

# CSCD 327: Relational Database Systems

## Relational Algebra

Instructor: Dr. Dan Li

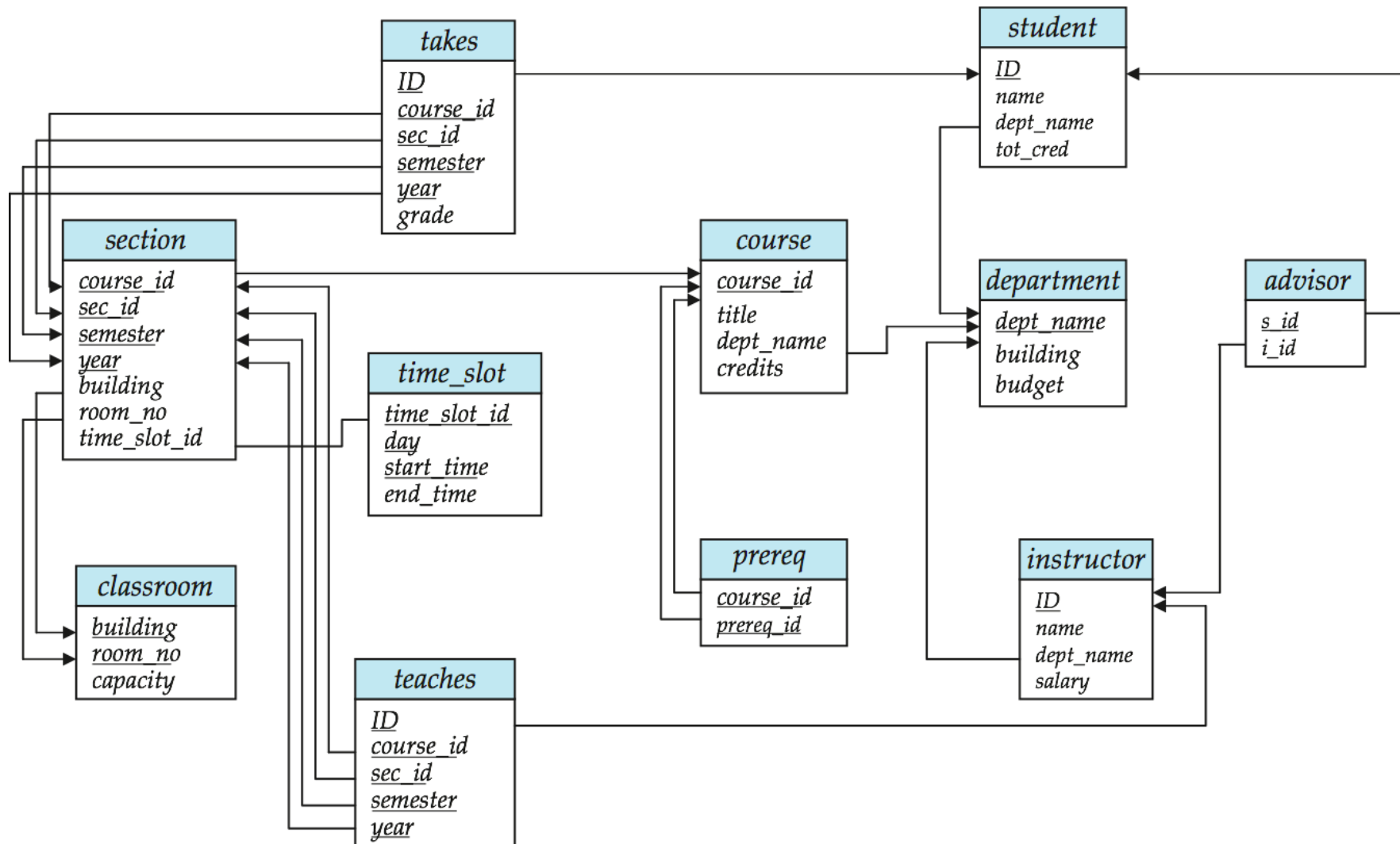
# Formal Relational Query Languages

- Two mathematical Query Languages form the basis for “real” languages (e.g. SQL), and for implementation:
  - Relational Algebra: *procedural*, tells you how to process a query, very useful for representing execution plans.
  - Relational Calculus: Lets users describe what they want, rather than how to compute it.  
(*Non-procedural, declarative.*)

# Relational Algebra

- Six basic operators
  - select:  $\sigma$
  - project:  $\Pi$
  - union:  $\cup$
  - set difference:  $-$
  - Cartesian product:  $\times$
  - rename:  $\rho$
- The operators take one or two relations as inputs and produce a new relation as a result.

# Running Example: Schema Diagram for University Database



# Select Operation

- Notation:  $\sigma_p(r)$
- $p$  is called the **selection predicate**
- Defined as:

$$\sigma_p(r) = \{t \mid t \in r \text{ and } p(t)\}$$

Where  $p$  is a formula in propositional calculus consisting of **terms** connected by :  $\wedge$  (**and**),  $\vee$  (**or**),  $\neg$  (**not**)

Each **term** is one of:

$\langle \text{attribute} \rangle \quad op \quad \langle \text{attribute} \rangle \text{ or } \langle \text{constant} \rangle$

where  $op$  is one of:  $=, \neq, >, \geq, <, \leq$

- Example of selection:

$$\sigma_{dept\_name = \text{"Physics"}}(instructor)$$

# Select Operation – Example

- Relation r

A	B	C	D
$\alpha$	$\alpha$	1	7
$\alpha$	$\beta$	5	7
$\beta$	$\beta$	12	3
$\beta$	$\beta$	23	10

- $\sigma_{A=B \wedge D > 5}(r)$

A	B	C	D
$\alpha$	$\alpha$	1	7
$\beta$	$\beta$	23	10

# Project Operation

- Notation:  $\Pi_{A_1, A_2, \dots, A_k}(r)$

where  $A_1, A_2$  are attribute names and  $r$  is a relation name.

- The result is defined as the relation of  $k$  columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets
- Example: To eliminate the *dept\_name* attribute of *instructor*

$$\Pi_{ID, name, salary}(instructor)$$

# Project Operation – Example

- Relation  $r$ :

$A$	$B$	$C$
$\alpha$	10	1
$\alpha$	20	1
$\beta$	30	1
$\beta$	40	2

- $\Pi_{A,C}(r)$

$A$	$C$
$\alpha$	1
$\alpha$	1
$\beta$	1
$\beta$	2

 $=$ 

$A$	$C$
$\alpha$	1
$\beta$	1
$\beta$	2



# Union Operation

- Notation:  $r \cup s$
- Defined as:
$$r \cup s = \{t \mid t \in r \text{ or } t \in s\}$$
- For  $r \cup s$  to be valid.
  1.  $r, s$  must have the *same* **arity/degree** (same number of attributes)
  2. The attribute domains must be **compatible** (example: 2<sup>nd</sup> column of  $r$  deals with the same type of values as does the 2<sup>nd</sup> column of  $s$ )
- Example: to find all courses taught in the Fall 2009 semester, or in the Spring 2010 semester, or in both

$\Pi_{\text{course\_id}} (\sigma_{\text{semester} = \text{"Fall"} \wedge \text{year} = 2009}(\text{section})) \cup$

$\Pi_{\text{course\_id}} (\sigma_{\text{semester} = \text{"Spring"} \wedge \text{year} = 2010}(\text{section}))$

# Union Operation – Example

- Relations  $r, s$ :

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

$A$	$B$
$\alpha$	2
$\beta$	3

$s$

- $r \cup s$ :

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1
$\beta$	3

# Set Difference Operation

- Notation  $r - s$
- Defined as:

$$r - s = \{t \mid t \in r \text{ and } t \notin s\}$$

- Set differences must be taken between **compatible** relations.
  - $r$  and  $s$  must have the **same** arity
  - attribute domains of  $r$  and  $s$  must be compatible
- Example: to find all courses taught in the Fall 2009 semester, but not in the Spring 2010 semester

$$\Pi_{course\_id}(\sigma_{semester="Fall" \wedge year=2009}(section)) -$$

$$\Pi_{course\_id}(\sigma_{semester="Spring" \wedge year=2010}(section))$$

# Set difference of two relations

- Relations  $r, s$ :

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

$A$	$B$
$\alpha$	2
$\beta$	3

$s$

- $r - s$ :

$A$	$B$
$\alpha$	1
$\beta$	1

# Cartesian-Product Operation

- Notation  $r \times s$
- Defined as:

$$r \times s = \{t \ q \mid t \in r \textbf{ and } q \in s\}$$

- Assume that attributes of  $r(R)$  and  $s(S)$  are disjoint. (That is,  $R \cap S = \emptyset$ ).
- If attributes of  $r(R)$  and  $s(S)$  are not disjoint, then renaming must be used.

# Cartesian-Product Operation – Example

□ Relations  $r, s$ :

$A$	$B$
$\alpha$	1
$\beta$	2

$r$

$C$	$D$	$E$
$\alpha$	10	a
$\beta$	10	a
$\beta$	20	b
$\gamma$	10	b

$s$

□  $r \times s$ :

$A$	$B$	$C$	$D$	$E$
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b

# Composition of Operations

- Can build expressions using multiple operations

- Example:  $\sigma_{A=C}(r \times s)$

- $r \times s$

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b

- $\sigma_{A=C}(r \times s)$

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b

# Rename Operation

- Allows us to refer to a relation by more than one name.
- Example:

$$\rho_x(E)$$

returns the expression  $E$  under the name  $X$

- If a relational-algebra expression  $E$  has degree  $n$ , then

$$\rho_{x(A_1, A_2, \dots, A_n)}(E)$$

returns the result of expression  $E$  under the name  $X$ , and with the attributes renamed to  $A_1, A_2, \dots, A_n$ .



# Example Query

- Find the largest salary in the university
  - Step 1: find instructor salaries that are less than some other instructor salary (i.e. not maximum)
    - using a copy of *instructor* under a new name *d*
  - $\Pi_{instructor.salary} (\sigma_{instructor.salary < d.salary} (instructor \times \rho_d (instructor)))$
  - Step 2: Find the largest salary
    - $\Pi_{salary} (instructor) - \Pi_{instructor.salary} (\sigma_{instructor.salary < d.salary} (instructor \times \rho_d (instructor)))$

# Example Queries

- Find the names of all instructors in the Physics department, along with the *course\_id* of all courses they have taught

## Query 1

$$\Pi_{instructor.ID, course\_id} (\sigma_{dept\_name = \text{"Physics"}} ( \sigma_{instructor.ID = teaches.ID} (instructor \times teaches)))$$

## Query 2

$$\Pi_{instructor.ID, course\_id} (\sigma_{instructor.ID = teaches.ID} ( \sigma_{dept\_name = \text{"Physics"}} (instructor) \times teaches))$$

# Additional Operations

We define additional operations that do not add any power to the relational algebra, but that simplify common queries.

- Set intersection
- Natural join
- Division
- Assignment

# Set-Intersection Operation

- Notation:  $r \cap s$
- Defined as:
- $r \cap s = \{ t \mid t \in r \textbf{ and } t \in s \}$
- Assume:
  - $r, s$  have the *same arity*
  - attributes of  $r$  and  $s$  are compatible
- Note:  $r \cap s = r - (r - s)$

# Set-Intersection Operation – Example

- Relation  $r$ ,

$A$	$B$
$\alpha$	1
$\alpha$	2
$\beta$	1

  
 $r$ 

$A$	$B$
$\alpha$	2
$\beta$	3

  
 $s$

$A$	$B$
$\alpha$	2

- $r \cap s$

# Natural-Join Operation

□ Notation:  $r \bowtie s$

- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively. Then,  $r \bowtie s$  is a relation on schema  $R \cup S$  obtained as follows:
  - Consider each pair of tuples  $t_r$  from  $r$  and  $t_s$  from  $s$ .
  - If  $t_r$  and  $t_s$  have the same value on each of the attributes in  $R \cap S$ , add a tuple  $t$  to the result, where
    - $t$  has the same value as  $t_r$  on  $r$
    - $t$  has the same value as  $t_s$  on  $s$

- Example:

$R = (A, B, C, D)$

$S = (E, B, D)$

– Result schema =  $(A, B, C, D, E)$

–  $r \bowtie s$  is defined as:

$$\bowtie \prod_{r.A, r.B, r.C, r.D, s.E} (\sigma_{r.B = s.B \wedge r.D = s.D} (r \times s))$$

# Natural Join Example

- Relations  $r$ ,  $s$ :

$A$	$B$	$C$	$D$
$\alpha$	1	$\alpha$	a
$\beta$	2	$\gamma$	a
$\gamma$	4	$\beta$	b
$\alpha$	1	$\gamma$	a
$\delta$	2	$\beta$	b

$r$

$B$	$D$	$E$
1	a	$\alpha$
3	a	$\beta$
1	a	$\gamma$
2	b	$\delta$
3	b	$\epsilon$

$s$

□  $r \bowtie s$

$A$	$B$	$C$	$D$	$E$
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$
$\alpha$	1	$\gamma$	a	$\alpha$
$\alpha$	1	$\gamma$	a	$\gamma$
$\delta$	2	$\beta$	b	$\delta$

# Another Natural Join Example

- Find the names of all instructors in the Comp. Sci. department together with the course titles of all the courses that the instructors teach
  - $\Pi_{name, title} (\sigma_{dept\_name="Comp. Sci."} (instructor \bowtie teaches \bowtie course))$
- Natural join is associative
  - $(instructor \bowtie teaches) \bowtie course$  is equivalent to  $instructor \bowtie (teaches \bowtie course)$
- Natural join is commutative
  - $instruct \bowtie teaches$  is equivalent to  $teaches \bowtie instructor$



# Division Operation

- Notation:  $r \div s$
- Suited to queries that include the phrase “for all”.
- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively where
  - $R = (A_1, \dots, A_m, B_1, \dots, B_n)$
  - $S = (B_1, \dots, B_n)$

The result of  $r \div s$  is a relation on schema

$$R - S = (A_1, \dots, A_m)$$

A tuple  $t$  is in  $r \div s$  if and only if both of two conditions hold:

1.  $t$  is in  $\Pi_{R-S}(r)$
2. For every tuple  $t_s$  in  $S$ , there is a tuple  $t_r$  in  $R$  satisfying both of the following:
  1.  $t_r[S] = t_s[S]$
  2.  $t_r[R-S] = t$

# Division Operation – Example

□ Relations  $r, s$ :

$A$	$B$
$\alpha$	1
$\alpha$	2
$\alpha$	3
$\beta$	1
$\gamma$	1
$\delta$	1
$\delta$	3
$\delta$	4
$\epsilon$	6
$\epsilon$	1
$\beta$	2

$B$
1
2

$s$

□  $r \div s$ :

$A$
-----

$\alpha$
$\beta$

$r$

# Another Division Example

Relations  $r$ ,  $s$ :

$A$	$B$	$C$	$D$	$E$
$\alpha$	a	$\alpha$	a	1
$\alpha$	a	$\gamma$	a	1
$\alpha$	a	$\gamma$	b	1
$\beta$	a	$\gamma$	a	1
$\beta$	a	$\gamma$	b	3
$\gamma$	a	$\gamma$	a	1
$\gamma$	a	$\gamma$	b	1
$\gamma$	a	$\beta$	b	1

$r$

$D$	$E$
a	1
b	1

$s$

$r \div s$ :

$A$	$B$	$C$
$\alpha$	a	$\gamma$
$\gamma$	a	$\gamma$

# Assignment Operation

- The assignment operation ( $\leftarrow$ ) provides a convenient way to express complex queries.
  - Write query as a sequential program consisting of
    - a series of assignments
    - followed by an expression whose value is displayed as a result of the query.
  - Assignment must always be made to a temporary relation variable.
- Example: Write  $r \cap s$  as

*temp*  $\leftarrow r - s$

*result*  $\leftarrow r - temp$

- The result to the right of the  $\leftarrow$  is assigned to the relation variable on the left of the  $\leftarrow$ .