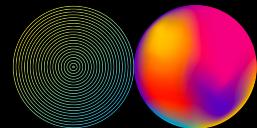


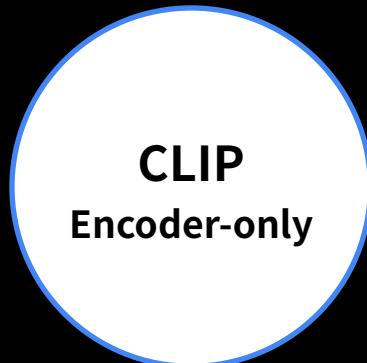
Recent Trends in Machine Learning: A Large-scale Perspective

A Short Introduction to Multi-modal AI Models (Part 3)

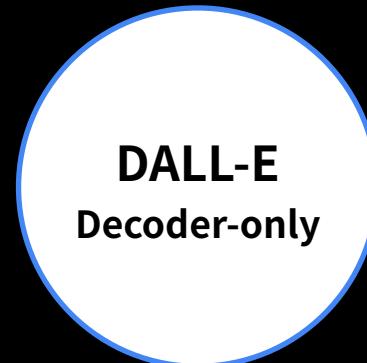
Saehoon Kim @ Kakaobrain



Outline of This Course



05/04



05/11

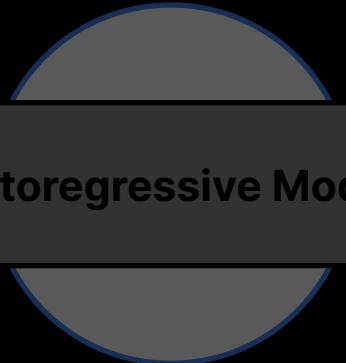


05/18

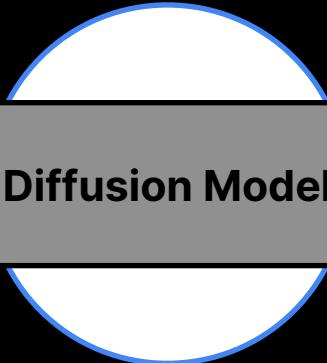
Outline of This Course



Contrastive Learning



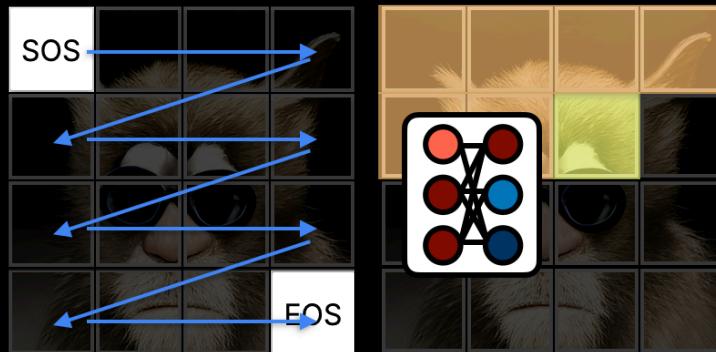
Autoregressive Model



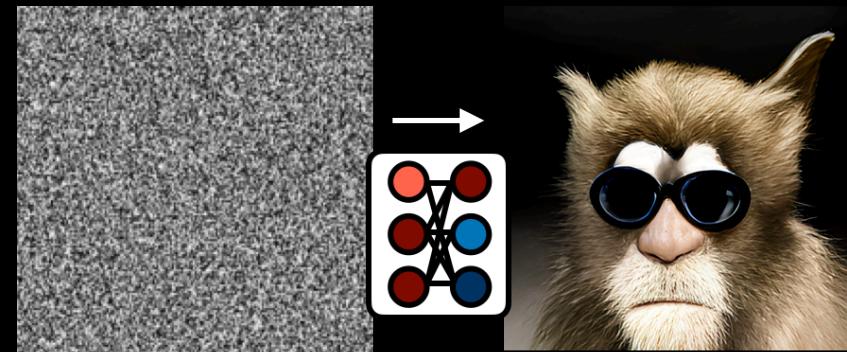
Diffusion Model

AR vs. Diffusion

Autoregressive Model

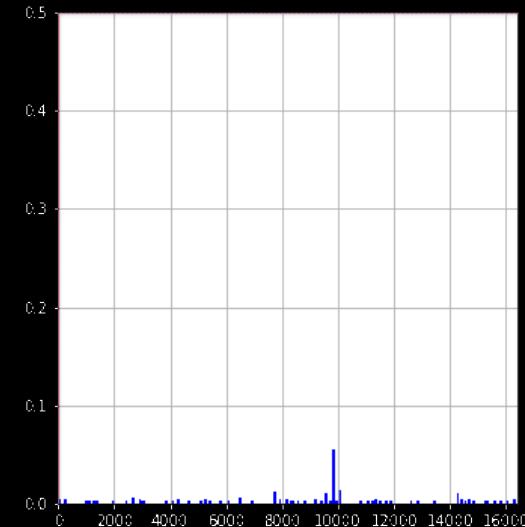
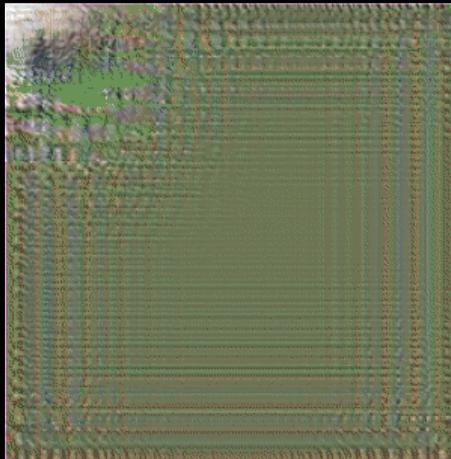


Diffusion Model

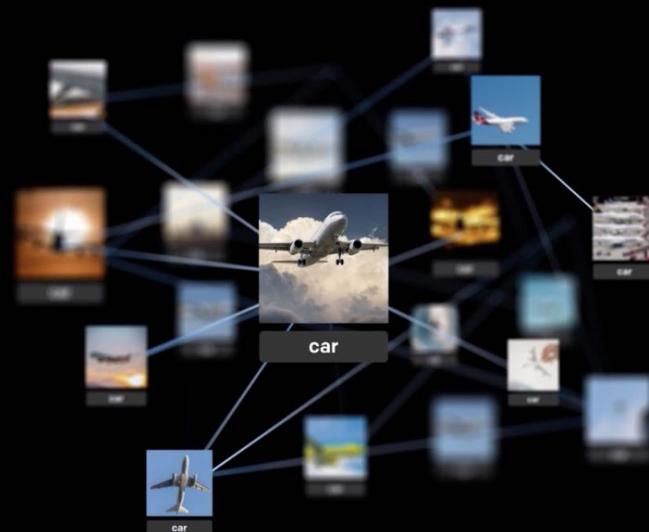


DALL-E 1 (AR Model)

A painting of a cherry blossom tree



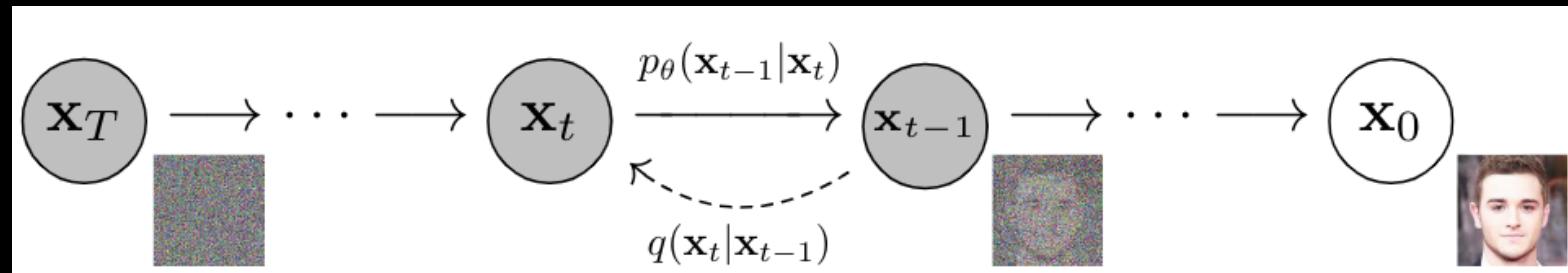
DALL-E 2 (Diffusion Model)



From OpenAI's official page

DDPM: Denoising Diffusion Probabilistic Models

Diffusion models are latent variables models defined by **diffusion (forward) process** and **reverse process**



Diffusion Process

$$q(\mathbf{x}_{1:T} | \mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}), \quad q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$

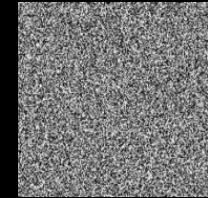
Diffusion Process

When beta is sufficiently small, this forward process can be approximated by a Gaussian distribution in the reverse process

$$q(\mathbf{x}_{1:T} | \mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}), \quad q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \boxed{\sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I}})$$

Reverse Process

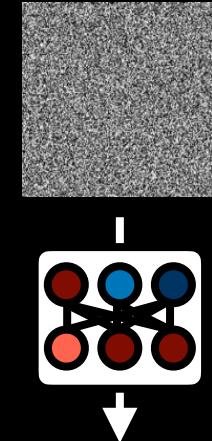
$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$



Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

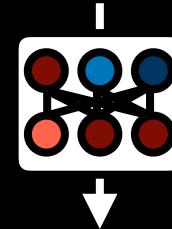
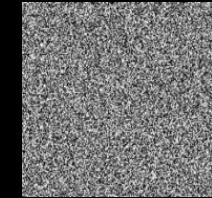


Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

$$p(\mathbf{x}_{0:T}) = p(\mathbf{x}_T) \prod_{t=1}^T p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t),$$



Optimization (1/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\mathbb{E}[-\log p_\theta(\mathbf{x}_0)] \geq \mathbb{E}_q \left[-\log \frac{p_\theta(\mathbf{x}_{0:T})}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} \right]$$

$$= \mathbb{E}_q \left[-\log p(\mathbf{x}_T) - \sum_{t \geq 1} \log \frac{p_\theta(\mathbf{x}_{t-1}|\mathbf{x})}{q(\mathbf{x}_t|\mathbf{x}_{t-1})} \right]$$

Optimization (2/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\mathbb{E}_q \underbrace{[D_{\text{KL}} [q(\mathbf{x}_T | \mathbf{x}_0) \| p(\mathbf{x}_T)]]}_{L_T}$$

$$+ \sum_{t>1} \underbrace{D_{\text{KL}} [q(\mathbf{x}_{t-1} | \mathbf{x}_t, \mathbf{x}_0) \| p(\mathbf{x}_t)]}_{L_{t-1}} \underbrace{- \log p_\theta(\mathbf{x}_0 | \mathbf{x}_1)}_{L_0}$$

Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[\frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_\theta(\sqrt{\bar{\alpha}}\mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t}\boldsymbol{\epsilon}, t)\|_2^2 \right]$$

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Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \boldsymbol{\epsilon}} \left[\frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \left\| \boldsymbol{\epsilon} - \boldsymbol{\epsilon}_\theta(\sqrt{\bar{\alpha}} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t) \right\|_2^2 \right]$$

Training / Sampling

Algorithm 1 Training

```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
      
$$\nabla_{\theta} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t)\|^2$$

6: until converged
```

Algorithm 2 Sampling

```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\bar{\alpha}_t}} \left( \mathbf{x}_t - \frac{1 - \bar{\alpha}_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

Training / Sampling

Algorithm 1 Training

```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
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Algorithm 2 Sampling

```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
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4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\bar{\alpha}_t}} \left( \mathbf{x}_t - \frac{1 - \bar{\alpha}_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

Experiments

Compared to AR models, DDPM generates samples in a bi-directional manner!

Table 1: CIFAR10 results. NLL measured in bits/dim.

Model	IS	FID	NLL Test (Train)
Conditional			
EBM [4]	8.30	37.9	
JEM [29]	8.76	38.4	
BigGAN [3]	9.22	14.73	
StyleGAN2 + ADA (v1) [29]	10.06	2.67	
Unconditional			
Diffusion (original) [53]			≤ 5.40
Gated PixelCNN [50]	4.60	65.93	3.03 (2.90)
Sparse Transformer [7]			2.80
PixelIQN [4]	5.29	49.46	
EBM [4]	6.78	38.2	
NCSNv2 [56]			31.75
NCSN [53]	8.87 ± 0.12	25.32	
SNGAN [39]	8.22 ± 0.05	21.7	
SNGAN-DDLS [4]	9.09 ± 0.10	15.42	
StyleGAN2 + ADA (v1) [29]	9.74 ± 0.05	3.26	
Ours (L , fixed isotropic Σ)	7.67 ± 0.13	13.51	$\leq \sqrt{3.70} (3.69)$
Ours (L_{simple})	9.46 ± 0.11	3.17	$\leq \sqrt{3.75} (3.72)$

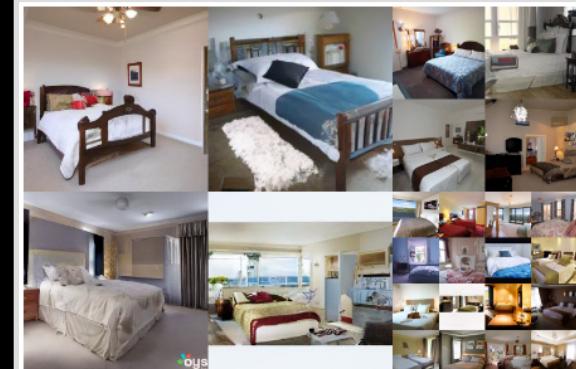


Figure 4: LSUN Bedroom samples. FID=4.90

Experiments

Compared to AR models, DDPM generates samples in a bi-directional manner!



Figure 6: Unconditional CIFAR10 progressive generation (\hat{x}_0 over time, from left to right). Extended samples and sample quality metrics over time in the appendix (Figs. 10 and 14).

GLIDE: Guided Language to Image Diffusion for Generation and Editiong

Class-conditional diffusion models can be implemented by classifier guidance

$$\hat{\mu}_\theta(x_t|y) = \mu_\theta(x_t|y) + s \cdot \Sigma_\theta(x_t|y) \nabla_{x_t} \log p_\phi(y|x_t)$$

GLIDE: Guided Language to Image Diffusion for Generation and Editiong

Classifier-free guidance for removing the need of a separate classifier

$$\hat{\epsilon}_\theta(x_t|y) = \epsilon_\theta(x_t|\emptyset) + s \cdot (\epsilon_\theta(x_t|y) - \epsilon_\theta(x_t|\emptyset))$$

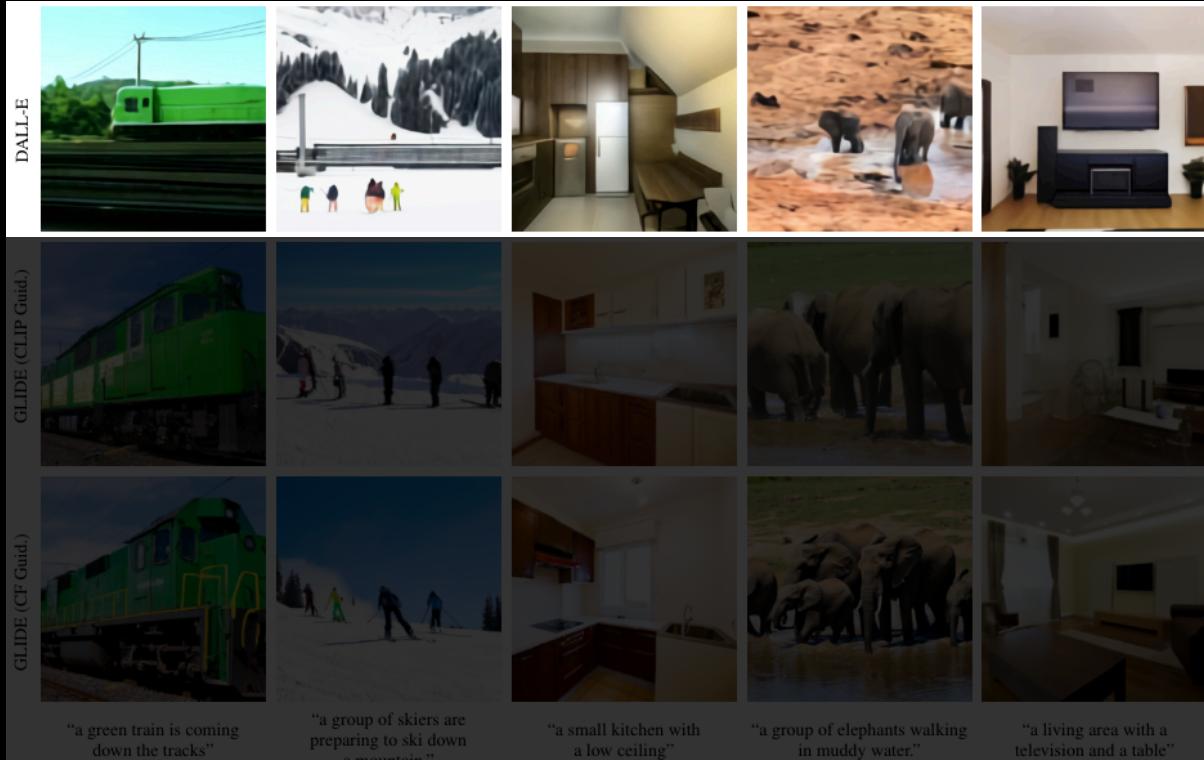
vs.

$$\hat{\mu}_\theta(x_t|y) = \mu_\theta(x_t|y) + s \cdot \Sigma_\theta(x_t|y) \nabla_{x_t} \log p_\phi(y|x_t)$$

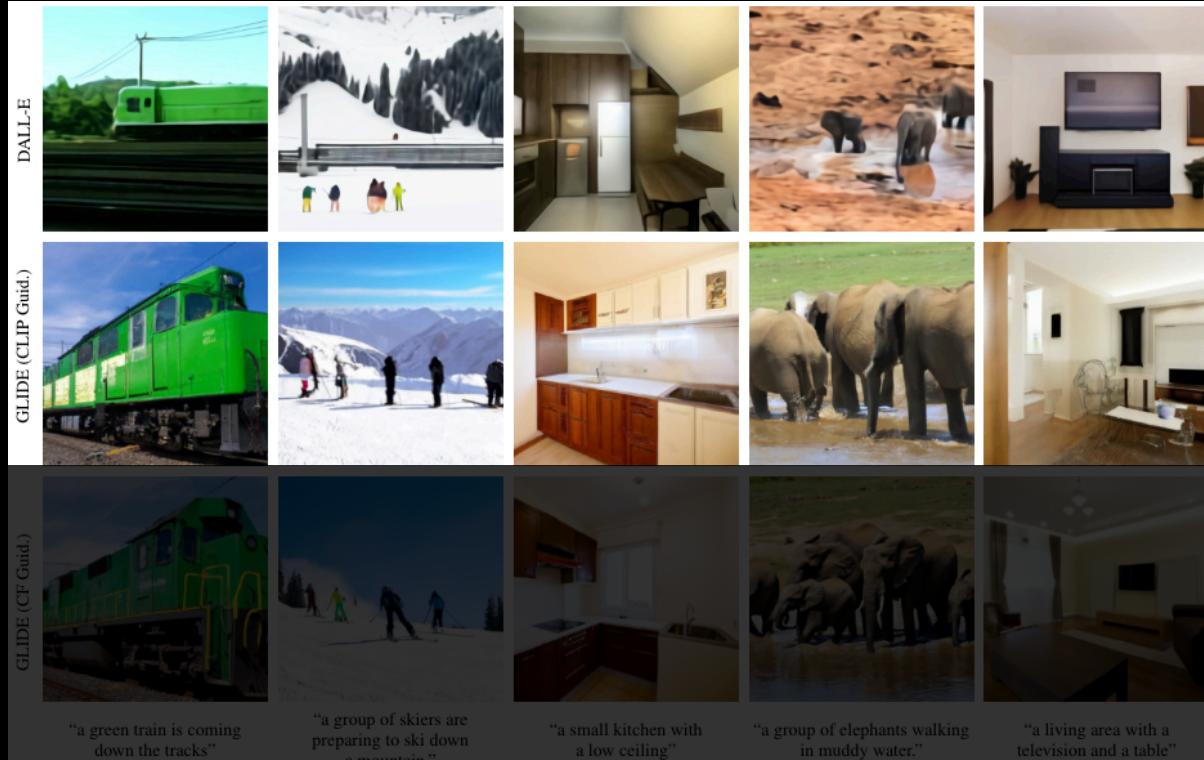
GLIDE (Model)

- Using the ADM model architecture from Guided Diffusion
- Using the same dataset as DALL-E
- Two-stage training
 - For the text encoding, a 1.2B parameter diffusion model is used
 - For upsampling ($64 \times 64 \rightarrow 256 \times 256$), a 1.5B parameter diffusion model is used

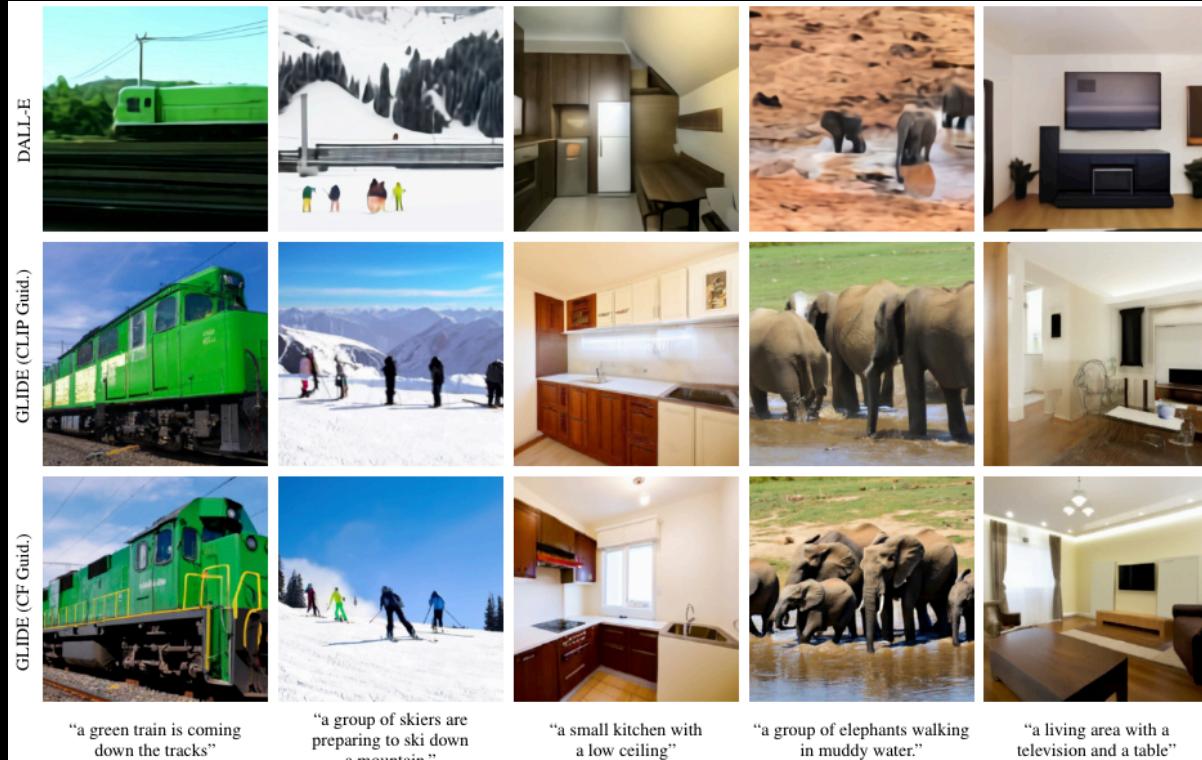
Experiments (Generation)



Experiments (Generation)



Experiments (Generation)

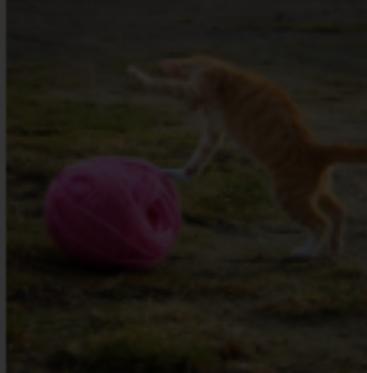


Experiments (Image Editing)

Input + mask



Ours (fine-tuned)



Experiments (Image Editing)

Input + mask



Ours (fine-tuned)

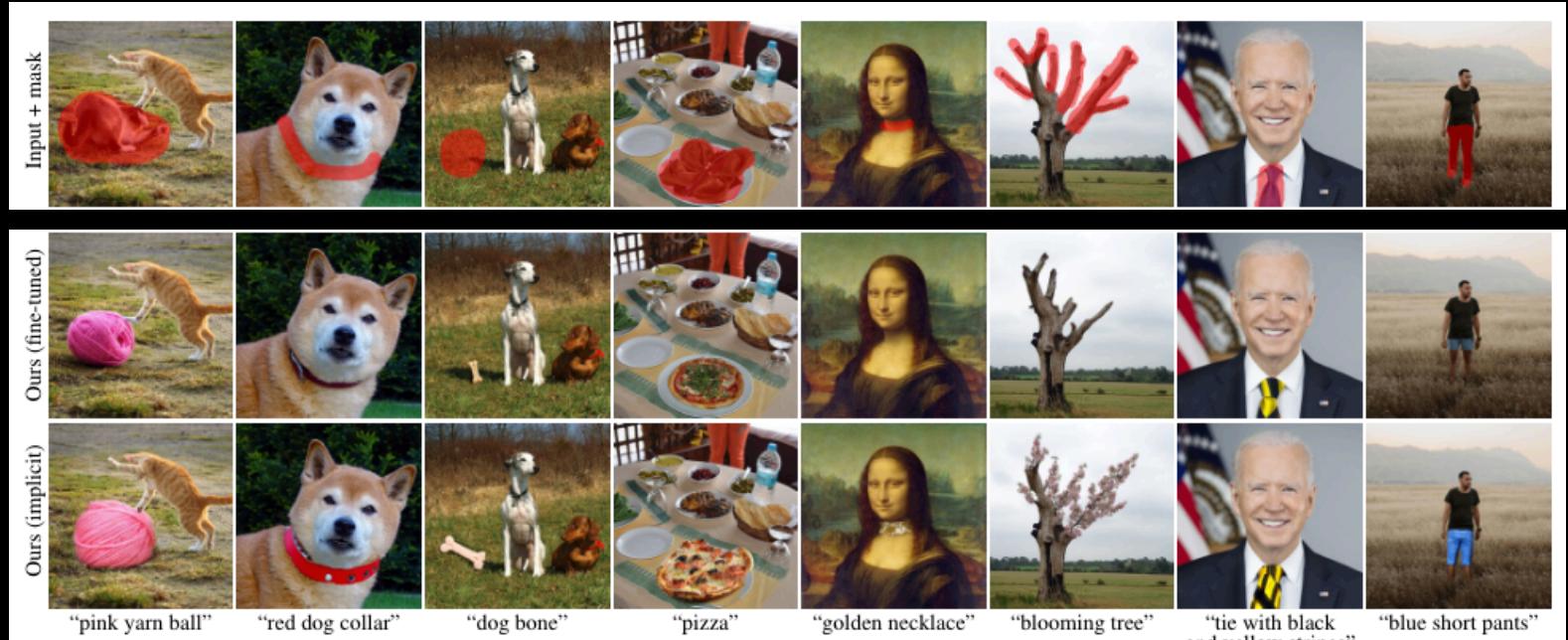


“pink yarn ball”

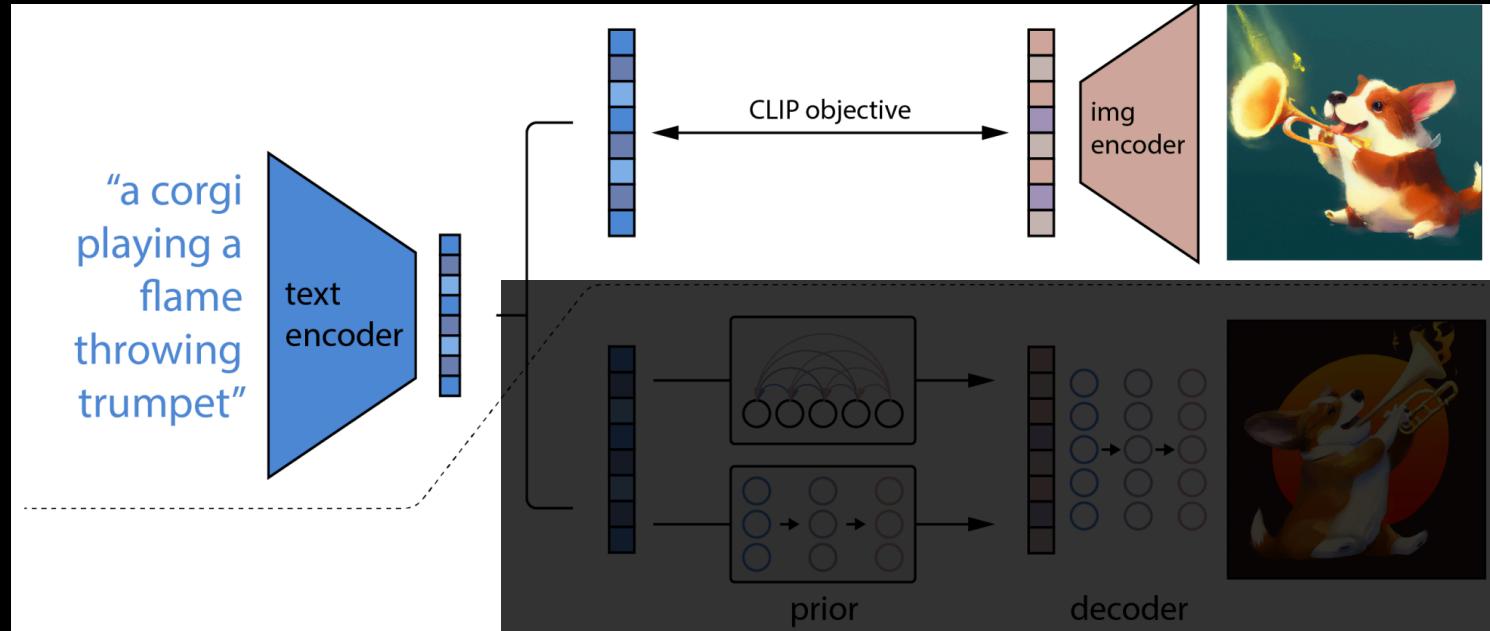


“red dog collar”

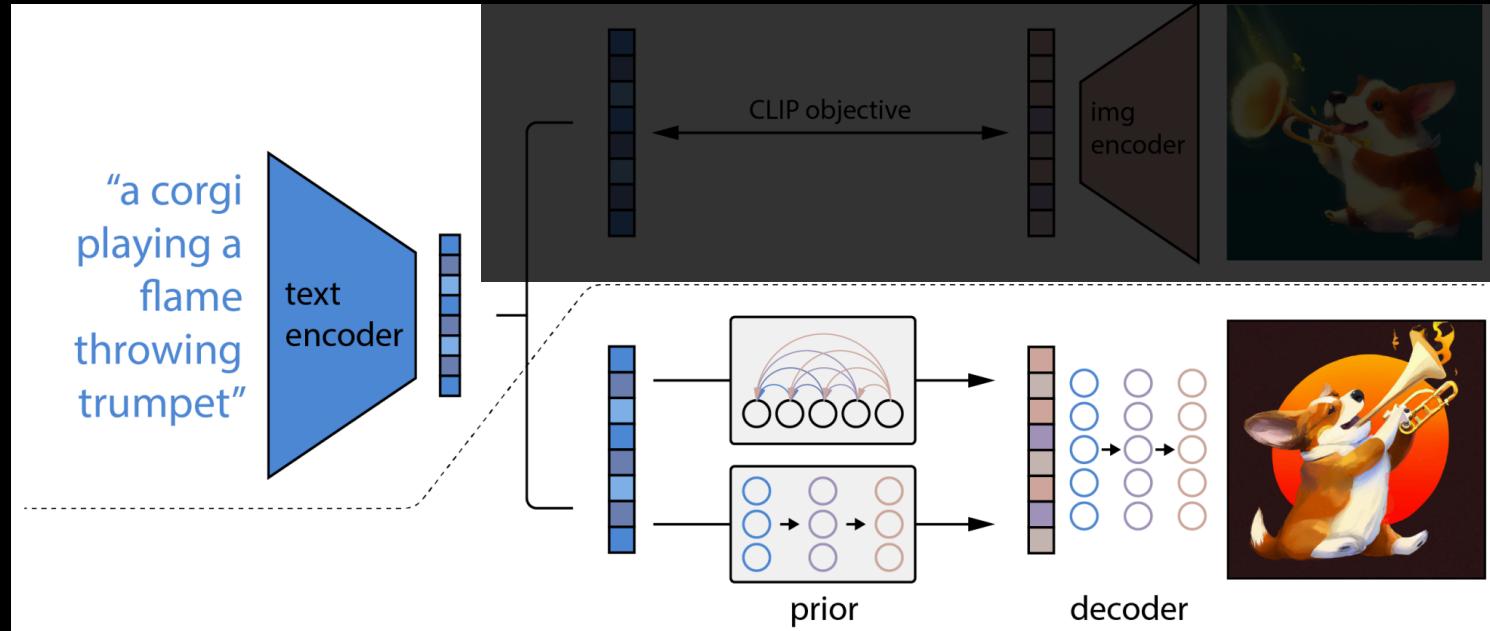
Experiments (Image Editing)



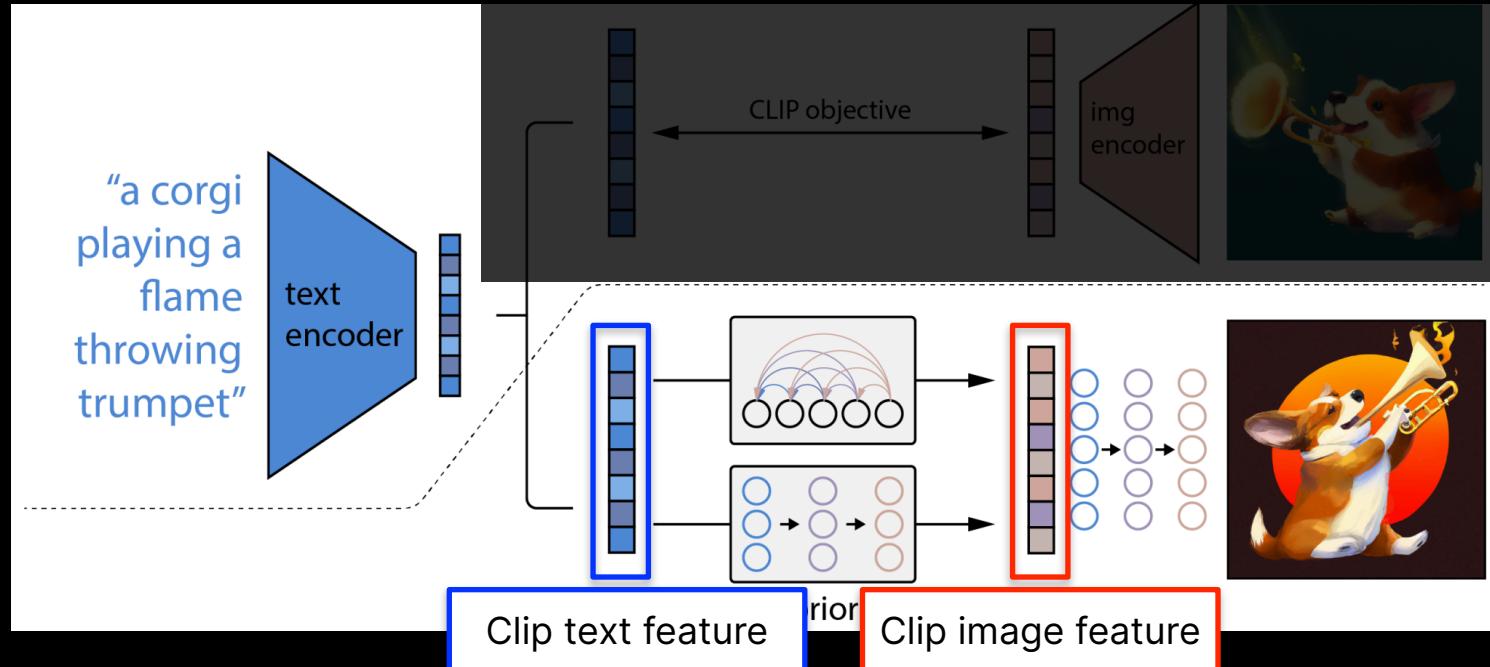
DALLE-2 - Overview



DALLE-2 - Overview



DALLE-2 - Overview



DALLE-2 - Importance of Prior Model



DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

Deterministic variable!

DALLE-2 - Objective

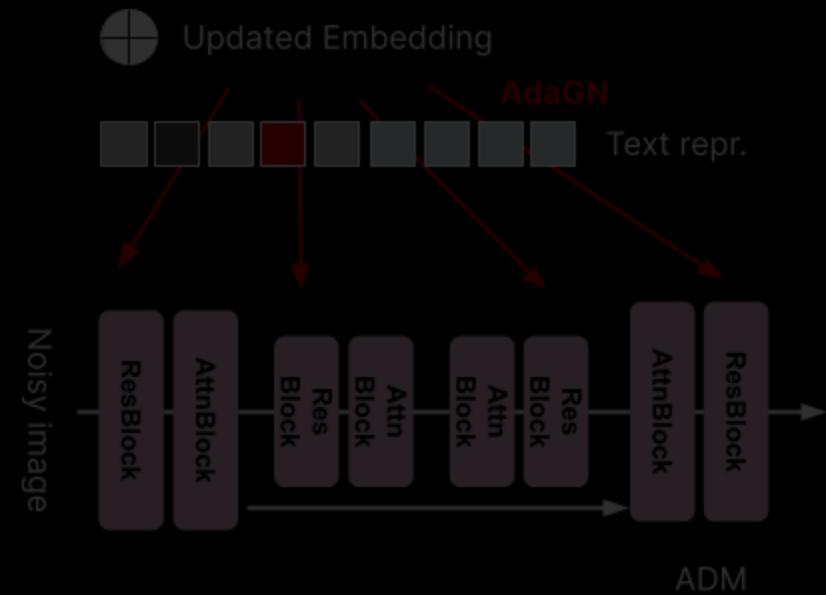
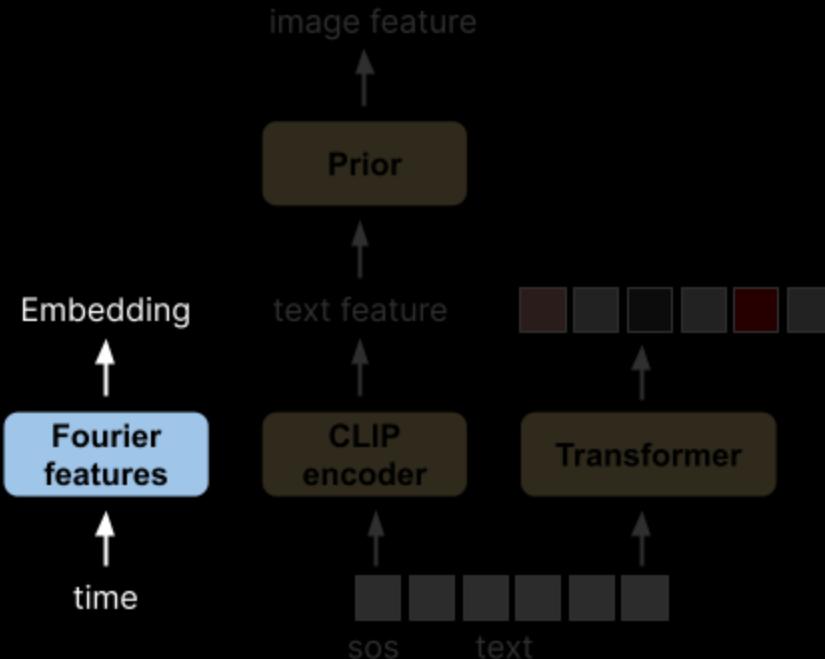
$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

$$= P_{\theta}(\text{image}|z, \text{text}) \cdot P_{\phi}(z|\text{text})$$

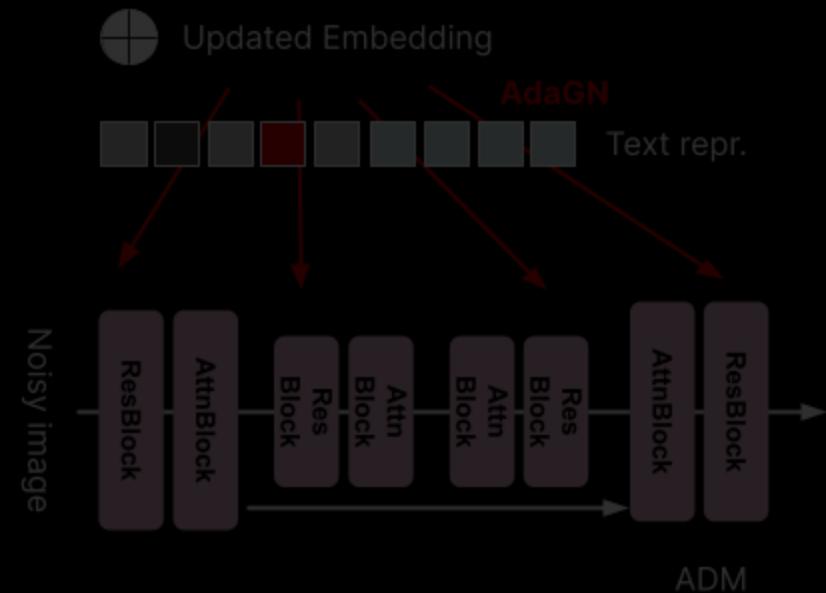
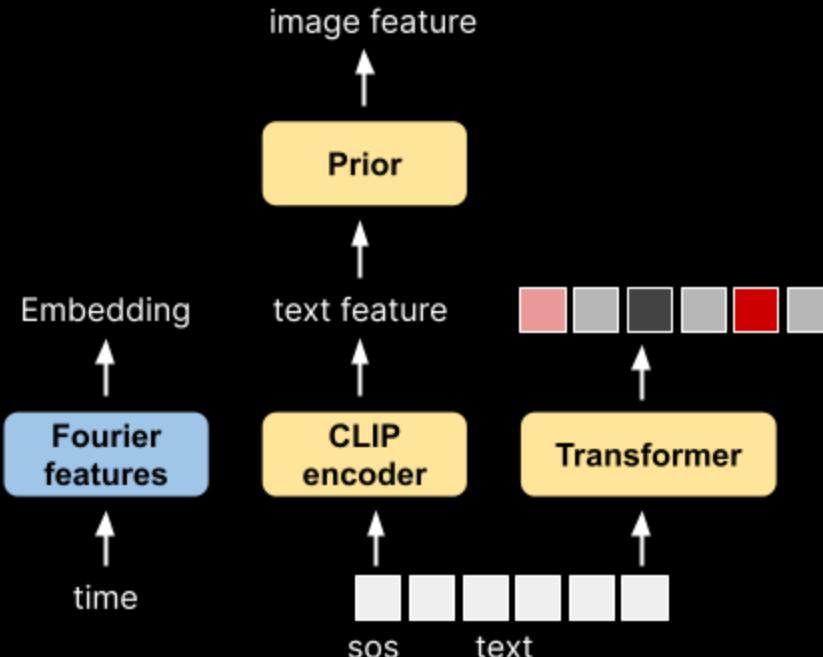
Decoder

Prior

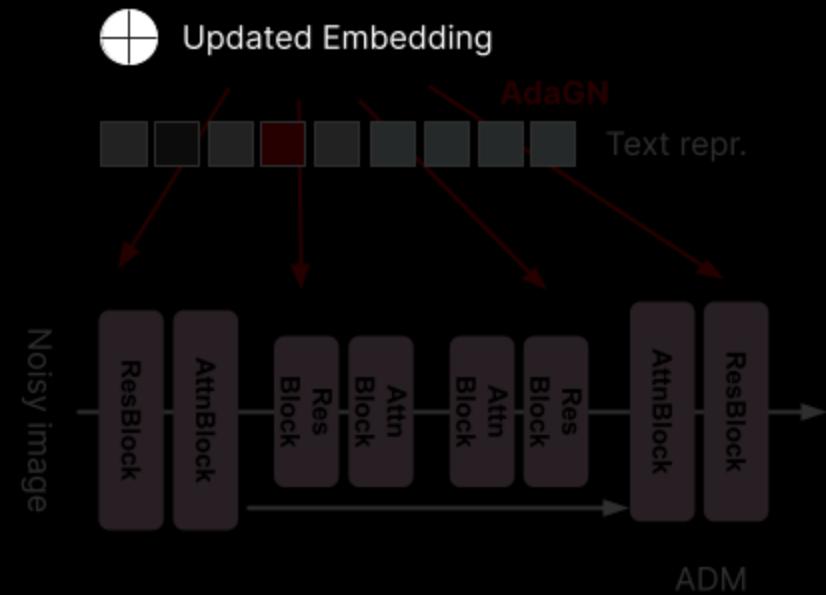
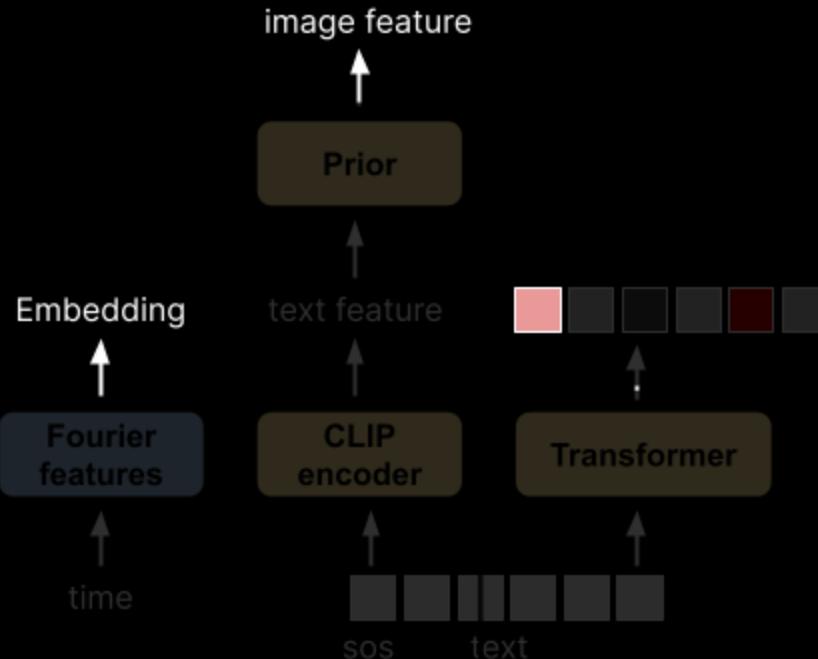
DALLE-2 Architecture - Details



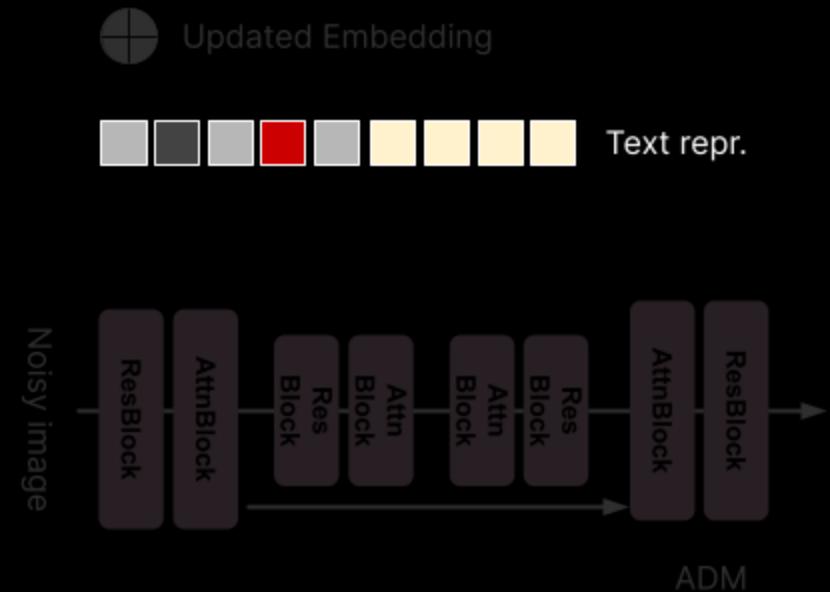
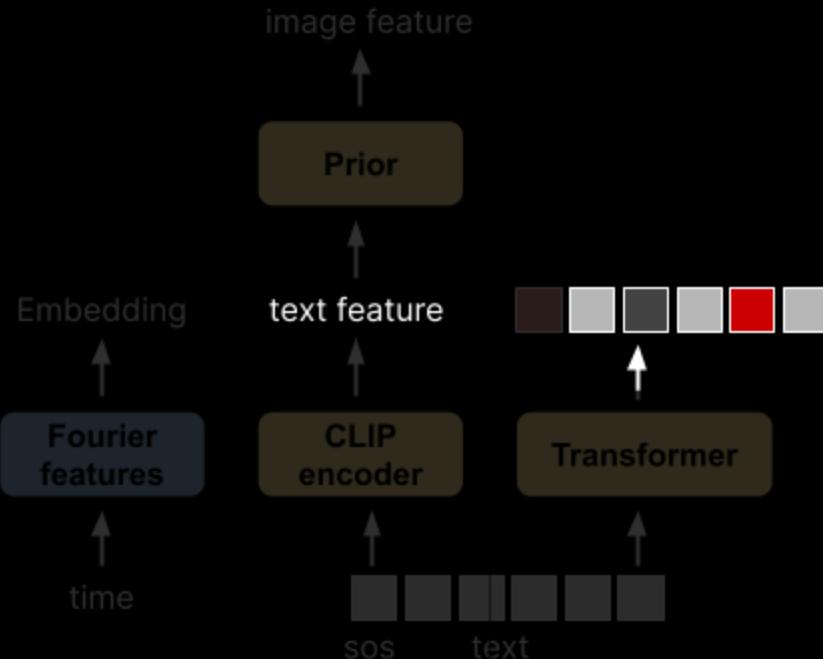
DALLE-2 Architecture - Details



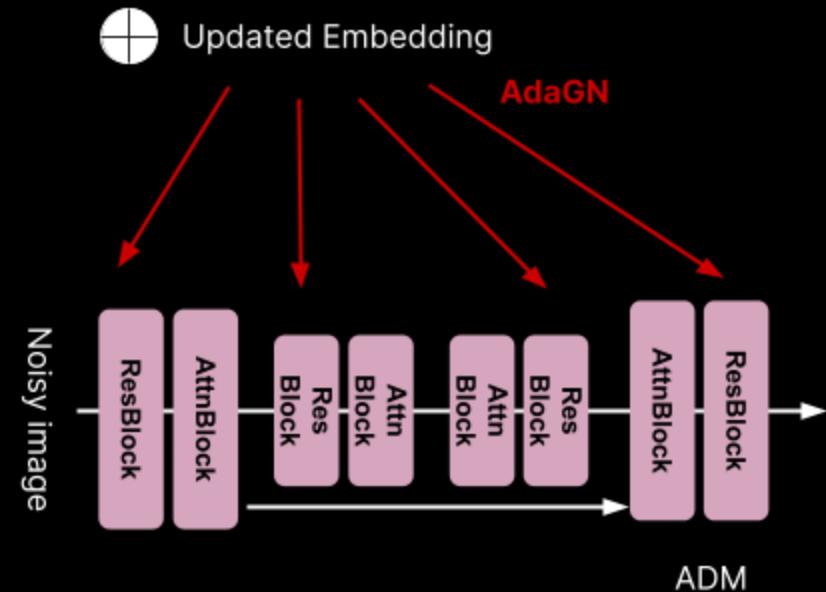
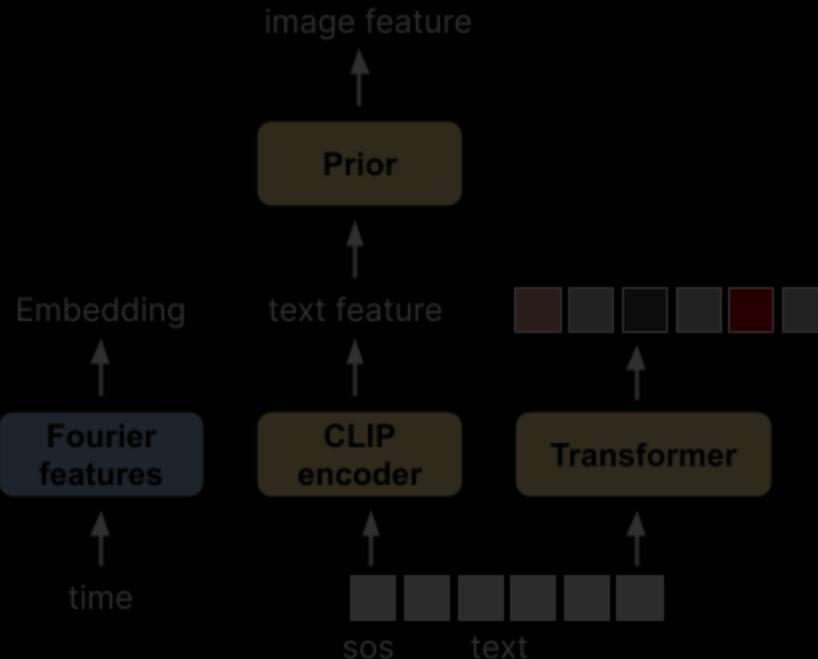
DALLE-2 Architecture - Details



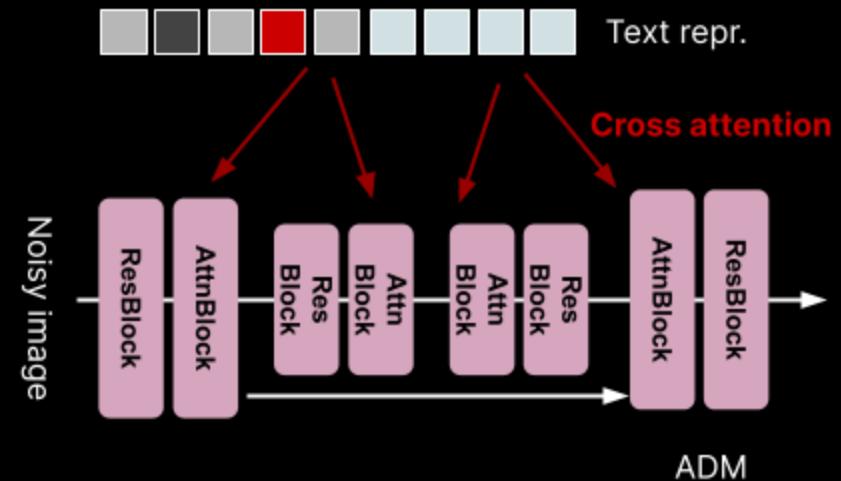
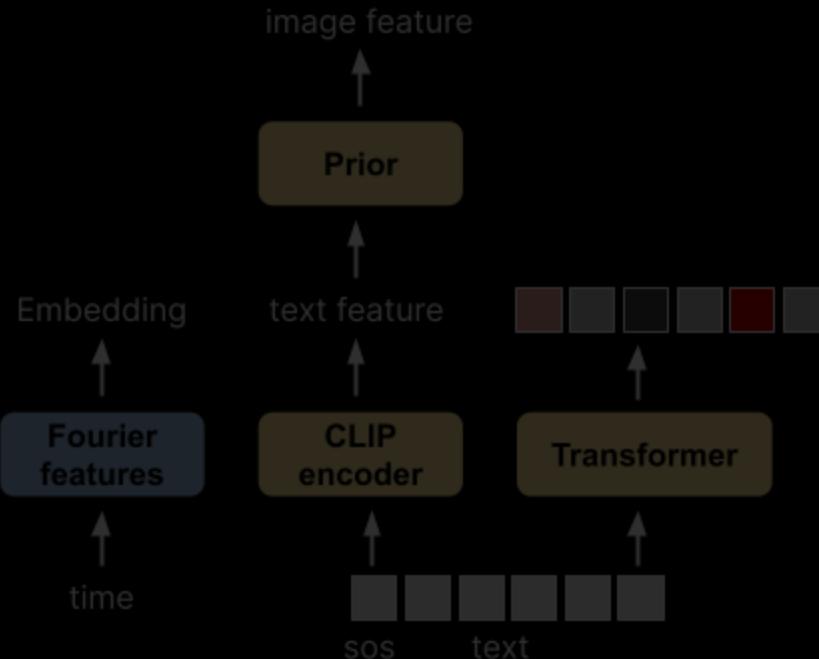
DALLE-2 Architecture - Details



DALLE-2 Architecture - Details



DALLE-2 Architecture - Details



	Diffusion prior	64	64 → 256	256 → 1024
Diffusion steps	1000	1000	1000	1000
Noise schedule	cosine	cosine	cosine	linear
Sampling steps	64	250	27	15
Sampling variance method	analytic [2]	learned [34]	DDIM [47]	DDIM [47]
Crop fraction	-	-	0.25	0.25
Model size	1B	3.5B	700M	300M
Channels	-	512	320	192
Depth	-	3	3	2
Channels multiple	-	1,2,3,4	1,2,3,4	1,1,2,2,4,4
Heads channels	-	64	-	-
Attention resolution	-	32,16,8	-	-
Text encoder context	256	256	-	-
Text encoder width	2048	2048	-	-
Text encoder depth	24	24	-	-
Text encoder heads	32	32	-	-
Latent decoder context	-	-	-	-
Latent decoder width	-	-	-	-
Latent decoder depth	-	-	-	-
Latent decoder heads	-	-	-	-
Dropout	-	0.1	0.1	-
Weight decay	6.0e-2	-	-	-
Batch size	4096	2048	1024	512
Iterations	600K	800K	1M	1M
Learning rate	1.1e-4	1.2e-4	1.2e-4	1.0e-4
Adam β_2	0.96	0.999	0.999	0.999
Adam ϵ	1.0e-6	1.0e-8	1.0e-8	1.0e-8
EMA decay	0.9999	0.9999	0.9999	0.9999

Sample Examples (from reddit/Dall-e-2)



Posted by u/cench 15 days ago 2

808

An orange cat staring at a drawer filled with socks on fire, high-resolution photo



Sample



113 Comments



Award



Share



Save

...

Sample



Posted by u/Wiskkey 14 days ago



732
“a painting by Grant Wood of an astronaut couple, american gothic style”



30 Comments



Award



Share



Save

...

2)

↑
630
↓

Posted by u/danielbln **dalle2 user** 7 days ago 🐾 S

happy racoons wearing colourful turtlenecks

Sample



32 Comments

Award

Share

Save

...

DALLE-2 Architecture - Limitation



(a) A high quality photo of a dog playing in a green field next to a lake.



(b) A high quality photo of Times Square.



Conclusion

Diffusion Models (DDPM, GLIDE, DALL-E 2)

