on the dual of its Lie algebra  $\mathfrak{u}(1)$  are trivial. Identifying  $\mathfrak{u}(1)$  and its dual with  $\mathbb{R}$ , we see that an orbit through  $e \in \mathbb{R}$  is the point  $e$  itself. Thus, in this case, the choice of an orbit is the same thing as the choice of the unit of charge. This construction can be generalized to gauge fields with arbitrary structure group and, in particular, to the Yang-Mills fields to obtain the equations of motion of a particle moving in a Yang-Mills field.

### 3.3 The Bohm-Aharonov effect

We have discussed above the effect of the geometry of the base space on the properties of electromagnetic fields defined on it. We now discuss a property of the electromagnetic field that depends on the topology of the base space. In the last example (Dirac example) of the Dirac monopole we saw that the topology of the base space may require several local gauge potentials to describe a single global gauge field. In fact, this is the general situation.

In classical theory only the electromagnetic field was supposed to have physical significance while the potential was regarded as a mathematical artifact. However, in topologically non-trivial spaces the potential also becomes physical significant. For example, in non-simply connected spaces the equation  $dF = 0$ , satisfied by a 2-form  $F$ , defines only a local potential but a global topological property of belonging to a given cohomology class.

Bohm and Aharonov suggested that in quantum theory the non-local character of electromagnetic potential  $A = A_i dx^i$ , in a multiply connected region of spacetime, should have a further kind of significance that it does not have in the classical theory. They proposed to detect this topological effect by computing the phase shift  $\oint A_i dx^i$  around a closed curve not homotopic to the identity and computing its effect in an electron interference experiment.

We now discuss the special case of non-relativistic charged particle moving through a vector potential in the absence of fields. Consider a long solenoid placed along the  $z$ -axis with its center at the origin. Then for motion near the origin, the space may be considered to be  $\mathbb{R}^3$  minus the  $z$ -axis. A loop around the solenoid is then homotopically non-trivial. Thus two paths  $c_1, c_2$  joining two points  $p_1, p_2$  on the opposite sides of the solenoid are not homotopic. If we send particle beams along these paths from  $p_1$  to  $p_2$ , we can observe the resulting interference pattern at  $p_2$ .



## Chapter 4

# Appendices

### 4.1 Lie groups and Lie algebras

**Definition 4.1.** Let  $G$  be an  $n$ -manifold and a group such that the groups operation  $G \times G \rightarrow G$  given by  $(g_1, g_2) \mapsto g_1 g_2$  and the function  $G \rightarrow G$  given by  $g \mapsto g^{-1}$  are  $C^\infty$  maps. Then  $G$  is called a *Lie group*.

**Definition 4.2.** Let  $L_g : G \rightarrow G$  be defined by  $L_g(g') = gg'$ ;  $L_g$  is a diffeomorphism. Let  $e$  be the identity element of  $G$ , and let  $A \in T_e G$ . Define  $\bar{A} \in \Gamma(TG)$  by  $\bar{A}_g = L_{g*}(A)$ ;  $\bar{A}$  is called the *left-invariant vector field* determined by  $A$ .

**Definition 4.3.** Let  $\mathfrak{G} = T_e G$  and, for  $A, B \in \mathfrak{G}$ , define  $[A, B] \in \mathfrak{G}$  by  $[A, B] = [\bar{A}, \bar{B}]_e$ . Note that it is *anti-symmetric* and it satisfies the *Jacobi identity*. Then  $\mathfrak{G}$ , together with the bracket operation  $[\cdot, \cdot]$ , is called the *Lie algebra* of  $G$ .

For  $A \in \mathfrak{G}$ , we can prove that  $\bar{A}$  is a complete vector field. Let  $\{\varphi_t\}$  be the one-parameter group of diffeomorphism generated by  $\bar{A} \in \mathfrak{G}$ . Let  $\gamma : \mathbb{R} \rightarrow G$  be the curve through  $e$  defined by  $\gamma(t) = \varphi_t(e)$ .

We prove that  $\gamma(s+t) = \gamma(s)\gamma(t)$  (group multiplication). Let  $s \in \mathbb{R}$  be fixed and let  $\gamma_1(t) = \gamma(s+t)$ , while  $\gamma_2(t) = \gamma(s)\gamma(t)$ . Then  $\gamma'_1(t) = \gamma'(s+t) = \bar{A}_{\gamma(s+t)}$  and

$$\begin{aligned}\gamma'_2(t) &= L_{\gamma(s)*}(\gamma'(t)) \\ &= L_{\gamma(s)*}(\bar{A}_{\gamma(t)}) \\ &= L_{\gamma(s)*}(L_{\gamma(t)*}A) = \bar{A}_{\gamma(s)\gamma(t)}.\end{aligned}$$

Thus  $\gamma : \mathbb{R} \rightarrow G$  is homomorphism. Conversely, given a curve and homomorphism  $\sigma : \mathbb{R} \rightarrow G$ , then  $\psi_t : G \rightarrow G$ , defined by  $\psi_t(g) = g\sigma(t)$  is a one-parameter group of diffeomorphism of  $G$  such that

$$\bar{B}_g \equiv \frac{d}{dt}\psi_t(g)|_{t=0}$$

defines the left-invariant vector field  $\bar{B}$  determined by  $B \equiv \bar{B}_e$ . Thus, there is a one-to-one correspondence  $A \leftrightarrow \gamma$ .

**Definition 4.4.** We define the *exponential map*  $\exp : \mathfrak{G} \rightarrow G$  by  $\exp(A) = \gamma(1)$ . Note that  $\gamma(t) = \exp(tA)$ , and  $\gamma_t(g) = g\gamma(t) = g\exp(tA)$ .

**Example 4.1.** Let  $V$  be a vector space with  $\dim V = m < \infty$ , and let  $GL(V)$  be the group of invertible linear functions  $F : V \rightarrow V$ . By regarding  $GL(V)$  as a group of matrices, it is simple to see that  $GL(V)$ , which is an open subset of  $\mathbb{R}^{m^2}$ , is a Lie group.

Let  $I \in GL(V)$  be the identity, and denote  $T_I(GL(V))$  by  $\mathfrak{gl}(V)$ . Note that  $\mathfrak{gl}(V)$  can be identified with the vector space of *all* linear functions  $A : V \rightarrow V$ , the correspondence being

$$A \leftrightarrow \left. \frac{d}{dt}(I + tA) \right|_{t=0}.$$

For  $A \in \mathfrak{gl}(V)$ , let

$$\text{Exp}(A) = I + A + \frac{1}{2!}A^2 + \dots$$

It can be proved that the sum converges, and that

$$\text{Exp}((t+s)A) = \text{Exp}(tA) \text{Exp}(sA).$$

Thus,  $\text{Exp}(A) \text{Exp}(-A) = I$  and so  $\text{Exp}(A) \in GL(V)$ . Note that  $t \mapsto \text{Exp}(tA)$  is a curve and a homomorphism with

$$\left. \frac{d}{dt} \text{Exp}(tA) \right|_{t=0} = A.$$

It follows from the discussion previous to definition 4.4 that  $\text{Exp}$  is the exponential map for  $GL(V)$ . In 4.3, we will prove that, for  $A, B \in \mathfrak{gl}(V)$ ,  $[A, B] = AB - BA$ .

**Definition 4.5.** A *Lie subgroup* of a Lie group  $G$  is a submanifold (of  $G$ ) that is also a subgroup. A Lie subgroup  $H$  of  $G$  is itself a Lie group. Since the homomorphisms  $\gamma : \mathbb{R} \rightarrow H$  are also homomorphisms into  $G$ , we have that  $\exp : \mathfrak{h} \rightarrow H$  is just  $\exp : \mathfrak{g} \rightarrow G$  restricted to  $\mathfrak{h}$ .

**Theorem 4.1.** Let  $G$  and  $G'$  be Lie groups, and let  $F : G \rightarrow G'$  be a  $C^\infty$  homomorphism. The  $F_{*e} : \mathfrak{g} \rightarrow \mathfrak{g}'$  is a linear function such that  $F_{*e}([A, B]) = [F_{*e}A, F_{*e}B]$ , i.e.,  $F_{*e}$  is a homomorphism of Lie algebras.

*Proof.* Note that

$$F \circ L_g(g') = F(gg') = F(g)F(g') = (L_{F(g)} \circ F)(g').$$

Thus

$$F_{*g}(\bar{A}_g) = F_{*g}(L_{*g}A) = L_{F(g)*e'}(F_{*e}A) = (\overline{F_{*e}A})_{F(g)},$$

and so  $F_{*e}(\bar{A}) = (\overline{F_{*e}A})$ . Thus

$$F_{*e}([A, B]) = [F_{*e}(\bar{A}), F_{*e}(\bar{B})]_{e'} = [(\overline{F_{*e}A}), (\overline{F_{*e}B})]_{e'} = [F_{*e}A, F_{*e}B].$$

□

**Definition 4.6.** For  $g \in G$ , let  $\text{Ad}_g : G \rightarrow G$  be the  $C^\infty$  adjoint isomorphism given by  $\text{Ad}_g(g') = gg'g^{-1}$ . We let  $\mathfrak{Ad}_g : \mathfrak{g} \rightarrow \mathfrak{g}$  be the induced isomorphism of  $\mathfrak{g}$  provided by theorem 4.1, i.e.,  $\mathfrak{Ad}_g = \mathfrak{Ad}_{d*e}$ . Let  $\text{ad} : G \rightarrow GL(\mathfrak{g})$  be the homomorphism  $g \mapsto \text{ad}_g$ . Then theorem 4.1 gives us an induced homomorphism  $\text{ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$ , i.e.,  $\text{ad} = \text{ad}_{*e}$ .

**Theorem 4.2.** For  $A, B \in \mathfrak{G}$ , we have

$$\mathfrak{ad}(A)(B) = \frac{\partial^2}{\partial s \partial t} (\exp(tA) \exp(sB) \exp(-tA)) \Big|_{s,t=0} = [A, B].$$

*Proof.* Let  $\{\varphi_t\}$  be the one-parameter group generated by  $\bar{A}$ . By the end of definition 4.4, we have  $\varphi_t(g) = g \exp tA$ . Using  $L_{\bar{A}}\bar{B} = [\bar{A}, \bar{B}]$ , we have (at  $s = t = 0$ )

$$\begin{aligned} [A, B] &= [\bar{A}, \bar{B}]_e = \frac{d}{dt} \varphi_{-t*} (\bar{B}_{\varphi_t(e)}) \\ &= \frac{d}{dt} \varphi_{-t*} \left( \frac{d}{dt} \varphi_t(e) \exp(sB) \right) \\ &= \frac{d}{dt} \frac{d}{ds} \varphi_{-t} (\varphi_t(e) \exp(sB)) \\ &= \frac{\partial^2}{\partial t \partial s} (\exp(tA) \exp(sB) \exp(-tA)) \\ &= \frac{d}{dt} \mathfrak{ad}(\exp(tA))(B) = \mathfrak{ad}_{*e}(A)(B) \\ &= \mathfrak{ad}(A)(B) \end{aligned}$$

□

**Corollary 4.3.** If  $G$  is any Lie subgroup of  $GL(V)$ , then the bracket operation on  $\mathfrak{G} \subset \mathfrak{gl}(V)$  is given by  $[A, B] = AB - BA$ .

*Proof.* By 4.5 it suffices to consider the case in which  $G = GL(V)$ . Using theorem 4.2 with  $\exp = \text{Exp}$  (see example 4.1), we have

$$[A, B] = \frac{\partial^2}{\partial s \partial t} (\text{Exp}(tA) \text{Exp}(sB) \text{Exp}(-tA)) \Big|_{s,t=0} = AB - BA.$$

□

**Definition 4.7.** Let  $e_1, \dots, e_n$  be a basis for the Lie algebra  $\mathfrak{G}$  of  $G$ . The *structure constants*  $c_{ij}^k \in \mathbb{R}$  are defined by  $[e_i, e_j] = \sum c_{ij}^k e_k$ . Note that  $[e_i, e_j] = -[e_j, e_i]$ , which implies  $c_{ij}^k = -c_{ji}^k$ . Similarly, the Jacobi identity implies

$$\sum_m c_{im}^h c_{jk}^m + c_{km}^h c_{ij}^m + c_{jm}^h c_{ki}^m = 0,$$

for all  $h, i, j, k$ .

**Example 4.2** ( $SU(n)$ , the Special Unitary Group). The computation of the Lie algebra of a Lie group of matrices is illustrated here for the group  $SU(n)$ , which is frequently used in elementary particle physics.

Let  $\mathfrak{gl}(n, \mathbb{C})$  be the space of all  $n \times n$  matrices with complex entries. For  $A \in \mathfrak{gl}(n, \mathbb{C})$ , let  $A^*$  denote the conjugate of the transpose of  $A$ . Recall that the unitary group is

$$U(n) = \{A \in \mathfrak{gl}(n, \mathbb{C}) \mid AA^* = I\}$$

and

$$SU(n) = \{A \in U(n) \mid \det A = 1\}.$$

If  $t \mapsto A(t)$  is a curve in  $U(n)$  with  $A(0) = I$ , then at  $t = 0$ , we have

$$\begin{aligned} 0 &= \frac{d}{dt}(I) = \frac{d}{dt} \{A(t)A(t)^*\} \\ &= A'(0)A(0)^* + A(0)A'(0)^* = A'(0) + A'(0)^*. \end{aligned}$$

Thus, for  $\mathfrak{S} = \{B \in \mathfrak{gl}(n, \mathbb{C}) | B + B^* = 0\}$ , we have  $\mathfrak{S} \supset \mathfrak{u}(n)$ , the Lie algebra of  $U(n)$ .

Conversely, if  $B \in \mathfrak{S}$ , then  $(\text{Exp } B)(\text{Exp } B)^* = (\text{Exp } B)(\text{Exp } B^*) = I$ , and so  $\text{Exp } B \in U(n)$ . At  $t = 0$ ,

$$B = \frac{d}{dt} \text{Exp } tB \in \mathfrak{u}(n),$$

whence  $\mathfrak{u}(n) = \mathfrak{S}$ .

The Lie subalgebra  $\mathfrak{S}\mathfrak{u}(n)$  of  $SU(n)$  is the subalgebra of  $\mathfrak{u}(n)$  consisting of matrices with trace 0, i.e.,

$$\mathfrak{S}\mathfrak{u}(n) = \{B \in \mathfrak{u}(n) | \text{tr } B = 0\}.$$

This follows from the formula  $\det(\text{Exp } B) = e^{\text{tr } B}$ , which is valid for any  $n \times n$  matrix. We can prove this formula as follows, Let  $f(t) = \det(\text{Exp } tB)$ . at  $h = 0$ , we have

$$\begin{aligned} f' &= \frac{d}{dt} f(t+h) = \frac{d}{dt} [\det(\text{Exp } tB) \det(\text{Exp } hB)] \\ &= \det(\text{Exp } tB) \frac{d}{dh} \det(I + hB) \\ &= \det(\text{Exp } tB) \text{tr } B = (\text{tr } B)f(t). \end{aligned}$$

Thus,  $f(t) = f(0)e^{(\text{tr } B)t} = e^{(\text{tr } B)t}$ , and setting  $t = 1$  yields the result.

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