

# Face Detection and Recognition –

## Learning Objectives

By the end of this lesson, students will:

- Understand the process of face detection and recognition.
- Implement face detection using **Haar Cascades** and **DNN**.
- Implement face recognition using **LBP** and **FaceNet**.
- Analyze effects of lighting and pose variations on recognition accuracy.
- Learn enhancements to improve robustness in diverse conditions.

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## 1. Face Detection vs Face Recognition

Task	Description
<b>Face Detection</b>	Locating human faces in an image
<b>Face Recognition</b>	Identifying the person based on detected face

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## 2. Face Detection Techniques

### A. Haar Cascades (OpenCV)

- Based on **Viola-Jones algorithm**.
- Detects faces by scanning the image with Haar-like features.
- Fast and lightweight, ideal for real-time applications.

#### Python Example:

```
import cv2

face_cascade = cv2.CascadeClassifier(cv2.data.haarcascades +
                                     'haarcascade_frontalface_default.xml')
img = cv2.imread('test.jpg')
gray = cv2.cvtColor(img, cv2.COLOR_BGR2GRAY)
faces = face_cascade.detectMultiScale(gray, 1.1, 4)

for (x, y, w, h) in faces:
    cv2.rectangle(img, (x, y), (x+w, y+h), (255, 0, 0), 2)

cv2.imshow('Detected Faces', img)
cv2.waitKey()
```

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## B. DNN (Deep Neural Network)

- More robust to scale, orientation, lighting.
- Uses Caffe/ResNet or TensorFlow-based pre-trained models.

### Python Example:

```
net = cv2.dnn.readNetFromCaffe('deploy.prototxt',
    'res10_300x300_ssd_iter_140000.caffemodel')
image = cv2.imread('test.jpg')
(h, w) = image.shape[:2]
blob = cv2.dnn.blobFromImage(image, 1.0, (300, 300), (104, 177, 123))
net.setInput(blob)
detections = net.forward()

for i in range(detections.shape[2]):
    confidence = detections[0, 0, i, 2]
    if confidence > 0.5:
        box = detections[0, 0, i, 3:7] * [w, h, w, h]
        (startX, startY, endX, endY) = box.astype("int")
        cv2.rectangle(image, (startX, startY), (endX, endY), (0, 255, 0), 2)

cv2.imshow("Output", image)
cv2.waitKey(0)
```

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## 3. Face Recognition Techniques

### ◇ A. LBPH (Local Binary Pattern Histogram)

- Works well with small datasets.
- Converts face region into a binary pattern, computes histogram, and compares.

### Python Example:

```
import cv2
import numpy as np

recognizer = cv2.face.LBPHFaceRecognizer_create()
recognizer.train(faces, np.array(labels)) # 'faces' is list of images,
'labels' is list of IDs

# Recognition
test_img = cv2.imread('test.jpg')
gray = cv2.cvtColor(test_img, cv2.COLOR_BGR2GRAY)
id_, conf = recognizer.predict(gray)
print(f"ID: {id_}, Confidence: {conf}")
```

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## B. FaceNet (Deep Learning)

- Uses CNNs and Triplet Loss to create **128-D embeddings** of faces.
- Compares embeddings with Euclidean distance to recognize faces.

### Key Mathematical Idea:

If  $f(x)$  is the embedding of image  $x$ , FaceNet trains using Triplet Loss:

$$L = \max(||f(\text{anchor}) - f(\text{positive})||^2 - ||f(\text{anchor}) - f(\text{negative})||^2 + \text{margin}, 0)$$

- anchor: reference image
- positive: same person
- negative: different person

The goal is to **minimize intra-class distance** and **maximize inter-class distance**.

### Python Tools:

- Use `face_recognition` library (built on FaceNet)

```
import face_recognition
```

```
image = face_recognition.load_image_file("test.jpg")  
face_encoding = face_recognition.face_encodings(image)[0]
```

```
# Compare with known faces  
matches = face_recognition.compare_faces(known_encodings, face_encoding)
```

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## 4. Impact of Lighting and Pose

Factor	Effect
<b>Lighting</b>	Can cause shadows or overexposure, reducing detection accuracy
<b>Pose</b>	Side views or tilted faces may lead to poor matching or detection

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## 5. Enhancements to Improve Accuracy

### A. Face Alignment

- Align faces based on landmarks (eyes, nose, mouth) before recognition.

### B. Histogram Equalization

- Normalize lighting differences using `cv2.equalizeHist()`.

### C. Data Augmentation

- Simulate different lighting and pose during training to improve robustness.

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## 6. Summary of Haar & LBPH Use

- **Haar Cascades:** Fast and lightweight face detection for static or frontal faces.
  - **LBPH Recognizer:** Easy-to-use face recognition method for small datasets and low computational power.
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## 7. Tools and Libraries

Tool	Use
<b>OpenCV</b>	Detection (Haar, DNN), Preprocessing
<b>face_recognition</b>	FaceNet-based face embeddings
<b>dlib</b>	Landmark detection, face alignment
<b>NumPy</b>	Matrix operations