

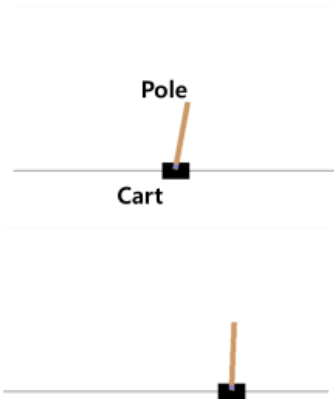
Deep Q-Learning

# Programming Project

# Implementing a Deep Q-learning

- Your Goal is to solve the Cartpole environment, **using neural network as a Q-value generator.**

## CartPole-v1



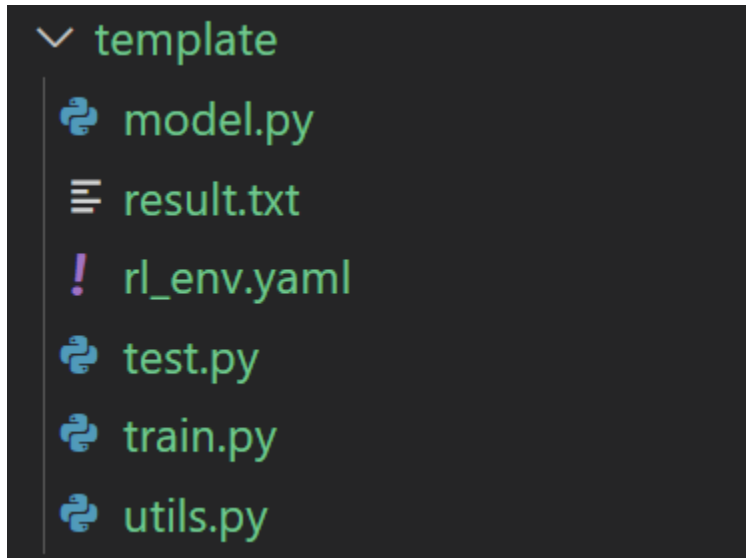
- The Environment
  - moveable cart
  - a pole attached to the cart
- Rule
  - the episode ends if
    - if the cart leaves  $(-2.4, 2.4)$  range
    - if the pole angle is not in  $\pm 12^\circ$
- Possible Actions
  - Move left
  - Move Right
- Goal
  - maintain balance as long as possible

# Implementing a Deep Q-learning

- Your Goal is to solve **the Cartpole** environment, **using neural network as a Q-value generator**.
- Refer to the document of the previous homework for additional info on the problem setting.

# Skeleton code

- A Skeleton code file will be given. the folder structure is as follows:



- you are free to modify any file in any way, EXCEPT the test.py module.

# Skeleton code

- **rl\_env.yaml**

- Your final Model will be evaluated on the TA's computer.
- Please use gym=0.21.0 and pygame=1.5.27

```
nlee# conda install -c conda-forge gym=0.21.0
```

```
nlee# conda install -c conda-forge pygame=1.5.27
```

- but if you want the exact same anaconda environment as TA, use this .yaml file.

```
# conda env create --file rl_env.yaml
```

# Skeleton code

- **model.py**
  - Implement your neural network model here

```
import torch.nn as nn
class Q_net(nn.Module):
    def __init__(self):
        super().__init__()
        '''
        #####
        TODO: Implement Your Model
        #####
        '''

    def forward(self, x):
        Q_values = self.layers(x)
        return Q_values
```

# Skeleton code

- **train.py**

- the purpose of this module is to train the neural network.
- Implement your train code here. just writing down these two blocks are recommended.

```
31         # Execute the action then collect outputs
32         state, reward, done, _ = env.step(action)
33
34         #Update the memory
35         ...
36         #####
37         TODO:Implement your memory
38         #####
39         ...
40
41         # Update the Q-net parameters
42         replay(model, memory, args, criterion, optimizer, iteration = 1)
43
44         state_0 = state
```

```
70     if len(memory) < args.batchsize:
71         return None
72     d_loader = DataLoader(memory, args.batchsize ,shuffle = True, drop_last= True)
73
74
75     for i, batch in enumerate(d_loader):
76         ...
77         #####
78         TODO: Implement your replay function.
79         #####
80         ...
81
82
83     if name == 'main':
```

# Skeleton code

- **train.py(continued)**

- Use [torch.save](#) to save your model's trained parameter

```
# when the agent 'solves' the environment: steak over
if num_streaks > args.streak_to_end:
    print("The Environment is solved")
    torch.save(model.state_dict(), 'modelparam.pt')
    break
```

- the parameter will be loaded on the test.py module, to test your model.

```
abspath = os.path.dirname(os.path.abspath(__file__))+'/modelparam_final.pt'
THE_model.load_state_dict(torch.load(abspath))
```



# Skeleton code

## ■ test.py

- Your work will be evaluated using this exact code.
- in other files, you are free to modify them freely, even ignoring the TODO blocks
- **BUT the TA will evaluate your code using this original test.py module.**
- So, test your code running this module before submitting

```
15
16 def validate(model:nn.Module, iteration):
17     iteration = iteration
18     with torch.no_grad():
19         total_t = 0
20         for episode in tqdm(range(iteration)):
21             done = False
22             state_0 = env.reset()
23             while done == False:
24                 total_t += 1
25                 actiontable = model(torch.Tensor(state_0).unsqueeze(0))
26                 action = np.argmax(actiontable).item()
27                 state, _, done, _ = env.step(action)
28                 state_0 = state
29             return total_t/iteration
30
31 if __name__ == '__main__':
32     parser = argparse.ArgumentParser(description='2022 AI Project')
33     parser.add_argument('--iteration', type = int, default= 1000)
34
35     args = parser.parse_args()
36
37     THE_model = Q_net()
38     abspath = os.path.dirname(os.path.abspath(__file__))+'/modelparam_final.pt'
39     THE_model.load_state_dict(torch.load(abspath))
40     env = gym.make('CartPole-v1')
41     score = str(validate(THE_model, args.iteration))
42     with open('result.txt', 'w') as f:
43         f.writelines(score)
```

# Recommended algorithm

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**Algorithm 1** Deep Q-learning with Experience Replay

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Initialize replay memory  $\mathcal{D}$  to capacity  $N$

Initialize action-value function  $Q$  with random weights

**for** episode = 1,  $M$  **do**

    Initialise sequence  $s_1 = \{x_1\}$  and preprocessed sequenced  $\phi_1 = \phi(s_1)$

**for**  $t = 1, T$  **do**

        With probability  $\epsilon$  select a random action  $a_t$

        otherwise select  $a_t = \max_a Q^*(\phi(s_t), a; \theta)$

        Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$

        Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$

        Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in  $\mathcal{D}$

        Sample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from  $\mathcal{D}$

        Set  $y_j = \begin{cases} r_j & \text{for terminal } \phi_{j+1} \\ r_j + \gamma \max_{a'} Q(\phi_{j+1}, a'; \theta) & \text{for non-terminal } \phi_{j+1} \end{cases}$

        Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  according to equation 3

**end for**

**end for**

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from Playing Atari with Deep Reinforcement Learning([1312.5602.pdf \(arxiv.org\)](#)), page 5

# Project: Implementing Deep Q-learning

- Due: 2022/12/17 23:59
- Submission: to HY-ON LMS
- Submit following files
  - short report(<5 pages, .pdf)
    - explain your code structure
    - include the training result(plot/figure is recommended, but just text output is also fine)
  - Source code(.zip)
    - change the name of the 'template' folder to your student ID
    - **Must include Parameter file(< 100MB)**
    - **Must generate 'result.txt' on executing "python test.py"**

# Project: Implementing Deep Q-learning

- Please submit your code and parameter even if you could not solve( = surviving 120 consecutive episodes), because the evaluation score is average of timestep survived
- Contact TA: [foldtwice@hanyang.ac.kr](mailto:foldtwice@hanyang.ac.kr)

# Grading

- 100 Points total
- Performance: 50 points
  - $50 - 0.5 * (\text{your percentile})$ 
    - $\text{your percentile} = 100 * \left(1 - \frac{\text{\#students below you}}{\text{\#total students} - 1}\right)$
- Report: 50 points
  - Analysis 30 points
  - Idea 20 points