

Thesis

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Chapter 1

Maxwell's Equations

1.1 Minkowski space

The Minkowski space is \mathbb{R}^4 , like a vector space, with the *Lorentz inner product*, which is the bilinear form defined by

$$\Lambda(x, y) = x^0 y^0 - x^1 y^1 - x^2 y^2 - x^3 y^3,$$

for $x = (x^0, \dots, x^3), y = (y^0, \dots, y^3) \in \mathbb{R}^4$. Physically, x^0 denotes the time coordinate, and x^1, \dots, x^3 denote the space ones. It is common in the physics literature to denote x by x^μ , and it is called a *contravariant vector*.

Remark. We shall use the *Heaviside Lorentz units* where

$$\epsilon_0 = \mu_0 = c = 1,$$

which are the electric constant, magnetic constant and speed light, respectively.

The Lorentz form is defined by the matrix

$$\eta_{\alpha\beta} = \eta^{\alpha\beta} = \text{diag}(1, -1, -1, -1). \quad (1.1)$$

We employ the *Einstein's summation convention*: In any product of vector and tensor in which an index appears once as a subscript and once as a superscript, that index is to be summed from 0 to 3. For example

$$\Lambda(x, y) = \eta_{\alpha\beta} x^\alpha y^\beta. \quad (1.2)$$

Another convention we shall adopt is using the matrix g to raise or lower indices:

$$x_\mu = g^{\mu\nu} x^\nu, p^\mu = g_{\mu\nu} p_\nu.$$

We said x_μ is a *covariant vector*.

Strictly speaking, vector whose component are denoted by subscripts should be constructed as elements of the dual space $(\mathbb{R}^4)^*$, and the map $x^\alpha \mapsto x_\alpha$ is the isomorphism of \mathbb{R}^4 with $(\mathbb{R}^4)^*$ induced by the Lorentz form. Practically, the effect is to change the sign of the space components. Then, formula (1.2) can be written as

$$\Lambda(x, y) = x^\alpha y_\alpha = x_\alpha y^\alpha.$$

Remark. The Lorentz inner product of a vector x with itself is denoted by x^2 and its Euclidean norm by $|x|$.

Vectors x such that $x^2 > 0$, $x^2 = 0$ or $x^2 < 0$ are called *timelike*, *lightlike* or *spacelike*, respectively.

The notations for derivatives on \mathbb{R}^4 is

$$\partial_\alpha = \frac{\partial}{\partial x^\alpha},$$

so that, with respect to space-time coordinates \mathbf{x}, t

$$\partial_\alpha = (\partial_0, \dots, \partial_3) = (\partial_t, \nabla_{\mathbf{x}}), \partial^\beta = (\partial^0, \dots, \partial^3) = (\partial_t, -\nabla_{\mathbf{x}}), \quad (1.3)$$

and ∂^2 is the wave operator or d'Alembertian:

$$\partial^2 = \partial_0^2 - \partial_1^2 - \partial_2^2 - \partial_3^2 = \partial_t^2 - \nabla_{\mathbf{x}}^2.$$

1.2 Covariant form of Maxwell's equations

Maxwell electromagnetic theory provides an important example of a gauge theory. The Maxwell equations in a classical form are given by:

$$\nabla \cdot B = 0 \quad (1.4)$$

$$\nabla \times E + \partial B / \partial t = 0 \quad (1.5)$$

$$\nabla \cdot E = \rho \quad (1.6)$$

$$\nabla \times B - \partial E / \partial t = J \quad (1.7)$$

where the electric field E and the magnetic field B are time dependent vector fields on some subset of \mathbb{R}^3 and ρ and J are the charges and current densities respectively.

From (1.6) and (1.7), we obtain *the continuity equation*

$$\nabla \cdot J + \frac{\partial \rho}{\partial t} = 0. \quad (1.8)$$

From (1.4), there is a vector function A , called the vector potential, such that

$$B = \nabla \times A. \quad (1.9)$$

Substituting into (1.5), we obtain

$$\nabla \times \left(E + \frac{\partial A}{\partial t} \right) = 0, \quad (1.10)$$

and therefore, there is a scalar potential Φ , such that

$$E = -\nabla \Phi - \frac{\partial A}{\partial t}. \quad (1.11)$$

Maxwell's equations can be written in *covariant form* by introducing

$$A^\alpha = (\Phi, A) = (A^0, \dots, A^3) \quad (1.12)$$

$$J^\alpha = (\rho, J) = (J^0, \dots, J^3). \quad (1.13)$$

Using this notation, we define

$$F^{\alpha\beta} = \partial^\alpha A^\beta - \partial^\beta A^\alpha, \quad (1.14)$$

and from (1.9) and (1.10), we obtain the *contravariant field tensor*

$$F^{\alpha\beta} = \begin{bmatrix} 0 & E_1 & E_2 & E_3 \\ -E_1 & 0 & B_3 & -B_2 \\ -E_2 & -B_3 & 0 & B_1 \\ -E_3 & B_2 & -B_1 & 0 \end{bmatrix}. \quad (1.15)$$

The components of the fields in equations (1.9) (1.11) can be identified as

$$E_i = F^{0i} \quad (1.16)$$

$$B_i = \frac{1}{2} \epsilon^{ijk} F^{jk}, \quad i, j, k = 1, 2, 3, \quad (1.17)$$

where

$$\epsilon^{ijk} = \begin{cases} 1 & (i, j, k) = (1, 2, 3), (3, 1, 2), (2, 3, 1), \\ -1 & (i, j, k) = (1, 3, 2), (3, 2, 1), (2, 1, 3), \\ 0 & \text{otherwise} \end{cases}$$

is the *Levi-Civita symbol*.

For the *covariant field tensor* defined by

$$F_{\alpha\beta} = \partial_\alpha A_\beta - \partial_\beta A_\alpha, \quad (1.18)$$

we obtain

$$F_{\alpha\beta} = \eta_{\alpha\gamma} \eta_{\beta\lambda} F^{\gamma\lambda} = \begin{bmatrix} 0 & -E_1 & -E_2 & -E_3 \\ E_1 & 0 & B_3 & -B_2 \\ E_2 & -B_3 & 0 & B_1 \\ E_3 & B_2 & -B_1 & 0 \end{bmatrix}. \quad (1.19)$$

The homogeneous Maxwell's equations (1.4) and (1.5) correspond to the Jacobi identities:

$$\partial^\gamma F^{\alpha\beta} + \partial^\alpha F^{\beta\gamma} + \partial^\beta F^{\gamma\alpha} = 0, \quad (1.20)$$

where $\alpha, \beta, \gamma \in \{0, \dots, 3\}$.

For example, if $\gamma = 1, \alpha = 2, \beta = 3$ we have from (1.15) and (1.20),

$$\partial^1 F^{32} + \partial^2 F^{13} + \partial^3 F^{21} = -(\partial^1 B_1 + \dots + \partial^3 B_3) = -(\partial_1 B_1 + \dots + \partial_3 B_3) = 0, \quad (1.21)$$

which indeed corresponds to (1.4).

The inhomogeneous Maxwell's equations (1.7) and (1.6) can be written as

$$\partial_\beta F^{\alpha\beta} = J^\alpha. \quad (1.22)$$

For example, if $\alpha = 0$, we have from (1.15) and (1.22)

$$\partial_0 F^{00} + \dots + \partial_3 F^{03} = \partial_1 E_1 + \dots + \partial_3 E_3 = \rho, \quad (1.23)$$

which indeed corresponds to (1.7).

Remark. Maxwell's equations have been reduced to (1.20) and (1.22). The continuity equation in covariant form can be obtained from (1.22) by operating ∂_μ on both sides. Then

$$\partial_\alpha J^\alpha = \partial_\alpha \partial_\beta F^{\alpha\beta} = 0, \quad (1.24)$$

since $\partial_\alpha \partial_\beta$ is symmetric in α and β while $F^{\alpha\beta}$ is antisymmetric in α and β . The expression (1.24) is *the conservation of electric charge* whose underlying symmetry is gauge invariance.

1.3 Gauge transformation

Equations (1.9) and (1.11) show that A determines B , as well as part of E . Notice that B is left invariant by the transformation

$$A \mapsto A' = A + \nabla\chi, \quad (1.25)$$

for any scalar function χ . The invariance of E is accomplished by the transformation

$$\Phi \mapsto \Phi' = \Phi - \frac{\partial\chi}{\partial t}. \quad (1.26)$$

The transformations (1.25) and (1.26) are called *gauge transformations*, and the invariance of the fields under such transformations is called *gauge invariance*.

In the language of covariance, we see that $A^\alpha = (\Phi, A)$ is not unique: the same electromagnetic field tensor $F^{\alpha\beta}$ can be obtained from potential

$$A^\alpha = \left(\Phi - \frac{\partial\chi}{\partial t}, A + \nabla\chi \right). \quad (1.27)$$

Substituting (1.27) into (1.14) we obtain

$$\begin{aligned} F^{\alpha\beta} &= \partial^\alpha A^\beta - \partial^\beta A^\alpha + [\partial^\alpha, \partial^\beta] \chi \\ &= \partial^\alpha A^\beta - \partial^\beta A^\alpha + [\partial^\alpha, \partial^\beta] \chi \\ &= \partial^\alpha A^\beta - \partial^\beta A^\alpha, \end{aligned}$$

using the fact that $[\partial^\alpha, \partial^\beta] = 0$.

Remark. The transformation $A^\alpha \mapsto A'^\alpha = A^\alpha + \partial^\alpha \chi$ is a gauge transformation.

1.4 The metric

Definition 1.1. A real vector space V is called a metric vector space if on V , a scalar product is defined as a map

$$g : V \times V \rightarrow \mathbb{R},$$

such that for all $u, v \in V$ and $\lambda \in \mathbb{R}$ the following properties are satisfied:

(Bilinear) $g(\lambda u + v, w) = \lambda g(u, w) + g(v, w)$

(Symmetric) $g(u, v) = g(v, u)$

(Non-degenerate) $\forall u \in V, g(u, v) = 0 \iff v = 0$

If e_α is the orthonormal basis in V , then

$$g(e_\alpha, e_\beta) = g_{\alpha\beta} = \pm\delta_{\alpha\beta} = \begin{cases} \pm 1 & \alpha = \beta \\ 0 & \alpha \neq \beta \end{cases} \quad (1.28)$$

The signature of the metric is defined by the number of $+1$'s and -1 's, usually denoted by (p, q) . The idea if a metric can be extended to the space $\mathfrak{F}(M)$ of all vector fields and the space $\Omega^1(M)$ of all 1-forms on a manifold M . On a smooth manifold M , a metric g assigns to each point $p \in M$ a metric g_p on the tangent space $T_p M$ in a smooth varying way

$$g_p : T_p M \times T_p M \rightarrow \mathbb{R},$$

which satisfies the above propierties with λ replaced by $f \in C^\infty(M)$, and $g(u, v)$ is a funtion on M whose value at p is $g_p(u_p, v_p)$, where $u, v \in \mathfrak{F}(M)$ and $u_p, v_p \in T_p M$.

If the signature of g is $(n, 0)$, n being the dimension of M , we say that g is a Riemmanian metric, while if g has the signature of $(n - 1, 1)$, we say that g is Lorentzian. A manifold equipped with a metric will be called a semi-Riemmannian manifold denoted by (M, g) .

Setting $\tilde{g}(u)(v) = g(u, v)$, we obtain an isomorphism

$$\tilde{g} : T_p M \rightarrow T_p^* M, \quad (1.29)$$

which can be proved by using the non-degeneracy property and the fact that $\dim T_p M = \dim T_p^* M$.

Let $\{\partial_\alpha\}$ be a basis of a vector field on an open neighbourhood U of a point in M , then, the components of the metric are given by

$$g_{\alpha\beta} = g(\partial_\alpha, \partial_\beta). \quad (1.30)$$

If the dimension of M es n , then $g_{\alpha\beta}$ is an $n \times n$ matrix. The non-degeneracy property shows that $g_{\alpha\beta}$ is invertible and we shall denote the inverse by $g^{\alpha\beta}$, also $g_{\alpha\gamma}g^{\alpha\beta} = \delta_\gamma^\beta$. This leads to the raising and lowering of indices which is a process of converting vector fields to 1-forms. With the help of (1.29), one can easily convert between tangent vectors and contangent vectors.

Example 1.1. If $u = u^\alpha \partial_\alpha$ is a vector field on a chart, then the corresponding 1-form can be calculated can be calculated as follows:

$$\begin{aligned} \tilde{g}(u)(v) &= g_{\alpha\beta} u^\alpha v^\beta \\ &= g_{\alpha\gamma} \delta_\beta^\gamma u^\alpha v^\beta \\ &= g_{\alpha\gamma} u^\alpha v^\beta dx^\gamma (\partial_\beta) \\ &= (g_{\alpha\gamma} u^\alpha dx^\gamma) v^\beta \partial_\beta = (u_\gamma dx^\gamma) v \end{aligned}$$

Therefore $\tilde{u} = u_\gamma dx^\gamma$, where $u_\gamma = g_{\alpha\gamma} u^\alpha$.

Conversely, if $\xi = \xi_\beta dx^\beta$ is a 1-form on a chart, then the corresponding vector field can be calculated as follows:

$$\begin{aligned} \tilde{g}^{-1}(\xi)(\eta) &= g^{\beta\gamma} \xi_\beta \eta_\gamma \\ &= g^{\beta\alpha} \xi_\beta \eta_\gamma dx^\gamma (\partial_\alpha) \\ &= (\xi^\alpha \partial_\alpha) \eta, \end{aligned}$$

Therefore $\tilde{g}^{-1}(\xi) = \xi^\alpha \partial_\alpha$, where $\xi^\alpha = g^{\beta\alpha} \xi_\beta$.

Using the fact that we can switch from 1-form to vector fields and vice versa with the help of a metric, we define the inner product of the two 1-form ξ, η as

$$\langle \xi, \eta \rangle = g^{\beta\gamma} \xi_\beta \eta_\gamma. \quad (1.31)$$

if $\theta^1 \wedge \cdots \wedge \theta^p$ and $\sigma^1 \wedge \cdots \wedge \sigma^p$ are orthonormal basis of p -forms, then

$$\langle \theta^1 \wedge \cdots \wedge \theta^p, \sigma^1 \wedge \cdots \wedge \sigma^p \rangle = \det [g(\theta^\alpha, \sigma^\beta)], \quad (1.32)$$

we define

$$\langle \theta^\alpha, \theta^\beta \rangle = \begin{cases} \varepsilon_\beta = \pm 1, & \alpha = \beta \\ 0, & \alpha \neq \beta. \end{cases} \quad (1.33)$$

Definition 1.2. Let M be an n -dimensional manifold, we define the volume form on a chart (U_α, ϕ_α) as

$$\mathfrak{V} = dx^1 \wedge \cdots \wedge dx^n;$$

if the manifold is a semi-Riemannian manifold we have,

$$\mathfrak{V} = \sqrt{|\det g_{\alpha\beta}|} dx^1 \wedge \cdots \wedge dx^n.$$

1.5 The Hodge Star Operator

Definition 1.3. The Hodge star operator is the unique linear map on a semi-Riemannian manifold from p -forms to $(n-p)$ -forms defined by

$$\star : \Omega^p(M) \rightarrow \Omega^{(n-p)}(M),$$

such that for all $\xi, \eta \in \Omega^p(M)$,

$$\xi \wedge \star \eta = \langle \xi, \eta \rangle \mathfrak{V}.$$

This is an isomorphism between p -forms and $(n-p)$ -forms, and $\star \eta$ is called the dual of η . Suppose that dx^1, \dots, dx^n are positively oriented orthonormal basis of 1-forms on some chart (U_α, ϕ_α) on a manifold M .

Let $1 \leq i_1 < \cdots < i_p \leq n$ be an ordered distinct increasing indices and let $j_1 < \cdots < j_{n-p}$ be their complement in the set $\{1, \dots, n\}$. Then

$$dx^{i_1} \wedge \cdots \wedge dx^{i_p} \wedge dx^{j_1} \wedge \cdots \wedge dx^{j_{n-p}} = \text{sign}(I) dx^1 \wedge \cdots \wedge dx^n, \quad (1.34)$$

where, $\text{sign}(I)$ is the sign of the permutation

$$\begin{pmatrix} i_1 & \cdots & i_p & j_1 & \cdots & j_{n-p} \\ 1 & \cdots & p & p+1 & \cdots & n \end{pmatrix}.$$

In other words, the wedge products of a p -forms and $(n-p)$ -forms yield the volume form up to a sign.

Remark. $\star 1 \in \Omega^n(M)$ is the volume form \mathfrak{V} . For a domain $D \subset M$, $\int_D \mathfrak{V}$ is the volume of D . In particular, if M is compact, $\int_M \mathfrak{V}$ is called the volume of M .

We state the following proposition without proof:

Proposition 1.1 ([4], 4.7). *The \star -operator of Hodge has the following properties. For any $f, g \in C^\infty(M)$ and $\xi, \eta \in \Omega^p(M)$ we have*

$$(i) \star(f\xi + g\eta) = f\star\xi + g\star\eta$$

$$(ii) \star\star\xi = (-1)^{p(n-p)}\xi$$

$$(iii) \xi \wedge \star\eta = \eta \wedge \star\xi$$

$$(iv) \star(\xi \wedge \star\eta) = \langle \xi, \eta \rangle$$

$$(v) \langle \star\xi, \star\eta \rangle = \langle \xi, \eta \rangle$$

Now let M be an oriented Riemannian manifold. For any $X \in \mathfrak{F}(M)$, let ξ_X be the 1-form corresponding to X by the isomorphism $\mathfrak{F}(M) \cong \Omega^1(M)$. We set

$$\nabla \cdot X = \star d \star \xi_X.$$

It is called the *divergence* of X . If M comes with opposite orientation, \star changes to $-\star$. Since \star appears twice in the definition of $\nabla \cdot$, it follows that $\nabla \cdot X$ is defined independently of the choice of orientation.

Let I_n denote the set $\{1, \dots, n\}$.

Example 1.2. On the Euclidean space \mathbb{R}^n , to $X = \sum_{k \in I_n} u^k \partial_k$, there correspond $\xi_X = \sum_{k \in I_n} u^k dx^k$. Hence we get

$$\nabla \cdot X = \sum_{k \in I_n} \partial_k u^k \quad (1.35)$$

by direct computation. This is the original definition of divergence.

We state the following theorem without proof:

Theorem 1.2 ([4], 4.9). *Let M be an oriented compact Riemannian manifold. If X is a vector field on M , then we have the equality*

$$\int_M \nabla \cdot X \, \mathfrak{V}_M = \int_{\partial M} \langle X, n \rangle \, \mathfrak{V}_{\partial M},$$

where n is the outward unit normal vector field on ∂M . In particular, if M is a closed manifold, then

$$\int_M \nabla \cdot X \, \mathfrak{V}_M = 0.$$

Remark. Let $d : \Omega^\star(\mathbb{R}^3) \rightarrow \Omega^\star(\mathbb{R}^3)$ be the exterior derivative, $f \in C^\infty(M)$ and $A \in \Omega^1(\mathbb{R}^3)$. Then

$$df = \sum_{k \in I_3} \partial_k f dx^k. \quad (1.36)$$

Thus, for $dx = (dx^1, dx^2, dx^3)$,

$$df = \langle \nabla f, dx \rangle. \quad (1.37)$$

Let $A = \sum_{k \in I_3} A_k dx^k$ be a 1-form in \mathbb{R}^3 . Then

$$\begin{aligned} dA &= \sum_{n \in I_3} (\partial_{\sigma^n(1)} A_{\sigma^n(2)} - \partial_{\sigma^n(2)} A_{\sigma^n(1)}) dx^{\sigma^n(1)} \wedge dx^{\sigma^n(2)}, \\ \star dA &= \sum_{n \in I_3} (\partial_{\sigma^n(2)} A_{\sigma^n(3)} - \partial_{\sigma^n(3)} A_{\sigma^n(2)}) dx^{\sigma^n(1)}, \end{aligned} \quad (1.38)$$

where $\sigma^n(\cdot) = (1 \ 2 \ 3)^n \in S_3$. Therefore,

$$\star dA = \langle (\nabla \times A), dx \rangle. \quad (1.39)$$

In this way, $d(df) = \nabla \times (\nabla f) = 0$ and $d(dA) = \nabla \cdot (\nabla \times A) = 0$.

1.6 The Homogeneous Maxwell's Equations

Instead of treating the magnetic field as a vector $B = (B_1, B_2, B_3)$ we will treat it as a 2-form

$$B = \sum_{n \in I_3} B_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}. \quad (1.40)$$

Similary, instead of treating the electric field as a vector $E = (E_1, E_2, E_3)$, we will teat it as a 1-form

$$E = \sum_{k \in I_3} E_k dx^k. \quad (1.41)$$

Assume that M is a semi-Riemmanian Manifold equipped with Minkowski, i.e., as a 4-dimensional Lorentzian manifold or *spacetime*. Furthermore, we shall assume that the spacetime M can be split into 3-dimensional manifold S , “space”, with a Riemmanian metric and another space \mathbb{R} for time. Then,

$$M = \mathbb{R} \times S.$$

Let x^i , $i \in I_3$ denote local coordinates on an open subset $U \subset S$, and let x^0 denote the coordinate on \mathbb{R} , then the local coordinates on $\mathbb{R} \times U \subset M$ will be those given by $x^\alpha = (x^0, \dots, x_3) = (t, x)$. with the metric defined $\eta_{\alpha\beta}$ by (1.1).

We can then combine the electric and magnetic fields into a unified electromagnetic field F , which is a 2-form on $\mathbb{R} \times U \subset M$ defined by

$$F = B + E \wedge dx^0. \quad (1.42)$$

In comonent form we have

$$F = \frac{1}{2} F_{\alpha\beta} dx^\alpha \wedge dx^\beta, \quad (1.43)$$

where $F_{\alpha\beta}$ is given by (1.19).

Explicity, we have

$$F = \sum_{k \in I_3} E_k dx^k \wedge dx^0 + B = \sum_{n \in I_3} B_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}. \quad (1.44)$$

Taking the exterior derivative if (1.42) we obtain

$$dF = d(B + E \wedge dx^0) = dB + dE \wedge dx^0. \quad (1.45)$$

In general, for any differential form η on spacetime, we have

$$\eta = \eta_I dx^I, \quad (1.46)$$

where I range over $I_n^p := \{A \subset I_n | \#A = p\}$, and η_I is a function of spacetime.

Taking the exterior derivative of (1.46), we obtain

$$\begin{aligned} d\eta &= \partial_\alpha \eta_I dx^\alpha \wedge dx^I \\ &= \sum_{k \in I_3} \partial_k \eta_I dx^k \wedge dx^I + \partial_0 \eta_I dx^0 \wedge dx^I \\ &= d_s \eta_I + dx^0 \wedge \partial_0 \eta_I, \end{aligned}$$

Then, $d = d_s + dx^0 \wedge \partial_0$, where d_s is the exterior derivative of space and $x^0 = t$.

Since B, E are differential forms on a spacetime, we shall split the exterior derivative into spacelike part and timelike part. Using the identity above, we obtain the following form (1.45)

$$\begin{aligned} dF &= d_s B + dx^0 \wedge \partial_0 B + (d_s E + dx^0 \wedge \partial_0 E) \wedge dx^0 \\ &= d_s B + (d_s E + \partial_0 B) \wedge dx^0 + dx^0 \wedge dx^0 \wedge \partial_0 E \\ &= d_s B + (d_s E + \partial_0 B) \wedge dx^0. \end{aligned}$$

Now, $dF = 0$ is the same as

$$d_s B = 0 \quad (1.47)$$

$$d_s E + \partial_0 B = 0. \quad (1.48)$$

The equations (1.47) and (1.48) are exactly the same as (1.4) and (1.5). Hence, the homogeneous Maxwell's equations correspond to the closed form $dF = 0$ which is similar to the Jacobi identities (1.20).

1.7 Inhomogeneous Mawxwell's Equations

Starting from (1.44) and using the relations:

$$\star(dx^{\sigma^n(1)} \wedge dx^0) = dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}, \quad n \in I_3. \quad (1.49)$$

we obtain:

$$\star F = \sum_{n \in I_3} E_{\sigma^n(1)} dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)} - \sum_{k \in I_3} B_k dx^k \wedge dx^0, \quad (1.50)$$

or

$$\star F = \frac{1}{2} (\star F)_{\alpha\beta} dx^\alpha \wedge dx^\beta \quad (1.51)$$

where

$$(\star F)_{\alpha\beta} = \begin{bmatrix} 0 & B_1 & B_2 & B_3 \\ -B_1 & 0 & E_3 & -E_2 \\ -B_2 & -E_3 & 0 & E_1 \\ -B_3 & E_2 & -E_1 & 0 \end{bmatrix}. \quad (1.52)$$

Thus, the effect in (1.19) of the dual operator on F amounts to the exchange

$$E_i \mapsto -B_i, \quad B_i \mapsto E_i, \quad i \in I_3.$$

Combining the charge density ρ and the current density J into a unified vector field on Minkowski spacetime, we obtain

$$\mathbf{J} = J^\alpha \partial_\alpha = \rho \partial_0 + J^1 \partial_1 + J^2 \partial_2 + J^3 \partial_3. \quad (1.53)$$

Using the result of example 1.1, with Minkowski metric (1.1), we obtain the 1-form

$$J = J_\beta dx^\beta = \sum_{k \in I_3} J^k dx^k - \rho dx^0, \quad (1.54)$$

where

$$J_\beta = \eta_{\alpha\beta} J^\alpha. \quad (1.55)$$

Let \star_s denote the Hodge star operator on space, using relations

$$dx^{\sigma^n(1)} = \star(dx^{\sigma^n(2)} \wedge dx^{\sigma^n(3)}), \quad n \in I_3, \quad (1.56)$$

we can see that (1.50) is the same as

$$\star F = \star_s E - \star_s B \wedge dx^0 \quad (1.57)$$

which amounts to the exchange

$$E \mapsto -\star_s B, \quad B \mapsto \star_s E,$$

in (1.42), taking the exterior derivative of (1.57) and applying the Hodge star operator, we obtain

$$\star d \star F = -\star_s d_s \star_s E \wedge dx^0 - \partial_0 E + \star_s d_s \star_s B. \quad (1.58)$$

If we set $\star d \star F = J$ and equate components, we obtain

$$\star_s d_s \star_s E = \rho \quad (1.59)$$

$$-\partial_0 E + \star_s d_s \star_s B = J^i dx^i, \quad i \in I_3, \quad (1.60)$$

which is exactly the inhomogeneous Maxwell's equations (1.6) and (1.7).

Thus, the Maxwell's equations have been rewritten as the following ones

$$dF = 0 \quad (1.61)$$

$$\star d \star F = J \quad (1.62)$$

The continuity equation in differential form will be derived from (1.62).

From prop. 1.1, we obtain $\star^2 = 1$. Applying $d\star$, to (1.62), we obtain

$$d \star J = dd \star F = 0. \quad (1.63)$$

Using the relations

$$\star dx^{\tilde{\sigma}^n(0)} + \sum_{n \in I_3} dx^{\tilde{\sigma}^n(1)} \wedge dx^{\tilde{\sigma}^n(2)} \wedge dx^{\tilde{\sigma}^n(3)} = 0, \quad (1.64)$$

where $\tilde{\sigma}^n(\cdot) = (1 \ 2 \ 3 \ 0)^n \in S_4$, we obtain, with $J^0 = \rho$,

$$\star J = \sum_{n \in I_4} (-1)^n J^{\tilde{\sigma}^n(0)} dx^{\tilde{\sigma}^n(1)} \wedge dx^{\tilde{\sigma}^n(2)} \wedge dx^{\tilde{\sigma}^n(3)}. \quad (1.65)$$

Operating the exterior derivative on (1.65), we obtain

$$d \star J = \sum_{k \in I_4} \partial_k J^k dx^0 \wedge \dots \wedge dx^3. \quad (1.66)$$

Therefore $d \star J = 0$ correspond to

$$\sum_{k \in I_4} \partial_k J^k = 0, \quad (1.67)$$

which is exactly the continuity equation (1.8).

1.8 The Vacuum Maxwell's Equations

In free space or *vacuum*, Maxwell's equations correspond to $\rho, \mathbf{J} = 0$, i.e., $J = 0$, which amounts to the exchange

$$F \mapsto \star F.$$

We say that $F \in \Omega^2(M)$ is *self-dual* if $\star F = F$ and *anti-self-dual* if $\star F = -F$. In 3-dimensional Riemannian manifold, it was shown that $\star^2 = 1$. This implies that the Hodge star operator has eigenvalues ± 1 . Therefore, if we take $F_{\pm} = \frac{1}{2}(F \pm \star F)$, we can consider any $F \in \Omega^2(M)$ as a sum of a self-dual and anti-self-dual:

$$F = F_+ + F_-,$$

where $\star F = \pm F_{\pm}$.

However, in the Lorentzian case $\star^2 = -1$, which implies that the eigenvalues are $\pm i$. If we consider complex-valued differential forms on M , it follows that, for any $F \in \Omega^2(M)$, we have

$$F = F_+ + F_-,$$

where $\star F = \pm i F_{\pm}$.

In both cases, if F is a self-dual or anti-self-dual 2-form satisfying

$$dF = 0, \quad (1.68)$$

automatically it satisfies

$$\star d \star F = 0. \quad (1.69)$$

Certainly, F is a complex-valued in the Lorentzian case, but we can always split the real and the imaginary parts and obtain a real solution using the fact that (vacuum) Maxwell's equations are linear, which correspond to either (1.68) or (1.69).

Chapter 2

Theory of Gauge Fields

2.1 Principal Fiber Bundles

Classical gauge theories are mathematically described using principal fiber bundles.

Definition 2.1 ([5], 1.1.1). A principal fiber bundle $\pi : P \rightarrow M$ with structure group G consists of smooth manifolds P, M and a Lie Group G together with a smooth surjective projection map $\pi : P \rightarrow M$, where the Lie group G has a free smooth right action on P and $\pi^{-1}(\pi(p)) = \{pg : g \in G\}$. If $x \in M$, then $\pi^{-1}(x)$ is called the fiber above x .

Furthermore, we require that for each $x \in M$ there exists an open set U containing x and a diffeomorphism $T_U : \pi^{-1}(U) \rightarrow U \times G$ of the form $\phi_U(p) = (\pi(p), s_U(p))$, where $s_U : P \rightarrow G$ has the property $s_U(pg) = s_U(p)g$ for all $g \in G, p \in \pi^{-1}(U)$. The map T_U is called local trivialization (or, in physics language, a choice of gauge).

Let $T_U : \pi^{-1}(U) \rightarrow U \times G$ and $T_V : \pi^{-1}(V) \rightarrow V \times G$ be two local trivializations of a principal fiber bundle $\pi : P \rightarrow M$. The *transition function* from U to V is the map $g_{UV} : U \cap V \rightarrow G$ defined, for $x = \pi^{-1}(p) \in U \cap V$, by $g_{UV}(x) = s_V(p)s_U(p)^{-1}$. This is independent of the choice of $p \in \pi^{-1}(x)$.

Theorem 2.1 ([5], 1.1.5). A principal bundle $\pi : P \rightarrow M$, with structure group G is a trivial bundle if and only if it admits a global section $M \rightarrow P$.

Proof. Let $\sigma : U \rightarrow P$ be a given local section. Then define the smooth map $T_U : \pi^{-1}(U) \rightarrow U \times G$ by $T_U(\sigma(x)g) = (\pi(p), g)$. We verify that indeed $T_U(\sigma(x)gh) = (\pi(p), gh)$ so that T_U is a local trivialization. Conversely, let $T_U : \pi^{-1}(U) \rightarrow U \times G$ be a given local trivialization, then define a section $\sigma : U \rightarrow \pi^{-1}(U)$ by $\sigma(x) = \phi_u^{-1}(x, e)$. This, we see that local sections correspond to a local trivializations. In particular, this implies that a global sections exists if and only if the bundle is trivial. \square

Example 2.1 (Square root). The map $z \mapsto z^2$ in S^1 induces a principal bundle $\pi : S^1 \rightarrow S^1$ with structure group \mathbb{Z}^2 . It is locally trivial since, locally, on the circle there always exists a smooth square root function. Since the total space is S^1 and not $S^1 \times \mathbb{Z}_2$ this bundle is not trivial. Hence there does not exist a continuous square root function on S^1 .

Example 2.2 (Hopf fibration). Identify \mathbb{R}^3 with $\mathbb{C} \times \mathbb{R}$ by $(x_1, x_2, x_3) \mapsto (z = x_1 + ix_2, x = x_3)$ and \mathbb{R}^4 with \mathbb{C}^2 by identifying $(x_1, \dots, x_4) \mapsto (z_1 = x_1 + ix_2, z_2 = x_3 + ix_4)$. Then the unit sphere S^2 in \mathbb{R}^3 is identified with $\{(z, x) \mid |z|^2 + |x|^2 = 1\}$ and S^3 is identified with $\{(z_1, z_2) \mid |z_1|^2 + |z_2|^2 = 1\}$.

The Hopf fibration is defined by

$$p(z_1, z_2) = (2z_1 z_2^*, |z_1|^2 |z_2|^2).$$

Then p maps S^3 onto S^2 as can be checked:

$$4|z_1|^2 |z_2|^2 + (|z_1|^2 - |z_2|^2)^2 = (|z_1|^2 + |z_2|^2)^2 = 1.$$

It can be shown that p maps elements of S^3 to the same point in S^2 if and only if these points are the same up to a factor $\lambda \in U(1)$. The bundle is not global trivial, but for Hopf fibration it is enough to remove a single point $m \in S^2$, thus one can take $U = S^2 - m$ as trivializing neighbourhoods, and any point in S^2 has a neighbourhood of this form. Hence $p : S^3 \rightarrow S^2$ is a principal $U(1)$ -bundle.

2.2 Connections and gauge potential

Definition 2.2 ([5], 1.2.1). A *connection* assigns to each $p \in P$ a subspace $H_p \subset T_p P$ such that

- (i) $T_p P = V_p \oplus H_p$, where $V_p = \{X \in T_p P \mid \pi_*(X) = 0\}$.
- (ii) $R_{g*} H_p = H_p$, where R_g is multiplication by the right
- (iii) For each $p \in P$, there exist a neighbourhood U and vector fields X_1, \dots, X_n on U such that H_p is spanned by $X_1(p), \dots, X_n(p)$ for all $p \in U$.

We will also use the following equivalent definition:

Definition 2.3 ([5], 1.2.2). A *connection* is a \mathfrak{g} -valued 1-form ω on P satisfying the following conditions:

- (i) For the fundamental vector fields $A^*(p) := \frac{d}{dt}(p \exp(tA))|_{t=0}$,

$$\forall p \in P, \omega_p(A^*) = A,$$

- (ii) For any $g \in G$,

$$R_g^* \omega = \text{ad}_{g^{-1}} \omega,$$

where $\text{ad}_{g^{-1}} \in \text{Aut}(\mathfrak{g})$ is the adjoint action of g on \mathfrak{g} . To be more precise, we require $(R_{g*} \omega)(p) = \text{ad}_{g^{-1}} \omega_p$, i. e., $\omega_{pg}(R_{g*} X_p) = \text{ad}_{g^{-1}}(\omega_p(X_p))$, where $\text{ad} : G \rightarrow GL(\mathfrak{g})$ denotes the adjoint action of G on \mathfrak{g} .

The equivalence of definitions 2.2 and 2.3 can be seen as follows: given a connection in the form of definition 2.2, one defines a \mathfrak{g} -valued 1-form ω by $\omega(A^*) = A$ and $\omega_p(X_p) = 0$ for all $X_p \in H_p$.

Conversely, given a connection 1-form ω as in definition 2.3 define $H_p = \{X \in T_p P \mid \omega_p(X_p) = 0\}$. Since the action of G is free, the map $A \mapsto A_p^*$ is injective.

In order to see this, let A be such that $A_p^* = 0$, then

$$\begin{aligned} \frac{d}{dt}(p \exp(tA)) &= \frac{d}{ds}(p \exp((s+t)A))|_{s=0} \\ &= \frac{d}{ds}(p \exp(sA) \exp(tA))|_{s=0} \\ &= R_{\exp(tA)*} \frac{d}{ds}(p \exp(sA))|_{s=0} \\ &= R_{\exp(tA)*} A_p^* = 0. \end{aligned}$$

So one obtains a vector space isomorphism $\mathfrak{g} = V_p$. From part 1) of definition 2.3, it follows that $H_p \oplus V_p = T_p P$.

It can be shown that $[A^*, B^*]_p = [A, B]_p^*$ for all $A, B \in \mathfrak{g}$, where $[\cdot, \cdot]$ on vector fields is the usual Lie-bracket, i.e., $[X, Y] = \frac{d}{dt}(\phi_t^{-1} Y_{\phi_t(p)})|_{t=0}$ where $\frac{d}{dt} \phi_t = X$ in a neighbourhood of p .

Proposition 2.2. *The vector fields on M can be identified with the G -invariant horizontal fields on P .*

Proof. The map π_* establish an isomorphism between H_p and $T_{\pi p} M$, so it indeeds identifies a subspace of $T_p P$ with the tangent space of M . This allows us to lift vector fields on M to unique horizontal vector fields on P . This horizontal lift is G -invariant, i.e., $R_{g*} \tilde{X} = \tilde{X}$, since $R_{g*} H_p = H_{pg}$. Conversely, every G -invariant vector field \tilde{X} is a lift of a vector field $X = \pi_* \tilde{X}$ on M , which is well-defined since \tilde{X} is G -invariant and π is surjective. \square

Lemma 2.3. *For a horizontal lift \tilde{X} of X , we have $[A^*, \tilde{X}] = 0$, for all $A \in \mathfrak{g}$.*

Proof. Use the formula $[A^*, \tilde{X}] = \frac{d}{dt} \left(\phi_t^{-1} \tilde{X}_{\phi_t(p)} \right) |_{t=0}$, where $\phi_t(p) = p \exp(tA)$, and the G -invariance of \tilde{X} , which implies $\phi_{t*} \tilde{X} = \tilde{X}$, for all t . \square

Remark. By choosing a local trivialisation, i.e, a local section $\sigma : U \rightarrow P$, one can pull back ω to a 1-form $\omega_U = \sigma^* \omega$ on $U \subset M$. In the particular case that G is a matrix Lie group and two local sections $\sigma : U \rightarrow P$ and $\sigma' : V \rightarrow P$ are given such that $U \cap V \neq \emptyset$, then the transformation rule between ω_U and ω_V is given by

$$\omega_V = g_{uv}^{-1} dg_{UV} + g_{UV}^{-1} \omega_U g_{UV}. \quad (2.1)$$

(C.f. [[5]], definition 1.2.3 and theorem 1.2.5.)

Conversely, a collection of local \mathfrak{g} -valued 1-forms $\{\omega_U\}$, where $\{U_i\}$ is a cover of M such that P is locally trivial on U_i , for all i , satisfying the transformation rule (2.1) glue together into a global \mathfrak{g} -valued 1-form ω on P satisfying the conditions of definition 2.3.

The pullback ω_U on M are known as gauge potentials in physics. The transformation property (2.1) is what physicists may recognize as the transformation rule of a *gauge potential* in gauge theories. A connection on P is therefore also known as a gauge potential. The choice of the local sections is called a choice of gauge.

Definition 2.4. A gauge theory on M with a gauge group G consists of a principal G -bundle $\pi : P \rightarrow M$ endowed with a connection ω .

2.3 Associated bundles and particles

Let P be a principal G -bundle and F a smooth manifold on which G acts smoothly from the left. Let $P \times F$ be the direct product and identify two elements $(p_1, f_1) \sim (p_2, f_2)$ if and only if $(p_1 g, g^{-1} f_1) = (p_2, f_2)$ for some $g \in G$.

This action of G is free and transitive since the action of G on P is thus. Therefore, the quotient $P \times_G F$ is a manifold and even a fiber bundle with fiber F under the projection map $\pi(p, f) = \pi(p)$.

Definition 2.5. The bundle $P \times_G F$ is called an associated bundle of P .

It can be shown that local sections of P induce local trivialisations of $P \times_G F$. If $F = V$ is a finite-dimensional real or complex vector space, then the fibers of $P \times_G F$ inherit the same structure if we define

$$[(p, v_1)] + [(p, v_2)] = [p, v_1 + v_2], \quad \lambda[(p, v)] = [p, \lambda v],$$

with $p \in P, v_1, v_2, v \in V, \lambda \in \mathbb{R}$ or $\lambda \in \mathbb{C}$.

We can introduce particle fields to a gauge theory by introducing an associated vector bundle of P . Sections of this associated bundles are interpreted as the particle fields.

Example 2.3. Let $G = SU(2)$ and consider the fundamental representation $\rho : SU(2) \rightarrow GL_2(\mathbb{C})$. Then $\Gamma(P \times_{SU(2)} \mathbb{C}^2)$ form a $C^\infty(M)$ -module of a particle fields that are \mathbb{C}^2 -valued locally.

If E is an associated vector bundle of P with fiber V , the $C^\infty(M)$ -module of sections $\Gamma^\infty(E)$ can be identified with V -valued G -equivariant functions on P .

Lemma 2.4. In general, if P is a G -principal fiber bundle and $E = P \times_G V$ is an associated vector bundle, there is a natural isomorphism of

$$C^\infty(M)\text{-modules} \cong C^\infty(P)^G\text{-modules},$$

between $\Gamma(M, E)$ and $C^\infty(P, V)^G$, where the last space is the space of all G -equivariant V -valued functions on P . A function $f : P \rightarrow V$ is called G -equivariant if for all $g \in G$ and $p \in P$, $f(pg) = g^{-1} \cdot f(p)$.

Proof. Let f be such an equivariant function. Then $s \in \Gamma(M, E)$ is defined by $s(x) = [p, f(p)]$, where $\pi(p) = x$. Since f is G -invariant this is well-defined:

$$[pg, f(pg)] = [pg, g^{-1} \cdot f(p)] = [p, f(p)],$$

so that $s(x)$ is independent of the choice of p in the fiber of x .

Conversely, given $s \in \Gamma(M, E)$, a G -equivariant function $f : P \rightarrow V$ is defined by $s(x) = [p, f(p)]$. \square

Remark. • The isomorphism $C^\infty(M) \cong C^\infty(P)^G$ is just a spacial case of this lemma, where G acts trivially on \mathbb{C} . Note that $f \in C^\infty(M)$ can be identified with a G -invariant function \tilde{f} on P through $\tilde{f} = f \circ \pi$.

- If we want to check that the constructed f or s are smooth, we need the fact that the action of G on $P \times V$ is proper and free. This implies that $E = P \times_G V$ has a natural smooth structure with respect to the quotient topology (note that in the text we already used the fact that E is smooth).

Moreover, with this smooth structure the quotient map $P \times V \rightarrow E$ is smooth and $P \times V$ is a principal fiber bundle over E . Using the fact that locally the quotient map $P \times V \rightarrow E$ has a smooth inverse (namely, a smooth local section from E to $P \times V$) we can show that the defined s or f in the proof of the lemma are smooth if and only if the other is smooth.

This identification is very useful: to study sections of associated bundles, it is enough to consider equivariant functions on P . From now on, we will implicitly make this identification. Using this identification, we can carry over structures on P to similar structures on the associated bundles. One of these structures is the connection. It induces a covariant derivative on associated vector bundles.

Definition 2.6 ([5], 3.1.2). Let $\rho : G \rightarrow GL(V)$ be a finite-dimensional representation of G . Given a connection ω , we can introduce the space $\overline{\Omega}^k(P, V)$ of differential forms on P such that $\phi(X_1, \dots, X_k) = 0$ if one of the X_i is a vertical vector field, and $R_g^* \phi = g^{-1} \phi$.

The *vertical condition* implies that we are actually considering forms on the manifold M and the equivariance condition means that we are considering sections of the associated bundle $\Gamma(P \times_G V)$.

Proposition 2.5. *Two connections ω, ω' differ by an element in $\overline{\Omega}^1(P, \mathfrak{g})$.*

Proof. It can be checked that $(\omega - \omega')(X) = 0$ on vertical fields $X = A^*$ by the first condition in def. 2.3. The property $R_g^*(\omega - \omega') = \text{ad}_{g^{-1}}(\omega - \omega')$ follows from the second condition in the same definition. \square

Corolary 2.6. *For a given ω the space $\overline{\Omega}^1(P, \mathfrak{g})$ is in one-to-one correspondence with the space of all connection 1-forms \mathcal{C} on P , through the assignment $\tau \mapsto \tau + \omega$.*

A connection on a principal bundle P naturally induces a connection on any associated bundle $E = P \times_G V$.

Definition 2.7 ([5], 3.1.3). For a connection ω , the covariant derivative on $P \times_G V$ is defined by

$$D_\omega \phi = (d\phi)^H,$$

This is indeed a map $\overline{\Omega}^\bullet(P, V) \rightarrow \overline{\Omega}^{\bullet+1}(P, V)$, since

$$\begin{aligned} R_g^* D_\omega \phi &= R_g^* (d\phi)^H \\ &= (R_g^* d\phi)^H \\ &= (dR_g^* \phi)^H \\ &= (d(\text{ad}_{g^{-1}}) \phi)^H \\ &= \text{ad}_{g^{-1}} (d\phi)^H. \end{aligned}$$

If \mathfrak{g} acts on V , then we have an action of $\tilde{\Omega}(P, \mathfrak{g})$ on $\tilde{\Omega}(P, V)$ given by

$$(\phi \dot{\wedge} \tau)(X_1, \dots, X_{k+l}) = \sum_{\sigma \in S_{k+l}} (-1)^\sigma \phi(X_{\sigma(1)}, \dots, X_{\sigma(k)}) \cdot \tau(X_{\sigma(k+1)}, \dots, X_{\sigma(k+l)}),$$

where $\phi \in \tilde{\Omega}(P, \mathfrak{g})$ and $\tau \in \tilde{\Omega}(P, V)$.

In this way, the set $\Omega(P, \mathfrak{g}) = \Omega(P) \otimes \mathfrak{g}$ is a differential graded Lie-algebra, where \mathfrak{g} acts on itself in the adjoint representation. We will denote $[\phi_1, \phi_2] = \phi_1 \dot{\wedge} \phi_2$.

With a differential graded Lie-algebra we mean that the bracket $[\cdot, \cdot]$ satisfies

- (i) $[\phi_1, \phi_2] = -(-1)^{kl}[\phi_2, \phi_1]$
- (ii) $(-1)^{mk} [[\phi_1, \phi_2], \phi_3] + (-1)^{lk} [[\phi_2, \phi_3], \phi_1] + (-1)^{lm} [[\phi_3, \phi_1], \phi_2] = 0$
- (iii) $d[\phi_1, \phi_2] = [d\phi_1, \phi_2] + (-1)^k [\phi_1, d\phi_2]$.

Theorem 2.7 ([5], 3.1.5). *For $\tau \in \tilde{\Omega}^k(P, V)$, $\nabla_\omega \tau = d\tau + \omega \dot{\wedge} \tau$.*

Proof. This can be proved point-wise, so if $v_p \in T_p P$ is horizontal, we can assume that $v_p = X_p$, where X is a G -invariant horizontal vector field. Similarly, if $v_p \in T_p$ is vertical, we can assume that $v_p = A_p^*$ for some $A \in \mathfrak{g}$.

If all the fields X_1, \dots, X_k are horizontal, then $(\omega \dot{\wedge} \tau)(X_1, \dots, X_k) = 0$ and $X_i^H = X_i$, so that both sides coincide.

If at least two vector fields of the X_1, \dots, X_{k+1} are vertical at the point p and are extended to fundamental vector fields, and the other vector are extended to G -invariant horizontal fields, the $\nabla_\omega \tau = 0 = \omega \dot{\wedge} \tau$, so we must show that $d\tau = 0$. We have

$$\begin{aligned} d\tau(X_1, \dots, X_{k+1}) &= \sum_{i=1}^{k+1} (-1)^{i+1} X_i(\tau(X_1, \dots, \hat{X}_i, \dots, X_{k+1})) \\ &\quad + \sum_{i < j} (-1)^{i+j} \tau([X_i, X_j], X_2, \dots, \hat{X}_i, \dots, \hat{X}_j, \dots, X_{k+1}), \end{aligned}$$

which is zero when at least two of the X_i are vertical, because $[A^*, B^*] = [A, B]^*$.

If precisely one of the X_i is vertical, say X_1 , then $[X_1, X_i] = 0$ for all i and one needs to show that

$$X_1(\tau(X_2, \dots, X_k)) + \omega(X_1) \cdot \tau(X_2, \dots, X_k) = 0,$$

which follows from $(g_t = \exp(tA))$

$$\begin{aligned} X_1(\tau(X_2, \dots, X_k)) &= \frac{d}{dt} [\tau(R_{g_t} X_2, \dots, R_{g_t} X_{k+1})] \\ &= \frac{d}{dt} [g_t^{-1} \cdot \tau(X_2, \dots, X_k)] \\ &= -A \cdot \tau(X_2, \dots, X_{k+1}) \\ &= -\omega(X_1) \cdot \tau(X_2, \dots, X_{k+1}). \end{aligned}$$

The theorem now follows by linearity. □

Corolary 2.8. *If $\tau \in \tilde{\Omega}^\bullet(P, \mathfrak{g})$, then $\nabla_\omega \tau = d\tau + [\omega, \tau]$.*

Proposition 2.9. For $\tau \in \overline{\Omega}^\bullet(P, V)$, $\nabla_\omega^2 \tau = F_\omega \tau$, where $F_\omega = d\omega + \frac{1}{2}\omega \wedge \omega \in \overline{\Omega}^2(P, \mathfrak{g})$ is the curvature of ∇_ω .

Proof. One checks that

$$\begin{aligned} \nabla_\omega(d\tau + \omega \dot{\wedge} \tau) &= d^2\tau + d\omega \dot{\wedge} \tau - \omega \dot{\wedge} d\tau + \omega \dot{\wedge} d\tau + \omega \dot{\wedge}(\omega \dot{\wedge} \tau) \\ &= d\omega \dot{\wedge} \tau + \frac{1}{2}(\omega \dot{\wedge} \omega) \dot{\wedge} \tau. \end{aligned}$$

It remains to check that $F_\omega(X_1, X_2) = (d\omega + \frac{1}{2}[\omega, \omega])[X_1, X_2]$ vanishes when either X_1 or X_2 is vertical. This is again a point-wise calculation, so we assume that $X_1 = A^*$. Note that $[\omega, \omega](X_1, X_2) = 2[\omega(X_1), \omega(X_2)] = 2[\omega(X_1), \omega(X_2)]$ so that

$$\begin{aligned} F_\omega(X_1, X_2) &= d\omega(X_1, X_2) + [\omega(X_1), \omega(X_2)] \\ &= X_1(\omega(X_2)) - X_2(\omega(X_1)) - \omega([X_1, X_2]) + [\omega(X_1), \omega(X_2)]. \end{aligned}$$

Since $\omega(X_1) = A$ is constant, the second term vanishes. It remains to show that $X_1(\omega(X_2)) - \omega([X_1, X_2]) + [\omega(X_1), \omega(X_2)] = 0$. If X_2 is G -invariant horizontal, all terms are zero. If $X_2 = B^*$ for some $B \in \mathfrak{g}$, then $X_1(\omega(X_2)) = 0$ and $\omega([X_1, X_2]) = [\omega(X_1), \omega(X_2)]$ because $[A^*, B^*] = [A, B]^*$. \square

Proposition 2.10 (Bianchi identity). $\nabla_\omega F_\omega = 0$.

Proof. Note that

$$\begin{aligned} \nabla_\omega F_\omega &= dF_\omega + [F_\omega, \omega] \\ &= d^2\omega + \frac{1}{2}d([\omega, \omega]) + [\omega, d\omega] + [\omega, [\omega, \omega]] \\ &= \frac{1}{2}d([\omega, \omega]) + [d\omega, \omega] = 0, \end{aligned}$$

since $d([\omega, \omega]) = [d\omega, \omega] - [\omega, d\omega] = -2[\omega, d\omega]$. We also used the Jacobi identity to show that $[\omega, [\omega, \omega]] = 0$. \square

2.4 Relation with physics

Let P be a principal G -bundle and assume for simplicity that G is a matrix Lie group, like $SU(n)$. Let $\rho : G \rightarrow GL(V)$ be a finite-dimensional representation of G . Construct the associated bundle $P \times_G V$. Then a local section of P will induce a local trivialisation of $P \times_G V$.

Let s be a local sections of the associated bundle $P \times_G V$. On some local trivialisation (U, σ) of P , induced by a local section $\sigma : U \rightarrow P$, the section s can be considered as a V -valued function. This is the point of view most physicists take.

If we consider s as a G -equivariant function $\bar{s} : P \rightarrow V$, then on $U \subset M$ the section s is realized as a V -valued function as $\bar{s} \circ \sigma$. In these local coordinates, the covariant derivative $s \mapsto (ds)^H$ takes the form

$$\begin{aligned} (ds)^H \circ \sigma &= \sigma^*(ds + \omega \dot{\wedge} s) \\ &= d\sigma^*s + \sigma^*\omega \dot{\wedge} \sigma^*s \\ &= (d + \omega_U)\sigma^*s. \end{aligned}$$

That is, on local trivialisation, a connection ω takes the form $d + \omega_U$, where ω_U transforms according to the rule (2.1). This is the usual form of a connection one encounters in physics.

The statement that two connections differ by an element of $\bar{\Omega}^1(P, \mathfrak{g})$ translates, on the level of vector bundles, to the one that two connections ∇, ∇' differ by an element of $\Gamma(M, T^*M \otimes E)$. The curvature $F_\omega = d\omega + \frac{1}{2}\omega \wedge \omega$ lies in $\bar{\Omega}^2(P, \mathfrak{g})$ and therefore corresponds to an element $F \in \Gamma(T^*M \otimes T^*M \otimes E)$, which is also known as the curvature connection ∇ on E .

The local form on M of the curvature $F_\omega = d\omega + \frac{1}{2}[\omega, \omega]$ is $F_u = d\omega_U + \frac{1}{2}[\omega_U, \omega_U]$, the usual field strength tensor in field theory.

2.5 Gauge group and gauge algebra

The gauge group as the group of G -equivariant diffeomorphisms preserving the fibers $GA(P) = \{f \in Diff(P) | f \text{ equivariant and } f \circ \pi = \pi\}$. This group is isomorphic to $C^\infty(P, G)^G$, where G acts on itself in the adjoint representation, and $f \in GA(P)$ is related to an element $\tau \in C^\infty(M, G)^G$ by $f(p) = p\tau(p)$. We have isomorphisms $GA(P) \cong C^\infty(M, G) \cong \Gamma(P \times_{Ad} G)$.

The bundle $Ad P = P \times_{Ad} G$ is called the adjoint bundle of P and it is obtained as an associated bundle of P by the adjoint action of G on itself. The fibers have the structure of a gauge since $Ad g \in Aut G$, for all $g \in G$. The group structure of the fibers naturally induces a group structure on the section $\Gamma(M, Ad P)$.

From now on we will work with the group $C^\infty(M, G)^G$.

The group $C^\infty(M, G)^G$ acts on $\Gamma(P \times_G V)$ as $(f\phi)(p) = f(p)\phi(p)$ or more generally, on $\bar{\Omega}^k(P, V)$ as $(f \cdot \phi) = f(p) \cdot (X_1, \dots, X_k)$. The covariant derivative transforms as $\nabla_\omega \mapsto f\nabla_\omega f^{-1} := \nabla_\omega^f$, so that $f \cdot \nabla_\omega \phi = \nabla_\omega^f(f\phi)$. This implies that the curvature transforms as $F \mapsto fFf^{-1}$ and ω as $\omega \mapsto fdf^{-1} + f\omega f^{-1}$. Locally, f can be considered as a change of local trivialization $\sigma \mapsto f \circ \sigma$.

Note that for any gauge transformation f , the 1-form $f^*\omega$ is again a connection. Two connections on P are called equivalent if they are related by a gauge transformation as above.

Definition 2.8. The gauge algebra is the Lie algebra of infinitesimal gauge transformation $\Gamma(M, ad P) \cong C^\infty(P, \mathfrak{g})^G$, where G acts in the adjoint representation on \mathfrak{g} . Here $ad P = P \times_{ad} \mathfrak{g}$.

The Lie algebra structure on $\Gamma(M, ad P)$ is given by

$$[H_1, H_2](p) = [H_1(p), H_2(p)].$$

Moreover, there is a map $Exp : \Gamma(M, ad P) \rightarrow \Gamma(M, Ad P)$ given by

$$Exp(H)(p) = \exp(H(p)),$$

which is well-defined since

$$\begin{aligned} Exp(H)(pg) &= \exp H(pg) \\ &= \exp(ad_{g^{-1}} H(p)) \\ &= Ad_{g^{-1}} \exp(H(p)) \\ &= Ad_{g^{-1}}(Exp(H)(p)). \end{aligned}$$

The gauge algebra acts on $\overline{\Omega}^k(P, V)$ as

$$(H \cdot \phi)(X_1, \dots, X_k) = H(p) \cdot \phi(X_1, \dots, X_k).$$

Equivalently, it can be defined by $H \cdot \phi = \frac{d}{dt}(\text{Exp}(tH) \cdot \phi)|_{t=0}$.

Definition 2.9. The action functional for the matrix Lie groups is given by

$$S(\omega) = \int_M \text{Tr } F_\omega \wedge \star F_\omega,$$

where \star denotes the Hodge star operator.

If one looks for a local extremum, one finds the Yang-Mills equation:

$$\nabla_\omega(\star F) = 0,$$

which is similar to the Bianchi identity $\nabla_\omega F = 0$, which is always satisfied. We will discuss the Yang-Mills equations in more detail in our next chapter.

Chapter 3

Yang-Mills Fields

3.1 Electromagnetic fields

A source-free electromagnetic field is the prototype of Yang-Mills fields. We will show that a source-free electromagnetic field is a gauge field with gauge group $U(1)$.

Let $P(M^4, U(1))$ be a principal $U(1)$ -principal bundle over the Minkowski space M^4 . Any principal bundle over M^4 is trivializable. We choose a fixed trivialization of $P(M^4, U(1))$ and use it to write $P(M^4, U(1))$. The Lie algebra

$$\mathfrak{u}(1) = \{z \in \mathbb{C} | z = -\bar{z}\}$$

of $U(1)$ may be identified with $i\mathbb{R}$.

Thus a connection form on P may be written as $i\omega$, $\omega \in \Lambda^1(P)$, by choosing i as the basis of the Lie algebra $i\mathbb{R}$. The gauge field can be written as $i\Omega$, where $\Omega = d\omega \in \Lambda^2(P)$. The Bianchi identity $d\Omega = 0$ is an immediate consequence of this result.

The bundle $\text{ad}(P)$ is also trivial and we have $\text{ad}(P) = M^4 \times \mathfrak{u}(1)$. Thus the gauge field $F_\omega \in \Lambda^2(M^4, \text{ad}(P))$, on the base M^4 , can be written as iF , $F \in \Lambda^2(M^4)$. Using the global gauge $s : M^4 \rightarrow P$ defined by $s(x) = (x, 1), \forall x \in M^4$, we can pull the connection form $i\omega$ on P to M^4 to obtain the gauge potential $iA = is^*\omega$. Thus in this case, we have a global potential $A \in \Lambda^1(M^4)$ and the corresponding gauge field $F = dA$. The Bianchi identity $dF = 0$ for F follows from the exactness of the 2-form F .

The field equations $\delta F = 0$, for $\delta = \star d \star$ are obtained as the Euler-Lagrange equations minimizing the action $\int |F|^2$, where $|F|$ is the pseudo-norm induced by the Lorentz metric on M^4 and the trivial inner product on the Lie algebra $\mathfrak{u}(1)$.

We note that the action represents the total energy of the electromagnetic field. The two equations

$$dF = 0, \quad \delta F = 0 \tag{3.1}$$

are the Maxwell's equations for a source-free electromagnetic field.

A gauge transformation f is a section of $\text{Ad}(P) = M^4 \times U(1)$. It is completely determined by the function $\psi \in \mathcal{F}(M^4)$ such that

$$f(x) = \left(x, e^{i\psi(x)}\right) \in \text{Ad}(P), \forall x \in M^4.$$

If iB denotes the potential obtained by the action of the gauge transformation f on iA , then we have

$$iB = e^{-i\psi}(iA)e^{i\psi} + e^{-i\psi}de^{i\psi}, \text{ or } B = A + d\psi,$$

which is the classical formulation of the gauge transformation f.

Chapter 4

Appendices

4.1 Lie groups and Lie algebras

Definition 4.1. Let G be an n -manifold and a group such that the groups operation $G \times G \rightarrow G$ given by $(g_1, g_2) \mapsto g_1 g_2$ and the function $G \rightarrow G$ given by $g \mapsto g^{-1}$ are C^∞ maps. Then G is called a *Lie group*.

Definition 4.2. Let $L_g : G \rightarrow G$ be defined by $L_g(g') = gg'$; L_g is a diffeomorphism. Let e be the identity element of G , and let $A \in T_e G$. Define $\bar{A} \in \Gamma(TG)$ by $\bar{A}_g = L_{g*}(A)$; \bar{A} is called the *left-invariant vector field* determined by A .

Definition 4.3. Let $\mathfrak{G} = T_e G$ and, for $A, B \in \mathfrak{G}$, define $[A, B] \in \mathfrak{G}$ by $[A, B] = [\bar{A}, \bar{B}]_e$. Note that it is *anti-symmetric* and it satisfies the *Jacobi identity*. Then \mathfrak{G} , together with the bracket operation $[\cdot, \cdot]$, is called the *Lie algebra* of G .

For $A \in \mathfrak{G}$, we can prove that \bar{A} is a complete vector field. Let $\{\varphi_t\}$ be the one-parameter group of diffeomorphism generated by $\bar{A} \in \mathfrak{G}$. Let $\gamma : \mathbb{R} \rightarrow G$ be the curve through e defined by $\gamma(t) = \varphi_t(e)$.

We prove that $\gamma(s+t) = \gamma(s)\gamma(t)$ (group multiplication). Let $s \in \mathbb{R}$ be fixed and let $\gamma_1(t) = \gamma(s+t)$, while $\gamma_2(t) = \gamma(s)\gamma(t)$. Then $\gamma'_1(t) = \gamma'(s+t) = \bar{A}_{\gamma(s+t)}$ and

$$\begin{aligned}\gamma'_2(t) &= L_{\gamma(s)*}(\gamma'(t)) \\ &= L_{\gamma(s)*}(\bar{A}_{\gamma(t)}) \\ &= L_{\gamma(s)*}(L_{\gamma(t)*}A) = \bar{A}_{\gamma(s)\gamma(t)}.\end{aligned}$$

Thus $\gamma : \mathbb{R} \rightarrow G$ is homomorphism. Conversely, given a curve and homomorphism $\sigma : \mathbb{R} \rightarrow G$, then $\psi_t : G \rightarrow G$, defined by $\psi_t(g) = g\sigma(t)$ is a one-parameter group of diffeomorphism of G such that

$$\bar{B}_g \equiv \frac{d}{dt}\psi_t(g)|_{t=0}$$

defines the left-invariant vector field \bar{B} determined by $B \equiv \bar{B}_e$. Thus, there is a one-to-one correspondence $A \leftrightarrow \gamma$.

Definition 4.4. We define the *exponential map* $\exp : \mathfrak{G} \rightarrow G$ by $\exp(A) = \gamma(1)$. Note that $\gamma(t) = \exp(tA)$, and $\gamma_t(g) = g\gamma(t) = g\exp(tA)$.

Example 4.1. Let V be a vector space with $\dim V = m < \infty$, and let $GL(V)$ be the group of invertible linear functions $F : V \rightarrow V$. By regarding $GL(V)$ as a group of matrices, it is simple to see that $GL(V)$, which is an open subset of \mathbb{R}^{m^2} , is a Lie group.

Let $I \in GL(V)$ be the identity, and denote $T_I(GL(V))$ by $\mathfrak{gl}(V)$. Note that $\mathfrak{gl}(V)$ can be identified with the vector space of *all* linear functions $A : V \rightarrow V$, the correspondence being

$$A \leftrightarrow \left. \frac{d}{dt}(I + tA) \right|_{t=0}.$$

For $A \in \mathfrak{gl}(V)$, let

$$\text{Exp}(A) = I + A + \frac{1}{2!}A^2 + \dots$$

It can be proved that the sum converges, and that

$$\text{Exp}((t+s)A) = \text{Exp}(tA) \text{Exp}(sA).$$

Thus, $\text{Exp}(A) \text{Exp}(-A) = I$ and so $\text{Exp}(A) \in \mathfrak{gl}(V)$. Note that $t \mapsto \text{Exp}(tA)$ is a curve and a homomorphism with

$$\left. \frac{d}{dt} \text{Exp}(tA) \right|_{t=0} = A.$$

It follows from the discussion previous to definition 4.4 that Exp is the exponential map for $GL(V)$. In 4.3, we will prove that, for $A, B \in \mathfrak{gl}(V)$, $[A, B] = AB - BA$.

Definition 4.5. A *Lie subgroup* of a Lie group G is a submanifold (of G) that is also a subgroup. A Lie subgroup H of G is itself a Lie group. Since the homomorphisms $\gamma : \mathbb{R} \rightarrow H$ are also homomorphisms into G , we have that $\exp : \mathfrak{h} \rightarrow H$ is just $\exp : \mathfrak{g} \rightarrow G$ restricted to \mathfrak{h} .

Theorem 4.1. Let G and G' be Lie groups, and let $F : G \rightarrow G'$ be a C^∞ homomorphism. The $F_{*e} : \mathfrak{g} \rightarrow \mathfrak{g}'$ is a linear function such that $F_{*e}([A, B]) = [F_{*e}A, F_{*e}B]$, i.e., F_{*e} is a homomorphism of Lie algebras.

Proof. Note that

$$F \circ L_g(g') = F(gg') = F(g)F(g') = (L_{F(g)} \circ F)(g').$$

Thus

$$F_{*g}(\bar{A}_g) = F_{*g}(L_{*g}A) = L_{F(g)*e'}(F_{*e}A) = (\overline{F_{*e}A})_{F(g)},$$

and so $F_{*e}(\bar{A}) = (\overline{F_{*e}A})$. Thus

$$F_{*e}([A, B]) = [F_{*e}(\bar{A}), F_{*e}(\bar{B})]_{e'} = [(\overline{F_{*e}A}), (\overline{F_{*e}B})]_{e'} = [F_{*e}A, F_{*e}B].$$

□

Definition 4.6. For $g \in G$, let $\text{Ad}_g : G \rightarrow G$ be the C^∞ adjoint isomorphism given by $\text{Ad}_g(g') = gg'g^{-1}$. We let $\mathfrak{Ad}_g : \mathfrak{g} \rightarrow \mathfrak{g}$ be the induced isomorphism of \mathfrak{g} provided by theorem 4.1, i.e., $\mathfrak{Ad}_g = \mathfrak{Ad}_{d*e}$. Let $\mathfrak{ad} : G \rightarrow GL(\mathfrak{g})$ be the homomorphism $g \mapsto \mathfrak{ad}_g$. Then theorem 4.1 gives us an induced homomorphism $\mathfrak{ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$, i.e., $\mathfrak{ad} = \mathfrak{ad}_{*e}$.

Theorem 4.2. For $A, B \in \mathfrak{G}$, we have

$$\mathfrak{ad}(A)(B) = \frac{\partial^2}{\partial s \partial t} (\exp(tA) \exp(sB) \exp(-tA)) \Big|_{s,t=0} = [A, B].$$

Proof. Let $\{\varphi_t\}$ be the one-parameter group generated by \bar{A} . By the end of definition 4.4, we have $\varphi_t(g) = g \exp tA$. Using $L_{\bar{A}}\bar{B} = [\bar{A}, \bar{B}]$, we have (at $s = t = 0$)

$$\begin{aligned} [A, B] &= [\bar{A}, \bar{B}]_e = \frac{d}{dt} \varphi_{-t*} (\bar{B}_{\varphi_t(e)}) \\ &= \frac{d}{dt} \varphi_{-t*} \left(\frac{d}{dt} \varphi_t(e) \exp(sB) \right) \\ &= \frac{d}{dt} \frac{d}{ds} \varphi_{-t} (\varphi_t(e) \exp(sB)) \\ &= \frac{\partial^2}{\partial t \partial s} (\exp(tA) \exp(sB) \exp(-tA)) \\ &= \frac{d}{dt} \mathfrak{ad}(\exp(tA))(B) = \mathfrak{ad}_{*e}(A)(B) \\ &= \mathfrak{ad}(A)(B) \end{aligned}$$

□

Corollary 4.3. If G is any Lie subgroup of $GL(V)$, then the bracket operation on $\mathfrak{G} \subset \mathfrak{gl}(V)$ is given by $[A, B] = AB - BA$.

Proof. By 4.5 it suffices to consider the case in which $G = GL(V)$. Using theorem 4.2 with $\exp = \text{Exp}$ (see example 4.1), we have

$$[A, B] = \frac{\partial^2}{\partial s \partial t} (\text{Exp}(tA) \text{Exp}(sB) \text{Exp}(-tA)) \Big|_{s,t=0} = AB - BA.$$

□

Definition 4.7. Let e_1, \dots, e_n be a basis for the Lie algebra \mathfrak{G} of G . The *structure constants* $c_{ij}^k \in \mathbb{R}$ are defined by $[e_i, e_j] = \sum c_{ij}^k e_k$. Note that $[e_i, e_j] = -[e_j, e_i]$, which implies $c_{ij}^k = -c_{ji}^k$. Similarly, the Jacobi identity implies

$$\sum_m c_{im}^h c_{jk}^m + c_{km}^h c_{ij}^m + c_{jm}^h c_{ki}^m = 0,$$

for all h, i, j, k .

Example 4.2 ($SU(n)$, the Special Unitary Group). The computation of the Lie algebra of a Lie group of matrices is illustrated here for the group $SU(n)$, which is frequently used in elementary particle physics.

Let $\mathfrak{gl}(n, \mathbb{C})$ be the space of all $n \times n$ matrices with complex entries. For $A \in \mathfrak{gl}(n, \mathbb{C})$, let A^* denote the conjugate of the transpose of A . Recall that the unitary group is

$$U(n) = \{A \in \mathfrak{gl}(n, \mathbb{C}) \mid AA^* = I\}$$

and

$$SU(n) = \{A \in U(n) \mid \det A = 1\}.$$

If $t \mapsto A(t)$ is a curve in $U(n)$ with $A(0) = I$, then at $t = 0$, we have

$$\begin{aligned} 0 &= \frac{d}{dt}(I) = \frac{d}{dt} \{A(t)A(t)^*\} \\ &= A'(0)A(0)^* + A(0)A'(0)^* = A'(0) + A'(0)^*. \end{aligned}$$

Thus, for $\mathfrak{S} = \{B \in \mathfrak{gl}(n, \mathbb{C}) \mid B + B^* = 0\}$, we have $\mathfrak{S} \supset \mathfrak{u}(n)$, the Lie algebra of $U(n)$.

Conversely, if $B \in \mathfrak{S}$, then $(\text{Exp } B)(\text{Exp } B)^* = (\text{Exp } B)(\text{Exp } B^*) = I$, and so $\text{Exp } B \in U(n)$. At $t = 0$,

$$B = \frac{d}{dt} \text{Exp } tB \in \mathfrak{u}(n),$$

whence $\mathfrak{u}(n) = \mathfrak{S}$.

The Lie subalgebra $\mathfrak{S}\mathfrak{u}(n)$ of $SU(n)$ is the subalgebra of $\mathfrak{u}(n)$ consisting of matrices with trace 0, i.e.,

$$\mathfrak{S}\mathfrak{u}(n) = \{B \in \mathfrak{u}(n) \mid \text{tr } B = 0\}.$$

This follows from the formula $\det(\text{Exp } B) = e^{\text{tr } B}$, which is valid for any $n \times n$ matrix. We can prove this formula as follows, Let $f(t) = \det(\text{Exp } tB)$. at $h = 0$, we have

$$\begin{aligned} f' &= \frac{d}{dt} f(t+h) = \frac{d}{dt} [\det(\text{Exp } tB) \det(\text{Exp } hB)] \\ &= \det(\text{Exp } tB) \frac{d}{dh} \det(I + hB) \\ &= \det(\text{Exp } tB) \text{tr } B = (\text{tr } B) f(t). \end{aligned}$$

Thus, $f(t) = f(0)e^{(\text{tr } B)t} = e^{(\text{tr } B)t}$, and setting $t = 1$ yields the result.

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