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# Invariance in the Lambda Calculus through Explicit Substitutions

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# Equivalence and Invariance of Models

- The Church-Turing Thesis - Turing, Kleene, Church, Rosser
- The Invariance Thesis - Van Embde Boas

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## Invariance in the Lambda Calculus through Explicit Substitutions

### └ Introduction and Background

### └ Equivalence and Invariance of Models

Turing machines are the foundational measure of computational complexity so when we talk about equivalence and invariance we refer to it WITH RESPECT TO TURING MACHINES. We will study INVARIANCE through a cost model. We will only talk about time invariance in this presentation.

# Size exploding Family of $\lambda$ -terms

$$\begin{aligned} t_0 &\equiv yxx \\ t_{n+1} &\equiv (\lambda x. t_n)(yxx) \end{aligned} \quad (1)$$

We will refer to the normal form of this terms by  $r_n$ . By induction we can see that  $t_n \xrightarrow[\beta]{1} (\lambda x. t_{n-2})y(yxx)(yxx) \xrightarrow[\beta]{n-1} r_n$ , and that  $|r_n| \in O(2^n)$ .

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## Invariance in the Lambda Calculus through Explicit Substitutions

### └ Size-explosion Problem

### └ Size exploding Family of $\lambda$ -terms

When we talk about the size explosion we refer to it in terms of how a Turing machine would represent this term, which obviously takes  $2^n$  steps since space complexity is a lower bound for time complexity on Turing machines

$$t_0 \equiv yxx$$

$$t_{n+1} \equiv (\lambda x. t_n)(yxx)$$

(1)

We will refer to the normal form of this terms by  $r_n$ . By induction we can see that  $t_n \xrightarrow[\beta]{1} (\lambda x. t_{n-2})y(yxx)(yxx) \xrightarrow[\beta]{n-1} r_n$ , and that  $|r_n| \in O(2^n)$ .

# Syntax and operational semantics

## Syntax

$$\begin{aligned} t, u &::= x \mid \lambda_{ISC} x. t \mid tu \mid t[x \leftarrow u] \\ S &::= \langle \cdot \rangle \mid \lambda_{ISC} x. S \mid St \mid tS \mid S[x \leftarrow t] \\ L &::= \langle \cdot \rangle \mid L[x \leftarrow t] \end{aligned} \quad (2)$$

## Operational Semantics

$$\begin{aligned} L\langle \lambda_{ISC} x. t \rangle u &\rightarrow_{dB} L\langle t[x \leftarrow u] \rangle \\ S\langle x \rangle[x \leftarrow u] &\rightarrow_{IS} S\langle u \rangle[x \leftarrow u] \end{aligned} \quad (3)$$

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# Invariance in the Lambda Calculus through Explicit Substitutions

## Linear Substitution Calculus

## Syntax and operational semantics

### Syntax

$$\begin{aligned} t, u &::= x \mid \lambda_{\text{LSC}} x. t \mid tu \mid t[x \leftarrow u] \\ S &::= \langle \cdot \rangle \mid \lambda_{\text{LSC}} x. S \mid St \mid tS \mid S[x \leftarrow t] \\ L &::= \langle \cdot \rangle \mid L[x \leftarrow t] \end{aligned} \quad (2)$$

### Operational Semantics

$$\begin{aligned} L(\lambda_{\text{LSC}} x. t)u &\rightarrow_{dB} L(t[x \leftarrow u]) \\ S\langle x \rangle[x \leftarrow u] &\rightarrow_{IS} S\langle u \rangle[x \leftarrow u] \end{aligned} \quad (3)$$

# Unfolding of Shared terms

We introduce the operation  $\downarrow$  in order to convert  $\lambda_{LSC}$ -terms to regular  $\lambda$ -terms.

$$t[x \leftarrow u] \downarrow = t \downarrow \{x \leftarrow u \downarrow\} \quad (4)$$

And the contextual unfolding of a term:

$$t \downarrow_{S[x \rightarrow u]} = t \downarrow_S \{x \rightarrow u \downarrow\} \quad (5)$$

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## Invariance in the Lambda Calculus through Explicit Substitutions

### Linear Substitution Calculus

### Unfolding of Shared terms

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Let  $\rightsquigarrow$  be a deterministic  $\lambda$ -strategy and  $\rightsquigarrow_X$  be a strategy on the LSC. The pair  $(\rightsquigarrow, \rightsquigarrow_X)$  is called a high-level implementation system if it has the following properties:

- 1 Normal Form Equality
- 2 Projection
- 3 Trace
- 4 Syntactic Bound

# Invariance in the Lambda Calculus through Explicit Substitutions

## High-level Implementation Systems

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- Normal Form Equality
- Projection
- Trace
- Syntactic Bound

# Useful Derivations

An applicative context is defined by  $A = S\langle Lt \rangle$ .

A useful step is either a dB-step or a ls-step  $S\langle x \rangle \rightarrow S\langle r \rangle$  so that the unfolding  $r \downarrow_S$ :

- 1 Either contains a  $\beta$ -redex
- 2 Or is an abstraction and  $S$  is an applicative context.

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## Invariance in the Lambda Calculus through Explicit Substitutions

### └ High-level Implementation Systems

### └ Useful Derivations

An applicative context is defined by  $A = S\langle Lt \rangle$ .  
 A useful step is either a dB-step or a ls-step  $S\langle x \rangle \rightarrow S\langle r \rangle$  so that the unfolding  $r \downarrow_S$ :

- Either contains a  $\beta$ -redex
- Or is an abstraction and  $S$  is an applicative context.



# Size exploding Terms revisited

Taking  $u = yxx$  for readability:

$$t_2 \equiv (\lambda x. (\lambda x. (yxx))(yxx))(yxx) \xrightarrow{\beta} y(yuu)(yuu) \equiv r_2 \quad (6)$$

$$t_2 \xrightarrow{dB} (yxx)[x \leftarrow yxx][x \leftarrow yxx]$$

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## Invariance in the Lambda Calculus through Explicit Substitutions

### Examples

### Size exploding Terms revisited

Taking  $u = yxx$  for readability:

$$\begin{aligned}
 t_2 &\equiv (\lambda x. (\lambda x. (yxx))(yxx))(yxx) \xrightarrow{\beta} y(yuu)(yuu) \equiv r_2 \\
 t_2 &\xrightarrow{dB} (yxx)[x \leftarrow yxx][x \leftarrow yxx]
 \end{aligned} \quad (6)$$