Some Limits to Global Ecophagy by Biovorous Nanoreplicators, with Public Policy Recommendations

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28 February 2001: Thanks to work done by Patrick Macé, "Ecophagy" has been translated into French and can be downloaded in rich text format (288 Kb).

2 November 2005: The original Ecophagy article remains available at the <u>Foresight website</u>. The permanent URL for this paper is http://www.rfreitas.com/Nano/Ecophagy.htm.

Abstract

The maximum rate of global ecophagy by biovorous self-replicating nanorobots is fundamentally restricted by the replicative strategy employed; by the maximum dispersal velocity of mobile replicators; by operational energy and chemical element requirements; by the homeostatic resistance of biological ecologies to ecophagy; by ecophagic thermal pollution limits (ETPL); and most importantly by our determination and readiness to stop them. Assuming current and foreseeable energy-dissipative designs requiring ~100 MJ/kg for chemical transformations (most likely for biovorous systems), ecophagy that proceeds slowly enough to add ~4°C to global warming (near the current threshold for immediate climatological detection) will require ~20 months to run to completion; faster ecophagic devices run hotter, allowing quicker detection by policing authorities. All ecophagic scenarios examined appear to permit early detection by vigilant monitoring, thus enabling rapid deployment of effective defensive instrumentalities.

1.0 Introduction

Recent discussions [1] of the possible dangers posed by future technologies such as artificial intelligence, genetic engineering and molecular nanotechnology have made it clear that an intensive theoretical analysis of the major classes of environmental risks of molecular nanotechnology (MNT) is warranted. No systematic assessment of the risks and limitations of MNT-based technologies has yet been attempted. This paper represents a first effort to begin this analytical process in a quantitative fashion.

Perhaps the earliest-recognized and best-known danger of molecular nanotechnology is the risk that self-replicating nanorobots capable of functioning autonomously in the natural environment could quickly convert that natural environment (e.g., "biomass") into replicas of themselves (e.g., "nanomass") on a global basis, a scenario usually referred to as the "gray goo problem" but perhaps more properly termed "global ecophagy." [Also Also]

As Drexler first warned in Engines of Creation [2]:

"Plants" with "leaves" no more efficient than today's solar cells could out-compete real plants, crowding the biosphere with an inedible foliage. Tough omnivorous "bacteria" could out-compete real bacteria: They could spread like blowing pollen, replicate swiftly, and reduce the biosphere to dust in a matter of days. Dangerous replicators could easily be too tough, small, and rapidly spreading to stop - at least if we make no preparation. We have trouble enough controlling viruses and fruit flies.

Among the cognoscenti of nanotechnology, this threat has become known as the "gray goo problem." Though masses of uncontrolled replicators need not be gray or gooey, the term "gray goo" emphasizes that replicators able to obliterate life might be less inspiring than a single species of crabgrass. They might be superior in an evolutionary sense, but this need not make them valuable.

The gray goo threat makes one thing perfectly clear: We cannot afford certain kinds of accidents with replicating assemblers.

Gray goo would surely be a depressing ending to our human adventure on Earth, far worse than mere fire or ice, and one that could stem from a simple laboratory accident.

Lederberg [3] notes that the microbial world is evolving at a fast pace, and suggests that our survival may depend upon embracing a "more microbial point of view." The emergence of new infectious agents such as HIV and Ebola demonstrates that we have as yet little knowledge of how natural or technological disruptions to the environment might trigger mutations in known organisms or unknown extant organisms [81], producing a limited form of "green goo" [92].

However, biovorous nanorobots capable of comprehensive ecophagy will not be easy to build and their design will require exquisite attention to numerous complex specifications and operational challenges. Such biovores can emerge only after a lengthy period of purposeful focused effort, or as a result of deliberate experiments aimed at creating general-purpose artificial life, perhaps by employing genetic algorithms, and are highly unlikely to arise solely by accident.

2.0 The Ecophagic Threat

Classical molecular nanotechnology [2, 4] envisions nanomachines predominantly composed of carbon-rich diamondoid materials. Other useful nanochemistries might employ aluminum-rich sapphire (Al_2O_3) materials, boron-rich (BN) or titanium-rich (TiC) materials, and the like. TiC has one the highest possible operating temperatures allowed for commonplace materials (m.p. ~3410°K [5]), and while diamond can scratch TiC, TiC can be used to melt diamond.

However, atoms of Al, Ti and B are far more abundant in the Earth's crust (81,300 ppm, 4400 ppm and 3 ppm, respectively [5]) than in biomass, e.g., the human body (0.1 ppm, 0 ppm, and 0.03 ppm [6]), reducing the direct threat of ecophagy by such systems (Section 8.3). On the other hand, carbon is a thousand times less abundant in crustal rocks (320 ppm, mostly carbonates) than in the biosphere (~230,000 ppm).

Furthermore, conversion of the lithosphere into nanomachinery is not a primary concern because ordinary rocks typically contain relatively scarce sources of energy. For instance, natural radioactive isotopes present in crustal rocks vary greatly as a function of the geological composition and history of a region, but generally range from 0.15-1.40 mGy/yr [7], giving a raw power density of 0.28-2.6 $\times 10^{-7}$ W/m³ assuming crustal rocks of approximately mean terrestrial density (5522 kg/m³ [5]). This is quite insufficient to power nanorobots capable of significant activities; current nanomachine designs typically require power densities on the order of 10^5 - 10^9 W/m³ to achieve effective results [6]. (Biological systems typically operate at 10^2 - 10^6 W/m³ [6].) Solar power is not readily available below the surface, and the mean geothermal heat flow is only 0.05 W/m² at the surface [6], just a tiny fraction of solar insolation. Subsurface pressure and temperature rise with depth in Earth's crust at the

rates of 0.47 atm/meter and $k_q \sim 0.014$ °K/meter [8], exceeding maximum reasonable nanorobot operating limits of 100,000 atm and 2000°K at depths of ~210 km and ~120 km well into the upper mantle below a ~50 km crust; however, geothermal power density is only $D_p \sim K_t k_q^2 k_{\text{Carnot}} / DT \sim 1-4 \times 10^{-6} \text{ W/m}^3$ taking thermal conductivity $K_t \sim 2-5 \text{ W/m-K}$ for common crustal minerals [9] and $DT \sim 1$ °C giving Carnot efficiency $k_{\text{Carnot}} = DT / T \sim 0.3\%$ at T = 300°K.

Hypothesized crustal abiotic highly-reduced petroleum reserves [16] probably could not energize significant replicator nanomass growth due to the anoxic environment deep underground, although potentially large geobacterial populations have been described [10-16] and in principle some unusual though highly limited bacterial energy sources could also be tapped by nanorobots. For example, some anaerobic bacteria use metals (instead of oxygen) as electron-acceptors [13], with iron present in minerals such as pyroxene or olivine being converted to iron in a more oxidized state in magnetic minerals such as magnetite and maghemite, and using geochemically produced hydrogen to reduce CO_2 to methane [11]. Underground bacteria in the Antrim Shale deposit produce 1.2×10^7 m³/day of natural gas (methane) by consuming the 370 MY-old remains of ancient algae [17]. Bioremediation experiments have also been done by Envirogen and others in which pollution-eating bacteria are purposely injected into the ground to metabolize organic toxins; in field tests it has proven difficult to get the bacteria to move through underground aquifers, because the negatively-charged cells tend to adhere to positively charged iron oxides in the soil [18].

However, the primary ecophagic concern is that runaway nanorobotic replicators or "replibots" will convert the entire surface biosphere (the ecology of all living things on the surface of the Earth) into alternative or artificial materials of some type -- especially, materials like themselves, e.g., more self-replicating nanorobots. Since advanced nanorobots might be constructed predominantly of carbon-rich diamondoid materials [4], and since \sim 12% of all atoms in the human body (representative of biology generally) are carbon atoms [6], or \sim 23% by weight, the global biological carbon inventory may support the self-manufacture of a final mass of replicating diamondoid nanorobots on the order of \sim 0.23 $M_{\rm bio}$, where $M_{\rm bio}$ is the total global biomass.

Unlike almost any other natural material, biomass can serve both as a source of carbon and as a source of power for nanomachine replication. Ecophagic nanorobots would regard living things as environmental carbon accumulators, and biomass as a valuable ore to be mined for carbon and energy. Of course, biosystems from which all carbon has been extracted can no longer be alive but would instead become lifeless chemical sludge.

3.0 Exponential Replication Rate

Ignoring thermal pollution considerations for the moment (Section 6.0), in theory an optimally designed and geographically uniformly distributed population of replibots could increase the mass of their own population at the expense of the biosphere, via self-replication, according to the simple relation [19]:

$$M_{\text{repl}} = M_{\text{init}} e^{(t/t)} \tag{1}$$

for maximum exponential growth, where t is elapsed time (sec), t is generation cycle or replication time (sec), M_{init} (kg) is initial nanorobot mass at time t = 0, and M_{repl} (kg) is the replicator mass at time t, where M_{repl} 0.23 M_{bio} . In order to achieve this rate, each completed component of the unit currently being built must be put to full productive use immediately, instead of waiting for the final completion of the unit. There are a few design configurations where something close to this can be achieved efficiently, but as a practical matter and to retain simplicity it will usually be preferable to await the completion of a unit before pressing it into replicative service, a mode of operation called discrete replication, in which case the exponential term in Eqn. 1 should be replaced with $2^{(t/t)}$ discrete - which, all else equal, will be a slightly slower function. (Discrete replication can be faster than pure exponential replication only if $t_{\text{discrete}} < t \ln(2)$.) Replicating populations limited to activity only at the

perimeter of the expansion wave, or in regions of high replibot number density, may achieve only polynomial growth rates [19], which are even slower.

In order to estimate $t = t_{conv}$, the time required for total conversion of the biosphere to replibots plus waste sludge, we must first estimate t. Drexler [4] has calculated that a readily-envisioned multistage molecular manufacturing system could manufacture its own mass in $t \sim 1000$ seconds. However, nanoreplicators need not be capable of general purpose manufacturing, but may be optimized solely for replication of their own substance. A molecular manipulator designed by Drexler [4] that is suitable for molecular assembly pick-and-place operations consists of 4 million atoms excluding support base, power, control, and other necessary structures, and is designed to perform $\sim 10^6$ atomic-precision molecular pick-and-place operations per second, assuming arm-tip movement at 1 cm/sec over minimal 10-nm arcs each cycle. Freitas [6] estimates that a basic autonomous nanoassembler using two Drexler manipulator arms and incorporating a simple onboard nanocomputer might require at least ~ 70 million atoms (~ 1 gigadalton), suggesting a minimum replication time t ~ 100 seconds. (The smallest independently viable cells are thought to have a molecular weight of order ~ 1 gigadalton, e.g., minimum diameter ~ 140 nm[72, 73].)

It is difficult to imagine how an ecophagic replicator capable of successfully assimilating natural biomatter of all existing varieties could be much simpler than this. However, it is possible that molecular manipulators might be slewed at speeds up to ~ 100 cm/sec, perhaps giving t ~ 1 sec, but at the cost of steeply rising energy dissipation [4] which greatly increases waste heat production and system operating temperatures, and reduces nanoreplicator reliability due to larger thermally-excited displacements, thermal damage rates, and phonon-mediated drag [4]. For example, a 10-nm force sensor measuring 10 pN at an operating temperature of 300°K has a 0.2% probability of erroneous measurement; this probability jumps to 3% at 500°K and 16% at 1000°K [4]. Hence, t \sim 1 sec appears to be a rather aggressive and probably unachievable lower limit.

| Table 1. Terrestrial Carbon Sources | | | | |
|-------------------------------------|--|----------------------------------|--|--|
| Location of the Carbon | Form of the Carbon | Worldwide Quantity of Carbon | | |
| Biosphere | CHON ^a | $1.1 \times 10^{15} \mathrm{kg}$ | | |
| Atmosphere | CO ₂ , CH ₄ ^b | $5.2 \times 10^{14} \text{ kg}$ | | |
| Hydrosphere | CO ₂ ^c | $3.8 \times 10^{16} \mathrm{kg}$ | | |
| | CH ₄ [₫] | 1-2×10 ¹⁶ kg | | |
| Lithosphere | Petroleum ^e | $1-3 \times 10^{14} \text{ kg}$ | | |
| | Coal ^f | $1-2 \times 10^{16} \mathrm{kg}$ | | |
| | Carbonates ^g | ~1 ×10 ¹⁹ kg | | |

- a. estimated carbon inventory in the global biomass [20, 21]
- b. atmospheric carbon inventory, mostly CO_2 at 362 ppm [22] and CH_4 at 1.7 ppm [46]
- c. total ocean-dissolved carbon as CO_2 , assuming a mean concentration of 2300 micromoles CO_2 per kg of seawater [26] and an ocean mass of 1.36×10^{21} kg [6]
- d. global undersea carbon storage on continental margins as CH_4 gas hydrates and gas trapped beneath [24]
- e. Earth's total original underground petroleum endowment was $2\text{-}4\times10^{14}$ kg, of which $\sim1\times10^{14}$ kg has already been consumed [23]
- f. all identified and undiscovered global coal reserves [25]
- g. assumes 320 ppm C in crustal rocks [5] to ~50 km depth

Carbon inventory in the global biomass has been estimated as 1.1×10^{15} kg (Table 1). Life is ~23% carbon by weight, so the total global biomass can be estimated as $M_{\text{bio}} \sim 5 \times 10^{15}$ kg. Starting from a single 70-millionatom replicator of mass ~1 gigadalton [6] or $M_{\text{init}} \sim 1.7 \times 10^{-18}$ kg, and taking t ~ 1 sec and $M_{\text{repl}} = 0.23 \ M_{\text{bio}} \sim 1.2 \times 10^{15}$ kg, then $t_{\text{conv}} \sim 76$ sec. Adopting a more reasonable t ~ 100 sec, $t_{\text{conv}} \sim 7600$ sec. For comparison, the fastest known replicators found in nature are certain bacteria which have a mean generation time of t ~ 900-1200 sec (15-20 minutes) [27]. However, these bacteria are capable of digesting only certain limited forms of biological matter and have very severe operational restrictions including proper temperature, pH, and so forth. They are not bio-omnivorous.

4.0 Dispersal Velocity Limitation

The expansion of any population of replicating systems is also fundamentally restricted by the expansion velocity of the outermost envelope which defines the maximum physical extent or dispersion of the growing population. No population of ecophagic objects can disperse more quickly than its growth medium -- in this case, the terrestrial biosphere -- will permit. Thus for a two-dimensional growth medium on the surface of a sphere (e.g., the Earth), the time required for complete biospheric conversion starting from a single initial release site must be at least the minimum time required for the replibots to travel exactly half of a great circle route across the spherical surface, since the expanding wavefront of conversion is moving around the globe in all compass directions simultaneously. This minimum conversion time may be crudely approximated as:

$$t_{\text{spread}} \, {}^{3} \, \mathbf{V}_{\text{repl}}^{-1} \, \left(4 \mathbf{p} R_{\text{Earth}}^{2} \, / \, N\right)^{\frac{1}{2}}$$
 (2)

assuming $t_{\text{spread}} >> t$, where the mean planetary radius $R_{\text{Earth}} = 6.37 \times 10^6$ meters, N is the number of initial replibot release sites, and V_{repl} is the maximum nanoreplicator linear dispersal velocity. For isolated replibots lacking significant aeromotive capabilities, dispersal velocity will be limited approximately to the mean global wind speed, perhaps $V_{\text{repl}} \sim 10$ m/sec, ignoring the narrow 30-75 m/sec jet streams at 9-16 km altitude [94]. This is also near the maximum feasible velocity for nanorobotic flyers operating in the viscous regime, based on maximum attainable endogenous power densities [6].

Assuming a single initial release site (N = 1) and taking $V_{repl} \sim 10$ m/sec, then $t_{spread} \sim 2 \times 10^6$ sec. However, a more efficient biosphere conversion strategy would incorporate the simultaneous release of numerous "seed" replibots distributed uniformly throughout the terrestrial biomass, thus reducing the required maximum extension of each expanding replication domain from neighboring replibot release sites. Large numbers of replibots could be transported by high-velocity airborne macroscale carrier vehicles to distant sites around the world and then released, crudely analogous to a jet aircraft scattering printed leaflets over a civilian area during wartime. Nanoreplicator progeny tasked with the conversion of biomass to nanomass within such smaller substrate domains have much less distance to travel to complete their purpose. Minimum biomass conversion time scales roughly as $N^{1/2}$, where N is the number of independent initial replicator domains, as reflected in Table 2 generated from Eqn. 2:

| Table 2. Minimum Replibot Dispersal Time as a Function of the Number of Uniformly-Distributed Replibot Release Sites | | | |
|--|---|---|--|
| # of Release Sites (N) | Mean Distance Between Neighboring Release Site | Min. Global Dispersal Time, t_{spread} (for $v_{\text{repl}} = 10 \text{ m/sec}$) | |
| 1 | 2×10^7 meters | 30 days | |

| 10^{2} | 2×10^6 meters | 3 days | |
|------------------|------------------------|------------|--|
| 10 ⁴ | 2×10^5 meters | 6 hours | |
| 10 ⁶ | 2×10^4 meters | 40 minutes | |
| 10 ⁸ | 2×10^3 meters | 200 sec | |
| 10 ¹⁰ | 2×10^2 meters | 20 sec | |
| 10 ¹² | 2×10^1 meters | 2 sec | |

This analysis suggests that the limitations on biosphere conversion rate imposed by dispersal velocity are readily overcome by employing a sufficient number of release sites, and do not, by themselves, prohibit ecophagic conversion times on the order of ~1000 seconds or less. In principle, very sophisticated biovores could facultatively aggregate into macroscale assemblages to escape the viscous flight regime, theoretically permitting aerodynamic or even suborbital flight velocities up to 100-1000 m/sec. Replicators incapable of aerial transport will experience significantly longer dispersal times.

In practical surface deployments, major distribution nonuniformities will exist because some areas have significantly larger carbon inventories than others [28]. For example, a map of the global annual net primary production (NPP) of photosynthetically fixed carbon on land shows NPP ranging from 0.1-1.5 kg/m²-yr of carbon, with 25% of the land surface area without permanent ice supporting an NPP > 0.5 kg/m²-yr and an average of 0.426 kg/m²-yr on land [21]. The oceans, which cover ~70% of Earth's surface and show carbon fixation activity averaging only 0.14 kg/m²-yr [21], contain a mere 0.02% of the entire planetary biomass, compared to 99.98% on land.

The uneven geographical distribution of carbon inventories $[\underline{28}]$ and solar power availability $[\underline{83}]$ along with possible element shortages (Tables $\underline{3}$ and $\underline{5}$) may produce significant geographical variation in replication rates. A detailed analysis of such variation is beyond the scope of this paper but likely would place upper limits on replication speed in many environments.

5.0 Energy and Materials Requirements Limitations

The need for energy is another fundamental limit on the speed at which biospheric conversion can take place. During ecophagy, the richest source of energy is likely to be chemical energy derived from the assimilation of biomolecules found in the biosphere. For example, a biomass density of ~10 kg/m² on land [$\underline{20}$, $\underline{21}$] typically having ~10⁷ J/kg of recoverable chemical energy [$\underline{6}$] implies an available energy density of ~10⁸ J/m² at the terrestrial surface. By comparison, visible-spectrum sunlight at noon on a cloudless day (I_{solar} ~ 100-400 W/m² [$\underline{6}$]) may provide at most ~10⁷ J/m² over the course of an 8-hour work day. Other sources of scavengable energy such as radionuclides are much scarcer ($\underline{Section 2.0}$). Note that the complete combustion in air of a mass of glucose equal to \underline{M}_{bio} would consume ~5.3 ×10¹⁵ kg O₂, only 0.5% of the ~1.1 ×10¹⁸ kg of oxygen contained within Earth's ~21% O₂ atmosphere. Hence oxygen-dependent ecophagy will not be oxygen-limited.

Interestingly, diamond has the highest known oxidative chemical storage density because it has the highest atom number (and bond) density per unit volume. Organic materials store less energy per unit volume, from \sim 3 times less than diamond for cholesterol, to \sim 5 times less for vegetable protein, to \sim 10-12 times less for amino acids and wood [6]. Since replibots must build energy-rich product structures (e.g. diamondoid) by consuming relatively energy-poor feedstock structures (e.g., biomass), it may not be possible for biosphere conversion to proceed entirely to completion (e.g., all carbon atoms incorporated into nanorobots) using chemical energy alone, even taking into account the possible energy value of the decarbonified sludge byproduct, though such unused carbon may enter the atmosphere as CO_2 and will still be lost to the biosphere.

The speed of biospheric conversion can also be limited by the abundances of chemical elements available in the environment for conversion into nanomass, as compared to the relative quantities of each element that are required by the nanorobot for replication. (In replicator engineering, this is the "materials closure" issue [19]; in chemistry, it is called "stoichiometry.") In the gray goo scenario, nanorobot replication occurs on the Earth's surface, so any elements which are in short supply in the biosphere might alternatively be obtained from nearby topsoil or crustal rocks, although this may impose an additional logistical overhead on replicative processes. Hence only the concentration of the most abundant of these two sources may act as a significant limit to replication speed. Traditional diamondoid nanomachinery designs [4] have employed 8 primary chemical elements, as summarized in Table 3 (more details in Table 5); Table 3 also gives the associated biological [6] and crustal [5] abundances for each element.

| Table 3. Chemical Element Usages by Weight in Classical Diamondoid Nanorobot Designs Compared to Biological and Terrestrial Crustal Chemical Element Abundances | | | | | |
|---|----------------------------------|--|---|---------|--|
| Chemical Element | % by Wt. in Nanorobot Designs | % by Wt. in Human Body (biology) | % by Wt. in Earth's Crust t _{mult} | | |
| 0 | 3.95% - 7.20% | 61.1% | 46.6% | 1 | |
| Н | 0.58% - 1.35% | 10.01% | 0.14% | 1 | |
| Si | 4.13% - 52.60% | 0.0259% | 27.7% | 1 - 2 | |
| С | 19.87% - 57.71% | 22.9% | 0.032% | 1 - 3 | |
| P | 0% - 9.43% | 0.706% | 0.118% | 0 - 13 | |
| N | 3.56% - 25.19% | 1.30% | 0.0046% | 3 - 19 | |
| F | 0% - 0.77% | 0.00374% | 0.030% | 0 - 26 | |
| S | 3.65% - 7.98% | 0.197% | 0.052% | 19 - 41 | |

Dividing the lowest and highest nanorobot requirement by the highest available environmental abundance gives t_{mult} , the required increase in replication time due to scarcity of a chemical element required for replication. Inspection of <u>Table 3</u> reveals that sulfur appears to be in the shortest supply relative to nanorobot requirements (at least for current primitive designs), possibly increasing replication time t by a factor of up to 41 while the device waits for sufficient sulfur atoms to be accumulated from the environment. Other elements possibly in somewhat limited supply include P, N and F, although the impact of any of these elements on replication time can probably be minimized by judicious nanorobot composition design choices.

As a general rule, ecophagic nanorobot replication time is longer in direct proportion to the extent that nanorobot elemental requirements exceed the availability of the scarcest element in the consumable substrate, in comparison to the theoretical nanorobot replication time on a perfectly compositionally-matched substrate [19]. This phenomenon is commonplace in biology. For instance, it is well-known that phytoplanktonic growth in the open oceans is iron-limited [29].

The highest near-term risk could come from relatively simple single-behavior replibots whose niche is a high-energy substrate of uniform composition which affords a rapid vector for the dispersal of the replicators [79]. The classic example is tire rubber and asphalt tar binder; cars, trucks and airplanes roll on roads and tarmacs worldwide. If the ~4 million miles of paved roads in the U.S. [80] represent ~25% of the global total, then road asphalt mass worldwide is ~3 ×10¹³ kg, or ~0.6% M_{bio} ; the global rubber tire population of ~10 billion tires stockpiled, in use or discarded in scrap heaps [80, 82] adds another ~0.003% M_{bio} . Other vectors with similar properties include cotton, polyester or other uniform textiles [79], insulation on electrical wiring, and paper money. Regular monitoring and decontamination procedures may thwart these threats.

6.0 Ecophagic Thermal Pollution Limits (ETPL)

A more restrictive limitation on the maximum speed of biomass conversion to nanomass is the generation and release of process waste heat into the environment during ecophagy. If there are too many nanoreplicators working all at once, the waste heat they generate can begin to warm up the environment. In some cases, the environment could become so hot that the biospheric conversion process can no longer proceed.

In the crude analysis that follows, we assume that after some number of prior replication cycles, the replibots have converted roughly half of the biosphere to nanoreplicator mass. In the next and final replication cycle, the energy extractable from the remaining half of the global biomass will be consumed as each existing nanorobot replicates itself once more for the last time, thus promptly doubling the existing population and completing the global conversion of biomass into nanomass.

In this case, the total heat energy released at Earth's surface is $P_{\text{total}} = P_{\text{nano}} + P_{\text{solar}}$, where P_{nano} is the waste heat generated by the replibots as they emit E_{half} joules in the last replicative cycle of duration t_{last} , with $P_{\text{nano}} = E_{\text{half}} / t_{\text{last}}$, and where the total solar insolation on Earth's cloudless surface that is subsequently thermalized is $P_{\text{solar}} \sim 1.75 \times 10^{17}$ W. Neglecting the heat-trapping effects of greenhouse gases and the minor contributions from the geological heat flow at Earth's surface, the temperature at the terrestrial surface is given approximately by the Stefan-Boltzmann relation:

$$P_{\text{total}} = 4pR_{\text{Earth}}^2 e_{\text{r}} s T_{\text{Earth}}^4 \tag{3}$$

where $e_{\rm r}$ is terrestrial surface emissivity, taken here as 0.97 (e.g. carbon black) to maximize heat emission at the lowest possible temperature, s is the Stefan-Boltzmann constant (5.67 ×10⁻⁸ W/m²-K⁴), and $T_{\rm Earth}$ is the mean surface temperature of Earth. The minimum last-replication time that will allow a global temperature of $T_{\rm Earth}$ or lower to be maintained throughout the final conversion cycle is given by:

$$t_{\text{last}} = E_{\text{half}} / \left[4 \, \text{pR}_{\text{Earth}}^2 e_{\text{r}} s T_{\text{Earth}}^4 - P_{\text{solar}} \right]$$

$$\sim E_{\text{half}} / \left[(2.8 \times 10^7) \, T_{\text{Earth}}^4 - (1.75 \times 10^{17}) \, \right]$$
(4)

What is an appropriate maximum operating temperature limit for nanoreplicators that must forage for organic substrate in order to replicate? The softening point for sapphire, an oft-mentioned substitute building material for diamond because of its high strength, high-temperature tolerance, and inability to burn in oxygen, is 2070°K [30], probably near the upper limit in any reasonable ecophagic nanorobot design scenario especially given the seriously negative impact of higher temperatures on nanorobot reliability and functionality (Section 3.0). The combustion temperature of diamond in air is usually given as 870-1070°K [31].

However, such elevated surface temperatures, while perhaps acceptable for diamondoid nanomachines in some circumstances, will immediately volatize and incinerate most of the natural organic feedstock upon which the nanoreplicators must feed. The minimum ignition point of wood, paper, or diesel fuel in air has been given as low as ~500°K [68], and glucose caramelizes [6] at 433°K -- caramelization is not oxidation but rather is a decomposition reaction that includes polymerizations and covalent bondmaking that could render this substrate material somewhat less accessible to the replibots. A still lower temperature threshold is the boiling point of water at 373°K; above this temperature, living things will boil, thus denying ecophagic nanoreplicators access to solution-based chemical processes at normal atmospheric pressures, which could be an important restriction.

The waste heat energy released globally in the last replicative cycle may be estimated as $E_{\rm half} \gtrsim (q D_{\rm bio}) M_{\rm bio}$, where $D_{\rm bio}$ is the energy density of the organic feedstock material and q is the energy conversion ratio for its transformation into nanomass. For example, $D_{\rm bio} = 16$ MJ/kg for glucose, 17 MJ/kg for vegetable protein, 18 MJ/kg for animal protein, 19 MJ/kg for wood, and 39 MJ/kg for fats [6]. However, these figures refer to the energy content of the organic feedstock, not to the energy that must be consumed (and the waste heat subsequently thermalized) in order to build a kilogram of nanomass. Drexler [4] estimates that the typical energy dissipation caused by chemical transformations involving carbon-rich materials will be $E_{\rm diss} = (q D_{\rm bio}) \sim 100$

MJ/kg of final product using readily-envisioned irreversible methods in systems where low energy dissipation is not a primary design objective. This figure corresponds roughly to the strongest covalent bond energies (e.g., 1190 zJ/bond for C=C, 1327 zJ/bond for C=O, and 1594 zJ/bond for C $^{\circ}$ C [4]), and is roughly of the same order as the thermodynamic heat of formation of diamond from CO₂(g), ~33 MJ/kg [5].

Drexler [4] claims that energy dissipation may in theory be as low as $E_{\rm diss} \sim 0.1$ MJ/kg "if one assumes the development of a set of mechanochemical processes capable of transforming feedstock molecules into complex product structures using only reliable, nearly reversible steps." 0.1 MJ/kg of diamond corresponds roughly to the minimum thermal noise at room temperature (e.g., $kT \sim 4$ zJ/atom at 298°K). R. Merkle [32] also conjectures that near-zero energy dissipation is in principle possible in certain special circumstances, a possibility that should be investigated in the present context in a future theoretical study. However, near-term nanochemistries are unlikely to be significantly more efficient than natural enzyme chemistries, which have been evolving for efficiency over eons; the terrestrial biosphere fixes ~1.2 ×10¹⁴ kg/yr of biomass carbon [21] with a ~1.4 ×10¹⁴ watt energy input [6], or $E_{\rm diss} \sim 38$ MJ/kg of carbon.

Using Eqn. 4, the minimum last-replication time can be calculated for various plausible values of E_{diss} , wherein the mean terrestrial temperature will not exceed the chosen value of T_{Earth} during ecophagy, as given in Table 4:

| Table 4. Minimum Last-Cycle Replication Time for Ecophagic Nanorobots as a Function of Replication Energy Efficiency and the Resulting Global Temperature | | | | | |
|--|------------------------|--|-----------------------|-----------------------|--|
| Mean Terrestrial Temperature | Min. I | Min. Last-Cycle Replication Time t_{last} (sec) for E_{diss} = | | | |
| (T_{Earth}) | 0.1 MJ/kg | 1.0 MJ/kg | 10. MJ/kg | 100 MJ/kg | |
| 281°K | ¥ | ¥ | ¥ | ¥ | |
| 285°K | 5 × 10 ⁴ | 5 × 10 ⁵ | 5 × 10 ⁶ | 5×10^{7} | |
| 300°K | 1×10^{4} | 1×10^{5} | 1 × 10 ⁶ | 1×10^{7} | |
| 320°K | 4×10^{3} | 4 × 10 ⁴ | 4 × 10 ⁵ | 4×10^{6} | |
| 373°K | 1×10^3 | 1 × 10 ⁴ | 1×10^{5} | 1×10^{6} | |
| 400°K | 9×10^{2} | 9 × 10 ³ | 9 × 10 ⁴ | 9×10^{5} | |
| 500°K | 3×10^{2} | 3×10^{3} | 3×10^{4} | 3×10^{5} | |
| 1000°K | 2 × 10 ¹ * | 2×10^{2} | 2×10^3 | 2×10^4 | |
| 2000°K | 1 × 10 ⁰ * | 1 × 10 ¹ * | 1×10^{2} | 1×10^{3} | |
| 5000°K | 3 × 10 ⁻² * | 3 × 10 ⁻¹ * | 3 × 10 ⁰ * | 3 × 10 ¹ * | |

^{*} Actual last-cycle replication time limited to exponential t ~ 100 sec (Section 3.0).

Setting aside Merkle's conjecture, Table 4 suggests that if phenomenally efficient reversible molecular manufacturing techniques become available -- e.g., $E_{\rm diss} \sim 0.1$ MJ/kg -- the final replicative cycle of global ecophagy could proceed as quickly as ~1000 seconds while just avoiding incinerating the organic feedstock or boiling environmental water. However, there currently exist no known designs which would be capable of achieving such highly energy-efficient nanoassembly operations.

More probably, highly dissipative molecular manufacturing designs are likely to be implemented during the early and intermediate years of molecular nanotechnology development. Such designs are also likely to be necessary for the very complex machines needed to implement biovorous replication given the enormous variety of chemically diverse natural biological substrates. Assuming current and foreseeable energy-dissipative designs requiring ~100 MJ/kg for chemical transformations (most likely for biovorous systems), complete ecophagy that

proceeds slowly enough to add ~4°C to global warming (near the current threshold for immediate climatological detection) will require ~20 months to run to completion. Faster ecophagic devices will run hotter, allowing quicker detection by policing authorities.

The conversion of biomass to nanomass may proceed according to Eqn. 1 up to the ecophagic thermal pollution limit (ETPL) whereupon the specified maximum global temperature T_{Earth} is attained, after which the replication time must approximately double after each population doubling, ultimately reaching t_{last} in the final doubling, as described by Eqn. 4. Total time spent in the ETPL-limited regime is ~ 2 t_{last} . For example, taking t = 100 sec, $T_{\text{Earth}} = 300^{\circ}\text{K}$, and $E_{\text{diss}} \sim 100 \text{ MJ/kg}$, the transition to the ETPL regime occurs when total global nanomass reaches ~5 ×10¹⁰ kg, or only 0.001% of total global biomass, and the last ~17 population doublings remain to be completed over a time span of ~2 $t_{\text{last}} = 2 \times 10^7 \text{ sec}$ (~7 months). This is also the optimum strategy for an ecophagic population that is attempting to evade premature detection by maintaining a low thermal emissions profile. Constant ecological surveillance for any evidence of ecophagic activity is an appropriate policing measure to provide adequate early warning to the existence of this threat.

Note further that the presence of natural and anthropogenic greenhouse gases in the Earth's atmosphere will amplify any heating effects, helping to make ecophagic activities more immediately visible in its earlier stages. (In theory, a large enough replibot population could actively manage terrestrial albedo or global greenhouse gas concentrations, but these activities would themselves generate still more waste heat.) Additionally, using the actual current mean value of $e_r = 0.69$ for terrestrial emissivity in Eqns. 3 and 4, rather than the much higher value of $e_r = 0.97$ for carbon black assumed in calculating Table 4, the last-cycle time t_{last} increases by another ~40%, giving still more time for defensive instrumentalities to be brought to bear on the situation.

Assuming the surface biomass is compositionally similar to wood ($D_{\text{bio}} \sim 19 \text{ MJ/kg}$), prompt consumption (e.g., combustion) of the entire biosphere would release $Q_{\text{waste}} = M_{\text{bio}}D_{\text{bio}} \sim 10^{23} \text{ J}$ of energy. The combined heat capacity of planetary oceans ($1.36 \times 10^{21} \text{ kg}$ [6] at 4200 J/kg-K [6] = $6 \times 10^{24} \text{ J/K}$) and land ($\sim 4 \times 10^{20} \text{ kg/km}$ crustal landmass at, e.g., 833 J/kg-K for silica [90] = $3 \times 10^{23} \text{ J/km-K}$) is $\sim 10^{25} \text{ J/K}$, so in principle Q_{waste} , ideally distributed, could be absorbed with a negligible rise in global temperature, $\sim 0.01^{\circ}\text{K}$ — although even a slight rise in ocean temperature could increase mean worldwide humidity, greatly amplifying global warming because water vapor is the most effective greenhouse gas [91]. However, in the instant scenario, the replibots are assumed to be in intimate contact with the biomass which they are consuming — not with the vast volumes of sea or land. Air is an excellent insulator (see below), and the thermal conductivity of wood is ~ 4 times higher than for air, so replication waste heat energy will be conducted primarily into the nanorobot population and the proximate biomass that is being consumed. The heat capacity of diamond and organic materials (e.g. wood, rubber, etc.) is $\sim 2 \text{ MJ/m}^3$ -K [6], or $\sim 800 \text{ J/kg-K}$ for the biomass/nanomass aggregate as dry mass or up to 3200 J/kg-K assuming 70% water content. Taking the higher figure, the total heat capacity of the biomass/nanomass aggregate is $C_{\text{bn}} \sim 3200 \text{ J/kg-K} \times M_{\text{bio}} = 2 \times 10^{19} \text{ J/K}$. Adding Q_{waste} to this aggregate would raise its temperature by $DT \sim Q_{\text{waste}} / C_{\text{bn}} \sim 5,000^{\circ}\text{K}$.

Similarly, air conduction is unlikely to significantly reduce the ETPL limits. Waste energy can be absorbed by atmospheric heat capacity $(5.27 \times 10^{18} \text{ kg} [\underline{6}] \text{ at } 988 \text{ J/kg-K} [\underline{6}] = 5 \times 10^{21} \text{ J/K})$ only if said heat energy is delivered to the atmosphere and thoroughly mixed via conduction, convection, or radiative transfer. But the thermal conductivity (K_t) of air is very poor. Consider a layer of air H meters thick and area A, with temperature differential DT on opposite faces, with power flow through the layer of $P = K_t ADT / H = Q_{\text{waste}} / t_{\text{burn}}$, where power is generated by consuming the ecosphere in a time t_{burn} , so the temperature differential across the layer is $DT = Q_{\text{waste}} H / (K_t At_{\text{burn}})$. With a thin layer of replibots coating the foliage on Earth's surface, generating heat only on the "skin" of the biosphere, there will be a nonconvective stagnant layer of air trapped for long periods near the surface that is poorly mixed by winds. In weather modeling this viscous sublayer, called the roughness parameter, may be ~ 0.01 -300 cm thick [84-86] (e.g., 0.01 cm over water surface, 0.1 cm over short grain, 10 cm over prairie grass, 100 cm over grain crops [85]), and sometimes is taken as $\sim 10\%$ of the height of the obstacle

[87]. Assuming $H \sim 1$ cm layer and taking $Q_{\text{waste}} = 10^{23}$ J, $K_{\text{t}} = 2.5 \times 10^{-2}$ W/m-K for air [6], $A = 5.10 \times 10^{14}$ m² for Earth, then $DT \sim 7 \times 10^7$ / t_{burn} . Thus, consuming M_{bio} in $t_{\text{burn}} = 10^4$ sec nominally produces a temperature differential across the 1 cm layer of $DT \sim 7000^{\circ}$ K (and violates our nonconvection assumption); assuming $t_{\text{burn}} = 6$ months, then $DT \sim 4^{\circ}$ K, detectable using current orbital surveillance assets given a normal tropospheric thermal gradient of $0.006 - 0.009^{\circ}$ K/m [88]. (Vertical temperature gradients below 0.010° K/m are considered subadiabatic, producing downward buoyancy forces [89].) These crude estimates provide an approximate indication of the magnitudes involved, but the details of convective vertical mixing and its possible meteorological consequences during ecophagy are beyond the scope of this paper and should be investigated further.

7.0 Homeostatic Resistance to Ecophagy

Over long time periods, natural ecosystems are believed to have a nearly balanced carbon budget, with photosynthetic uptake equal to respiratory release [33]. From the ecological perspective, the insertion of carbon-absorbing artificial devices into the environment represents a new sink in the homeostatic global carbon cycle, in addition to the natural carbon sinks such as forests [34, 35]. Most of terrestrial biomass consists of plants, especially trees, though nearly half of all biomass may consist of bacteria, mostly in soils (up to $\sim 10^{15}$ cells/m³) and subsurface sediments and rocks down to ~ 3 km depth [12, 38]; there are $\sim 5 \times 10^{30}$ bacteria on Earth [39]. Conversion of living plant biomass to diamondoid nanomass by nanoreplicators thus may reduce the ability of the surviving plant population to remove carbon dioxide from the atmosphere. Unless carbon dioxide levels in the atmosphere are directly regulated by the active robotic nanomass, CO_2 levels will begin to rise, which in turn may increase the growth rate of plants. In a few experimental studies [40], elevated CO_2 has been shown to stimulate plant growth at least temporarily, even under serious nutrient shortage, although one experiment [41] challenges this supposition. If slow-moving nanoreplicators consume biomass only very slowly, the consumed biomass may be regenerated as new plant growth is stimulated worldwide.

What is the minimum ecophagic biomass removal rate necessary to overcome the resulting carbon-sequestration response of the natural ecology? One study [20] found that deforestation in the low latitudes during 1990 resulted in forest area expansion and growth in mid- and high-latitude forest that sequestered ~7 ×10¹¹ kg of carbon (e.g., creating ~3 ×10¹² kg of extra biomass) in one year. Estimates of unrealized global forest carbon conservation and sequestration potential suggest a biologic capability of 1-3 ×10¹² kg/yr (e.g., 4-13 ×10¹² kg/yr of biomass) for more than a century [20]. Global oceans are believed to absorb ~2 ×10¹² kg/yr of anthropogenically-produced carbon, creating ~9 ×10¹² kg of new biomass per year [20]. This gives a worldwide carbon sink of ~5 ×10¹² kg/yr of carbon [42] and thus a global biomass recovery of at least ~2 ×10¹³ kg/yr. The upper limit is probably closer to the global net primary biomass production of ~5 ×10¹⁴ kg/yr [21]. (Indeed, natural variations of ~10¹⁴ kg of atmospheric carbon (equivalent to ~6 ×10¹⁴ kg of biomass) were recorded over a ~600-year period during the last three glaciation cycles [43].) Thus it appears that a long-term ecophagic biomass removal rate exceeding 0.2-5 ×10¹⁴ kg/yr (~0.4%-10%/yr of the global biomass) may be necessary to overpower the natural ecological restorative forces.

8.0 Additional Scenarios

Four related scenarios which may lead indirectly to global ecophagy have been identified and are described below. In all cases, early detection appears feasible with advance preparation, and adequate defenses are readily conceived using molecular nanotechnologies of comparable sophistication.

8.1 Gray Plankton

The existence of $1-2 \times 10^{16}$ kg [24] of global undersea carbon storage on continental margins as CH₄ clathrates and a like amount (3.8×10^{16} kg) of seawater-dissolved carbon as CO₂ represent a carbon inventory more than an order of magnitude larger than in the global biomass (Section 3.0). Methane and CO₂ can in principle be combined to form free carbon and water, plus 0.5 MJ/kg C of free energy. (Some researchers are studying the possibility of reducing greenhouse gas accumulations by storing liquid [44] or solid [45] CO₂ on the ocean floor, which could potentially enable seabed replibots to more easily metabolize methane sources.) Oxygen could also be imported from the surface in pressurized microtanks via buoyancy transport, with the conversion of carbon clathrates to nanomass taking place on the seabed below. The subsequent colonization of the land-based carbonrich ecology by a large and hungry seabed-grown replicator population is the "gray plankton" scenario. (Phytoplankton, 1-200 microns in size, are the particles most responsible for the variable optical properties of oceanic water because of the strong absorption of these cells in the blue and red portions of the optical spectrum [37].)

The gray plankton replicator waste heat signature is readily detected at an early stage. The temperature of most of the ocean is near ~4°C -- for example, ~1.6°C at 3627 m on the floor of Monterey Bay [44]. Typical ocean column thermal gradients are ~0.02°K/m in the top 300 m (1-30 atm) and ~0.006°K/m from 300-1000 m depth (30-100 atm) [44]. A near-seafloor water temperature change of DT = 1°K over a depth range of L = 100 m would be clearly distinguishable from natural variations even using contemporary instrumentation [44], and would evidence an increased seabed power release of $I_{\text{repl}} \sim K_{\text{t}}$ (DT/L) ~ 0.005 W/m², taking thermal conductivity as $K_{\text{t}} \sim 0.5$ W/m-K for seawater at 4°C. Thus the threshold for seafloor replibot detectability, assuming global seabed area is $A_{\text{seabed}} \sim (70\%) 4 \text{p} R_{\text{Earth}}^2 = 3.6 \times 10^{14} \text{ m}^2$, is $P_{\text{min}} = I_{\text{repl}} A_{\text{seabed}} \sim 2 \times 10^{12}$ watts worldwide or a global replibot population of mass $M_{\text{min}} \sim P_{\text{min}} t / E_{\text{diss}} \sim 20 \times 10^6$ kg assuming $E_{\text{diss}} \sim 100$ MJ/kg and $E_{\text{total}} = 1000$ sec. (Faster replicators are detectable at lower population masses.) Thus bottom-dwelling gray plankton can be detected before they have consumed more than $E_{\text{total}} = 1000$ of the total oceanic abiotic carbon supply.

Direct census sampling of the seafloor may also allow early detection, although nanorobotic samplers will have to contend with a significant number of false targets in the oceanic environment. These false targets may include 0.1 micron small colloids ($\sim 7 \times 10^{14} \text{ m}^{-3}$) and viruses ($\sim 3 \times 10^{13} \text{ m}^{-3}$), 0.2-0.3 micron heterotrophic bacteria ($\sim 10^{12} \text{ m}^{-3}$), 0.3 micron large colloids ($\sim 10^{13} \text{ m}^{-3}$), 1 micron cyanobacteria ($\sim 10^{10} \text{ m}^{-3}$), 2-3 micron small phytoplankton ($\sim 10^8 \text{ m}^{-3}$), larger phytoplankton (e.g., 10 micron cells $\sim 10^6 \text{ m}^{-3}$), and zooplankton (e.g., 50 micron cells $\sim 10^3 \text{ m}^{-3}$) [36-38]. At the minimum detectable global mass of $M_{\min} = 20 \times 10^6 \text{ kg}$ estimated above, the number density of gray plankton on the seabed floor is $N_{\rm gp} \sim M_{\min} / (A_{\rm seabed} m_{\rm gp}) \sim 2 \times 10^7 \text{ m}^{-2}$, assuming ~ 1 micron gray plankton replicators each of mass $m_{\rm gp} \sim 3 \times 10^{-15} \text{ kg}$. In this scenario, the bottommost 1 mm of the ocean column above the seabed would contain roughly equal numbers of $> \sim 1$ -micron natural cells and ~ 1 -micron artificial bottom-dwelling gray plankton devices. If not largely confined to the sea floor during most of their replication cycle, the natural cell/device ratio could increase by many orders of magnitude, requiring a more diligent census effort. Census-taking nanorobots can alternatively be used to identify, disable, knapsack or destroy the gray plankton devices.

8.2 Gray Dust (Aerovores)

Traditional diamondoid nanomachinery designs [4] have employed 8 primary chemical elements, as detailed in Table 5 along with the associated atmospheric abundances [46] of each element. (Silicon is present in air as particulate dust which may be taken as \sim 28% Si for crustal rock [5], with a global average dust concentration of \sim 0.0025 mg/m³). The requirement for elements that are relatively rare in the atmosphere greatly constrains the

potential nanomass and growth rate of airborne replicators. However, note that at least one of the classical designs exceeds 91% CHON by weight. Although it would be very difficult, it is at least theoretically possible that replicators could be constructed almost solely of CHON, in which case such devices could replicate relatively rapidly using only atmospheric resources, powered by sunlight. A worldwide blanket of airborne replicating dust or "aerovores" that blots out all sunlight has been called the "gray dust" scenario [47]. (There have already been numerous experimental aerial releases of recombinant bacteria [48].)

| Table 5. Element Usages by Weight in Classical Diamondoid Nanorobot Designs Compared to Atmospheric Element Abundances | | | | | |
|--|---------|---------|---------|--------------------------|--|
| Chemical ElementFine Motion ControllerNeon Gas PumpDifferential GearTotal Atmosph Abundance | | | | | |
| N | 25.19% | 3.56% | 5.91% | 7.81×10^{-1} | |
| О | 7.20% | 6.66% | 3.95% | $2.1-2.4 \times 10^{-1}$ | |
| С | 57.71% | 24.84% | 19.87% | 9.87×10^{-5} | |
| Н | 1.35% | 2.05% | 0.58% | $1-300 \times 10^{-5}$ | |
| CHON | 91.45% | 37.11% | 30.31% | >1 × 10 ⁻⁵ | |
| Si | 4.13% | 52.20% | 52.60% | ~5 × 10 ⁻¹⁰ | |
| S | 3.65% | 7.98% | 7.66% | ~5 × 10 ⁻¹⁰ | |
| F | 0.77% | 0% | 0% | ~3 × 10 ⁻¹⁴ | |
| P | 0% | 2.71% | 9.43% | ~1 × 10 ⁻²⁰ | |
| Total: | 100.00% | 100.00% | 100.00% | 1 | |

Two independent constraints on gray dust replication speed are materials and energy availability, and both methods suggest that $t \sim 10,000$ sec for 1-micron replicators and ~ 1000 sec for 0.1-micron replicators. The analyses are as follows.

First, the mass current $M_{\rm curr}$ through the surface of a spherical nanorobot of radius $R_{\rm nano}$ is equal to the number of gas molecules/sec that collide with the fraction $f \sim 10\%$ of the nanorobot surface that consists of binding sites for those molecules, times the mass per gas molecule $m_{\rm gas}$, divided by the number of collisions required for binding to occur, or $N_{\rm encounter} \sim 100$ [6]; that is:

$$M_{\text{current}} = (4pR_{\text{nano}}^2 f c_{\text{gas}} / N_{\text{encounter}}) (2 kT m_{\text{gas}} / p)^{1/2} (kg/\text{sec})$$
 (5)

where $k = 1.381 \times 10^{-23}$ J/molecule-K (Boltzmann's constant) and $T \sim 300^{\circ}$ K is ambient temperature in kelvins. The concentration of gas is $c_{\rm gas} = a_{\rm atm} T_{\rm STP} N_{\rm A} / (V_{\rm molar} T)$ (molecules/m³), where $a_{\rm atm} = {\rm atmospheric}$ fractional abundance, $T_{\rm STP} = 273.15^{\circ}$ K, $N_{\rm A} = 6.023 \times 10^{23}$ molecules/mole (Avogadro's number), and molar volume at STP is $V_{\rm molar} = 22.4141 \times 10^{-3}$ m³/mole of an ideal gas. The replication time $t = M_{\rm nano} / M_{\rm current}$, where $M_{\rm nano} = (4/3) {\rm pr} a_{\rm element} R_{\rm nano}$, taking $r \sim 2000$ kg/m³ as nanorobot density and $a_{\rm element}$ as the fraction of nanorobot mass comprised of a given element. Hence:

$$t = \left[\left(N_{\text{encounter}} r V_{\text{molar}} a_{\text{elemnt}} R_{\text{nano}} \right) / \left(3 f a_{\text{atm}} T_{\text{STP}} N_{\text{A}} \right) \right] \left[\left(pT \right) / \left(2 k m_{\text{gas}} \right) \right]^{\frac{1}{2}} (\text{sec})$$
 (6)

Taking $R_{\text{nano}} = 1$ micron and allocating each a_{element} for the hypothetical CHON replicator as indicated in the second column of <u>Table 6</u> gives the values of t shown at far right in <u>Table 6</u>. The limiting elements are H and C,

but C has the strongest impact on replication time, requiring a $t \sim 12,300$ sec. Since t scales as $R_{\rm nano}$, reducing $R_{\rm nano}$ to 100 nm reduces t to ~ 1230 sec for this device. (Mechanical precompression and sortation [6] of gas molecules might reduce t by up to an order of magnitude but may impose partially offsetting internal volume utilization inefficiencies.).

| Table 6. Replication Times of Airborne CHON Replicators as Restricted Solely by Chemical Element Abundances | | | | | | |
|---|--|------------------|--------------|--------------------------|---------------------|--|
| Chemical Element | nical Used in Main Device Source Gas Source Abundance Molecule | | | | Replication Time | |
| | $a_{ m element}$ | | $a_{ m atm}$ | m _{gas} (kg) | (t) | |
| N | 28% | N ₂ | 78.1% | 4.6×10^{-26} | 0.9 sec | |
| О | 8% | O ₂ | 21.0% | 5.3×10^{-26} | 0.9 sec | |
| С | 62% | CO ₂ | 0.0099% | 7.3×10^{-26} | 12,300 sec | |
| Н | 2% | H ₂ O | 0.001-0.3% | 3.0×10^{-26} | 20-6130 sec | |

Second, the solar energy flux into the nanorobot, assuming that a fraction f of its surface is photosensitive with energy conversion efficiency e, is $P_{\text{nano}} = eI_{\text{solar}}f pR_{\text{nano}}^2$. The energy required to build a nanorobot is $E_{\text{nano}} \sim M_{\text{nano}} E_{\text{diss}}$, hence the replication time is $t = E_{\text{nano}} / P_{\text{nano}}$, or:

$$t = (4 r E_{diss} R_{nano}) / (3 f e I_{solar}) (sec)$$
(7)

Taking $\mathbf{r} = 2000 \text{ kg/m}^3$, $E_{\text{diss}} \sim 100 \text{ MJ/kg}$, f = 50%, $\mathbf{e} = 10\%$, and $I_{\text{solar}} = 100-400 \text{ W/m}^2$, then for $R_{\text{nano}} = 1 \text{ micron}$, $\mathbf{t} \sim 11,000-53,000 \text{ sec}$; for $R_{\text{nano}} = 100 \text{ nm}$, $\mathbf{t} = 1100-5300 \text{ sec}$.

Since replication of an airborne CHON replibot is primarily carbon-limited, in theory the entire global atmospheric carbon mass of $\sim 5.2 \times 10^{14}$ kg C is available for conversion into $M_{\rm gd} = 8.4 \times 10^{14}$ kg of CHON nanomass, assuming a 62% carbon content by weight (<u>Table 6</u>). However, because the machines are solar powered, the active population of gray dust nanorobots is restricted to one optical depth of such devices. To a very crude first approximation (e.g., ignoring contributions from scattered and reflected photons), one optical depth occurs when the cumulative cross-sectional area of the nanorobot population equals the surface area of Earth, so the maximum total mass of continuously active CHON airborne nanorobots is:

$$M_{\text{total}} \sim (16\text{p} / 3) \text{ r } R_{\text{nano}} R_{\text{Earth}}^{2} (\text{kg})$$
 (8)
= 1.4 ×10¹² kg for $R_{\text{nano}} = 1$ micron
= 3.7 ×10¹¹ kg for $R_{\text{nano}} = 275$ nm
= 1.4 ×10¹¹ kg for $R_{\text{nano}} = 100$ nm

Once the expanding nanorobot population reaches one optical depth (requiring $\sim 0.2\%$ of all atmospheric carbon, or ~ 3 months of current anthropogenic airborne carbon releases), the replication rate of the gray dust ceases to grow exponentially and becomes essentially constant -- a phenomenon which may be called the "opacity brake effect." (One optical depth of uniformly distributed $R_{\text{nano}} = 275$ nm aerovores represents a particle number density of $\sim 5 \times 10^8$ m⁻³.) After the opacity brake point has been reached, a constant nanomass production rate of

 $M_{\text{total}}/\text{t} \sim 1.4 \times 10^8$ kg/sec ensues until exhaustion of the limiting atmospheric carbon resource. Current instrumentation can detect ~1% variations in the solar constant, so the limit for early bolometric detection is probably ~1% M_{total} , when ~0.002% of atmospheric carbon has been converted to nanomass.

Dust monitors in late 20th-century wafer-fab clean rooms regularly measure dust densities of ~10 particles/m³ at 0.5 microns and larger [49], potentially allowing detection as early as $<10^{-8} M_{total}$ if more highly discriminating monitors can be developed. If the replibots settle out on the planetary surface and continue replicating there (Section 8.3), they could deprive the ecology of needed sunlight without darkening the sky, but their effects (e.g., a fine gray dust covering everything on the surface) would also be detectable far sooner than the 1% M_{total} point.

Since replication rate and opacity per unit nanomass vary inversely with $R_{\rm nano}$, the most efficient gray dust replibot tasked with opacifying the atmosphere as quickly as possible will have the minimum possible size. (Replication time varies with thickness for a sheetlike nanorobot configuration.) The minimum replibot size is driven by UV radiation damage rates on nanomachinery [4]. Consider the smallest possible replicator with mass $M_{\rm init} = 1.7 \times 10^{-18}$ kg (Section 3.0); constructed as a spherical shape of density r = 2000 kg/m³, the radius of this core replicator is $R_{\rm core} \sim 59$ nm. The core is surrounded by a radiation shield of thickness d and density $\sim r$. The most dangerous is UV-B at $1 \sim 280$ nm which will conservatively be taken as ~ 5 W/m² intensity near ground level [4], equivalent to $D_0 = 7 \times 10^{18}$ photons/m²-sec. The number of bonds cleaved inside the nanorobot is $N_{\rm cleave} = pR_{\rm nano}^2 q_y t_{\rm life} D_0 \exp(-4pk_x d/1)$, where q_y is quantum yield (bonds cleaved / photons absorbed), $t_{\rm life}$ is mean time to failure, and k_x is extinction coefficient. $k_x \sim 2.26$ for graphite at 280 nm [50], a 2250 kg/m³ semimetal that is probably the most UV-absorptive CHON shield material. $q_y = 10^{-4}$ to 10^{-1} for CHON polymers [51] and various proteins, viruses and phages [52]; following Drexler [4], we adopt $q_y \sim 0.01$ here (the exact choice is not critical to our conclusions). From Eqn. 7, $t \sim c_t R_{\rm nano}$, where $c_t \sim 10^{10}$ sec/m. Taking $t_{\rm life} = t n_t$, where n_t is the number of offspring constructed before replibot failure, and assuming that $N_{\rm cleave}^3$ 1 implies device failure [4], then:

$$(R_{\text{core}} + d) \pounds R_{\text{nano}} \pounds \left[N_{\text{cleave}} \left(\exp(4pk_x d / 1) \right) / \left(pq_v n_t c_t D_0 \right) \right]^{1/3}$$
(9)

for replibots that produce n_t offspring before failing. The number of generations needed to replicate one optical depth of nanorobots worldwide, starting from a single device, is $n_t = \ln(M_{\text{total}} / M_{\text{init}}) = 65\text{-}60$ for $R_{\text{nano}} = 0.1\text{-}1$ microns. Taking $n_t \sim 64$, Eqn. 9 defines the smallest gray dust replibot as $R_{\text{nano}} \sim 275$ nm (mass $\sim 1.7 \times 10^{-16}$ kg) with a $d \sim 215$ nm thick graphite UV shield assuming $N_{\text{cleave}} = 1$. The smallest replibot that can replicate only once before it fails (e.g., $n_t = 1$) has $R_{\text{nano}} \sim 230$ nm with a $d \sim 170$ nm shield taking $N_{\text{cleave}} = 1$, or $R_{\text{nano}} \sim 175$ nm with a $d \sim 115$ nm shield taking $N_{\text{cleave}} = 100$ for more robust devices.

From Eqns. 1 and 8, and neglecting dispersal velocity limitations (Section 4.0) the minimum possible time to reach some fraction f_{opac} of global atmospheric opacity is:

$$t_{\text{opac}} = t \ln(4 f_{\text{opac}} R_{\text{Earth}}^2 / R_{\text{nano}}^2) \text{ (sec)}$$
 (10)

For airborne CHON replibots with $R_{\text{nano}} = 275$ nm and $t \sim 2750$ sec, 1% of opacity is reached in $t_{\text{opac}} \sim 1.85$ days, 100% opacity in 2.0 days, leaving a response time of ~ 3.5 hours between first detection at 1% opacity and complete opacity at 100%. If uniformly distributed throughout the atmosphere, the dust density at 100% opacity

would amount to $\sim 0.085 \text{ mg/m}^3$ for 275-nm nanorobots, about equal to the typical $\sim 0.05 \text{ mg/m}^3$ dust density normally found in the air of most industrialized Western cities [69].

After 100% opacity is reached, another $t_{\rm end} = t \, (M_{\rm gd} - M_{\rm total}) \, / \, M_{\rm total} = 72$ days would be required to convert the remaining atmospheric carbon resource into nanomass. However, post-opacity the gray dust replication rate is no longer exponentiating so the defensive nanorobots can quickly catch up.

The most efficient cleanup strategy appears to be the use of air-dropped non-self-replicating nanorobots equipped with prehensile microdragnets. Consider a planetwide dragnet comprised of a square mesh of fibers, with mesh aperture size l_{mesh} , mesh fibers of thickness d_{fiber} , and total dragnet area A_{net} covering Earth's entire surface area $A_{\text{Earth}} = 4 p R_{\text{Earth}}^2 = A_{\text{net}}$. Minimum fiber thickness is $d_{\text{fiber}} \sim (p_{\text{air}} l_{\text{mesh}}^2 / 4 \text{ s}_{\text{fiber}})^{1/2}$, where $p_{\text{air}} \sim 2$ atm is the maximum air pressure resisting movement of the net through the air and fiber failure strength is very conservatively taken as $s_{\text{fiber}} \sim 10^{10} \text{ N/m}^2$ for carbon nanotubes. For $l_{\text{mesh}} \sim 460 \text{ nm}$ (smallest possible gray dust replibot, see above), $d_{\text{fiber}} \sim 1 \text{ nm}$. Simple geometry gives the total volume of required square-grid dragnet as:

$$V_{\text{dragnet}} \sim 2 \frac{d_{\text{fiber}}^2}{(A_{\text{net}}^{1/2})} + (A_{\text{net}} / l_{\text{mesh}})] \text{ (m}^3)$$
 (11)

Taking $A_{\text{net}} = A_{\text{Earth}} = 5.10 \times 10^{14} \text{ m}^2$, $l_{\text{mesh}} = 460 \text{ nm}$ and $d_{\text{fiber}} = 1 \text{ nm}$, then $V_{\text{dragnet}} = 2200 \text{ m}^3$. This dragnet may be carried aloft by a fleet of $N_{\text{bot}} = V_{\text{dragnet}} / f_{\text{v}} V_{\text{bot}}$ spherical defensive nanorobots, each of which uses some fraction f_{v} of its internal storage volume to hold a piece of the dragnet, where individual defensive nanorobot volume is $V_{\text{bot}} = (4/3) \text{p} R_{\text{nano}}^3$. Taking $f_{\text{v}} = 5\%$ and $R_{\text{nano}} = 0.62 \text{ micron}$, $V_{\text{bot}} = 1 \text{ micron}^3$ and $N_{\text{bot}} = 4.4 \times 10^{22}$ defensive nanorobots of total mass $\sim V_{\text{bot}} r N_{\text{bot}} = 8.8 \times 10^7$ kg (of which $\sim 4.4 \times 10^6$ kg is dragnet). Thus a single 88-kg payload of non-self-replicating defensive nanorobots launched from each of 10⁶ deployment sites worldwide (mean site separation ~23 km, ~one per town) to an altitude just above the gray dust replibots can deploy an Earth-covering net, which then descends through the air, selectively filtering out the gray dust replibots. The time required for this dragnet to sweep the entire atmospheric volume of Earth once is t_{sweep} $\sim V_{\rm air} / (4pR_{\rm Earth}^2 v_{\rm nano}) \sim 24$ hours, taking nanorobot aeromotive velocity $v_{\rm nano} \sim 0.1$ m/sec for power densities appropriate to solar powered nanodevices [6] and $V_{air} \sim 4.36 \times 10^{18} \text{ m}^3$ at ~ 1 atm pressure and room temperature. Possible false targets that may be encountered during the sweep include airborne fungal spores at 10-500 m⁻³ indoors and 100-1000 m⁻³ outdoors [53]; bacteria at 0-500 m⁻³ indoors, 179-1083 m⁻³ outdoors [53], and ~140 m⁻³ up to ~3 km altitude [38]; and inert dust particles of various sizes peaking in number density near ~20 nm [46], in concentrations ranging from 10 m⁻³ in semiconductor fab plant clean rooms up to 2×10^7 m⁻³ in quiet country air, 6×10^7 m⁻³ over residential city air, 1.5×10^8 m⁻³ in the worst congested downtown city air, and >2.7 $\times 10^8$ m⁻³ in rooms with smokers present [49, 54]. Of course, multiple cleansing sweeps may be required, insect^a and bird management and biocompatibility protocols must be devised, exterior surfaces must be appropriately hydrophobic to avoid providing condensation nuclei for cloud and fog formation, and so forth.

The total machine volume of one optical depth of 275-nm gray dust replibots is 1.9×10^8 m³, making an average cleanup requirement of only ~4500 micron³ of targets per defensive nanorobot. A spherical knapsack comprised of additional mesh material having an enclosed volume of 4500 micron³ adds only 11% to the onboard mesh storage requirement. Each defensive nanorobot deploys a $(110 \text{ micron})^2 \sim 12,100 \text{ micron}^2$ section of the planetwide dragnet. In theory, if this section were curved into a huge spherical knapsack, it would make a storage volume of 125,000 micron³ -- enough to hold the equivalent of ~28 optical depths of gray dust replibots during passage through locally dense clouds of target airborne nanoreplicators.

Each defensive nanorobot requires ~66 nN of motive force and ~6600 pW of onboard power to overcome drag loss [6] on the ~5.3 cm length of dragnet fiber that it is passing through the air at 0.1 m/sec. This power is provided by a rear-deployed, 30% efficient, 55 micron², ~10 nm thick solar collector film that stows in a 0.55 micron³ volume before deployment and adds only ~45 pW to drag power after deployment. When fully deployed, the defensive fleet contributes <0.5% additional atmospheric opacity, and clears air for an energy cost of ~5.8 J/m³ of contaminated atmosphere, per pass. Defensive nanorobot locomotion in the viscous flight regime may be provided by screw drives, viscous anchoring via the prehensile dragnet, or other means [6].

The Stokes settling velocity [6] in air is ~240 micron/sec for $R_{\text{nano}} = 1$ micron, ~20 micron/sec for $R_{\text{nano}} = 275$ nm and ~5 micron/sec for $R_{\text{nano}} = 100$ nm, giving 10-km passive fall times (in still atmosphere) of 1.3 years, 16 years and 67 years, respectively.

Alternative airborne or ground-based atmospheric filtration configurations that could permit more rapid filtering are readily envisioned. For example, since drag power varies as the square of the velocity, then by increasing mesh volume 10,000-fold while decreasing airflow velocity 100-fold, total drag power remains unchanged but whole-atmosphere turnover proceeds 100-fold faster, e.g., ~15 minutes.

8.3 Gray Lichens

Colonies of symbiotic algae and fungi known as lichens (which some have called a form of sub-aerial biofilm) are among the first plants to grow on bare stone, helping in soil formation by slowly etching the rock [55]. Lithobiontic microbial communities such as crustose saxicolous lichens penetrate mineral surfaces up to depths of 1 cm using a complex dissolution, selective transport, and recrystallization process sometimes termed "biological weathering" [56]. Colonies of epilithic (living on rock surfaces) microscopic bacteria produce a 10 micron thick patina on desert rocks (called "desert varnish" [57]) consisting of trace amounts of Mn and Fe oxides that help to provide protection from heat and UV radiation [57-59]. In theory, replicating nanorobots could be made almost entirely of nondiamondoid materials including noncarbon chemical elements found in great abundance in rock such as silicon, aluminum, iron, titanium and oxygen (Section 2). The subsequent ecophagic destruction of land-based biology by a maliciously programmed noncarbon epilithic replicator population that has grown into a significant nanomass is the "gray lichen" scenario.

The growth rate of gray lichens on the surface of the Earth will be primarily energy-limited, not materials-limited. While it is true that chemolithotrophic microorganisms such as Thiobacillus ferrooxidans use reduced iron and sulfur compounds for their energy source [$\underline{60}$ - $\underline{62}$], and that other chemolithotrophic bacteria can metabolize inorganic carbon (e.g., assimilating CO_2 from carbonate rock) using a pathway similar to green

^a There are $\sim 10^{18}$ - 10^{19} insects on Earth [75-77]. The average insect devotes $\sim 35\%$ of body volume to its respiratory system [78], which is mostly gas-phase diffusional but with some very primitive active ventilation. If average insect volume is ~ 0.6 mm³ [77], then the worldwide insect population has $\sim 2 \times 10^9$ m³ of tracheal air volume which could accumulate $\sim 10^{16}$ aerovores if insects are exposed to replibot-contaminated air at a concentration equivalent to $\sim 1\%$ atmospheric opacity. In this case $\sim 10^{-9}$ of the global aerovore population resides inside insects (~ 1 replibot per 1000 insects at 1% opacity), requiring careful quarantine or inspection and release protocols for insects passing through the dragnet.

b There are ~300 billion birds on Earth [70]. The average bird devotes 20% of body volume to its respiratory system [71], mostly unidirectional airflow unlikely to permanently trap gray dust, but ~20% of the bird respiratory volume consists of tidally exchanged air in 8-9 anterior and posterior air sacs, and air spaces in the bones [71]. Assuming dead air in birds represents 38% of tidal volume as in humans [6], and that the average bird is ~500 cm³ in volume, then the worldwide bird population has ~2 × 10⁶ m³ of respiratory dead air which could accumulate ~10¹³ aerovores if the birds are breathing replibot-contaminated air at a concentration equivalent to ~1% atmospheric opacity. In this case ~10⁻¹² of the global aerovore population resides inside bird respiratory systems (~40 replibots per bird at 1% opacity), necessitating specialized quarantine or inspection and release protocols for birds passing through the dragnet. Under similar exposure, ~7 × 10¹³ aerovores (~3 × 10⁻¹² of the total) could reside in all human lungs worldwide, or ~10,000 aerovores/person.

plants [63], such energy sources appear to be far less plentiful than ambient sunlight because most rocks are already fully oxidized. (For example, the oxidation of Fe⁺⁺ to Fe⁺⁺⁺ by chemolithotrophs liberates only 0.75 MJ/kg [64], as compared to ~16 MJ/kg for the combustion of glucose in oxygen [6].) Assuming that up to 400 W/m² in the visible spectrum is harvested with 30% efficiency for 8 hours/day over the entire landmass of Earth, the 6 ×10¹⁵ watts theoretically available could produce at most ~6 ×10⁷ kg/sec of mineral nanomass taking E_{diss} ~ 100 MJ/kg as before. Even assuming an optimal dispersal pattern, ~2.6 years would be required for the growing mineral nanomass to equal the terrestrial biomass (~5 ×10¹⁵ kg; Section 3), whereupon the top ~1 cm of Earth's entire continental land area would have been converted to nanomass.

Continuous direct census sampling of the Earth's land surfaces will almost certainly allow early detection, since mineralogical nanorobots should be easily distinguishable from inert rock particles and from organic microbes in the top 3-8 cm of soil, typically 2.1×10^{13} m⁻³ of aerobic bacteria, 5.6×10^{12} m⁻³ of actinomycetes, 5.2×10^{12} m⁻³ of anaerobic bacteria, 3.2×10^{11} m⁻³ fungi, and 6.7×10^{10} m⁻³ of algae [64].

8.4 Malicious Ecophagy

More difficult scenarios involve ecophagic attacks that are launched not to convert biomass to nanomass, but rather primarily to destroy biomass. The optimal malicious ecophagic attack strategy appears to involve a two-phase process. In the first phase, initial seed replibots are widely distributed in the vicinity of the target biomass, replicating with maximum stealth up to some critical population size by consuming local environmental substrate to build nanomass. In the second phase, the now-large replibot population ceases replication and exclusively undertakes its primary destructive purpose. More generally, this strategy may be described as Build/Destroy.

During the Build phase of the malicious "badbots," and assuming technological equivalence, defensive "goodbots" enjoy at least three important tactical advantages over their adversaries:

- 1. Preparation -- defensive agencies can manufacture and position in advance overwhelming quantities of (ideally, non-self-replicating) defensive instrumentalities, e.g., goodbots, which can immediately be deployed at the first sign of trouble, with minimal additional risk to the environment;
- 2. Efficiency -- while badbots must simultaneously replicate and defend themselves against attack (either actively or by maintaining stealth), goodbots may concentrate exclusively on attacking badbots (e.g., because of their large numerical superiority in an early deployment) and thus enjoy lower operational overhead and higher efficiency in achieving their purpose, all else equal; and
- 3. Leverage -- in terms of materials, energy, time and sophistication, fewer resources are generally required to confine, disable, or destroy a complex machine than are required to build or replicate the same complex machine from scratch (e.g., one small bomb can destroy a large bomb-making factory; one small missile can sink a large ship).

However, once the badbots enter their Destroy phase, only the first advantage of the defenders (i.e., preparation) remains fully effective. Of course, a total mass M_{goodbots} of nonreplicating defensive nanorobots can hold constant a population M_{badbots} of self-replicating biovorous nanorobots if $M_{\text{goodbots}} \gtrsim (t_{\text{destroy}} / t) M_{\text{badbots}}$, where t_{destroy} is the time required for a goodbot to find and permanently restrain, disable or destroy a badbot (which has a replication time t); usually, $t_{\text{destroy}} << t$.

Nevertheless it is most advantageous to engage a malicious ecophagic threat while it is still in its Build phase. This requires foresight and a commitment to extensive surveillance by the defensive authorities. A complete analysis is beyond the scope of this paper, but two simple examples will suffice to illustrate the level of surveillance required.

First, consider a population of N_{bot} replibots that have infested a human body and are about to enter their Destroy phase. These badbots are assumed to be motile spherical nanorobots of radius R_{nano} , capable of drilling through tissue at velocity V_{nano} ; encountered tissue is destroyed with efficiency k_{e} , and a mass fraction f_{dest} of the biomass must be destroyed to produce death. The time required to kill is:

$$t_{\text{kill}} = f_{\text{dest}} M_{\text{body}} / (k_{\text{e}} \text{pr}_{\text{body}} R_{\text{nano}}^2 \text{v}_{\text{nano}} N_{\text{bot}})$$
 (12)

where M_{body} is body mass and r_{body} is mean body density. Power is provided by combustion at conversion efficiency \mathbf{e} of onboard H_2/O_2 fuel (energy density $E_{\text{fuel}} \sim 4.5 \times 10^{10} \text{ J/m}^3$ [6]) compressed to 10,000 atm, stored in tanks representing some fraction f_{fuel} of nanorobot volume. The power produced is $P_{\text{nano}} = (4 \text{ p / 3}) R_{\text{nano}}^3 f_{\text{fuel}} E_{\text{fuel}} \mathbf{e} / t_{\text{kill}}$, exhausting the stored fuel after t_{kill} . Setting this available power P_{nano} equal to Stokes drag power $P_{\text{drag}} = 6 \text{ p h} R_{\text{nano}} v_{\text{nano}}^2$ and substituting for t_{kill} gives maximum drilling velocity:

$$V_{\text{nano}} \gtrsim (2 \text{ p } k_{\text{erbody}} f_{\text{fuel}} E_{\text{fuel}} e N_{\text{bot}} R_{\text{nano}}^{4}) / (9 \text{ h} f_{\text{dest}} M_{\text{body}})$$
 (13)

where h is mean tissue viscosity. The mass fraction of badbots that can produce death in a time $t_{\rm kill}$ is $f_{\rm n} = M_{\rm badbot} / M_{\rm body}$, where total badbot mass is $M_{\rm badbot} = (4/3) \, {\rm p} \, {\rm r}_{\rm nano} R_{\rm nano}^{3} N_{\rm bot}$ and ${\rm r}_{\rm nano}$ is nanorobot density. Obtaining $N_{\rm bot}$ by solving Eqn. 13 for $N_{\rm bot}$, then substituting $N_{\rm nano}$ obtained from Eqn. 12, gives:

$$f_{\rm n} = \left[\left(4 f_{\rm dest} r_{\rm nano} \right) / \left(k_{\rm e} r_{\rm body} \right) \right] \left[h / \left(2 f_{\rm fuel} E_{\rm fuel} e t_{\rm kill} \right) \right]^{1/2}$$

$$\tag{14}$$

The number of attacking badbots is then:

$$N_{\text{bot}} = 3 f_{\text{n}} M_{\text{body}} / (4 \text{ pr}_{\text{nano}} R_{\text{nano}}^{3})$$
 (15)

Taking $f_{\text{dest}} = 0.1 (10\%)$, $k_{\text{e}} = 0.5 (50\%)$, e = 0.5 (50%), $f_{\text{fuel}} = 0.1 (10\%)$, $R_{\text{nano}} = 1 \text{ micron}$, $r_{\text{nano}} = 2000 \text{ kg/m}^3$, $h \sim 1000 \text{ kg/m-sec}$ [6], and $r_{\text{body}} = M_{\text{body}} / V_{\text{body}}$ where $M_{\text{body}} = 70 \text{ kg}$ and body volume $V_{\text{body}} = 0.06 \text{ m}^3$ [6], then a kill time $t_{\text{kill}} = 1 \text{ sec}$ requires $f_{\text{n}} = 6 \times 10^{-4}$ and $N_{\text{bot}} = 5 \times 10^{12}$ badbots. However, if the body is monitored continuously such that a whole-body badbot dose as small as 1 mg can be detected, then $f_{\text{n}} \sim 10^{-8} (N_{\text{bot}} \sim 10^8 \text{ badbots})$ and t_{kill} increases dramatically to $\sim 60 \text{ years}$, giving plenty of time for defense. If the potential victim's body (comprised of $N_{\text{cell}} \sim 10^{14}$ native and foreign cells [6]) contains a continuously-circulating population N_{botM} of cell-monitoring nanorobots each requiring $c_{\text{time}} \sim 100 \text{ sec/cell}$ to enter and examine a cell for badbot intruders, and if every cell in the body is to be checked once every day ($t_{\text{body}} \sim 10^5 \text{ sec}$), then $N_{\text{botM}} = c_{\text{time}} N_{\text{cell}} / t_{\text{body}} \sim 10^{11} \text{ cell-monitors}$, with total fleet volume $\sim 0.1 \text{ cm}^3$ assuming $R_{\text{nano}} = 1 \text{ micron}$. At $f_{\text{n}} \sim 10^{-8}$, a badbot resides, on average, in one of every 10^6 cells , assuming uniform distribution. The hypothesized monitoring system examines $\sim 10^6 \text{ cells}$ every 0.001 sec, so a badbot infection of this magnitude is first detected in $\sim 1 \text{ millisec}$, allowing a massive and immediate "immune" response.

Second, consider the defense of the entire eukaryotic biosphere. Excluding bacteria assumed to represent about half of global biomass and assuming an average eukaryotic cell size of 20 microns, there are $\sim 3 \times 10^{26}$ eukaryotic cells on Earth. If each cell is visited and examined, on average, about once a year with time spent per cell c_{time} =

100 sec/cell as before, this implies a global examination rate of $X_{\text{cell}} \sim 10^{19}$ cells/sec and a requirement for $X_{\text{cell}}c_{\text{time}} \sim 10^{21}$ cell-monitoring nanorobots, representing a total worldwide nanomachine volume of ~1000 m³ of 1-micron nanorobots consuming ~10 GW (~0.1% total current human global power generation) assuming ~10 pW/device. In this surveillance regime, a ~1 mg infestation of 1-micron badbots in a 3 meter wide, 30 meter tall redwood tree ($f_n \sim 10^{-11}$) is first detected in ~100 millisec -- again, triggering a prompt corrective response.

9.0 Conclusions and Public Policy Recommendations

The smallest plausible biovorous nanoreplicator has a molecular weight of ~ 1 gigadalton and a minimum replication time of perhaps ~ 100 seconds, in theory permitting global ecophagy to be completed in as few as $\sim 10^4$ seconds. However, such rapid replication creates an immediately detectable thermal signature enabling effective defensive policing instrumentalities to be promptly deployed before significant damage to the ecology can occur. Such defensive instrumentalities will generate their own thermal pollution during defensive operations. This should not significantly limit the defense strategy because knapsacking, disabling or destroying a working nanoreplicator should consume far less energy than is consumed by a nanoreplicator during a single replication cycle, hence such defensive operations are effectively endothermic.

Ecophagy that proceeds near the current threshold for immediate climatological detection, adding perhaps ~4°C to global warming, may require ~20 months to run to completion, which is plenty of advance warning to mount an effective defense.

Ecophagy that progresses slowly enough to evade easy detection by thermal monitoring alone would require many years to run to completion, could still be detected by direct in situ surveillance, and may be at least partially offset by increased biomass growth rates due to natural homeostatic compensation mechanisms inherent in the terrestrial ecology.

Ecophagy accomplished indirectly by a replibot population pre-grown on nonbiological substrate may be avoided by diligent thermal monitoring and direct census sampling of relevant terrestrial niches to search for growing, possibly dangerous, pre-ecophagous nanorobot populations.

Specific public policy recommendations suggested by the results of the present analysis include:

- 1. an immediate international moratorium on all artificial life experiments implemented as nonbiological hardware. In this context, "artificial life" is defined as autonomous foraging replicators, excluding purely biological implementations (already covered by NIH guidelines [65] tacitly accepted worldwide) and also excluding software simulations which are essential preparatory work and should continue. Alternative "inherently safe" replication strategies such as the broadcast architecture [66] are already well-known.
- 2. continuous comprehensive infrared surveillance of Earth's surface by geostationary satellites, both to monitor the current biomass inventory and to detect (and then investigate) any rapidly-developing artificial hotspots. This could be an extension of current or proposed Earth-monitoring systems (e.g., NASA's Earth Observing System [67] and disease remote-sensing programs [93]) originally intended to understand and predict global warming, changes in land use, and so forth -- initially using non-nanoscale technologies. Other methods of detection are feasible and further research is required to identify and properly evaluate the full range of alternatives.
- 3. initiating a long-term research program designed to acquire the knowledge and capability needed to counteract ecophagic replicators, including scenario-building and threat analysis with numerical simulations, measure/countermeasure analysis, theory and design of global monitoring systems capable of fast detection and response, IFF (Identification Friend or Foe) discrimination protocols, and eventually the design of relevant nanorobotic systemic defensive capabilities and infrastructure. A related long-term recommendation is to initiate a global system of comprehensive in situ ecosphere surveillance, potentially

including possible nanorobot activity signatures (e.g. changes in greenhouse gas concentrations), multispectral surface imaging to detect disguised signatures, and direct local nanorobot census sampling on land, sea, and air, as warranted by the pace of development of new MNT capabilities.

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