Syntax of Aktionsart

Internal-External Interaction and Cross-linguistic Variation¹

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Utrecht Workshop, Feb 2016

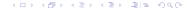




 $^{^{1}}$ I am grateful to Dr. Theresa Biberauer, Prof. Ian Roberts, and other members of the Cambridge SyntaxLab for helpful discussions. All errors and omissions are my own.

Outline

- Outline
- 2 Introduction
 - Phenomenon
 - Observation
- Theory
 - Theoretical implication
 - Proposal
 - Data Analysis
 - Hungarian
 - German
 - Chinese
- Summary & Further Implication



A Type of Complex Verb • notes on data

A Type of Complex Verb Protes on data

- English: set up, kill away, cry out, give in...
- German: ablehnen "off-lean→reject", verbinden "PFV-combine", ausgehen "out-go", totarbeiten "dead-work→work to death"...
- Russian: vytyanut' "out-pull", razjest' "around-eat→corrode"...
- Hungarian: felolvas "up-read→read aloud", becsuk "in-close→shut, lock", kiderül "out-clear→turn out", megcsinál "PFV-do"...
- Chinese: xiangchu "think-exit", yongkai "use-open→begin to use", ganshang "chase-ascend", leisi "tire-die→tire to death"...
- Japanese: omoidasu "think-exit→recall", shiageru "do-raise→finish"...
- ...



What do they have in common?

- Main verb + secondary element
- Two parts clearly distinguishable (regardless of lexical status)
- Syntactic separability & semantic idiomaticity mutually independent
- Event-situation modification

• Internal syntax & internal-external interaction

- Internal syntax & internal-external interaction
- Aktionsart-related structure

- Internal syntax & internal-external interaction
- Aktionsart-related structure
- Split-V → to proposal



Vendler-Aktionsarten:

- basic situation types
- closed group (4-5)
- temporal dimension
- =situation/inner/lexical aspect
- Vendler (1957), Comrie (1976),
 Smith (1991), Travis (2010),
 Ramchand (2008), etc.

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Kiefer-Aktionsarten:

- additional event modification
- open group (saturative, exhaustive, intensive, etc.)
- multiple dimensions
- preverbs in Kiefer's Sprachbund
- Kiefer & Honti (2003), Kiefer (2010)

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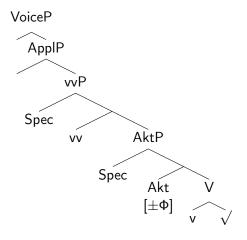
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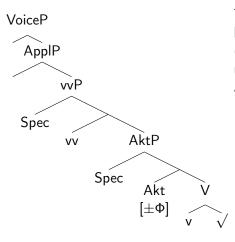
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- Our data: the secondary elements are mainly Kiefer-Aktionsart items
- Core distinction: primary typing vs. secondary modification

Proposal Poutline

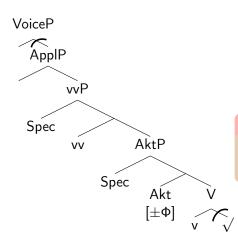


Proposal Poutline



There is an AktP in the Split-VP harboring the various Akt-items (from now on I will use Akt-item to refer to Kiefer-Aktionsart element). Akt-head can be either overt or null.

Proposal Poutline



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Akt featural make-up (first attempt)

- Overt: $\{[+\Phi], [\pm \Sigma], [uF_1], [F_2]\}$
- Null: $\{[-\Phi], [-\Sigma], [uF_1], [F_2]\}$
- Null=Category (F_1) +Operator (F_2)
- Overt=Null+Exponence(Φ)

Some notes on data

- Core data
- Marginal data: CHI guashang-qu "hang-up-thither", najin-lai "take-in-hither"; GER vor-anmelden "pre-on-register", ur-aufführen "original-up-lead→premiere"; ENG upset, undertake, etc.
- Non-data: ENG accelerate, impinge, forget, etc.

Cross-linguistic Variation Pourline

In the following, we will see how this proposal can be applied to explain the cross-linguistic phenomena. We will focus on three languages for now:

- Hungarian
- German
- Chinese

Hungarian: Overview • back to main • to tree • to sum

Hungarian Akt-items are:

Hungarian: Overview back to main

Hungarian Akt-items are:

mainly verbal particles (preverbal in dictionary)

Hungarian: Overview back to main

Hungarian Akt-items are:

- mainly verbal particles (preverbal in dictionary)
- always separable (with word order change)

Hungarian: Overview back to main

Hungarian Akt-items are:

- mainly verbal particles (preverbal in dictionary)
- always separable (with word order change)
- optionally idiomatic

Hungarian Akt-items •

Verbal particles

abba-, agyon-, alá-, által-, át-, be-, bele-, benn-, egybe-, el-, ellen-, elő-, előre-, fel-, félbe-, félre-, felül-, fenn-, hátra-, haza-, helyre-, hozzá-, ide-, keresztül-, ki-, körül-, külön-, le-, meg-, mellé-, neki-, oda-, össze-, rá-, rajta-, széjjel-, szembe-, szerte-, szét-, tele-, tovább-, tönkre-, túl-, újjá-, úira-, utána-, végbe-, végig-, vissza-, etc.

Example

abbahagy "into that-let, leave→abandon, discontinue", agyonver "on brain-beat → beat to death", aláhúz "to under-draw, pull → underline", etc. Hungarian • continue • back

Separability of Akt-items

Hungarian Continue Chack

Separability of Akt-items

Preverbal in neutral sentences

Separability of Akt-items

- Preverbal in neutral sentences
- Postverbal in non-neutral sentences

Separability of Akt-items

- Preverbal in neutral sentences
- Postverbal in non-neutral sentences
- Phrasal status

Hungarian: Neutral Context •

e.g. János fel-olvasta a verseit. John up-read.PST his poems "John read out his poems."

(É. Kiss 2002: 56)

Hungarian: Non-Neutral Context 💿

- a) János **tegnap** olvasta fel a verseit.

 John yesterday read.PST up his poems

 "John read out his poems yesterday (not today)." (É. Kiss 2002: 56)
- b) János nem olvasta fel a verseit. John not read.PST up his poems "John didn't read out his poems."
- c) Kinek a verseit olvasta fel János? whose poems read.PST up John "Whose poems did John read out?"

Hungarian: Phrasal status of Akt-items 🖎

- a) Topicalization:
 János fel szeretné olvasni a verseit.
 John up love.COND read.INF his poems."
- b) Long distance movement:
 Fel akarom, hogy olvasd a verseidet.
 up I want that read.SBJV your poems
 "I want that you read out your poems."
- c) Ellipsis:

 -Fel-olvasta János a verseit? -Fel.
 -up-read.PST John his poems -up

 "-Did John read out his poems? -He did."

(É. Kiss 2002: 57–59)

Hungarian: Semantics of Akt-items

Take **be-**, **ki-**, **fel-** for example, the semantics of Hungarian Akt-items can be purely compositional, somehow abstract, or totally idiomatic.

Importantly, there is no completely bleached Akt-item in Hungarian (NB: meg-, cf. Pátrovics 2002).

Compositional:

- Literal: bemegy "in-go", kijön "out-come", felrepül "up-fly", etc.
- Abstract: bejár "in-go→travel all around", kifárad "out-get tired→get extremely tired", felolvas "up-read→read out", etc.

Idiomatic:

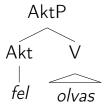
 berúg "in-kick→get drunk", kiborul "out-fall, burst→get psyched out", felad "up-give→give up, abandon", etc.

Hungarian Deack

How should the Akt-item and the main verb be merged?

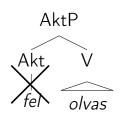
Hungarian P back

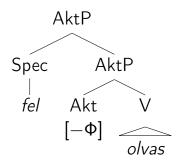
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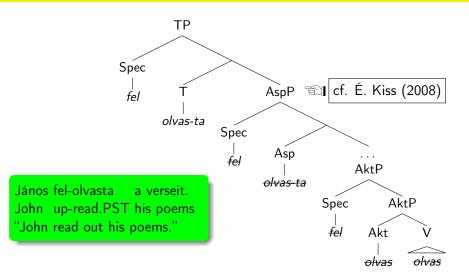
Hungarian P back

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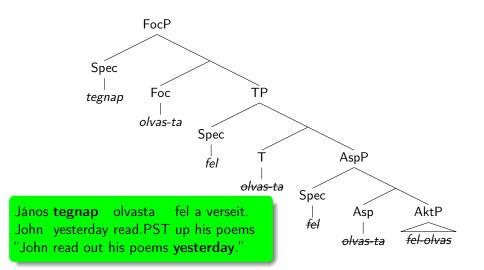




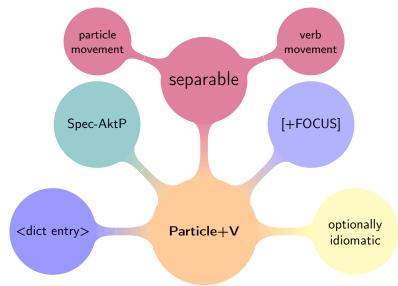
Hungarian: Neutral Context



Hungarian: Non-Neutral Context • back



Hungarian Akt-items: Summary • back



German: Overview back to main to tree to sum

German Akt-items are:

German: Overview • back to main • to tree • to sum

German Akt-items are:

• verbal particles (separable, preverbal in dictionary) or prefixes (inseparable) •

German: Overview • back to main •

German Akt-items are:

- verbal particles (separable, preverbal in dictionary) or prefixes (inseparable)
- subject to word order change when separable

German: Overview back to main

to tree

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German Akt-items are:

- verbal particles (separable, preverbal in dictionary) or prefixes (inseparable)
- subject to word order change when separable
- optionally idiomatic

German Akt-items Phack

Particles (separable)

ab-, an-, auf-, aus-, auseinander-, bei-, da-, ein-, fern-, fest-, fort-, frei-, gegenüber-, gleich-, heim-, her-, hin-, hoch-, los-, mit-, nach-, nieder-, statt-, vor-, vorbei-, weg-, weiter-, zu-, zurecht-, zurück-, zusammen-, etc.

Prefixes (inseparable)

be-, ent-, emp-, er-, ge-, miss-, ver-, zer-, etc.

Particle-or-prefixes

durch-, hinter-, unter-, um-, über-, voll-, wieder-, etc.

Example

abholen "off-take→pick up", **auf**stehen "up-stand→stand/get up", **ent**gehen "SEPA-go→escape", **er**schlagen "EXH-beat→slay, kill", etc.

German → continue → back

German Continue Chack

Separability of Akt-items

Particles:

German F continue F back

- Particles:
 - stranded at end of clause under V2



- Particles:
 - stranded at end of clause under V2
 - preverbal in non-V2 context (still at end of clause)





- Particles:
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 - Phrasal status



German Continue Dack

- Particles:
 - stranded at end of clause under V2
 - preverbal in non-V2 context (still at end of clause)
 - Phrasal status
- Prefixes: inseparable, always preverbal

German: Separable Akt-item in V2/non-V2 contexts •

- a) Peter steigt in den Bus ein. Peter climbs in the bus in "Peter gets on the bus."
- b) ... weil Peter in den Bus ein-steigt. ...because Peter in the bus in-climbs "...because Peter gets on the bus."

(Zeller 2001: 55)

German: Phrasal status of separable Akt-items 🖎

a) Topicalization:

Zu hat er die Tür gemacht. closed has he the door made "He locked the door."

(Zeller 2001: 89)

b) Scrambling:

Ich weiß, daß die Sonne auf im Osten und unter im Westen geht.

I know that the sun up in the east and down in the west goes "I know that the sun goes up in the East and down in the West."

(Lüdeling 2001: 50)

c) Gapping:

...weil Peter ein-steigt und Hans aus.

...because Peter in-climbs and Hans out

"...because Peter gets in and Hans out."

(Zeller 2001: 85)

(cf. Svenonius 2008)

German: Inseparable Akt-items •

- a) Peter verschießt die Munition.
 Peter RES-shoots the ammunition.
 "Peter runs out of ammunition."
- b) ...weil Peter die Munition verschießt. ...because Peter the ammunition RES-shoots "...because Peter runs out of ammunition."

(Zeller 2001: 56–57)

German: Semantics of Akt-items

Like Hungarian, German Akt-items can also be either compositional or idiomatic. But unlike Hungarian, German has completely bleached Akt-items (the prefixes).

Compositional:

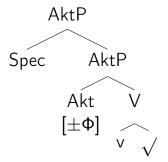
- Literal: einsteigen "in-climb", wegfahren "away-drive", etc.
- Abstract: einreden "in-talk→talk into, persuade", auskennen "out-know→be knowledgeable about", verändern "RES-change", etc.

Idiomatic:

aufgeben "up-give→give up", verstehen "RES-stand→understand", etc.



German back



German back

German Deack

How should the Akt-item and the main verb be merged?

• Scenario 1: simple particle 🕩

German back

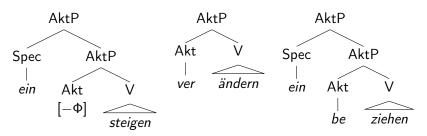
- Scenario 1: simple particle 🕟
- Scenario 2: past participle

German back

- Scenario 1: simple particle 🕩
- Scenario 2: past participle
- Scenario 3: complex particle

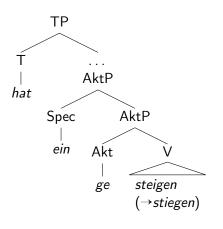
German: Scenario 1 •

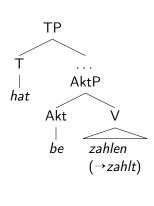
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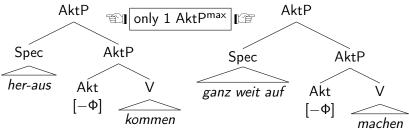
Under V2, V (= $v+\sqrt{}$) goes through Head Movement (through Akt and T to C) in a roll-up fashion, thus taking the prefix (Akt⁰) with it but leaving the particle (Spec-AktP) behind.

German: Scenario 2 •





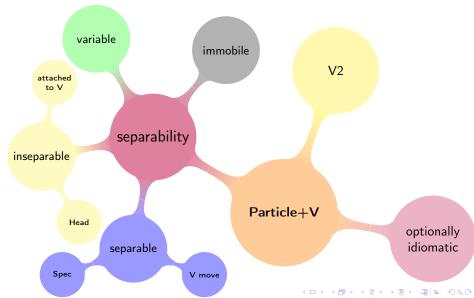
German: Scenario 3



- Such complex Akt-items can also topicalized.
- e.g. Ganz weit auf hat Peter die Tür gemacht.

 "Quite wide has Peter opened the door." (Zeller 2001: 100)

German Akt-items: Summary • back



Chinese: Overview | back to main | to tree | to sum

Chinese Akt-items are:

Chinese: Overview • back to main • to tree • to sum

Chinese Akt-items are:

• (semi-)grammaticalized V-complements • • Akt

Chinese: Overview back to main

▶ to tree

▶ to sum

Chinese Akt-items are:

- (semi-)grammaticalized V-complements • Akt
- inseparable

Chinese: Overview back to main to tree

Chinese Akt-items are:

- (semi-)grammaticalized V-complements Akt
- inseparable
- mostly compositional

Chinese: V-AKT complex verbs

- Chinese complex verbs with Akt-items are of the form V-AKT.
- In canonical cases, both V and Akt are monosyllabic. But disyllabic V/Akt items also exist (mainly in spoken/casual registers).
- It is hard to determine the category of Akt (a general characteristic of Chinese), so we should not take it for granted that such complex verbs are V-V compounds. Compare:

V-AKT	V-V
chi-diao "eat-√DROP→eat up"	chi-he "eat-drink"
xiang-chu "think-√EXIT→think out"	xiang-nian "think-consider→miss"
da-jin "hit-√EXHAUST→hit all"	da-sui "hit-break"

^{* \(\}text{plus CAP indicates bleached original/literal meaning.} \)



Chinese: V-AKT vs. V-V continue

V-AKT	V-V
chi-diao "eat-√DROP→eat up"	chi-he "eat-drink"
xiang-chu "think-√EXIT→think out"	xiang-nian "think-consider→miss"
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Akt-items are:

- semantically bleached/shifted
- verb modifiers (i.e. modifying the same event instead of introducing a new one)
- aspectual (Vendlerian & Kieferian)

Chinese: A brief history •



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The Akt-slot

- appeared and matured in Middle to Early Modern Chinese (5-12c.)
- along with the disyllabification trend
- facilitated by the frequent adjacency of a main verb V and a complementary/secondary verb C (resultative or directional).

Chinese: A brief history ••

The Akt-slot

- appeared and matured in Middle to Early Modern Chinese (5-12c.)
- along with the disyllabification trend
- facilitated by the frequent adjacency of a main verb V and a complementary/secondary verb C (resultative or directional).
 - More and more adjacent strings got reanalyzed: V-C→V-AKT
 - Complex (serial verb construction) → simplex (a single verb left)

Meanwhile, the C-elements underwent gradual grammaticalization:

- Resultative C: V → AKT → Asp → ?, e.g. LE "end"
- temporal 🏗
- Directional C: V → AKT → ?, e.g. SHANG "up"
 - **☜** spatial

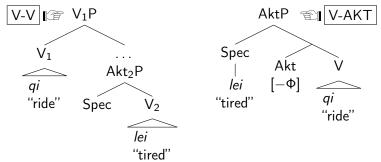
Chinese: Same string, different structure •

Given that:

- the grammaticalization of C-items is a long and still ongoing process
- disyllabic units are still preferred in Chinese prosody
- Chinese tends to preserve diachronic structures/meanings
- ⇒ One V-C string may have different underlying structures. This is manifested in both Mandarin-internal and cross-dialectal data.

Chinese: The ambiguity of qi-lei "ride-tired" •

Mandarin-internal example: qi-lei "ride- \sqrt{TIRED} "



Hence the ambiguity of the following sentence:

Baoyu qi lei le ma.
 Baoyu ride tired PFV horse
 "Baoyu rode the horse and (as a result) Baoyu/the horse got tired."

Chinese: Da-sui "hit-break" in Mandarin vs. Dongying 🕟

Cross-dialectal example: da-sui "hit- $\sqrt{\mathsf{BREAK}}$ " in Standard Mandarin vs. Dongying (a Mandarin dialect)

- a) Xiaoming da sui (le) hua-ping (le). (Mandarin) Xiaoming hit break (PFV) vase (PFV)
- b) Siaoming do sui *(liu) hup-pingr *(liæ). (Dongying) Xiaoming hit break *(PFV) vase *(PFV-SFP) "Xiaoming broke the vase."

In Mandarin, at least one LE is needed, whereas in Dongying, both LEs are compulsory. This indicates that there is only **one** structure in Dongying, but at least **two** in Mandarin (details omitted).

So, given the structural variation, how to decide which C-items are Akt-items, and which are embedded Vs? And more specifically, which Akt-items are Specs/Heads?

• semantic (in)dependence •

- semantic (in)dependence
- semantic bleachedness (Spec-Head)

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- semantic bleachedness (Spec-Head)
- phonological reduction (unfortunately only work for dialects, as Mandarin phonology is standardized)
- both available (V/Spec-Akt, due to diachronic preservation)

Chinese Akt-items (cf. Chao 1968) •

Phasal (originally resultatives)

-dao, -de, -guo, -hao, -jian, -le, -liao, -zhao, -zhe, -zhu, etc.

Degree

-duo, (-fan,) -hen, -ji, (-lei,) -si, -tou, (-yan,) etc.

Directional

-chu, -diao, -guo, -hui, -jin, -kai, **-lai**, -pao, -qi, **-qu**, -shang, -xia, -zou, etc.

Example

peng**dao** "meet- $\sqrt{\mathsf{ARRIVE}} \rightarrow \mathsf{bump}$ into", shui**zhao** "sleep- $\sqrt{\mathsf{TOUCH}} \rightarrow \mathsf{fall}$ asleep", lei**si** "tire-die \rightarrow tired to death", chi**shang** "eat- $\sqrt{\mathsf{ASCEND}} \rightarrow \mathsf{finally}$ have the chance to eat", etc.

Chinese: Akt-items are mostly inseparable • back

Chinese Akt-items are not separable from the main verb in various contexts, not even by the Asp-marker *le*.

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- a) Wo zuo-tian peng-dao Xiaoming le.
 I yesterday bump into Xiaoming PFV
 "I bumped into Xiaoming yesterday."
- b) Xiao-bao-bao shui-zhao le. little baby fall asleep PFV "The little baby fell asleep."
- c) Tian-tian xue-xi, **lei-si** wo le. everyday study tire to death I PFV "Studying everyday has tired me to death."
- d) Na-ge shan-cun qu-nian chi-shang shui le. that-CL mountain-village last-year eat-ASCEND water PFV "That mountain village has (finally) had (tap) water to drink last year."

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This is different from Hungarian and German, where V moves over Akt to higher positions (T or C) to get Tense/Aspect. In Chinese, what we see is that the Asp-LE linearizes with the entire VoiceP-domain.

Chinese: Complex verbs are mostly compositional • back

Chinese Akt-items are seldom idiosyncratic, i.e. there is nothing like HUN be-rúg "in-kick-get drunk" or GER auf-geben "up-give-abandon". The meaning of the complex verb is always directly based on that of the main verb. Highly bleached Akt-items exist (e.g. -le, -zhe), but their original meanings are not forgotten (unlike GER ver- etc.).

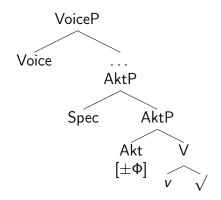
Compositional:

- Literal: zou**jin** "walk-in", chan**chu** "produce-out", etc.
- Abstract: zoukai "walk-√OPEN→walk away", zhuishang "chase-up→catch up", tingjian "listen-√SEE→hear", etc.

So how should Chinese Akt-items be merged?

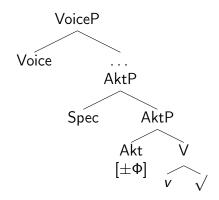
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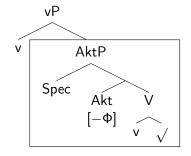


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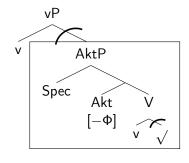


Scenario 1: Akt-item as Spec

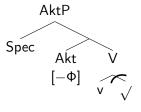


#recategorization

Scenario 1: Akt-item as Spec



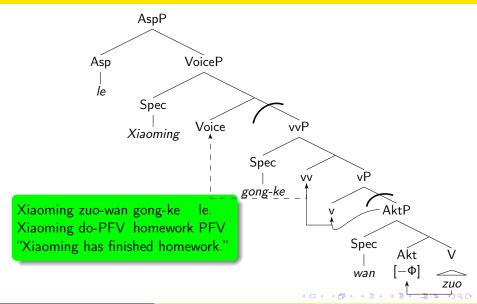
Scenario 2: Akt-item as head



#recategorization

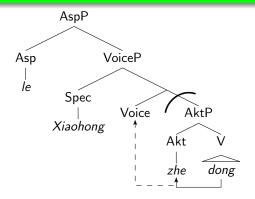
#no recategorization

Chinese: Scenario 1 Pack

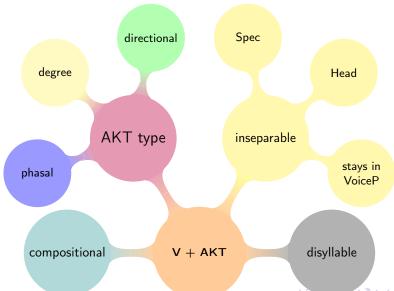


Chinese: Scenario 2 Deack

Tian tai leng, Xiaohong dong-zhe le. weather too cold Xiaohong freeze-RES PFV "The weather was too cold; Xiaohong got frozen (and sick)."



Chinese Akt-items: Summary • back



Summary: Akt-systems of Hungarian, German, and Chinese

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In this study, we have...

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- differentiated two uses of "Aktionsart" and split "the big V" into three;
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- applied the proposal to three typologically unrelated languages, &
- seen how the internal and external syntax of AktP interact.

Summary: Akt-systems of Hungarian, German, and Chinese

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- ullet applied the proposal to three typologically unrelated languages, &
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Conclusions:

- The AktP proposal has some cross-linguistic tenability.
- Spec/Head → determines syntactic and semantic nature
- Akt-item movement + verb movement → word order change
- Language-specific peculiarity → affects internal-external interaction

Further implication • back to outline

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Grammaticalization of Akt-item in three stages

- I-morpheme → semi-f-morpheme (Spec) → f-morpheme (Head)
- justifiable both synchronically and diachronically
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Further implication • back to outline

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The Akt category and its universal basis

- f-morpheme = conceptual category + syntactic category.
- e.g. anchoring (Wiltschko 2014) → Tense → Tense phenomena
- Akt- $le = Akt (f, List 1) + /lr/(List 2) (+ [\Sigma], List 3)$
- Akt feature bundle (2nd attempt): {[uV], [FLAG]}
- Root licensing; complete vs. defective Root
- ?? → Akt → Akt phenomena
- verb framing vs. satellite framing more cross-linguistic variation

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